

# Minimum Spanning Tree (MST)

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# Minimum Spanning Tree (MST)

- 1 Cut Property and Cycle Property
- 2 Time Complexity of MST Algorithms
- 3 Variants of MST
- 4 MST vs. Shortest Path

# A generic MST algorithm

# Cut property (strong)

## Cut property (strong)

- ▶ Graph  $G = (V, E)$
- ▶  $X$  is some part of an MST  $T$  of  $G$
- ▶ Any cut  $(S, V \setminus S)$  s.t.  $X$  does not cross  $(S, V \setminus S)$
- ▶ Let  $e$  be a lightest edge across  $(S, V \setminus S)$

Then  $X \cup \{e\}$  is some part of an MST  $T'$  of  $G$ .

Proof.

Exchange argument



# Cut property (strong)

Correctness of Prim's and Kruskal's algorithms.

# Cut property (weak)

## Cut Property [Problem: 3.6.18 (a)]

- ▶ Graph  $G = (V, E)$
- ▶ Any cut  $(S, V \setminus S)$  where  $S, V - S \neq \emptyset$
- ▶ Let  $e = (u, v)$  be a minimum-weight edge across  $(S, V \setminus S)$

Then  $e$  must be in *some* MST of  $G$ .

“a”  $\rightarrow$  “the”  $\implies$  “some”  $\rightarrow$  “any”

# Applications of cut property

## Application of cut property (Problem 6.10)

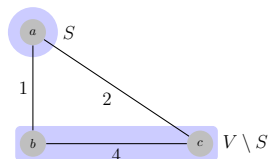
(3)  $e \in G$  is a lightest edge  $\implies e \in \exists$  MST of  $G$

(4)  $e \in G$  is the unique lightest edge  $\implies e \in \forall$  MST of  $G$

# Applications of cut property

## Wrong divide&conquer algorithm for MST (Problem 6.14)

- ▶  $G = (V, E, w)$
- ▶  $(V_1, V_2) : ||V_1| - |V_2|| \leq 1$
- ▶  $T_1 + T_2 + \{e\}$ :  $e$  is a lightest edge across  $(V_1, V_2)$





## Cycle property (weak)

### Cycle property (Problem 6.13–2)

- ▶  $G = (V, E, w)$
- ▶ Let  $C$  be any cycle in  $G$
- ▶  $e = (u, v)$  is a maximum-weight edge in  $C$

Then  $\exists$  MST  $T$  of  $G : e \notin T$ .

“a”  $\rightarrow$  “the”  $\implies$  “some”  $\rightarrow$  “any”

# Applications of cycle property

Anti-Kruskal algorithm (Problem 6.13–3)

Reverse-delete algorithm (wiki)

$$O(m \log n (\log \log n)^3)$$

Proof.

**Invariant:** If  $F$  is the set of edges remained at the end of the while loop, then there is some MST that are a subset of  $F$ . □

Reference

- ▶ “On the Shortest Spanning Subtree of a Graph and the Traveling Salesman Problem” by Kruskal, 1956.

# Application of cycle property

(Problem 6.13–1)

(1)  $e \notin \text{any cycle of } G \implies e \in \forall \text{ MST}$

By contradiction.

# Application of cycle property

(Problem 6.10)

- (1)  $|E| > |V| - 1$ ,  $e$  is the unique max-weight edge of  $G \implies e \notin \forall \text{ MST}$
- (2)  $\exists C \subseteq G$ ,  $e$  is the unique max-weight edge of  $C \implies e \notin \forall \text{ MST}$
- (5) Cycle  $C \subseteq G$ ,  $e \in C$  is the unique lightest edge of  $G \implies e \in \forall \text{ MST}$

# Unique MST

## Unique MST (Problem 6.12–1)

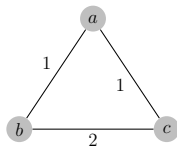
Distinct weights  $\implies$  Unique MST.

# Unique MST

## Unique MST (Problem 6.12-2)

Unique MST  $\not\Rightarrow$  Equal weights.

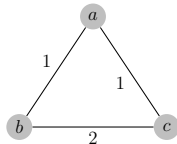
$$\Delta E = \{e \mid e \in T_1 \setminus T_2 \vee e \in T_2 \setminus T_1\}$$



# Unique MST

## Unique MST (Problem 6.12–3)

Unique MST  $\not\Rightarrow$  Minimum-weight edge across any cut is unique.



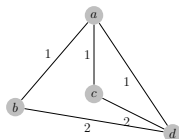
## Theorem

*Minimum-weight edge across any cut is unique  $\implies$  Unique MST.*

# Unique MST

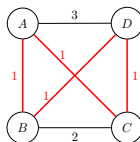
## Unique MST (Problem 6.12–3)

Unique MST  $\not\Rightarrow$  Maximum-weight edge in any cycle is unique.



## Theorem (Conjecture)

Maximum-weight edge in any cycle is unique  $\Rightarrow$  Unique MST.





# Unique MST

## Unique MST (Problem 6.12–4)

Decide whether a graph has a unique MST?

1. Exchange argument based on cut property and cycle property
2. Ties breaking in Prim's and Kruskal's algorithms

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# Prim vs. Kruskal (Problem 6.4)

## Prim vs. Kruskal (Problem 6.4)

- ▶ Array vs. heap
- ▶  $m = O(n)$  vs.  $m = \Omega(n^2)$

$$T(n, m) = O(n + nT(\text{deleteMin}) + mT(\text{decreaseKey}))$$

# MST on special graphs (Problem 6.3)

## MST on special graphs (Problem 6.3)

1.  $K$ -bounded degree graph
2. Planar graph

$$(1) \ m \leq \frac{nk}{2}$$

$$(2) \ m \leq 3n - 6$$

## Reference

- ▶ “Finding Minimum Spanning Trees” by David Cheriton and Robert Tarjan, 1976 (linear on planar graph).

# Prim on special graphs (Problem 6.1)

## Prim on special graphs (Problem 6.1)

$$E = \{v_1v_i \mid i = 2 \dots n\}, W(v_1v_i) = 1$$

# Prim on special graphs (Problem 6.2)

## Prim on special graphs (Problem 6.2)

1.  $G = K_n$
2.  $W(v_i v_j) = n + 1 - i, 1 \leq i < j \leq n$

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# Feedback edge set

## Feedback edge set (Problem 6.5)

1. Max-ST
2. (minimum) feedback edge set  $F$ :

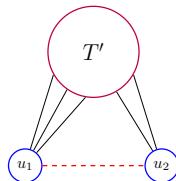
$G' \triangleq G \setminus F$  is acyclic.



# MST with specific leaves

## MST with specific leaves (Problem 6.8)

- ▶  $G = (V, E), U \subset V$
  - ▶ finding an MST with  $U$  as leaves
- 
- ▶ MST  $T'$  of  $G' \triangleq G \setminus U$
  - ▶ attach  $\forall u \in U$  to  $T'$  (lightest edge)



# MST with specific edges

## MST with specific edges (Problem 6.9)

- ▶  $G = (V, E), S \subset E$  (no cycle in  $S$ )
- ▶ finding an MST with  $E$  as edges

1. Run Kruskal
2. Computing MST on graph of CCs

# Edge weights

Edge weights (Problem 6.11)

$$w(e) > 0, w'(e) = (w(e))^2$$

Edge weights (Problem 6.10–7)

$$\exists e : w(e) < 0$$

# Linear MST algorithms on special graphs

## Linear MST algorithms on special graphs (Problem 5.25)

1.  $\forall e \in E : w(e) = 1$
2.  $m = n + 10$
3.  $\forall e \in E : w(e) = 1 \vee w(e) = 2$

1. BFS
2. Cycle-breaking  $\times 11$
3. BFS  $\times 2$  ( $\equiv$  Kruskal)

# Updating MST

## Updating MST (Problem 6.7)

$T = (V, E')$  is an MST of  $G = (V, E)$ :

- |                   |                   |
|-------------------|-------------------|
| 1. $w'(e) < w(e)$ | 2. $w'(e) > w(e)$ |
| 1.1 $e \in E'$    | 2.1 $e \in E'$    |
| 1.2 $e \notin E'$ | 2.2 $e \notin E'$ |



Let me know if you fully understand this answer.

# Second-best MST

## Second-best MST (Problem 6.15)

Find a second-best (or, generally  $k$ -th) MST.

### Theorem

*Second-best MST A second-best MST  $T'$  is of the form ( $T$ : an MST)*

$$T' = T - e + e'.$$

By contradiction (assume distinct edge weights).

$$w(T) < w(T'') < w(T')$$

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# Sharing edges

## Sharing edges (Problem 6.6)

- ▶  $G = (V, E), w(e) > 0$
- ▶ Given  $s$ : all sssp trees from  $s$  must share some edge with some MST of  $G$

a lightest edge leaving  $s$



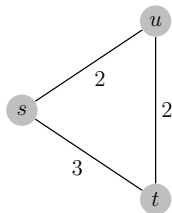
# SP vs. MST

## SP vs. MST (Problem 6.10–6)

✗ The shortest path between two nodes is necessarily part of some MST.

## SPT vs. MST (Problem 6.17)

✗ The shortest-path tree computed by Dijkstra's algorithm is necessarily an MST.



# Edge weights

## Edge weights (Problem 6.19)

MST vs. SPT (from  $s$ ):

$$w(e) \geq 0, w'(e) = w(e) + 1$$

