Efficient approximation of gapped spin chain ground states.

The unfrustrated case.

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> Honours Talks 2014

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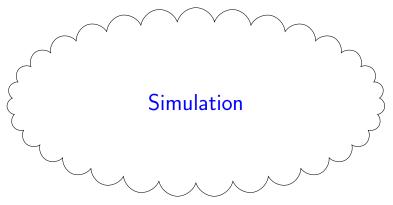
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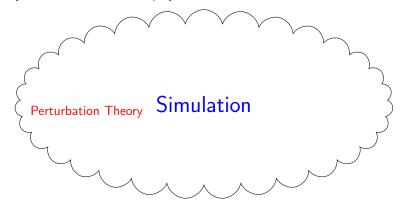
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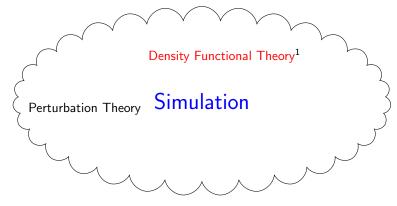
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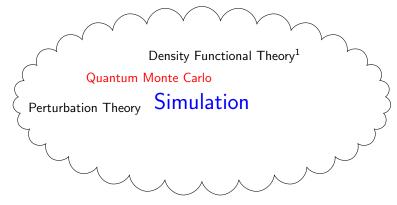
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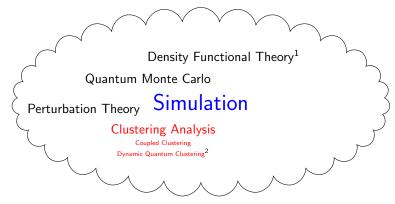


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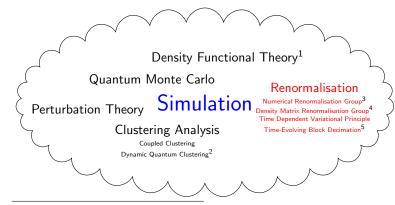
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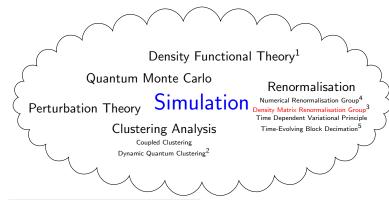
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- There exist systems for which running DFT¹ and DMRG² would require solving QMA³-hard problems, implying they are not always efficient.

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- They are typically efficient however. Do there exist provable-efficient equivalents?

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- There exist systems for which running DFT¹ and DMRG² would require solving QMA³-hard problems, implying they are not always efficient.
- They are typically efficient however. Do there exist provable-efficient equivalents?
- The toy problem we are going to consider is ground state approximation of a spin system. This forms an important stepping-stone to more general low-temperature simulations.

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Question

Are there <u>any</u> non-trivial 'physically realistic' conditions under which 1D local systems can be simulated with provable efficiency?

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Role of entanglement

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- One main limiting factor is entanglement.
- Classical states can be specified on each subsystem piecewise, quantum systems however can exhibit any linear combination of such a <u>product state</u> (c.f. tensor products).

Variables in a classical state \sim poly(System size) Variables in a quantum state \sim exp(System size)

 Entanglement is a measure of information not contained in subsystems alone.

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• One main limiting factor is entanglement.

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 Entanglement is a measure of information not contained in subsystems alone.

Idea

A structural bound (limit on entanglement) implies a complexity bound (efficiency of approximation).

Measures of entanglement

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 \bullet For a state $|\psi\rangle,$ the full state and reduced state specified on a region A are given by

$$ho = |\psi\rangle\langle\psi|$$
 $ho_A = \operatorname{Tr}_{\bar{A}}
ho$

• The full state ρ is pure but ρ_A needn't be; the entropy of ρ_A is a measure of the entanglement between regions A and \bar{A} , e.g.:

$$\begin{split} R_{\alpha}(\rho_{A}) := & \frac{\log \operatorname{Tr} \rho_{A}^{\alpha}}{1 - \alpha} & \text{(R\'enyi Entropy)} \\ R_{1}(\rho_{A}) = & -\operatorname{Tr}(\rho_{A} \log \rho_{A}) = S(\rho_{A}) & \text{(Entropy)} \\ R_{0}(\rho_{A}) = & \log \operatorname{rank}(\rho_{A}) = \log B(\rho_{A}) & \text{(Rank)} \end{split}$$

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Conclusio

• Local systems exhibit a light-cone (up to exponential corrections) given by the Lieb-Robinson¹ velocity v_{LR} :

$$\|[A,B]\| \leq e^{-c(d-\nu_{LR}t)} \|A\| \ \|B\|$$

where d/t are the distance/time between observables A and B.

¹E.H. Lieb and D.W. Robinson, doi:10/bphzp4, 1972.

²M.B. Hastings and T. Koma, doi:10/cddqgz, arXiv:math-ph/0507008, 2005.

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where d/t are the distance/time between observables A and B.

Definition (Spectral gap/Gapped Hamiltonian)

The **spectral gap** ΔE of a Hamiltonian is the difference between the ground and first excited energy. **Gapped Hamiltonians** are those for which the spectral gap is lower bounded by a constant $\Delta E = \Omega(1)$.

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The spectral gap ΔE of a Hamiltonian is the difference between the ground and first excited energy. **Gapped Hamiltonians** are those for which the spectral gap is lower bounded by a constant $\Delta E = \Omega(1)$.

• Ground states exhibit exponential decay of correlations² with characteristic length ξ :

$$|\langle AB \rangle - \langle A \rangle \langle B \rangle| \le e^{-d/\xi} \|A\| \|B\| \qquad \quad \xi := \frac{2v_{LR}}{\Delta E}$$

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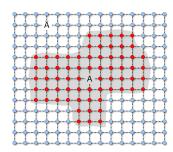
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Conclusion



In general the entanglement between A and \bar{A} can scale with the 'volume' of the region

$$S = \mathcal{O}(|A|)$$

¹Number of spins contained within.

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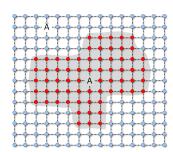
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Is this typical of ground states? The two previous notions of locality seem to suggest otherwise.

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Area Law

Ground state approximation

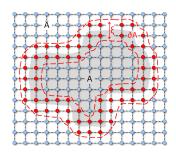
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Local & Gapped

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Size trimming

Low D



We might suspect $S(\rho_A) \lesssim \xi |\partial A|$.

 $^{^{1}\}mathrm{Number}$ of spins along the boundary.

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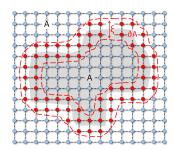
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Conclusion



We might suspect $S(\rho_A) \lesssim \xi |\partial A|$.

Conjecture (Area Law of entanglement entropy)

Ground states of local and gapped systems obey an 'are'1' law

$$S(\rho_A) = \mathcal{O}(|\partial A|)$$

¹Number of spins along the boundary.

Proofs of the 1D area law

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ullet For 1D local states with a gap lower bounded by ϵ :

Year	Result	Notes
2007^{1}	$S \leq e^{\mathcal{O}(\epsilon^{-1})}$	Combinatorial
2012^{2}	$S \stackrel{-}{\leq} ilde{\mathcal{O}}(\epsilon^{-3})$	Unfrustrated only
2013 ³	$S \leq \tilde{\mathcal{O}}(\epsilon^{-3/2})$	Frustrated
2014 ⁴	$R_{\alpha} \leq \tilde{\mathcal{O}}(\alpha^{-3}\epsilon^{-1})$	One ground of
2014	$n_{\alpha} \leq O(\alpha + \epsilon)$	a degen. system

¹M.B. Hastings, doi:10/ccx4md, arXiv:0705.2024, 2007.

²I. Arad, Z. Landau, and U. Vazirani, doi:10/v34, arXiv:1111.2970, 2012.

³I. Arad, A. Kitaev, Z. Landau, and U. Vazirani, arXiv:1301.1162,2012.

⁴Y. Huang, arXiv:1403.0327, 2014

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• For our purposes we are going to assume an area law for <u>all</u> degenerate ground states.

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Matrix Product States

Ground state approximation

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Conclusion

 One consequence of the area law is the existence of a 1/poly(n)-accurate approximate ground state with entanglement rank bounded

$$B \le \exp\left(\mathcal{O}\left(\epsilon^{-1/4}\log^{3/4}n\right)\right) = n^{o(1)}$$

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$$B \le \exp\left(\mathcal{O}\left(\epsilon^{-1/4}\log^{3/4}n\right)\right) = n^{o(1)}$$

• As such we can utilise the Matrix Product State ansatz

ullet This ansatz allows for any state with the given entanglement rank to be represented by $n^{o(1)}$ complex numbers; efficient representation.

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Our algorithm is based on the unique ground state algorithm of Landau, Vazirani and Vidick. This class of ground state algorithms works by constructing viable sets.

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Definition

A (i,s,δ) -viable set is a set of states such that:

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• These states are defined on the first *i* spins.

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- The cardinality of this set is s.

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- The cardinality of this set is s.
- This set's span supports the reduced density matrix of a **witness** state $|\psi\rangle$, which has ground state overlap at least $1-\delta$.

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- The cardinality of this set is s.
- This set's span supports the reduced density matrix of a **witness** state $|\psi\rangle$, which has ground state overlap at least $1-\delta$.

If we can construct a $(n, \operatorname{poly}(n), \eta)$ -viable, then by minimise the Hamiltonian on this set we can efficiently find a state with $1-\eta$ ground state overlap.

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Conclusion

• The idea behind the proof is inductive.

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• The idea behind the proof is inductive.

• We start with a $(i-1,s,\delta)$ -viable set and give a procedure to generate a (i,s,δ) -viable set from it, for given values of s and δ .

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- Each step alters one of the viability parameters in turn.

Extension

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• Firstly we want to increment the number of spins. Take S_{i-1} to be the viable set on i-1 spins.

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- Firstly we want to increment the number of spins. Take S_{i-1} to be the viable set on i-1 spins.
- Construct $S_i^{(1)}$ by:

Algorithm Step 1: Extension

Taking $\{|j\rangle\}$ to be the computational basis on the ith spin

Return
$$S_i^{(1)} := \{ |s\rangle \otimes |j\rangle \mid |s\rangle \in S_{i-1}, \ 1 \leq j \leq d \}.$$

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- This will send a $(i 1, s, \delta)$ -viable set to a (i, ds, δ) -viable set, where d is the local dimension of each spin.
- Extension alone will tend to exponentially grow the cardinality, so the next step is to trim the cardinality back down.

Size trimming

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Algorithm Step 2: Size Trimming

Take σ to be a density matrix supported on Span $\left(S_i^{(1)}\right)\otimes\mathbb{C}^B$ given as the solution to the size-trimming convex program:

$$\min \qquad \sum_{j=1}^{i-1} {\rm Tr}(H_j\sigma)$$
 such that
$${\rm Tr}(\sigma)=1, \ \ \sigma\geq 0$$

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 such that
$${\sf Tr}(\sigma) = 1, \ \ \sigma \geq 0$$

Take $|u\rangle$ to be the highest eigenvector of σ , and decompose this state as $|u\rangle = \sum_{j=1}^{B} |u_j\rangle |j\rangle$.

Return
$$S_i^{(2)} := \{ |u_i\rangle \mid \forall j \}.$$

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• This sends a $(i, ds, \delta = c\epsilon^2/n)$ -viable set to a $(i, p_1, 1/12)$ -viable set, where $p_1 = n^{2^{\mathcal{O}(1/\epsilon)}}$ is the number of optimisations.

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• The main idea here is to use approximate ground state projectors (AGSP).

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- The main idea here is to use <u>approximate ground state</u> projectors (AGSP).
- An AGSP P is an operator for which
 - For any ground state $|\Gamma\rangle$, $P|\Gamma\rangle = |\Gamma\rangle$.
 - ullet For any state $ig|\Gamma^{ot}ig
 angle$ orthogonal to the ground, $ig\|Pig|\Gamma^{ot}ig
 angle\|$ is small.
 - ullet The entanglement P generates is not too large.

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Algorithm Step 4: Error Reduction

Take the decomposition of the approximate AGSP $K = \sum_k A_j \otimes B_j$.

Return
$$S_i^{(4)} := \left\{ A_j \ket{s} \middle| \forall j, \ket{s} \in S_i^{(3)} \right\}.$$

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 - For any ground state $|\Gamma\rangle$, $P|\Gamma\rangle = |\Gamma\rangle$.
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• This sends a $(i,p_1,1/2)$ -viable set to a $(i,pp_1,c\epsilon^2/n)$ -viable set, where $p=n^{\mathcal{O}(1)}$ is related to the entanglement rank of the AGSP.

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Stage	i	S	В	δ	
Iteration 0	0	1	0	0	
	:	:	:	:	
Iteration $i-1$	i-1	pp_1	pp_2	$c\epsilon^2/n$	
Iteration i:					
Extension	i	dpp_1	pp_2	$c\epsilon^2/n$	
Size Trimming	i	$\mathbf{p_1}$	$dp^2p_1p_2$	1/12	
Bond Trimming	i	p_1	\mathbf{p}_2	1/2	
Error Reduction	i	pp_1	pp_2	$c\epsilon^{2}/n$	
Iteration $i+1$	i+1	pp_1	pp_2	$c\epsilon^2/n$	
	:	:	:	÷	
Iteration $n-1$	n	pp_1	pp_2	$c\epsilon^2/n$	
Final iteration	n	$p_0 p_1$	$p_0 p_2$	η	

All the parameters are poly(n) (ignoring ϵ -dependence) and each step run in poly(n) time. The final run-time of this algorithm is

$$T = n^{2^{\mathcal{O}(1/\epsilon)}} \cdot \mathsf{poly}(n/\eta)$$

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• For simplicity we consider a two-fold degeneracy.

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- For simplicity we consider a two-fold degeneracy.
- A viable set is redefined to contain two orthogonal witnesses.

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- For simplicity we consider a two-fold degeneracy.
- A viable set is redefined to contain two orthogonal witnesses.
- The majority of the described algorithm can generalised to include degeneracy relatively painlessly.
- Size-trimming optimisations need to be overhauled and poses two problems:

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 - The local energy contributions may not be minimal (frustration) and they may not be identical for different ground states.
 Assume Hamiltonian is unfrustrated.

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- The majority of the described algorithm can generalised to include degeneracy relatively painlessly.
- Size-trimming optimisations need to be overhauled and poses two problems:
 - The local energy contributions may not be minimal (frustration) and they may not be identical for different ground states.
 Assume Hamiltonian is unfrustrated.
 - The optimisations are only guaranteed to give a single witness state.

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• Whilst the two ground states are orthogonal on the whole system, their components on the first *i* spins need not be.

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 Whilst the two ground states are orthogonal on the whole system, their components on the first i spins need not be.

Consider the example of the states

$$|\Gamma_1\rangle = |000\rangle \qquad \qquad |\Gamma_2\rangle = |011\rangle$$

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• On the first spin the states 'look' identical

$$|\Gamma_1\rangle = |0^{**}\rangle$$
 $|\Gamma_2\rangle = |0^{**}\rangle$

so any set which is $(1,s,\delta)$ -viable for Γ_1 is $(1,s,\delta)$ -viable for Γ_2 also.

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On the <u>first</u> spin the states 'look' identical

$$|\Gamma_1\rangle = |0^{\textstyle **}\rangle \qquad \qquad |\Gamma_2\rangle = |0^{\textstyle **}\rangle$$

so any set which is $(1,s,\delta)$ -viable for Γ_1 is $(1,s,\delta)$ -viable for Γ_2 also.

• On the first two spins however the states 'look' orthogonal

$$|\Gamma_1\rangle = |00^*\rangle \qquad \qquad |\Gamma_2\rangle = |01^*\rangle$$

so viability for Γ_1 doesn't imply any viability for Γ_2 .

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The non-degenerate optimisation gives the first witness state. We then measure the magnitude of the second state captured by the first optimisation by:

Definition (Left-Distinguishability)

We define the **left-distinguishability** to be $D=1-{\rm Tr}(\rho_L P)$ where ρ_L is the left-reduced density operator of the desired second witness, and P is the projection onto the span of the current viable vectors.

D = 0 corresponds to entirely indistinguishable.

D=1 corresponds to entirely distinguishable.

Degenerate Size-Trimming: Low D Case

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Low D
High D
All D

 In this case a viable set for the first witness is also a viable set for the second witness

• As D grows the error of the second witness grows.

Degenerate Size-Trimming: Low D Case

Ground state approximation

C. T. Chubb

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Volume Law
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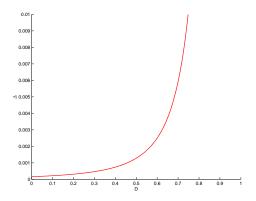
Viable Set
Extension
Size trimming

Error reduction Parameter tabl

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In this case we want to 'project away' from the current viable set.

Algorithm Step 2.2: Degenerate Size Trimming

Take σ_2 supported on Span $\left(S_i^{(1)}\right)\otimes\mathbb{C}^B$ given as the solution to the degenerate size-trimming convex program:

$$\begin{array}{ll} \min & \operatorname{Tr}(\sigma_2 P) \\ \text{such that} & \displaystyle \sum_{j=1}^{i-1} \operatorname{Tr}(H_j \sigma) \leq \sqrt{c} \epsilon \\ & \operatorname{Tr}(\sigma) = 1, \;\; \sigma \geq 0 \end{array}$$

Again taking $|u_2\rangle \sum_{j=1}^{B} |u_{2,j}\rangle |j\rangle$ to be the highest eigenvector of σ_2 .

$$S_i^{(2,2)} := \{|u_{2,j}\rangle \mid \forall j\}$$

Return $S_i^{(2)} := S_i^{(2,1)} \cup S_i^{(2,1)}$

Degenerate Size-Trimming: High D

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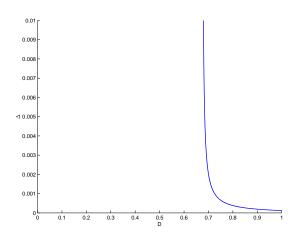
Size trimming Error reduction

Error reduction Parameter tab

Degeneracy
Distinguishabili
Low D

High D

All *D* Parameter tab



Degenerate Size-Trimming: All D

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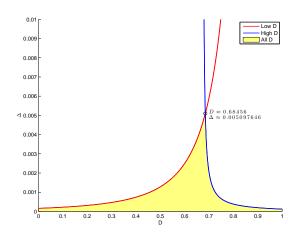
Viable Set Extension

Error reductio

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Conclusion



Combining the two bounds gives a *D*-independent bound on the error

$$\Delta < 1/100$$

Degenerate parameter table

Ground state approximation

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Low D Parameter table

Stage	i	S	В	δ	Δ
Iteration 0	0	1	0	0	0
	:	:	:	:	:
Iteration $i-1$	i-1	pp_1	pp_2	_	$c\epsilon^3$
Iteration i:					
Extension	i	dpp_1	pp_2	_	$c\epsilon^3$
Size Trimming	i	$\mathbf{p_1}$	$dp^2p_1p_2$	1/100	_
Bond Trimming	i	p_1	\mathbf{p}_2	1/4	_
Error Reduction	i	pp_1	pp_2	_	$c\epsilon^3$
Iteration $i+1$	i+1	pp_1	pp_2		$c\epsilon^3$
	:	:	:	:	:
Iteration $n-1$	n	pp_1	pp_2	_	$c\epsilon^3$
Final iteration	n	p_0p_1	$p_0 p_2$	η	η

Run-time improved to

$$T = \begin{cases} \operatorname{poly}(n/\eta) \cdot n^{\mathcal{O}(\epsilon^{-1})} & \text{for } \eta^{-1} = \operatorname{poly}(n) \\ \operatorname{poly}(n/\eta) & \text{for } \eta^{-1} = 2^{o(\log n)} \end{cases}$$

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 \bullet Introducing frustration is the most obvious next step.

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- Introducing frustration is the most obvious next step.
- Full area law proof also outstanding.

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Volume Law

Low D

Conclusion

• Introducing frustration is the most obvious next step.

- Full area law proof also outstanding.
- Algorithm is technically efficient, but not practical.
 - Poorly optimised, could be rewritten with semi-definite programs.
 - Could boost heuristic methods such as DMRG.

Ground state approximation

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Conclusion

 Gives example of Structural Complexity implying Computational Complexity.

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- Gives example of Structural Complexity implying Computational Complexity.
- More general area laws, higher dimensions or different conditions.

Ground state approximation

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Conclusion

 Gives example of Structural Complexity implying Computational Complexity.

- More general area laws, higher dimensions or different conditions.
- More general forms of simulation e.g. time-evolution.