Decision Trees, Adversary Argument, and Amortized Analysis

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- Algorithm Analysis
- Decision Trees
- 3 Adversary Argument
- 4 Amortized Analysis

- ightharpoonup Given a problem P
- ▶ Design algorithms A, A', ...
- ▶ Input space \mathcal{X}_n : inputs of size n

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Selection (median):

Sorting:

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n!

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Selection (median):

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n

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$$n! \\ \implies n^2 \\ \implies n \log n \\ n \log n \Leftarrow n$$

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Sorting:

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Selection (median):

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$$\implies n \log n$$

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$$\frac{3n}{2} - \frac{3}{2} \log n \Longleftarrow$$

n

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Sorting:

$$n! \\ \Longrightarrow n^2 \\ \Longrightarrow n \log n \\ n \log n \Leftarrow n$$

Selection (median):

$$n^{2}$$

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$$2n \longleftarrow$$

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$$n$$

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1, 2, 4, 3; 7, 6, 8, 5; 10, 11, 9, 12; 15, 13, 16, 14

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1-sorted? 2-sorted?

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1-sorted? 2-sorted? *n*-sorted?

$$1, 2, 4, 3;$$
 $7, 6, 8, 5;$ $10, 11, 9, 12;$ $15, 13, 16, 14$

1-sorted? 2-sorted? *n*-sorted?

1-sorted \rightarrow 2-sorted \rightarrow 4-sorted $\rightarrow \cdots \rightarrow n$ -sorted

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 $1\text{-sorted}\to 2\text{-sorted}\to 4\text{-sorted}\to\cdots\to n\text{-sorted}$ Quicksort (with median) stops after the $\log k$ recursions.



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$$H \ge \log\left(\frac{n!}{\left(\left(\frac{n}{k}\right)!\right)^k}\right)$$

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Example (Horse Racing)

▶ 25 horses

▶ Round: ≤ 5 horses race

▶ Goal: Find #1, #2, #3 fastest.

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- ▶ 25 horses
- ▶ Round: < 5 horses race
- ▶ Goal: Find #1, #2, #3 fastest.

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Finding patterns in bit strings

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- ▶ Bit pattern 01
- ▶ Question: checking every bit?

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 is odd: checking $A[2,4,\ldots,n-1]$

n is even: adversary argument

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Amortized analysis

Amortized analysis is an algorithm analysis technique for analyzing a sequence of operations irrespective of the input to show that the average cost per operation is small, even though a single operation within the sequence might be expensive.

Methods for amortized analysis: the summation method

$$o_1, o_2, \ldots, o_n$$

$$c_1, c_2, \ldots, c_n$$

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$$(\sum_{i=1}^{n} c_i)/n$$

On any sequence of n INSERT ops on an initially empty array.

 $o_i: 1 2 3 4 5 6 7 8 9 10$ $c_i: 1 2 3 1 5 1 1 1 8 1$

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 $c_i: 1 2 3 1 5 1 1 1 8 1$

$$c_i = \left\{ \begin{array}{ll} (i-1)+1 = i & \text{if } i-1 \text{ is an exact power of 2} \\ 1 & \text{o.w.} \end{array} \right.$$

$$o_i: 1 2 3 4 5 6 7 8 9 10$$

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$$c_i = \begin{cases} (i-1) + 1 = i & \text{if } i-1 \text{ is an exact power of 2} \\ 1 & \text{o.w.} \end{cases}$$

$$\sum_{i=1}^{n} c_i \le n + \sum_{j=0}^{\lceil \lg n \rceil - 1} 2^j = n + (2^{\lceil \lg n \rceil} - 1) \le n + 2n = 3n$$

$$o_i: 1 2 3 4 5 6 7 8 9 10$$

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$$\forall i, \hat{c_i} = 3$$

$$o_1, o_2, \ldots, o_n$$

$$c_1, c_2, \ldots, c_n$$

$$a_1, a_2, \ldots, a_n$$

$$o_1, o_2, \ldots, o_n$$

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$$\hat{c}_i = c_i + a_i, a_i > = < 0.$$

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$$\forall n, \sum_{i=1}^{n} c_i \leq \sum_{i=1}^{n} \hat{c_i} \implies \forall n, \sum_{i=1}^{n} a_i \geq 0$$

$$o_1, o_2, \ldots, o_n$$

$$c_1, c_2, \ldots, c_n$$

$$a_1, a_2, \ldots, a_n$$

$$\hat{c_i} = c_i + a_i, a_i > = < 0.$$

$$\forall n, \sum_{i=1}^{n} c_i \le \sum_{i=1}^{n} \hat{c}_i \implies \forall n, \sum_{i=1}^{n} a_i \ge 0$$

Key way of thinking:

Put the accounting cost on specific objects.

Accounting method: array doubling revisited

$$\hat{c}_{i} = 3$$
 vs. $\hat{c}_{i} = 2$

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$$\hat{c}_i = 3 = \underbrace{1}_{\text{insert}} + \underbrace{1}_{\text{move itself}} + \underbrace{1}_{\text{help move another}}$$

Accounting method: array doubling revisited

$$\hat{c_i}=3$$
 vs. $\hat{c_i}=2$
$$\hat{c_i}=3=\underbrace{1}_{\mathrm{insert}}+\underbrace{1}_{\mathrm{move\ itself}}+\underbrace{1}_{\mathrm{help\ move\ another}}$$

	$\hat{c_i}$	c_i (actual cost)	a_i (accounting cost)
INSERT (normal)		1	2
Insert (expansion)	3	1+t	-t+2

- i c_i
- 1 1
- 2 1 + 2
- 3 1
- 4 1 + 2 + 4
- 5 1
- 6 1 + 2
- 7 1
- 8 1 + 2 + 4
- . . .



$$i \quad c_i \\ 1 \quad 1 \\ 2 \quad 1+2 \\ 3 \quad 1 \\ 4 \quad 1+2+4 \\ 5 \quad 1 \\ 6 \quad 1+2 \\ 7 \quad 1 \\ 8 \quad 1+2+4 \\ .$$

$$\sum_{i=1}^{n} c_i = \sum_{j=0}^{\lfloor \log n \rfloor} \lfloor \frac{n}{2^j} \rfloor 2^j \le n(\lfloor \log n \rfloor + 1)$$

$$i \quad c_i$$
 $1 \quad 1$
 $2 \quad 1+2$
 $3 \quad 1$
 $4 \quad 1+2+4$
 $5 \quad 1$
 $6 \quad 1+2$
 $7 \quad 1$
 $8 \quad 1+2+4$
.

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$$\forall i, \hat{c_i} = 1 + \lfloor \log n \rfloor$$

Array merging (Problem 4.13): the accounting method

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What does it mean?



Array merging (Problem 4.13): the accounting method

$$\hat{c_i} = 1 + \lfloor \log n \rfloor$$

What does it mean?

$$\forall n, \sum_{i=1}^{n} a_i \ge 0$$

Two stacks, one queue (Problem 4.14)

Algorithm 1 Simulating a queue using two stacks S_1, S_2 .

```
Push(S_1,x) procedure \mathrm{DEQ}() if S_2=\emptyset then while S_1\neq\emptyset do Push(S_2, Pop(S_1)) Pop(S_2)
```

procedure $E_{NQ}(x)$

Two stacks, one queue: the summation method

$$(\sum_{i=1}^{n} c_i)/n$$

Two stacks, one queue: the summation method

$$(\sum_{i=1}^{n} c_i)/n$$

The operation sequence is NOT known.

item: Push into S_1 Pop from S_1 Push into S_2 Pop from S_2 1 1 1

item: Push into
$$S_1$$
 Pop from S_1 Push into S_2 Pop from S_2 1 1 1

$$\hat{c}_{\mathrm{ENQ}} = 3$$
 $\hat{c}_{\mathrm{DEQ}} = 1$

$$\hat{\epsilon}_{\mathrm{DEQ}} = 1$$

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$$S_1$$
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$$1 \qquad \qquad 1 \qquad \qquad 1 \qquad \qquad 1$$

$$\hat{c}_{\text{ENQ}} = 3$$
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$$\sum_{i=1}^{n} a_i \ge 0$$

item: Push into
$$S_1$$
 Pop from S_1 Push into S_2 Pop from S_2
$$1 \qquad \qquad 1 \qquad \qquad 1 \qquad \qquad 1$$

$$\hat{c}_{\text{ENQ}} = 3$$
 $\hat{c}_{\text{DEO}} = 1$

$$\sum_{i=1}^{n} a_i \ge 0 \Longleftrightarrow \sum_{i=1}^{n} a_i = \#S_1 \times 2$$



写清楚算法原理,不要只写代码 写清楚算法原理,不要只写代码 写清楚算法原理,不要只写代码