



南京大學

NANJING UNIVERSITY

Introduction to

Algorithm Design and Analysis

[1] Model of Computation



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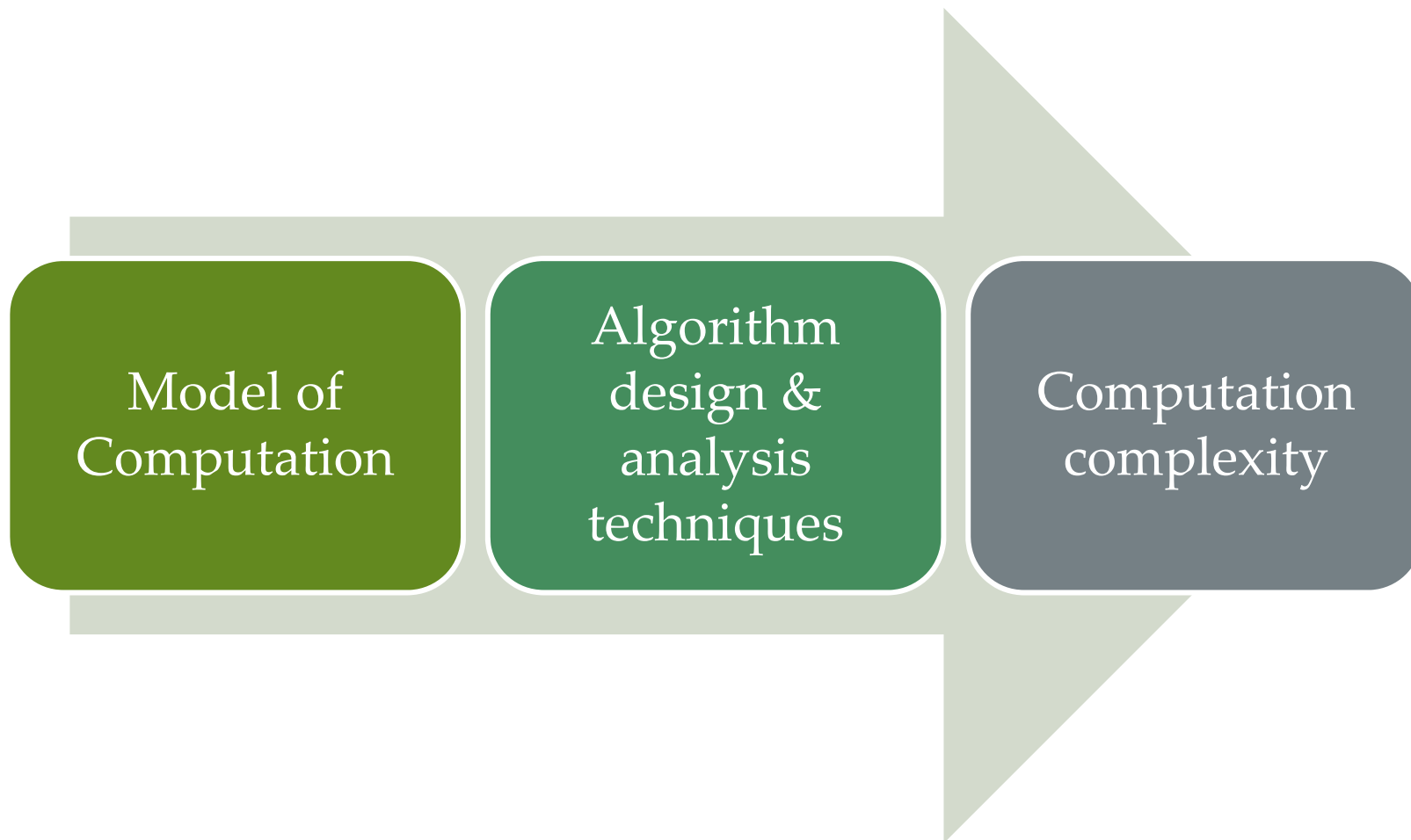


Course Information

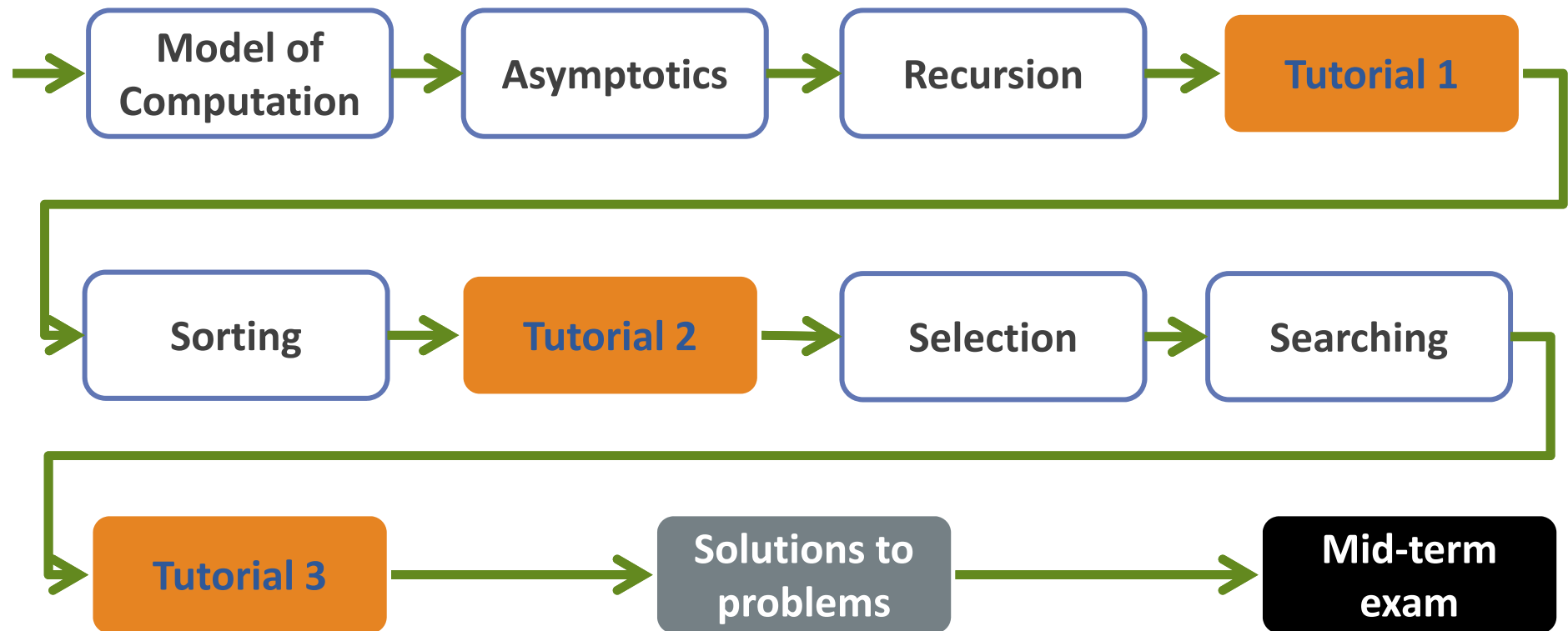
- Syllabus
- Textbook
- Website



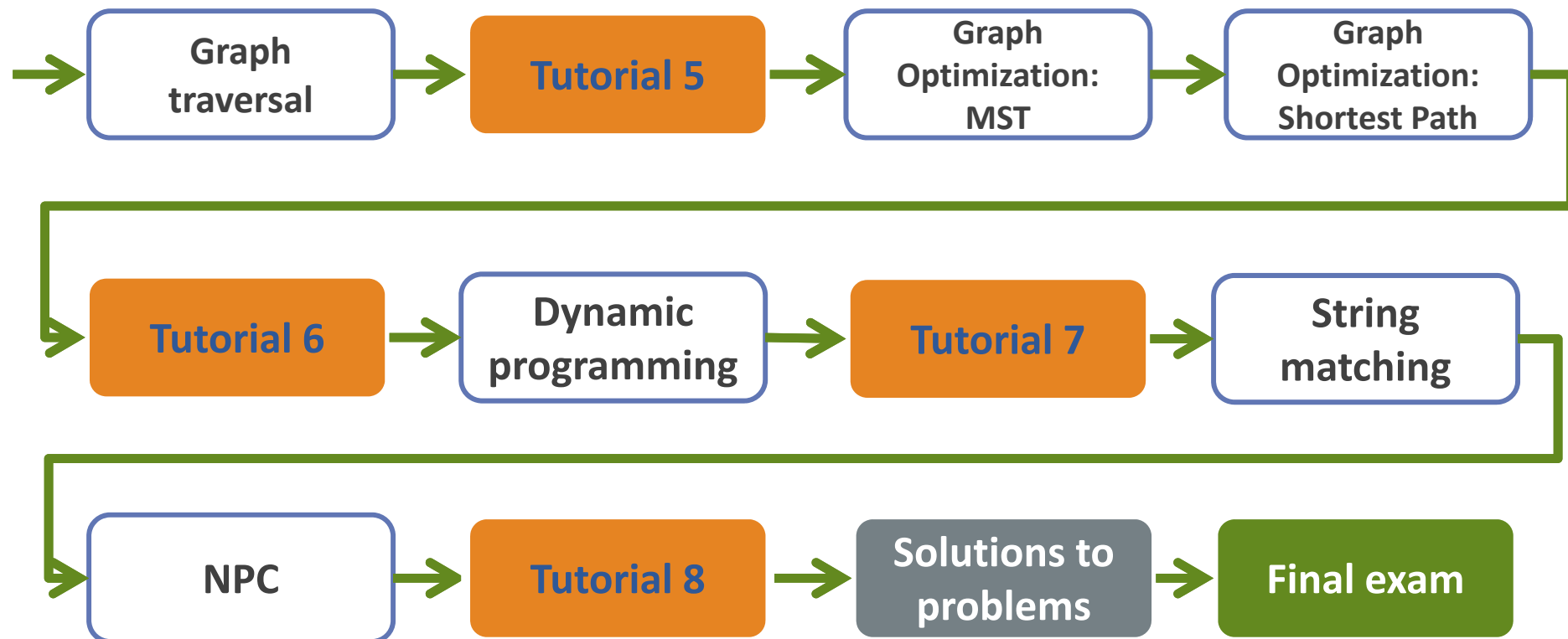
Syllabus



Syllabus



Syllabus



Syllabus

Strategies

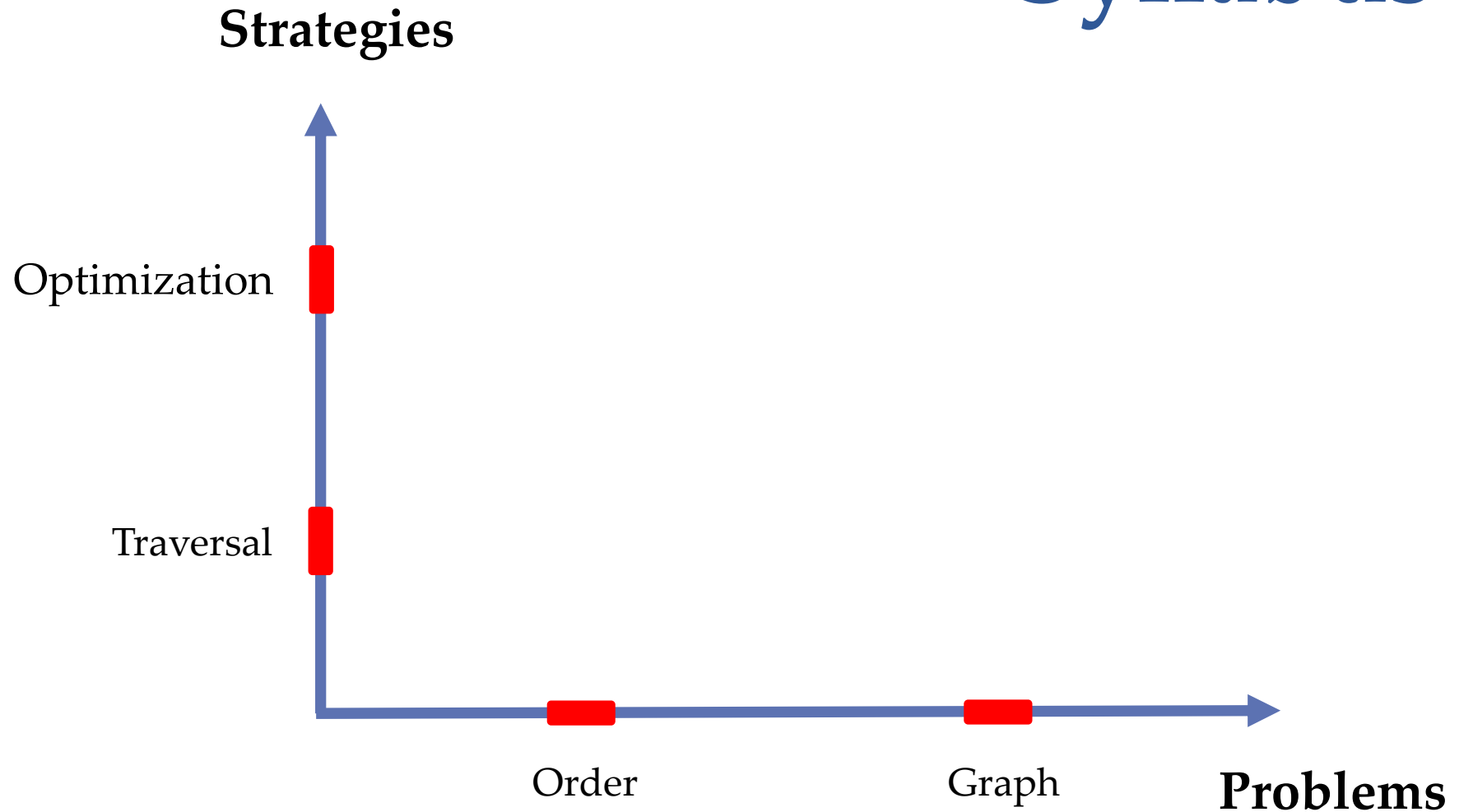


Algorithm
Design & Analysis

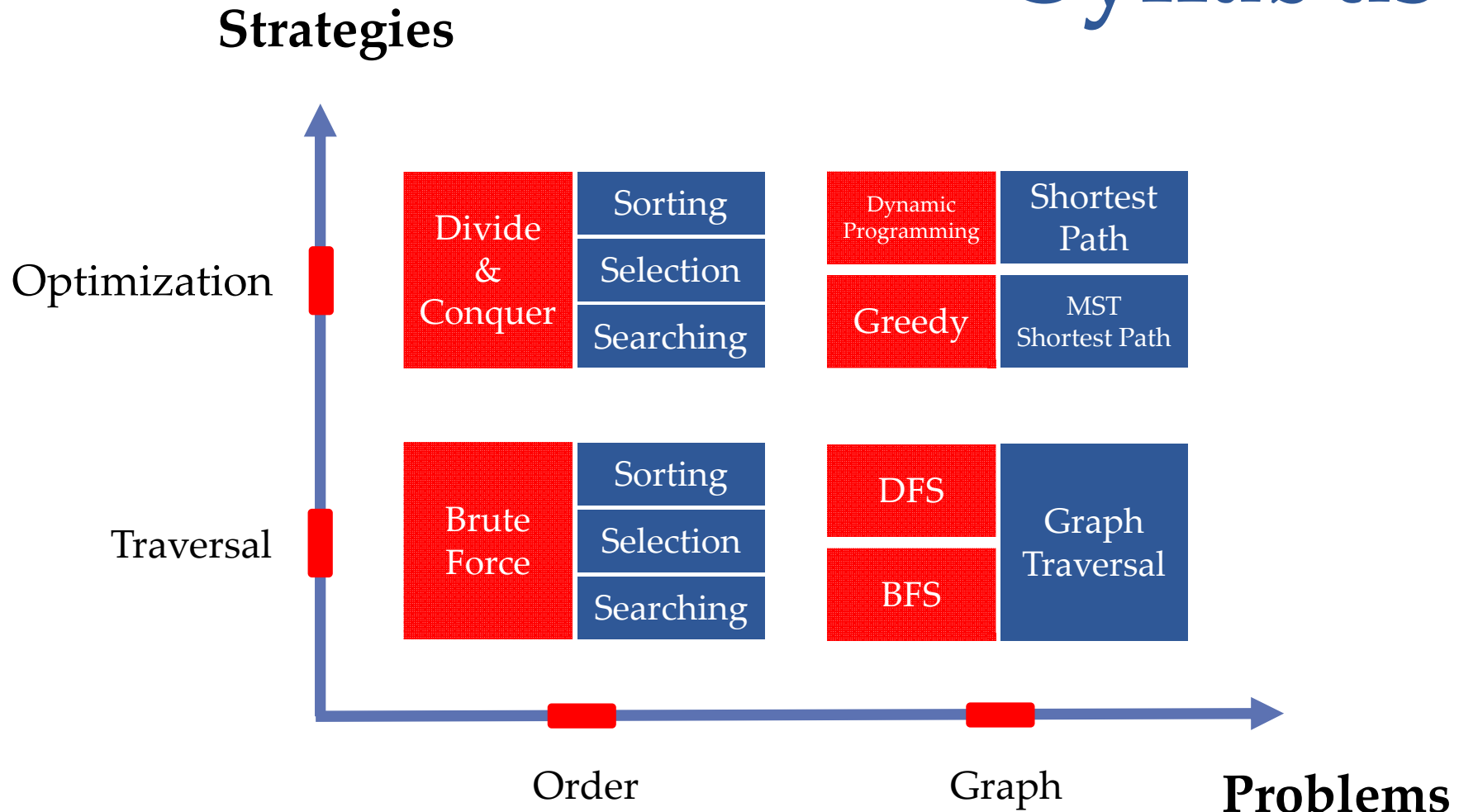
Problems



Syllabus

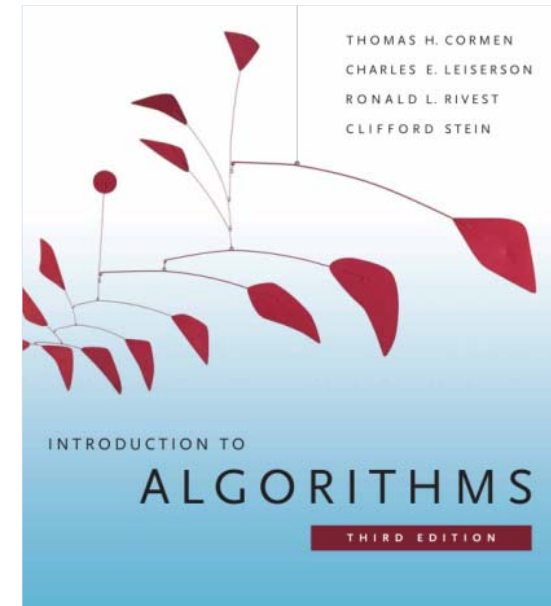


Syllabus



Textbooks

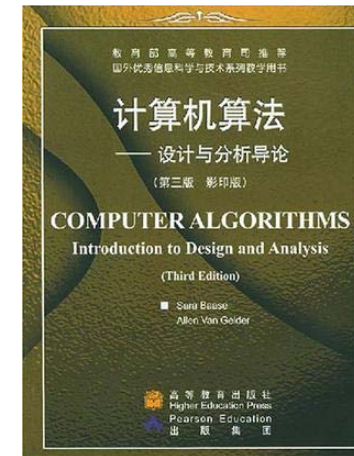
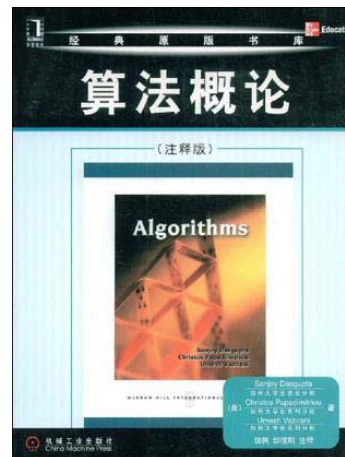
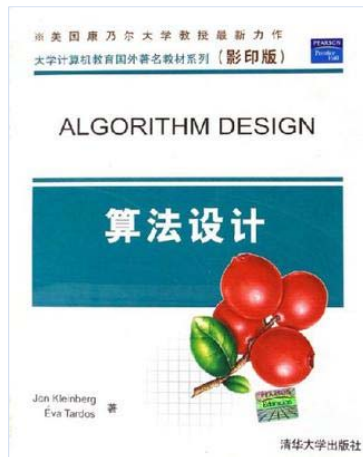
- **Course outline: LADA**
 - Lectures on **A**lgorithm **D**esign & **A**nalysis (slides)
- **Course contents**
 - Introduction to Algorithms (CLRS)



Textbooks

- Further reading
 - Algorithms
 - Algorithm Design
 - Computer Algorithms*

See the “douban list” for more info:
<http://book.douban.com/doulist/1155824/>



Problem Sets

- Exercises

- Course contents

- Problems

- Problem solving

算法设计与分析习题集

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第一章 准备知识

2. 对于 $n \geq 1$, $F(n) \geq 0.01(\frac{1}{2})^n$.

Problem 1.1.8

找出下列递归方程结果的渐近阶。你可以假设 $T(1) = 1$, $n > 1$. c 是正的常量 (对于下列某些方程, 等式 3.14[1] 可能会被用到, 你可以直接使用它而无须证明).

1. $T(n) = T(n/2) + c \lg n$
2. $T(n) = T(n/2) + cn$
3. $T(n) = 2T(n/2) + cn$
4. $T(n) = 2T(n/2) + cn \lg n$
5. $T(n) = 2T(n/2) + cn^2$

1.2 问题

Problem 1.2.1

- 请简述戴德金分割的基本内容.

- 请从自然数系统逐步构造实数系统.

(可选: 可采用皮亚诺的自然数系统; 建议参考维基百科.)

Problem 1.2.2 (Proving the correctness of *Multiply*(y, z))

Algorithm 1 computes the product of two non-negative integer y, z . Please prove its correctness.

Algorithm 1: *int multiply*(*int y, int z*)

```
1 if  $z = 0$  then
2   return 0;
3 else if  $z$  is odd then
4   return multiply( $2y, \lfloor \frac{z}{2} \rfloor$ ) +  $y$ ;
5 else
6   return multiply( $2y, \lfloor \frac{z}{2} \rfloor$ );
```

Problem 1.2.3 (Comparing the Asymptotic Behavior of $f(n)$ and $g(n)$)

$$f(n) = n^{\lg n}, \quad g(n) = (\lg n)^n$$

Problem 1.2.4 (Swapping Array Elements)

Given an array, which is divided into the left part and the right part. Swap these two parts. For example, given $A = [1, 2, 3, 4, 5, 6, 7]$. The left part is the first 4 elements and the right part is the right part. Swap these two parts will result in the array $A' = [5, 6, 7, 1, 2, 3, 4]$.

Problem 1.2.5 (Max Sum Subsequence)

Given a sequence S of integers, find the largest sum of a consecutive subsequence of S . For example, given $S = \{-2, 11, -4, 13, -5, -2\}$. The result $20 = 11 + 4 + 13$.

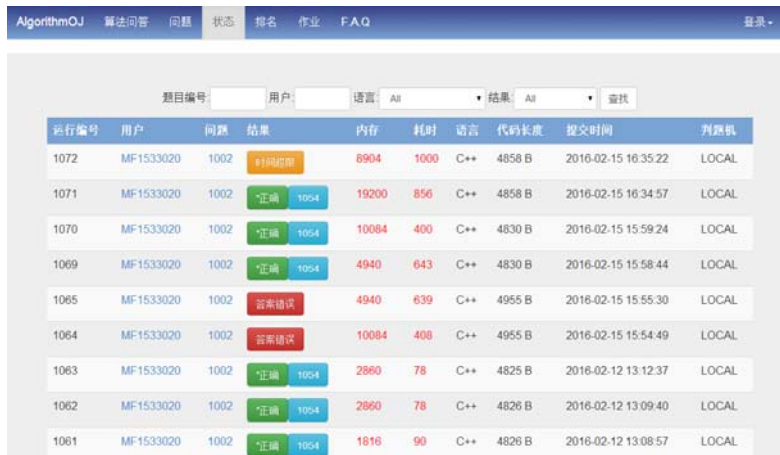
Problem 1.2.6 (Master Theorem Does Fail)

Given recursion $T(n) = 4T(\frac{n}{2}) + f(n)$. Choose appropriate b , c and $f(n)$, which make none of the 3 cases in the Master Theorem apply.



Course Websites

<http://www.bigoh.net/JudgeOnline/>



The screenshot shows the AlgorithmOJ website interface. At the top, there are navigation tabs: AlgorithmOJ, 算法问答, 问题, 状态, 排名, 作业, and FAQ. Below these is a search bar with fields for 题目编号 (Problem ID), 用户 (User), 语言 (Language), and 结果 (Result). The main content is a table of submissions for problem 1002.

运行编号	用户	问题	结果	内存	耗时	语言	代码长度	提交时间	判题机
1072	MF1533020	1002	待代码审查	8904	1000	C++	4858 B	2016-02-15 16:35:22	LOCAL
1071	MF1533020	1002	正确	19200	856	C++	4858 B	2016-02-15 16:34:57	LOCAL
1070	MF1533020	1002	正确	10084	400	C++	4830 B	2016-02-15 15:59:24	LOCAL
1069	MF1533020	1002	正确	4940	643	C++	4830 B	2016-02-15 15:58:44	LOCAL
1065	MF1533020	1002	答案错误	4940	639	C++	4955 B	2016-02-15 15:55:30	LOCAL
1064	MF1533020	1002	答案错误	10084	408	C++	4955 B	2016-02-15 15:54:49	LOCAL
1063	MF1533020	1002	正确	2860	78	C++	4825 B	2016-02-12 13:12:37	LOCAL
1062	MF1533020	1002	正确	2860	78	C++	4826 B	2016-02-12 13:09:40	LOCAL
1061	MF1533020	1002	正确	1816	90	C++	4826 B	2016-02-12 13:08:57	LOCAL



QQ group: 2105 15746



Algorithm – Design & Analysis

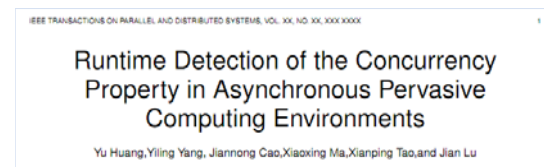
- **Algorithm - the spirit of computing**
 - Model of computation
- **Algorithm by example**
 - Greatest common divisor
 - Sequential search
- **Algorithm design & analysis**
 - Correctness
 - Worst-case / average-case cost analysis



Computer and Computing

- Problem 1

- Why the computer **seems to** be able to do anything?
 - Scientific computing, document processing, computer games, EBooks, Movies, Computer games, ...



Computer and Computing

• Problem 2

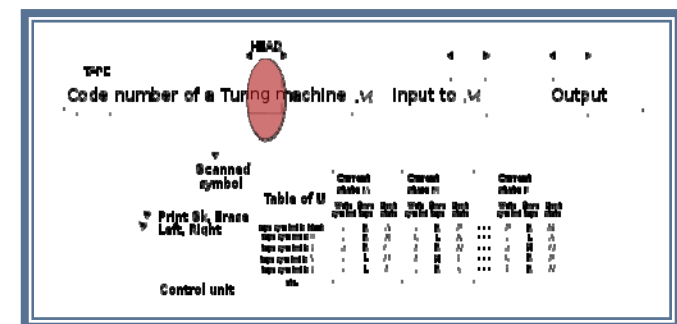
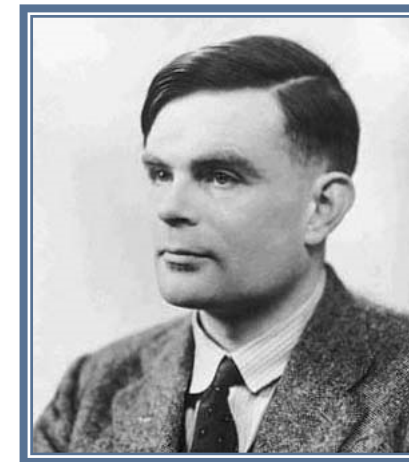
- What can / cannot be efficiently done by a computer?
 - manage millions of songs vs. music composition

新歌TOP100		歌曲TOP500	
1	只是太爱你 🏆 张敬轩	1	没那么简单 🏆 黄小琥
2	绿旋风 凤凰传奇	2	走天涯 隆央卓玛
3	好朋友只是... 郁可唯	3	漂亮的姑娘... 龙梅子
4	配角 sara	4	小三 冷漠
5	有时候 张靓颖	5	无法原谅 李佳璘
6	曾经太年轻 蓝又时	6	都要好好的 小沈阳
7	你和我... 张惠妹	7	老男孩 筷子兄弟
8	爱情掉在哪... 井柏然	8	我相信 杨培安
9	一瞬之光 a-lin	9	爱的供养 杨幂
10	狂想曲 萧敬腾	10	春天里 汪峰
更多>> 试听全部		更多>> 试听全部	



Computer and Computing

- **Computing**
 - Encoding everything into '0's and '1's
 - Operations over '1's and '0's
 - Decoding the '1's and '0's
- **Turing machine**
 - An abstract/logical computer

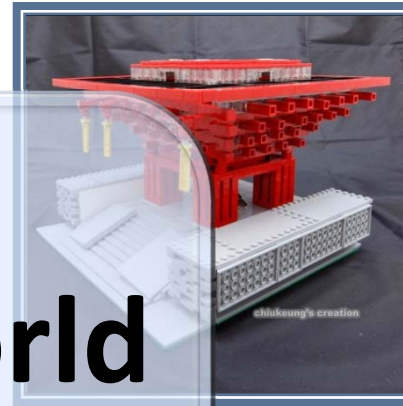
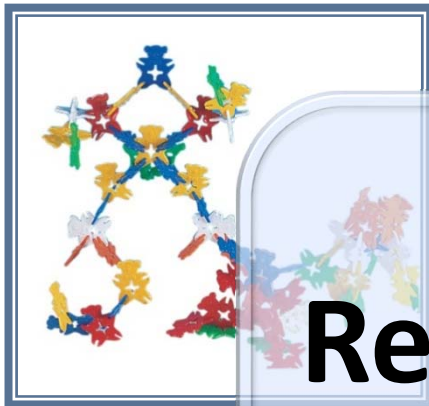


Computing in Everyday Life



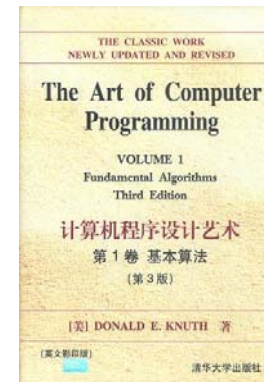
Algorithm

**Rebuild the world
with 0s and 1s**



Algorithm

- **Algorithm is the spirit of computing**
 - To solve a specific problem (so called an *algorithmic problem*)
 - Combination of basic operations
 - in a precise and elegant way
- **Essential issues**
 - Model of computation
 - Algorithm design
 - Algorithm analysis

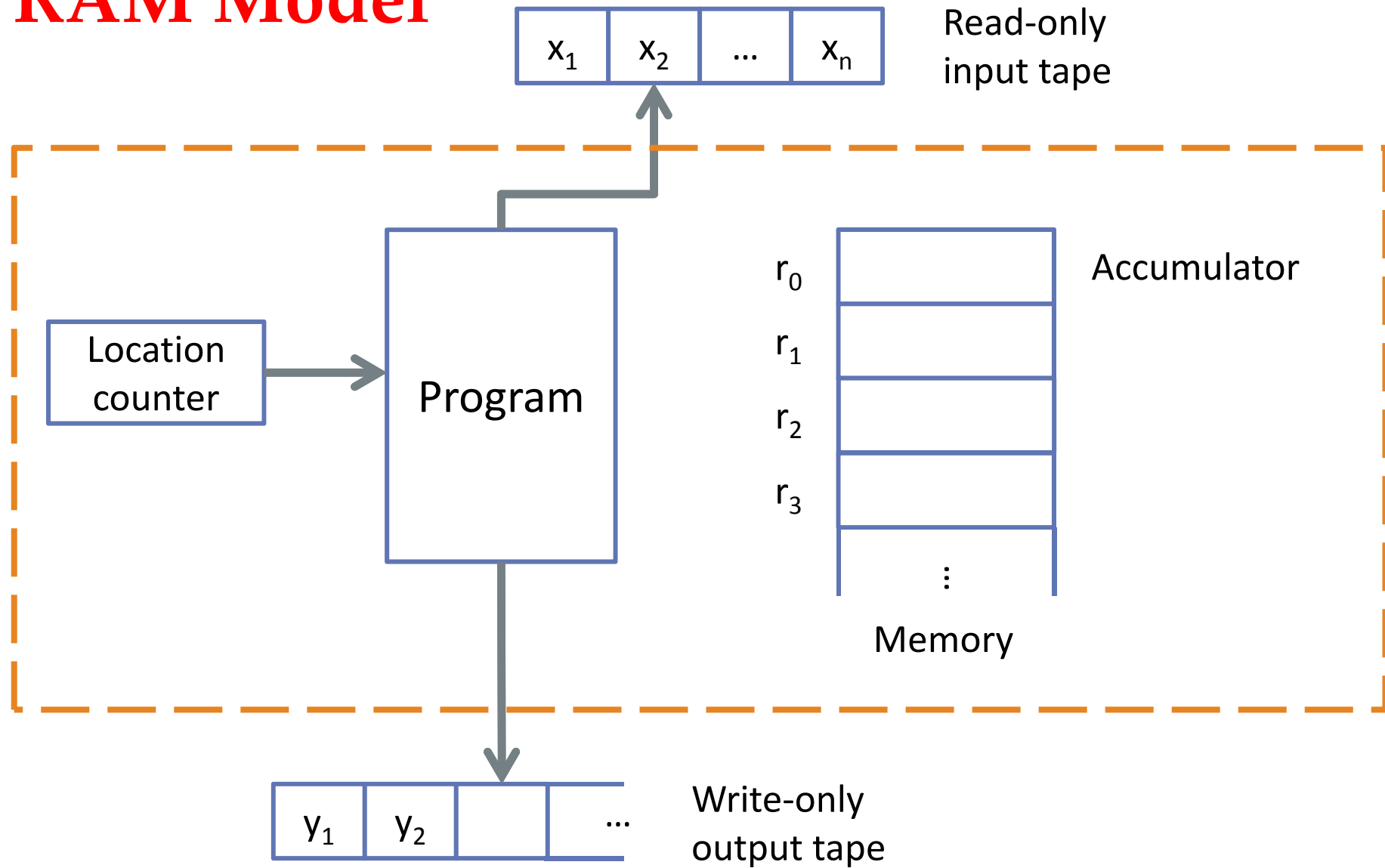


Model of Computation

- **Problems**
 - Why the algorithms we learn can run almost everywhere?
 - Why the algorithms we learn can be implemented in any language?
- **Machine- and language- independent algorithms, running on an **abstract** machine**
 - Turing machine: over-qualify
 - RAM model: simple but powerful

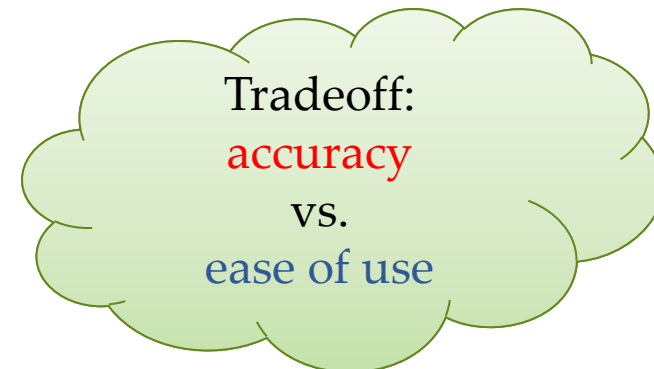


RAM Model



The RAM Model of Computation

- Each *simple operation* takes one time step
 - E.g., key comparison, +/-, memory access, ...
- Non-simple operations should be decomposed
 - Loop
 - Subroutine
- **Memory**
 - Memory access is a simple operation
 - Unlimited memory



Further Reading

“哼，你让他们成楔形攻击队形不就行了？”秦始皇轻蔑地看着冯·诺伊曼。牛顿不知从什么地方掏出六面小旗。三白三黑，冯·诺伊曼接过来分给三名士兵，每人一白一黑，说：“白色代表0，黑色代表1。好，现在听我说，出，你转身看着入1和入2，如果他们都举黑旗，你就举黑旗，其他的情况你都举白旗，这种情况有三种：入1白，入2黑；入1黑，入2白；入1、入2都是白。”

“不需要，我们组建一千万个这样的门部件，再将这些部件组合成一个系统，这个系统就能进行我们所需要的运算，解出那些预测太阳运行的微分方程。这个系统，我们把它叫做……嗯，叫做……”

“计算机。”汪淼说。

“啊——好！”冯·诺伊曼对汪淼竖起一根指头，“计算机，这个名字好，整个系统实际上就是一部庞大的机器，是有史以来最复杂的机器！”

刘慈欣，《三体、牛顿、冯·诺依曼、秦始皇、三日连珠》，《三体》第一部



To Create an Algorithm

- **Algorithm design**
 - Composition of simple operations, to solve an algorithmic problem
- **Algorithm analysis**
 - Amount of work done / memory used
 - In the worst/average case
 - Advanced issues
 - Optimality, approximation ratio, ...



Algorithm by Example

- **Algorithmic Problem 1**
 - Find the greatest common divisor of two non-negative integers m and n
- **Algorithmic Problem 2**
 - Is a specific key K stored in array $E[1..n]$?



Probably the Oldest Algorithm

- Euclid Algorithm

Problem

- Find the greatest common divisor of two non-negative integers m and n

Specification

Input: non-negative integer m, n
Output: $\text{gcd}(m, n)$

Euclid algorithm

[E1] n divides m , the remainder $\rightarrow r$
[E2] if $r = 0$ then return n
[E3] $n \rightarrow m; r \rightarrow n$; goto E1

Euclid algorithm – recursive version

Euclid(m, n)
[E1] if $n=0$ then return m
[E2] else return Euclid($n, m \bmod n$)



Sequential Search

Problem

- Search an array for a specific key

Specification

Input: K, E[1..n]

Output: Location of K (1,2,...,n; -1: K is not in E[])

Sequential searchEuclid algorithm

```
Int seqSearch(int[] E, int n, int K)
    int ans, index;
    ans=-1;
    for (index=1; index<=n; index++)
        if (K==E[index])
            ans=index;
            break;
    Return ans;
```



Algorithm Design

- **Criteria**

- Defining correctness

Specification

Input: non-negative integer m, n
Output: $\text{gcd}(m, n)$

- **Main challenge**

- For proving correctness

Main challenge

- The output is **always** correct, for **any** legal input.
- Infinite possible inputs

- **Our strategy**

- Mathematical induction
- ...

Mathematical induction

- Weak principle
- Strong principle



For Your Reference

- Mathematical induction

The **Weak** Principle of Mathematical Induction

- If the statement $p(b)$ is true and the statement $p(n-1) \Rightarrow p(n)$ is true for all $n > b$, then $p(n)$ is true for all integers $n \geq b$.

The **Strong** Principle of Mathematical Induction

- If the statement $p(b)$ is true, and the statement $\{p(b) \text{ and } p(b+1) \text{ and } \dots \text{ and } p(n-1) \Rightarrow p(n)\}$ is true, for all $n > b$, then $p(n)$ is true for all integers $n \geq b$.



Correctness of the Euclid Algorithm

- Induction on n
 - Base case
 - $n = 0$: for any m , $\text{Euclid}(m, 0) = m$;
 - $n = 1$: for any m , $\text{Euclid}(m, 1) = 1$;
 - $n = 2$: ...
 - Assumption
 - For any $n \leq N_0$, $\text{Euclid}(m, n)$ is correct;
 - Induction
 - $\text{Euclid}(m, N_0+1) = \text{Euclid}(N_0+1, m \bmod (N_0+1))$;


$$\gcd(m, N_0+1) = \gcd(N_0+1, m \bmod (N_0+1))$$



Notes on Mathematical Induction

“Notes on Structured Programming”, E.W. Dijkstra

I have mentioned **mathematical induction** explicitly, because it is the only pattern of reasoning that I am aware of, that eventually enables us to cope with loops and recursive procedures



Algorithm Analysis

- **Criteria**
 - Performance metrics
- **Worst case**
 - Best case?
- **Average case**
 - Average cost?
- **Advanced topics**
 - Lower bound, optimality, ...



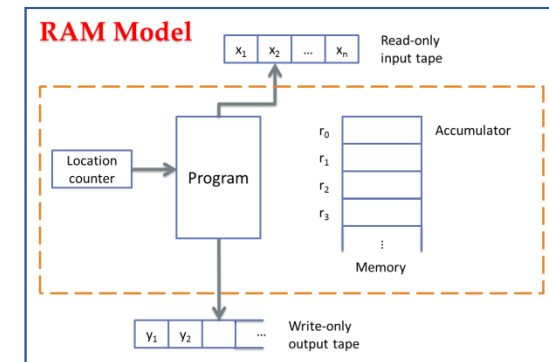
Algorithm Analysis

- **How to measure**
 - Not too general
 - Giving essential indication in comparison of algorithms
 - Not too precise
 - Machine independent
 - Language independent
 - Programming paradigm independent
 - Implementation independent



Algorithm Analysis

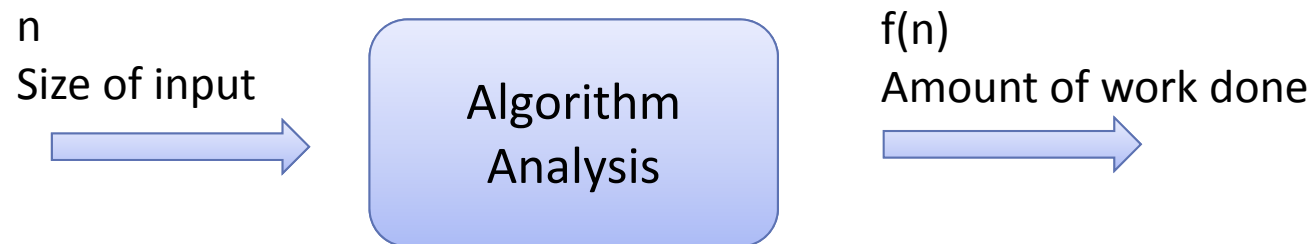
- **Criteria**
 - Critical operation
 - How many critical operation are conducted
- **For example**



Algorithmic problem	Critical operation
Sorting, selection, searching String matching	Comparison (of keys)
Graph traversal	Processing a node/edge
Matrix multiplication	Multiplication

Algorithm Analysis

- **Amount of work done**
 - usually depends on size of the input
 - usually does not depend on size of the input only



Worst-case Complexity

- $W(n)$
 - Upper bound of cost
 - For any possible input
 - $W(n) = \max_{I \in D_n} f(I)$



Average-case Complexity

- **A(n)**
 - Weighted average
 - $A(n) = \sum_{I \in D(n)} \Pr(I) f(I)$
- **A special case**
 - Average cost
 - Total cost of all inputs, averaged over the input size
 - $Average(n) = \frac{1}{|D(n)|} \sum_{I \in D(n)} f(I)$



Average-case Cost of *SeqSearch*

- **Case 1: K is in E[]**

- Assumptions:

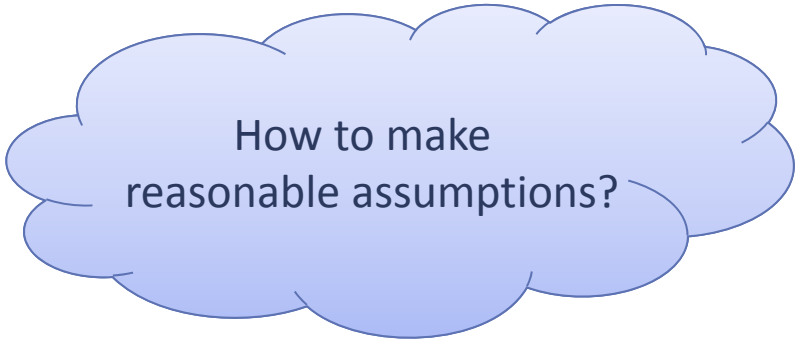
1. Assuming that K is in E[]
2. Assuming no same entries in E[]
3. Each possible input appears with equality (thus, K in the i^{th} location with probability $\frac{1}{n}$)

- $$\begin{aligned} A_{succ}(n) &= \sum_{i=0}^{n-1} \Pr(I_i | succ) t(I_i) \\ &= \sum_{i=0}^{n-1} \frac{1}{n} (i + 1) \\ &= \frac{n+1}{2} \end{aligned}$$



Average-case Cost of *SeqSearch*

- **Case 2: K may (or may not) be in E[]**
 - Assume that K is in E[] with probability q
 - $A(n) = \Pr(succ) A_{succ}(n) + \Pr(fail) A_{fail}(n)$
$$= q \frac{n+1}{2} + (1 - q)n$$



How to make
reasonable assumptions?

Algorithm Analysis

- **Advanced topics**
 - Lower bound (Selection)
 - Optimality (Greedy, DP)
 - Computation complexity
 - Approximate / online / randomized algorithms



Thank you!

Q & A

Yu Huang

<http://cs.nju.edu.cn/yuhuang>

