

# Faster isomorphism testing of $p$ -groups of Frattini class-2

Speaker: Chuanqi Zhang

Joint work with Gábor Ivanyos, Euan Mendoza, Youming Qiao, and  
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University of Technology Sydney

UTS Groups Analysis Geometry Seminar, April 2024

# Outline

- Background of finite group isomorphism.
- Relationship between  $p$ -group isomorphism and 3-tensor isomorphism.
- Our main results and an overview of our algorithm.
- Summary and open problems.

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# Group Isomorphism Problem

## Problem (Finite group isomorphism problem)

*Given the multiplication tables of two finite groups, determine whether they are isomorphic.*

	$G$				$H$	
$*$	0	1		$\circ$	$a$	$b$
0	0	1	$\cong$	$a$	$a$	$b$
1	1	0		$b$	$b$	$a$

- First algorithm:  $N^{\log N + O(1)}$  time attributed to Tarjan [Miller'78]

• Best known algorithm:  $N^{\frac{1}{2} \log N + O(1)}$  time [Babai'84]

• Open problem:  $N^{\frac{1}{2} \log N} \leq \text{poly}(n)$

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• Group isomorphism is in  $BPP$

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# Through the lens of group isomorphism

- Theoretical computer science: complexity in the worst case
- Computational group theory: practical algorithms (as in Magma)
- Cryptography: protocols based on isomorphism problems
  - Several such schemes have been submitted to the NIST call for post-quantum digital signatures.

All of these areas give us good motivation to study the isomorphism testing, especially on  $p$ -groups of Frattini class-2.

## Definition ( $p$ -groups of Frattini class-2)

A  $p$ -group  $G$  is of *Frattini class-2*, if there exists  $H \leq G$ , such that  $H$  is central, and both  $H$  and  $G/H$  are elementary abelian.

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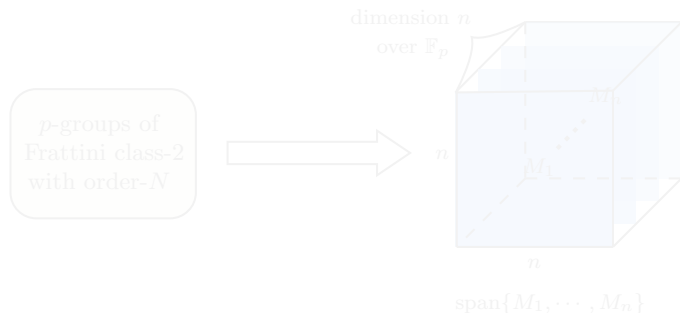
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# Why $p$ -groups of Frattini class-2

- The isomorphism testing between  $p$ -groups of Frattini class-2 is a **major bottleneck** for the group isomorphism problem.
- $p$ -groups of Frattini class-2 contain non-abelian 2-groups.
- $p$ -groups of Frattini class-2 give a lower bound on the number of  $p$ -groups by the celebrated work of Higman [Higman'60].
- Multilinear formalisation<sup>1</sup> [Higman'60]:

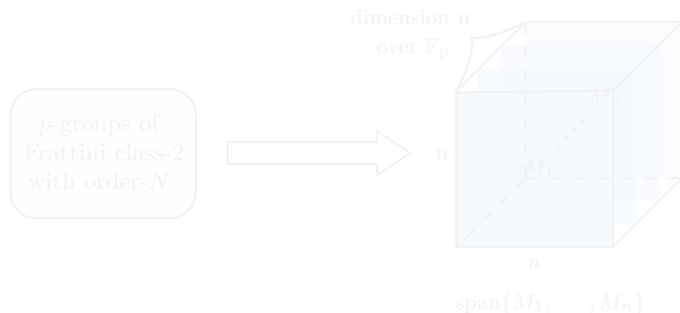


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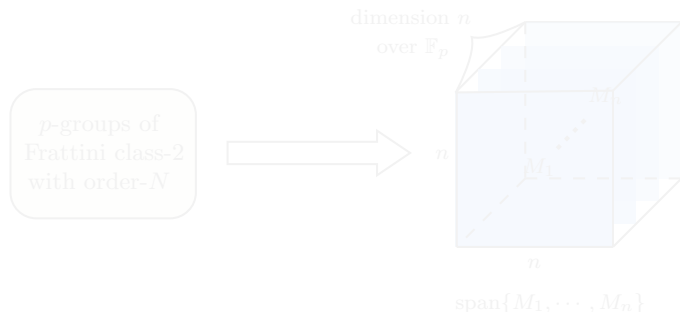
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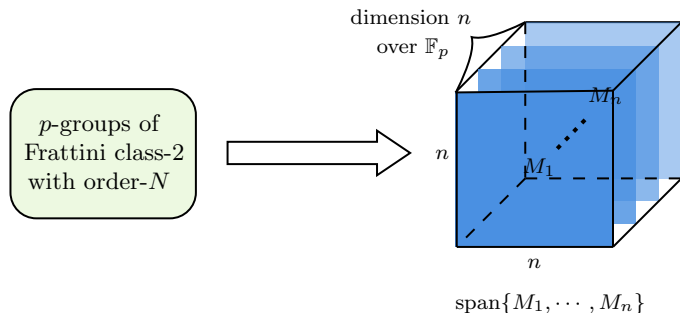
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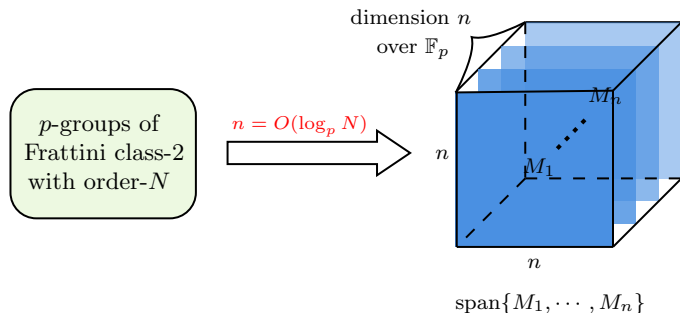
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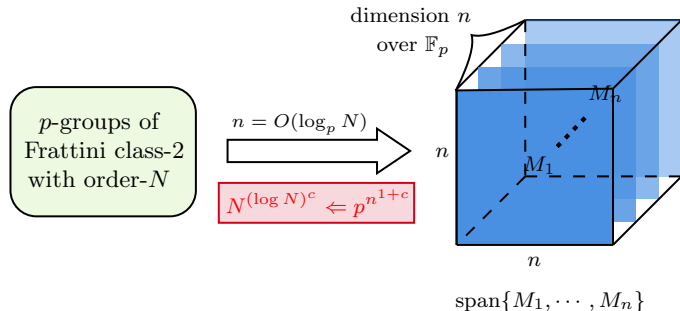
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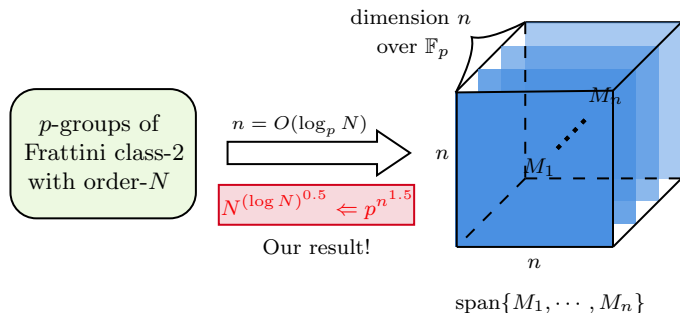
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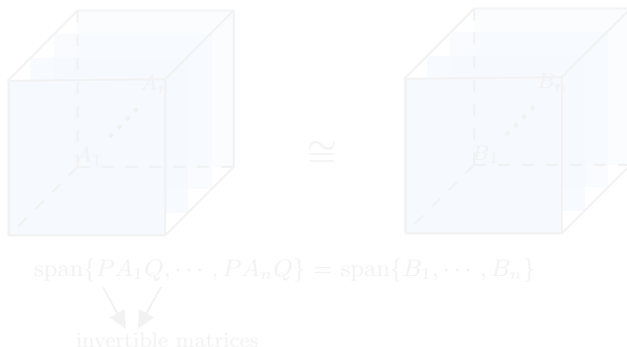
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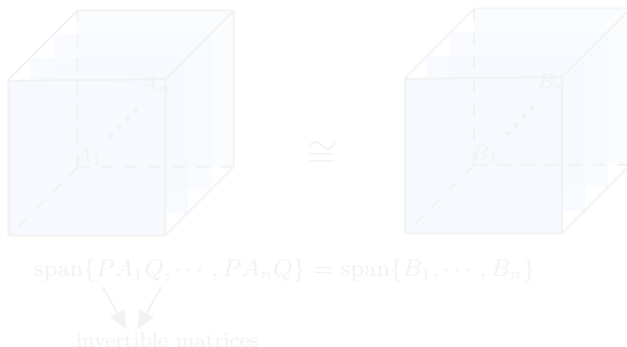
# Tensor Isomorphism Problem

- Isomorphism for several algebraic structures, such as algebras and polynomials, are poly-time equivalent to tensor isomorphism. [Grochow-Qiao'23]
- Isomorphisms for 3-tensors are all poly-time equivalent. [Grochow-Qiao'23]
- Isomorphism for 3-tensors under left-right actions:



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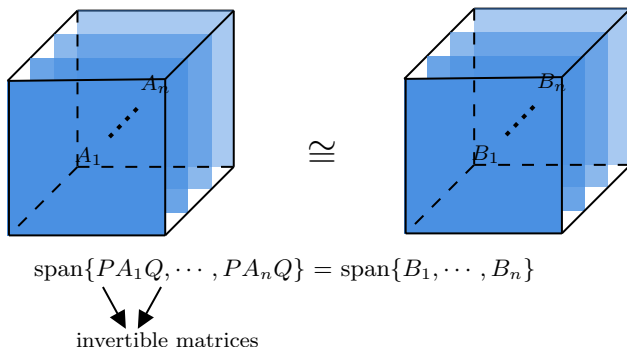
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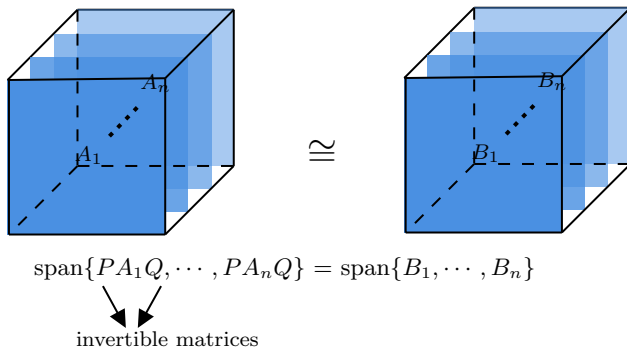
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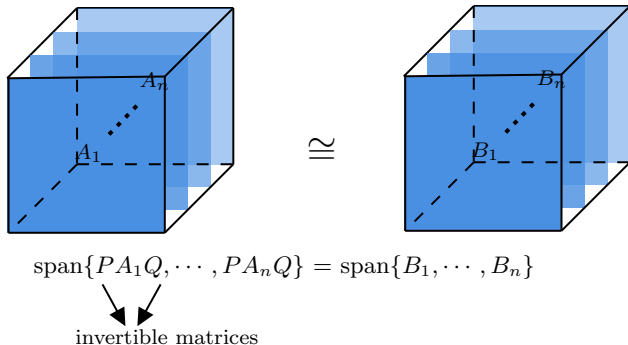
## Problem (Equivalence testing of matrix spaces)

Given two  $n \times n$  matrix spaces over  $\mathbb{F}_q$  of dimension  $n$ ,  $\mathcal{A}$  and  $\mathcal{B}$ , determine if they are equivalent, i.e., if there exist two invertible matrices  $P$  and  $Q$  such that  $\mathcal{B} = P\mathcal{A}Q := \{PAQ \mid A \in \mathcal{A}\}$ .



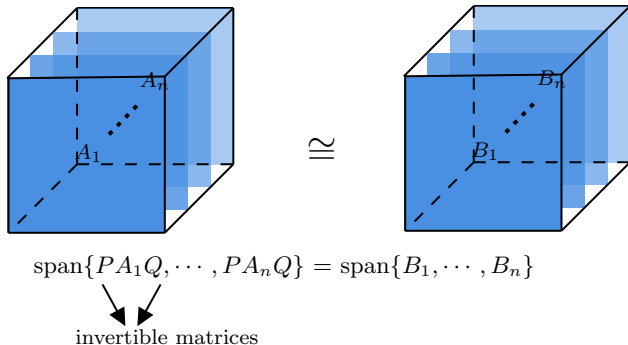
# Previous work and our main result

- Natural upper bound:  $q^{O(n^2)}$  (known since at least 1970's)
- Sun's breakthrough:  $q^{O(n^{1.8} \cdot \log q)}$  [Sun'23]
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- Our improvement:  $q^{\tilde{O}(n^{1.5})}$  for the equivalence testing of matrix spaces



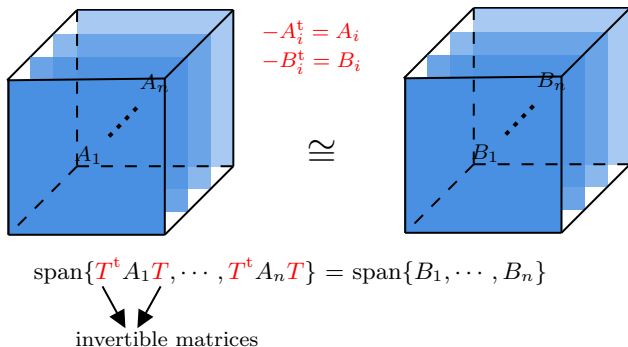
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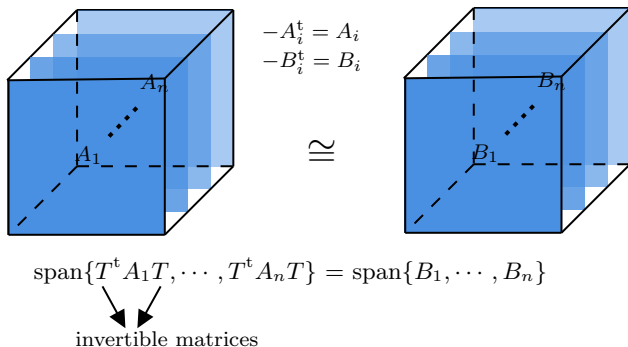
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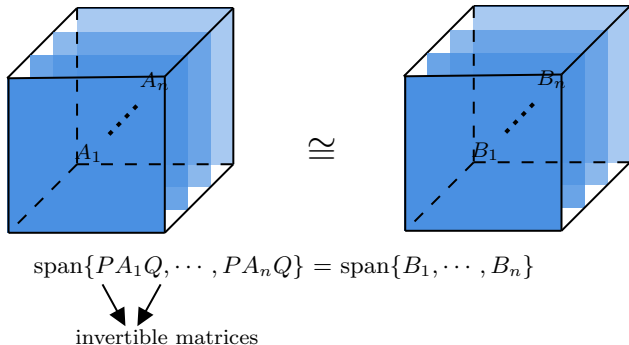
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  - His algorithm is for the congruence testing of skew-symmetric matrix spaces.
  - This solves the isomorphism testing of  **$p$ -groups of class-2 and exponent  $p$** .
- Our improvement:  $q^{\tilde{O}(n^{1.5})}$  for the equivalence testing of matrix spaces

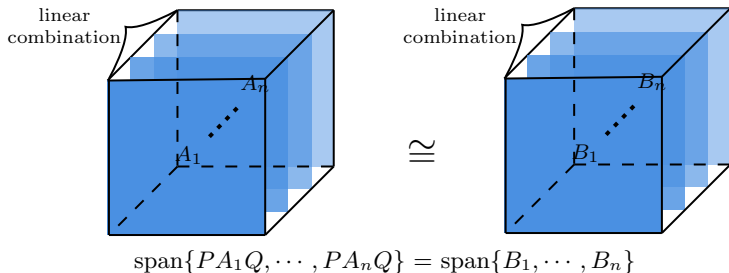


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- Natural upper bound:  $q^{O(n^2)}$  (known since at least 1970's)
- Sun's breakthrough:  $q^{O(n^{1.8} \cdot \log q)}$  [Sun'23]
  - His algorithm is for a tensor isomorphism problem **reducible** to our problem.
  - This solves the isomorphism testing of a **subclass** of our underlying group.
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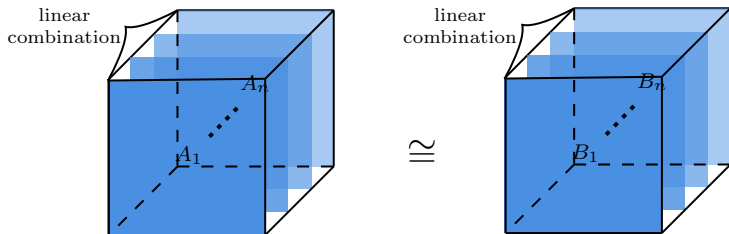
# Overall strategy: from matrix spaces to matrix tuples



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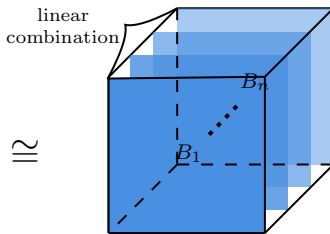
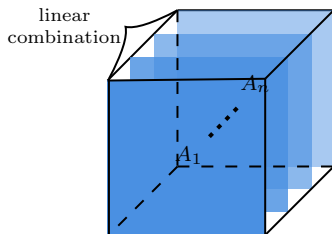
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$$(T^t A_1 T, \dots, T^t A_n T) = (B_1, \dots, B_n)$$

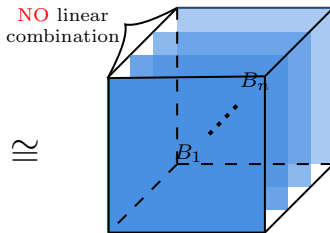
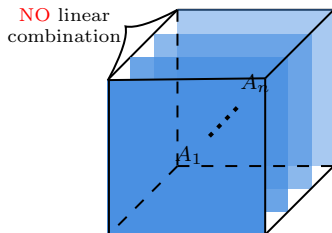
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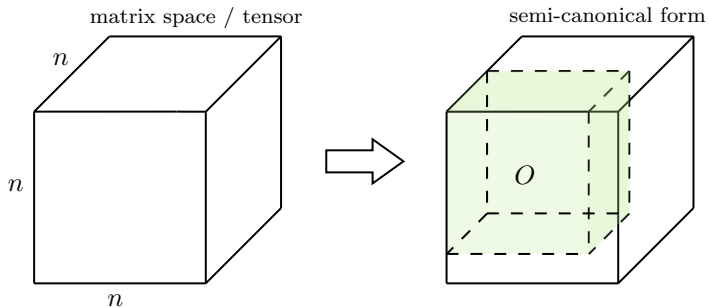
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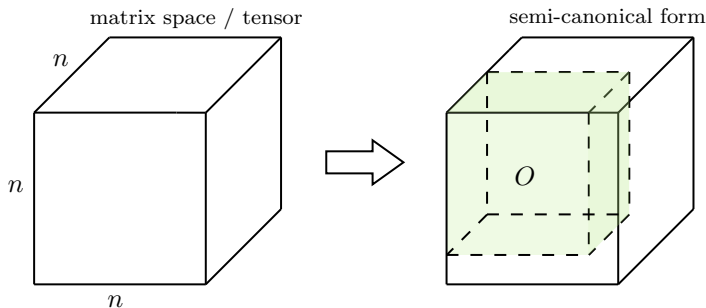
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# Bridge: semi-canonical forms of matrix spaces

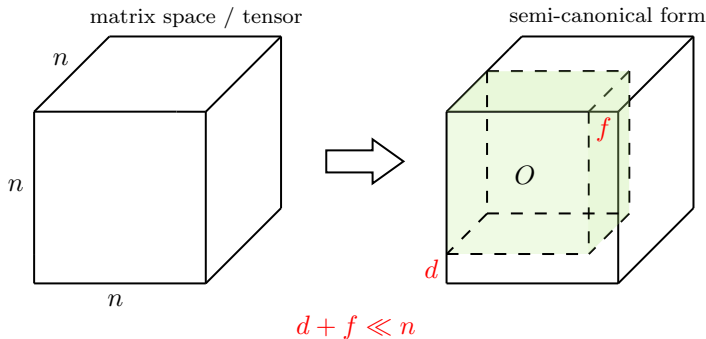


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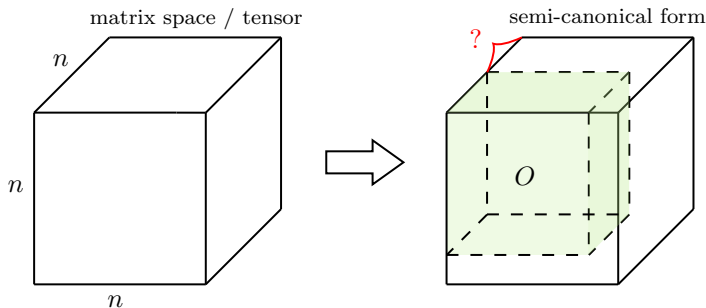
- We construct a semi-canonical form of given matrix spaces, and then construct matrix tuples from the semi-canonical tensors.
- The margins are supposed to be small, to reduce the cost of enumerating the action matrices  $P$  and  $Q$ .
- The margin for the third direction, while can be large, is 'fixed' somehow.

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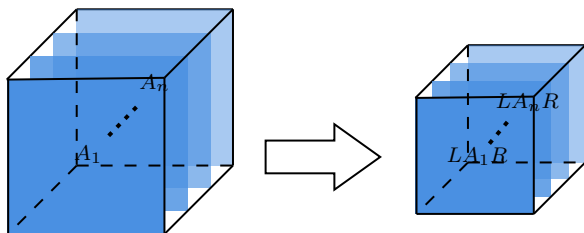
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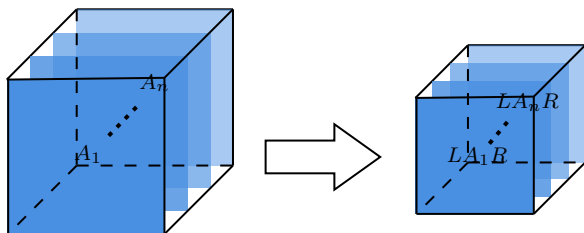
# A special case: getting canonical forms after restrictions



- Assume  $L \leq M(s \times n, \mathbb{F}_q)$  and  $R \leq M(n \times s, \mathbb{F}_q)$  satisfy that  $LA_1R, \dots, LA_nR \leq M(s \times s, \mathbb{F}_q)$  are **linearly independent**.

- What if  $LAR = 0$  for some non-zero  $A \in \mathcal{A}$ ?

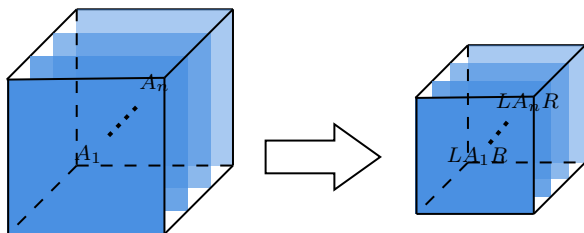
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  - Compute the canonical basis of  $LAR$ .
    - Enumerate such matrices  $L'$  and  $R'$  for  $\mathcal{B}$ , which costs  $q^{O(ns)}$ .
    - Compute the canonical basis of  $L'BR'$  and compare it to that of  $LAR$ .
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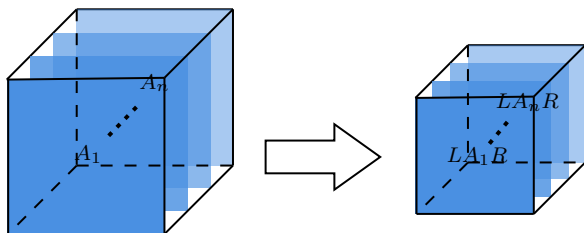


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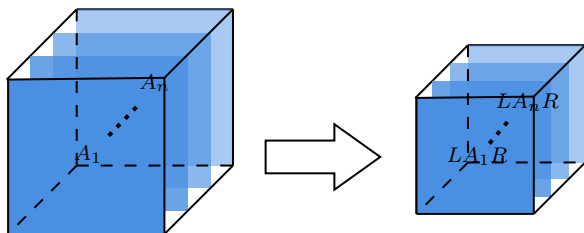
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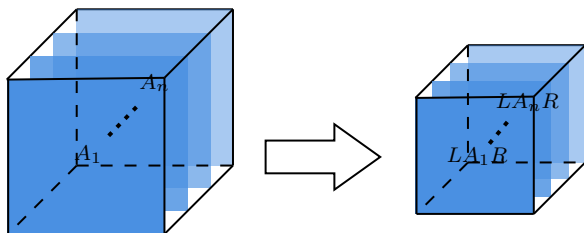
- Assume  $L \leq M(s \times n, \mathbb{F}_q)$  and  $R \leq M(n \times s, \mathbb{F}_q)$  satisfy that  $LA_1R, \dots, LA_nR \leq M(s \times s, \mathbb{F}_q)$  are linearly independent.
  - Compute the canonical basis of  $LAR$ .
  - Enumerate such matrices  $L'$  and  $R'$  for  $\mathcal{B}$ , which costs  $q^{O(ns)}$ .
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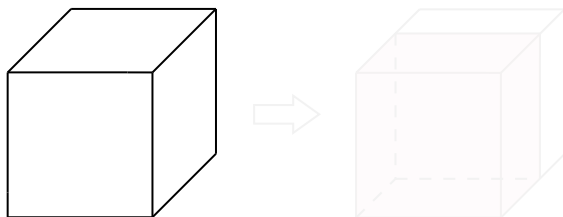
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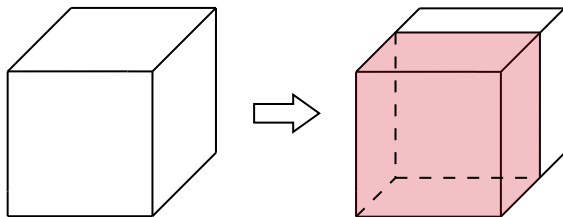
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# Technique 1: individualisation by left-right restrictions



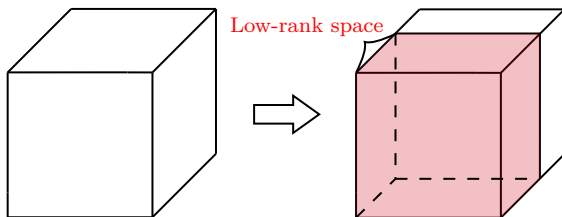
- Given a matrix space  $\mathcal{A} \leq M(n, \mathbb{F}_q)$ .
- Basic idea: sort the basis matrices (subject to choices of  $L, R$ ) such that
  - the first ones span  $\text{Ker}_{L,R}(\mathcal{A}) := \text{span}\{A \in \mathcal{A} \mid LAR = 0\}$ , and
  - the remaining ones form a canonical basis of the quotient space  $\mathcal{A} / \text{Ker}_{L,R}(\mathcal{A})$ .
- Advantage:  $\text{Ker}_{L,R}(\mathcal{A})$  is a low-rank space with a high probability.

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Lemma (Ivanyos-Mendoza-Qiao-Sun-Zhang'24)

*Let  $\mathcal{A} \leq \text{M}(n, \mathbb{F}_q)$  be a matrix space of dimension  $n$ . Then with at least probability of  $1 - \frac{1}{q^r}$ ,  $\text{Ker}_{L,R}(\mathcal{A})$  consists of matrices of rank  $\leq r$  for uniformly randomly sampled  $L \in \text{M}(s \times n, \mathbb{F}_q)$  and  $R \in \text{M}(n \times s, \mathbb{F}_q)$ .*

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Lemma (Ivanyos-Mendoza-Qiao-Sun-Zhang'24)

Let  $\mathcal{A} \leq \text{M}(n, \mathbb{F}_q)$  be a matrix space of dimension  $n$ . Fix some  $r \in [n]$ , and let

$$s = \lceil 3 \cdot \max\{\frac{n}{r}, r\} \rceil.$$

Then with at least probability of  $1 - \frac{1}{q^r}$ ,  $\text{Ker}_{L,R}(\mathcal{A})$  consists of matrices of **rank**  $\leq r$  for **uniformly randomly sampled**  $L \in \text{M}(s \times n, \mathbb{F}_q)$  and  $R \in \text{M}(n \times s, \mathbb{F}_q)$ .

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Let  $\mathcal{A} \subseteq \text{M}(n, \mathbb{F}_q)$  be a matrix space of dimension  $n$ . Let  $r = \sqrt{n}$  and

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Again, to find  $L', R'$  such that  $\mathcal{B}$  is individualised correspondingly to  $\mathcal{A}$ , we still need to enumerate all  $L', R'$  in such size, which costs  $q^{O(ns)}$ . Why is this an advantage?

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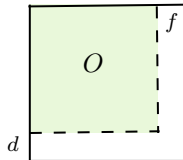
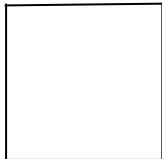
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# Low-rank matrix characterisation

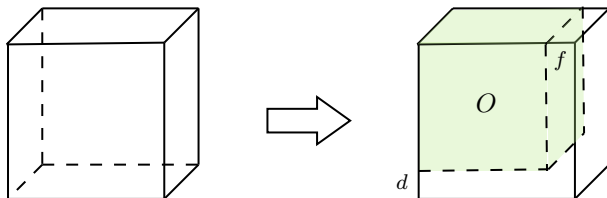
matrix of rank- $r$



$$d + f = O(r)$$

## Technique 2: low-rank matrix space characterisation

Low-rank space  $\mathcal{K}$  bounded by rank- $r$



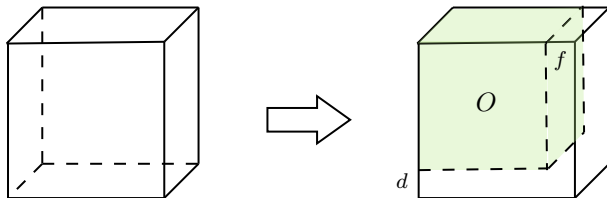
$$d + f = O(r)$$

over field of order  $\geq r + 1$

Our new perspective [Flanders'62, Atkinson-Lloyd'81]

## Technique 2: low-rank matrix space characterisation

Low-rank space  $\mathcal{K}$  bounded by rank- $r$



$$d + f = O(r^2)$$

[Sun'23]

Our new perspective:

Let  $\mathcal{K} \subseteq \mathbb{R}^{d \times d}$  be bounded by rank- $r$ . Then  $\mathcal{K}$  is a subset of the span of  $O(r^2)$  rank-1 matrices.

Theorem (Sun, 2023):

Let  $\mathcal{K} \subseteq \mathbb{R}^{d \times d}$  be bounded by rank- $r$ .

Then there exists a set of  $O(r^2)$  rank-1 matrices  $\{u_i u_i^T\}_{i=1}^{O(r^2)}$  such that

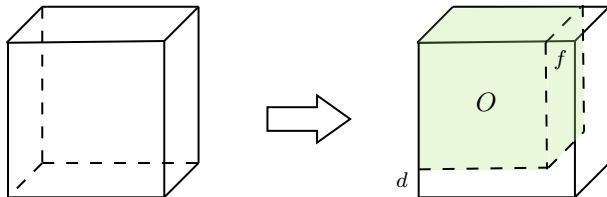
$\mathcal{K} \subseteq \text{span}\{u_i u_i^T\}_{i=1}^{O(r^2)}$ .





## Technique 2: low-rank matrix space characterisation

Low-rank space  $\mathcal{K}$  bounded by rank- $r$



$$d + f = O(r \log r)$$

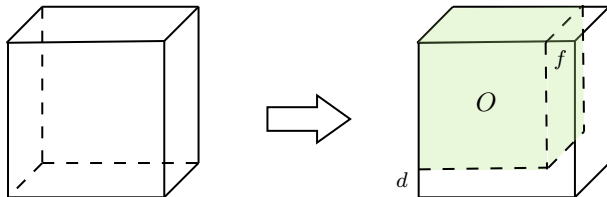
[Ivanyos-Mendoza-Qiao-Sun-Zhang'24]

Our new perspective:

- For  $U \leq \mathbb{F}^n$ ,  $\mathcal{K}(U) := \text{span}\{\cup_{K \in \mathcal{K}} K(U)\}$ . Then  $U$  is a *g-shrunk subspace* of  $\mathcal{K}$ , if  $\dim(U) - \dim(\mathcal{K}(U)) \geq g$ .
- The *non-commutative corank* of  $\mathcal{K} := \max\{g \in \mathbb{N} \mid \exists g\text{-shrunk subspace of } \mathcal{K}\}$ .
- $\text{nc-corank}(\mathcal{K}) + d + f = n$ .
- We can ‘fix’ the zero block by computing the canonical maximum shrunk subspace of  $\mathcal{K}$  [Ivanyos-Qiao-Subrahmanyam'18].

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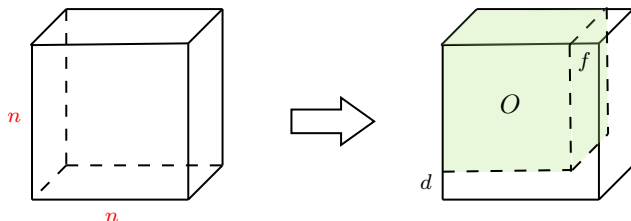
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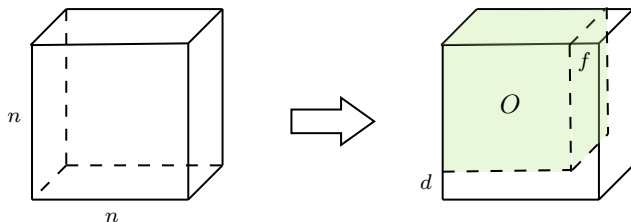
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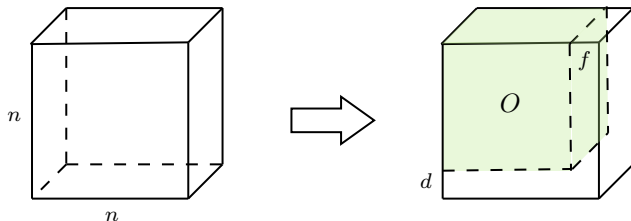
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## Technique 2: low-rank matrix space characterisation

Low-rank space bounded by rank- $\sqrt{n}$



$$d + f = O(\sqrt{n} \log n)$$

[Ivanyos-Mendoza-Qiao-Sun-Zhang'24]

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Let  $S$  be a set of  $n$  vectors in  $\mathbb{R}^d$ .  
What if  $d$  is small?

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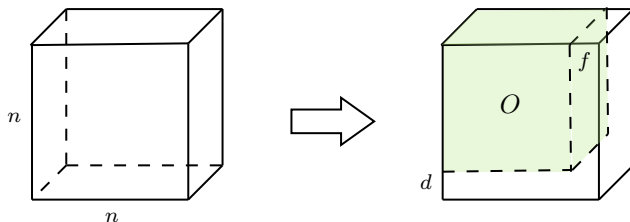
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# Technique 2: low-rank matrix space characterisation

Low-rank space bounded by rank- $\sqrt{n}$



$$d + f = \tilde{O}(\sqrt{n})$$

[Ivanyos-Mendoza-Qiao-Sun-Zhang'24]

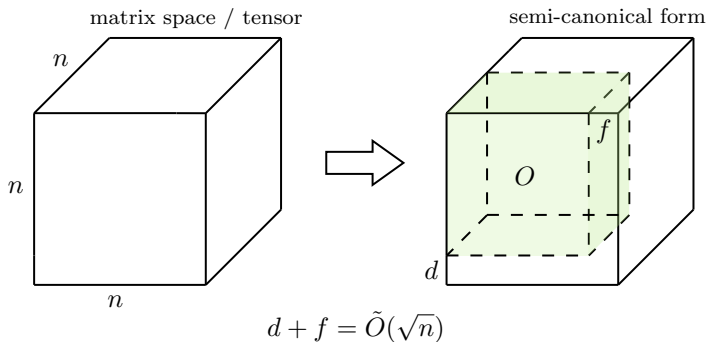
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$$\begin{aligned} & \text{rank}(A) \leq \sqrt{n} \\ & \text{rank}(B) \leq \sqrt{n} \end{aligned}$$

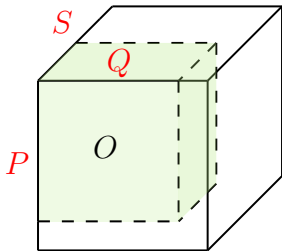
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# From semi-canonical forms to skew-symmetric matrix tuples

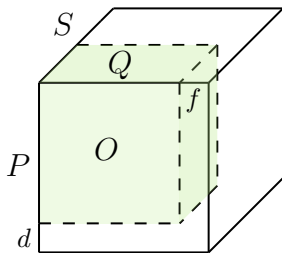


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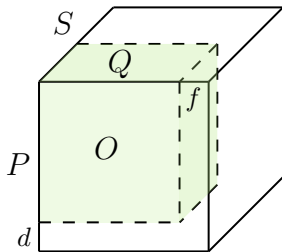
Upon enumeration which costs  $q^{\tilde{O}(n^{1.5})}$ ,

$$P = \begin{array}{|c|c|} \hline P_1 & P_2 \\ \hline O & P_3 \\ \hline \end{array}$$

$$Q = \begin{array}{|c|c|} \hline Q_1 & Q_2 \\ \hline O & Q_3 \\ \hline \end{array}$$

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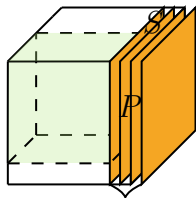
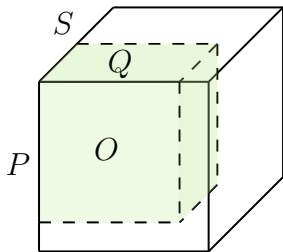
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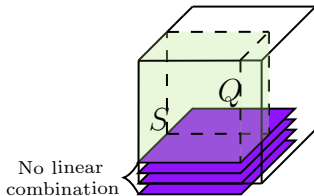
$$Q = \begin{array}{|c|c|} \hline Q_1 & O \\ \hline O & I_f \\ \hline \end{array}$$

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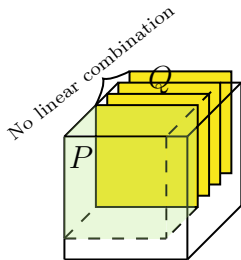
# From semi-canonical forms to skew-symmetric matrix tuples



No linear combination

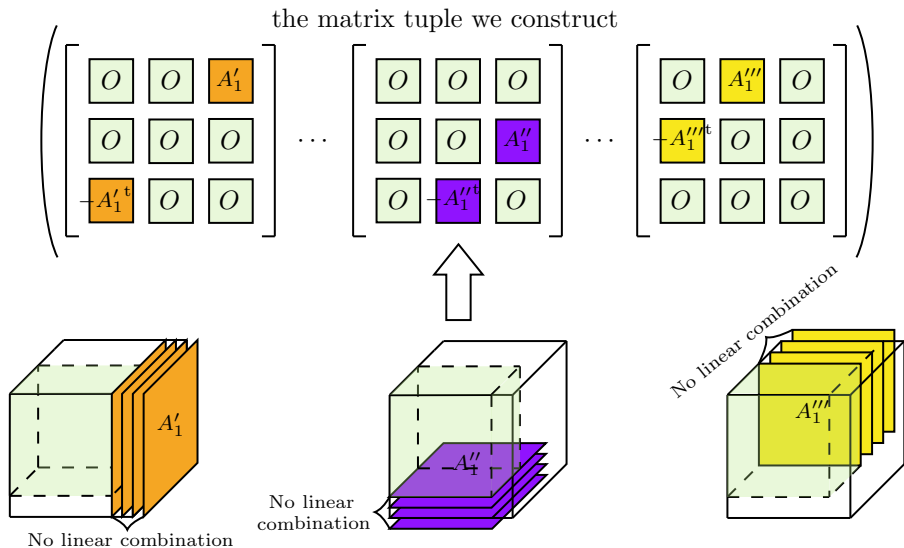


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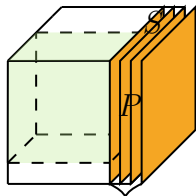
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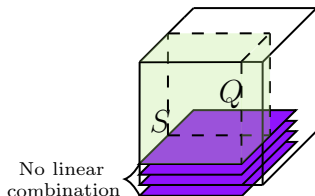
Some colourful slices may be transposed appropriately to match the action matrices.

# From semi-canonical forms to skew-symmetric matrix tuples

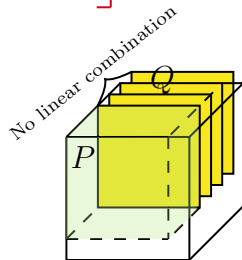
$$\begin{array}{c} P \\ Q \\ S \end{array} \begin{bmatrix} P^t & Q^t & S^t \\ \begin{array}{c} O \\ \text{yellow} \\ \text{orange} \end{array} & \begin{array}{c} \text{yellow} \\ O \\ \text{purple} \end{array} & \begin{array}{c} \text{orange} \\ \text{purple} \\ O \end{array} \end{bmatrix} \quad T = \left[ \begin{array}{c} P \\ Q \\ S \end{array} \right]$$



No linear combination



No linear combination

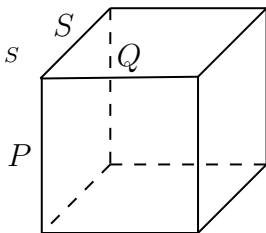


No linear combination

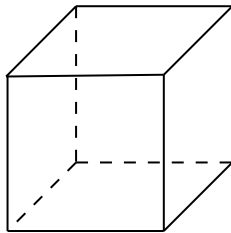
Some colourful slices may be transposed appropriately to match the action matrices.

# From tensor isomorphism to tuple isomorphism

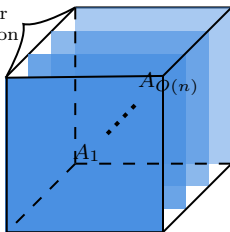
$\exists$  invertible  
matrices  $P, Q, S$   
s.t.



$\cong$



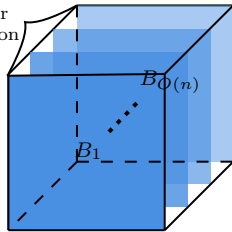
NO linear  
combination



NO linear  
combination

$$\begin{aligned} -A_i^t &= A_i \\ -B_i^t &= B_i \end{aligned}$$

$\cong$



$\exists$  an invertible matrix  $T$  s.t.  $(T^t A_1 T, \dots, T^t A_{O(n)} T) = (B_1, \dots, B_{O(n)})$

$T$  is conditioned in a special form, but it is still reducible to the general problem.

# Wrap-up of the results

## Theorem (Ivanyos-Qiao'19, Brooksbank-Kassabov-Wilson'24)

*Given two matrix tuples over  $\mathbb{F}_q$ , there exists a polynomial-time algorithm that decides whether they are congruent.*

## Theorem (Ivanyos-Mendoza-Qiao-Sun-Zhang'24)

*Given two  $n \times n$  matrix spaces over  $\mathbb{F}_q$  of dimension- $m$ , there exists an algorithm in time  $q^{\tilde{O}((n+m)^{1.5})}$  that decides whether they are equivalent.*

## Theorem (Ivanyos-Mendoza-Qiao-Sun-Zhang'24)

*Given two  $p$ -groups of Frattini class-2 of order  $N$ , there exists an algorithm in time  $N^{\tilde{O}((\log N)^{1/2})}$  to decide whether they are isomorphic.*

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*Given two  $p$ -groups of Frattini class-2 of order  $N$ , there exists an algorithm in time  $N^{\tilde{O}((\log N)^{1/2})}$  to decide whether they are isomorphic.*



# Wrap-up of the results

## Theorem (Ivanyos-Qiao'19, Brooksbank-Kassabov-Wilson'24)

*Given two matrix tuples over  $\mathbb{F}_q$ , there exists a polynomial-time algorithm that decides whether they are congruent.*

## Theorem (Ivanyos-Mendoza-Qiao-Sun-Zhang'24)

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# What's next?

- Can we improve the algorithm for general group isomorphism to  $N^{(\log N)^c}$  time for some  $c < 1$ ?
- Or for other subclasses of  $p$ -groups...
- Can we design more faster practical algorithms to break isomorphism-based cryptography protocols?

• [Kannan/Daw/Daw'20] made a heuristic guess, resulting in time  $2^{O(\sqrt{n})}$  for the general case of the equivalence testing of matrix groups.

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[Kannan/Manasse/Naor/Taig'86] made a breakthrough resulting in time  $N^{O(\log N)}$  for the general case of the equivalence testing of matrix groups.

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Thank you so much!