

# Transformers For Vision

## Lecture 5



# Outline

- Background on Transformer models
- Transformers for image classification
- [Admin interlude]
- Perceiver models [guest talk from Drew Jaegle, DeepMind]

# Transformers for Computer Vision

**Alexey Dosovitskiy**

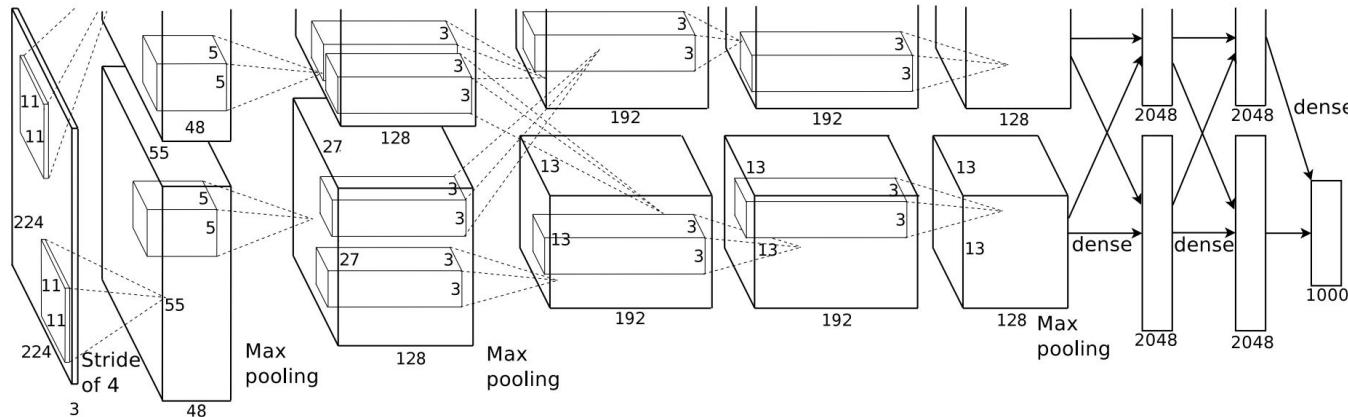
EEML summer school  
July 7th 2021, Budapest (virtually)

 Google Research



# AlexNet

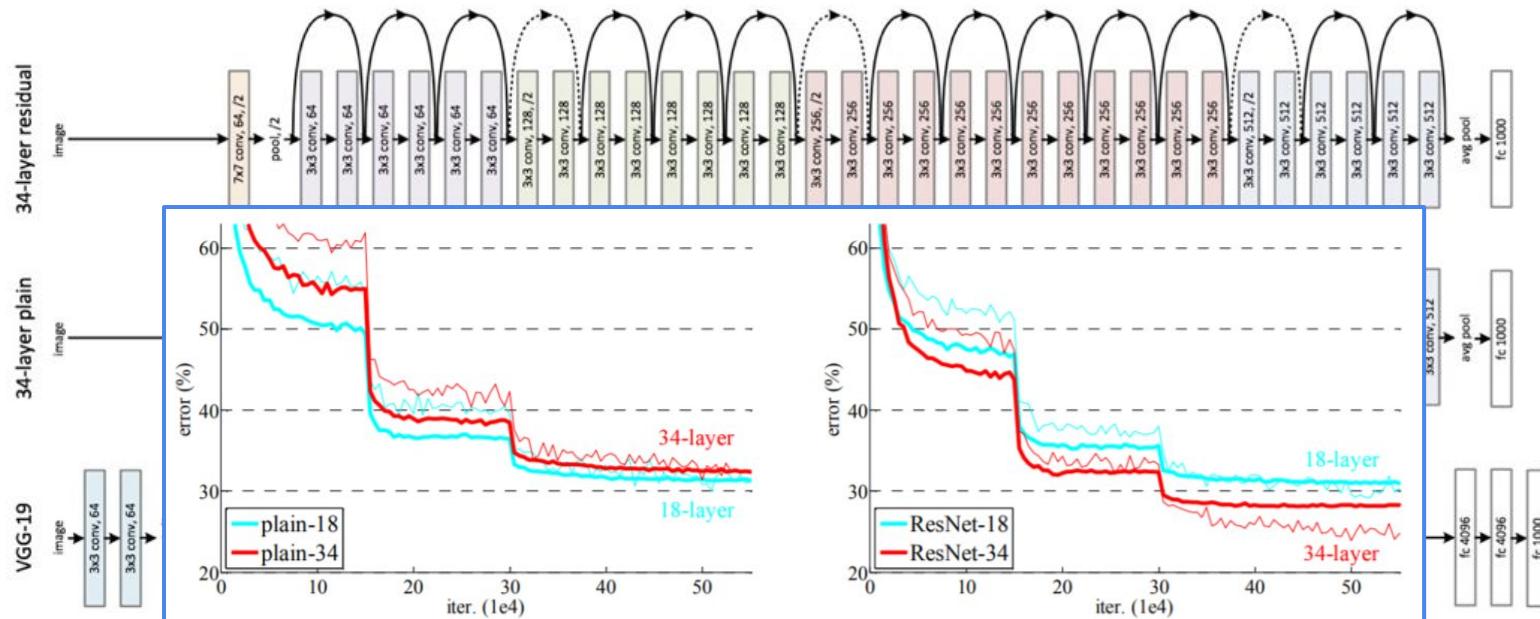
- AlexNet (2012) - first big success of deep learning in vision\*



\* ConvNets had previously shown good results on specialized dataset like handwritten digits (LeCun et al.) or traffic signs (Ciresan et al.), but not on large and diverse “natural” datasets

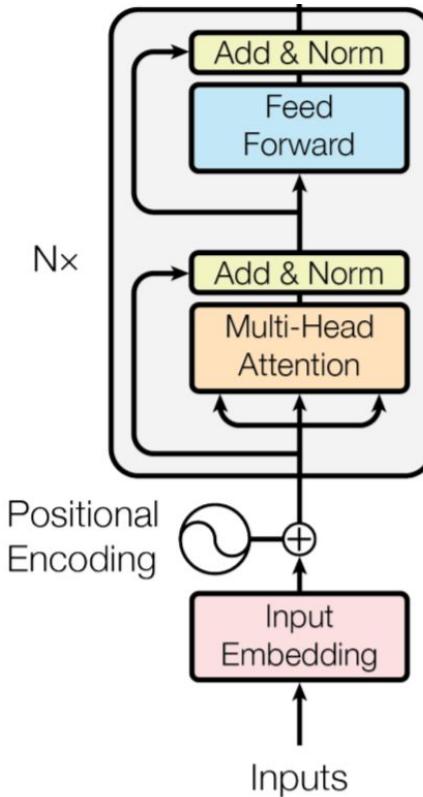
# ResNet

- ResNet (2015) - make deep models train well by adding residual connections



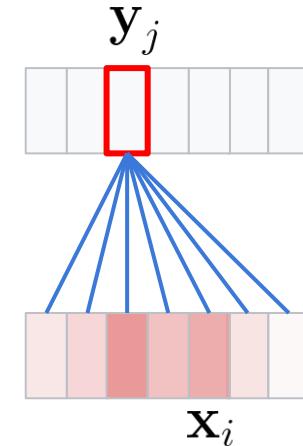
# Transformer

- Non-vision specific model
  - Typically applied to 1-D sequence data
- Transformer “encoder”
  - A stack of alternating self-attention and MLP blocks
  - Residuals and LayerNorm
- Transformer “decoder” (not shown)
  - A slightly more involved architecture useful when the output space is different from the input space (e.g. translation)



# Self-attention

- Each of the tokens (=vectors) attends to all tokens
  - Extra tricks: learned key, query, and value projections, inverse-sqrt scaling in the softmax, and multi-headed attention (omit for simplicity)
- It's a set operation (permutation-invariant)
  - ...and hence need "position embeddings" to "remember" the spatial structure
- It's a global operation
  - Aggregates information from all tokens



$$\alpha_j = \text{softmax}\left(\frac{\mathbf{Kx}_1 \cdot \mathbf{Qx}_j}{\sqrt{d_K}}, \dots, \frac{\mathbf{Kx}_n \cdot \mathbf{Qx}_j}{\sqrt{d_K}}\right)$$

$$\mathbf{y}_j = \sum_{i=1}^n \alpha_{ji} \mathbf{Vx}_i$$

**Simplified!** Multi-headed attention not shown

## Self-Attention with Queries, Keys, Values

Make three versions of each input embedding  $x(i)$

- **Query** vector  $\mathbf{q}^{(i)} = \mathbf{W}_q \mathbf{x}^{(i)}$
- **Key** vector:  $\mathbf{k}^{(i)} = \mathbf{W}_k \mathbf{x}^{(i)}$
- **Value** vector:  $\mathbf{v}^{(i)} = \mathbf{W}_v \mathbf{x}^{(i)}$

The **attention weight of the  $j$ -th position** to compute the **new output for the  $i$ -th position** depends on the **query of  $i$**  and the **key of  $j$  (scaled)**:

$$w_j^{(i)} = \frac{\exp(\mathbf{q}^{(i)} \mathbf{k}^{(j)}) / \sqrt{k}}{\sum_j (\exp(\mathbf{q}^{(i)} \mathbf{k}^{(j)}) / \sqrt{k})}$$

The **new output vector for the  $i$ -th position** depends on the **attention weights** and **value vectors** of all **input positions  $j$** :

$$\mathbf{y}^{(i)} = \sum_{j=1..T} w_j^{(i)} \mathbf{v}^{(j)}$$

## Transformer self-attention layer

Input:  $X$  (matrix of  $n$  embedding vectors, each dim  $m$ )

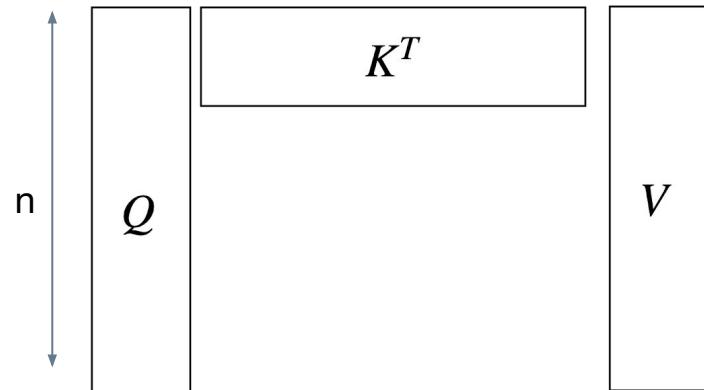
Self-attention Parameters

Parameters (learned):  $W_Q, W_K, W_V$

Compute:  $Q = XW_Q$

$$K = XW_K$$

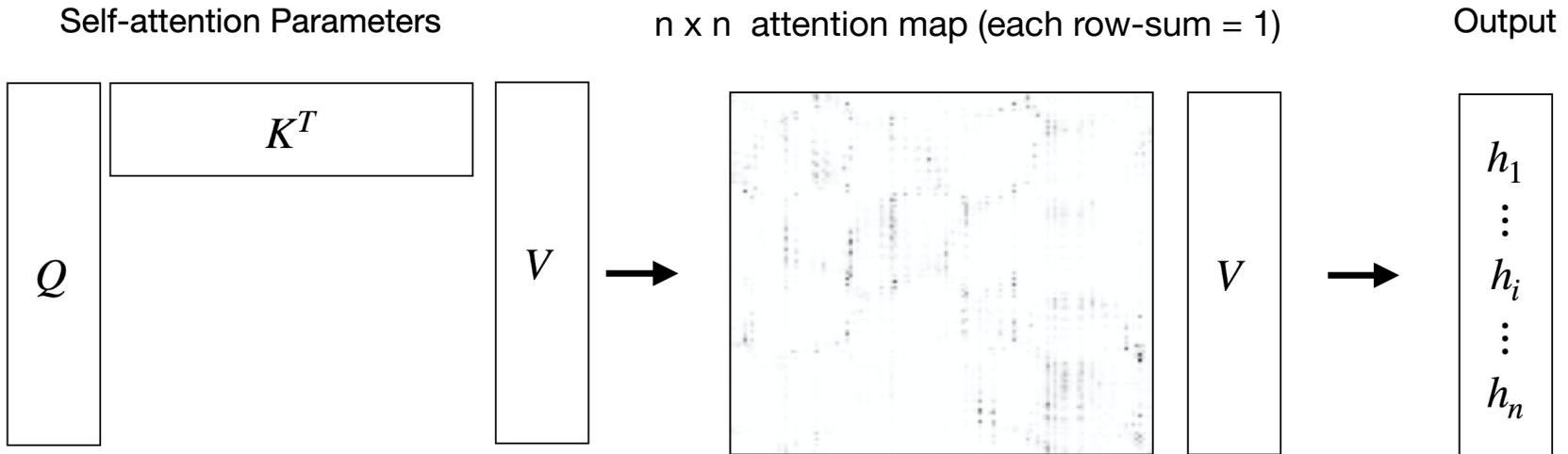
$$V = XW_V$$



$$Q, K, V \in \mathbb{R}^{n \times m}$$

$$QK^T \in \mathbb{R}^{n \times n}$$

# Transformer self-attention

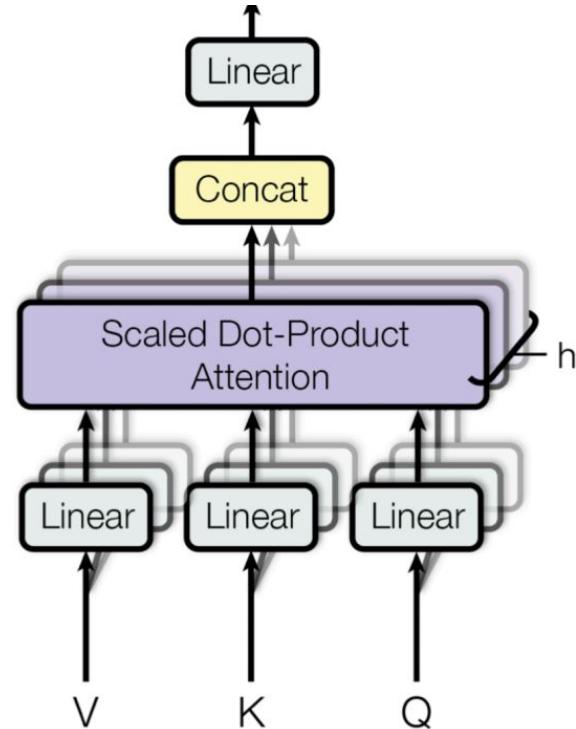


$$\text{Output matrix } H = \text{softmax}\left(\frac{1}{\sqrt{d}} QK^T\right) \cdot V$$

Self-attention explicitly models interactions between all pairs of input embeddings

## Multi-Head attention

- Learn  $h$  different linear projections of  $Q, K, V$
- Compute attention separately on each of these  $h$  versions
- Concatenate and project the resultant vectors to a lower dimensionality.
- Each attention head can use low dimensionality



$$\text{MultiHead}(Q, K, V) = \text{Concat}(\text{head}_1, \dots, \text{head}_h)W^O$$

$$\text{where } \text{head}_i = \text{Attention}(QW_i^Q, KW_i^K, VW_i^V)$$

## Positional Encoding (1-D)

How to capture sequence order?

Add positional embeddings to input embeddings

- Same dimension
- Can be learned or fixed

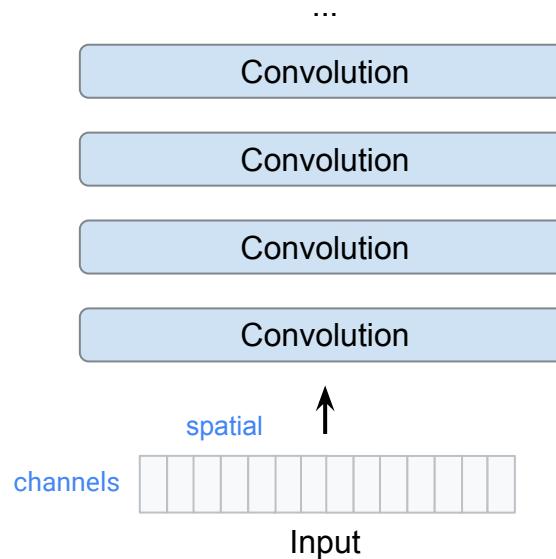
Fixed encoding: sin / cos of different frequencies:

$$PE_{(pos,2i)} = \sin(pos/10000^{2i/d_{\text{model}}})$$

$$PE_{(pos,2i+1)} = \cos(pos/10000^{2i/d_{\text{model}}})$$

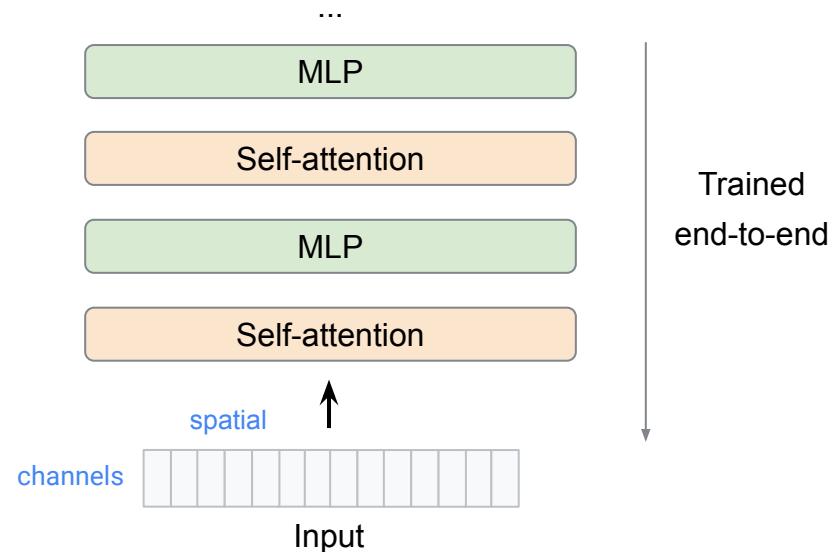
# ConvNet vs Transformer

ConvNet



**Convolutions** (with kernels  $> 1 \times 1$ ) mix both the channels and the spatial locations

Transformer (encoder)

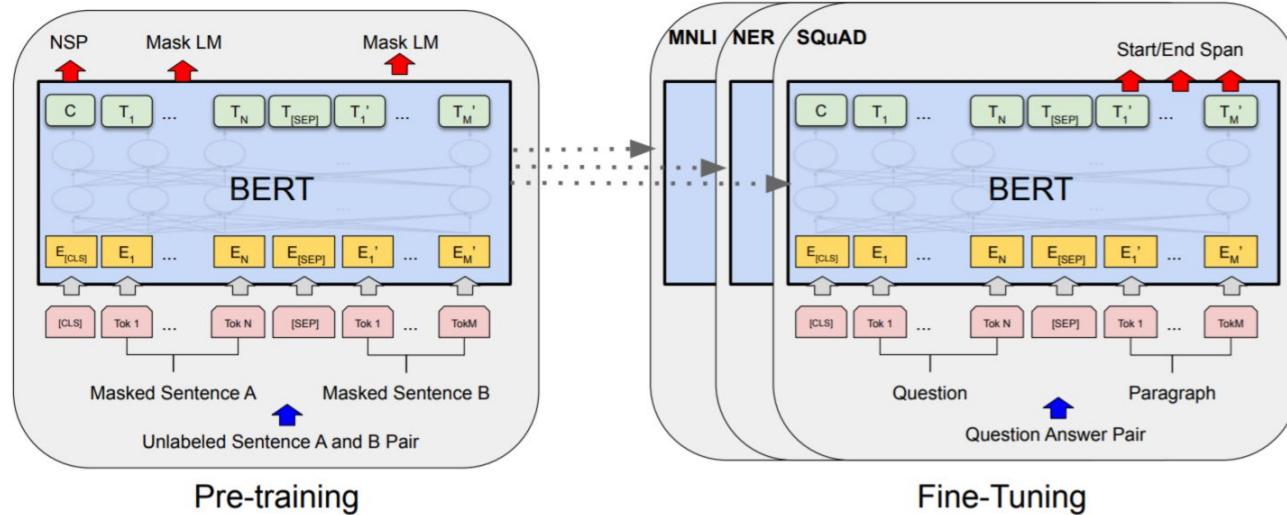


**MLPs** ( $= 1 \times 1$  convs) only mix the channels, per location  
**Self-attention** mixes the spatial locations (and channels a bit)

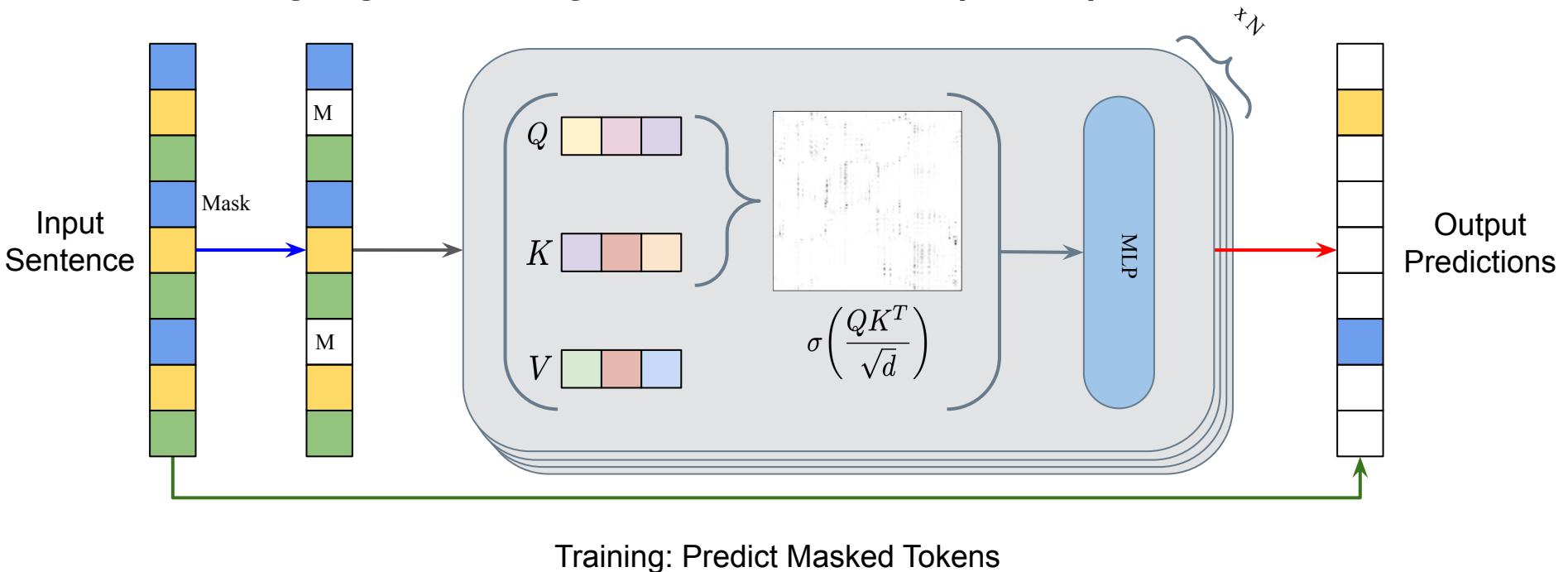
\*ResNets have grouped of  $1 \times 1$  convolutions that are nearly identical to transformer's MLPs

# BERT model in NLP

- Transformers pre-trained self-supervised perform great on many NLP tasks
  - Masked language modeling (MLM)
  - Next sentence prediction (NSP)



# Masked language modeling with Transformers (in NLP)



$$\mathcal{L}_{\text{MLM}}(X; \theta) = \mathbb{E}_{x \sim X} \mathbb{E}_{\text{mask}} \sum_{i \in \text{mask}} \log p(x_i | x_{j \notin \text{mask}}; \theta)$$

(mask 15% at a time)

# T5, GPT-3

- T5 (Text-to-Text Transfer Transformer)
  - Formulate many NLP tasks as text-to-text
  - Pre-train a large transformer BERT-style and show that it transfers really well
- GPT-3 (Generative Pre-Training)
  - Same basic approach, but generative pre-training and even larger model
  - Zero-shot transfer to many tasks: no need for fine-tuning!

Large-scale self-supervised pre-training “solved”\* NLP

\*at least made some really impressive progress

# Transformers for image classification

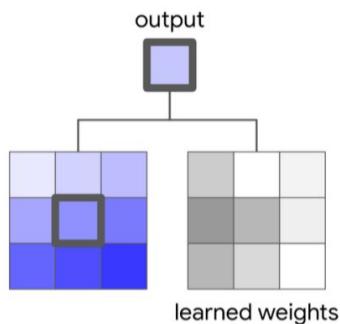
# Transformers for vision?

- “LSTM → Transformer” ~ “ConvNet → ??? ”
- Issue with self-attention for vision: computation is quadratic in the input sequence length, quickly gets very expensive (with > few thousand tokens)
  - For ImageNet: 224x224 pixels → ~50,000 sequence length
  - Even worse for higher resolution and video

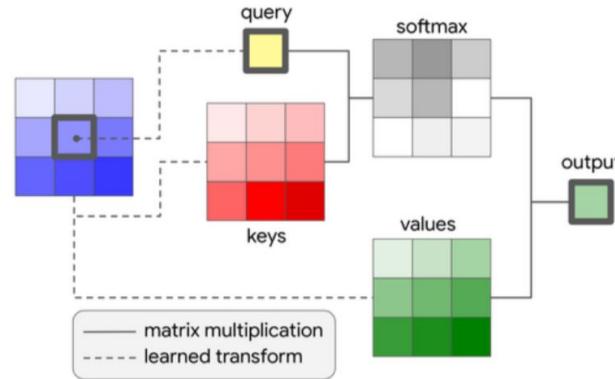
How can we deal with this quadratic complexity?

# Local Self-Attention

Convolution



Local self-attention



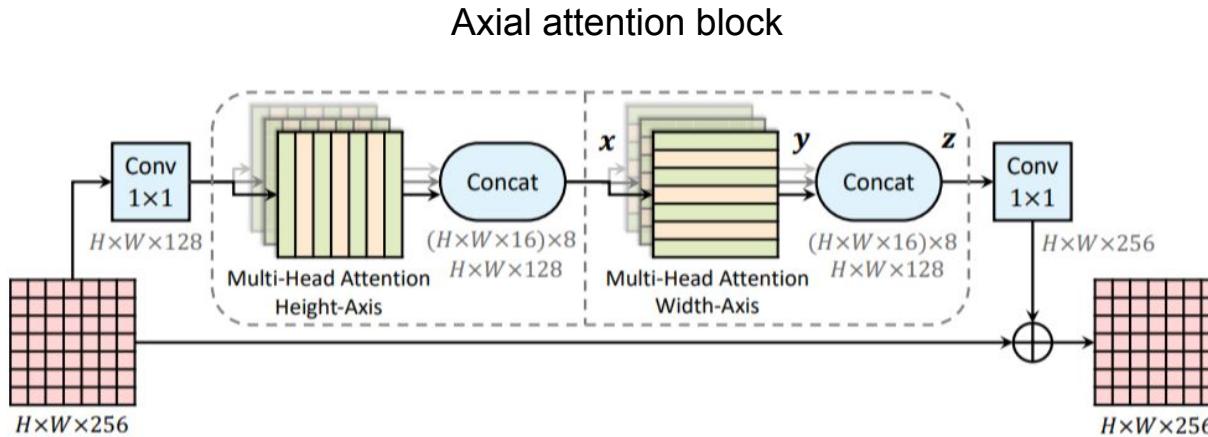
Idea: Make self-attention local, use it instead of convs in a ResNet

[Hu et al., Local Relation Networks for Image Recognition, ICCV 2019](#)

[Ramachandran et al., Stand-Alone Self-Attention in Vision Models, NeurIPS 2019](#)

[Zhao et al., Exploring Self-attention for Image Recognition, CVPR 2020](#)

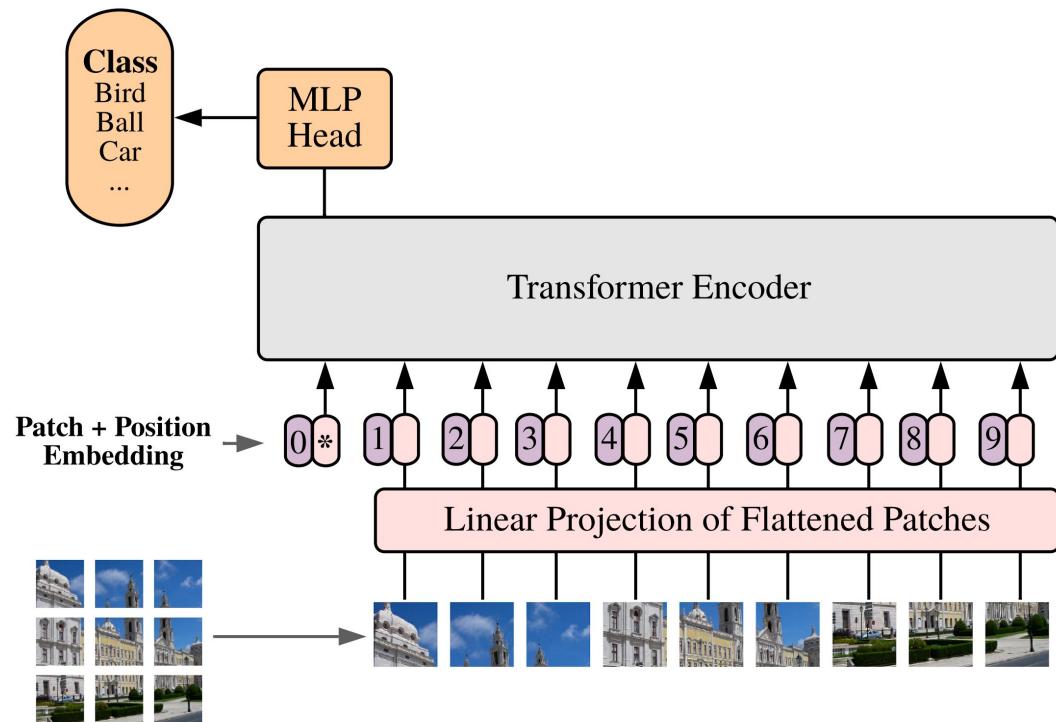
# Axial Self-Attention



Idea: Make self-attention 1D (a.k.a. axial), use it instead of convs

# Vision Transformer (ViT)

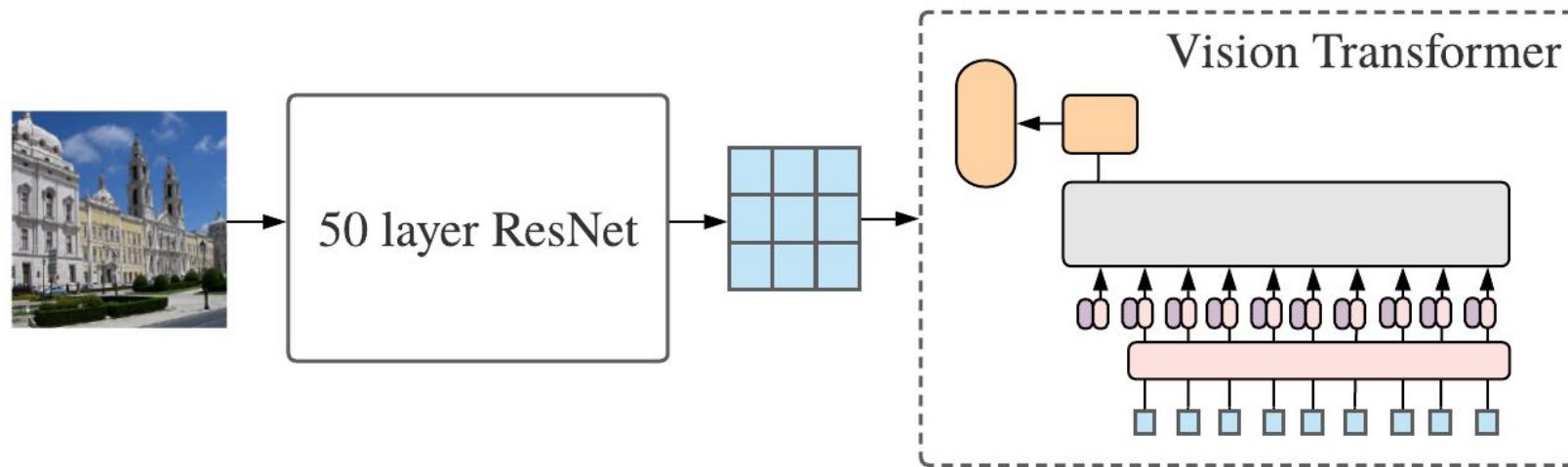
**Idea:** Take a transformer and apply it directly to image patches



[Cordonnier et al., On the Relationship between Self-Attention and Convolutional Layers, ICLR 2020](#)

[Dosovitskiy et al., An Image is Worth 16x16 Words: Transformers for Image Recognition at Scale, ICLR 2021](#)

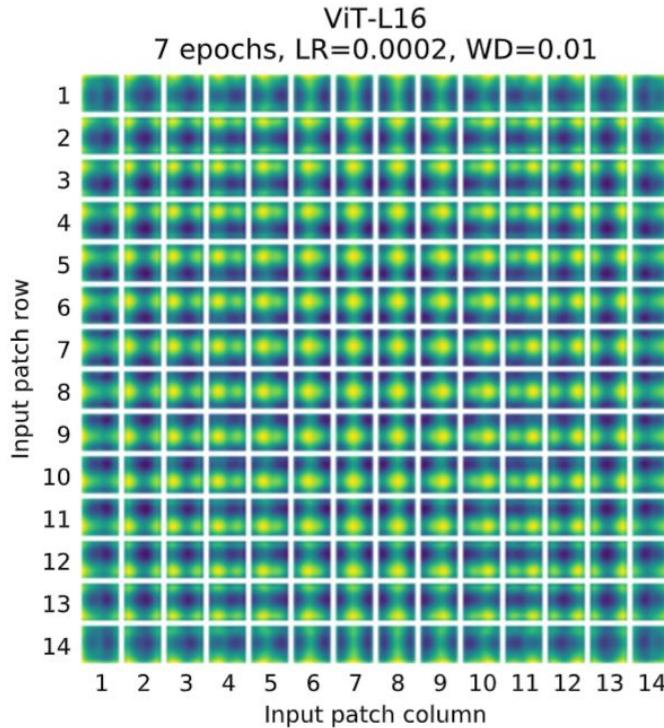
# ResNet-ViT Hybrid



[Bichen Wu et al. Visual Transformers: Token-based Image Representation and Processing for Computer Vision, arXiv 2020](#)

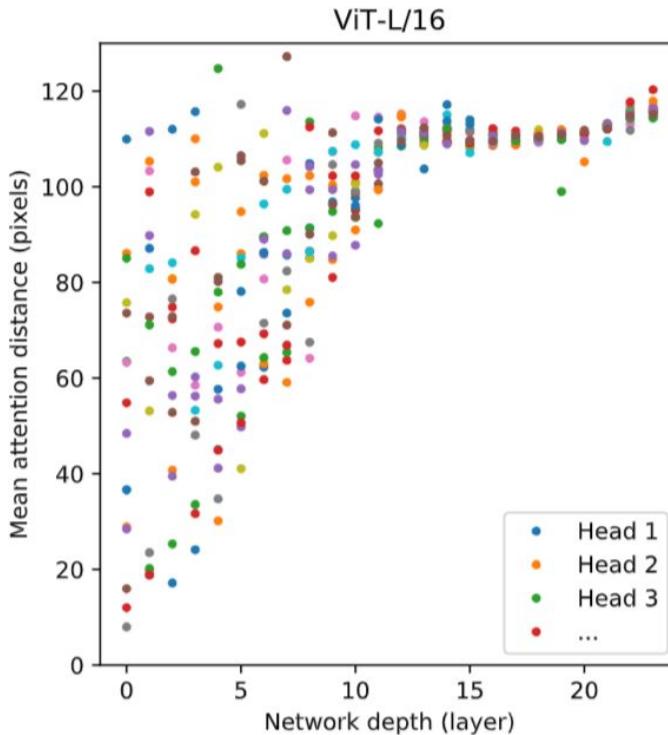
[Dosovitskiy et al., An Image is Worth 16x16 Words: Transformers for Image Recognition at Scale, ICLR 2021](#)

# Analysis: Learned Position Embeddings



**Conclusion:** Learns intuitive local structures, but also deviates from locality in interesting ways

# Analysis: “Receptive Field Size”



**Conclusion:** Initial layers are partially local, deeper layers are global

# Scaling with Data

## Key

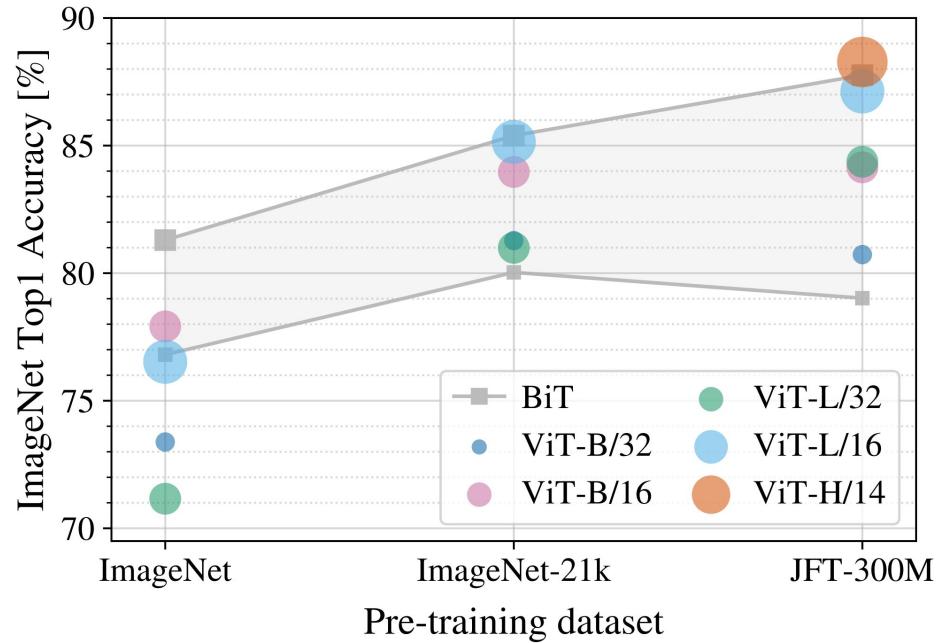
*ViT* = Vision Transformer

*BiT* = Big Transfer (~ResNet)

ViT overfits on ImageNet, but shines on larger datasets

\* with heavy regularization ViT has been shown to also work on ImageNet (Touvron et al.)

\*\* training ViT on ImageNet with the sharpness-aware minimizer (SAM) also works very well (Chen et al.)



[Dosovitskiy et al., An Image is Worth 16x16 Words: Transformers for Image Recognition at Scale, ICLR 2021](#)

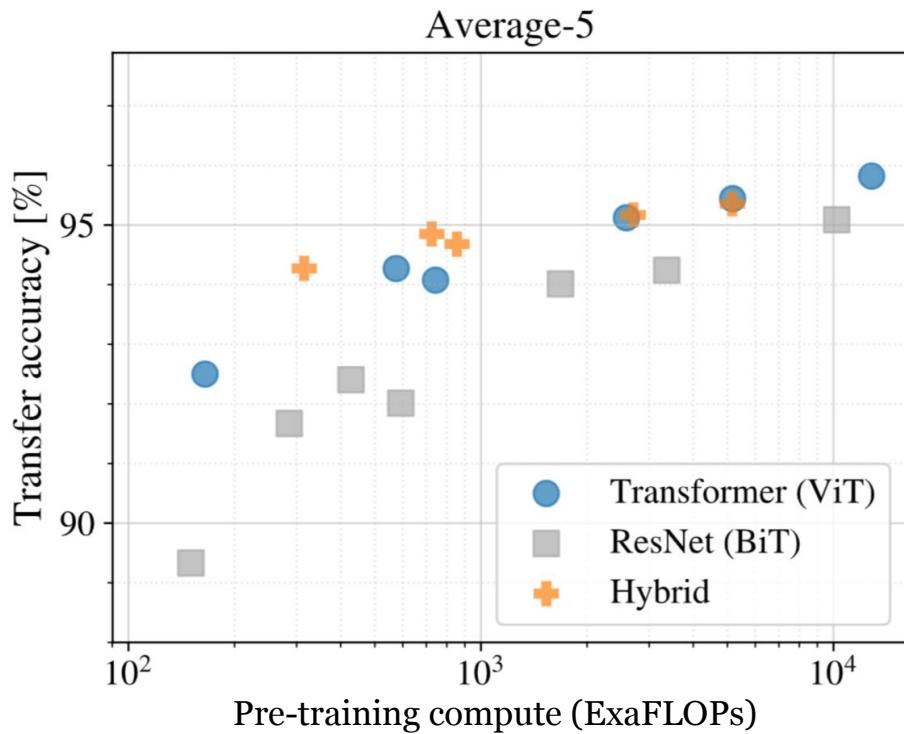
[Xiangning Chen et al., When Vision Transformers Outperform ResNets without Pretraining or Strong Data Augmentations, arXiv 2021](#)

[Touvron et al., Training data-efficient image transformers & distillation through attention, arXiv 2020](#)

# Scaling with Compute

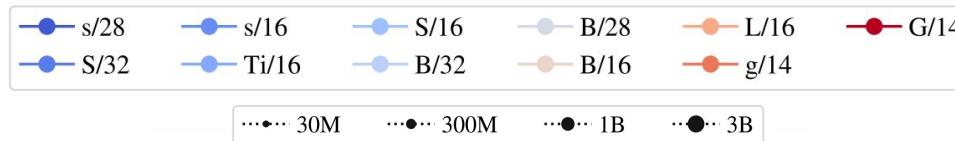
Given sufficient data, ViT gives good performance/FLOP

Hybrids yield benefits only for smaller models



# Scaling Laws

How many images do you need for a big model & vice-versa?



Power-law  
behaviour  
 $E=aC^{-b}$

ImageNet 10-shot error rate [%]

$$E = 0.12 + 0.63(C + 0.52)^{-0.32}$$

Compute (TPUv3 core days)

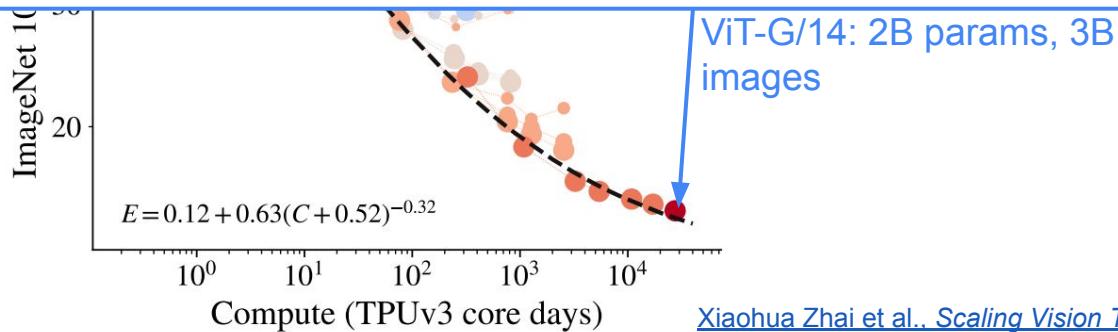
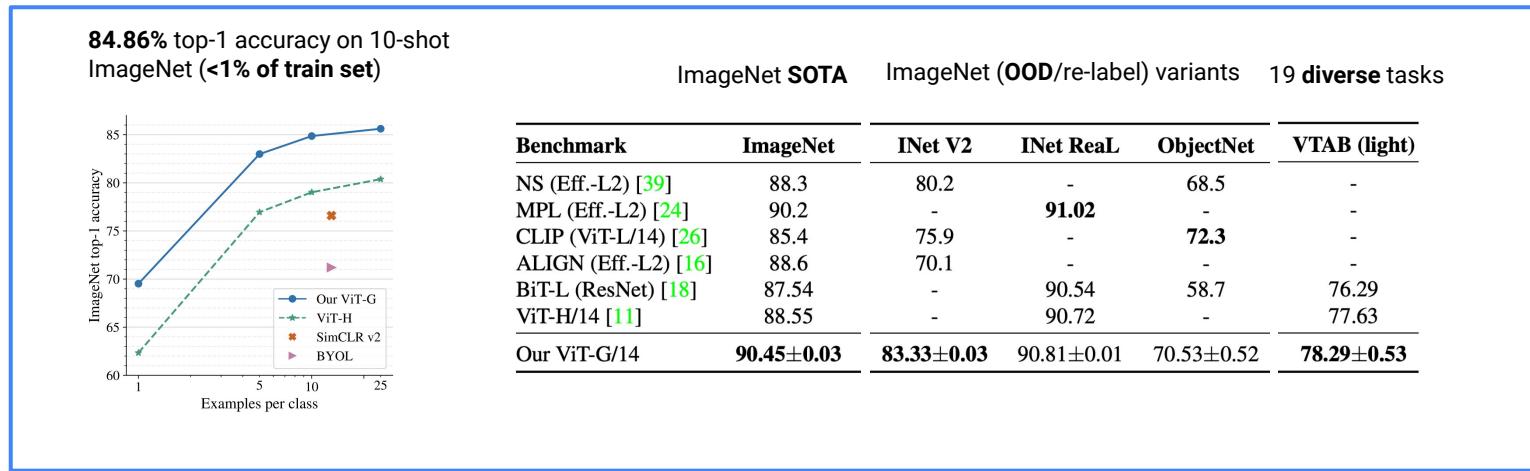
Small models can't make use of >30M examples

Even 300M examples are insufficient for large models

Saturates before y=0

# Scaling Laws

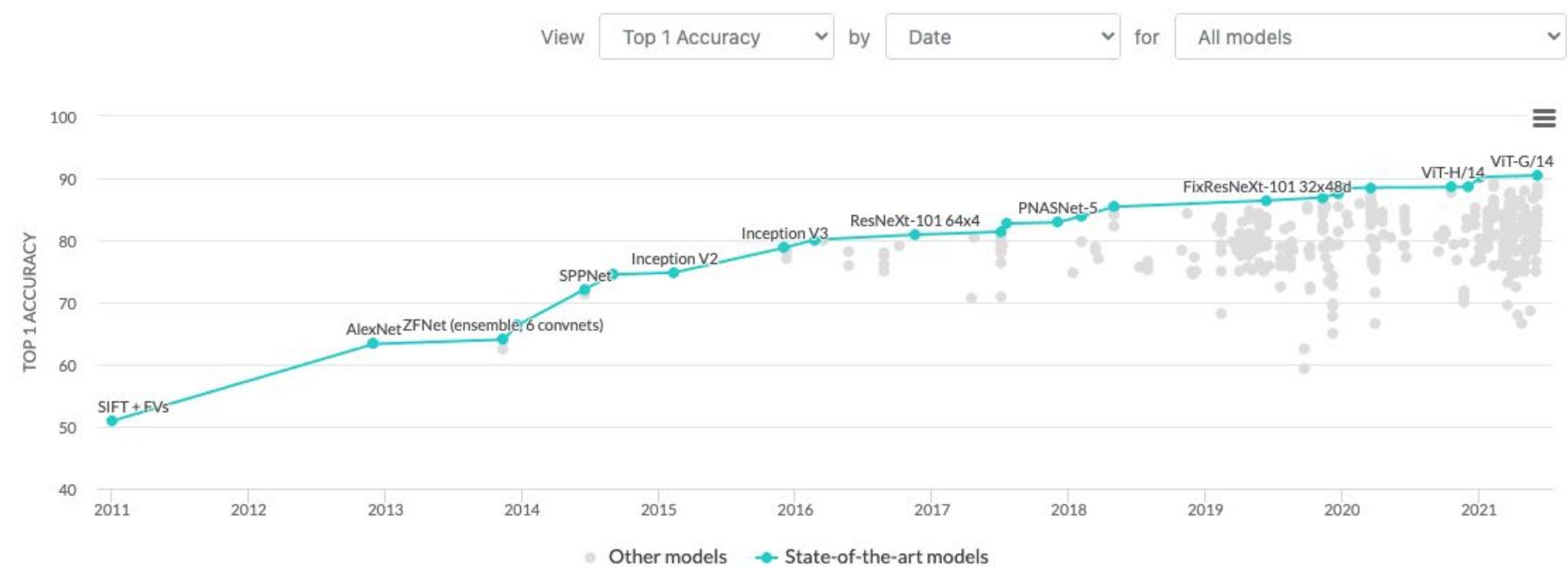
How many images do you need for a big model & vice-versa?



# Image Classification on ImageNet

Leaderboard

Dataset



# Summary

Transformer model:

- Alternating layers of self-attention & MLP
- Very few assumptions built into model
- Trained end-to-end
- Easy to scale to be very wide & deep
- Originally applied to NLP (sequences of words)
- Lots of variants in architecture & application

Transformers in vision:

- How to represent image pixels?
  - Too many, given quadratic scaling of model
  - Position in 2D array
- Below SOTA for small models/data (Convnet/Resnets superior)
- SOTA at very large scale (100M-1B images)

## Admin Interlude

HPC situation:

- Everyone should now have an HPC account
- Come and see me after if not!

HPC staff have setup GCP account that we can use through Greene login

- Class TAs will hold session to explain this

Projects

- Time to start on projects
- Google doc with some ideas posted in Piazza
  - Will be adding more ideas
- Feel free to come up with your own
- Teams of 2 or 3 people (no teams of 1)
- Every team must chat with me about their proposed idea
  - I will tell you if it is feasible/realistic or not.