

Bin packing problem

with Lower and Upper Bound Capacity
Constraint

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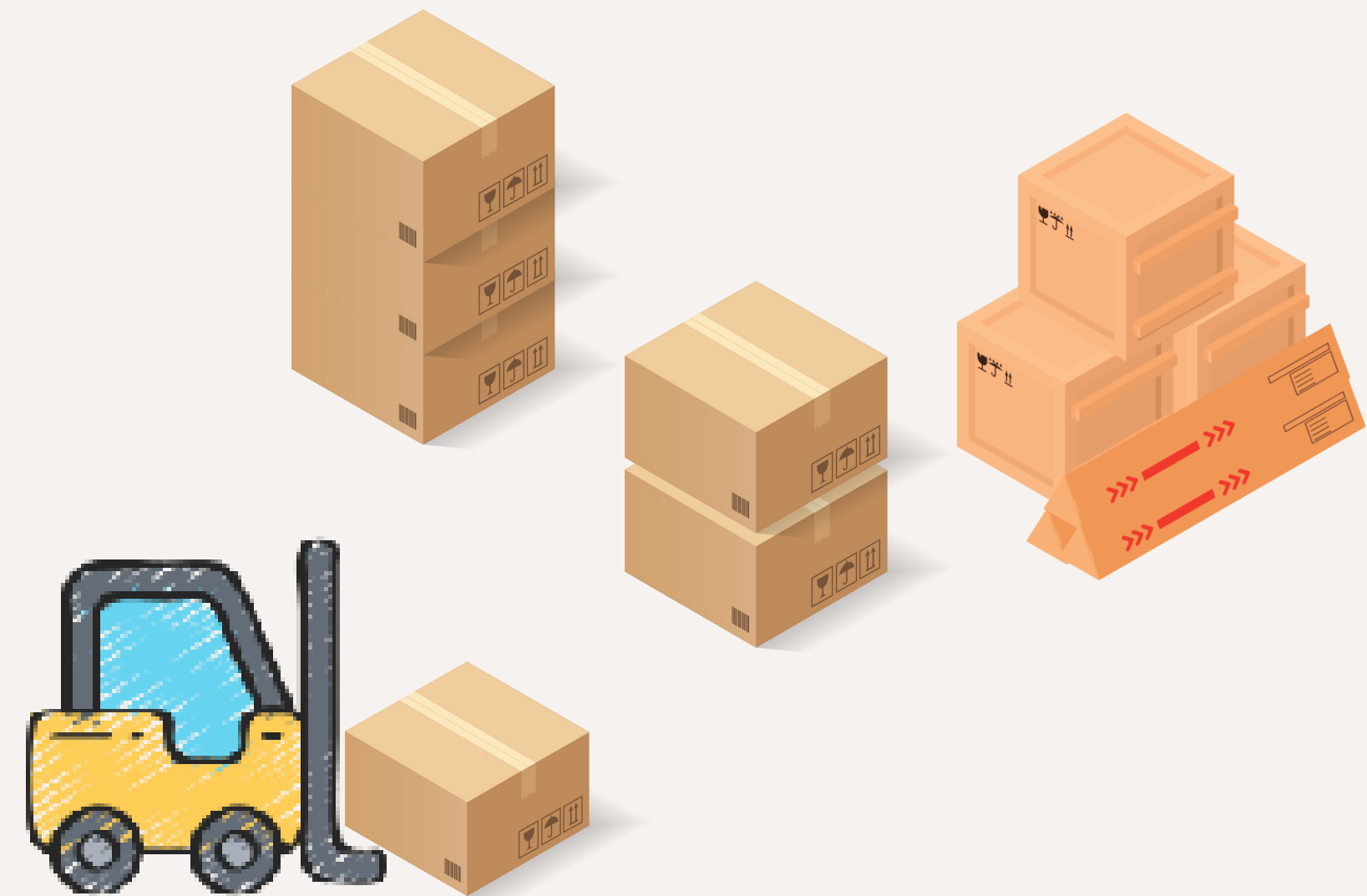
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I. Problem description

The bin packing problem is an optimization problem in which items of different sizes must be packed into a finite number of bins or containers, each having a fixed given capacity, in a way that optimizes the problem depending on the desired outcome.

The goal in this case:

- 1) An “item” is packed to at most 1 “bin”;
- 2) Each “bin” must meet the requirements of loaded quantities: **a lower bound & an upper bound**;
- 3) The profit gained from “packed items” is maximal.



I. Problem description



90kg = 100kg

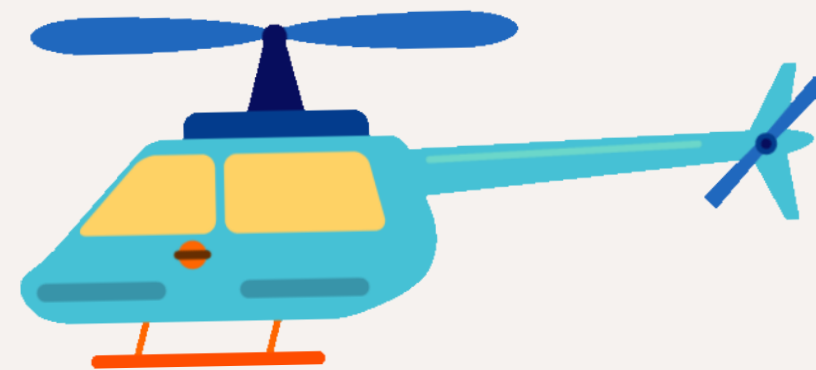


A



30kg, 70kg, 40kg, 50kg

I. Problem description



30kg, 70kg



A



, 40kg, 50kg

I. Problem description



B



30kg, 70kg



A

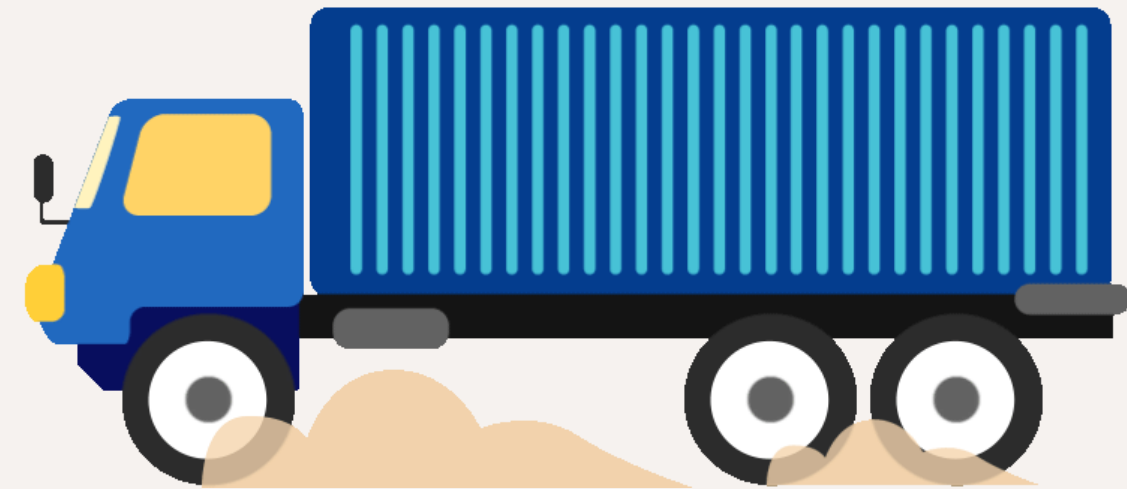


, 40kg, 50kg

I. Problem description

Data provided

K
vehicles



N
orders



I. Problem description

Data provided

Lower bound c_1

Upper bound c_2



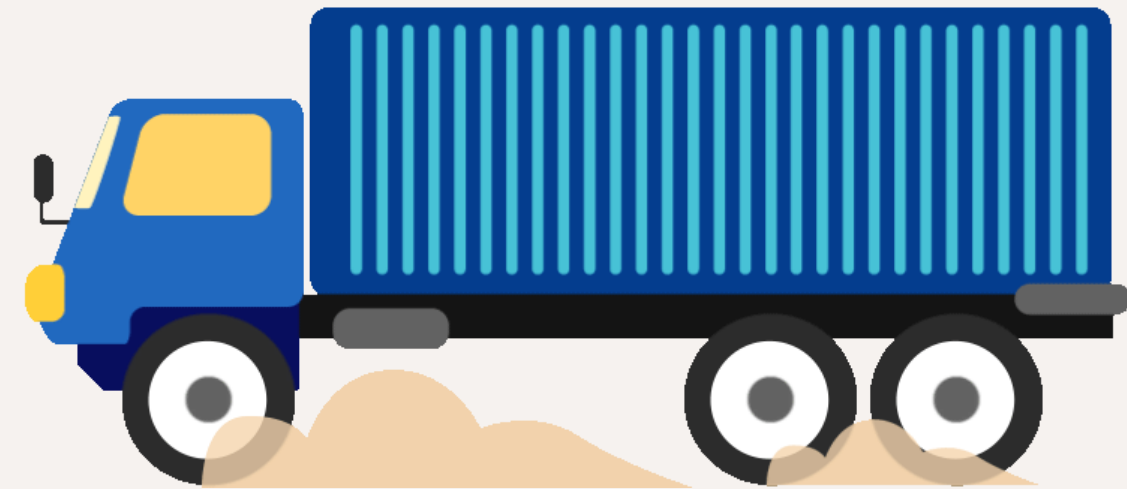
N
orders



I. Problem description

Data provided

K
vehicles



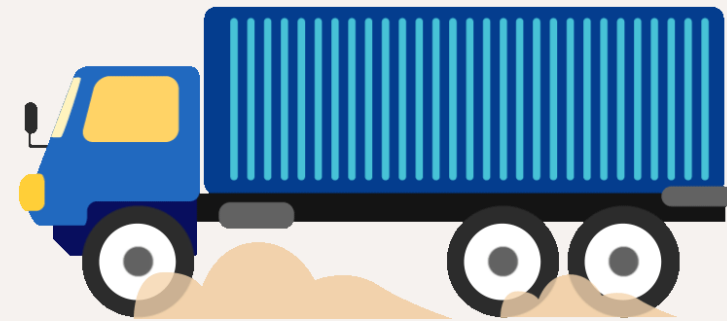
N
orders



I. Problem description

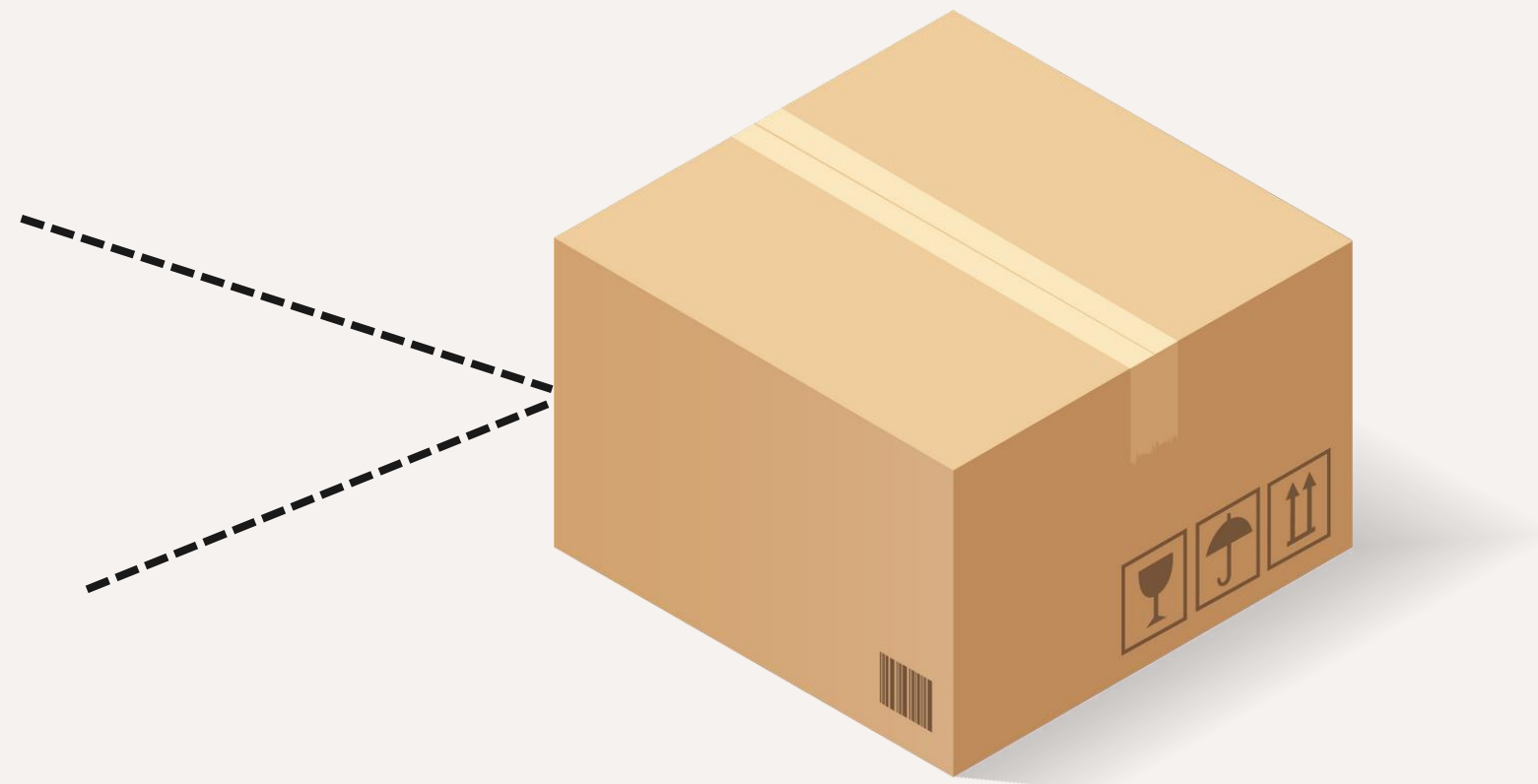
Data provided

K vehicles



Quantity (weight) w

Cost (profit) p

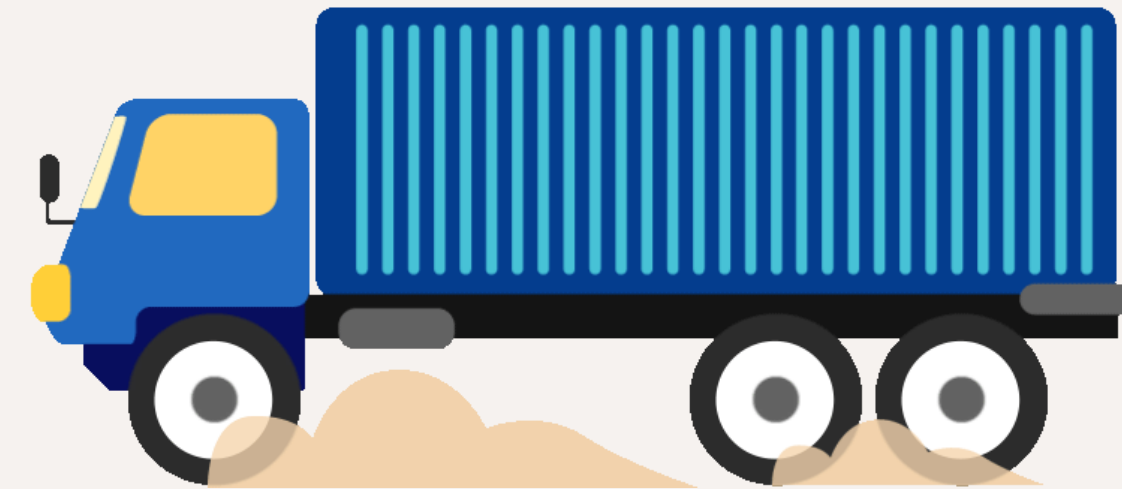


I. Problem description

Data provided

K vehicles (“bins”)

Capacity bounds: lower bound c_1
and upper bound c_2



N orders (“items”)

Quantity (weight) w
and cost (profit) p



I. Problem description

Modelling the problem



For i^{th} order, $1 \leq i \leq N$ and j^{th} vehicle, $1 \leq j \leq K$:

$c_1(j)$: lower bound for capacity of j^{th} vehicle

$c_2(j)$: upper bound for capacity of j^{th} vehicle

$p(i)$: profit of i^{th} order

$w(i)$: weight of i^{th} order

Decision variable:

$X(i, j)$: a binary variable

$$X(i, j) = \begin{cases} 1, & \text{if } i^{th} \text{ order is served by } j^{th} \text{ vehicle} \\ 0, & \text{otherwise} \end{cases}$$



I. Problem description

Modelling the problem



Constraints

- Each order is served by at most one vehicle:

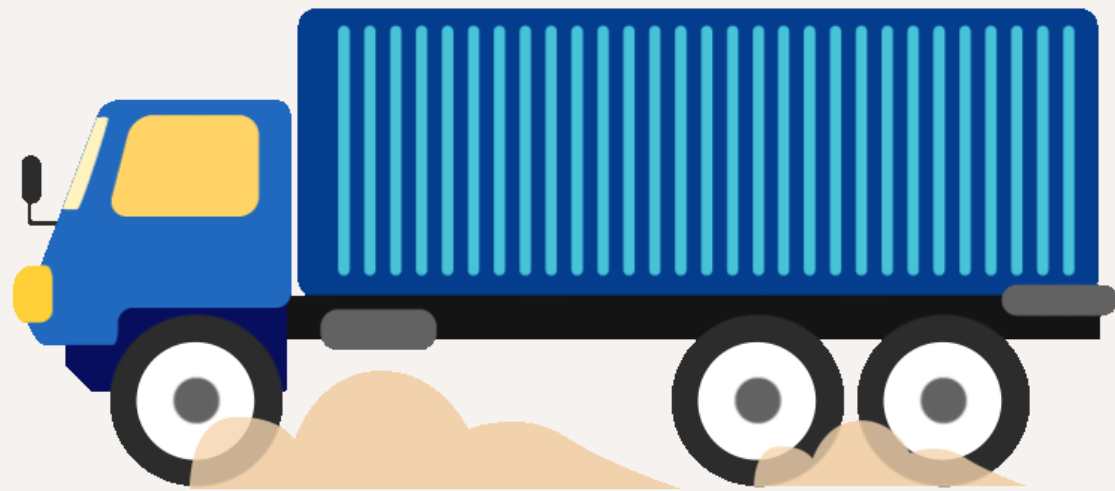
$$\forall i = 1, 2, \dots, N: \sum_{j=1}^K X(i, j) \leq 1$$

- Total weight of orders served by a vehicle must be between the low-bound and up-bound of capacity of that vehicle:

$$\forall j = 1, 2, \dots, K: c_1(j) \leq \sum_{i=1}^N X(i, j) \times w(i) \leq c_2(j)$$

I. Problem description

Modelling the problem



Objective

Maximize total profit of served orders:

$$\sum_{j=1}^K \sum_{i=1}^N X(i, j) \times p(i) \rightarrow \max$$

II. Algorithms

1. Solve exactly

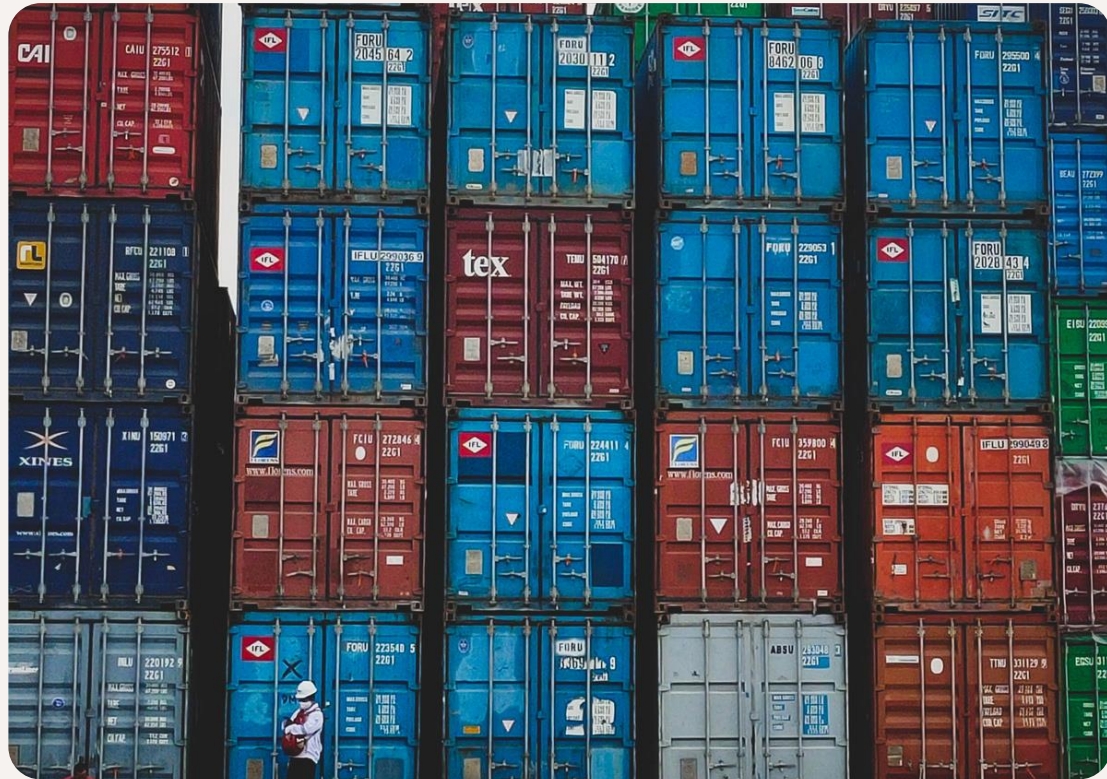
- Integer Linear Programming (ILP)
- Constraint Programming (CP)

2. Handle larger cases

- “Greedy” assigning method
- Local search algorithm for completion

Algorithm 1

Integer Linear Programming



1. Integer Linear Programming

- Import the library

```
from ortools.linear_solver import pywraplp
```

- Get input data

```
n, k, Orders, Vehicles = GetInput()
```

- Create an ILP solver

```
solver = pywraplp.Solver.CreateSolver('SCIP')
```

1. Integer Linear Programming

- Get input data

```
def GetInput():  
    n, k = map(int, input().split()) # n orders and k vehicles  
    Orders = []  
    Vehicles = []  
    for i in range(n):  
        w, p = map(int, input().split()) # weight and profit of  
        Orders.append((w, p))           # each order  
    for i in range(k):  
        low, up = map(int, input().split()) # lower & upper bound  
        Vehicles.append((low, up))         # for each vehicle  
    return n, k, Orders, Vehicles
```

1. Integer Linear Programming

- Create decision variables

```
X = {}  
for i in range(n):  
    for j in range(k):  
        X[i, j] = solver.IntVar(0, 1, X['+ str(i) + ',' + str(j) + ''])
```

$X(i, j)$: a binary variable

$$X(i, j) = \begin{cases} 1, & \text{if } i^{\text{th}} \text{ order is served by } j^{\text{th}} \text{ vehicle} \\ 0, & \text{otherwise} \end{cases}$$

1. Integer Linear Programming

- Add constraints

```
for i in range(n):
    c1 = solver.Constraint(0, 1)      # an order is not served
    for j in range(k):                # or served by 1 vehicle
        c1.SetCoefficient(X[i, j], 1)

for j in range(k):
    c2 = solver.Constraint(Vehicles[j][0], Vehicles[j][1])
    for i in range(n):                # total weight loaded
        c2.SetCoefficient(X[i, j], Orders[i][0]) # satisfies constraints
```

1. Integer Linear Programming

- Add objective function and call the solver

```
objective = solver.Objective()
for j in range(k):
    for i in range(n):
        objective.SetCoefficient(X[i, j], Orders[i][1])
objective.SetMaximization()
status = solver.Solve()
```

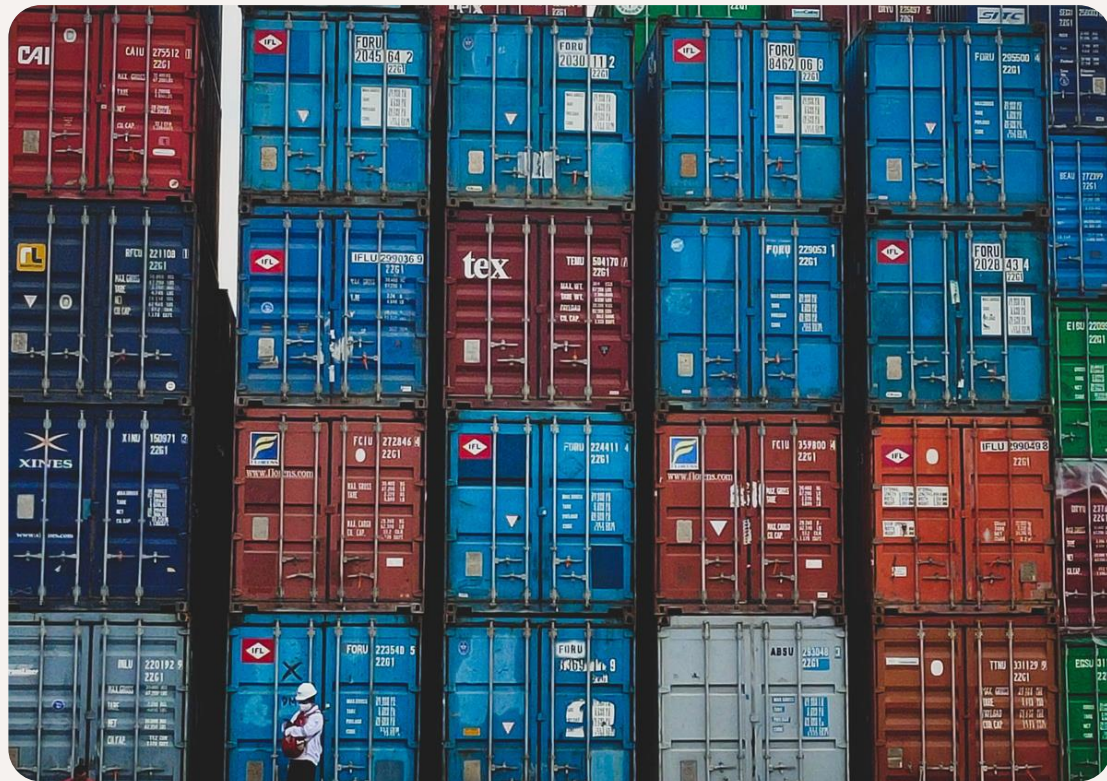

1. Integer Linear Programming

- Get results

```
if status == pywraplp.Solver.OPTIMAL:
    print(objective.Value()) # value of objective function
    order_count = 0         # counter of served orders
    solution = []           # pairs of (order, vehicle)
    for j in range(k):
        for i in range(n):
            if X[i, j].solution_value() == 1: # order i served
                order_count += 1               # by vehicle j
                solution.append((i+1, j+1))
    print(order_count)
    for order in solution:
        print(*order)
```

Algorithm 2

Constraint Programming



2. Constraint Programming

- Import the library

```
from ortools.sat.python import cp_model
```

- Input data

```
number_of_orders, number_of_vehicles = map(int, stdin.readline().split())

Orders = []
for i in range(number_of_orders):
    quantity, cost = map(int, stdin.readline().split())
    Orders.append(Order(i, quantity, cost))

Vehicles = []
for i in range(number_of_vehicles):
    low_capacity, up_capacity = map(int, stdin.readline().split())
    Vehicles.append(Vehicle(i, low_capacity, up_capacity))
```

2. Constraint Programming

- Class generation

```
class Order:
    def __init__(self, order_id, quantity, cost):
        self.order_id = order_id
        self.quantity = quantity
        self.cost = cost


class Vehicle:
    def __init__(self, vehicle_id, low_capacity, up_capacity):
        self.vehicle_id = vehicle_id
        self.low_capacity = low_capacity
        self.up_capacity = up_capacity
        self.orders_vehicle_carry = []
        self.total_quantity = 0
```

2. Constraint Programming

- Model creation

```
model = cp_model.CpModel()  
x = {(i, j): model.NewBoolVar(f'x_{i}_{j}') for i in range(N)  
      for j in range(K)}
```

- Constraints



```
for i in range(N):  
    model.Add(sum(x[(i, j)] for j in range(K)) <= 1)  
  
for j in range(K):  
    quantity_sum = sum(orders[i].quantity * x[(i, j)] for i in range(N))  
    model.Add(quantity_sum >= vehicles[j].low_capacity)  
    model.Add(quantity_sum <= vehicles[j].up_capacity)
```

2. Constraint Programming

- Define the objective

```
model.Maximize(sum(orders[i].cost * x[(i, j)] for i in range(N) for j in range(K)))
```

- Create a solver

```
solver = cp_model.CpSolver()  
status = solver.Solve(model)
```

2. Constraint Programming

- Solve the function

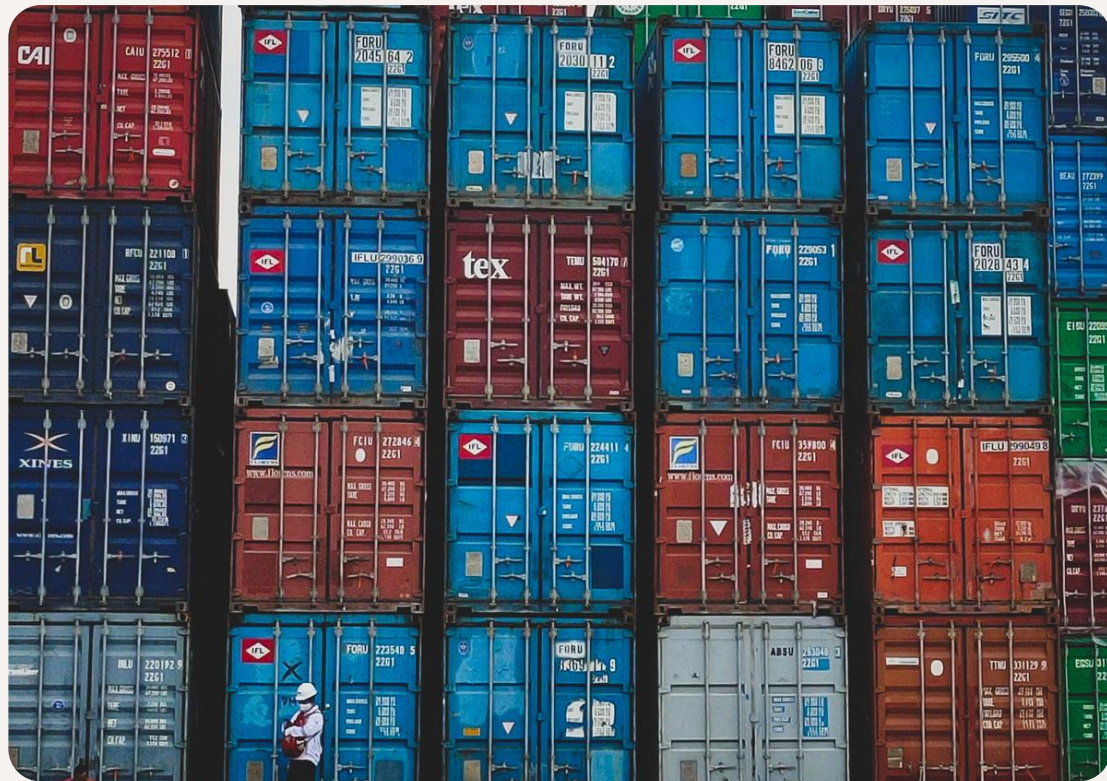
```

solver = cp_model.CpSolver()
status = solver.Solve(model)

if status == cp_model.OPTIMAL:
    #print out the number of order were served
    m = 0
    for i in range(N):
        for j in range(K):
            if solver.Value(x[i,j]) > 0:
                m+=1
    stdout.write(str(m)+'\n')
    for i in range(N):
        for j in range(K):
            if solver.Value(x[i,j]) > 0:
                stdout.write(str(i+1) + ' ' + str(j+1)+'\n')
    stdout.write(f'Total cost: {solver.ObjectiveValue()}\n')
```


Algorithm 3

Greedy Algorithm



3. Greedy Algorithm

Random method

- Ignore constraints and randomly assign orders to vehicles
- Fast; creates an initial solution (for later use)

```
X = [-1 for i in range(N)]          # Decision variable
for i in range(N):                  # X[i] = j: order i served
    X[i] = random.randint(0, K-1)    # by vehicle j
# X[i] = -1 if order is not served
```

3. Greedy Algorithm

- Assign orders to vehicles sequentially to satisfy problem
- Sort orders and vehicles to support assigning process
- Easy constraint: always obey upper bound of capacity
- 2 approaches:
 - Satisfy capacity constraints (Greedy 1)
 - Maximize profit (Greedy 2)

3. Greedy Algorithm

Satisfy capacity constraints

- Satisfy as much capacity lower bounds as possible
- Strategy:
 - Sort vehicles decreasingly by capacity lower bounds
 - Sort orders decreasingly by weight
 - For each vehicle, check for orders in order list
 - If vehicle's low-bound is satisfied -> move to next vehicle

3. Greedy Algorithm

Satisfy capacity constraints

```
# n, k, Orders, Vehicles as in previous sections
# use enumerate() to mark indices of orders & vehicles
# sort by descending order
sorted_orders = sorted(enumerate(Orders), key=lambda x: x[1][0],
                        reverse=True) # order[0] := weight of order
sorted_vehicles = sorted(enumerate(Vehicles), key=lambda x: x[1][0],
                         reverse=True) # vehicle[0] := lower bound
```

3. Greedy Algorithm

Satisfy capacity constraints

We use 2 arrays to check for feasibility of orders and vehicles:

```
load = [0 for j in range(k)]           # load of vehicles  
is_served = [False for i in range(n)] # an order is served or not
```

and an array to store results:

```
assignments = []
```

3. Greedy Algorithm

Satisfy capacity constraints

```
for j in range(k):  
    vehicle_pos, cur_vehicle = sorted_vehicles[j]  
    for i in range(n):  
        if load[vehicle_pos] >= Vehicles[vehicle_pos][0]:  
            break  
    order_pos, cur_order = sorted_orders[i]  
    if is_served[order_pos]:  
        continue
```

3. Greedy Algorithm

Satisfy capacity constraints

```
if load[vehicle_pos] + cur_order[0] <= Vehicles[vehicle_pos][1]:  
    is_served[order_pos] = True  
    load[vehicle_pos] += cur_order[0]  
    assignments.append((order_pos, vehicle_pos))
```

Results for unassigned orders:

```
for i in range(n):  
    if not is_served[i]:  
        assignments.append((i, -1)) # mark unassigned orders with -1
```

3. Greedy Algorithm

Satisfy capacity constraints

- Runs through each vehicle once, for each vehicle runs through all orders once
-> $O(n*k)$ -> fast
- Not feasible solution: Usually “smallest” vehicles are still underload
- Example: For a test case of 300 orders and 10 vehicles:

Vehicle 0: Load:789; lower bound:789

Vehicle 1: Load:1194; lower bound:1187

Vehicle 2: Load:778; lower bound:773

Vehicle 3: Load:1006; lower bound:1001

Vehicle 4: Load:694; lower bound:691

Vehicle 5: Load:585; lower bound:596

Vehicle 6: Load:622; lower bound:617

Vehicle 7: Load:728; lower bound:725

Vehicle 8: Load:938; lower bound:934

Vehicle 9: Load:886; lower bound:884

3. Greedy Algorithm

Maximize profit

- Prioritize orders with higher profit
- Strategy:
 - Sort vehicles increasingly by capacity upper bounds
 - Sort orders decreasingly by cost
 - For each order, attempt to load to a vehicle

3. Greedy Algorithm

Maximize profit

```
# Sort orders by cost in descending order
sorted_orders = sorted(enumerate(orders, 1), key=lambda x: -x[1][1])

# Sort vehicles by upper capacity in ascending order
sorted_vehicles = sorted(enumerate(vehicles, 1), key=lambda x: x[1][1])

assignments = []
remaining_capacity = [(capacity[0], capacity[1], i) for i, capacity in
                      sorted_vehicles]
```


3. Greedy Algorithm

Maximize profit

```
for order_count, (quantity, cost) in sorted_orders:
    assigned = False
    for i, (lower_bound, upper_bound, vehicle_count) in enumerate(remaining_capacity):
        if quantity <= upper_bound:
            assignments.append((order_count, vehicle_count))
            remaining_capacity[i] = (lower_bound-quantity, upper_bound-quantity, vehicle_count)
            assigned = True
            break
    if not assigned:
        assignments.append((order_count, 0)) # Mark as not served
```

3. Greedy Algorithm

Maximize profit

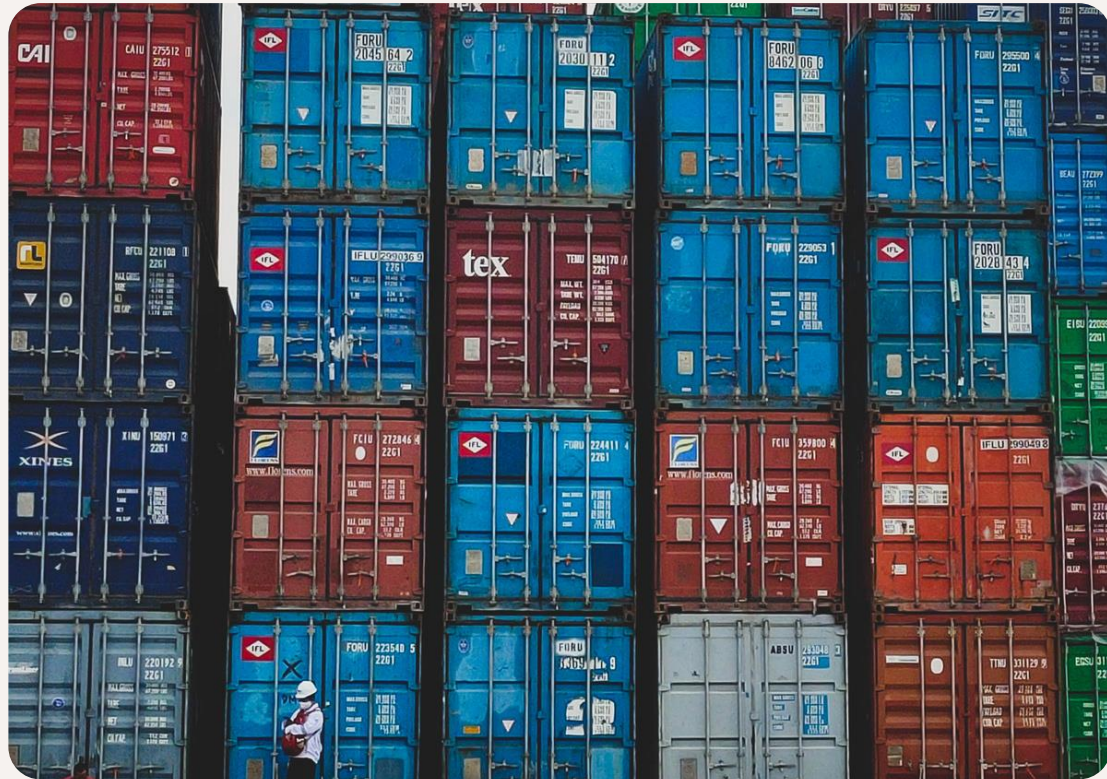
- More optimal result compared to first strategy
- Still remains underload vehicle(s)

=> Require methods to complete the solution

=> Local Search strategy

Algorithm 4

Local Search Algorithm



4. Local Search

- Initial Solution**
1. Randomly assign orders to vehicles

2. Greedy algorithm (2)

Results of test cases 8, 9, 10

	Random algorithm	Greedy algorithm(2)
Time	29.1 sec.(total)	0.38 sec.(total)
Good move	742/969/1012	55/68/79
Bad move	48/62/65	2/3/5

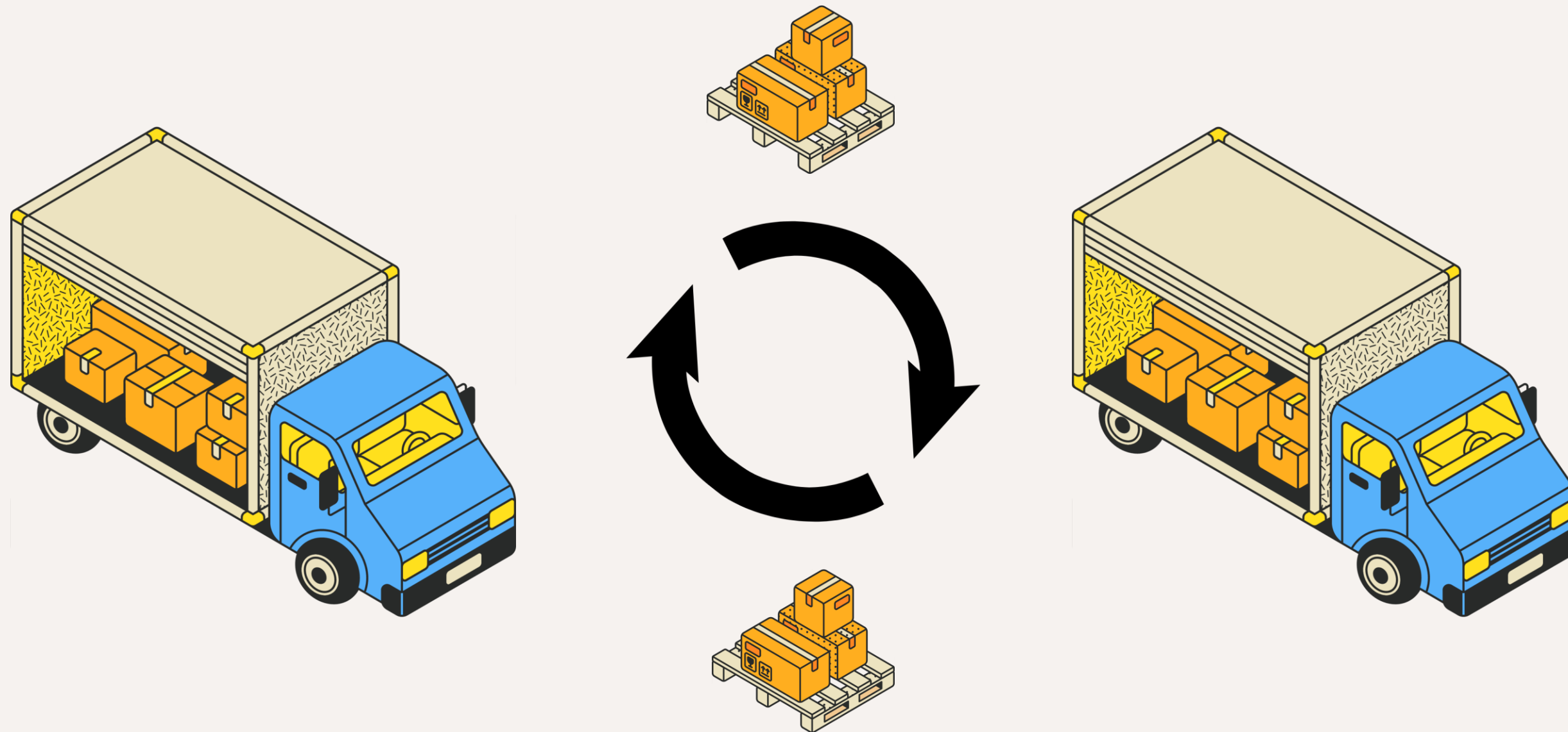
4. Local Search

Violation

```
violation = 0  
[violation := violation + 1 for v in range(k) if\  
sum_quant[v] > upper(v) or sum_quant[v] < lower(v)]
```

4. Local Search

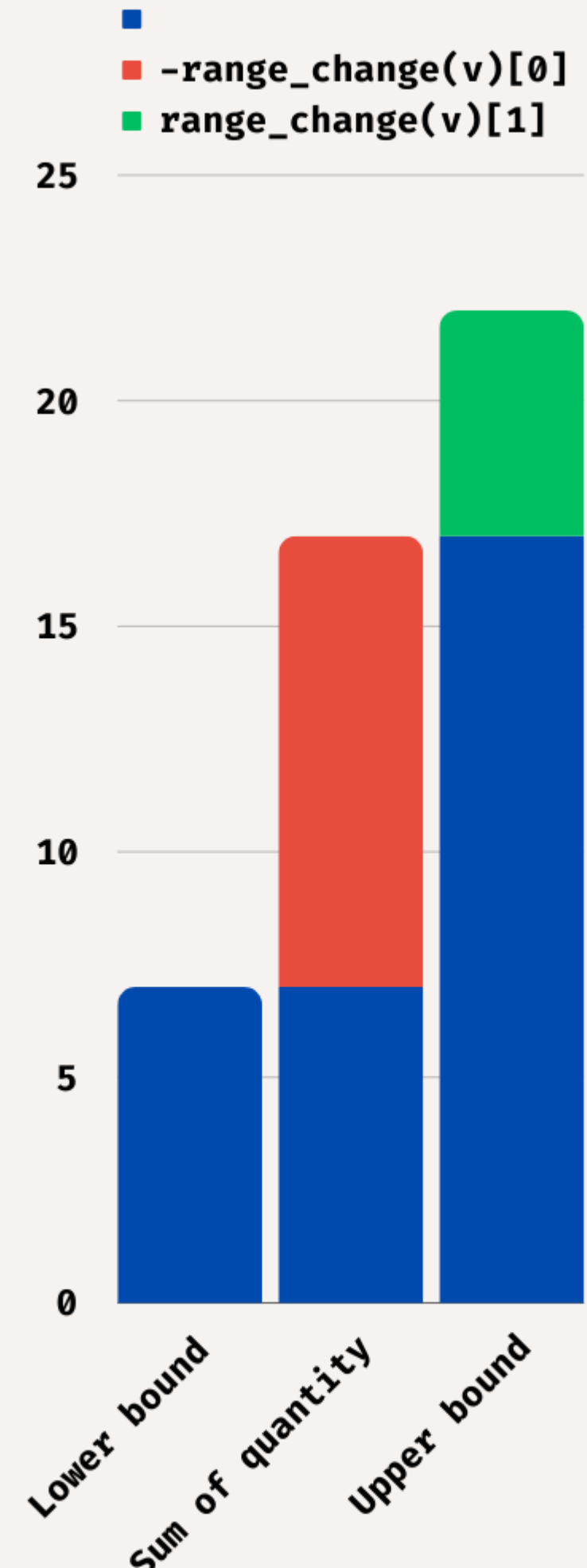
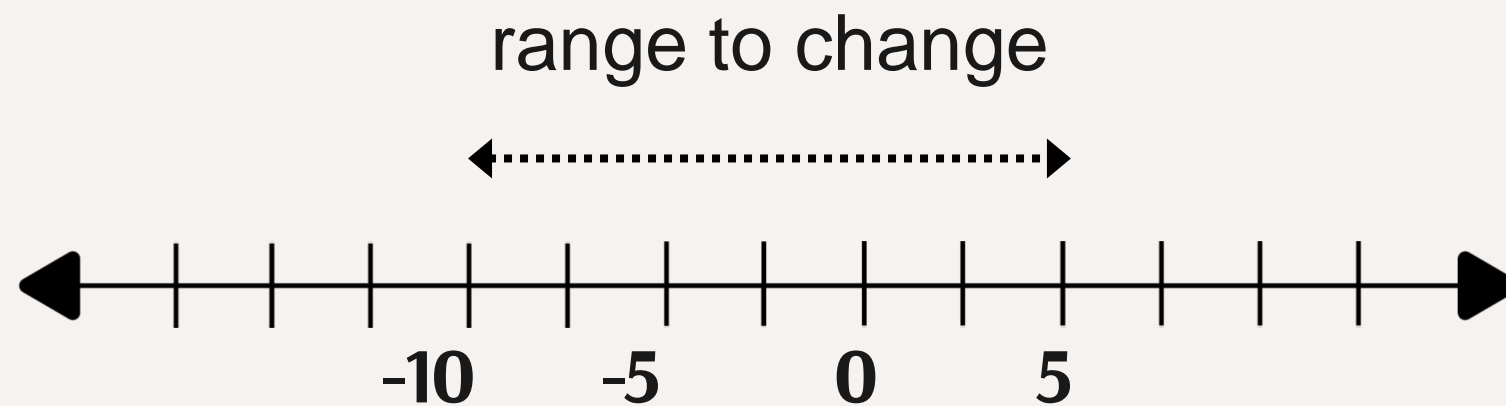
Idea of Local Move



4. Local Search

Local Move Algorithm

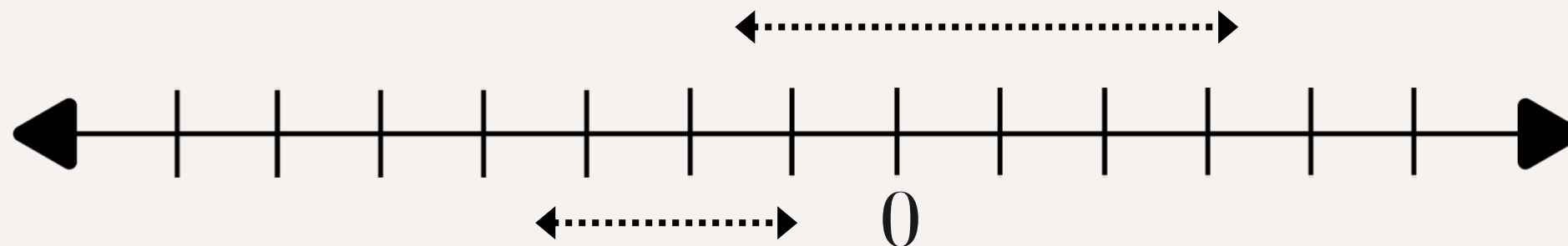
```
def range_change(v):  
    # The range that total load a vehicle can change  
    return (lower(v) - sum_quant[v], upper(v) - sum_quant[v])  
  
def can_go(v):  
    #Check whether a vehicle can go: total load satisfied  
    return range_change(v)[0] * range_change(v)[1] <= 0
```



4. Local Search

Local Move Algorithm

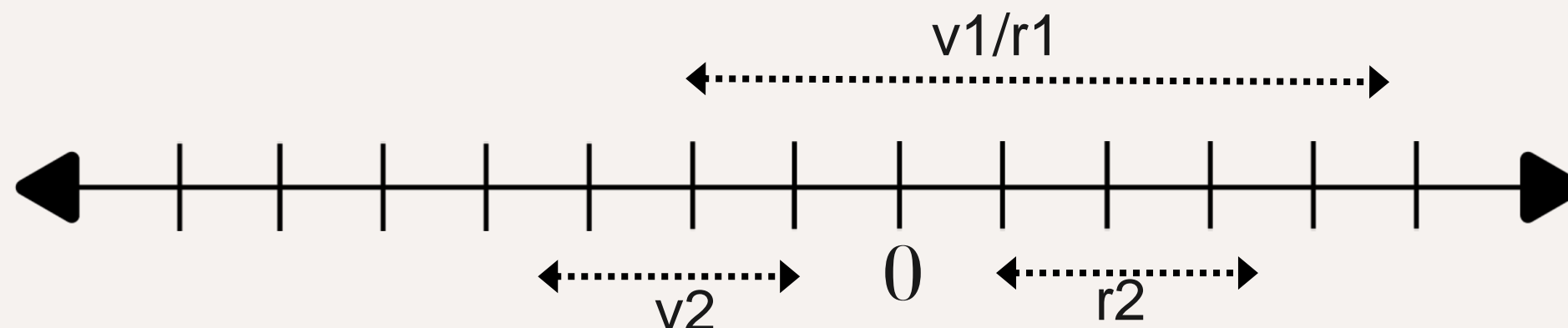
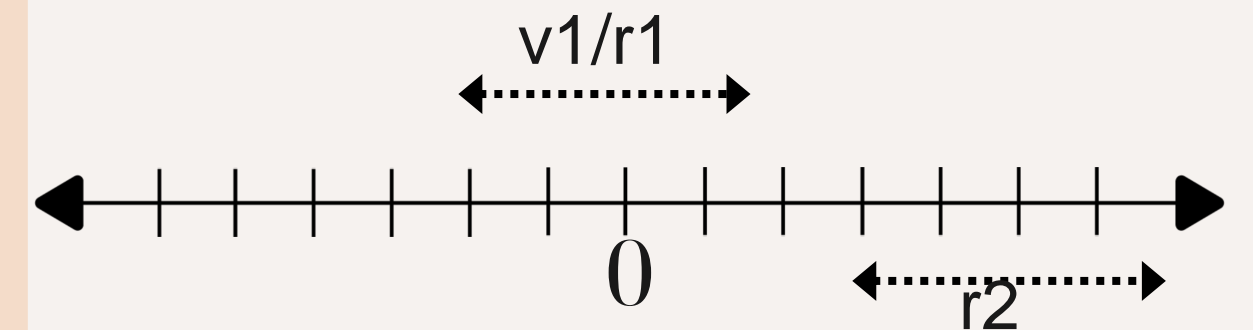
```
def can_trade(v1,v2):  
    # Check whether 2 vehicles are suitable for the local move  
    if can_go(v1) and can_go(v2):  
        return False  
    if range_change(v1)[0] * range_change(v2)[1] < 0 or\  
       range_change(v1)[1] * range_change(v2)[0] < 0:  
        return True  
    return False  
  
def choose_vehicles():  
    # Randomly choose 2 vehicles which are suitable (can trade)
```



4. Local Search

Local Move Algorithm

```
def accept_change(v1,v2):  
    # Acceptable range total quantity change  
    # (+:v1,-:v2)  
    r1 = range_change(v1)  
    r2 = (-range_change(v2)[1], -range_change(v2)[0])  
    if r1[0] < 0 and r2[0] < 0:  
        ans = (max(r1[0], r2[0]), -1)  
    elif r1[1] > 0 and r2[1] > 0:  
        ans = (1, min(r1[1], r2[1]))  
    return ans
```



4. Local Search

Local Move Algorithm

```
orders.append([0, 0]) # index-n
def choose_orders(v1, v2, accept_change):
    # Choose 2 sets of orders of each vehicle to trade
    candidate_v1 = [n]
    [candidate_v1.append(o) for o in range(n) if X[o] == v1]
    candidate_v2 = [n]
    [candidate_v2.append(o) for o in range(n) if X[o] == v2]
    for i in candidate_v1:
        for j in candidate_v2:
            if -quant(i) + quant(j) in\
                range(accept_change[0], accept_change[1]+1):
                return i, j, -quant(i)+quant(j)
```

4. Local Search

Propagation

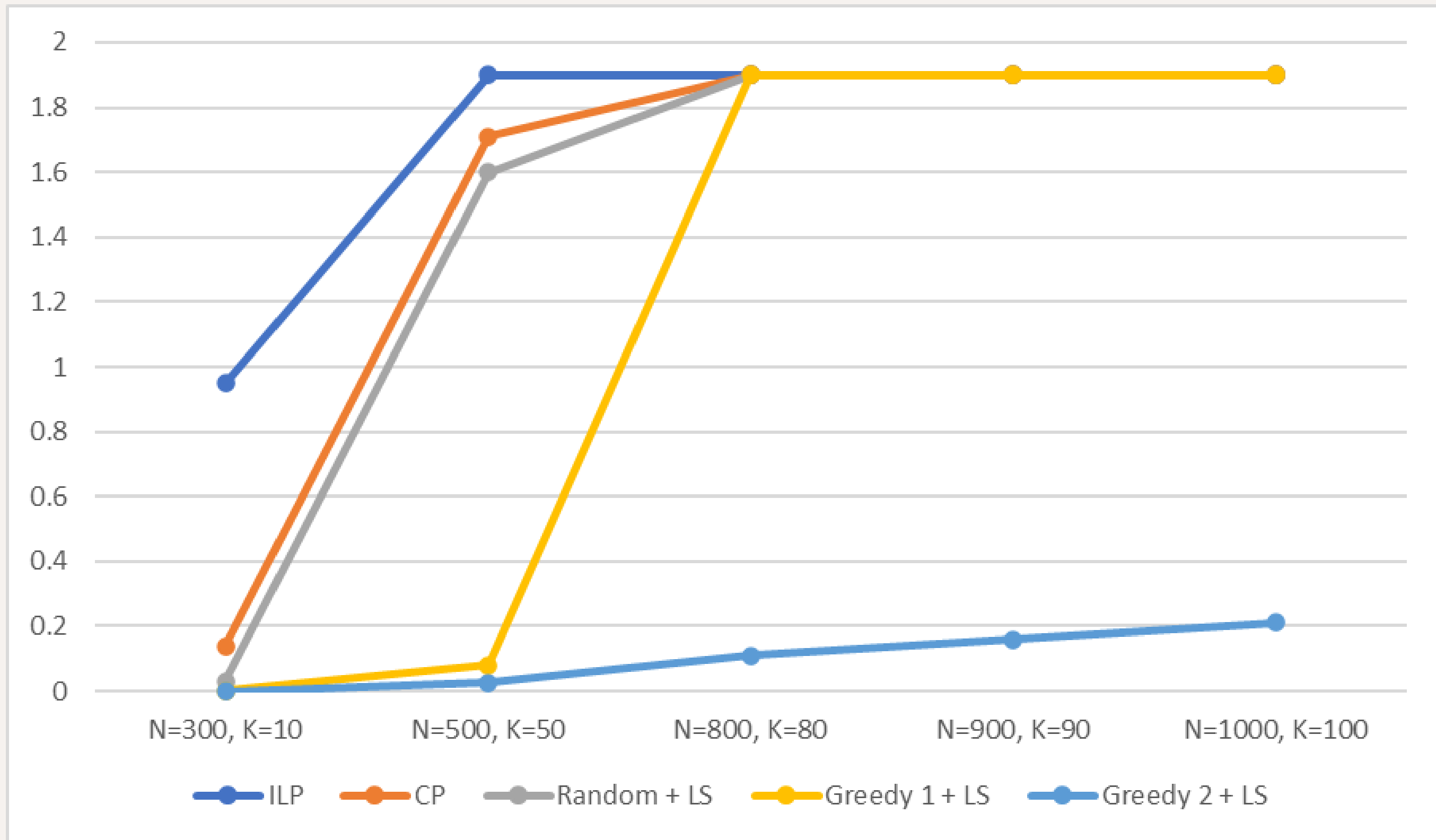
```
ans = choose_orders(v1, v2, ac)
if ans != None:
    pre_v1, pre_v2 = can_go(v1), can_go(v2)
    if ans[0] != n:
        X[ans[0]] = v2
    if ans[1] != n:
        X[ans[1]] = v1
    sum_quant[v1] += ans[2]
    sum_quant[v2] -= ans[2]
    if pre_v1 != can_go(v1):
        violation -= 1
    if pre_v2 != can_go(v2):
        violation -= 1
```

III. Tests and Results

- Results: Perfect
- Runtime: avg. of 10 tests, limit of 5 min.
 - For large test cases:

Test Cases	ILP	CP	Greedy + Local Search		
			Random	Greedy 1	Greedy 2
<i>N=300, K=10</i>	0.95	0.14	0.031	0.0025	$6 \cdot 10^{-4}$
<i>N=500, K=50</i>	97.53	1.71	1.6	0.081	0.027
<i>N=800, K=80</i>	N/A	4.96	7.3	33.53	0.11
<i>N=900, K=90</i>	N/A	170	10.2	42.83	0.16
<i>N=1000, K=100</i>	N/A	9.48	11.6	51.69	0.21

III. Tests and Results

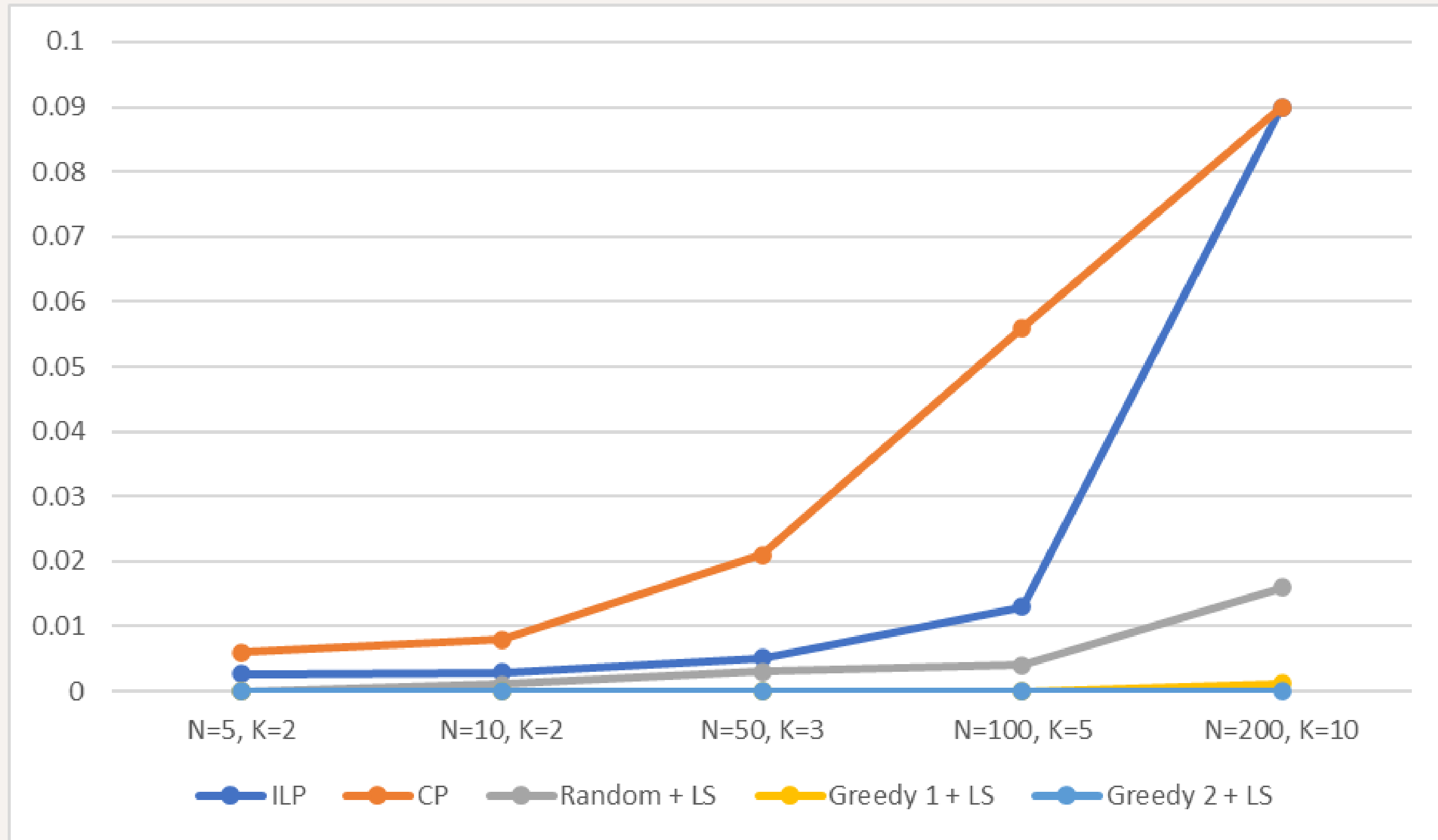


III. Tests and Results

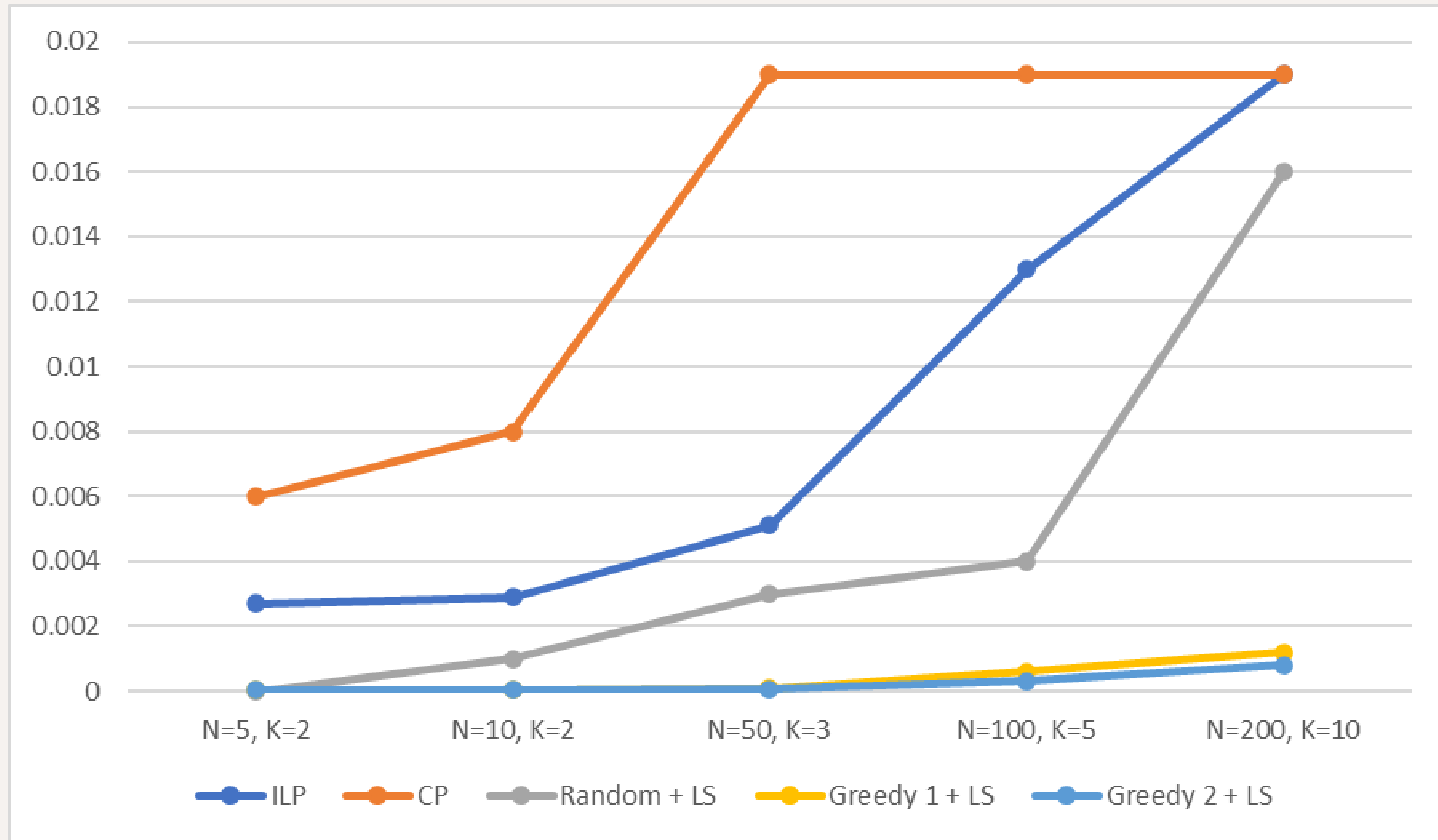
- For small test cases:

Test Cases	ILP	CP	Greedy + Local Search		
			Random	Greedy 1	Greedy 2
$N=5, K=2$	0.0027	0.006	10^{-4}	$3 * 10^{-5}$	$3 * 10^{-5}$
$N=10, K=2$	0.0029	0.008	0.001	$4 * 10^{-5}$	$4 * 10^{-5}$
$N=50, K=3$	0.0051	0.021	0.003	$8 * 10^{-5}$	$7 * 10^{-5}$
$N=100, K=5$	0.013	0.056	0.004	$6 * 10^{-4}$	$3 * 10^{-4}$
$N=200, K=10$	0.48	0.19	0.016	0.0012	$8 * 10^{-4}$

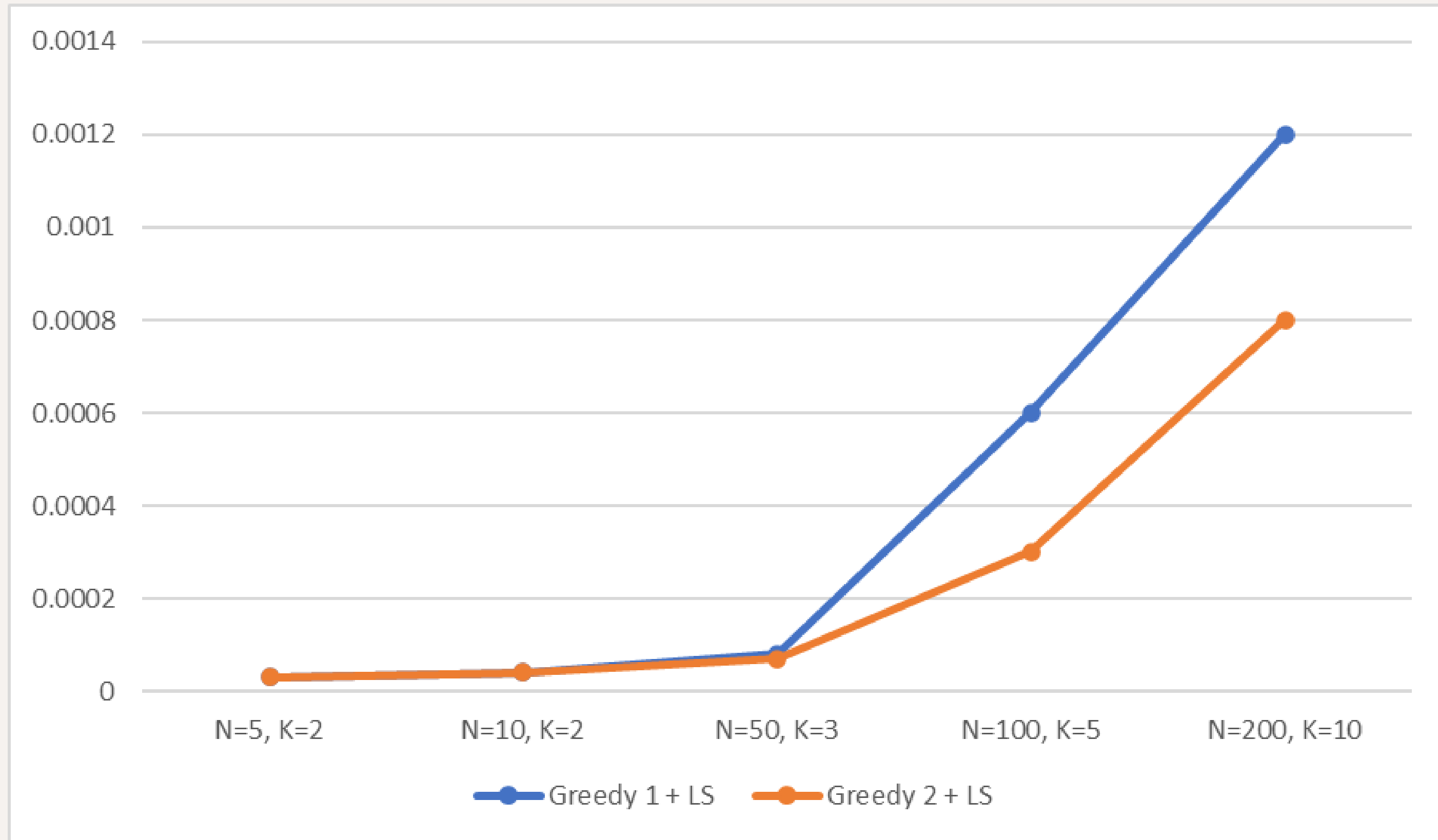
III. Tests and Results



III. Tests and Results



III. Tests and Results



III. Tests and Results

Conclusion

- Integer Linear Programming & Constraint Programming:
 - Provide exact results; long runtime, especially for large cases
 - For large cases, ILP has significantly longer runtime than CP
=> CP is more suitable for solving this problem
- Greedy algorithms with Local Search:
 - Greedy algorithms provide quick assignments; cannot satisfy all constraints
 - Local search: Faster than ILP & CP; give perfect results with given testcases.
 - Works best with “Greedy 2”: Prioritizing orders with higher profit & vehicles with smaller up-bound capacity

III. Tests and Results

Conclusion

- Drawbacks of local search:
 - Does not work when the difference between order quantities is too big.
 - Stop when all vehicles can go.
 - Not able to reduce sum of quantity of a vehicle whose sum of quantity is smaller than its lower bound.

THANK YOU FOR THE LISTENING



ANY QUESTION?