



Order Execution Policy

ORDER EXECUTION POLICY

Overview of Best Execution Requirement

This Order Execution Policy must be read in conjunction with the Client Agreement. GOC PRIME LTD is obliged to act in the best interests of its clients, except in certain circumstances specified below. When we execute or manage client orders, we are required to take all reasonable steps to obtain the best possible result ("Best Execution") and to establish policies and procedures to achieve this.

We have internal policies and procedures governing order execution and we review them regularly without prior notice.

Execution Venues

We act as the principal and not as an agent. This means we are the execution venue, and clients transact directly with us—not on external markets. Trading positions are non-transferable to other parties.

Execution Factors

Factors considered in execution include:

- Price: We aim to provide the best price, though we cannot guarantee it will be better than elsewhere. Prices are sourced from OTC liquidity providers.
- Cost: We apply a markup to liquidity provider prices. Final displayed prices include our profit margin.
- Speed and Likelihood of Execution: Dependent on platform and market conditions. Orders may not open/close immediately.
- Quantity: We set minimum and maximum trade sizes, subject to market conditions.

Execution Criteria

We determine the importance of the above factors based on:

- The characteristics of the client
- The characteristics of the order
- The characteristics of the financial instruments involved

Dealing with Client Orders

Executed prices may differ from displayed prices during high volatility or market gaps. Execution is not guaranteed. We reserve the right to cancel orders deemed irregular or erroneous.

Specific Instructions

If clients provide specific instructions, those will take precedence over our policies. This may impact Best Execution and incur additional costs.

Our Duty of Best Execution

We take reasonable steps to ensure the best outcome when executing OTC derivative transactions.

Achieving Best Execution

We assess multiple factors, prioritizing price as the most important. For Professional Clients, we consider suitability. For Eligible Counterparties, we are not obligated to provide Best Execution but will still follow this policy. Clients will be notified 14 days in advance of any policy changes.

Refusal to Transmit Orders

We reserve the right to refuse any order without explanation if:

- It affects system stability
- Insufficient funds are available
- Essential details are missing
- The order is unclear
- Market conditions are not suitable
- The client cancels or the account is suspended
- There is suspicion of illegal activity

Assurances and Guarantees

Before placing orders, clients affirm that:

- They are acting on their own behalf unless duly authorized
- No laws or obligations are violated
- No restrictions prevent the order
- They have received proper advice and did not rely on our representations
- All provided information and documents are true, accurate, and complete
- Funds are not derived from illegal activities
- They are over 18 and legally competent
- Investment objectives and restrictions (e.g., religious/nationality-based) are disclosed

Third Party Authorisation to Trade

Clients may authorize third parties to trade on their behalf by notifying and obtaining written approval from us. Termination of such authorization must be submitted in writing with at least 5 days' notice.