# Off-Whitepaper

## **Ethereum**

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Beautiful is better than ugly.

Explicit is better than implicit.

Simple is better than complex.

Complex is better than complicated.

The Zen of Python

### Abstract

The goal of this paper<sup>a</sup> is to create and expand concepts from Ethereum about which, notwithstanding any earlier documentation, there may be some justified confusion. We use pseudocode rather than mathematical notation to describe Ethereum's operation, because pseudocode has many advantages when describing Abstract State Machines,<sup>b</sup> like Ethereum. This paper takes an approach to describing Ethereum that focuses on clarity and approachability. Our prime source is the Ethereum Yellowpaper, but supplemental knowledge and crucial points from other sources have also been used for the reader's benefit.

<sup>&</sup>lt;sup>a</sup>Formally, Blanched-Almond Paper

<sup>&</sup>lt;sup>b</sup>E. Borger and S. Robert F., Abstract state machines: A method for high-level system design and analysis. 1, pp. 3-8. Springer, 2003.

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## 1. Native Currency & Mining

Because Ethereum is turing-complete, there needs to be a network price unit to mitigate the possibility of abusing the network with excessive computational expenditures. The smallest unit of currency in Ethereum is the Wei, which is equal to  $\Xi 10^{-18}$ . All currency transactions in Ethereum are counted in Wei. There is also the Szabo, which is  $\Xi 10^{-6}$ , and the Finney, which is  $\Xi 10^{-3}$ .

Unit	Ether	Wei
Ether	Ξ1.0000000000000000000	1,000,000,000,000,000,000
Finney	Ξ0.0010000000000000000	1,000,000,000,000,000
Szabo	Ξ0.000001000000000000	1,000,000,000,000
Wei	Ξ0.000000000000000001	1

## 1.1. Mining

## 1.1.1. Total Difficulty

The *Total Difficulty* of a block is defined recursively by a function which calculates the difficulty of all blocks prior to the header in the present block.

Pseudocode	Definition
<pre>presentstate(total.difficulty)</pre>	Total difficulty of this block.
<pre>presentstate(block.parent)</pre>	This block's parent block.
<pre>presentstate(block.difficulty)</pre>	This block's difficulty.

## 2. Memory and Storage

## 2.1. Data Structures

#### 2.1.1. Merkle-Patricia Trees

Merkle Derkle Fee-Fi-Fo-Ferkle Merkle-patricia-tries

### 2.1.2. World State

Also known as *Actual State*, this is a MAPPING of addresses and account states through the use of RLP. The mapping is stored as a Merkle-Patricia trie in a DATABASE BACKEND. a that maintains a mapping

of bytearrays to bytearrays. The cryptographic internal data going back to the root node represents the *State* of the Blockchain at any given root, i.e. at any given *time*. As a whole, the state is the sum total of database relationships in the **state database**. The state is an inert position on the chain, a position between prior state and post state; a block's frame of reference, and a defined set of relationships to that frame of reference.

### 2.1.3. The Block

Off-Whitepaper: Ethereum

A block is made up of 17 different elements. The first 15 elements are part of what is called the *block* header.

### 2.1.4. Block Header

Notation : header

**Description**: The information contained in a block besides the transactions list. This consists of:

- 1. Parent Hash This is the Keccak-256 hash of the parent block's header.
- 2. Ommers Hash This is the Keccak-256 hash of the ommer's list portion of this block.
- 3. Beneficiary This is the 20-byte address to which all block rewards are transferred.
- State Root This is the Keccak-256 hash of the root node of the state trie, after a block and its transactions are finalized.
- 5. Transactions Root This is the Keccak-256 hash of the root node of the trie structure populated with each transaction from a Block's transaction list.
- Receipts Root This is the Keccak-256 hash
  of the root node of the trie structure populated
  with the receipts of each transaction in the transactions list portion of the block.
- 7. Logs Bloom This is the bloom filter composed from indexable information (log address

<sup>&</sup>lt;sup>a</sup>The database backend is accessed by users through an external application, most likely an Ethereum client; see also: state database <sup>b</sup>A bytearray is specific set of bytes [data] that can be loaded into memory. It is a structure for storing binary data, e.g. the contents of a

<sup>&</sup>lt;sup>c</sup>This permanent data structure makes it possible to easily recall any previous state with its root hash keeping the resources off-chain and minimizing on-chain storage needs.

and log topic) contained in the receipt for each transaction in the transactions list portion of a block.

- Difficulty This is the difficulty of this block a quantity calculated from the previous block's difficulty and its timestamp.
- 9. Number This is a quantity equal to the number of ancestor blocks behind the current block.
- 10. Gas Limit This is a quantity equal to the current maximum gas expenditure per block.
- 11. Gas Used This is a quantity equal to the total gas used in transactions in this block.
- 12. **Timestamp** This is a record of Unix's time at this block's inception.
- 13. Extra Data This byte-array of size 32 bytes or less contains extra data relevant to this block.
- 14. Mix Hash This is a 32-byte hash that verifies a sufficient amount of computation has been done on this block.
- Nonce This is an 8-byte hash that verifies a sufficient amount of computation has been done on this block.
- Ommer Block Headers These are the same components listed above for any ommers.

### 2.1.5. Block Footer

17. Transaction Series – This is the only non-header content in the block.

## 2.2. State Database

## 2.2.1. RLP

**Notation** : rlp

**Description**: RLP encodes arrays of nested binary data to an arbitrary depth; it is the main serialization method for data in Ethereum. RLP encodes mainly structure and does not pay heed to what type of data it is encoding.

Positive RLP integers are represented with the most significant value stored at the lowest memory adddress (big endian) and without any leading zeroes. As a result, the RLP integer value for 0 is represented by an

empty byte-array. If a non-empty describined integer begins with leading zeros it is invalid.<sup>1</sup>

The global state database is encoded as RLP for fast traversal and inspection of data. In structure it constitutes a mapping between addresses and account states. Since it is stored on node operator's computers, the tree can be traversed speedily and without network delay. RLP encodes values as byte-arrays, or as sequences of further values.<sup>2</sup>

This means that:

```
\begin{array}{llll} & \text{if} & \text{rlp(x)} & = & \text{bytearray} \\ & \text{then} & \text{rlp(bytearray)} & = & \text{true} \\ & \text{elif} & \text{rlp(x)} & = & \text{value} \\ & \text{then} & \text{rlp(value)} & = & \text{true} \\ & \text{elif} & \text{rlp(x)} & = & \text{null} \\ & \text{then} & \text{rlp(x)} & = & \text{false} \\ \end{array}
```

1. If the RLP-serialized byte-array contains a single byte integer value less than 128, then the output is exactly equal to the input.

In other words:

### 2.2.2. Account State

**Notation**: body

**Description**: The EVM-code fragment that executes each time an account receives a message call.

**Description**: The account state is made up of four variables:

- nonce The number of transactions sent from this address, or the number of contract creations made by the account associated with this address.
- 2. balance The number of Wei owned by this address.
- 3. storage\_root A 256-bit (32-byte) hash of the root node of a Merkle Patricia tree that encodes the storage contents of the account.
- 4. The storage root aspect of an account's state is the hash of the trie

5. **code\_hash** The hash of the EVM code of this account's contract.

The account state is the state of any particular account during some specified world state.

**Nonce** The **nonce** aspect of an ACCOUNT'S STATE is the number of transactions sent from, or the number of contract-creations by, the address of that account.<sup>a</sup>

Storage Root The storage root aspect of an ACCOUNT'S STATE is the hash of the trieb

Code Hash The code hash aspect of an ACCOUNT'S STATE is the HASH OF THE EVM CODE of this account. Code hashes are STORED in the state database. Code hashes are permanent and they are executed when the address belonging to that account RECEIVES a message call.

**Balance** The amount of **Wei** OWNED by this account.

- Key/value pair stored inside the root hash.
- $L_I^*$ , is defined as the element-wise transformation of the base function
- ullet The element-wise transformation of the basefunction refers to all of the key/value pairs in  $L_I$
- $L_I$  refers to a particular trie.

## 2.3. Bloom Filter

**Notation** : logs\_bloom

**Description**: The Bloom Filter is composed from indexable information (logger address and log topics) contained in each log entry from the receipt of each transaction in the transactions list.

#### 2.3.1. Transaction Receipts

## 3. Processing and Computation

## 3.1. State Transition Function

State Transitions come about through a what is known as the State Transition Function; this is an abstraction of several operations in Ethereum which comprise the overall act of computing changes to the machine state prior to adding them to the world state, that is, through them being finalized and rewards applied to a given miner. apply\_rewards and block\_beneficiary are here. subsectionMining

**Block Beneficiary** The 160-bit (20-byte, or 20-character) address to which all fees collected from the successful mining of a block are transferred.

Apply Rewards The third process in block\_finalization that sends the mining reward to an account's address. A scalar value corresponding to the difficulty level of a current block. This can be calculated from the previous block's difficulty level and the timestamp.

### 3.1.1. Ethash

## **GHOST Protocol**

## 3.2. Verification

Verifies Ommer headers

#### 3.2.1. Ommers

#### **Ommershash**

#### 3.3. Transactions

The basic method for Ethereum accounts to interact with eachother. Transactions lie at the heart of Ethereum, and are entirely responsible for the dynamism and flexibility of the platform. Transactions are the bread and butter of state transitions, that is of block additions, which contain all of the computation

 $<sup>^{\</sup>mathrm{a}}\sigma$  is the world state at a certain given time, and n is the number of transactions or contract creations by that account.

<sup>&</sup>lt;sup>b</sup>A particular path from root to leaf in the state database that encodes the STORAGE CONTENTS of the account.

performed in one block. Each transaction applies the execution changes to the *machine state*, a temporary state which consists of all the temporary changes in computation that must be made before a block is finalized and added to the world state.

Notation : sender

**Description**: A function that maps transactions to their sender using ECDSA of the SECP-256k1 curve, (excepting the latter three signature fields) as the datum to sign. The sender of a given transaction can be represented: transaction.sender

#### 3.4. Execution

**Description**: The execution of a transaction defines the state transition function: stf. However, before any transaction can be executed it needs to go through the initial tests of intrinsic validity.

## 3.4.1. Intrinsic Validity

The criteria for intrinsic validity are as follows:

- The transaction follows the rules for well-formed RLP (recursive length prefix.)
- The signature on the transaction is valid.
- The *nonce* on the transaction is valid, i.e. it is equivalent to the sender account's current nonce.
- The gas\_limit is greater than or equal to the intrinsic\_gas used by the transaction.
- The sender's account balance contains the cost required in up-front payment.

Accordingly, the post-transactional state of Ethereum is expressed thus:

transaction(post.state) = stf(present.state, transaction)

While the amount of gas used in the execution is expressed: stf(gas\_used) and the accrued log items belonging to the transaction are expressed: stf(logsbloom, content)(logsbloom, set) Information concering the result of a transaction's execution is stored in the transaction receipt tx\_receipt. The set of log events which are created through the

execution of the transaction, logs\_set in addition to the bloom filter which contains the actual information from those log events logs\_bloom are located in the transaction receipt. In addition, the post-transaction state post\_transaction(state) and the amount of gas used in the block containing the transaction receipt post(gas\_used) are stored in the transaction receipt. Thusly the transaction receipt is a record of any given execution.

A valid transaction execution begins with a permanent change to the state: the nonce of the sender account is increased by one and the balance is decreased by the collateral\_gas<sup>a</sup> which is the amount of gas a transaction is required to pay prior to its execution. The original transactor will differ from the sender if the message call or contract creation comes from a contract account executing code.

After a transaction is executed, there comes a PRO-VISIONAL STATE:

post\_execution(provisional.state)

Gas used for the execution of individual EVM opcodes prior to their potential addition to the world\_state creates the provisional state. productive\_gas, and an associated substate substate\_a.

Code execution always depletes gas. If gas runs out, an out-of-gas error is signaled (oog) and the resulting state defines itself as an empty set; it has no effeffect on the world state. This describes the transactional nature of Ethereum. In order to affect the WORLD STATE, a transaction must go through completely or not at all.

### 3.4.2. Execution Model

**Description**: The stack-based virtual machine which lies at the heart of the Ethereum and performs the actions of a computer. This is actually an instantial runtime that executes several substates, as EVM computation instances, before adding the finished result, all calculations having been completed, to the final state via the finalization function.

In addition to the system state  $\sigma$ , and the remaining gas for computation g, there are several pieces of important information used in the execution environ-

<sup>&</sup>lt;sup>a</sup>Designated "intrinsic\_gas" in the Yellowpaper

ment that the execution agent must provide; these are contained in the tuple I:

- account\_address, the address of the account which owns the code that is executing.
- sender\_address the sender address of the transaction that originated this execution.
- originator\_price the price of gas in the transaction that originated this execution.
- input\_data, a byte array that is the input data to this execution; if the execution agent is a transaction, this would be the transaction data.
- account\_address the address of the account which caused the code to be executing; if the execution agent is a transaction, this would be the transaction sender.
- newstate\_value the value, in Wei, passed to this account if the execution agent is a transaction, this would be the transaction value.<sup>2</sup>
- code.array the byte array that is the machine code to be executed.<sup>2</sup>
- samestate\_header the block header of the present block.
- the stack depth the depth of the present message-call or contract-creation (i.e. the number of CALLs or CREATEs being executed at present).<sup>2</sup>

## 3.5. Substate

**Description**: A smaller, temporary state that is generated during transaction execution. It contains three sets of data:

- The accounts tagged for self-destruction following the transaction's completion. self\_destruct(accounts)
- The logs\_series, which creates checkpoints in EVM code execution for frontend applications to explore, and is made up of thelogs\_set and logs\_bloom from the tx\_receipt.
- The refund balance.a

### 3.5.1. Message Calls

#### Description :

Notation : message\_call

**Description**: A message call can come from a transaction or internally from contract code execution. It contains the field DATA, which consists of user input to a message call. Messages allow communication between accounts (whether contract or external,) and are a carryover from established concepts in Computer Science, most notably the MPI: Message-Passing Framework. Messages can come in the form of msg\_calls which give output data. If an account has EVM code in it (a contract account,) this code gets executed when the account receives a message call. Message calls and contract creations are both transactions, but contract creations are never considered the same as message calls. Message calls always transfer some amount of value to an account. If the message call is an account creation transaction then the value given is takes on the role of an endowment toward the new account. Every time an account receives a message call it returns the body, something which is triggered by the init function. A message call can come through a transaction, or through the internal execution of code. Message call transactions only contain data. They are separate from regular, standard transactions.

Message calls always have a universally agreed-upon cost in gas. There is a strong distinction between contract creation transactions and message call transactions. Computation performed, whether it is a contract creation or a message call, represents the currently legal valid state. There can be no invalid transactions from this point.<sup>2</sup> There is also a message call/contract creation *stack*. This stack has a depth, depending on how many transactions are in it. Contract creations and message calls have entirely different ways of executing, and are entirely different in their roles in Ethereum. The concepts can be conflated. Message calls can result in computation that occurs in the next state rather than the current one. If an account that is currently executing receives a mes-

<sup>&</sup>lt;sup>a</sup>The SSTORE operation increases the amount refunded by resetting contract storage to zero from some non-zero state.

sage call, no code will execute, because the account might exist but has no code in it yet. To execute a message call transactions are required:

- Sender
- Transaction\_Originator
- Recipient
- Account (usually the same as the recipient)
- Available\_Gas
- Value
- Gas\_Price
- An arbitrary length byte-array. arb\_array
- Present\_Depth of the message call/contract creation stack.

Notation : data

**Description**: User data input to a message\_call, structured as an unlimited size byte-array.

#### 3.5.2. Contract Creation

**Notation** : init

**Description**: When INIT is executed it returns the BODY. Init is executed only once at ACCOUNT\_CREATION, and permanently discarded after that. Contract creation transactions are equal the recursive length prefix of an empty byte-sequence.

## 3.5.3. Account Creation

## 3.6. Halting

## **Execution Environment**

Notation : ERE

**Description**: The environment under which an Autonomous Object executes in the EVM: the EVM runs as a part of this environment.

Notation : big\_endian\_f

**Description** :BIG ENDIAN FUNCTION This function expands a positive-integer value to a big-endian byte array of minimal length. When accompanied by a · operator, it signals sequence concatenation. The big\_endian function accompanies RLP serialization and description.

### 3.7. Gas

**Description**: The fundamental network cost unit converted to and from Ether as needed to complete the transaction while it is sent. Gas is arbitrarily determined at the moment it is needed, by the block and according to the miners decision to charge certain fees.

**Miner Choice** Miners choose which gas prices they want to accept.

#### Gasprice

**Notation** : gas\_limit

**Description**: A value equal to the current limit of gas expenditure per block, according to the miners.

**Gaslimit** Any unused gas is refunded to the user.

### Gasused

**Description**: A value equal to the total gas used in transactions in this block.

### 3.7.1. Machine State

The machine state is a tuple consisting of five elements:

- 1. gas\_available
- 2. program\_counter
- 3. memory\_contents A series of zeroes of size  $2^{256}$
- 4. memory\_words.count
- 5. stack\_contents

There is also, [to\_execute]: the current operation to be executed

### 3.7.2. Exceptional Halting

An exceptional halt may be caused by a handful of boolean values:

forall instruction.x
if empty\_gas = true
then signal exceptional\_halt
elif invalid\_instruction = true
then signal exceptional\_halt
elif undersize\_stack = true
then signal exceptional\_halt
elif bad\_jumpdest = true
then signal exceptional\_halt
else exec instruction.x
then signal controlled\_halt

No instruction can, through its execution, cause an exceptional halt. They can only happen if some instruction, for whatever reason, fails to execute.

- The amount of remaining gas in each transaction is extracted from information contained in the machine\_state
- A simple iterative recursive loop<sup>2</sup> with a boolean value:

true indicating that in the run of computation, an exception was signaled

false indicating in the run of computation, exceptions were signaled. If this value remains false for the duration of the execution until the set of transactions becomes a series (rather than an empty set.) This means that the machine has reached a controlled halt.

**Substate** The substate is an emergent, everchanging ball of computational energy that is about to be applied to the main state. It is the *meta state* by which transactions are decided valid and to be added to the blockchain.

### 3.7.3. EVM Code

The bytecode that the EVM can natively execute. Used to explicitly specify the meaning of a message to an account.

Notation : contract

**Description**: A piece of EVM Code that may be associated with an Account or an Autonomous Object.

### 3.7.4. Opcodes/EVM Assembly

The human readable version of EVM code. But what exactly are these computer instructions that can be executed with the same level of veracity and certainty as Bitcoin transactions? How do they come about, what makes them up, how are they kept in order, and what makes them execute? The first part of answering this question is understanding opcodes. In traditional machine architectures, you may not be introduced to working with processor-level assembly instructions for some time. In Ethereum however, they are essential to understanding the protocol because they are the most minute and subtle (yet HUGELY important) things going on in the Ethereum Blockchain at any moment, and they are the real "currency," that Ethereum trades in. I'll explain what I mean by that in a minute. First, let's go over a few Opcodes:<sup>a</sup>

The STOP Opcode is used in order to stop a computation once it has completed, or to halt a computation if it has run out of gas. The ADD, MUL, SUB, and DIV operations are addition, multiplication, subtraction and division operations. The In/Out columns refer to inputs (to potential\_state), the state which decides every new actual\_state.

<sup>&</sup>lt;sup>a</sup>A full list of Opcodes is in Appendix B

# A. Opcodes

## A.1. 0x10's: Comparisons and Bitwise Logic Operations

Data	Opcode	Gas	Input	Output	Description
0x00	STOP	0	0	0	Halts execution.
0x01	ADD	3	2	1	Addition operation.
0x02	MUL	5	2	1	Multiplication operation.
0x03	SUB	3	2	1	Subtraction operation.
0x04	DIV	5	2	1	Integer division operation.
0x05	SDIV	5	2	1	Signed integer division operation (trun-
					cated.)
0x06	MOD	5	2	1	Modulo remainder operation.
0x07	SMOD	5	2	1	Signed modulo remainder operation.
0x08	ADDMOD	8	3	1	Modulo addition operation.
0x09	MULMOD	8	3	1	Modulo multiplication operation.
0x0a	EXP	10	2	1	Exponential operation.
0x0b	SIGNEXTEND	5	2	1	Extend the length of two's complemen-
					tary signed integer.
0x10	$\operatorname{LT}$	3	2	1	Less-than comparison.
0x11	$\operatorname{GT}$	3	2	1	Greater-than comparison.
0x12	SLT	3	2	1	Signed less-than comparison.
0x13	SGT	3	2	1	Signed greater-than comparison.
0x14	EQ	3	2	1	Equality comparison.
0x15	ISZERO	3	1	1	Simple not operator.
0x16	AND	3	2	1	Bitwise AND operation.
0x17	OR	3	2	1	Bitwise OR operation.
0x18	XOR	3	2	1	Bitwise XOR operation.
0x19	NOT	3	1	1	Bitwise NOT operation.
0x1a	BYTE	3	2	1	Retrieve single byte from word.

## A.2. 0x20's: SHA3

Data	Opcode	Gas	Input	Output	Description
0x20	SHA3	30	2	1	Compute a Keccak-256 hash.

## A.3. 0x30's: Environmental Information

Data	$\mathbf{Opcode}$	$\mathbf{Gas}$	Input	Output	Description
0x30	ADDRESS	2	0	1	Get the address of the currently execut-
					ing account.
0x31	BALANCE	400	1	1	Get the balance of the given account.
0x32	ORIGIN	2	0	1	Get execution origination address. This
					is always the original sender of a trans-
					action, never a contract account.

0x33	CALLER.	2	0	1	Get caller address. This is the address of
	011221	_	, and the second	-	the account that is directly responsible
					for this execution.
0x34	CALLVALUE	2	0	1	Get deposited value by the instruc-
					tion/transaction responsible for this ex-
					ecution.
0x35	CALLDATALOAD	3	1	1	Get input data of the current environ-
					ment.
0x36	CALLDATASIZE	2	0	1	Get size of input data in current environ-
					ment. This refers to the optional data
					field that can be passed with a message
					call instruction or transaction.
0x37	CALLDATACOPY	3	3	0	Copy input data in the current envi-
					ronment to memory. This refers to the
					optional data field passed with the mes-
					sage call instruction or transaction.
0x38	CODESIZE	2	0	1	Get size of code running in the current
					environment.
0x39	CODECOPY	3	3	0	Copy the code running in the current
					environment to memory.
0x3a	GASPRICE	2	0	1	Get the price of gas in the current envi-
					ronment. This is the gas price specified
					by the originating transaction.
0x3b	EXTCODESIZE	700	1	1	Get the size of an account's code.
0x3c	EXTCODECOPY	700	4	0	Copy an account's code to memory.
0x3d	RETURNDATASIZE		0	1	
0x3e	RETURNDATACOP	Y3	3	0	

## A.4. 0x40 to 0x45: Block Data

Data	Opcode	Gas	Input	Output	Description
0x40	BLOCKHASH	20	1	1	Get the hash of one of the 256 most
					recent blocks. a
0x41	COINBASE	2	0	1	Look up a block's beneficiary address
					by its hash.
0x42	TIMESTAMP	2	0	1	Look up a block's timestamp by its hash.
0x43	NUMBER	2	0	1	Look up a block's number by its hash.
0x44	DIFFICULTY	2	0	1	Look up a block's difficulty by its hash.
0x45	GASLIMIT	2	0	1	Look up a block's gas limit by its hash.

# A.5. Byte value 0x50's: Stack, memory, storage, and flow operations.

Data	$\mathbf{Opcode}$	Gas I	nput Output	Description
------	-------------------	-------	-------------	-------------

<sup>&</sup>lt;sup>a</sup>A value of 0 is left on the stack if the block number is more than 256 in number behind the current one, or if it is a number greater than the current one.

0x50	POP	2	1	0	Removes an item from the stack.
0x51	MLOAD	3	1	1	Load a word from memory.
0x52	MSTORE	3	2	0	Save a word to memory.
0x53	MSTORE8	3	2	0	Save a byte to memory.
0x54	SLOAD	200	1	1	Load a word from storage.
0x55	SSTORE	0	2	0	Save a word to storage.
0x56	JUMP	8	1	0	Alter the program counter.
0x57	JUMPI	10	2	0	Conditionally alter the program
					counter.
0x58	PC	2	0	1	Look up the value of the program
					counter prior to the increment result-
					ing from this instruction.
0x59	MSIZE	2	0	1	Get the size of active memory in bytes.
0x5a	GAS	2	0	1	Get the amount of available gas, includ-
					ing the corresponding reduction for the
					cost of this instruction.
0x5b	JUMPDEST	1	0	0	Mark a valid destination for jumps. a

# A.6. 0x60 to 0x7f: Push Operations

Data	Opcode	Gas	Input	Output	Description
0x60	PUSH1	-	0	1	Place a 1-byte item on the stack.
0x61	PUSH2	-	0	1	Place a 2-byte item on the stack.
0x62	PUSH3	-	0	1	Place a 3-byte item on the stack.
0x63	PUSH4	-	0	1	Place a 4-byte item on the stack.
0x64	PUSH5	-	0	1	Place a 5-byte item on the stack.
0x65	PUSH6	-	0	1	Place a 6-byte item on the stack.
0x66	PUSH7	-	0	1	Place a 7-byte item on the stack.
0x67	PUSH8	-	0	1	Place a 8-byte item on the stack.
0x68	PUSH9	-	0	1	Place a 9-byte item on the stack.
0x69	PUSH10	-	0	1	Place a 10-byte item on the stack.
0x6a	PUSH11	-	0	1	Place a 11-byte item on the stack.
0x6b	PUSH12	-	0	1	Place a 12-byte item on the stack.
0x6c	PUSH13	-	0	1	Place a 13-byte item on the stack.
0x6d	PUSH14	-	0	1	Place a 14-byte item on the stack.
0x6e	PUSH15	-	0	1	Place a 15-byte item on the stack.
0x6f	PUSH16	-	0	1	Place a 16-byte item on the stack.
0x70	PUSH17	-	0	1	Place a 17-byte item on the stack.
0x71	PUSH18	-	0	1	Place a 18-byte item on the stack.
0x72	PUSH19	-	0	1	Place a 19-byte item on the stack.
0x73	PUSH20	-	0	1	Place a 20-byte item on the stack.
0x74	PUSH21	-	0	1	Place a 21-byte item on the stack.
0x75	PUSH22	-	0	1	Place a 22-byte item on the stack.

<sup>&</sup>lt;sup>a</sup>This operation has no effect on the machine\_state during execution.

0x76	PUSH23	-	0	1	Place a 23-byte item on the stack.
0x77	PUSH24	-	0	1	Place a 24-byte item on the stack.
0x78	PUSH25	-	0	1	Place a 25-byte item on the stack.
0x79	PUSH26	-	0	1	Place a 26-byte item on the stack.
0x7a	PUSH27	-	0	1	Place a 27-byte item on the stack.
0x7b	PUSH28	-	0	1	Place a 28-byte item on the stack.
0x7c	PUSH29	-	0	1	Place a 29-byte item on the stack.
0x7d	PUSH30	-	0	1	Place a 30-byte item on the stack.
0x7e	PUSH31	-	0	1	Place a 31-byte item on the stack.
0x7f	PUSH32	-	0	1	Place a 32-byte item on the stack.

# A.7. 0x80 to 0x8f: Duplication Operations

Data	Opcode	Gas	Input	Output	Description
0x80	DUP1	-	1	2	Duplicate the 1st item in the stack.
0x81	DUP2	-	2	3	Duplicate the 2nd item in the stack.
0x82	DUP3	-	3	4	Duplicate the 3rd item in the stack.
0x83	DUP4	-	4	5	Duplicate the 4th item in the stack.
0x84	DUP5	-	5	6	Duplicate the 5th item in the stack.
0x85	DUP6	-	6	7	Duplicate the 6th item in the stack.
0x86	DUP7	-	7	8	Duplicate the 7th item in the stack.
0x87	DUP8	-	8	9	Duplicate the 8th item in the stack.
0x88	DUP9	-	9	10	Duplicate the 9th item in the stack.
0x89	DUP10	-	10	11	Duplicate the 10th item in the stack.
0x8a	DUP11	-	11	12	Duplicate the 11th item in the stack.
0x8b	DUP12	-	12	13	Duplicate the 12th item in the stack.
0x8c	DUP13	-	13	14	Duplicate the 13th item in the stack.
0x8d	DUP14	-	14	15	Duplicate the 14th item in the stack.
0x8e	DUP15	-	15	16	Duplicate the 15th item in the stack.
0x8f	DUP16	-	16	17	Duplicate the 16th item in the stack.

# A.8. 90s: Swap Operations

Data	Opcode	Gas	Input	Output	Description
0x90	SWAP1	-	2	2	Exchange the 1st and 2nd stack items.
0x91	SWAP2	-	3	3	Exchange the 1st and 3rd stack items.
0x92	SWAP3	-	4	4	Exchange the 1st and 4th stack items.
0x93	SWAP4	-	5	5	Exchange the 1st and 5th stack items.
0x94	SWAP5	-	6	6	Exchange the 1st and 6th stack items.
0x95	SWAP6	-	7	7	Exchange the 1st and 7th stack items.
0x96	SWAP7	-	8	8	Exchange the 1st and 8th stack items.
0x97	SWAP8	-	9	9	Exchange the 1st and 9th stack items.
0x98	SWAP9	-	10	10	Exchange the 1st and 10th stack items.
0x99	SWAP10	-	11	11	Exchange the 1st and 11th stack items.
0x9a	SWAP11	-	12	12	Exchange the 1st and 12th stack items.

0x9b	SWAP12	-	13	13	Exchange the 1st and 13th stack items.
0x9c	SWAP13	-	14	14	Exchange the 1st and 14th stack items.
0x9d	SWAP14	-	15	15	Exchange the 1st and 15th stack items.
0x9e	SWAP15	-	16	16	Exchange the 1st and 16th stack items.
0x9f	SWAP16	-	17	17	Exchange the 1st and 17th stack items.

# A.9. 0xa0 to 0xa4: Logging Operations

Data	$\mathbf{Opcode}$	$\mathbf{Gas}$	Input	Output	Description
0xa0	LOG0	375	2	0	Append log record with 0 topics.
0xa1	LOG1	750	3	0	Append log record with 1 topic.
0xa2	LOG2	1125	4	0	Append log record with 2 topic.
0xa3	LOG3	1500	5	0	Append log record with 3 topic.
0xa4	LOG4	1875	6	0	Append log record with 4 topic.

# A.10. 0xf0's: System Operations

Data	Opcode	Gas	Input	Output	Description
0xf0	CREATE	32000	3	1	Create a new contract account.
					Operand order is: value, input offset,
					input size.
0xf1	CALL	700	7	1	Message-call into an account. The
					operand order is: gas, to, value, in offset,
					in size, out offset, out size.
0xf2	CALLCODE	700	7	1	Message-call into this account with an al-
					ternative account's code. Exactly equiv-
					alent to CALL, except the recipient is
					the same account as at present, but the
					code is overwritten.
0xf3	RETURN	0	2	0	Halt execution, then return output data.
					This defines the output at the moment
					of the halt.
0xf4	DELEGATECALL	700	6	1	Message-call into this account with an
					alternative account's code, but with per-
					sisting values for sender and value.
					DELEGATECALL takes one less argu-
					ment than CALL. This means that the
					recipient is in fact the same account as
					at present, but that the code is overwrit-
					ten and the context is almost entirely
					identical.
0xf5	CALLBLACKBOX	40	7	1	-
0xfa	STATICCALL	40	6	1	-
0xfd	REVERT	0	2	0	-
0xfe	INVALID	-	1	0	Designated invalid instruction.

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0xff SELFDESTRUCT 5000 1 0 Halt execution and register the account for later deletion.

# B. Higher Level Languages

## B.1. Lower-Level Lisp

The Lisp-Like low level language: a human-writable language used for authoring simple contracts and trans-compiling to higher-level languages.

## **B.2.** Solidity

A language similar in syntax to Javascript, and the most commonly used language for creating smart contracts in Ethereum.

## B.3. Serpent

## B.4. Viper

## References

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- [3] BillWagner, Serialization (c#). [Online]. Available: https://docs.microsoft.com/en-us/dotnet/csharp/programming-guide/concepts/serialization/(cit.on p. 20).
- [4] Design patterns and refactoring. [Online]. Available: https://sourcemaking.com/design\_patterns/singleton (cit. on p. 20).
- [5] G. E. Ngondi and A. Butterfield, *A dictionary* of computer science. Oxford University Press, 2016 (cit. on p. 20).

## Glossary

- Address A 160-bit (20-byte) code used for identifying Accounts. 19
- addresses 20 character strings, specifically the rightmost 20 characters of the Keccak-256 hash of the RLP-derived mapping which contains the sender's address and the nonce of the block.. 19
- balance A value which is intrinsic to accounts; the quantity of Wei in the account. All EVM operations are associated with changes in account balance. 19
- beneficiary The 20-character (160-bit) address to which all fees collected from the successful mining of this block be transferred. 19
- Bit The smallest unit of electronic data storage: there are eight bits in one byte. The Yellowpaper gives certain values in bits (e.g. 160 bits instead of 20 bytes). 19
- **block header** Any information in a block besides transaction information. 19
- Contract A piece of EVM Code that may be associated with an Account or an Autonomous Object.
  19
- Cryptographic hashing functions Hash functions make secure blockchains possible by establishing universal inputs for which there can only be one given output. The reason this works is because the hash of a block's data is a certainty, just like two plus two equals four is a certainty. 19
- Ethereum Runtime Environment The environment which is provided to an Autonomous Object executing in the EVM. Includes the EVM but also the structure of the world state on which the relies for certain I/O instructions including CALL & CREATE. 19

- Ethereum Foundation The non-profit organization in charge of executing the development processes of Ethereum in line with the Whitepaper. 19
- Ethereum Virtual Machine A sub-process of the State Transition Function which initializes and executes all of the transactions (ergo computations) in a block, prior to their finalization into the state.. 19
- **EVM Assembly** The human readable version of EVM code. 19
- **EVM Code** The bytecode that the EVM can natively execute. Used to formally specify the meaning and ramifications of a message to an Account.
- **Gas** The fundamental network cost unit; gas is paid for exclusively by Ether. 19
- leaf node the bottom-most node in a particular tree, of blocks, one half of the "key" the other half being the root node, which creates the path between. 19
- Lower-Level Lisp The Lisp-like Low-level Language, a human-writable language used for authoring simple contracts and general low-level language toolkit for trans-compiling to. 19
- Message Data (as a set of bytes) and Value (specified in Wei) that is passed between two accounts.. 19
- Recursive Length Prefix Recursive Length Prefix.

  19
- root node the uppermost node in a particular tree, of blocks, representing a single world state  $^{\sigma}$  at a particular time. 5, 19
- serialization Serialization is the process of converting an object into a stream of bytes in order to store the object or transmit it to memory, a database, or a file. Its main purpose is to save the state of an object in order to be able to recreate it when

<sup>&</sup>lt;sup>a</sup>Actually, most hashing functions eventually have some collision points where two viable inputs reproduce the same output. But actual collision points are rare discoveries and tend to be followed (if not preceded by) newer more powerful hashing algorithms that are yet harder to break or find collisions in. Since the number space is infinite, we aren't likely to run out of potential new and larger hashing algorithms any time soon. Older hashing algorithms with known collisions, such as MD5 are not recommended for use in applications with stringent security requirements.

needed. The reverse process is called deserialization.[3] In Ethereum, most data is serialized through tree structures.. 19

singleton A design pattern in Object-Oriented Programming which specifies a class with one instance but with a global point of access to it[4].

specification Technical descriptions, instructions, and definitions from which other people can create models. 19

state machine The term State Machine is reserved for any simple or complex process that moves deterministically from one discrete state to the next.. 19

state database A database stored off-chain, [i.e. on the computer of some user running an Ethereum client] which contains a trie structure mapping bytearrays [i.e. organized chunks of binary data] to other bytearrays [other organized chunks of binary data]. The relationships between each node on this trie constitute a MAP, a.k.a. a MAP-PING of all previous world states which a client might need to reference. 5, 7, 19

storage root One aspect of an ACCOUNT'S STATE:
this is the hash of the trie<sup>a</sup> that decides the
STORAGE CONTENTS of the account. 19

Storage State The information particular to a given account that is maintained between the times that the account's associated EVM Code runs.

Actor. It represents either a Message or a new Autonomous Object. Transactions are recorded into each block of the blockchain. A transaction can also be an input message to a system that, because of the nature of the real-world event or activity it reflects, is required to be regarded as a single unit of work guaranteeing to either be processed completely or not at all.[5]. 19

Transaction . 19

**trie** A tree-structure for organizing data, the position of data in the tree contains the particular path

from root to leaf node that represents the key (the path from root to leaf is "one" key) you are searching the trie structure for. The data of the key is contained in the trie relationships that emerge from related nodes in the trie structure. 5, 7, 19

Whitepaper A conceptual map, distinct from the Yellowpaper, which highlights the development goals for Ethereum as a whole[1]. 19

Yellowpaper Ethereum's primary formal specification, written by Dr. Gavin Wood, one of the founders of Ethereum.. 19

## **Acronyms**

**ERE** Ethereum Runtime Environment. 19

**EVM** Ethereum Virtual Machine. 19

LLL Lower Level Lisp. 19

<sup>&</sup>lt;sup>a</sup>A particular path from root to leaf in the state database

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