# **Gradient Based Pruning**

Yang Yang (<a href="mailto:yyn@google.com">yyn@google.com</a>), Rina Panigrahy (<a href="mailto:rinap@google.com">rinap@google.com</a>)
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#### Motivation

Neural networks have shown great potential in tasks such as image classification, speech recognition, et al. Along with accuracy improvement, model size also grows significantly. This poses challenges for deploying these models on resource constrained hardware. Pruning is one of the main methods that reduce the memory footprint and computation requirements for neural networks. Studies such as [1] have shown that removing the connections with **small magnitude of weights** can be a very effective approach. Here we explore that if we take gradients (first order and second order) into account, can we get more signals on the salient of each connection in neural networks, and therefore helping us further compress models.

#### Intuition

### First Order Gradient Pruning

Loss function L is a function of weights W in the model. So based on Taylor expansion and **first order approximation**, we have:

$$L(W + \Delta W) = L(W) + L'(W) * \Delta W = L(W) + g^{T} * \Delta W \dots$$
 (1)

Where:

$$g^{T} = \left[\frac{\partial L}{\partial w_{0}}, \frac{\partial L}{\partial w_{1}}, \frac{\partial L}{\partial w_{2}}, ..., \frac{\partial L}{\partial w_{n}}\right] ... (2)$$

$$\Delta W = [\Delta w_0, \Delta w_1, \Delta w_2, ..., \Delta w_n]^T$$
 ... (3)

Replacing (2) and (3) into (1), we have:

$$\Delta L = L(W + \Delta W) - L(W) = \sum\limits_i (\frac{\partial L}{\partial w_i}) * \Delta w_i \dots$$
 (4)

We can see in (4) that  $\Delta L$  is not only related to  $\Delta W$ , which [1] uses to prune away connections, but also related to its first order derivatives. Therefore, we argue that, instead of using  $|\Delta W|$  as the only salient connection indicator, what if pruning based on  $|\Delta W| * |g|$ .

## Second Order Gradient Pruning

The core idea is based on [2]. In order to avoid calculating Hessian matrix for the second-order gradient per step, we used an approximation below for second order gradients, which is much easier to calculate.

$$\frac{\partial^2 L}{\partial w_i^2} = w_i' + w_i'' - 2 * w_i'''$$
, where

 $w'_{i}$  is weight snapshot at current step;

 $\boldsymbol{w}_{i}^{''}$  is weight snapshot at current step - 2;

 $w_{i}^{'''}$  is weight snapshot at current step - 1.

## Implementation and Usage

We extend the model pruning library in Tensorflow (link) so that the API changes required to adopt the gradient based pruning methods is minimal. User still needs to add pruning op in the training graph exactly the same way as the original model pruning library.

In addition to that, two new pruning hyperparameters are added.

prune\_option hyperparameter is used to specify which method is used for pruning. Valid options are 'weight', 'first\_order\_gradient', 'second\_order\_gradient'.

gradient\_decay\_rate is the other hyperparameter that is used to control the decay strength when calculating moving average for gradients.

Currently block sparsity is not supported for gradient based pruning.

#### Results

#### Cifar<sub>10</sub>

On Cifar10, we have observed consistent benefits (>1% test accuracy improvement) for the two gradient based pruning approaches when the sparsity level is high (> 95%). When the target sparsity level is low, we don't see much benefit with this approach.

Sį	parsity	Prune Option	AVERAGE of Test Accuracy (5 runs)
	90%	weight	85.16%
	90%	first_order_gradient	85.21%

90% second_order_gradient 98% weight 98% first_order_gradient 98% second_order_gradient 99% weight 99% first_order_gradient 99% second_order_gradient
98% first_order_gradient 98% second_order_gradient 99% weight 99% first_order_gradient
98% second_order_gradient 99% weight 99% first_order_gradient
99% weight 99% first_order_gradient
99% first_order_gradient
99% second_order_gradient

# References

- [1] To prune, or not to prune: exploring the efficacy of pruning for model compression
- [2] Optimal Brain Damage
- [3] Optimal Brain Surgeon
- [4] <u>Dynamic Network Surgery for Efficient DNNs</u>