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SYDNEY



NUS
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UTS:QSI
CENTRE FOR QUANTUM SOFTWARE AND INFORMATION

Moderate Deviation Analysis for Classical-Quantum Channels

Speaker: Hao-Chung Cheng

[arXiv:1701.03114](#)

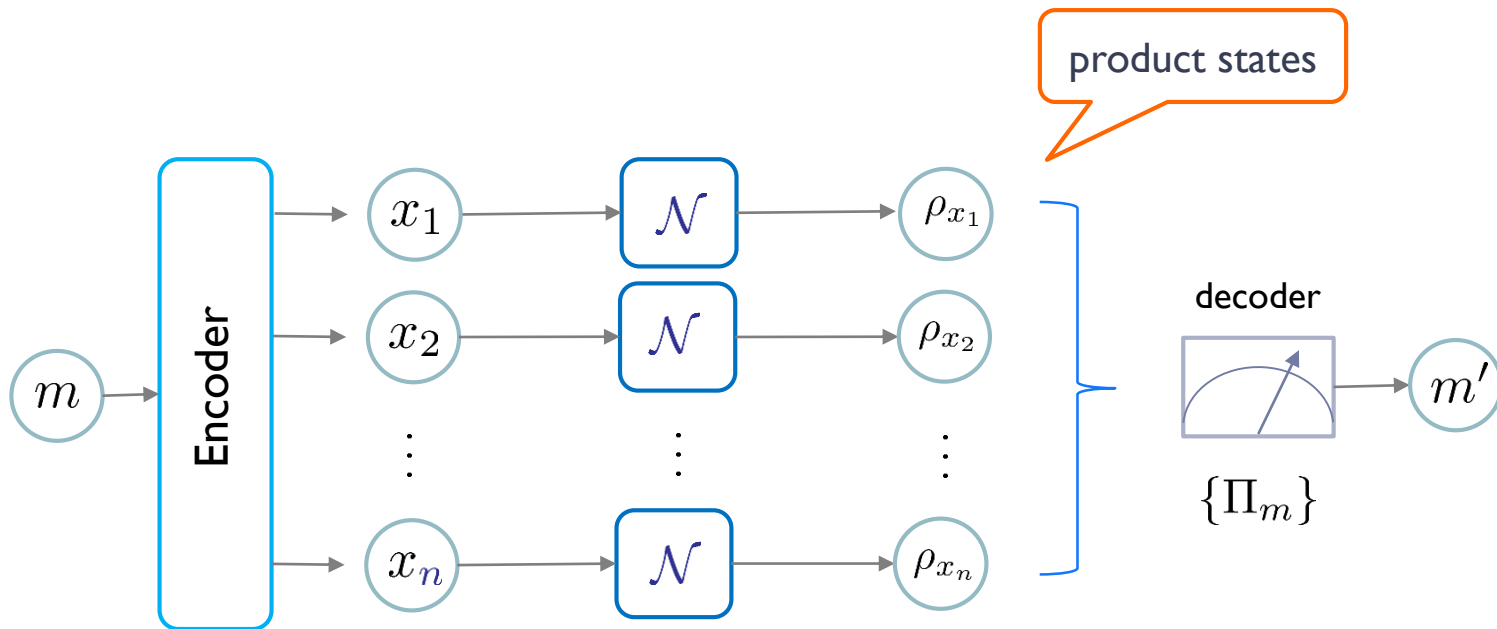
Moderate deviation analysis for classical communication over quantum channels
by Christopher Chubb (USyd), Vincent Tan (NUS), and Marco Tomamichel (UTS)

[arXiv:1701.03195](#)

Moderate deviation analysis for classical-quantum channels and quantum hypothesis testing
by Hao-Chung Cheng and Min-Hsiu Hsieh (UTS)

Motivations

- Communications over a classical-quantum channel:



Three Parameters

trade-off

▶ *Blocklength* – the total number of channel uses

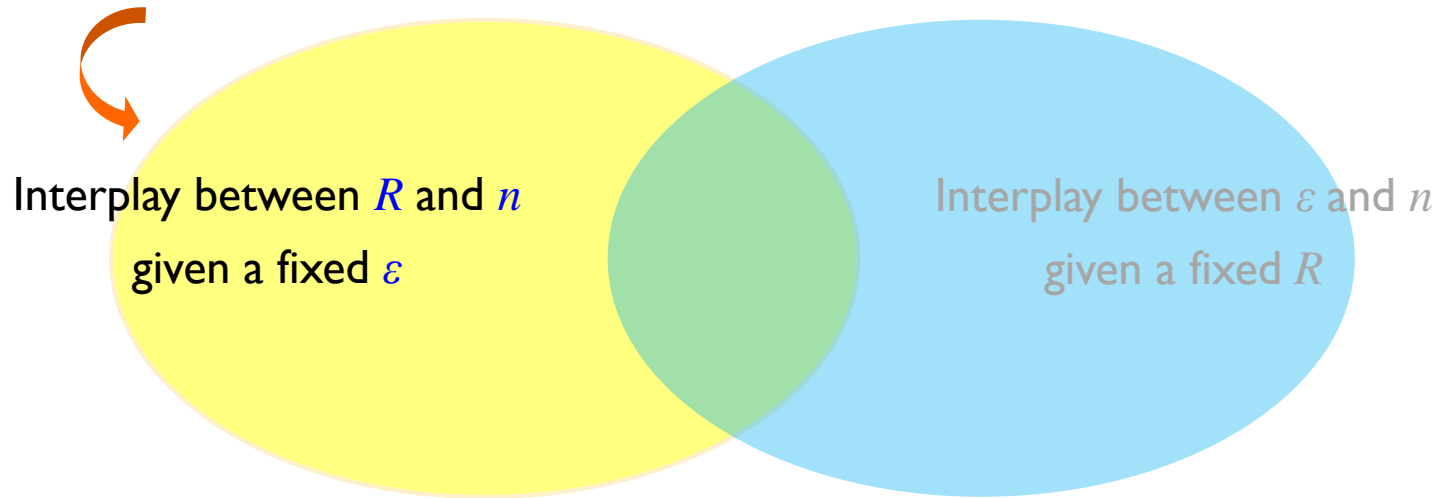


▶ *Rate* – the amount of information (bits) transmitted per channel use

▶ *Optimal error probability* – $\Pr \{m' \neq m\}$ of the best coding scheme

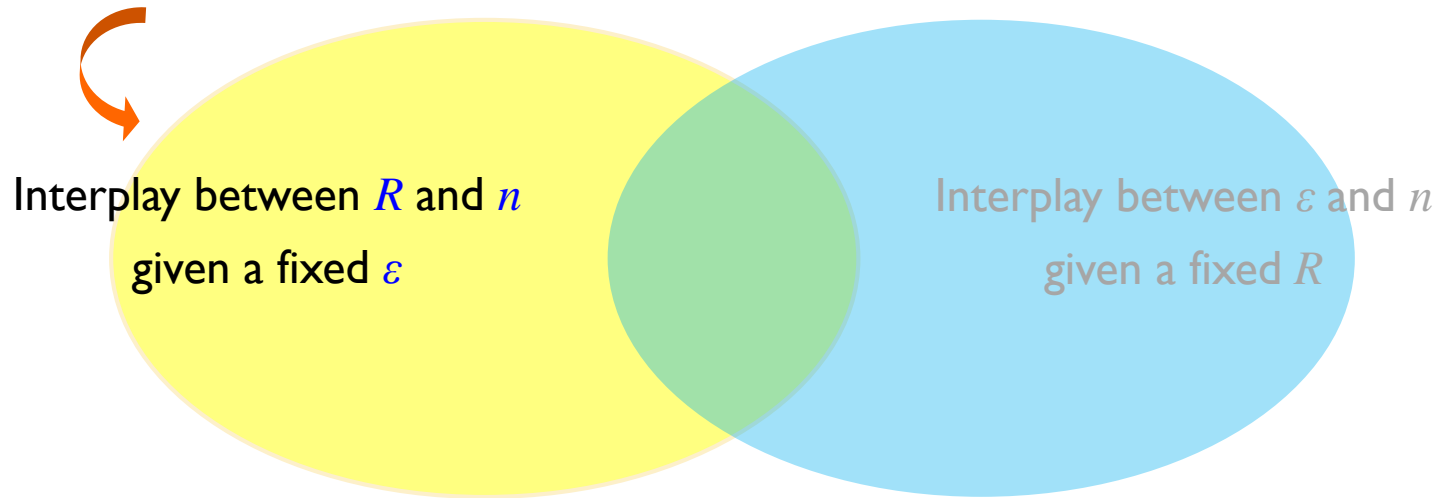
- ▶ Investigate the interplay between the optimum probability of error ε , transmission rate R , and the blocklength n

Interplay of Two Parameters (1/2)



- ▶ Small deviation regime
 - ▶ $R^*(n, \epsilon)$: maximum rate using n times channel with error below ϵ

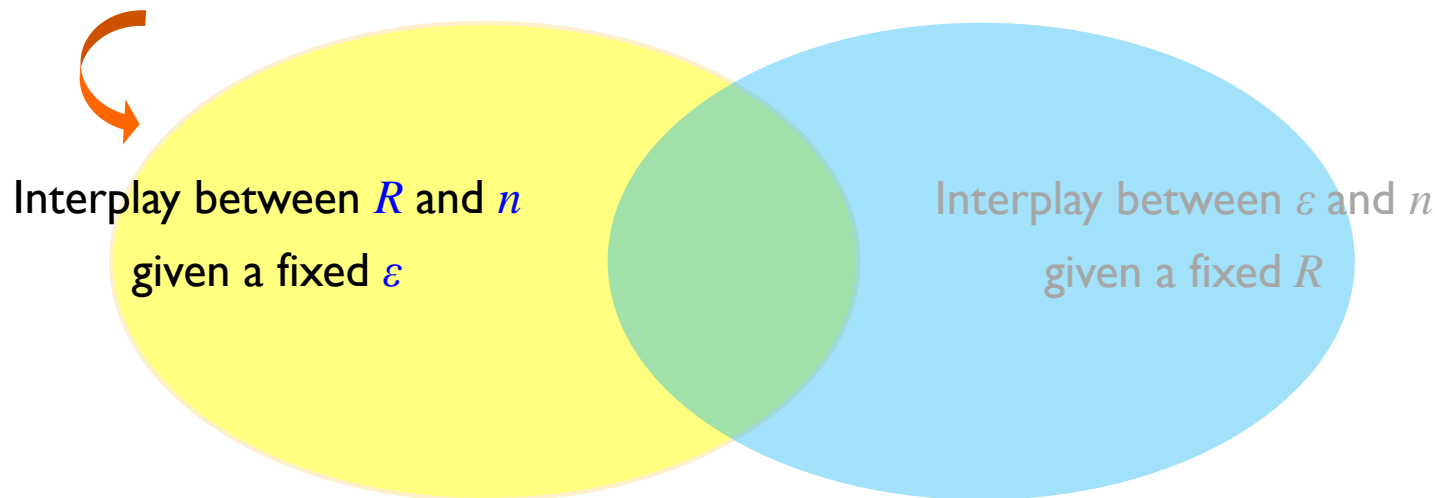
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$$\lim_{n \rightarrow \infty} R^*(n, \epsilon) = C, \quad \forall \epsilon \in (0, 1)$$

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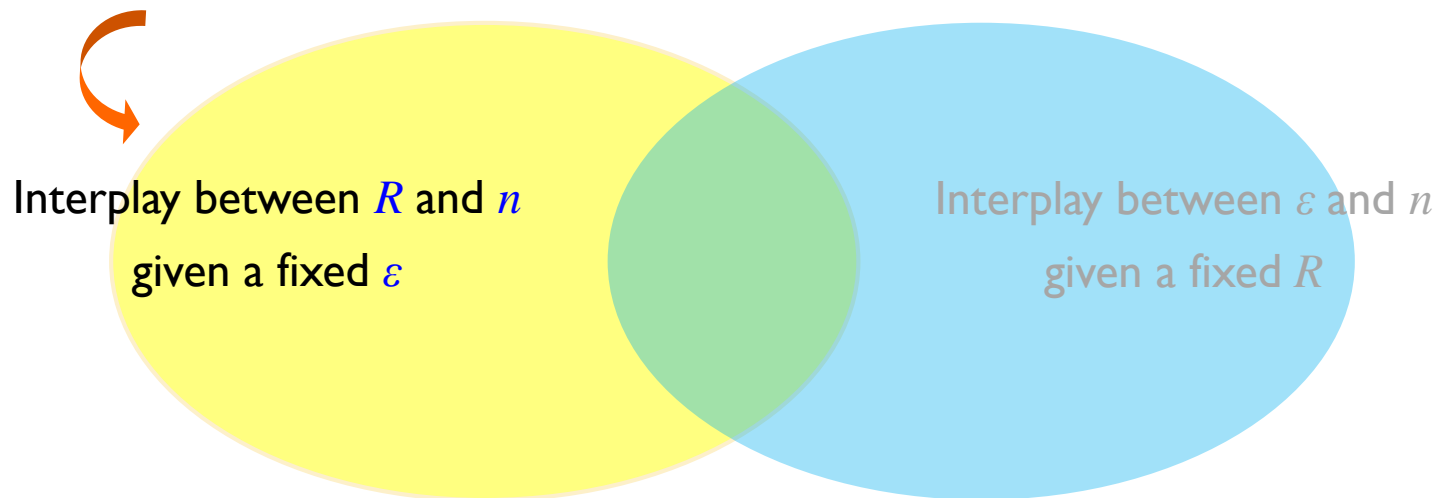
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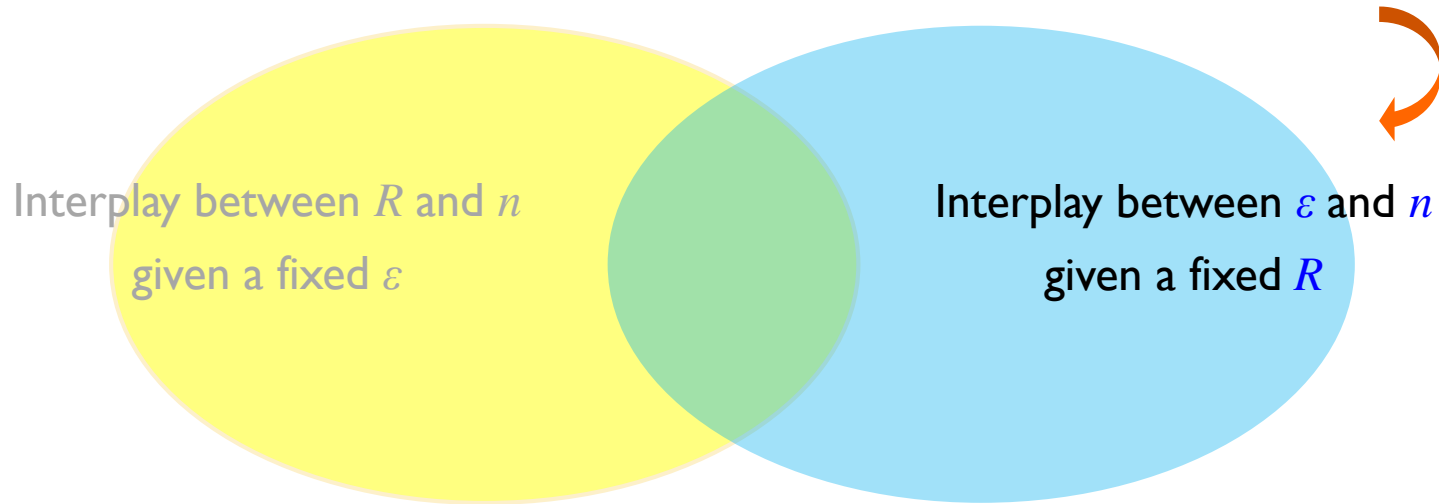
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- Given a *finite blocklength* n and $\epsilon \in (0, 1)$, how large can we choose $R^*(n, \epsilon)$?

$$\Rightarrow R^*(n, \epsilon) = C + \sqrt{\frac{V}{n}} \Phi^{-1}(\epsilon) + O\left(\frac{\log n}{n}\right)$$

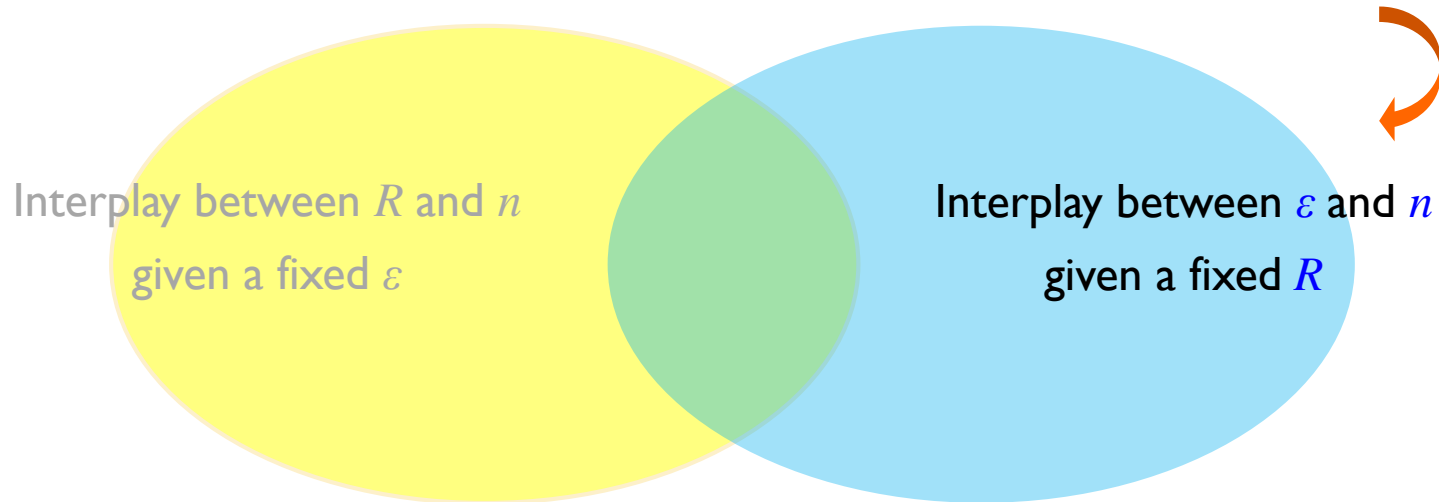
Second-order analysis

Interplay of Two Parameters (2/2)



- ▶ Large deviation regime

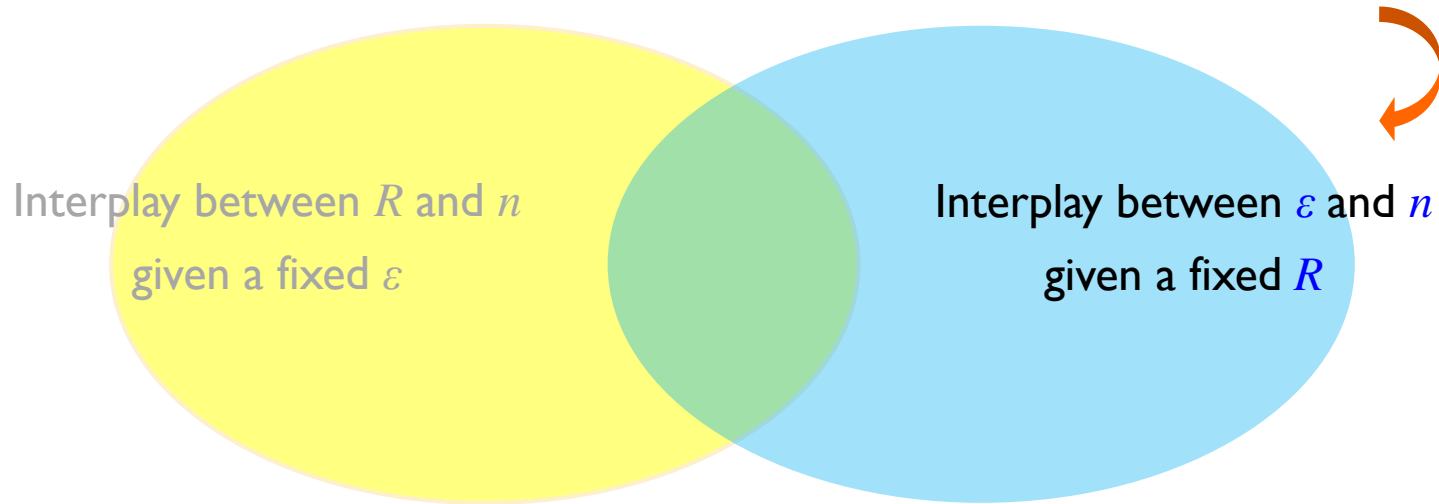
Interplay of Two Parameters (2/2)



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$$\lim_{n \rightarrow \infty} \epsilon^*(n, R) = 0, \forall R < C$$

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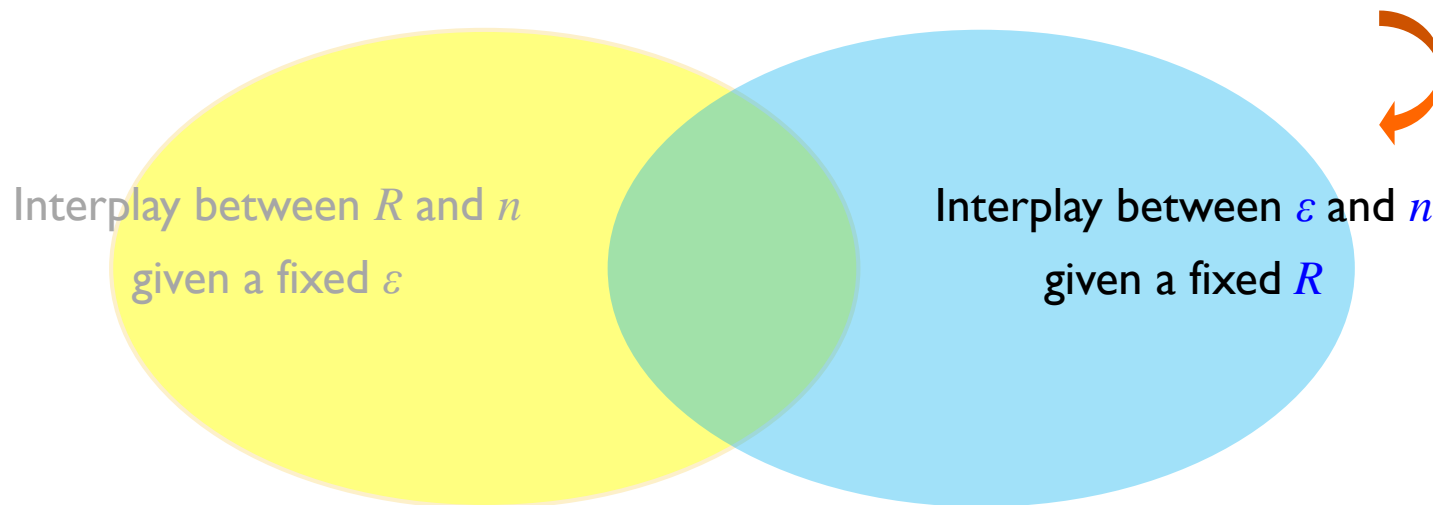


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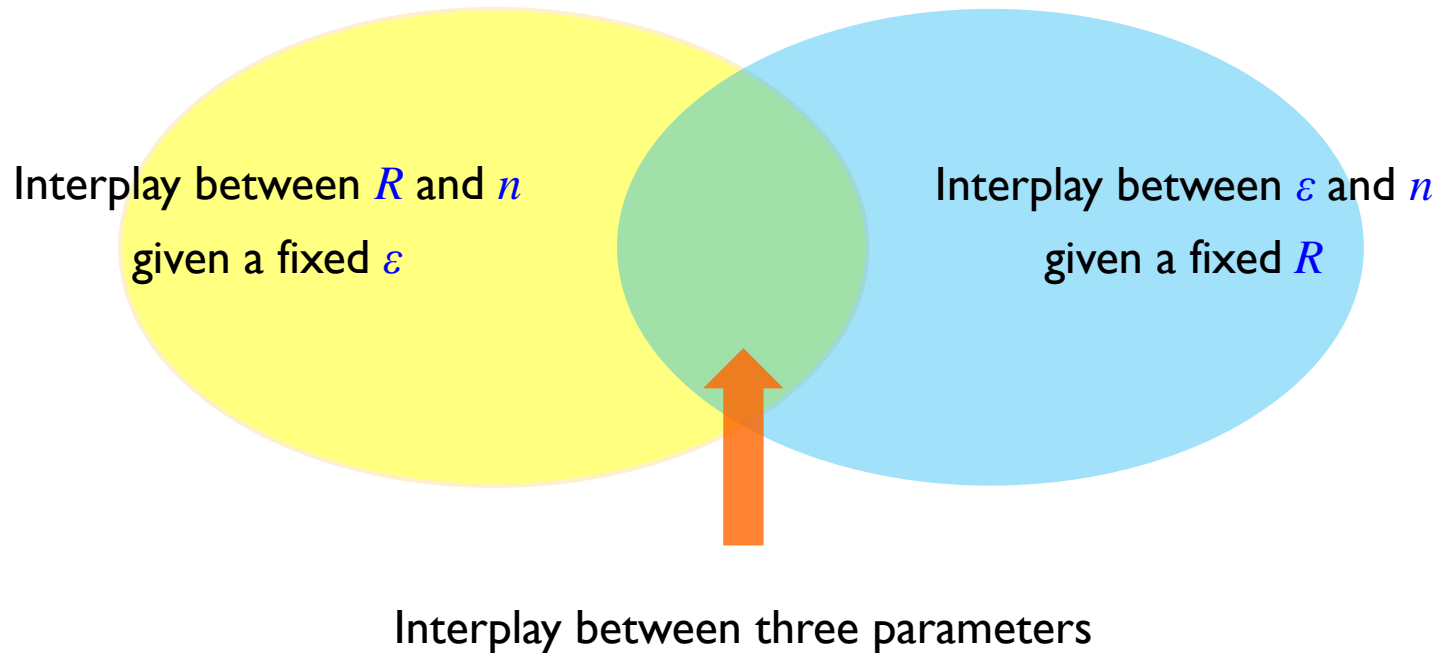
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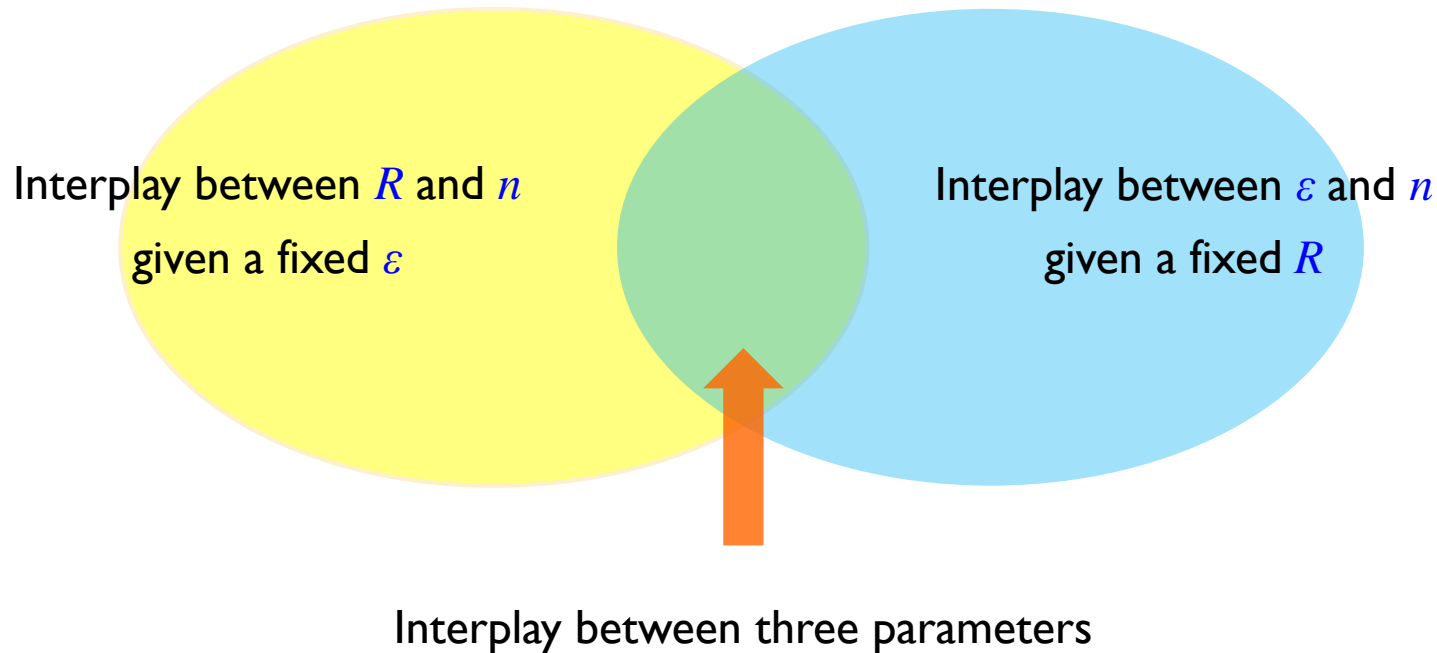
$$\Rightarrow \epsilon^*(n, R) = \exp \{-nE(R) + o(n)\}$$

Error exponent
analysis

Interplay of Three Parameters

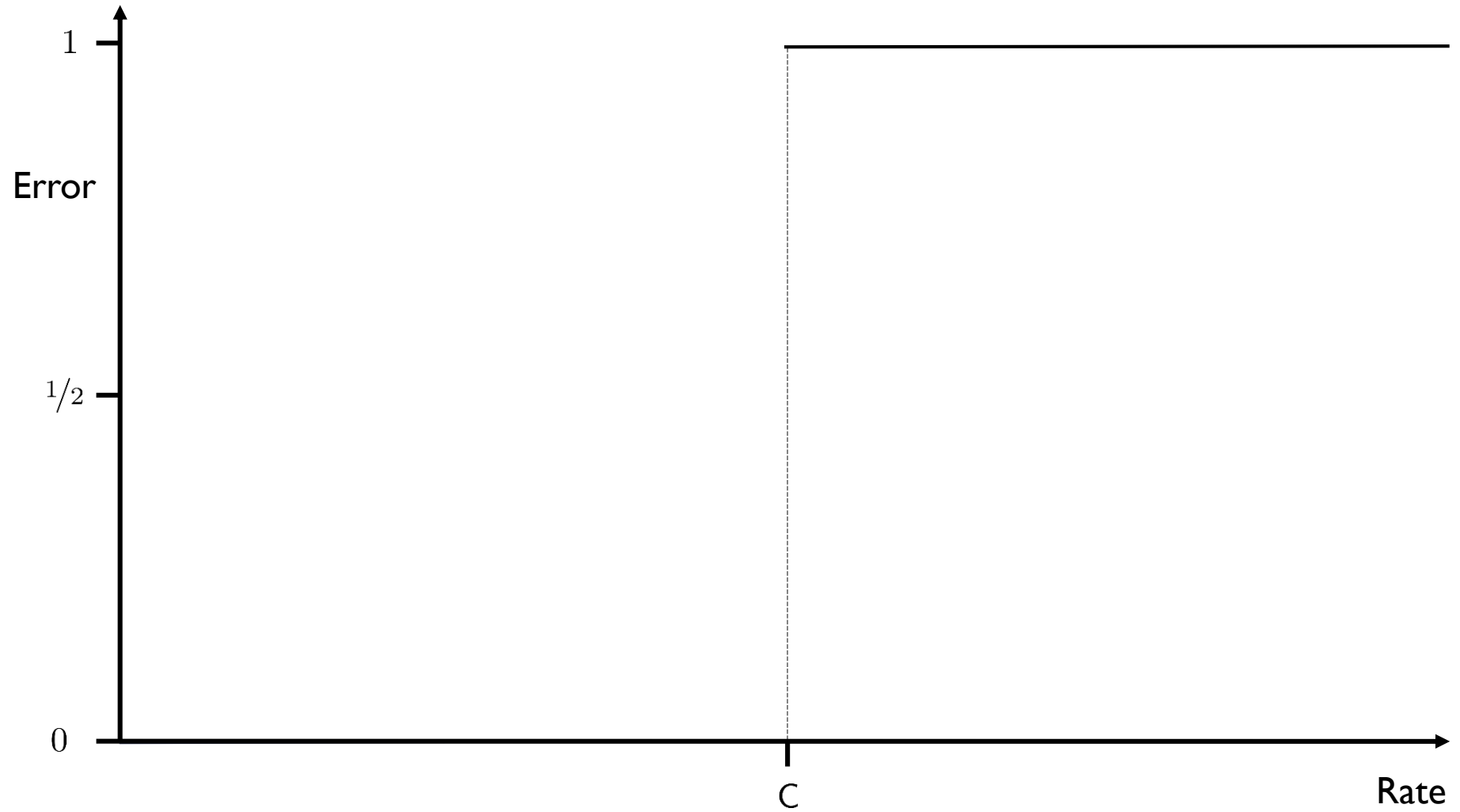


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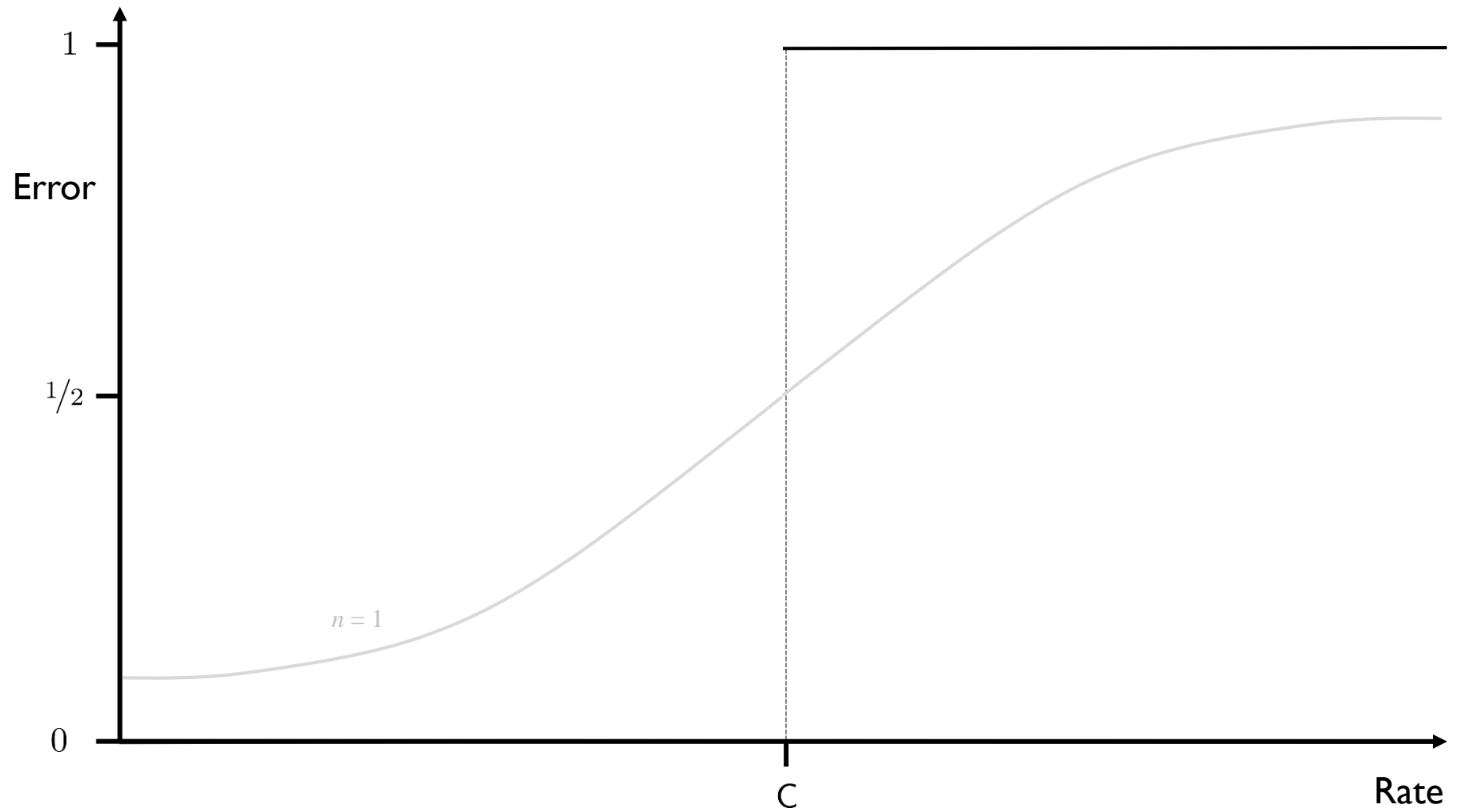


- Q: Is the reliable communication possible as rate approaches capacity?

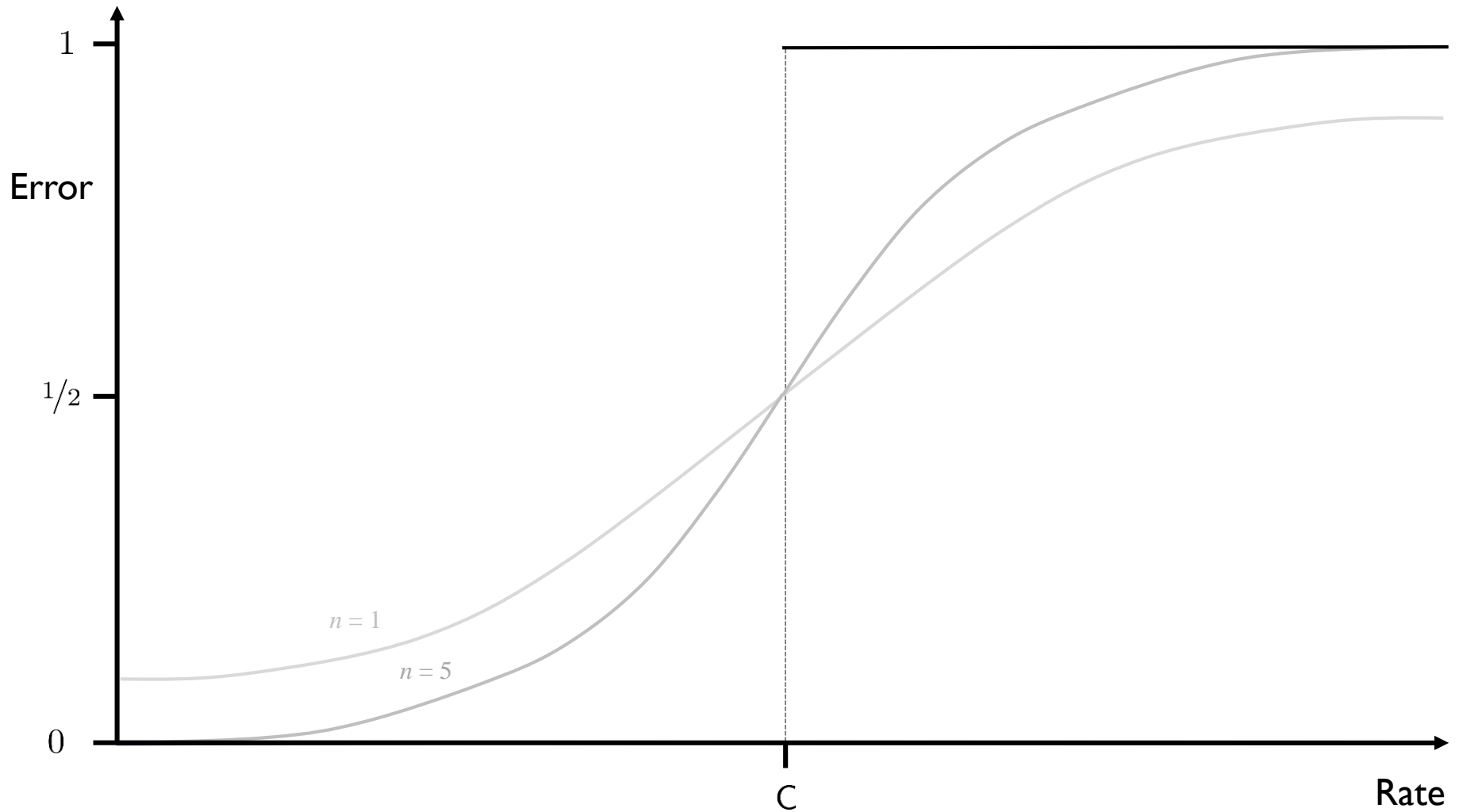
Illustrations



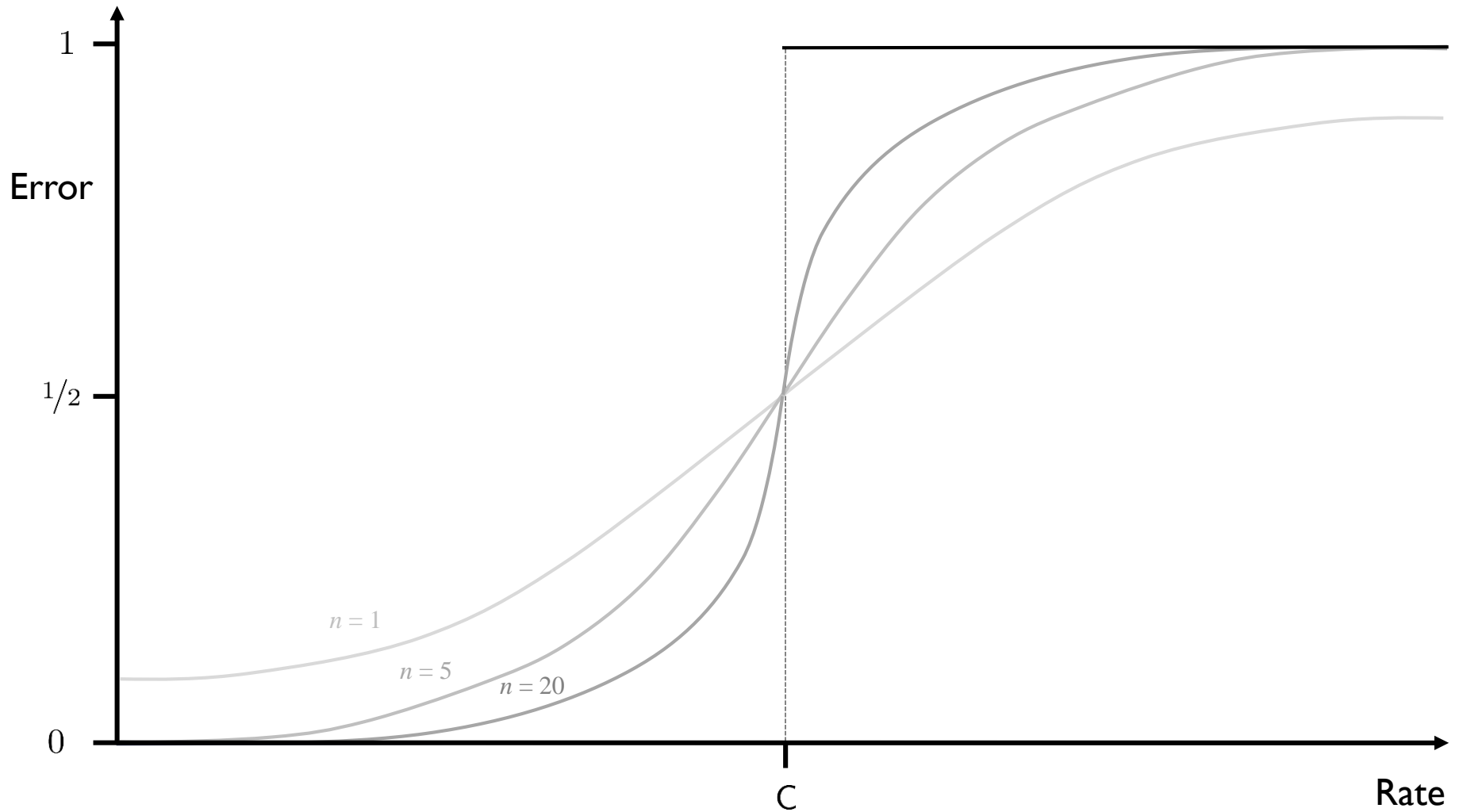
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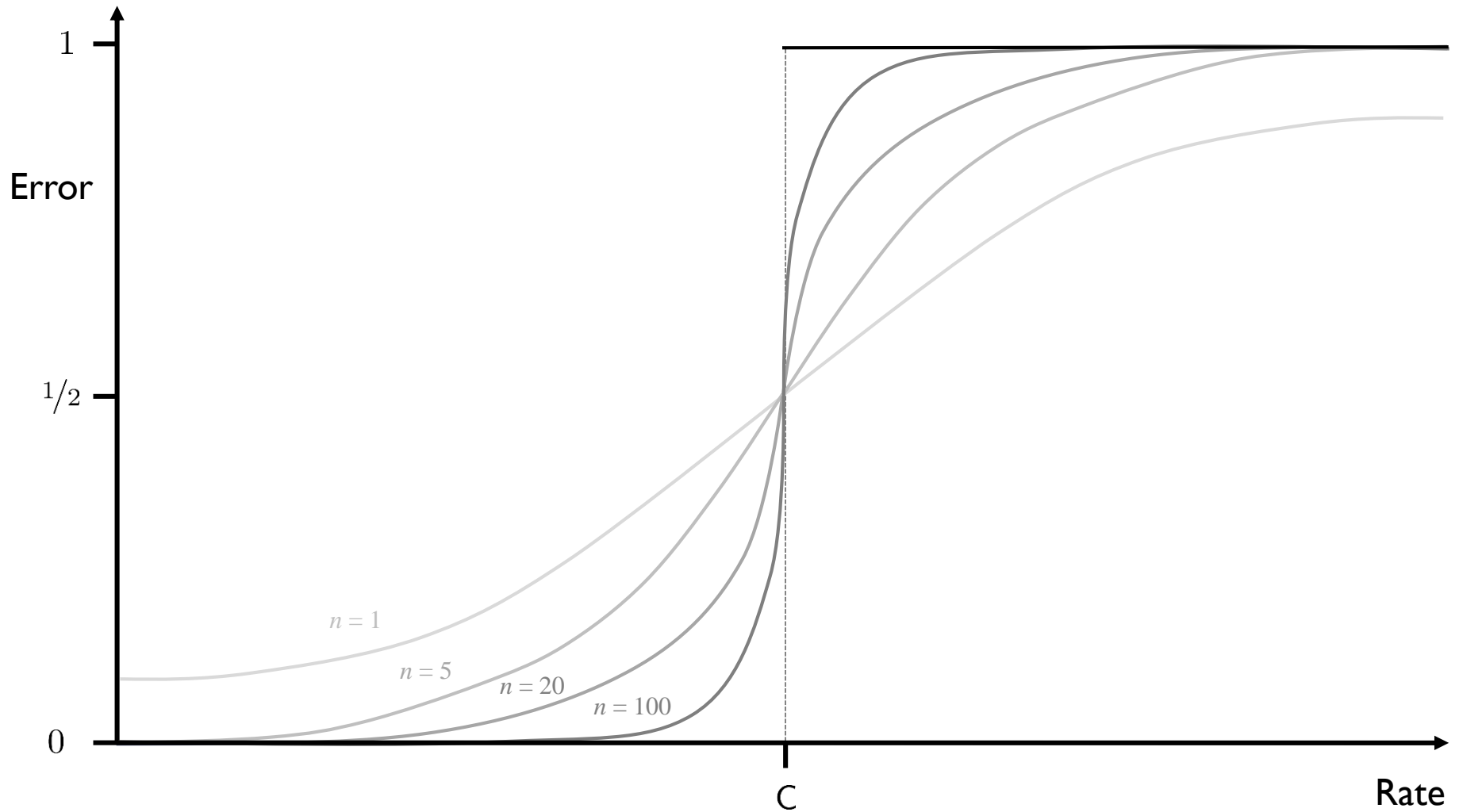
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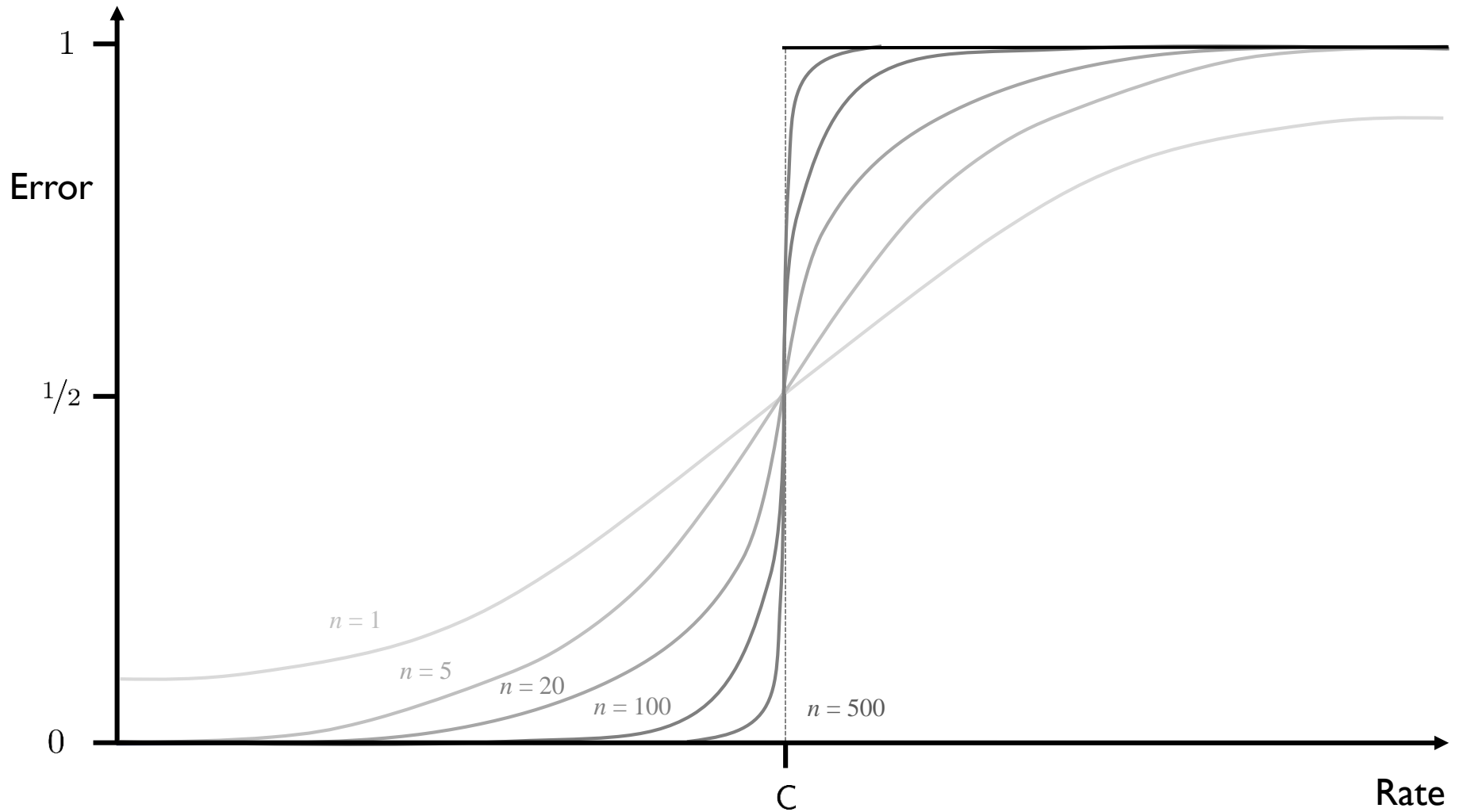
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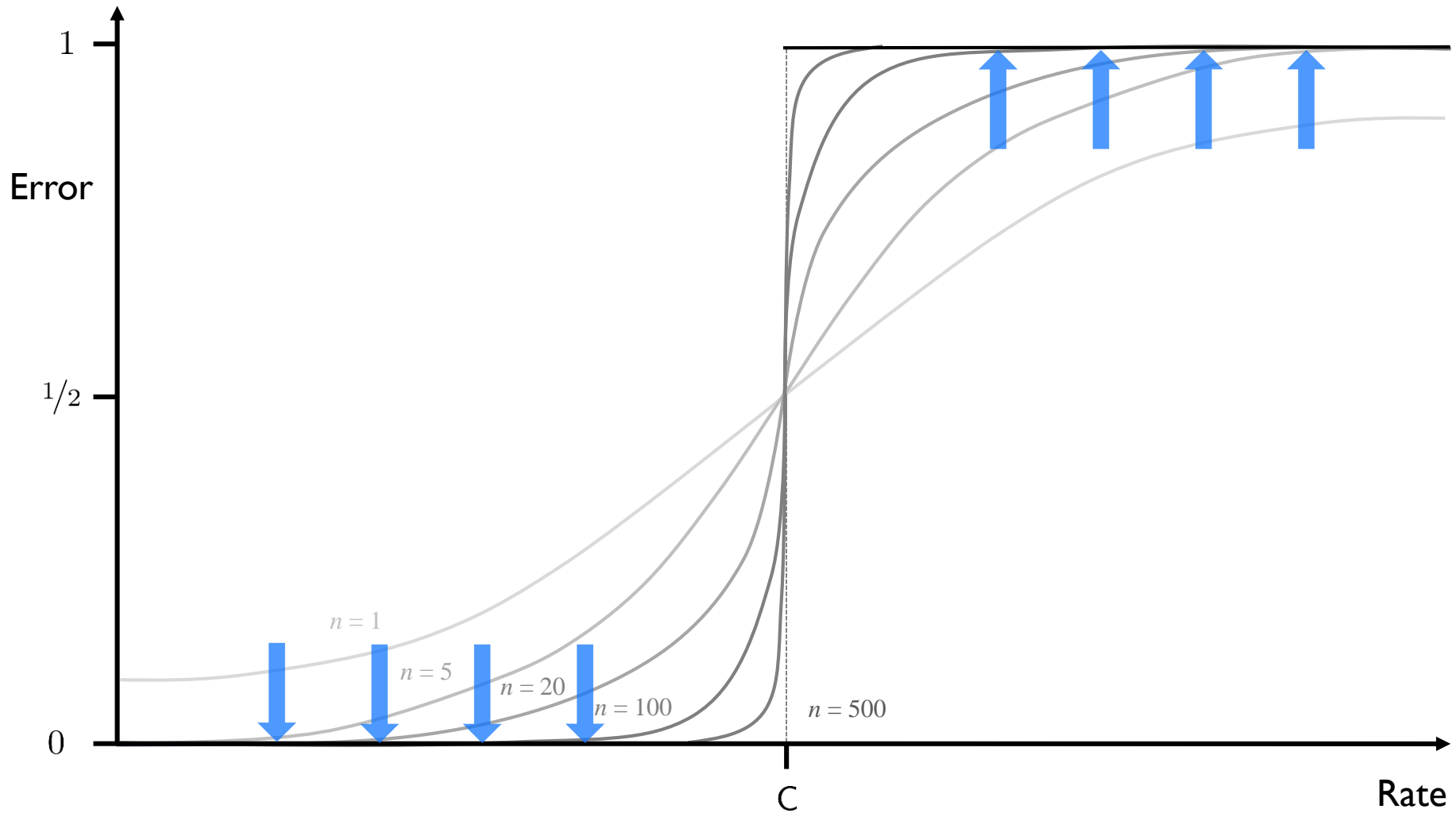
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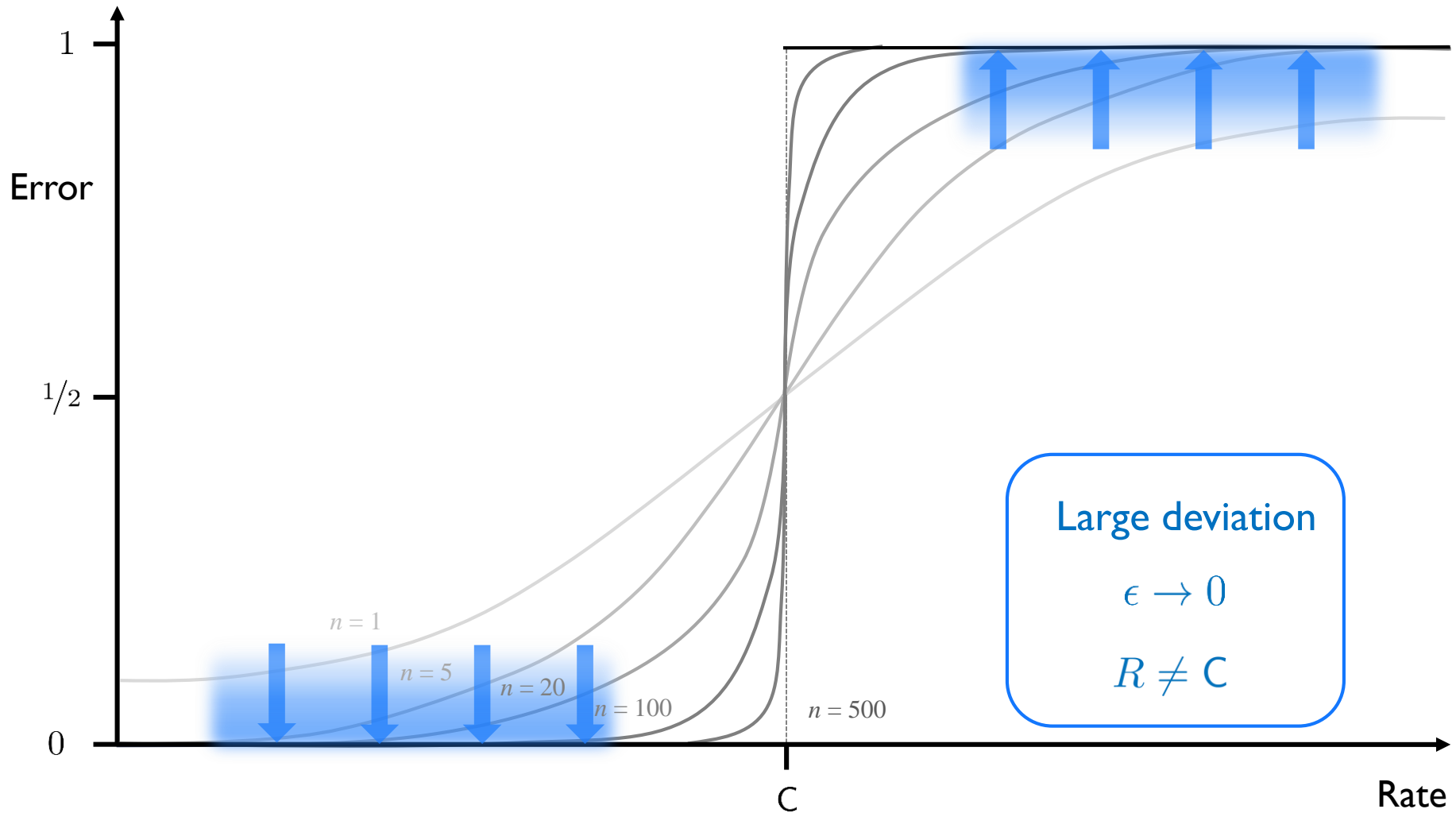
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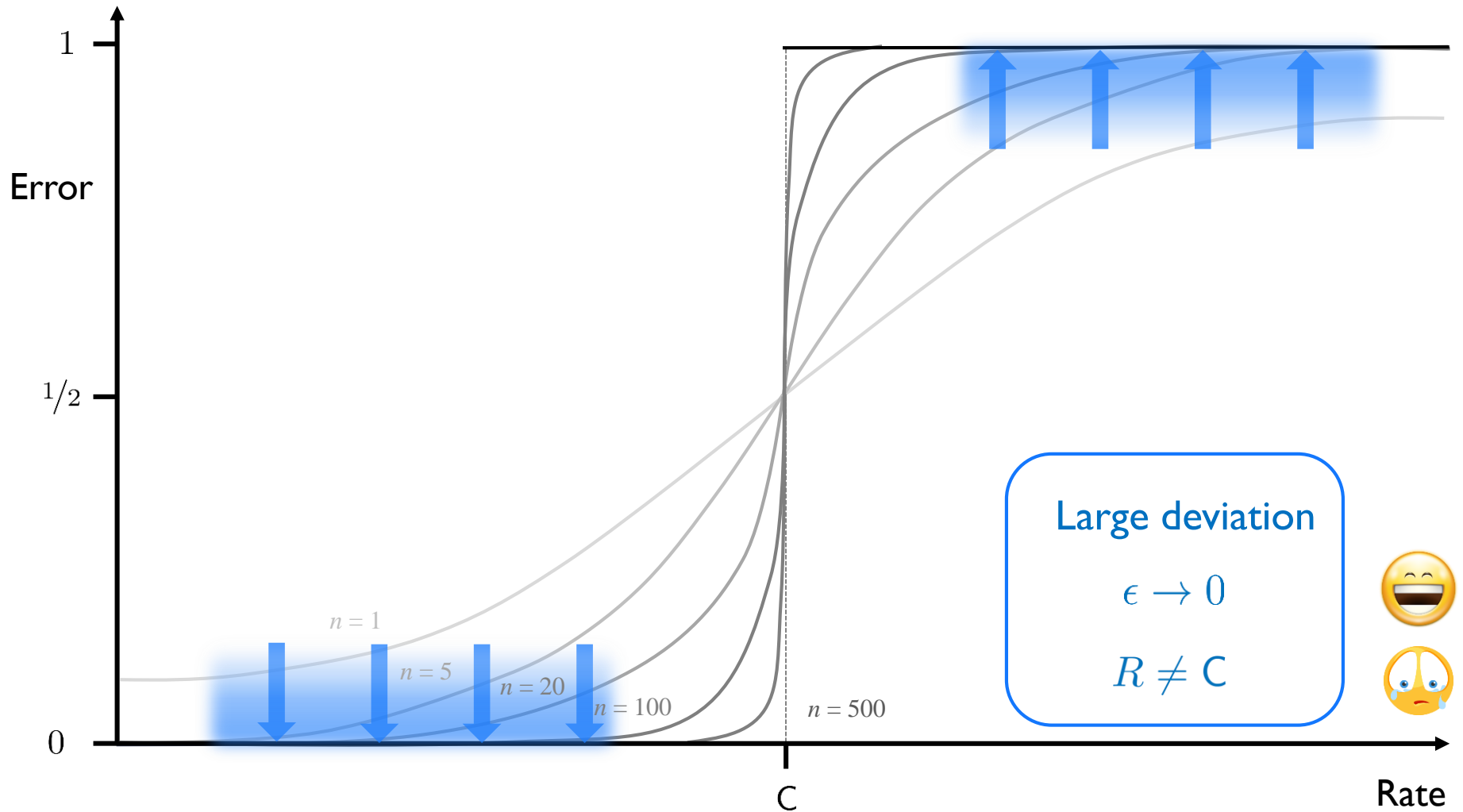
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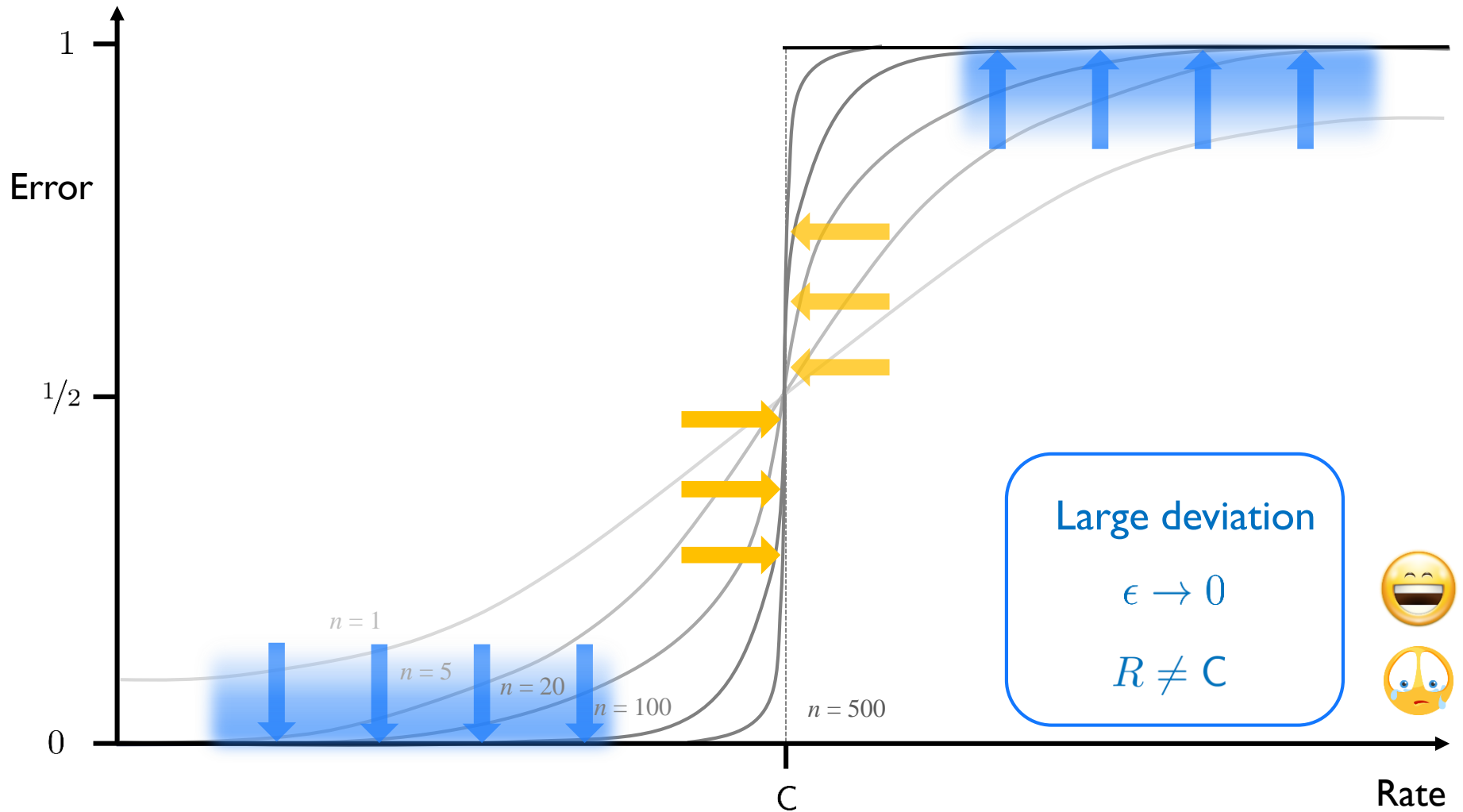
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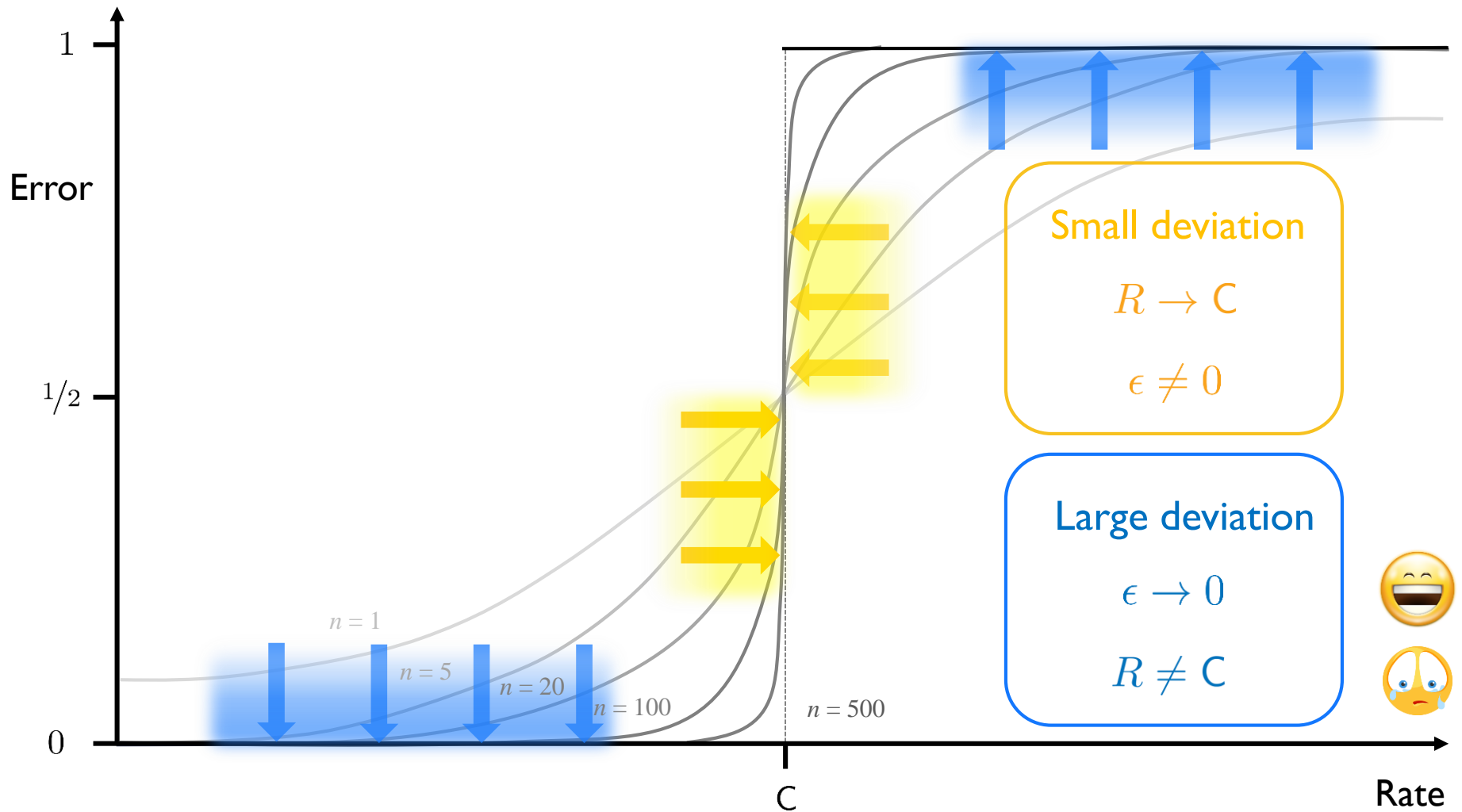
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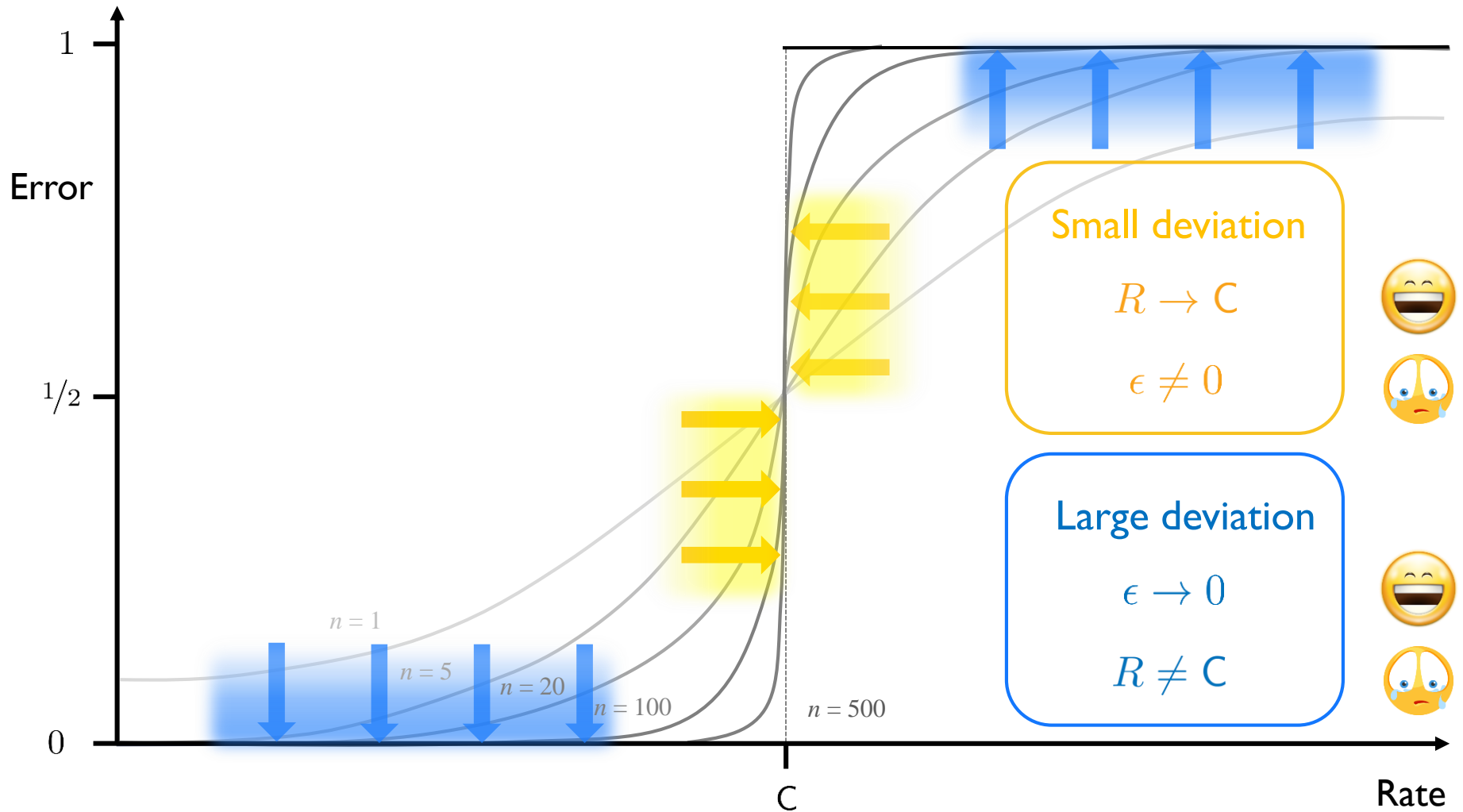
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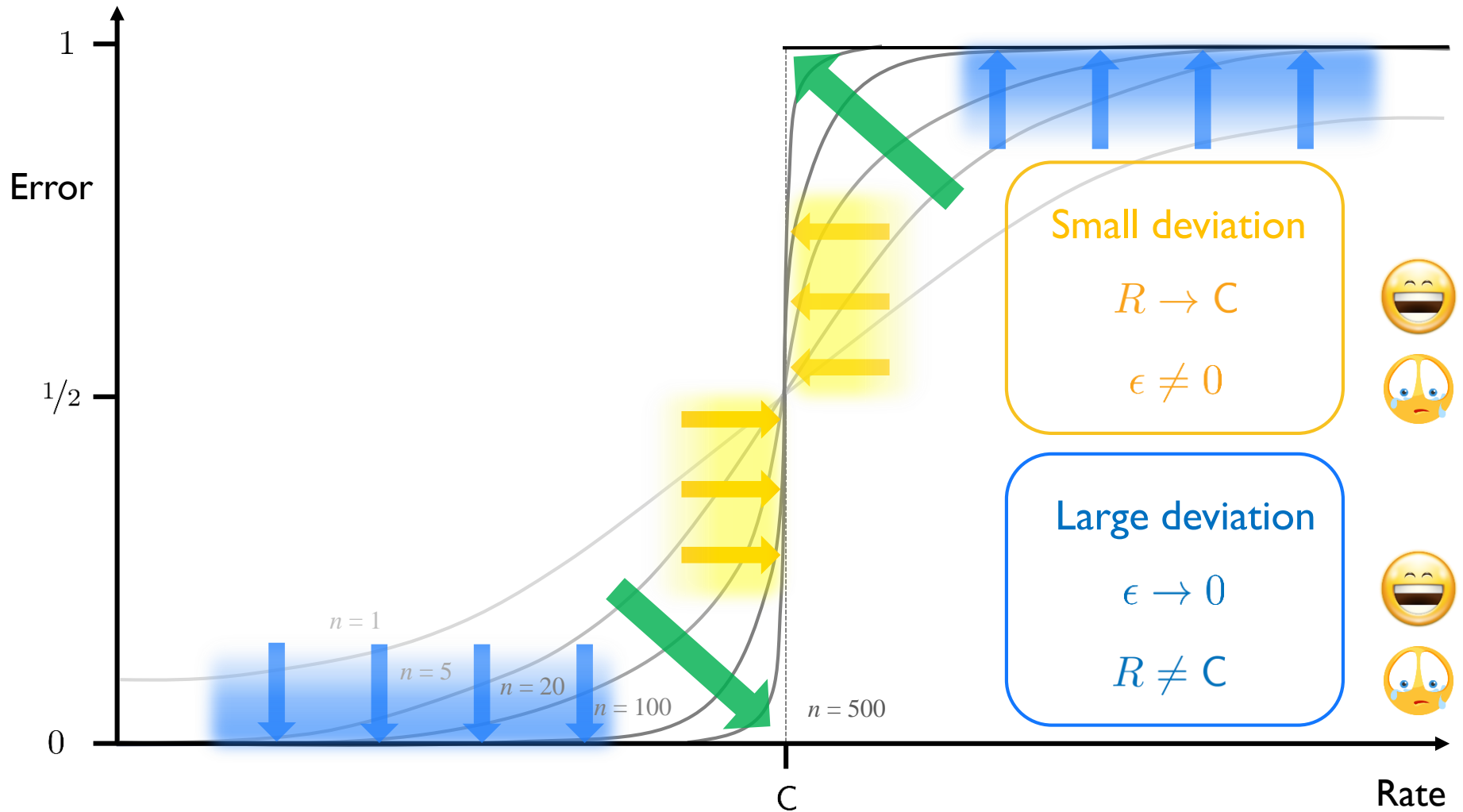
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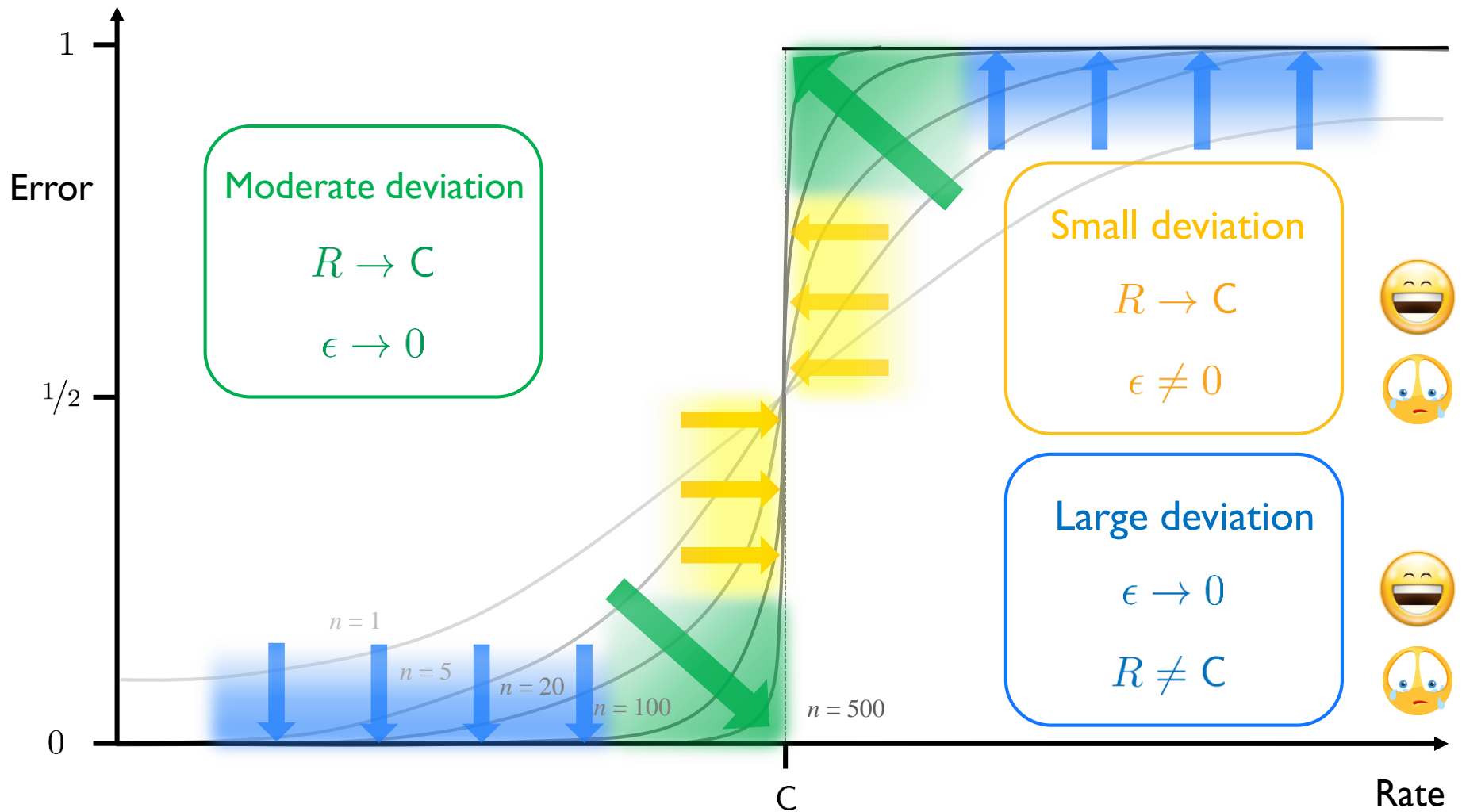
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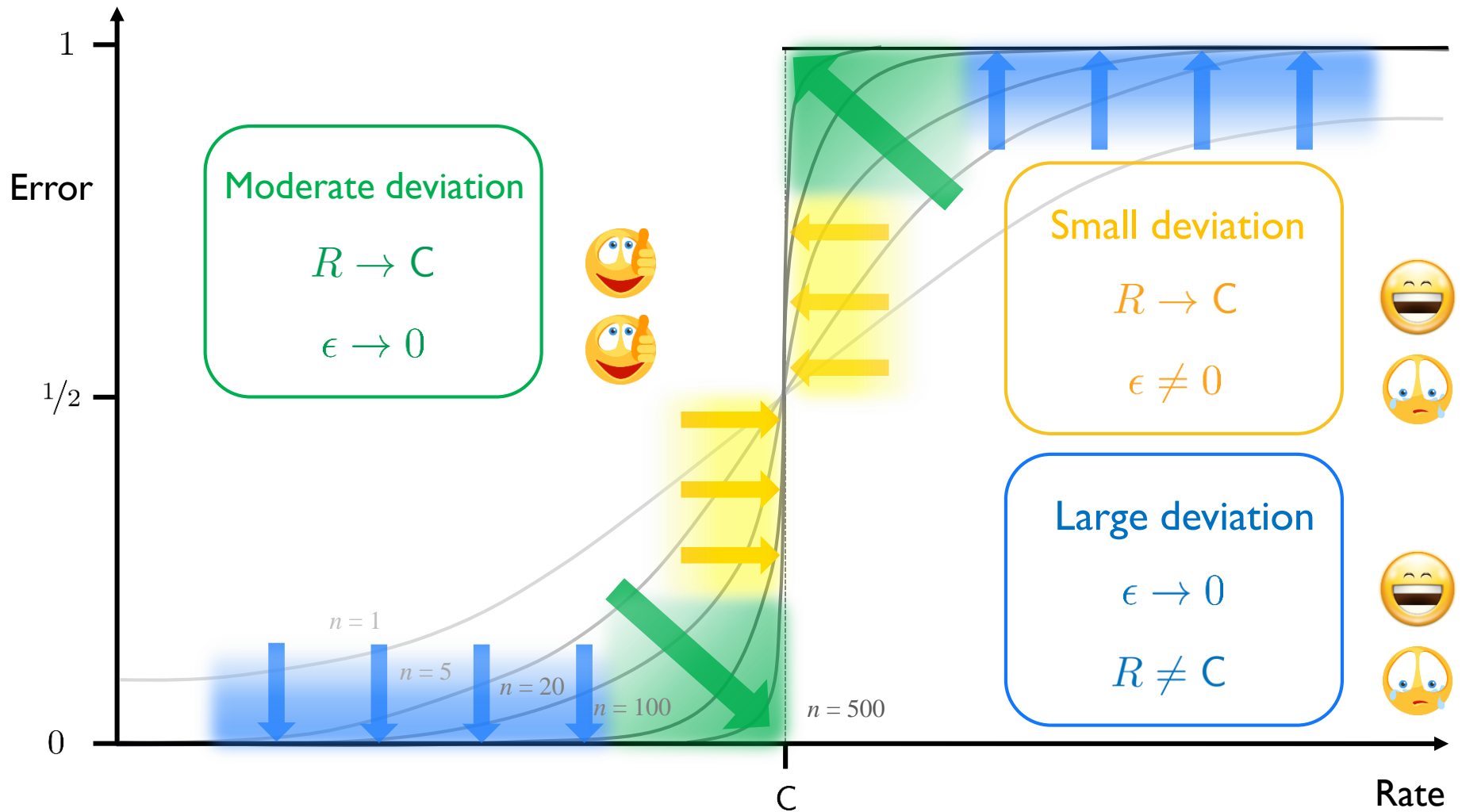
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Main Results

$$\triangleright \begin{cases} R_n \rightarrow \mathbb{C} \\ \epsilon_n \rightarrow 0 \end{cases}$$

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$$(i) \lim_{n \rightarrow \infty} a_n = 0$$

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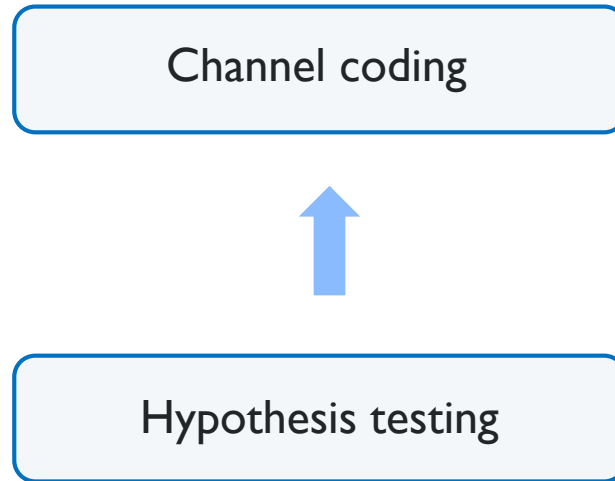
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arXiv:1701.03114	arXiv:1701.03195

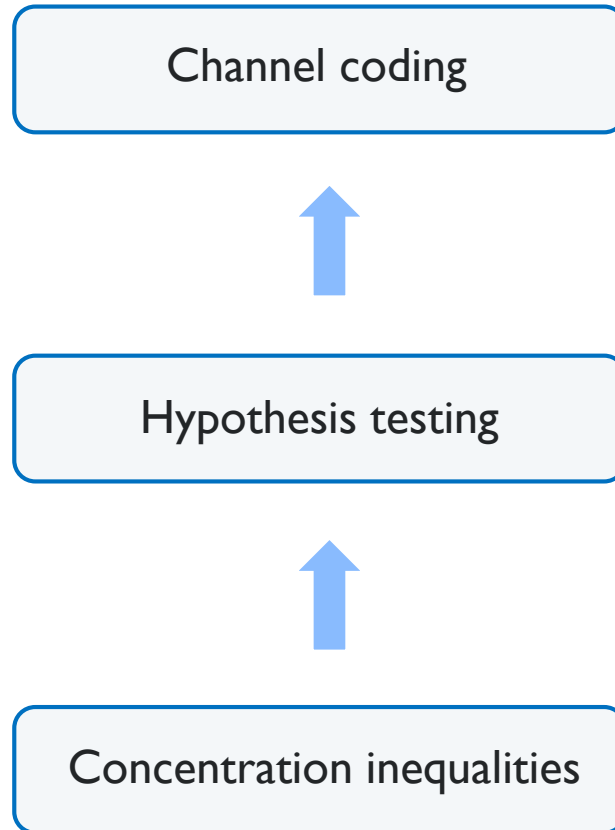
Proof Ideas

Channel coding

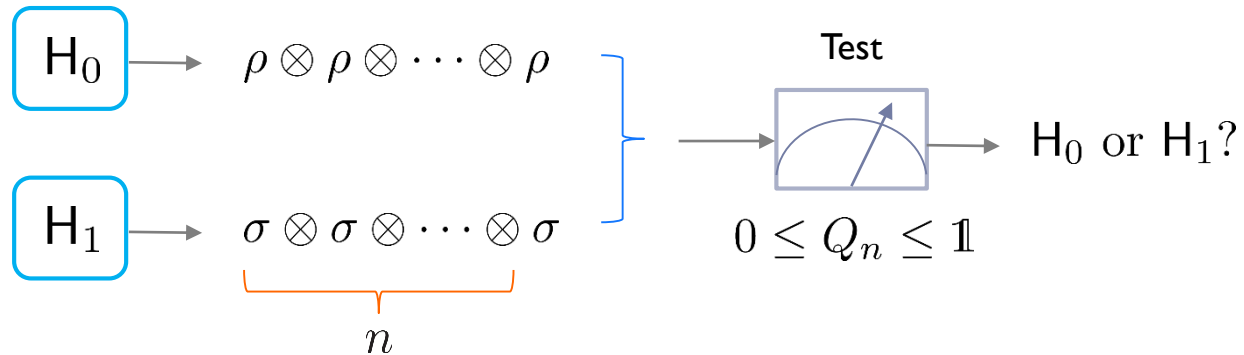
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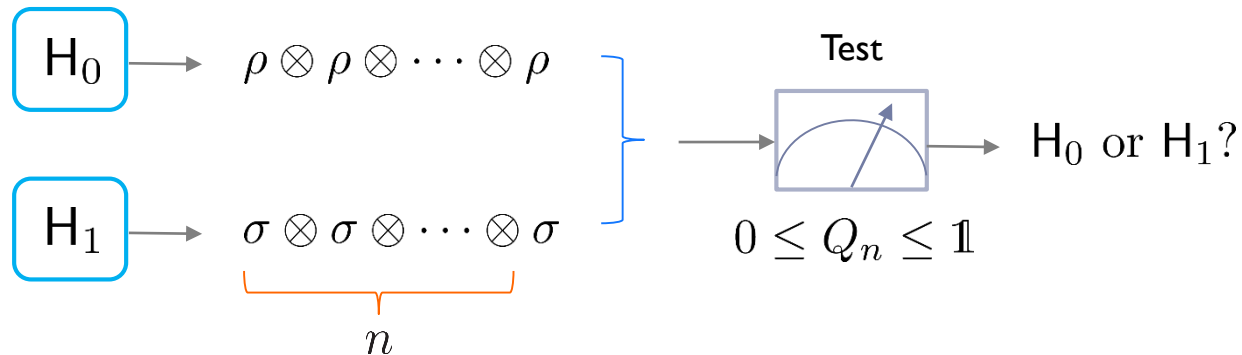
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Moderate Deviations for Hypothesis Testing



Moderate Deviations for Hypothesis Testing

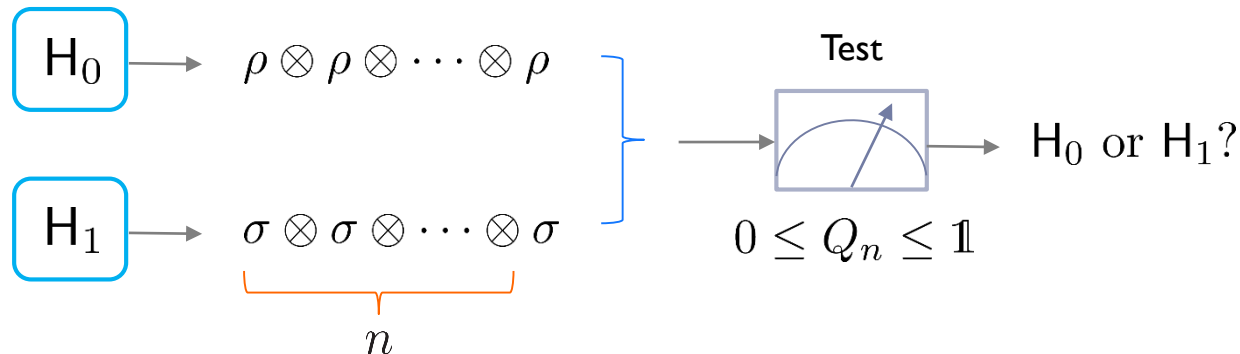


- **Type-I, -II errors:** $\alpha_n := \Pr(\text{reject } H_0 | H_0) = \text{Tr}[(\mathbb{1} - Q_n)\rho^{\otimes n}]$
- $\beta_n := \Pr(\text{reject } H_1 | H_1) = \text{Tr}[Q_n\sigma^{\otimes n}]$

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Moderate Deviations for Hypothesis Testing



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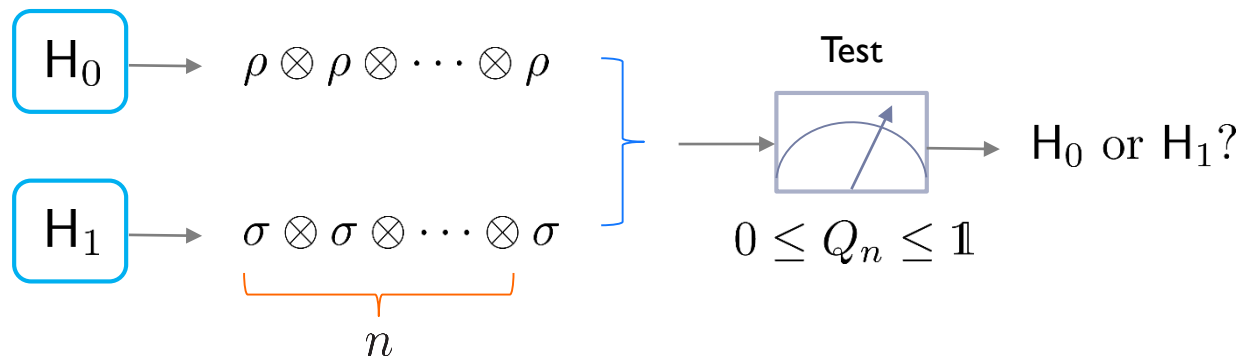
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► $\beta_n^* \rightarrow \exp\{-nD(\rho||\sigma)\}, \quad \text{given } \alpha_n = \epsilon \in (0, 1)$



Moderate Deviations for Hypothesis Testing



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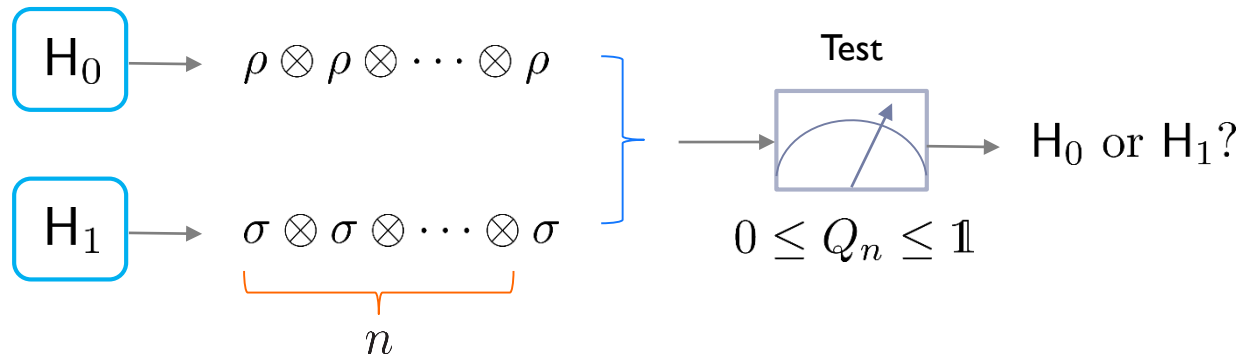
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► $\alpha_n^* \rightarrow 0$, **given** $\beta_n \leq \exp\{-nR\}$ **and** $R < D(\rho\|\sigma)$



Moderate Deviations for Hypothesis Testing



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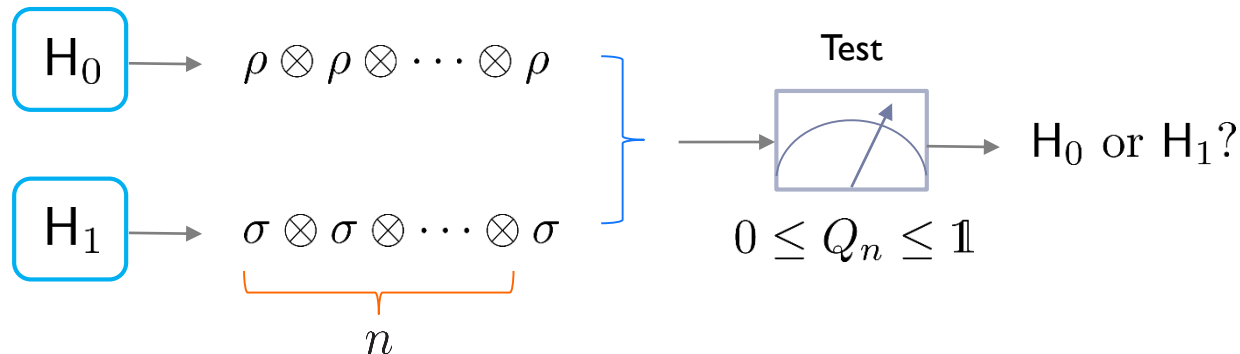
► $\beta_n^* \rightarrow \exp\{-nD(\rho\|\sigma)\}$, **given** $\alpha_n = \epsilon \in (0, 1)$

$$\Rightarrow \beta_n^* \rightarrow \exp\left\{-n\left[D(\rho\|\sigma) - \sqrt{2V(\rho\|\sigma)a_n}\right]\right\}, \quad \text{given } \alpha_n = \exp\{-na_n^2\}$$

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$$\Rightarrow \alpha_n^* \rightarrow \exp\{-na_n^2/2V(\rho\|\sigma)\}, \quad \text{given } \beta_n = \exp\{-n[D(\rho\|\sigma) - a_n]\}$$



Proof Ideas

$$-\frac{1}{n} \log \beta_n^* \rightarrow D - \sqrt{2V} a_n,$$

$$\alpha_n = \exp\{-na_n^2\}$$

[Small deviation]

Hypothesis testing

$$\alpha_n^* \rightarrow \exp\left\{-\frac{na_n^2}{2V}\right\},$$

$$-\frac{1}{n} \log \beta_n = D - a_n$$

[Large deviation]

Concentration inequalities



Proof Ideas

$$R^*(n, \epsilon_n) = C - \sqrt{2V}a_n + o(a_n)$$

$$\epsilon_n = \exp\{-na_n^2\}$$

$$-\frac{1}{n} \log \beta_n^* \rightarrow D - \sqrt{2V}a_n,$$

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[Small deviation]

Channel coding

$$\epsilon^*(n, R_n) = \exp\left\{-\frac{na_n^2}{2V} + o(na_n^2)\right\}$$

$$R_n = C - a_n$$

Hypothesis testing

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Concentration inequalities

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From Large Deviation: Achievability

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From Large Deviation: Converse

- ▶ Hoeffding bound: $\alpha_n \geq \exp \{ -n \mathbb{E}(R_n) + O(\sqrt{n}) \}$, given $\beta_n \leq \exp \{ -n R_n \}$

H. Nagaoka, “The converse part of the theorem for quantum Hoeffding bound,” arXiv:quant-ph/0611289
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► Refined polynomial prefactor

► A strong large deviation inequality $\Pr \left\{ \frac{1}{n} \sum_{i=1}^n Z_i \geq z_n \right\} \geq \frac{1}{t_n^* \sqrt{2\pi n \text{Var}}} \exp \left\{ -n \Lambda_n^*(z_n) \right\}$

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From Small Deviation: Achievability

► Chernoff bound:
$$\Pr \left\{ \frac{1}{n} \sum_{i=1}^n Z_i \geq t \right\} \leq \frac{\mathbb{E} [e^{t \sum_{i=1}^n Z_i}]}{e^{nt^2}}$$

From Small Deviation: Achievability

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$$\Rightarrow \log \Pr \left\{ \frac{1}{n} \sum_{i=1}^n Z_i \geq a_n \right\} \leq -\frac{na_n^2}{2V} (1 + o(1))$$

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► Chernoff bound: $\Pr \left\{ \frac{1}{n} \sum_{i=1}^n Z_i \geq t \right\} \leq \frac{\mathbb{E} [e^{t \sum_{i=1}^n Z_i}]}{e^{nt^2}}$

Let $t = a_n$

$$\Rightarrow \log \Pr \left\{ \frac{1}{n} \sum_{i=1}^n Z_i \geq a_n \right\} \leq -\frac{na_n^2}{2V}(1 + o(1))$$

$$\Rightarrow -\frac{1}{n} \log \beta_n \geq D(\rho \parallel \sigma) - \sqrt{V(\rho \parallel \sigma)} a_n + o(a_n), \text{ given } a_n = \exp \{-na_n^2\}$$

From Small Deviation: Converse

- ▶ A strong small deviation inequality

$$\log \Pr \left\{ \frac{1}{n} \sum_{i=1}^n Z_i \geq a_n \right\} \geq \log \Phi \left(-\sqrt{\frac{na_n^2}{V}} \right) + o(na_n^2)$$

L. Rozovsky, “Estimate from below for large-deviation probabilities of a sum of independent random variables with finite variances,”
J. Math. Sci., **109**(6):2192-2209, 2002

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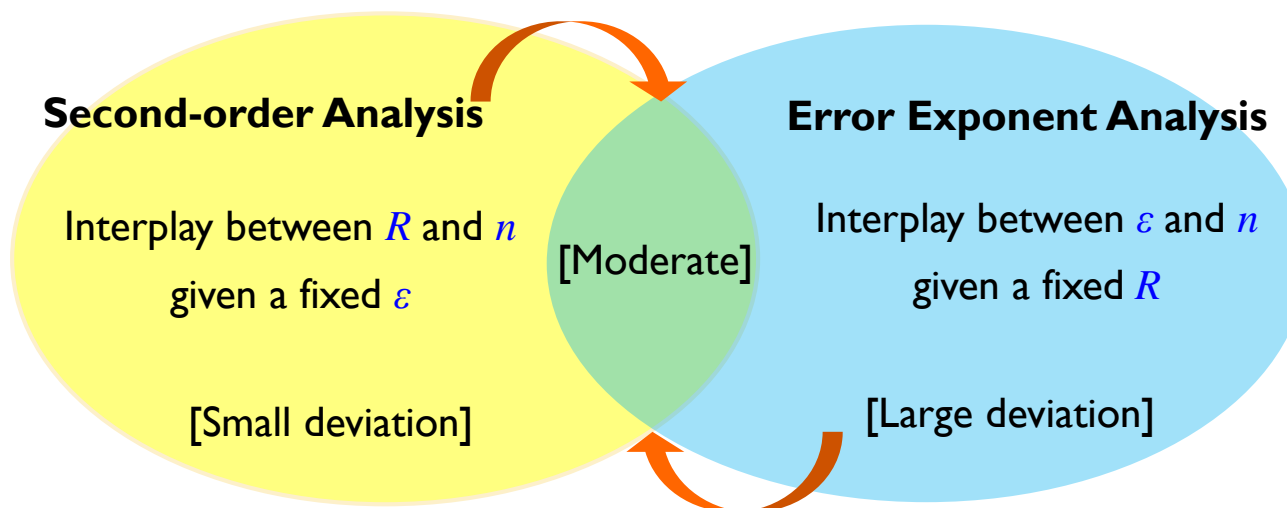
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- (i) $\lim_{n \rightarrow \infty} a_n = 0$
- (ii) $\lim_{n \rightarrow \infty} \sqrt{n} a_n = \infty$

In Summary

Regimes	Channel Coding	Concentration
Small deviation	$\epsilon^* \left(n, C - \frac{A}{\sqrt{n}} \right) \sim \Phi \left(\frac{A}{\sqrt{V}} \right)$	$\Pr (S_n \geq \sqrt{n}x) \sim 1 - \Phi \left(\frac{x}{\sqrt{V}} \right)$
Moderate deviation	$\epsilon^*(n, C - a_n) = e^{-\frac{na_n^2}{2V} + o(na_n^2)}$	$\Pr (S_n \geq na_n x) = e^{-\frac{na_n^2}{2V} x + o(na_n^2)}$
Large deviation	$\epsilon^*(n, R) = e^{-nE(R) + o(n)}$	$\Pr (S_n \geq nx) = e^{-n\Lambda^*(x) + o(n)}$



Future Works

- ▶ Beyond classical-quantum channels:

- ▶ Image-additive quantum channels



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- ▶ Entanglement-breaking channels



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- ▶ Beyond classical-quantum channels:
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- ▶ Other applications of moderate deviation analysis in quantum information science

