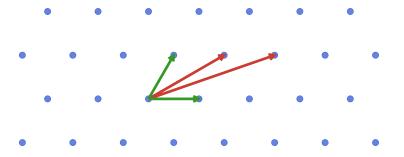
Lattice Reduction with LLLplus.jl

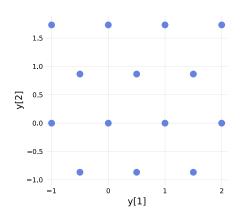
Chris Peel

JuliaCon 2021



July 2021 1/18

What's a Lattice?



- A full-rank discrete additive subgroup of (say) \mathbb{R}^n or \mathbb{C}^n
- $ightharpoonup \mathbb{Z}^n$ is a lattice in \mathbb{R}^n

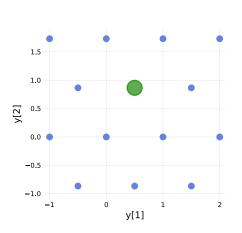
A practical definition

For a basis matrix B, and a vector of integers \mathbf{z} , the set of points \mathbf{y} reachable by $\mathbf{y} = B\mathbf{z}$ is a lattice:

$$\mathcal{L}(B) = \{B\mathbf{z} : \mathbf{z} \in \mathbb{Z}^n\}$$

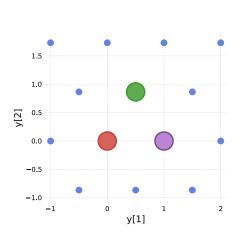
July 2021 2/18

How did you generate the green lattice point?



July 2021 3/18

How did you generate the purple and red lattice points?



```
julia> B=[1.0 0.5]
          0.0 0.8660251:
julia> zgreen=[0; 1];
julia> ygreen=B*zgreen
2-element Vector{Float64}:
0.5
 0.866025
julia> zpurple=[1; 0];
julia> ypurple=B*zpurple
2-element Vector{Float64}:
1.0
 0.0
julia> zred=[0; 0];
julia> yred=B*zred
2-element Vector{Float64}:
0.0
 0.0
```

July 2021 4/18

Why should I care about lattices?

Lattice tools are often used in places where one would normally use linear algebra, but an integer-valued solution is desired

There are practical uses:

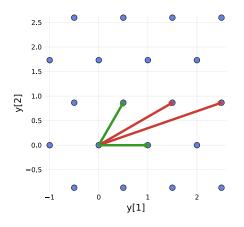
- Post-quantum cryptography (LWE)
- ► Integer programming
- Cryptanalysis (cracking SSH, HTTPS)
- ► Digital communication
- Encrypted ML (FHE in Julia)
- Coding theory
- ► Finding anagrams :-)

And there are theoretical uses

- Disproving Merten's Conjecture
- ► Sphere packing (with Julia!)
- ► Diophantine eqns (more)
- Geometry of flat tori
- ► Finding Spigot formulas
- ► Factoring Polynomials
- Computing the Riemann theta function
- Physics (Feynman integrals)

July 2021 5/18

For a lattice, how many bases are possible?



There are an infinite number of bases for a lattice; which one should we use?

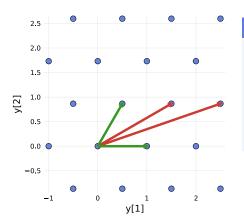
$$B_{red} = \begin{bmatrix} 2.5 & 1.5 \\ .86602 & .86602 \end{bmatrix}$$

$$B_{green} = \begin{bmatrix} 1.0 & .5 \\ 0.0 & .86602 \end{bmatrix}$$

In many problems, we want a short, close-to-orthogonal basis, like the green basis

July 2021 6/18

How can we find a good basis?



Use lattice reduction

Given lattice with basis B_1 , the goal of lattice reduction is to find another basis B_2 for the same lattice which has short, closer-to-orthogonal basis vectors

'Lattice reduction is like QR for integer problems.'

Jack Poulson

July 2021 7/18

How does one do lattice reduction?

The most important lattice reduction technique is from Lenstra, Lenstra, and Lovász¹, known as the LLL algorithm

LLL in pseudocode

Input: a basis $(\mathbf{b}_1, \dots, \mathbf{b}_d)$ of a lattice L.

Output: the basis $(\mathbf{b}_1, \dots, \mathbf{b}_d)$ is LLL-reduced with factor δ .

- 1: Size-reduce $(\mathbf{b}_1, \dots, \mathbf{b}_d)$
- 2: **if** there exists an index j which does not satisfy Lovász' condition
- 3: swap \mathbf{b}_{j} and \mathbf{b}_{j+1} , then return to Step 1.
- 4: **end if**

Lovász' condition is $||\mathbf{b}_{j+1}||^2 \ge (\delta - \mu_{j+1,j}^2)||\mathbf{b}_j||^2$ where the coeficients μ are Gram-Schmidt coefficients from size reduction

July 2021 8/18

¹A. K. Lenstra; H. W. Lenstra Jr.; L. Lovász; "Factoring polynomials with rational coefficients". Mathematische Annalen 261, 1982.

Size Reduction? Gram-Schmidt? Do I need to know this?

No, most LLL users can skip previous, current, next slides :-)

Size Reduction pseudocode²

```
Input: A basis (\mathbf{b}_1, \dots, \mathbf{b}_d) of a lattice L.
Output: A size-reduced basis (\mathbf{b}_1, \dots, \mathbf{b}_d).
 1: Compute all the Gram-Schmidt coefficients \mu_{i,j}
 2: for i = 2 to d do
 3:
          for j = i - 1 downto 1 do
 4:
             \mathbf{b}_i \longleftarrow \mathbf{b}_i - \lceil \mu_{i,i} \rfloor \mathbf{b}_i
             for k = 1 to j do
 5:
 6:
                  \mu_{i,k} \longleftarrow \mu_{i,k} - \lceil \mu_{i,i} \rfloor \mu_{i,k}
              end for
 8:
          end for
 9: end for
```

There are LLL variants which use

- Gram-Schmidt (shown)
- Givens rotations
- ► Householder rotations
- ► A Cholesky decomposition (fastest)

Size reduction is GS with rounding

July 2021 9/18

²The LLL and size reduction pseudocode are from P. Q. Nguyen "Hermite's constant and lattice algorithms," a chapter of The LLL Algorithm, Springer, Berlin, Heidelberg, 2009, pp 19-69

Givens-based LLL in Julia

```
function 111(H::Matrix{Td},δ::Float64=3/4) where {Td<:Number}
   B = copy(H); N,L = size(B); _,R = qr(B)
   1x = 2
   while 1x <= L
        for k=1x-1:-1:1
            rk = R[k,lx]/R[k,k]
            mu = round(rk)
            if abs(mu)>0
                B[:,1x] -= mu * B[:,k]
                R[1:k,lx] = mu * R[1:k,k]
            end
        end
        nrm = norm(R[1x-1:1x.1x])
        if \delta*abs(R[1x-1,1x-1])^2 > nrm^2
            B[:,[1x-1,1x]] = B[:,[1x,1x-1]]
            R[1:lx,[lx-1,lx]] = R[1:lx,[lx,lx-1]]
            cc = R[lx-1, lx-1] / nrm
            ss = R[lx,lx-1] / nrm
            Θ = [cc' ss: -ss cc] # Givens rotation
            R[1x-1:1x,1x-1:end] = 0 * R[1x-1:1x,1x-1:end]
            1x = max(1x-1.2)
        else: lx = lx+1: end
    end
   return B
end
```

July 2021 10/18

What should I remember about the LLL?

Remember two things:

- ▶ LLL runs fast; $O(d^5)$ for bases of size d
- ▶ LLL reduces the basis: $||\mathbf{b}_1|| \leq (\frac{2}{\sqrt{4\delta-1}})^{d-1}\lambda_1(\mathcal{L})$

The LLL is a baseline lattice tool. Its polynomial speed and acceptable reduction quality is what brought interest to lattice tools

July 2021 11/18

Outline

Background
Basics, Definitions
Lattice Reduction

LLLplus.jl What's in it?

Demo (toy) Applications Subset Sum

July 2021 12/18

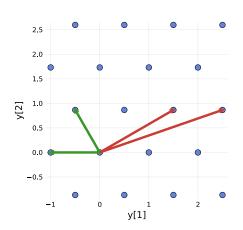
Lattice Tools in LLLplus.jl

Lattice Tool	Function	Use case
LLL lattice reduction	111	most lattice problems
Seysen lattice reduction	seysen	math, WiFi
Brun lattice reduction	brun	math, WiFi
CVP solver	cvp	WiFi, GGH
SVP solver	svp	NTRU, RLWE

Toy (Demo) function	Application
subsetsum	cryptanalysis, integer relations
integerfeasibility	integer programming feasibility
rationalapprox	find rational approx for vector
spigotBBP	spigot formulas for irrationals

July 2021 13/18

How about an LLL demo?



Our examples have been with 2-dimensional lattices; many interesting problems have larger lattices, say 100 dimensions or more

July 2021 14/18

What types does LLLplus.111 work on?

LLLplus.111 works on bases over all Signed integers, AbstractFloats, Complex, and user-defined subtypes like BitIntegers. I've tried around 34 types.

To have LLLplus. III work with a new type, check that LinearAlgebra.qr works, then add a method to LLLplus.getIntType for new float types

July 2021 15/18

Outline

Background
Basics, Definitions
Lattice Reduction

LLLplus.jl What's in it?

Demo (toy) Applications Subset Sum

July 2021 16/18

Subset-Sum

(Cryptographer's) Subset-Sum

Given a vector **a** of integers, and a sum s, if there is a binary vector **x** such that $\mathbf{x}^T \mathbf{a} = s$, find it.

The LLL-based technique from Lagarias and Oldyzko was designed to solve low-density subset-sum problems.

```
julia> setprecision(BigFloat,300); N=50; Bitdepth=190;
julia> a=rand(0:2^BigInt(Bitdepth)-1,N);
julia> xtrue=rand(Bool,N); s=a'*xtrue;
julia> @elapsed x,_=LLLplus.subsetsum(a,s)
2.535546165
julia> s-x'*a
0.0
```

Challenge for you: find or write a tool to beat the speed of LLLplus.subsetsum in the scenario above or scenarios using 64-bit math (N = 20, Bitdepth = 25)

July 2021 17/18

Thank You!

July 2021 18/18