Searching and Selection

Hengfeng Wei

hfwei@nju.edu.cn

April 8 \sim April 10, 2017



1 / 22

Searching and Selection

- Selection
- Searching



$$V_1(n) = n - 1$$

 $V_2(n) = (n - 1) + (\lceil \log n \rceil - 1)$

$$V_1(n) = n - 1$$

 $V_2(n) = (n - 1) + (\lceil \log n \rceil - 1)$

$$V_3(n) = ?$$



$$V_1(n) = n - 1$$

 $V_2(n) = (n - 1) + (\lceil \log n \rceil - 1)$

$$V_3(n) = ?$$

$$V_3(n) \le (n-1) + (\lceil \log n \rceil - 1) + (n-3)$$



 $V_k(n)$: min #comparisons to find the k-th largest element of n elements.

$$V_1(n) = n - 1$$

 $V_2(n) = (n - 1) + (\lceil \log n \rceil - 1)$

$$V_3(n) = ?$$

$$V_3(n) < (n-1) + (\lceil \log n \rceil - 1) + (n-3)$$

$$V_3(n) \le (n-1) + (\lceil \log n \rceil - 1) + (\lceil \log n \rceil - 1)$$

" Q_1 : What is the exact value of $V_3(n)$?"

Theorem $(V_3(n))$

$$n \ge 6, n = 2^k + r(0 \le r < 2^k)$$
:

$$V_3(n) = \begin{cases} (n-3) + 2k & r = 0, 1\\ (n-3) + 2k + 1 & 2 \le r \le 2^{k-2} + 1\\ (n-3) + 2k + 2 & \text{o.w.} \end{cases}$$

References

"Selecting the Top Three Elements" by Aigner, 1982.



" Q_1 : What is the exact value of $V_3(n)$?"

Theorem $(V_3(n))$

$$n \ge 6, n = 2^k + r(0 \le r < 2^k)$$
:

$$V_3(n) = \begin{cases} (n-3) + 2k & r = 0, 1\\ (n-3) + 2k + 1 & 2 \le r \le 2^{k-2} + 1\\ (n-3) + 2k + 2 & o.w. \end{cases}$$

References

"Selecting the Top Three Elements" by Aigner, 1982.

Reference

"The Art of Computer Programming, Vol 3: Sorting and Searching (Section 5.3.3)" by Donald E. Knuth.

" Q_2 : Does your algorithm need to find the 1st and the 2nd elements?"

" Q_2 : Does your algorithm need to find the 1st and the 2nd elements?"

"YFS!"

" Q_2 : Does your algorithm need to find the 1st and the 2nd elements?" "YFS!"

" Q_3 : Do all algorithms have to find the 1st and the 2nd elements?"



" Q_2 : Does your algorithm need to find the 1st and the 2nd elements?" "YES!"

" Q_3 : Do all algorithms have to find the 1st and the 2nd elements?"

"NO!"

" Q_2 : Does your algorithm need to find the 1st and the 2nd elements?" "YES!"

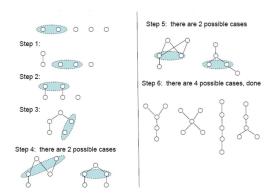
" Q_3 : Do all algorithms have to find the 1st and the 2nd elements?" "NO!"

References

"Selecting the Top Three Elements" by Aigner, 1982.

Selection with minimum #comparisons (Problem 3.2)

Selecting the median of 5 elements using 6 comparisons.



Sorting with minimum #comparisons (Problem 2.4)

Sorting 5 elements using 7 comparisons.

$$S(5) = 7$$

Sorting with minimum #comparisons (Problem 2.4)

Sorting 5 elements using 7 comparisons.

$$S(5) = 7$$

Reference

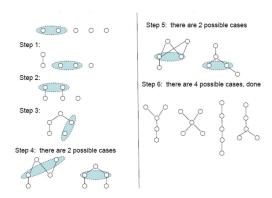
"The Art of Computer Programming, Vol 3: Sorting and Searching (Section 5.3.1)" by Donald E. Knuth.

$$S(21) = 66$$



Sorting with minimum #comparisons (Problem 2.4)

Sorting 5 elements using 7 comparisons.



Medians of sorted arrays (Problem 3.7)

Searching and Selection

- Selection
- 2 Searching

\max / \min differences (Problem 4.5)

- (a) unsorted; $\max |x y|$; O(n)
- (b) sorted; $\max |x y|$; O(1)
- (c) unsorted; $\min |x y|$; $O(n \log n)$
- (d) sorted; $\min |x y|$; O(n)

- ightharpoonup M: matrix $m \times n$
- ▶ row: increasing from left to right
- col: increasing from top to down
- ▶ Is $x \in M$?

- ightharpoonup M: matrix $m \times n$
- ▶ row: increasing from left to right
- col: increasing from top to down
- ▶ Is $x \in M$?

Divide and conquer.



- ightharpoonup M: matrix $m \times n$
- ▶ row: increasing from left to right
- col: increasing from top to down
- ▶ Is $x \in M$?

Divide and conquer.

$$T(m,n) = 3T(\frac{m}{2}, \frac{n}{2}) + 1$$



- ightharpoonup M: matrix $m \times n$
- ▶ row: increasing from left to right
- col: increasing from top to down
- ▶ Is $x \in M$?

Divide and conquer.

$$T(m,n) = 3T(\frac{m}{2}, \frac{n}{2}) + 1$$

Always checking the lower left corner.



- ightharpoonup M: matrix $m \times n$
- ▶ row: increasing from left to right
- ▶ col: increasing from top to down
- ▶ Is $x \in M$?

Divide and conquer.

$$T(m,n) = 3T(\frac{m}{2},\frac{n}{2}) + 1$$

Always checking the lower left corner.

$$T(m,n) = m + n - 1$$



Assume $M: n \times n$

$$W(n) \le 2n - 1$$

Assume $M: n \times n$

$$W(n) \le 2n - 1$$

 $W(n) \ge 2n - 1$ by adversary argument!



Assume $M: n \times n$

$$W(n) \le 2n - 1$$

 $W(n) \geq 2n-1$ by adversary argument!

$$i+j \le n-1 \implies x > M_{ij}$$

 $i+j > n-1 \implies x < M_{ij}$

- ightharpoonup Array $A[0 \dots n]$
- Boundary conditions:

$$A[0] \ge A[1]$$

$$A[n-2] \le A[n-1]$$

Local minimum A[i]:

$$A[i-1] \ge A[i] \le A[i+1]$$



1. Checking each element:

$$T(n) = O(n)$$

 $2. \min A$:

$$T(n) = O(n)$$

1. Checking each element:

$$T(n) = O(n)$$

 $2. \min A$:

$$T(n) = O(n)$$

3. Required:

$$T(n) = O(n \log n)$$

1. Checking each element:

$$T(n) = O(n)$$

 $2. \min A$:

$$T(n) = O(n)$$

3. Required:

$$T(n) = O(n \log n)$$

$$T(n) = T(\frac{n}{2}) + 1$$



2D local minimum:

- ightharpoonup Matrix $M:n\times n$
- Boundary conditions:



▶ Local minimum A[i, j]:

$$A[i, j - 1] \ge A[i, j] \le A[i, j + 1]$$

 $A[i - 1, j] \ge A[i, j] \le A[i + 1, j]$



2D local minimum:

- ightharpoonup Matrix $M:n\times n$
- Boundary conditions:

 ∞

▶ Local minimum A[i, j]:

$$A[i, j - 1] \ge A[i, j] \le A[i, j + 1]$$

 $A[i - 1, j] \ge A[i, j] \le A[i + 1, j]$

$$O(n^2)$$



2D local minimum:

- ightharpoonup Matrix $M: n \times n$
- Boundary conditions:

 ∞

▶ Local minimum A[i, j]:

$$A[i, j - 1] \ge A[i, j] \le A[i, j + 1]$$

 $A[i - 1, j] \ge A[i, j] \le A[i + 1, j]$

$$O(n^2) \implies O(n \log n)$$



Local minimum (Problem 4.11)

2D local minimum:

- ightharpoonup Matrix $M:n\times n$
- ► Boundary conditions:

 ∞

▶ Local minimum A[i, j]:

$$A[i, j - 1] \ge A[i, j] \le A[i, j + 1]$$

 $A[i - 1, j] \ge A[i, j] \le A[i + 1, j]$

Goal: Find any local minimum.

$$O(n^2) \implies O(n \log n) \implies O(n) \implies O(\log n)$$



$a_i = i$ (Problem 4.2)

▶ Sorted integer sequence $\{a_1, a_2, \ldots, a_n\}$:

$$\forall i \neq j : a_i \neq a_j$$

► Goal:

$$\exists ?i: a_i = i$$



$a_i = i$ (Problem 4.2)

▶ Sorted integer sequence $\{a_1, a_2, \ldots, a_n\}$:

$$\forall i \neq j : a_i \neq a_j$$

► Goal:

$$\exists ?i: a_i = i$$

$$T(n) = O(n)$$

$a_i = i$ (Problem 4.2)

▶ Sorted integer sequence $\{a_1, a_2, \ldots, a_n\}$:

$$\forall i \neq j : a_i \neq a_j$$

► Goal:

$$\exists ?i: a_i = i$$

$$T(n) = O(n)$$

$$T(n) = T(\frac{n}{2}) + 1 = O(\log n)$$



Smallest missing positive integer (Problem 4.3)

▶ Sorted array $A[1 \dots n]$:

$$a_i \in \mathbb{Z}^+$$
$$\forall i \neq j : a_i \neq a_j$$

▶ Goal: Find the smallest missing positive integer.



Smallest missing positive integer (Problem 4.3)

▶ Sorted array A[1 ... n]:

$$a_i \in \mathbb{Z}^+$$
$$\forall i \neq j : a_i \neq a_j$$

▶ Goal: Find the smallest missing positive integer.

$$T(n) = O(n)$$



Smallest missing positive integer (Problem 4.3)

▶ Sorted array $A[1 \dots n]$:

$$a_i \in \mathbb{Z}^+$$
$$\forall i \neq j : a_i \neq a_j$$

▶ Goal: Find the smallest missing positive integer.

$$T(n) = O(n)$$

$$T(n) = T(\frac{n}{2}) + 1 = O(\log n)$$



- ▶ Given an n-bit natural number N $(0 \le N < 2^n 1)$
- ▶ Goal: Compute $\lceil \sqrt{N} \rceil$ using O(n) additions and shifts.

- ▶ Given an n-bit natural number N $(0 \le N < 2^n 1)$
- ▶ Goal: Compute $\lceil \sqrt{N} \rceil$ using O(n) additions and shifts.

- ightharpoonup n-bit + n-bit: O(1)
- ▶ n-bit shifted by 1-bit: O(1)
- $ightharpoonup x^2 : O(n)$



- ▶ Given an n-bit natural number N $(0 \le N < 2^n 1)$
- ▶ Goal: Compute $\lceil \sqrt{N} \rceil$ using O(n) additions and shifts.
 - 1. Naïve search: $O(2^n \cdot n)$

- ightharpoonup n-bit + n-bit: O(1)
- ▶ n-bit shifted by 1-bit: O(1)
- $ightharpoonup x^2 : O(n)$



- ▶ Given an n-bit natural number N ($0 \le N < 2^n 1$)
- ▶ Goal: Compute $\lceil \sqrt{N} \rceil$ using O(n) additions and shifts.
 - 1. Naïve search: $O(2^n \cdot n)$
 - 2. Binary search: $O(n \cdot n)$

- ightharpoonup n-bit + n-bit: O(1)
- ▶ n-bit shifted by 1-bit: O(1)
- $ightharpoonup x^2 : O(n)$



- ▶ Given an n-bit natural number N ($0 \le N < 2^n 1$)
- ▶ Goal: Compute $\lceil \sqrt{N} \rceil$ using O(n) additions and shifts.

- ▶ n-bit + n-bit: O(1)
- ▶ n-bit shifted by 1-bit: O(1)
- $ightharpoonup x^2 : O(n)$

- 1. Naïve search: $O(2^n \cdot n)$
- 2. Binary search: $O(n \cdot n)$
- 3. Binary search in range:

$$2^{\left\lfloor \frac{n-1}{2} \right\rfloor} \leq \lceil \sqrt{N} \rceil \leq 2^{\left\lceil \frac{n}{2} \right\rceil}$$

$$\lg \left(2^{\left\lceil \frac{n}{2}\right\rceil} - 2^{\left\lfloor \frac{n-1}{2}\right\rfloor}\right) = O(n)$$

$$O(n \cdot n)$$

A Little History:

```
2007: Mid-term problem
```

O(n) required; NO O(n) solutions, however

 $\sim 2013: O(n^2)$

A Little History:

```
2007: Mid-term problem
```

O(n) required; NO O(n) solutions, however

 $\sim 2013: O(n^2)$

2014: O(n)

Compute square root using (bit) additions and shifts as primitives



Question: Given an *n*-bit natural number N, how to compute $\lceil \sqrt{N} \rceil$ using only O(n) (bit) additions and shifts?

The tip is to use binary search. However, I could not achieve the required complexity (I got $O(n^2)$).

asked 2 years ago viewed 1039 times

active 2 years ago



A Little History:

2007: Mid-term problem

O(n) required; NO O(n) solutions, however

 ~ 2013 : $O(n^2)$

2014: O(n)

Compute square root using (bit) additions and shifts as primitives



Question: Given an n-bit natural number N, how to compute $\lceil \sqrt{N} \rceil$ using only O(n) (bit) additions and shifts?

V

The tip is to use binary search. However, I could not achieve the required complexity (I got $O(n^2)$).

asked 2 years ago viewed 1039 times active 2 years ago

 $x^2: O(n) \to O(1)$

Given

$$M=\lfloor N/4\rfloor$$

$$x = \lceil \sqrt{M} \rceil$$
 and (x, x^2) ,

what is

$$y = \lceil \sqrt{N} \rceil$$
 and (y, y^2) ?

Given

$$M = \lfloor N/4 \rfloor$$

$$x = \lceil \sqrt{M} \rceil$$
 and (x, x^2) ,

what is

$$y = \lceil \sqrt{N} \rceil$$
 and (y, y^2) ?

An Example:

$$N = 280$$

$$y = \lceil \sqrt{280} \rceil = 17 \quad y^2 = 289$$

Given

$$M=\lfloor N/4\rfloor$$

$$x = \lceil \sqrt{M} \rceil$$
 and (x, x^2) ,

what is

$$y = \lceil \sqrt{N} \rceil$$
 and (y, y^2) ?

An Example:

$$N = 280$$
 $y = \lceil \sqrt{280} \rceil = 17$ $y^2 = 289$ $M = |280/4| = 70$ $x = \lceil \sqrt{70} \rceil = 9$ $x^2 = 81$

Given

$$M=\lfloor N/4\rfloor$$

$$x = \lceil \sqrt{M} \rceil$$
 and (x, x^2) ,

what is

$$y = \lceil \sqrt{N} \rceil$$
 and (y, y^2) ?

An Example:

$$\begin{array}{llll} N = 280 & y = \lceil \sqrt{280} \rceil = 17 & y^2 = 289 \\ M = \lfloor 280/4 \rfloor = 70 & x = \lceil \sqrt{70} \rceil = 9 & x^2 = 81 \\ M = \lfloor 70/4 \rfloor = 17 & x = \lceil \sqrt{17} \rceil = 5 & x^2 = 25 \\ M = \lfloor 17/4 \rfloor = 4 & x = \lceil \sqrt{4} \rceil = 2 & x^2 = 4 \\ M = \lfloor 4/4 \rfloor = 1 & x = \lceil \sqrt{1} \rceil = 1 & x^2 = 1 \\ \end{array}$$

Algorithm 1 Computing $\lceil \sqrt{N} \rceil$.

procedure SQRT-ROOT(N)if N < 3 then **return** $1 \Rightarrow (1,1); 2 \Rightarrow (2,4); 3 \Rightarrow (2,4)$ $M \leftarrow |N/4|$ $(x, x^2) \leftarrow \text{SQRT-ROOT}(M)$ **return** the (y, y^2) with $y^2 \sim N$:

$$(y, y^2) = \begin{cases} y = 2x & y^2 = 4x^2 \\ y = 2x + 1 & y^2 = 4x^2 + 4x + 1 \\ y = 2x - 1 & y^2 = 4x^2 - 4x + 1 \end{cases}$$

Computing $\lceil \sqrt{N} \rceil$

$$T(n) = T(n-2) + O(1) = \Theta(n)$$

Space for hashing (Problem 4.4)

Key: x

Node: y

Load factor: α

Space for hashing (Problem 4.4)

Key: x

Node: y

Load factor: α

► Closed-address hashing

$$h_c + \alpha y h_c$$

Open-address hashing

$$\frac{\alpha h_c}{\frac{h_c + \alpha y h_c}{x}} = \frac{\alpha x}{1 + \alpha y}$$



