

Facsimile Compression

KHALID SAYOOD

OVERVIEW

In this chapter we describe the compression schemes used for facsimile compression. We also briefly describe how these compression schemes have been incorporated into various international standards.

20.1 A BRIEF HISTORY

One of the earliest applications of lossless compression was to the compression of facsimile, perhaps because facsimile transmission is one of the oldest forms of digital transmission still in existence. The first facsimile machines were patented soon after Samuel Morse's patenting of the telegraph, in 1836. In 1843 A. Bain patented the *recording telegraph*, followed in 1850 by F. C. Blakewell, who patented the *copying telegraph*. The first commercial facsimile system was set up in France in 1865 using a system, called the pantelegraph, developed by G. Caselli.

It was almost a century before the precursor of the modern fax system made its arrival in 1968 with the development of the Group 1 facsimile standard. The Group 1 standard formalized in Recommendation T.2 of the CCITT, which is now ITU-T, coded the output of a photo-cell using two tones. In the CCITT recommendation a white pixel was represented by a tone at 1300 Hz and a black pixel was represented by a tone at 2300 Hz (in North America a white pixel was represented by a tone at 1500 Hz and a black pixel by a tone at 2300 or 2400 Hz). This standard allowed the transmission of an 8.5 in. by 11 in. page in 6 min. In 1976 the Group 1 fax standard was replaced by the Group 2 fax standard, which used vestigial sideband modulation to transmit a standard page in 3 min.

Compression came into the picture with the Group 3 and Group 4 standards which were published as ITU-T Recommendation T.4 and T.6. These standards permitted the transmission



THE SLEREXE COMPANY LIMITED

SAPORS LANE - BOOLE - DORSET - BH 25 5 ER

TELEPHONE BOOLE (945 13) 51617 - TELEX 123456

Our Ref. 350/PJC/EAC

18th January, 1972.

Dr. P.N. Cundall,
Mining Surveys Ltd.,
Holroyd Road,
Reading,
Berks.

Dear Pete,

Permit me to introduce you to the facility of facsimile transmission.

In facsimile a photocell is caused to perform a raster scan over the subject copy. The variations of print density on the document cause the photocell to generate an analogous electrical video signal. This signal is used to modulate a carrier, which is transmitted to a remote destination over a radio or cable communications link.

At the remote terminal, demodulation reconstructs the video signal, which is used to modulate the density of print produced by a printing device. This device is scanning in a raster scan synchronised with that at the transmitting terminal. As a result, a facsimile copy of the subject document is produced.

Probably you have uses for this facility in your organisation.

Yours sincerely,

Phil.

P.J. CROSS
Group Leader - Facsimile Research

Registered in England: No. 2208
Registered Office: 100 Victoria Road, Bournemouth.

FIGURE 20.1

A test document image.

time for a page to come down to 1 min (assuming a 4800-bps modem, and excluding the time for handshaking). A "page" in this context refers to a white page with black pixels making up about 4% of the page as in the CCITT test chart 1, also known as the *slerexe page* shown in Fig. 20.1. The page is sampled at 1728 samples per line, with one page consisting of 2376 scan lines. Thus a standard page can be viewed as a bilevel image of size 2376×1728 . If we were to try and transmit such a page without compression over a 4800-bps line, it would take a little more than 14 min. It would take almost an hour to send a four-page document. Clearly there is a need for compression.

The compression schemes used in Group 3 and Group 4 have also found an application as part of other *de facto* standards such as the Tagged Image File Format (TIFF), which in turn has given rise to a new Internet fax standard known as TIFF-FX. The increase in processing power available to devices has led to more efficient bilevel compression schemes. These are used in the JBIG and JBIG2 standards that were published as ITU-T Recommendation T.82 and T.88. Finally, with the ever-increasing popularity of color scanners and printers a new standard for color facsimile based on an approach called Mixed Raster Compression has been published by ITU-T in Recommendation T.44.

In this chapter we first describe the compression algorithms that are part of the various standards. We then briefly describe how these techniques have been incorporated in the international standards.

20.2 THE COMPRESSION ALGORITHMS

In this section we describe the various compression algorithms used for facsimile compression. These compression algorithms take advantage of the particular structure present in documents and are used in various international standards.

20.2.1 Modified Huffman

Looking at any document we can clearly see that black and white pixels are not randomly scattered through the page. They occur in groups. If we are looking at a single scan line, we will see a string of white pixels followed by a string of black pixels and so on. Therefore, it makes sense to encode the number of pixels in each string, or run, rather than the pixels themselves. This is called *run-length coding*. In order to obtain compression the run-lengths that occur more often should be encoded with shorter codewords than the run-lengths that occur less often. A coding scheme that does this is Huffman coding (see Chapter 3). However, the number of values that the run-lengths can take on is very large. You could have run-lengths all the way up to 1728. Creating a Huffman codebook of this size is clearly cumbersome. Furthermore decoding a Huffman code with 1728 entries in the codebook would impose an unrealistic computational load. The Modified Huffman (MH) algorithm represents the run-length r with two numbers, m and t , where

$$r = 64m + t.$$

Notice that t can take on values from 0 to 63, and m can take on values from 1 to 27. The MH algorithm uses separate variable-length codes to encode the values of m and t . The values of m are encoded using the *make-up* codes shown in Table 20.1 and Table 20.2. The values of t are encoded using the *terminating* codes shown in Table 20.3 and Table 20.4. Note that there are different codes for runs of white pixels and runs of black pixels. Table 20.5 lists the extended make-up codes for runs of black and white pixels.

The one-dimensional coding consists of codewords corresponding to alternate runs of white and black. We assume that the first run will be a run of white pixels. If this assumption is violated, then the code for a run-length of 0 white pixels is transmitted.

20.2.2 Modified READ

A facsimile is a two-dimensional object with a very high correlation between pixels on neighboring lines. The modified READ algorithm uses this fact to provide a substantial improvement in compression. The main feature of documents used in the two-dimensional algorithm is that the

Table 20.1 Make-up White Codes

Code	Length	Run	Code	Length	Run
11011	5	64	011010100	9	960
10010	5	128	011010101	9	1024
010111	6	192	011010110	9	1088
0110111	7	256	011010111	9	1152
00110110	8	320	011011000	9	1216
00110111	8	384	011011001	9	1280
01100100	8	448	011011010	9	1344
01100101	8	512	011011011	9	1408
01101000	8	576	010011000	9	1472
01100111	8	640	010011001	9	1536
011001100	9	704	010011010	9	1600
011001101	9	768	011000	6	1664
011010010	9	832	010011011	9	1728
011010011	9	896			

Table 20.2 Make-up Black Codes

Code	Length	Run	Code	Length	Run
0000001111	10	64	0000001110011	13	960
000011001000	12	128	0000001110100	13	1024
000011001001	12	192	0000001110101	13	1088
000001011011	12	256	0000001110110	13	1152
000000110011	12	320	0000001110111	13	1216
000000110100	12	384	0000001010010	13	1280
000000110101	12	448	0000001010011	13	1344
0000001101100	13	512	0000001010100	13	1408
0000001101101	13	576	0000001010101	13	1472
0000001001010	13	640	0000001011010	13	1536
0000001001011	13	704	0000001011011	13	1600
0000001001100	13	768	0000001100100	13	1664
0000001001101	13	832	0000001100101	13	1728
0000001110010	13	896			

transition from a white pixel to a black pixel, or from a black pixel to a white pixel, in any given line will occur at almost the same location as a similar transition in the previous line. The algorithm is a modification of a Japanese proposal to CCITT called the Relative Element Address Designate of READ algorithm [1]. Hence it is referred to as the modified READ or MR algorithm.

To see how the algorithm operates we use an example of two lines of a facsimile image shown in Fig. 20.2. Assume that the contents of the top line is known to both encoder and decoder. On the bottom line the last pixel known to both encoder and decoder is the pixel marked a_0 . We have labeled some of the transition pixels in the figure. The pixel labeled b_1 is the first pixel on the top line which is to the right of the pixel labeled a_0 and is of a different color. The pixel labeled b_2 is the first pixel to the right of the pixel labeled b_1 which is of a different color than pixel b_1 . The pixel labeled a_1 is the first pixel of a different color from the pixel labeled a_0 on the line being encoded and to the right of pixel a_0 . The pixel labeled a_2 is the first pixel to the right of pixel a_1

Table 20.3 Terminating White Codes

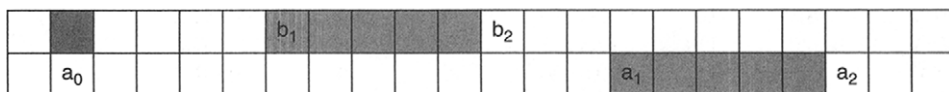
Code	Length	Run	Code	Length	Run	Code	Length	Run
00110101	8	0	0010111	7	21	00101011	8	42
000111	6	1	0000011	7	22	00101100	8	43
0111	4	2	0000100	7	23	00101101	8	44
1000	4	3	0101000	7	24	00000100	8	45
1011	4	4	0101011	7	25	00000101	8	46
1100	4	5	0010011	7	26	00001010	8	47
1110	4	6	0100100	7	27	00001011	8	48
1111	4	7	0011000	7	28	01010010	8	49
10011	5	8	00000010	8	29	01010011	8	50
10100	5	9	00000011	8	30	01010100	8	51
00111	5	10	00011010	8	31	01010101	8	52
01000	5	11	00011011	8	32	00100100	8	53
001000	6	12	00010010	8	33	00100101	8	54
000011	6	13	00010011	8	34	01011000	8	55
110100	6	14	00010100	8	35	01011001	8	56
110101	6	15	00010101	8	36	01011010	8	57
101010	6	16	00010110	8	37	01011011	8	58
101011	6	17	00010111	8	38	01001010	8	59
0100111	7	18	00101000	8	39	01001011	8	60
0001100	7	19	00101001	8	40	00110010	8	61
0001000	7	20	00101010	8	41	00110011	8	62
						00110100	8	63

Table 20.4 Terminating Black Codes

Code	Length	Run	Code	Length	Run	Code	Length	Run
0000110111	10	0	00000110111	11	22	000011011011	12	43
010	3	1	00000101000	11	23	000001010100	12	44
11	2	2	00000010111	11	24	000001010101	12	45
10	2	3	00000011000	11	25	000001010110	12	46
011	3	4	000011001010	12	26	000001010111	12	47
0011	4	5	000011001011	12	27	000001100100	12	48
0010	4	6	000011001100	12	28	000001100101	12	49
00011	5	7	000011001101	12	29	000001010010	12	50
000101	6	8	000001101000	12	30	000001010011	12	51
000100	6	9	000001101001	12	31	000000100100	12	52
0000100	7	10	000001101010	12	32	000000110111	12	53
0000101	7	11	000001101011	12	33	000000111000	12	54
0000111	7	12	000011010010	12	34	000000100111	12	55
00000100	8	13	000011010011	12	35	000000101000	12	56
00000111	8	14	000011010100	12	36	000001011000	12	57
000011000	9	15	000011010101	12	37	000001011001	12	58
0000010111	10	16	000011010110	12	38	000000101011	12	59
0000011000	10	17	000011010111	12	39	000000101100	12	60
0000001000	10	18	000001101100	12	40	000001011010	12	61
00001100111	11	19	000001101101	12	41	000001100110	12	62
00001101000	11	20	000011011010	12	42	000001100111	12	63
00001101100	11	21						

Table 20.5 Extended Make-up Codes (Black and White)

Code	Length	Run
00000001000	11	1792
00000001100	11	1856
00000001101	11	1920
000000010010	12	1984
000000010011	12	2048
000000010100	12	2112
000000010101	12	2176
000000010110	12	2240
000000010111	12	2304
000000011100	12	2368
000000011101	12	2432
000000011110	12	2496
000000011111	12	2560

**FIGURE 20.2**

Two lines of a facsimile image.

which is of a different color from pixel a_1 . Note that the locations of pixel a_0 , pixel b_1 , and pixel b_2 are known to both encoder and decoder, while the locations of pixel a_1 and pixel a_2 are known only to the encoder.

The encoder functions in one of three modes based on the relative locations of pixels a_1 , b_1 , and b_2 . If pixel a_1 is to the right of pixel b_2 , the encoder is in *pass mode*. If pixel a_1 is not to the right of pixel b_2 and within three pixels of pixel b_1 the encoder is said to be in *vertical mode*. If neither of these conditions is satisfied, the encoder is said to be in *horizontal mode*. This procedure is summarized in Fig. 20.3.

In the *pass mode* the encoder sends the codeword 0001 to the decoder. This lets the decoder know that all pixels from pixel a_1 to just under pixel b_2 have the same color as pixel a_1 . The pixel under pixel b_2 becomes the new pixel a_0 and both encoder and decoder update the locations for the other transition pixels with reference to the new pixel a_0 . Encoding continues.

In the *vertical mode* the encoder encodes the location of a_1 using the following codes:

a_1 directly under b_1	1
a_1 to the right of b_1 by one pixel	011
a_1 to the right of b_1 by two pixels	000011
a_1 to the right of b_1 by three pixels	0000011
a_1 to the left of b_1 by one pixel	010
a_1 to the left of b_1 by two pixels	000010
a_1 to the left of b_1 by three pixels	0000010

Once the decoder learns the current location of the pixel a_1 , that pixel is relabeled a_0 and we repeat the procedure.

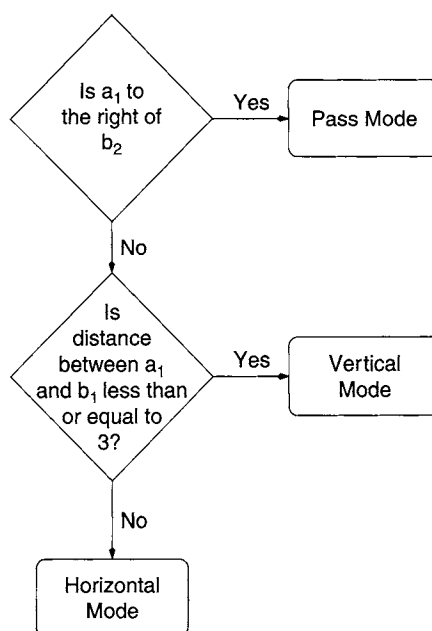


FIGURE 20.3
Selection of coding mode.

In the horizontal mode the encoder sends the code 001. The next two run-lengths, that is, the distance between the pixels labeled a_0 and a_1 and that between the pixels labeled a_1 and a_2 , are transmitted using modified Huffman codes.

Note that all the codewords mentioned above form a prefix code; no codeword is a prefix of any other codeword.

20.2.3 Context-Based Arithmetic Coding

Arithmetic coding was developed in its current form in the mid-1970s [2, 3] and has rapidly gained in popularity. The details of the arithmetic coding technique are presented in Chapter 5. Arithmetic coding is especially useful for the encoding of facsimile documents because of the structure of the data. The technique is particularly effective when the probabilities of the symbols being encoded are highly skewed. The probabilities of black and white pixels in facsimiles are highly skewed if we take into account the neighborhood, or the context, of the pixel being encoded. In context-based arithmetic coding the probabilities used to encode a particular symbol depend on the context in which that symbol occurs. A table of frequency counts is kept for the different context values. Consider the pixel marked X in Fig. 20.4. The bold outline delineates the context for the pixel X . As the pixels can take on only two values, the context, which contains 10 pixels, can take on 1024 values. In a context-based scheme one might have a separate table of frequency of occurrence for each context value.

Taking the contexts into account provides a much more accurate estimate of the probability of a given pixels, which in turn results in higher compression. The size and shape of the context are important factors in determining the effectiveness of this approach.

1	0	1	1	0	0	0	1	1	1	1	1	1
1	0	1	1	0	0	0	1	1	1	1	1	1
1	0	1	1	0	X	?	?	?	?	?	?	?
?	?	?	?	?	?	?	?	?	?	?	?	?

FIGURE 20.4Context for coding pixel *X*.

20.2.4 Run-Length Color Encoding

This is a simple extension of standard run-length encoding and is formalized in ITU-T Recommendation T.45. The bitstream is divided into *header* and *data*. The header specifies the number of color components, the number of bytes used to represent each component value, and the number of different color values that are encoded.

The data consist of alternating run-lengths and values. The run-length is encoded using 1 or 3 bytes. If the run-length is between 1 and 255, a single byte is sufficient. The all-zero byte is used to signal the fact that the run-length is greater than 255. The next 2 bytes contain the value of the run. The color value is encoded using $n \times k$ bytes, where n is the number of components and k is the number of bytes used to represent the value of each component.

20.3 THE STANDARDS

The compression algorithms described in the previous section have been incorporated in a number of different international standards. These standards have evolved with the development of technology and the evolution of documents. In this section we briefly describe these standards.

20.3.1 ITU-T Group 3 (T.4)

The Group 3 standard contains two types of coding schemes; the one-dimensional coding scheme known as the Modified Huffman scheme and the two-dimensional scheme known as the Modified READ scheme.

In the one dimensional scheme the modified Huffman codewords for each line of the facsimile is terminated by an end-of-line (EOL) codeword. This is a unique codeword consisting of 11 zeros followed by a one. The use of this symbol allows the decoder to synchronize after an error burst.

The two-dimensional coding is substantially more effective in terms of compression than the one-dimensional scheme. However, it is also vulnerable to error propagation. An error in one line will get propagated to the next line and so on. In order to prevent runaway error the Group 3 standard requires that every K lines one-dimensional coding be used where K is either 2 or 4.

The standard also includes provisions for optional error limiting and error correction modes. The error limiting mode is used only in the one-dimensional coding scheme. In this mode a line of 1728 pixels is divided into 12 groups of 144 pixels each. A 12-bit header is then constructed with 1 bit for each group of 144 pixels. If all pixels in a group are white, the corresponding bit in the header has a value of 0. If not, it has a value of 1. The all-white groups do not need to be encoded as the header contains all information necessary to reconstruct them. The other groups are encoded using modified Huffman codes.

In the error correction mode the bitstream is broken up into packets with each packet containing an error-detecting code. If a packet is detected to be in error, a request for retransmission is sent to the encoder. The request is not honored until the entire page has been transmitted. Each packet can be retransmitted a maximum of four times.

The end of document transmission is indicated by sending six consecutive EOLs.

20.3.2 Group 4 (T.6)

The Group 4 algorithms were standardized in ITU-T Recommendation T.6. Essentially, it takes advantage of the much cleaner digital lines to remove the restriction of using one-dimensional coding from the modified READ algorithm. As the two-dimensional algorithm is substantially more effective than the one-dimensional algorithm, this can dramatically increase the amount of compression. To reflect the fact that this algorithm is a (slightly) modified version of modified READ, it is called the *Modified Modified READ* algorithm or MMR.

20.3.3 JBIG and JBIG2 (T.82 and T.88)

In recent years two new standards have been developed by the Joint Bi-Level Experts Group, which is a joint committee of ITU-T, ISO, and IEC. These standards provide much higher compression as well as progressive transmission. The JBIG2 standard even allows for lossy compression of facsimile documents. The compression approach used in the JBIG standard is based on arithmetic coding. The standard describes a progressive transmission approach in which the context for encoding of a pixels can be made up of pixels from the neighbors of the pixel in the current layer or a lower resolution layer.

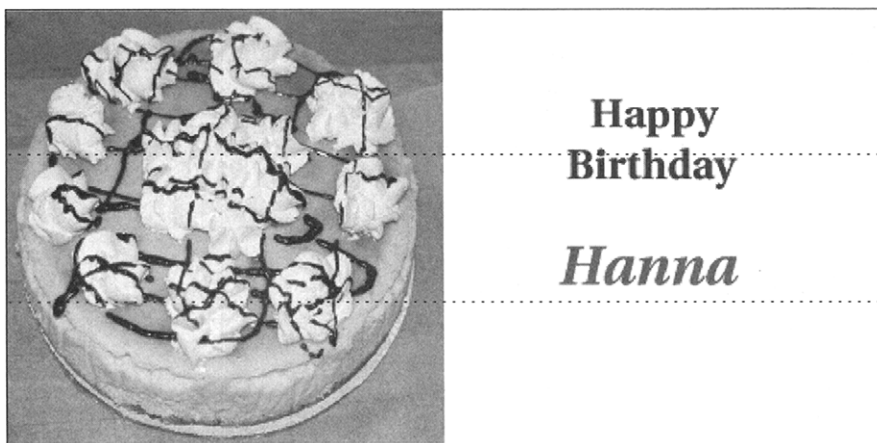
The more recent JBIG2 standard reflects the evolving nature of documents that increasingly contain images. The document to be encoded is segmented into *halftone regions*, *symbol regions*, and *generic regions*. Halftone regions are parts of the document containing halftone images, symbol regions are parts of the document containing text symbols, and the generic regions are regions that do not fit into either of the first two categories. Each region is encoded using techniques appropriate to the type of structures present in the data corresponding to the region.

Details on both the JBIG and the JBIG2 standards can be found in Chapter 17.

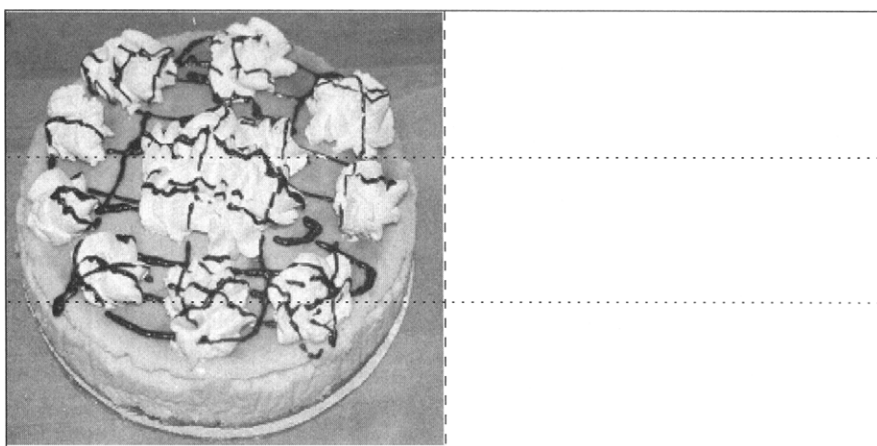
20.3.4 MRC—T.44

As society develops and evolves so do documents. In recent years, documents contain more and more multicolored text and images. When such documents are converted to bilevel images, there can be considerable loss of resolution. This level of quality can be unacceptable to consumers. To deal with the changing nature of the document and consumer expectations the ITU-T has accepted the Mixed Raster Content (MRC) approach as a new standard for facsimile transmission.

The MRC approach recognizes the fact that no compression scheme works well with both continuous tone images and text. The JPEG standard and the new JPEG2000 standard provide high compression with excellent quality for natural images. However, if the text portion of the document is compressed to the same level, there is a loss of crispness in text. The JBIG and JBIG2 standards provide excellent compression for text but they do not provide a very high level of compression for continuous tone images. Recognizing this fact the MRC strategy is to decompose the facsimile image into three layers (there is an option to increase the number of layers). The *background layer* consists of the portions of the document that consist of continuous tone images. The *foreground layer* consists of portions of the image containing text or line art.

**FIGURE 20.5**

Test image. (See also color insert.)

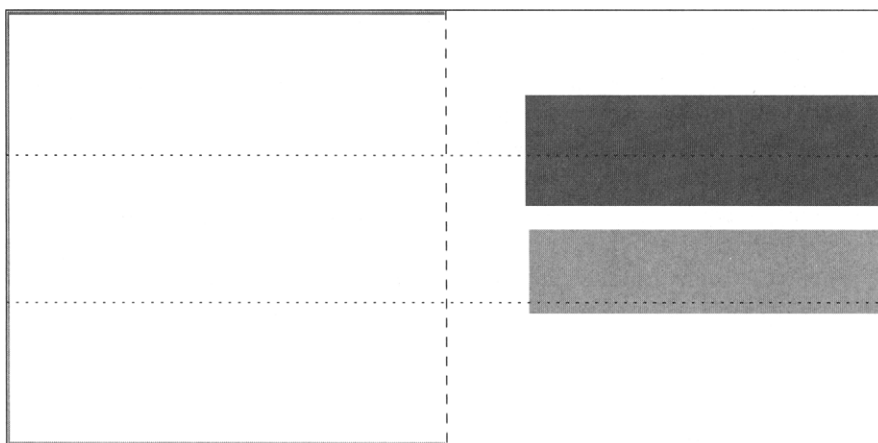
**FIGURE 20.6**

Background for the test image. (See also color insert.)

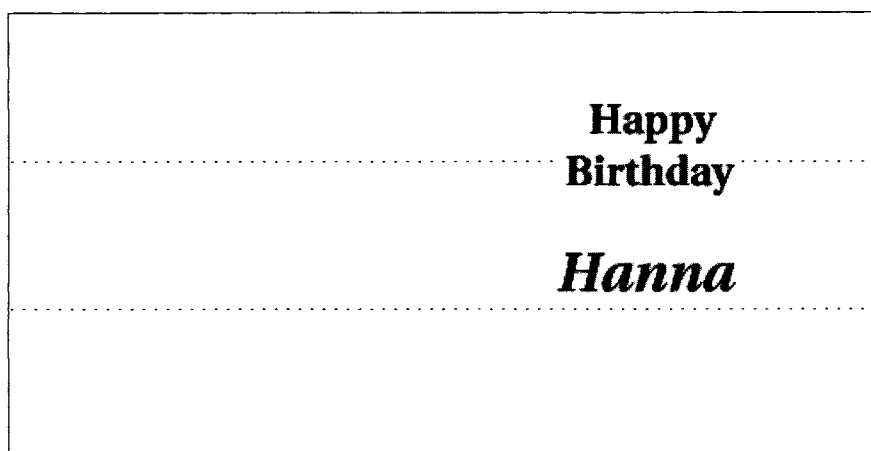
A bilevel mask layer provides directions for the reconstruction of the image. A black pixel (value of 1) indicates that that particular pixel in the reconstructed image should come from the foreground layer and a white pixel indicates that the pixel in that location in the reconstructed image should come from the background layer.

As an example consider the document shown in Fig. 20.5 (see also color insert). The left half of the picture contains a continuous tone image, while the right half of the image contains multicolored text (we will describe the meaning of the dashed and dotted lines in this and the following figures later in the chapter). The background layer, foreground, and mask layers for this document are shown in Fig. 20.6–20.8 (see also color insert for Figs. 20.6 and 20.7).

Because of memory restrictions in the coder, the document can be partitioned into stripes. As an example we have partitioned the test document shown into three stripes. Notice that not all stripes in Fig. 20.5 contain both foreground and background layers. Furthermore notice that in the

**FIGURE 20.7**

Foreground for the test image. (See also color insert.)

**FIGURE 20.8**

Mask for the test image.

foreground and background not all of the stripe is occupied by picture or text. To take advantage of these the recommendation allows three kinds of stripes: three-layer stripes (3LS), two-layer stripes (2LS), and one-layer stripes (1LS). The three-layer stripes contain mask foreground and background layers. The mask layer is transmitted first followed by the background and then the foreground layer. The two-layer stripes contain the mask layer and either the foreground or the background layer. The layer not included is set to a constant value. This type of stripe is useful when the stripe contains monochrome text or line art and a continuous tone image or no image and multicolored text. In this case also the mask layer is transmitted first followed by the foreground or background layer. The one-layer stripe is useful when the stripe contains only a continuous tone image or monochrome text or line art. This is the case for the lowest stripe in the test image.

Only the mask layer is required to span the entire width of the stripe. The other layers can be specified to cover only part of the width of the strip. In the example shown in the figures, in the background stripe the data to the right of the dashed line need not be encoded.

The mask layer can be coded using Modified Huffman (T.4), Modified READ (T.4), Modified READ (T.6), or JBIG (T.82). The coder used is specified in the set of bytes starting with the 13th byte in the Start of Page marker segment. Currently with only these four coding schemes the coder can be specified by setting one of the bits in a single byte. If the number of encoders gets to be greater than or equal to 7, there will be a need for more than a single byte. The other two layers can be encoded using either JPEG (T.81) or JBIG (T.82). The encoding method used is specified in the byte(s) following the bytes that specify the coder for the mask layer in the Start of Page marker segment.

Unlike previous standards there is an inherent assumption in T.44 and the MRC approach that there will be new developments in compression and in the nature of documents. This flexibility should make it a valuable standard for years to come.

20.3.5 Other Standards

There are a number of other facsimile standards including military and NATO (STANAG) standards. However, they are principally variations of the standards described above. With the increased use of the Internet for a variety of communication activities previously restricted to other channels, the Internet Engineering Task Force has been working on a standard for Internet fax. The emerging standard is popularly known as TIFF-FX. This standard allows for inserting data encoded using the facsimile standards described above into the tagged image file format. The TIFF-FX standard can be viewed as a superset of all the standards described in this chapter. Its various profiles support T.4, T.6, T.44, and T.82 as well as JPEG (T.81).

20.4 FURTHER READING

- The JBIG and JBIG2 standards are described in Chapter 17.
- Huffman coding and arithmetic coding are described in Chapters 4 and 5, respectively.
- The various ITU standards themselves are quite readable and are the ultimate source for information.

20.5 REFERENCES

1. Yasuda, Y., 1980. Overview of digital facsimile coding techniques in Japan. *IEEE Proceedings*, Vol. 68, pp. 830–845, July 1980.
2. Pasco, R., 1976. *Source Coding Algorithms for Fast Data Compression*, Ph.D. thesis, Stanford University.
3. Rissanen, J. J., 1976. Generalized Kraft inequality and arithmetic coding. *IBM Journal of Research and Development*, Vol. 20, pp. 198–203, May 1976.