

# Clayton H. Sanford

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## EDUCATION

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### Columbia University

September 2019 - May 2024 (expected)

*Ph.D. Candidate in Computer Science*

*M.S. (Feb. 2021), M.Phil. (Feb 2023)*

*New York, NY*

- Proposed Thesis: “Neural Network Generalization and Approximation with Intrinsically Low-dimensional Data.”
- Advisors: Rocco Servedio and Daniel Hsu

### Brown University

September 2014 - May 2018

*Sc.B. with Honors in Applied Mathematics - Computer Science*

*Providence, RI*

- Thesis: “Applying Rademacher-Like Bounds to Combinatorial Samples and Function Selection.”
- Thesis Advisor: Eli Upfal; Concentration Advisor: Caroline Klivans
- Magna Cum Laude

## INDUSTRY EXPERIENCE

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### Applied Sciences Intern

May 2023 - August 2023

*Microsoft Research*

*New York, NY*

- Trained transformer models with up to 500 million parameters to learn combinatorial search tasks with behavioral cloning and chain-of-thought reasoning.
- Proved theoretical results about the advantages of transformers over graph neural networks (GNNs) for identifying isomorphisms between different combinatorial problems, to support positive empirical results. (Manuscript in progress.)

### Research Intern (PhD)

May 2022 - August 2022

*Allen Institute for AI*

*Seattle, WA*

- Improved year-long temperature and humidity predictions of ML-corrected coarse-grid climate models by using novelty detection techniques.
- Work presented at NeurIPS 2022 climate ML workshop and American Meteorological Society conference, and under review of the *Journal of Advances in Modeling Earth Systems*.
- Recognized with the Outstanding Internship award, a cash prize awarded to four AI2 interns.

### Software Engineering Intern

April 2019 - August 2019

*Lumi Labs*

*Palo Alto, CA*

- Front-end and back-end development with direct ownership of new features core to the product.

### Associate Analytics Data Scientist

August 2018 - April 2019

*LinkedIn*

*San Francisco, CA*

- Used Hive and SQL to create stable and frequently-used datasets that repopulate daily.
- Performed deep-dive analyses on open questions for the LinkedIn Learning product.
- Co-coordinated a bi-weekly machine learning reading group.

### Data Analytics Intern

June 2017 - August 2017

*LinkedIn*

*San Francisco, CA*

- Analyzed subscription patterns with LinkedIn Learning team using Pig, HDFS, SQL, and Python.
- Contextualized findings in the Learning business and presented to stakeholders.

## PUBLICATIONS

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- C. Sanford, D. Hsu, M. Telgarsky. “Representational strengths and limitations of transformers.” *Submitted for Publication*, 2023.
- C. Sanford, A. Kwa, O. Watt-Meyer, S. Clark, N. Brenowitz, J. McGibbon, C. Bretherton. “Improving the predictions of ML-corrected climate models with novelty detection.” *Submitted for publication*, 2023.
- C. Sanford\*, N. Ardeshir\*, D. Hsu. “Intrinsic dimensionality and generalization properties of the R-norm inductive bias.” *Conference on Learning Theory (COLT)*, 2023.
- A. Bietti\*, J. Bruna\*, C. Sanford\*, M. Song\*. “Learning single-index models with shallow neural networks.” *Neural Information Processing Systems (NeurIPS)*, 2022.
- V. Chatziafratis\*, I. Panageas\*, C. Sanford\*, S. Stavroulakis\*. “On scrambling phenomena for randomly initialized recurrent networks.” *Neural Information Processing Systems (NeurIPS)*, 2022.
- D. Hsu\*, C. Sanford\*, R. Servedio\*, E.-V. Vlatakis-Gkaragkounis\*. “Near-Optimal Statistical Query Lower Bounds for Agnostically Learning Intersections of Halfspaces with Gaussian Marginals.” *Conference on Learning Theory (COLT)*, 2022.
- C. Sanford, V. Chatziafratis. “Expressivity of Neural Networks via Chaotic Itineraries beyond Sharkovsky’s Theorem.” *AISTATS*, 2022.
- T. Chin\*, J. Ruth\*, C. Sanford\*, R. Santorella\*, P. Carter\*, B. Sandstede\*. “Enabling equation-free modeling via diffusion maps.” *Journal of Dynamics and Differential Equations*, 2022.
- N. Ardeshir\*, C. Sanford\*, D. Hsu. “Support vector machines and linear regression coincide with very high-dimensional features.” *Neural Information Processing Systems (NeurIPS)*, 2021.
- D. Hsu\*, C. Sanford\*, R. Servedio\*, E.-V. Vlatakis-Gkaragkounis\*. “On the Approximation Power of Two-Layer Networks of Random ReLUs.” *Conference on Learning Theory (COLT)*, 2021.
- K. Cygan\*, C. Sanford\*, W. Fairbrother. “Spliceman2 - A Computational Web Server That Predicts Sequence Variations in Pre-mRNA Splicing.” *Bioinformatics* 33 (18), 2017.
- J. Gross\*, C. Sanford\*, G. Kocks\*. “Projected Water Needs and Intervention Strategies in India.” *Undergraduate Mathematics and its Applications* 37 (2), 2016.

\* Contributed equally

## FELLOWSHIPS AND AWARDS

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### NSF GRFP Fellowship

March 2021

*National Science Foundation*

- Competitive fellowship that provides three years of full funding for graduate research.

### Paul Charles Michelman Memorial Award

May 2023

*Columbia Computer Science*

- Given to a PhD student in Computer Science who has performed exemplary service to the department, devoting time and effort beyond the call to further the department’s goals (cash prize).

**Department Service Award**

May 2020, 2022, 2023

*Columbia Computer Science*

- Awarded up to 10% of PhD students for their service to the department.

**Outstanding Intern Award**

December 2022

*Allen Institute for AI*

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**Computer Science Senior Prize**

May 2018

*Brown Computer Science*

- Awarded to the top students in the computer science department based on academic achievement and department service (cash prize).

**Outstanding Winner**

April 2016

*Interdisciplinary Contest in Modeling**Consortium for Mathematics and its Applications*

- Designation given to five out of over 3000 teams for mathematical modeling of water scarcity in the ICM contest.

**LEADERSHIP AND MENTORSHIP EXPERIENCE**

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**Community Board Member**

May 2023 - present

*Manhattan Community Board 9**New York, New York*

- Appointed by the borough president to represent community needs of a district on the west side of Manhattan between 110th and 155th St.
- Serves on the the Economic Development/West Harlem Piers Committee and the LGBTQ Task Force.

**PhD Representative**

May 2022 - present

*Department of Computer Science**Columbia University*

- Coordinated a well-attended PhD student welcome event to help new students visit.
- Attends faculty meetings to represent student concerns and communicate faculty decisions to student body.
- Personally assisted students ensure that the department is paying them adequately and assisted international students with CPT approval issues.

**President**

September 2022 - September 2023

*qSTEM (LGBTQ affinity for School of Engineering)**Columbia University*

- Organized coffee hours, happy hours, movie showings, and board game nights to build community among LGBTQ grad students.

**President**

February 2015 - May 2018

*Applied Math Department of Undergraduates (APMA DUG)**Brown University*

- Hosted well-attended advising panels for students interested in Applied Math courses and research.
- Created problems for and managed a casual math competition every semester.
- Coordinated lectures by Applied Math faculty members for undergrads every semester.
- Welcomed prospective students and new concentrators by planning department-sponsored celebrations.

**President**

November 2014 - May 2018

*Outing Club**Brown University*

- Led an executive board of forty members that ran trips every weekend of the academic year.

- Managed and apportioned a \$27000 annual budget.
- Recruited, interviewed, and trained new trip leaders.

**Peer Advisor**

September 2017 - May 2018

*Matched Advising Program for Sophomores (MAPS)*

*Brown University*

- Advised two sophomore Applied Math students as they declared their concentrations and decided on coursework and internships.

**Peer Advisor**

September 2015 - May 2017

*Meiklejohn Peer Advisory Program*

*Brown University*

- Advised eleven first year students on adjusting to college life, selecting courses, building connections, and finding their academic paths.

## RELEVANT COURSEWORK

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**Algorithms and Theory:** Models of Computation, Analysis and Design of Algorithms, Advanced Algorithms Seminar, Computational Linear Algebra, Intro to Cryptography, Randomized Algorithms, Computation and the Brain

**Artificial Intelligence:** Machine Learning, Artificial Intelligence, Foundations of Prescriptive Analytics, Independent Study for ML research, Optimization Methods for ML, ML Theory, Algorithmic Game Theory

**Probability and Statistics:** Probability and Computation, Information Theory, Recent Applications in Probability and Statistics, Probabilistic Methods in Computer Science

**Dynamical Systems:** Applied Ordinary Differential Equations, Applied Partial Differential Equations I, Topics in Chaotic Dynamics, Independent Study for Dynamical Systems Research

**Pure Mathematics:** Linear Algebra, Abstract Algebra, Analysis: Functions of One Variable

**Non-Technical:** Persuasive Communication, Classrooms in Context: Public Education in Providence

## TEACHING EXPERIENCE

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**Graduate Teaching Assistant**

September 2023 - December 2023

*Columbia University Department of Computer Science*

- Provided readings for course syllabus, graded assignments, and mentored student final projects for the Machine Learning and Climate graduate seminar, taught by Alp Kucukelbir.

**Graduate Instructor**

January 2022 - May 2022

*Columbia University Department of Computer Science*

- Developed and taught a lab on basics of data science and ML for non-CS students to accompany a then-new class on Natural and Artificial Neural Networks by Christos Papadimitriou.
- Created a series of Colab notebooks and short lectures to accompany each topic for a lab with fifteen students.

**Graduate Teaching Assistant**

January 2021 - April 2021

*Columbia University Department of Computer Science*

- Held office hours, graded assignments, and prepared course materials for Introduction to Computational Learning Theory, taught by Rocco Servedio.

**Head Teaching Assistant**

April 2017 - December 2017

*Brown University Department of Computer Science*

- Led a staff of 14 UTAs through grading assignments, running review sessions, and holding office hours.
- Hired UTAs after interviewing 35 candidates for the job.
- Managed an Algorithms class with 170 students and coordinated interactive grading sessions and exams.
- Taught an supplemental section on NP-hardness to a group of forty students for 90 minutes.
- Brainstormed, wrote-up, and edited problems for homework assignments and exams.

### **Undergraduate Teaching Assistant**

September 2015 - May 2017

*Brown University Departments of CS and Applied Math*

- Served on the course staffs of four courses: Accelerated Intro to CS, Discrete Structures and Probability, Theory of Computation, Topics in Chaotic Dynamics.
- Created problems for and graded homework assignments and exams.
- Hosted office hours for helping students understand course material and solve homework problems.

### **Tutor and Volunteer Representative**

January 2015 - May 2016

*Swearer Tutoring Enrichment in Math and Science (STEMS)*

- Tutored math and science in class and after school at a nearby public school in Providence.
- Interviewed potential volunteers and planned meetings to help train tutors.

### **Tutor**

September 2011 - June 2014

*Soquel High School*

- Tutored math at homework club after school twice a week for three years.

## **RESEARCH TALKS**

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- Algorithms seminar, Google NYC, July 2023. “Representational Strengths and Limitations of Transformers.”
- Data Science guest lecture, UC San Diego, May 2023. “Representational Strengths and Limitations of Transformers.”
- Engaged Scholars Program Research Symposium, Columbia University, April 2023. “Machine Learning, Neural Networks, and CS Theory.”
- Columbia StatisticalML Symposium, Columbia University, April 2023. “Transformers can learn pairwise—but not three-wise—functions.”
- 22nd Conference on Artificial Intelligence for Environmental Science, 103rd American Meteorological Society Annual Meeting, January 2023. “Improving the Predictions of ML-Corrected Climate Models with Novelty Detection.”
- Tackling Climate Change with Machine Learning workshop, NeurIPS 2022, December 2022. “Improving the Predictions of ML-Corrected Climate Models with Novelty Detection.”
- Vaggos Chatziafratis group meeting, UC Santa Cruz, November 2022. “Why do over-parameterized neural networks work?”
- Kevin Jamieson, Jamie Morgenstern, and Ludwig Schmidt group meeting, University of Washington, July 2022. “Approximation Powers and Limitations of Neural Networks.”
- COLT 2022, July 2022. “Near-Optimal Statistical Query Lower Bounds for Agnostically Learning Intersections of Halfspaces with Gaussian Marginals.”
- Algorithms and Theory Seminar, Boston University, April 2022. “On the approximation power of two-layer networks of random ReLUs.”
- Algorithms and Complexity seminar, MIT, April 2022. “On the approximation power of two-layer networks of random ReLUs.”
- Eli Upfal group meeting, Brown University, April 2022. ““Benign overfitting” and the behavior of high-dimensional linear regression and classification models.”

- AISTATS, March 2022. “Expressivity of Neural Networks via Chaotic Itineraries beyond Sharkovsky’s Theorem.”
- Joan Bruna group meeting, NYU, February 2022. “Near-Optimal Statistical Query Lower Bounds for Agnostically Learning Intersections of Halfspaces with Gaussian Marginals.”
- Data Science Institute virtual poster session Columbia, February 2022. “On the approximation power of two-layer networks of random ReLUs.”
- NeurIPS, December 2021. “Support vector machines and linear regression coincide with very high-dimensional features.”
- COLT 2021, August 2021. “On the approximation power of two-layer networks of random ReLUs.”
- Demystifying the dissertation, Columbia, December 2020. “Opening the Black Box: Mathematical Approaches to Understanding Deep Learning.”
- Demystifying the PhD, Columbia, November 2020.

## DEPARTMENT SERVICE

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- Organized the CS theory student retreat in Fall 2021 and 2022.
- Ran events at and coordinated the Columbia Visit Day for admitted students in Spring 2020, 2022, and 2023.
- Started the Columbia Theory Student seminar, where students share their research on a weekly basis.
- Advised three cohorts of undergraduate theory seminars on ML and deep learning theory.

## ACADEMIC SERVICE

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### Reviewer

- JMLR (2023), NeurIPS (2023), ICLR climate workshop (2023), SODA (2022), STOC (2022).

## TECHNICAL SKILLS AND INTERESTS

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<b>Programming Languages</b>	Python, Java, Scala, SQL
<b>Technologies</b>	Pytorch, Tensorflow, Docker, Hadoop, Spark, Git
<b>Spoken Languages</b>	English (native), Spanish (intermediate proficiency)
<b>Other Interests</b>	Backpacking, Running, Climbing, Cooking, New York, Public Transportation