

Chapter 5: Products and Records

1. Product Types

- a. Data structures (tuples, lists, arrays, trees) can be created and manipulated easily
- b. Not necessary in ML to be concerned with allocation and deallocation of data structures, nor with any particular representation strategy involving

- i. n-tuple (finite ordered sequence of values of the form (val_1, \dots, val_n))
- ii. n-tuple is a value of a product type of the form
- iii. Example

- 1. `val pair : int * int = (2,3)`
- 2. `val triple: int * int * string = (2, 2.0, "2")`
- 3. `val pair_of_pairs = (int * int) * (real * real) = ((2,3), (2.0,3.0))`
- 4. `val null_tuple : unit = ()`

- iv. ML also provides general tuple expression of the form (exp_1, \dots, exp_n) where each exp_i is an arbitrary expression, not a value.
- v. The expression is calculated from left to right
- vi. Example

- 1. `val pair: int * int = (1+1, 5-2)`

- vii. We can write the same expressions as

let

`val x1 = exp1`

...

`val xn = expn`

in

$(x1, \dots, xn)$

end

- viii. Powerful feature of ML is the usage of pattern matching to access components of aggregate data structures
- ix. Suppose that we have type of val as $(int * string) * (real * char)$
- x. Getting first component of second component can be written as `val ((_, _), (r:real, _)) = val` → instead of navigating to the position to retrieve it, simply use generalized form of value binding
- xi. The left hand side of val is a tuple pattern that describes pair of pairs
- xii. Underscores indicate “don’t care” positions in the pattern → values are not bounded to any variable
- xiii. Give names to all components
`val ((i:int, s:string), (r:real, c:char)) = val`
`val (is: int* string, rc: real*char) = val`
- xiv. General form of value binding is
`val pat = exp` where pat is pattern and exp is expression

- xv. Pattern of
 - 1. A variable pattern of the form $\text{var} : \text{typ}$
 - 2. A tuple pattern of the form $(\text{pat}_1, \dots, \text{pat}_n)$, where each pat is pattern. This includes as a special case of the null-tuple pattern
 - 3. A wildcard pattern of the form $_$
- xvi. Type of a pattern is determined by inductive analysis of the form of the pattern:
 - 1. A variable pattern $\text{var}:\text{typ}$ is of type typ
 - 2. A tuple pattern $(\text{pat}_1, \dots, \text{pat}_n)$ has the type $\text{typ}_1 * \dots * \text{typ}_n$, where each pat is a pattern of type typ .
 - 3. The wildcard pattern $_$ has any type whatsoever
- xvii. Value bindings are evaluated using the bind-by-value principle mentioned earlier.
- xviii. First, evaluate the right-hand side of the binding to a value
- xix. Second, we perform pattern matching to determine the bindings for the variables in the pattern
- xx. The rules are following:
 - 1. The variable binding $\text{val } \text{var} = \text{val}$ is irreducible
 - 2. The wildcard binding $\text{val } _ = \text{val}$ is discarded
 - 3. The tuple binding $\text{val}(\text{pat}_1, \dots, \text{pat}_n) = (\text{val}_1, \dots, \text{val}_n)$ is reduced to set of n bindings
 - $\text{val } \text{pat}_1 = \text{val}_1$
 - \dots
 - $\text{val } \text{pat}_n = \text{val}_n$
- xxi. For example
 - $\text{val } ((m:\text{int}, n:\text{int}), (r:\text{real}, s:\text{real})) = ((2,3), (2.0,3.0))$
 - 1. First, compose binding into two bindings
 - $\text{val } (m:\text{int}, n:\text{int}) = (2,3)$
 - and $(r:\text{real}, s:\text{real}) = (2.0, 3.0)$
 - 2. Decompose each in turn, resulting in
 - $\text{val } m: \text{int} = 2$
 - and $n:\text{int} = 3$
 - and $r:\text{real} = 2.0$
 - and $s:\text{real} = 3.0$

2. Record Types

- a. Tuples are most useful when the number of positions is small
- b. When number of components grows beyond small number, it is more natural to attach a label to each component of the tuple that mediates access to it, which is record type
- c. $\{\text{lab}_1:\text{typ}_1, \dots, \text{lab}_n:\text{typ}_n\}$ where $n \geq 0$ and all labels are distinct

- d. Record value has form $\{\text{lab1:val1}, \dots, \text{labn:valn}\}$ where val has type typ
- e. Record pattern has the form $\{\text{lab1:pat1}, \dots, \text{labn:patn}\}$ which has type $\{\text{lab1:typ1}, \dots, \text{labn:typn}\}$
- f. Record value binding of the form
 $\text{val } \{\text{lab1:pat1}, \dots, \text{labn:patn}\} = \{\text{lab1:val1}, \dots, \text{labn:valn}\}$ is decomposed to
 $\text{val pat1} = \text{val1}$
and ...
and $\text{patn} = \text{valn}$
- g. Components of record are identified by name, not position, and therefore the order in which they occur in a record value or record pattern is insignificant
- h. However, in record expression, fields are evaluated from left to right
- i. Example
 - i. $\text{type hyperlink} = \{\text{protocol: string}, \text{address: string}, \text{display: string}\}$
 - ii. The record binding
 $\text{val mailto_rwh: hyperlink} = \{\text{protocol} = \text{"mailto"}, \text{address} = \text{"rwh@cs.cmu.edu"}, \text{display} = \text{"Rober Harper"}\}$ defines variable of type hyperlink
 - iii. The record binding
 $\text{val } \{\text{protocol} = \text{prot}, \text{display} = \text{disp}, \text{address} = \text{addr}\} = \text{mailto_rwh}$
decomposes into three variable bindings
 $\text{val prot} = \text{"mailto"}$
 $\text{val addr} = \text{"rwh@cs.cmu.edu"}$
 $\text{val disp} = \text{"Robert Harper"}$ which extract the values of the fields of mailto_rwh
- j. Using wild cards, we can extract selected fields from record
 $\text{val } \{\text{protocol} = \text{prot}, \text{address} = _, \text{display} = _ \} = \text{mailto_rwh}$
- k. When having tons of fields, we can select one or two fields by using
 $\text{val } \{\text{protocol} = \text{prt}, \dots \} = \text{mailto_rwh}$, which is ellipsis patterns in records
- l. The ... stands for expanded pattern
- m. For this to occur, compiler must be able to determine unambiguously the type of the record pattern
- n. Finally, ML provides convenient abbreviated form of record pattern
 $\{\text{lab1}, \dots, \text{labn}\}$ which stands for pattern $\{\text{lab1} = \text{var1}, \dots, \text{labn} = \text{varn}\}$
- o. For example,
 $\text{val } \{\text{protocol}, \text{address}, \text{display}\} = \text{mailto_rwh}$
decomposes into sequence of atomic bindings
 $\text{val protocol} = \text{"mailto"}$
 $\text{val address} = \text{"rwh@cs.cmu.edu"}$
 $\text{val display} = \text{"Robert Harper"}$

3. Multiple Arguments and Multiple Results

- a. Function may bind more than one argument by using pattern, rather than a variable
- b. For example,

```
val dist: real * real -> real
= fn (x:real, y:real) => Math.sqrt(x*x + y*y)
```
- c. The function may then be applied to a two-tuple (pair) of arguments
- d. For example, `dist(2.0, 3.0)` evaluates to approximately 4.0
- e. The following can also be written as

```
fun dist(x:real, y:real): real => Math.sqrt(x*x + y*y)
```
- f. Keyword parameter passing is supported through the use of record patterns
- g. For example,

```
fun dist' {x = x:real, y=y:real} = Math.sqrt(x*x + y*y)
```
- h. The expression `dist' {x=2.0, y=3.0}` invokes this function with indicated x and y values
- i. Functions can also yield tuples or records
- j. Example,

```
fun dist2(x:real, y:real): real * real =
(Math.sqrt(x*x + y*y), Math.abs(x-y))
```
- k. We can also get certain element of tuple by using `#i` of type (sharp notation)
- l. For example, `fun #i (_, ...,_, x, _, ...,_) = x` where x occurs in the ith position of the tuple
- m. Thus, we may refer to the second field of three-tuple `val` by writing `#2(val)`
- n. However, it is bad style, and is strongly discouraged

Chapter 6: Case Analysis

1. Homogeneous and Heterogeneous Type

- a. Tuple types have property that all values of that type have the same form (homogeneous)
- b. For example, values of type `int*real` are pairs whose first component is an integer and whose second component is real
- c. Error will occur if we try to match type `int*real*string` (fail at compile time)
- d. Other types have values of more than one form (heterogeneous type)
- e. Value of type `int` might be 0, 1, ~1, ... or a value of type `char` might be `#"a"` or `#"z"`
- f. Corresponding to each of the values of these types is a pattern that matches only that value
- g. For example,

```
val 0 = 1-1
```

val (0,x) = (1-1, 34)
 val(0, #"0") = (2-1, #"0") → fails

2. Clausal Function Expressions

- a. Define functions over heterogeneous types → achieved in ML using clausal function expression that has form

$$\text{fn pat1} \Rightarrow \text{exp1} \mid \dots \mid \text{patn} \Rightarrow \text{expn}$$
- b. Each component $\text{pat} \Rightarrow \text{exp}$ is called clause or rule. Entire rule is called match
- c. The typing rules for matches ensure consistency of the clauses. There must exist typ1 and typ2 such that
 - i. Each pattern pat has type typ1
 - ii. Each expression exp has type typ2 , given the types of the variables in pattern pat
- d. Example

$$\text{val recip: int} \rightarrow \text{int} =$$

$$\text{fn } 0 \Rightarrow 0 \mid n:\text{int} \Rightarrow 1 \text{ div } n$$
- e. This defines reciprocal function, where reciprocal of 0 is arbitrarily defined to be 0. The function has two clauses, one for argument 0 and other for non-zero arguments n
- f. Using function notation, we can write

$$\text{fun recip } 0 = 0 \mid \text{recip } (n:\text{int}) = 1 \text{ div } n$$

3. Booleans and Conditionals, Revisited

- a. Type `bool` of booleans is perhaps the most basic example of heterogeneous type
- b. The conditional expression $\text{if exp then exp1 else exp2}$ is short-hand for

$$\text{case exp of true} \Rightarrow \text{exp1} \mid \text{false} \Rightarrow \text{exp2}$$
 which is

$$(\text{fn true} \Rightarrow \text{exp1} \mid \text{false} \Rightarrow \text{exp2}) \text{ exp}$$
- c. “Short-circuit” conjunction and disjunction operations are defined as

$$\text{if exp1 then exp2 else false}$$
 and the expression exp1 or else exp2 is short for

$$\text{if exp1 then true else exp2}$$

4. Exhaustiveness and Redundancy

- a. Exhaustiveness checking ensures that well-formed match covers its domain type in the sense that every value of the domain must match one of its clauses
- b. Redundancy checking ensures that no clause of a match is subsumed by the clauses that precede it. It means that the set of values covered by a clause in a match must not be contained entirely within the set of values covered by the preceding clauses of that match
- c. For example,

$$\text{fun recip}(n: \text{int}) = 1 \text{ div } n \mid \text{recip } 0 = 0 \rightarrow \text{the second clause is redundant since } 0 \text{ is included in the integer}$$
- d. Inexhaustive matches may or may not be in error

e. Error example

```
fun is_numeric #"0" = true
  | is_numeric #"1" = true
  | is_numeric #"2" = true
  | is_numeric #"3" = true
  | is_numeric #"4" = true
  | is_numeric #"5" = true
  | is_numeric #"6" = true
  | is_numeric #"7" = true
  | is_numeric #"8" = true
  | is_numeric #"9" = true
```

- i.
- ii. When applied `#"a"`, function fails → function never returns false for any argument
- iii. Need catch-all clause at the end

```
fun is_numeric #"0" = true
  | is_numeric #"1" = true
  | is_numeric #"2" = true
  | is_numeric #"3" = true
  | is_numeric #"4" = true
  | is_numeric #"5" = true
  | is_numeric #"6" = true
  | is_numeric #"7" = true
  | is_numeric #"8" = true
  | is_numeric #"9" = true
  | is_numeric _ = false
```

- iv.
- v. Addition of final catch-all clause renders match exhaustive, because any value not matched by the first ten clauses will surely be matched by eleventh

Chapter 7: Recursive Functions

1. Self-Reference and Recursion

- a. Function calling itself → function refer to itself
- b. Simplest form of recursive value binding
`val rec var:typ = val`
- c. Example
 - i. `val rec factorial: int -> int =`
`fn 0 => 1 | n: int => n * factorial (n-1)`
 - ii. `fun factorial 0 = 1`
`| factorial (n:int) = n * factorial (n-1)`
- d. Type checking is important in recursion

- e. To check that binding for factorial is well-formed, we must check that each clause has type $\text{int} \rightarrow \text{int}$ by checking for each clause that its pattern has type int and that its expression has type int .
- f. Then, we check $n * \text{factorial}(n-1)$ has type int

2. Iteration

- a. Definition of factorial given above should be contrasted with the following two-part definition
- b. `fun helper(0, r:int) = r | helper (n:int, r:int) = helper(n-1, n*r)`
`fun factorial (n:int) = helper (n,1)`
- c. Helper function here takes two parameters, an integer argument, and accumulator that records running partial result of the computation
- d. Accumulator re-associates pending multiplications in evaluation trace given above so that they can be performed prior to the recursive call
- e. Programming style \rightarrow conceal definitions of helper functions using local/let declaration
- f. Example

```

      local
        fun helper (0,r:int) = r
          | helper (n:int,r:int) = helper (n-1,n*r)
      in
        fun factorial (n:int) = helper (n,1)
      end
    i.

```

3. Inductive Reasoning

- a. Time and space usage are important
- b. Key to the correctness of a recursive function is an inductive argument establishing its correctness
- c. Critical ingredients:
 - i. An input-output specification of the intended behavior stating pre-conditions on the arguments and post-condition on the result
 - ii. Proof that the specification holds for each clause of the function, assuming that it holds for any recursive calls
 - iii. Induction principle that justifies the correctness of the function as a whole, given the correctness of its clauses
- d. Example of complete induction \rightarrow Fibonacci function on integers $n \geq 0$
 - i. `fun fib 0 = 1`
`| fib 1 = 1`
`| fib (n: int) = fib(n-1) + fib(n-2)`

4. Mutual Recursion

- a. Useful to define two functions simultaneously, each of which calls the other to compute its result \rightarrow called mutually recursive
- b. Example \rightarrow test whether the number is even or odd

- i. fun even 0 = true | even n = odd(n-1)
and odd 0 = false | odd n = even (n-1)
- ii. Here, the integer is odd only if n-1 is even and integer is even only if n-1 is odd → they are mutually related, which is why we use two mutually-recursive procedures