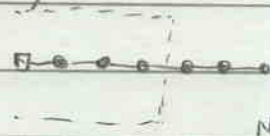


(Feb 2005)

NRG Density Matrix: recurrence relation

At iteration N , the full density matrix is given by:

$$\hat{P}_N = \sum_m \frac{e^{-E_m/T_N}}{Z} |m\rangle_N \langle m|$$



and the $\{|m\rangle\}$ basis spans the "full" (if you consider all of the "discarded") Hilbert space of the N -site chain. In other words, we can say

$$\hat{P}_N = \sum_{ij} (P_N)_{ij} |i\rangle_N \langle j| \quad \text{with} \quad (P_N)_{ij} = \frac{e^{-E_i/T_N} \delta_{ij}}{\left(\sum_i e^{-E_i/T_N}\right)}$$

In general, we can write the reduced density of states for the site $M < N$ by tracing out the "environment" degrees of freedom. For instance, let's consider

$$\hat{P}_M^{\text{red}} = \sum_{ij} (P_M^{\text{red}})_{ij} |i\rangle_M \langle j|$$

↓ basis

↑ eigenstate

↑ single site of in M

$$\text{Usually: } |i\rangle_M = \sum_k U_{ik} |k\rangle_M = \sum_k U_{ik} \left(\sum_{K^{\text{old}}, \tilde{K}} C_{K^{\text{old}}, \tilde{K}}^k |K^{\text{old}}, \omega\rangle_{M-1} |\tilde{K}\rangle_M \right)$$

Therefore

$$|i\rangle_M = \sum_{\substack{k \\ K^{\text{old}}, \tilde{K}}} U_{ik} C_{K^{\text{old}}, \tilde{K}}^k |K^{\text{old}}, \omega\rangle_{M-1} |\tilde{K}\rangle_M$$

U_{ik} is the matrix coming from the diagonalization in the $|k\rangle_M$ basis and $C_{K^{\text{old}}, \tilde{K}}^k$ defines the "building" of the basis (e.g., a Clebsch-Gordan coefficient for instance. It is diagonal in the $|Q S_z\rangle$ construction).

When we "trace out" the M states, we will be doing a trace in $|\tilde{K}\rangle_M$.

Let's see then:

(1)

$$\hat{P}_M^{\text{red}} = \sum_{ij} (P_M^{\text{red}})_{ij} \sum_{\substack{k \\ K^{\text{old}}, \tilde{K}}} \sum_{\substack{k' \\ K^{\text{old}}, \tilde{K}'}} U_{ik} U_{jk'} C_{K^{\text{old}}, \tilde{K}}^k C_{K^{\text{old}}, \tilde{K}'}^{k'} |K^{\text{old}}, \omega\rangle_{M-1} |\tilde{K}\rangle_M \langle \tilde{K}'| \langle K^{\text{old}}, \omega|$$

Ok, now let's see how we can calculate $(\hat{P}_{M-1}^{\text{red}})$ from (\hat{P}_M^{red}) .

$$\hat{P}_{M-1}^{\text{red}} = \text{Tr}_M \{ \hat{P}_M^{\text{red}} \} = \sum_{\tilde{K}''} \langle \tilde{K}'' | \hat{P}_M^{\text{red}} | \tilde{K}'' \rangle \quad (\{ |\tilde{K}''\rangle \}_M \text{ are the } M\text{-site basis})$$

$$\left(\text{see previous page} \right) = \sum_{K^{\text{old}}, K_0^{\text{old}}} \left(\sum_{\substack{i,j \\ K, K'}} (\hat{P}_M^{\text{red}})_{ij} (U_{ik}^M) (U_{jk'}^M) C_{K^{\text{old}}, \tilde{K}}^K C_{K_0^{\text{old}}, \tilde{K}}^{*K'} \right) |K^{\text{old}}\rangle_{M-1} \langle K_0^{\text{old}}| \quad (2)$$

which is to be compared to

$$\hat{P}_{M-1}^{\text{red}} = \sum_{i^{\text{old}}, j^{\text{old}}} (\hat{P}_{M-1}^{\text{red}})_{i^{\text{old}}, j^{\text{old}}} |i^{\text{old}}\rangle_{M-1} \langle j^{\text{old}}| \quad \text{Therefore}$$

$$\left(\hat{P}_{M-1}^{\text{red}} \right)_{i^{\text{old}}, j^{\text{old}}}^{\text{block old}} = \sum_{\substack{i,j \text{ eigenstates of } M \\ K, (K'K) \\ (\tilde{K}' = \tilde{K}) \text{ sum } \tilde{K} \rightarrow \text{give } K's}} (\hat{P}_M^{\text{red}})_{ij} (U_{ik}^M) (U_{jk'}^M) C_{i^{\text{old}}, \tilde{K}}^K C_{j^{\text{old}}, \tilde{K}}^{*K'} \quad (3)$$

On this sum ① i, j run over all eigen states on a symmetry block.

② K run over all basis states on the symmetry block.

③ given K the only K' entering the sum are those such that $\tilde{K} = \tilde{K}'$

$\hat{=}$ Both basis states (K, K') are constructed with the same site states

\rightarrow ③ implies that, if QS_2 is usual, then given K there is only one $\tilde{K} \rightarrow$ then K' is given for (Q, S) or more general symmetry, this might not be the case.

In $(\bar{Q}, \bar{S}_2) \rightarrow C_{K^{\text{old}}, \tilde{K}}^K = 1 \quad (|K\rangle_M = |K^{\text{old}}\rangle_{M-1} |\tilde{K}\rangle_M)$, then there is no additional sum.

What I will need is this:

begin list

\Rightarrow Block old \rightarrow Block new 1, Block new 2, ... } something like $\text{stef} = \text{A basis.stef}(\text{list})$
 $\left(|i^{\text{old}}\rangle_{M-1} \right) \rightarrow$ states generated in M units } $\text{A eig.stgen}(\text{stef}) \cdot \text{push-back}(\text{list})$, (previous code)

\Rightarrow Save $U_{ik}, A_{\text{eig}}, A_{\text{basis}}$ for each M ! first thing. ✓

(April 2009)

DM-NRG in $Q S_z$ basis (Basic stuff)

In the $|Q S_z\rangle$ basis, we have simply

Born ≈ 1 site state

$$|Q S_z \text{ type scf}\rangle_M = |Q^{\text{old}} (= Q - \tilde{Q}) S_z^{\text{old}} (= S_z - \tilde{S}_z) \text{ scf}\rangle_{M-1} \times |\tilde{Q} \tilde{S}_z \text{ type}\rangle_M$$

$$|Q S_z w\rangle_M = \sum_{i \text{ (basis)}} U_{wi}^{\theta S_z} |Q S_z i\rangle_M = \sum_i U_{wi}^{\theta S_z} |Q^{\text{old}} S_z^{\text{old}} \text{ scf}\rangle_{M-1} |\tilde{Q} \tilde{S}_z \text{ type}\rangle_M$$

Now:

$$\hat{P}_M = \sum_w (\hat{P}_M)_w |Q S_z w\rangle \langle Q S_z w| \quad (\text{diagonal}) \quad (\hat{P}_M)_{ww} = e^{-Ew/T_M}$$

$$= \sum_{\substack{w \\ i, i'}} (\hat{P}_M)_w U_{wi}^{\theta S_z} (U_{wi'})^{\theta S_z \dagger} |Q^{\text{old}} S_z^{\text{old}} \text{ scf}\rangle_{M-1} |\tilde{Q} \tilde{S}_z \text{ type}\rangle_M \langle \tilde{Q} \tilde{S}_z \text{ type}|_{M-1} \langle Q^{\text{old}} S_z^{\text{old}} \text{ scf}|_M$$

$\delta_{Q^{\text{old}} + \tilde{Q}, Q^{\text{old}'} + \tilde{Q}'}, \delta_{S_z^{\text{old}} + \tilde{S}_z, S_z^{\text{old}'} + \tilde{S}_z'}$
 $\hat{Q}^{\text{old}} = Q^{\text{old}}$
 since $\tilde{Q} = \tilde{Q}'$

Now:

$$\hat{P}_{M-1} = \text{Tr}_{M-1} \{ \hat{P}_M \} = \text{Trace in } |Q S_z \text{ type}\rangle = \sum_{\substack{w, w' \in \theta S_z \\ i, i' \in \theta S_z}} (\hat{P}_M)_w U_{wi}^{\theta S_z} (U_{w'i'})^{\theta S_z \dagger} |Q^{\text{old}} S_z^{\text{old}} \text{ scf}\rangle_{M-1} \langle Q^{\text{old}} S_z^{\text{old}} \text{ scf}|_{M-1}$$

$\otimes \delta_{Q^{\text{old}} + \tilde{Q}, Q^{\text{old}'} + \tilde{Q}'}, \delta_{S_z^{\text{old}} + \tilde{S}_z, S_z^{\text{old}'} + \tilde{S}_z'}$
 $\delta_{\text{type type}'}$

OK, how does the sum in i, i', w change when the deltas are included? (From trace)

- Only states with $\begin{cases} Q^{\text{old}} + \tilde{Q} = Q^{\text{old}'} + \tilde{Q}' & \text{and} & \tilde{Q} = \tilde{Q}' \\ S_z^{\text{old}} + \tilde{S}_z = S_z^{\text{old}'} + \tilde{S}_z' & \text{and} & \tilde{S}_z = \tilde{S}_z' \end{cases} \Rightarrow Q^{\text{old}} = Q^{\text{old}'}, S_z^{\text{old}} = S_z^{\text{old}'}$

(Diagonal block structure)

- Only $|\text{scf type}\rangle \times |\text{scf type}\rangle$ enter (meaning, basis states of same type) contribute.

If we write this way:

$$\hat{P}_{M-1}^{\text{red}} = \sum_{\substack{Q^{\text{old}} S_z^{\text{old}} \\ \text{scf} \in Q^{\text{old}} S_z^{\text{old}} \\ [\text{scf}' \in Q^{\text{old}} S_z^{\text{old}'}]}} \left[(\hat{P}_M)_w U_{wi}^{\theta S_z} (U_{w'i'})^{\theta S_z \dagger} |Q^{\text{old}} S_z^{\text{old}} \text{ scf}\rangle \langle Q^{\text{old}} S_z^{\text{old}} \text{ scf}| \right]$$

who are w, i, i' ?

Let's look at the two formulas more closely:

$$\hat{P}_{N-1}^{\text{red}} = \sum_{\text{type}''} \sum_{\substack{i \in \mathcal{Q} S_2 \\ w \in \mathcal{Q} S_2 \\ i, i' \in \mathcal{Q} S_2}} (\hat{P}_N^{\text{red}})_w (U_{wi}^{\mathcal{Q} S_2}) (U_{wi'}^{\mathcal{Q} S_2})^{\dagger} |Q^{\text{old}} S_2^{\text{old}} \text{scf}\rangle_{N-1} \langle Q^{\text{old}} S_2^{\text{old}} \text{scf}'|$$

$$\cdot \langle \tilde{Q}'' S_2'' \text{type}'' | \tilde{Q} S_2 \text{type} \rangle \langle \tilde{Q}' S_2' \text{type}' | \tilde{Q} S_2'' \text{type}'' \rangle$$

$$= \sum_{\substack{i \in \mathcal{Q} S_2 \\ w \in (\mathcal{Q} S_2)_N \\ i, i' \in (\mathcal{Q} S_2)_N}} (\hat{P}_N^{\mathcal{Q} S_2})_w (U_{wi}^{\mathcal{Q} S_2}) (U_{wi'}^{\mathcal{Q} S_2})^{\dagger} |Q^{\text{old}} S_2^{\text{old}} \text{scf}\rangle_{N-1} \langle Q^{\text{old}} S_2^{\text{old}} \text{scf}'|$$

[i' such that
 $\text{type}' = \text{type} - i$
 $(\Rightarrow \tilde{Q}^{\text{old}} S_2^{\text{old}} = \tilde{Q}^{\text{old}} S_2^{\text{old}}!)$]

which we rewrite (re-order) as:

$$\hat{P}_{N-1}^{\text{red}} = \sum_{\substack{i \in \text{bl in } (\mathcal{Q}^{\text{old}} S_2^{\text{old}})_{N-1} \\ \text{scf}, \text{scf}' \in \mathcal{Q}^{\text{old}} S_2^{\text{old}}}} \left[\sum_{\substack{w, i, i' \\ \text{given} \\ \text{scf}, \text{scf}'}} (\hat{P}_N)_w (U_{wi}^{\mathcal{Q} S_2}) (U_{wi'}^{\mathcal{Q} S_2})^{\dagger} |Q^{\text{old}} S_2^{\text{old}} \text{scf}\rangle_{N-1} \langle Q^{\text{old}} S_2^{\text{old}} \text{scf}'| \right]$$

$$\equiv (\hat{P}_{N-1}^{\text{red}})_{\text{scf}, \text{scf}'}$$

How do we determine (i, i') and w ?

Given $\text{scf} \mapsto \text{list of future state in } N \text{ such that state-fm} = \text{scf}$ and state in both lists (i)
 $\text{scf}' \mapsto \text{list of future state in } N \text{ s.t. the state-fm} = \text{scf}'$ that have the same type (i')

↓ Pairs (i, i') (basis state of N) \rightarrow block.

Each pair (i, i') is going to be in the same $(\mathcal{Q} S_2)_N$ block $\mapsto w(i, i')$ are the state in this block.

: List of pairs $(i, i') \mapsto$ list of blocks $(\mathcal{Q} S_2)_N$, sum in w within these blocks.

Thus, in general:

$$(\hat{P}_{N-1}^{\text{red}})_{\text{scf}, \text{scf}'} = \left[\sum_{\substack{\text{list pair} \\ i, i' \\ (\text{ibl}, \text{list})}} \sum_{\substack{w \in \text{ibl}, \text{list} \\ w' \in \text{ibl}, \text{list}}} (\hat{P}_N^{\text{red}})_{ww'} U_{wi}^{\text{ibl}, \text{list}} [U_{w'i'}^{\text{ibl}, \text{list}}]^{\dagger} \right]$$

(Feb 2009)

Green's function from density matrix in NRG

Question: How can we calculate the GF with the NRG density matrix?

GF^R definition (Fetter-Walecke eq 31.17). Operators A, B (+ → Fermion, - → Boson)

$$G_{AB}^R(t) = -i \Theta(t) \text{Tr} [\hat{\rho} [\hat{A}(t), \hat{B}(0)]] \quad \text{with} \quad \hat{\rho} = \sum P_m |\Psi_m\rangle \langle \Psi_m| \quad \left[\begin{array}{l} P_m = e^{-E_m/T} \\ Z \end{array} \right]$$

OK, How about $G_{AB}^R(\omega)$?

Notice that we'll use:

$$\Theta(t-t') = - \int_{-\infty}^{\infty} \frac{d\omega}{2\pi i} \frac{e^{-i\omega(t-t')}}{\omega + i\eta} \quad (\text{Fetter, eq (7.43)}) \quad ; \quad \hat{A}(t) = e^{iHt} \hat{A} e^{-iHt}$$

and

$$G(t) = \frac{1}{2\pi i} \int_{-\infty}^{\infty} G(\omega) e^{-i\omega t} d\omega \quad (2\pi \text{ is here...})$$

NOW ON

Commutator: (assume fermionic operators \hat{A} and \hat{B})

$$[\hat{A}(t), \hat{B}]_+ = e^{iHt} \hat{A} e^{-iHt} \hat{B} + \hat{B} e^{iHt} \hat{A} e^{-iHt} = \sum_{nn'} e^{i(E_n - E_{n'})t} \left(|\Psi_n\rangle \langle \Psi_n| \hat{A} |\Psi_{n'}\rangle \langle \Psi_{n'}| \hat{B} + \hat{B} |\Psi_n\rangle \langle \Psi_n| \hat{A} |\Psi_{n'}\rangle \langle \Psi_{n'}| \right)$$

$$\begin{aligned} \text{Tr} [\hat{\rho} [\hat{A}(t), \hat{B}]_+] &= \sum_{nn'} e^{i(E_n - E_{n'})t} \sum_m P_{mn} A_{nn'} B_{n'm} + \langle \Psi_m | \hat{B} \hat{A} | \Psi_m \rangle A_{nn'} \delta_{n'n} \\ &= \sum_{nn'} e^{i(E_n - E_{n'})t} \sum_m P_{mn} A_{nn'} B_{n'm} + P_{nn} B_{nn} A_{nn} \end{aligned}$$

Include $\Theta(t)$:

$$\begin{aligned} G_{AB}^R(t) &= -i \Theta(t) \sum_{nn'} \int_{-\infty}^{\infty} \frac{d\omega}{2\pi i} \frac{e^{-i(\omega - (E_n - E_{n'}))t}}{\omega + i\eta} \sum_m P_{mn} A_{nn'} B_{n'm} + P_{nn} B_{nn} A_{nn} \\ &= \int_{-\infty}^{\infty} \frac{d\bar{\omega}}{2\pi i} e^{-i\bar{\omega}t} \left[\sum_{nn'} P_{mn} A_{nn'} B_{n'm} + P_{nn} B_{nn} A_{nn} \right] \end{aligned}$$

change of variable
 $\bar{\omega} = \omega - (E_n - E_{n'})$
 $\omega = \bar{\omega} + (E_n - E_{n'})$

$$\Rightarrow G_{AB}^R(\omega) = \sum_{nn'} \frac{P_{mn} A_{nn'} B_{n'm} + P_{nn} B_{nn} A_{nn}}{\omega - (E_{n'} - E_n) + i\eta}$$

(-) bosons
neg ω

at iteration M , we know the energies E_i^M . The full spectrum is obtained by summing over shells. In the last shell, ρ^{red} is given by $\hat{\rho}$.

$$G_{AB}(\omega) = \sum_{\substack{M=0 \\ (\text{or HMIN?})}}^N G_{AB}^M(\omega) \quad \text{where}$$

$$G_{AB}^M(\omega) = \sum_{nn'} \frac{\rho_{nn'}^M A_{nn'}^M B_{nn'}^M}{\omega - (E_n^M - E_{n'}^M) + i\eta} + \frac{\rho_{nn'}^M B_{nn'}^M A_{nn'}^M}{\omega + (E_n^M - E_{n'}^M) + i\eta}$$

"positive" ω "negative" ω

↖ n, n' is second term

where " M " indicates the matrix elements are taken over eigenstates of iteration M $|i\rangle_M$ and $\rho_{nn'}^M$ is the reduced density matrix at iteration M .

Spectral density:

Let's say $A = C_d$, $B = C_d^\dagger$ in the usual $\left\{ \begin{smallmatrix} \text{single-impurity} \\ \text{single-channel} \end{smallmatrix} \right\}$ Anderson model. In this case, we have

$$A_{nn'}^M = \langle n | C_d | n' \rangle, \quad B_{mm'}^M = \langle n' | C_d^\dagger | m \rangle = (\langle m | C_d | n' \rangle)^*$$

Thus $A_d^M(\omega) = -\frac{1}{\pi} \text{Im} G_d^M(\omega)$ will be

$$A_d^M(\omega) = \sum_{nn'} \rho_{nn'}^{\text{red}} \langle n | C_d | n' \rangle (\langle m | C_d | n' \rangle)^* \delta(\omega - (E_n^M - E_{n'}^M)) + \rho_{nn'}^{\text{red}} \langle n' | C_d | n \rangle (\langle n' | C_d | m \rangle)^* \delta(\omega + (E_n^M - E_{n'}^M))$$

$$A_d^M(\omega) = \sum_{nn'} \left(\sum_m \rho_{mm}^M \langle m | C_d | n' \rangle \right) \langle n | C_d | n' \rangle \delta(\omega - (E_n^M - E_{n'}^M)) + \left(\sum_m \rho_{mm}^M \langle n' | C_d | m \rangle \right) \langle n' | C_d | n \rangle \delta(\omega + (E_n^M - E_{n'}^M)) \quad \text{but in general:}$$

In general, though:

$$A_{AB}^M(\omega, T) = \sum_{nn'} \left(\sum_m (\rho_m^{\text{red}})_{nn} B_{nn'}^M \right) A_{nn'}^M \delta(\omega - (E_n^M - E_{n'}^M)) + \left(\sum_m (\rho_m^{\text{red}})_{nn} B_{nn'}^M \right) A_{nn'}^M \delta(\omega + (E_n^M - E_{n'}^M))$$

↖ "positive" ω ↖ "negative" ω

Case at $T=0$: $e^{-E_i/T_N} \rightarrow \delta_{i, i'}$ at last iteration N .

$\Rightarrow \left(\hat{P}_{N=0}^{(T=0)} \right)_{ij} = \frac{\delta_{ij}}{Z_N(T=0)}$ and in this case: only $(P_N)_{iGS,GS} = 1$ survives.

$(\hat{P}_{N-1}^{red})_{scf, scf'} = \sum_{\substack{B=T \\ \text{pair } i, i' \\ (iB, B')}} U_{iGS}^{iBGS} (U_{i'GS}^{i'BGS})^* \rightarrow i, i' \text{ in the same block as the ground state in iteration } N$

Therefore: $(\hat{P}_{N-1}^{red})_{scf, scf'}$ will be non-zero only for blocks with state (scf, scf') in $N-1$ shell which generate states (i, i') in the block $iBGS$ in N with the same "type". Note, however, that $(\hat{P}_{N-1}^{red})_{scf, scf'}$ will not be diagonal even in this case (contrast to the usual "Casti" or "Bulla $N, N+2$ " procedures) since, given

$\begin{cases} |i \in iBGS\rangle_N = |scf\rangle \otimes |type\rangle \\ |i' \in iBGS\rangle_N = |scf'\rangle \otimes |type\rangle \end{cases}$ and $|iBGS\rangle = \sum_i U_{iGS,i}^{iBGS} |i\rangle$ with $\begin{cases} U_{iGS,i}^{iBGS} \neq 0 \\ U_{iGS,i'}^{iBGS} \neq 0 \end{cases}$

Then $(P_N^{red})_{scf, scf'}$ is non-zero. That means that:

$A_{AB}^M(\omega, T=0) = \sum_{nn'} \left(\sum_m (\hat{P}_M^{red})_{nm} B_{n'm} \right) A_{nn'} \delta(\omega - (E_n^M - E_n))$

$+ \left(\sum_m (\hat{P}_M^{red})_{nm} B_{mn} \right) A_{n'n} \delta(\omega + (E_n^M - E_n))$ even at $T=0!!!$

while in Casti's can be obtained by making $(P_N^{red})_{mn} \rightarrow \frac{\delta_{iGS,m} \delta_{mn}}{Z_M}$ $\begin{matrix} (m \rightarrow iGS \\ n \rightarrow iGS) \end{matrix}$

$A_{AB}^{M(anti)}(\omega, T=0) = \frac{1}{Z_N} \sum_{n'} \hat{A}_{0n'} \hat{B}_{n'0} \underbrace{\delta(\omega - E_n^M)}_{\text{positive}} + \hat{A}_{n'0} \hat{B}_{0n'} \underbrace{\delta(\omega + E_n^M)}_{\text{negative}}$

If diagonal $B = A^\dagger$ thus $\hat{B}_{n'0} = (\hat{A}_{0n'})^\dagger$

$A_{AA}^{M(anti)}(\omega, T=0) = \frac{1}{Z_N} \sum_{n'} | \langle 0 | \hat{A} | n \rangle |^2 \delta(\omega - E_n^M) + | \langle n | \hat{A} | 0 \rangle |^2 \delta(\omega + E_n^M)$

Implementation: We write each $A^M(\omega, t)$ ($A(\omega, t) = \sum_M A^M(\omega, t)$) as:

$$A^M(\omega, \bar{T}) = \sum_{n'} \sum_n \left[\sum_m (\hat{B})_{n'm} (P_M^{\text{red}})_{mn} \right]^\dagger \left[\sum_{m'} (P_M^{\text{red}})_{n'm'} (\hat{B})_{m'n} \right] (\hat{A})_{nn'} \delta(\omega - (E_{n'} - E_n))$$

We now define the following auxiliary matrices:

$$\left. \begin{aligned} C^1 &= B \cdot P_M^{\text{red}} \\ C^2 &= P_M^{\text{red}} \cdot B \end{aligned} \right\} \text{Sum} = C^1, C^2$$

$$(A^\delta(\omega))_{ij} = (\hat{A})_{ij} \delta(\omega - (E_j - E_i)) \quad \text{and} \quad A^M(\omega, \bar{T}) \text{ will be given by}$$

$$A^M(\omega, T) = \text{Tr} \{ \text{Sum} \cdot A^\delta(\omega) \} = \sum_{n'} (\text{Sum} \cdot A^\delta(\omega))_{nn'} \quad (\text{no need to calculate off-diagonal elements: save one loop!})$$

This makes things more easy to implement. For example, for $\hat{A} = C_{dd}$, $B = C_{dc}^\dagger$ we know C_{dd} will connect only a few pairs of blocks (ibl, ibl') . If we have N_{st} in block ibl and N_{st}' in block ibl' , then $B = (A^*)^T$ will be a $N_{st}' \times N_{st}$ matrix (A will be $N_{st} \times N_{st}'$) and:

$$\rightarrow C^1_{(N_{st}', ibl')} = B(ibl', ibl) \cdot P^{\text{red}}(ibl, ibl) \quad (N_{st}' \times N_{st})$$

$$\rightarrow C^2_{(ibl, ibl')} = P^{\text{red}}(ibl', ibl) \cdot B(ibl', ibl) \quad (N_{st} \times N_{st}')$$

(notice that the subblocks in P^{red} (block diagonal) are different!)

$$\rightarrow (A^\delta(\omega))_{ibl' ibl}^{ie ie'} = (A)_{ie ie'}^{ie ie'} \delta(\omega - (E_{je ie'} - E_{ie ie})) \rightarrow \underline{N_{st} \times N_{st}'}$$

and $\text{Sum} \cdot (A^\delta(\omega))$ will be a $N_{st}' \times N_{st}'$ matrix (we just sum in block ibl')

Thus, given the list of blocks ibl, ibl' connected by \hat{A} , we have:

$$A^M(\omega, t) = \sum_{\substack{ibl \in \text{set} \\ ibl' \in \text{set}}} \sum_{n' \in ibl'} (\text{Sum}(ibl', ibl) \cdot A^\delta(\omega)(ibl, ibl'))_{n'n'} //$$

which can be calculated.

(Oct 2003)

Costi's method vs DM-MRG at finite Temperature
 Remembering The original way of calculating $A(\omega, T)$:

$$A_{AB}^M(\omega, T) \approx \frac{1}{Z_H(T)} \sum_{nn'} e^{-\beta E_n^M} A_{nn'} B_{n'n} \delta(\omega - (E_n^M - E_{n'}^M)) \pm e^{-\beta E_{n'}^M} A_{n'n} B_{nn'} \delta(\omega + (E_n^M - E_{n'}^M))$$

which corresponds to approximate $P_{nn}^{\text{pred}} \approx \frac{\delta_{nn}}{Z_H(T)}$ in the DM-MRG scheme.

Note that:

$$Z_H(T) = \sum_n e^{-\beta E_n^M}$$

(which is exact only in the last site)

Now, there are different ways to evaluate the double sum, some more efficient than others. An efficient way is to do it by matrix multiplication (like in the DM-MRG scheme), where we define

$$(Ae1)_{nn'} \equiv e^{-\beta E_n^M} A_{nn'}, \quad (Ae2)_{nn'} \equiv e^{-\beta E_{n'}^M} A_{nn'}$$

$$(B\delta)_{nn'} \equiv B_{n'n} \delta(\omega - (E_n^M - E_{n'}^M)) \quad (B\delta)_{nn'} \equiv B_{nn'} \delta(\omega + (E_n^M - E_{n'}^M))$$

Then

$$\rightarrow A_{AB}^M(\omega, T) \approx \frac{1}{Z_H} \left\{ \text{Tr} \left[(Ae1) \cdot (B\delta) \pm ((B\delta) \cdot (Ae2)) \right] \right\} \quad (\text{efficient})$$

sum of matrices
different

But, for debugging purposes, I can do this:

vector dE_n

matrix $E_i E_j (\hat{i}, \hat{j}) = E_i - E_j \rightarrow \text{excitations}$

$$\text{weight 1}(\hat{i}, \hat{j}, \omega) = (e^{-\beta E_j} A_{ji} B_{ij}) \times \delta(\omega - (E_i - E_j))$$

$$\text{weight 2}(\hat{i}, \hat{j}, \omega) = (e^{-\beta E_i} A_{ij} B_{ji}) \times \delta(\omega + (E_i - E_j)) = \delta(-\omega - (E_i - E_j))$$

$E_i - E_j > 0 \rightarrow \text{weight 1 contributes to positive } \omega \text{ only (if } \delta \text{ is log Gauss)}$

Then $A_{AB}(\omega, T) = \begin{cases} \text{weight 2 contributes to negative } \omega \text{ only (if } \delta \text{ is log Gauss)} \\ E_i - E_j < 0 \rightarrow \text{weight 1 contributes to negative } \omega \end{cases}$

$\omega > 0 \rightarrow \text{weight 2 contributes to positive } \omega$

$$\langle i | A | j \rangle \neq 0 \text{ and } \langle j | B | i \rangle \neq 0 \Rightarrow \langle i | B | i \rangle \neq 0$$

In practice, we have $\begin{pmatrix} A \\ \hat{\beta}^\dagger \end{pmatrix}$ connecting only a few blocks; so we do:

$ibl = 0, \text{NumBlocks}(); jbl = 0, ibl.$

If $(A(ibl, jbl) \neq 0) \Rightarrow$ calculate $\sum_{\substack{ist \in ibl \\ jst \in jbl}} \text{weight Neg}(ist, jst, w)$ $\begin{matrix} E_i - E_j > 0 \\ \hookrightarrow \text{negative } w \\ E_i - E_j < 0 \\ \hookrightarrow \text{positive } w \\ E_i = E_j \end{matrix}$

If $(B(ibl, jbl) \neq 0)$ and $w > 0 \Rightarrow$ calculate $\sum_{\substack{ist \in ibl \\ jst \in jbl}} \text{weight Pos}(ist, jst, w) \rightarrow$ positive w (contribution) from $ibl, jbl.$

If p.h.s holds then $\text{contribution Pos}(jbl, ibl) = \text{contribution Neg}(ibl, jbl)$

$\begin{pmatrix} -1 & 0 \\ 1 & 2 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 2 & 3 \end{pmatrix}$

$$A_{AB}^M(w, T) = \sum_{nn'} \left(\sum_m (P_n^{\text{prod}})_{nm} B_{n'm} \right) A_{nn'} \delta(w - (E_{n'}^M - E_n)) \pm \left(\sum_m (P_n^{\text{red}})_{nm} B_{nm} \right) A_{n'n} \delta(w + (E_{n'} - E_n))$$

Given $E_{n'} - E_n =: \Delta E$

$\Delta E > 0 \rightarrow$ "positive w " contribution (for log BD)

$\Delta E < 0 \rightarrow$ "negative w " contribution (for log BD)

OK, then. Blocks connected by \hat{A} : $n \in ibl, n' \in jbl$

$$A_{AB}^M(w, T) = \sum_{nn'} \left[\sum_m (P_n^{\text{prod}})_{nm} B_{n'm} \right] A_{nn'} \delta(w - (E_{n'} - E_n^*)) \pm \left[\sum_m (P_n)_{n'm} B_{nm} \right] A_{n'n} \delta(w + (E_n - E_{n'}))$$

$$= \sum_{nn'} \left((C_1 \pm C_2)_{nn'} |A_{nn'}| \delta(w - (E_{n'} - E_n)) \right)$$

$E_{n'} > E_n \Rightarrow$ positive $\text{weight} \sum_n (S_{nn})_{n'n} A_{nn'}$
 $E_{n'} < E_n \Rightarrow$ negative $\text{weight} \sum_n (S_{nn})_{n'n} A_{nn'}$

Thing is: fix n (say $i65$) \rightarrow get contribution particle and hole like. It should be the same!

(April 2010)

DM-NRG in the Q.S. basis

Continuing my notes on DM-NRG, let's see how things work in the (Q.S.) basis. Let's say $|Q.S.w\rangle_N$ is an eigenstate of H_N in block (Q.S.). Then, it is written in a basis $|Q.S.k(\text{type scf})\rangle_N$ ("type" of the single-site basis, "scf" is the old

$$|Q.S.s_i.w\rangle_N = \sum_{\substack{\text{all } s_i \\ \text{basis}}} U_{wi}^{Q.S.} |Q.S.s_i(\text{type scf})\rangle_N$$

Now, each basis state is written as (possibly) a combination of old states and site states

$$|Q.S.s_i(\text{type scf})\rangle_N = \sum_{\substack{\text{all } s_z \\ \text{basis}}} \langle s_z^{\text{old}} s_z^{\text{old}} \tilde{s} \tilde{s}_z | s_i s_z \rangle |Q^{\text{old}} s_z^{\text{old}} s_z^{\text{old}}(\text{ref})\rangle_{N-1} |Q \tilde{s} \tilde{s}_z(\text{type})\rangle_N$$

(old basis) (s_i^{\text{old}} + \tilde{s}_z = s_z)

Therefore the " $\hat{P}_{i,i'}$ " in the DM-NRG tank (p.1) is essentially

$$\hat{P}_{\text{scf type}}^i = \langle s_z^{\text{scf}} s_z^{\text{scf}} \tilde{s} \tilde{s}_z^{\text{type}} | s_i s_z^i \rangle \quad \text{only non-zero if } s_z^i = s_z^{\text{scf}} + \tilde{s}_z^{\text{type}}$$

and the reduced matrix at iteration M will be given by (see eq. (4) in notes)

$$(1) \quad \hat{P}_M^{\text{red}} = \sum_{\substack{\text{all } i \\ \text{(Q.S.)}}} \sum_{\substack{\text{all } s_i \\ \text{basis}}} \sum_{\substack{\text{all } w \\ \text{basis}}} (P_M^{\text{red}})_{ww'}^{Q.S.} \sum_{\substack{\text{all } i' \\ \text{basis}}} U_{wi'}^{Q.S.} (U_{w'i'}^{Q.S.})^* \sum_{\substack{\text{all } s_z \\ \text{basis}}} \langle s_z^{\text{old}} s_z^{\text{old}} \tilde{s} \tilde{s}_z | s_i s_z \rangle \langle s_z^{\text{old}} s_z^{\text{old}} \tilde{s} \tilde{s}_z | s_{i'} s_z \rangle$$

and, taking the trace over $\{|Q \tilde{s} \tilde{s}_z\rangle_M\}$

$$\hat{P}_{M-1}^{\text{red}} = \sum_{\substack{\text{all } i' \\ \text{(type)}}} \langle Q \tilde{s} \tilde{s}_z | \hat{P}_M^{\text{red}} | Q \tilde{s} \tilde{s}_z \rangle = \left(\begin{array}{l} \text{The trace will imply} \\ \downarrow \\ \langle Q \tilde{s} \tilde{s}_z | Q \tilde{s} \tilde{s}_z \rangle = \langle Q \tilde{s} \tilde{s}_z | Q \tilde{s} \tilde{s}_z \rangle \end{array} \right) \quad \text{but scf not necessarily equal to scf'!}$$

Some care is needed in this trace. Let's consider only the following:

$$T_M \{ \dots \} = \sum_{\substack{\text{type} \\ \text{basis}}} \langle \text{type} | \sum_{\substack{\text{all } s_z \\ \text{basis}}} \langle c s_i | \langle c s_{i'} | | \text{scf} \rangle | \text{type} \rangle \langle \tilde{s} \tilde{s}_z | \langle \text{scf}' | \langle \text{type}' | \langle \tilde{s} \tilde{s}_z' | | \text{type}' \rangle = \sum_{\substack{\text{all } s_z \\ \text{basis}}} | \langle s_i^{\text{old}} s_i^{\text{old}} \tilde{s} \tilde{s}_z | s_i s_z \rangle |^2 | \langle Q \tilde{s} \tilde{s}_z | \text{scf} \rangle \langle Q \tilde{s} \tilde{s}_z | \text{scf}' \rangle$$

(another trace will give 1)

We also define the "rotated" matrix: $(P_M^{\text{red}})_{i,i'}^{\text{basis}} = \sum_{\text{all } w} U_{wi}^{Q.S.} (P_M^{\text{red}})_{ww'}^{Q.S.} (U_{w'i'}^{Q.S.})^*$ and we have this:

$$(2) \quad \hat{P}_{M-1}^{\text{red}} = \sum_{\substack{\text{all } i \\ \text{(Q.S.)}}} \sum_{\substack{\text{all } s_i \\ \text{basis}}} \sum_{\substack{\text{all } i' \\ \text{basis}}} (P_M^{\text{red}})_{i,i'}^{\text{basis}} \sum_{\substack{\text{all } s_z \\ \text{basis}}} | \langle s_i^{\text{old}} s_i^{\text{old}} \tilde{s} \tilde{s}_z | s_i s_z \rangle |^2 | \langle Q \tilde{s} \tilde{s}_z | \text{scf} \rangle_{M-1} \langle Q \tilde{s} \tilde{s}_z | \text{scf}' \rangle$$

(i, i' have the "same type") (Q \tilde{s} \tilde{s}_z defined by scf and scf' and the type (here we use Q, \tilde{s}))

Note that the matrix element has an explicit sum in s_z^{old} but (P^{red}) does not depend on

Therefore (and this is important!) we take the following approach: (0 → 1d)

by definition:

$$(3) \quad \hat{p}_{M-1}^{\text{red}} = \sum_{\substack{\text{ibl} \\ = Q^0 S^0}} \sum_{S_z^0} \sum_{\substack{\text{scf, scf}' \\ \in Q^0 S^0 S_z^0}} (\hat{p}_{M-1}^{\text{red}})^{Q^0 S^0}_{\text{scf scf}'} |Q^0 S^0 S_z^0(\text{scf})\rangle \langle Q^0 S^0 S_z^0(\text{scf}')| \quad (3)$$

Now, the comparison: in Eq. (2) we have a sum over S_z and in eq. (3), a sum over S_z^0 :

from (2) $\sum_{S_z = -S}^S \sum_{\tilde{S}_z = -\tilde{S}}^{\tilde{S}} |\langle S^0 S_z^0 \tilde{S} \tilde{S}_z | S S_z \rangle|^2 |Q^0 S^0 S_z^0(\text{scf})\rangle_{M-1} \langle Q^0 S^0 S_z^0(\text{scf}')|$

(Region by type scf, scf')

from (3) $\sum_{S_z^0 = -S^0}^{S^0} |Q^0 S^0 S_z^0(\text{scf})\rangle_{M-1} \langle Q^0 S^0 S_z^0(\text{scf}')|$

How to compare?

easy: (2) → $\sum_{S_z = -S}^S \left[\sum_{\tilde{S}_z = -\tilde{S}}^{\tilde{S}} |\langle S^0 S_z^0 \tilde{S} (\tilde{S}_z = S_z - S_z^0) | S S_z \rangle|^2 \right] |Q^0 S^0 S_z^0(\text{scf})\rangle \langle Q^0 S^0 S_z^0(\text{scf}')|$

SO, in terms of the "rotated" $(\hat{p}_M^{\text{red}})^{Q^0 S^0}_{\text{rot}} \equiv \sum_{\text{type}} (U_{w,i})^* (\hat{p}_M^{\text{red}})^{Q^0 S^0}_{\text{rot}} U_{w,i}$, we have

$$(\hat{p}_{M-1}^{\text{red}})^{Q^0 S^0}_{\text{scf scf}'} = \sum_{\substack{\text{child state} \\ i, i' \rightarrow Q^0 S^0}} \left[\sum_{S_z = -S}^S |\langle S^0 S_z^0 \tilde{S} (\tilde{S}_z = S_z - S_z^0) | S S_z \rangle|^2 \right] \cdot (\hat{p}_M^{\text{red}})^{Q^0 S^0}_{\text{rot}(base)} \quad (4)$$

should be independent of S_z^0 !

How to determine the "child state" i, i' given (scf, scf')?

Given $|Q^0 S^0 S_z^0 = S^0, \text{scf}\rangle_{M-1}$ } Then $|Q^0 S^0 S_z^0 = S_i\rangle = \sum_{\substack{(i')^M \\ S_z^0(\tilde{S}_z)}} \langle S^0 S_z^0 \tilde{S} \tilde{S}_z | S S_z \rangle |Q^0 S^0 S_z^0(\text{scf}')\rangle_{M-1} |Q^0 \tilde{S} \tilde{S}_z(\text{type})\rangle_{N-1}$

$|Q^0 S^0 S_z^0 = S^0, \text{scf}'\rangle_{M-1}$ } Then

is a "child state". Note that i and i' can implicitly involve more than one "type" but all involved "type" will have the same \tilde{S} . For instance: $|Q^0 0^0 \frac{1}{2}\rangle_{M-1} = \frac{1}{\sqrt{2}} |Q^0 \frac{1}{2} \frac{1}{2}\rangle_{M-1} + \frac{1}{\sqrt{2}} |Q^0 \frac{1}{2} -\frac{1}{2}\rangle_{M-1}$ will be marked as "type=2" and "child" of scf(scf'). Note the the sum in (4) is S_z^0 -independent. Thus:

$$(\hat{p}_{M-1}^{\text{red}})^{Q^0 S^0}_{\text{scf scf}'} = \sum_{\substack{\text{child state} \\ i, i' \rightarrow Q^0 S^0}} \left[\sum_{S_z = -S}^S |\langle S^0 S_z^0 \tilde{S} (\tilde{S}_z = S_z - S_z^0) | S S_z \rangle|^2 \right] \cdot (\hat{p}_M^{\text{red}})^{Q^0 S^0}_{\text{rot}(base)} \quad (5)$$

and that does it!

Complete Fock Space (CFS) method.

The idea is to calculate the ^{retarded} Green's function with the CFS implemented.

$$G_{A,B}(t) = -i \Theta(t) \text{Tr} \{ \hat{\rho} [\hat{A}(t), \hat{B}(0)] \} \quad \begin{matrix} (+) \rightarrow \text{Fermion} \\ (-) \rightarrow \text{Boson} \end{matrix}$$

using $\hat{\rho} = \frac{1}{Z} e^{-\beta H} = \sum_m \rho_m |\Psi_m\rangle \langle \Psi_m|$, where $\rho_m = \frac{e^{-\beta E_m}}{Z}$

Using the Lehmann representation, we get (see Feb 2009 notes).

$$G_{A,B}^M(\omega) = \sum_{nn'} \frac{\rho_{nn'}^M A_{nn'}^M B_{n'n}^M \pm \rho_{n'n}^M B_{nn}^M A_{nn'}^M}{\omega - (E_{n'}^M - E_n^M) + i\eta} \quad \begin{matrix} + \rightarrow \text{Fermion} \\ - \rightarrow \text{Boson} \end{matrix} \quad (1)$$

for a given NRG iteration M and $G_{A,B}(\omega) = \sum_{M=0}^{\infty} G_{A,B}^M(\omega)$.

In the CFS procedure, we split (1) in 3 parts.

The first contribution comes from $M=N$ only, where $\rho_{nn}^N = \frac{e^{-E_n/T}}{Z}$ δ_{nn}

$$G_{A,B}^N(\omega) = \sum_{nn'} \frac{A_{nn'}^N B_{n'n}^N e^{-E_n/T} \pm B_{nn}^N A_{nn'}^N e^{-E_n/T}}{\omega - (E_n - E_n) + i\eta} \quad \begin{matrix} \text{(all states at iteration} \\ N \text{ are "discarded")} \end{matrix}$$

The next two contributions come from the fact that $\hat{\rho} |n(\text{disc})\rangle = 0$ for $M < N$.

We have $(M=N_{\text{min}}, N-1)$ only $\rho_{mn} \Rightarrow \langle m(\text{kept}) | \rho | n(\text{kept}) \rangle$ are nonzero!

$$G_{A,B}^M = \underbrace{\sum_{\substack{n(k)n'(d) \\ m(k)}} \frac{\rho_{m(k)n(k)}^M A_{n(k)n'(d)}^M B_{n'(d)m(k)}^M}{\omega - (E_{n'(d)} - E_{n(k)}) + i\eta}}_{\text{"positive" } \omega}} + \underbrace{\sum_{\substack{n(k) \\ n'(d) \\ m(k)}} \frac{\rho_{n(k)m(k)}^M B_{m(k)n'(d)}^M A_{n'(d)n(k)}^M}{\omega + (E_{n'(d)} - E_{n(k)}) + i\eta}}_{\text{"negative" } \omega}$$

"G⁽ⁱⁱ⁾" in Anders'

"G⁽ⁱⁱⁱ⁾" in Anders'

Notice that $A_{n(k)n'(d)}$ involves only kept states in block i and discarded states in "block j ".

$$G_{A,B}^M = \sum_{n(k)n'(d)} \left(\sum_{m(k)} \frac{B_{n'(d)m(k)}^M \rho_{m(k)n(k)}^M}{\omega - (E_{n'(d)} - E_{n(k)}) + i\eta} \right) A_{n(k)n'(d)}^M \pm$$

Switching $n \leftrightarrow n'$ in the second sum

$$\pm \sum_{n(k)n'(d)} \left(\sum_{m'(k)} \frac{\rho_{m'(k)n'(k)}^M B_{m'(k)n(d)}^M}{\omega - (E_{n'(k)} - E_{n(d)}) + i\eta} \right) A_{n(d)n'(k)}^M$$

Implementation: The spectral function at iteration M will be given by

$$\rho_{\text{CFI}}^M(\omega, T) = \sum_{n(k)} \sum_{n'(d)} \left\{ \left[\sum_{m(k)} (\hat{B})_{n'(d)m(k)} (\rho_m^{\text{red}})_{m(n) n(k)} \right] \hat{A}_{n(k) n'(d)} \delta(\omega - (E_{n'(d)} - E_{n(k)})) \right. \\ \left. + \sum_{n(k)} \sum_{n'(d)} \left\{ \left[\sum_{m'(k)} (\rho_m^{\text{red}})_{n'(k) m'(k)} (\hat{B})_{m'(k) n(d)} \right] \hat{A}_{n(d) n'(k)} \delta(\omega - (E_{n'(k)} - E_{n(d)})) \right\} \right.$$

↑
THIS will
BE < 0!

Notice that The matrices "A" in the two sums are not the same (as opposed to The usual DM-NRG approach). In matrix form, we need:

$$C^1 = B_{d,k} \cdot \rho_{k,k} \quad ; \quad A_1^s(\omega) = (A)_{i(k)j(d)} \delta(\omega - E_j^{(d)} - E_i^{(k)})$$

$$C^2 = \rho_{k,k} B_{k,d} \quad ; \quad A_2^s(\omega) = (A)_{i(d)j(k)} \delta(\omega - E_j^{(k)} - E_i^{(d)})$$

and

$$\rho_{\text{CFI}}^M(\omega, T) = \sum_{n'(d)} \sum_{n(k)} (C_1)_{n'n} (A_1^s)_{nn'} = \text{Tr}_{(d \leftrightarrow k)} \{ C_1 \cdot A_1^s(\omega) \} + \text{Tr}_{(k \leftrightarrow d)} \{ C_2 \cdot A_2^s(\omega) \} \\ + \sum_{n(k)} \sum_{n'(d)} (C_2)_{nn'} (A_2^s)_{n'n}$$

Who are These matrices? Given blocks (ibl, ibl') that are connected by \hat{A} ($\langle \text{ibl} | \hat{A} | \text{ibl}' \rangle \neq 0$), with state $N_{\text{ibl}} = N_{\text{ibl},k} + N_{\text{ibl},d}$; $N_{\text{ibl}'} = N_{\text{ibl}',k} + N_{\text{ibl}',d}$ we have:

$$\begin{cases} C^1(\text{ibl}', \text{ibl}) = B(\text{ibl}', d, \text{ibl}, k) \rho(\text{ibl}, k, \text{ibl}, k) \quad \leftarrow \begin{matrix} \text{size} \\ (N_{\text{ibl}',d} \times N_{\text{ibl},k}) \end{matrix} \\ C^2(\text{ibl}', \text{ibl}) = \rho(\text{ibl}', k, \text{ibl}', k) B(\text{ibl}', k, \text{ibl}, d) \quad \leftarrow \begin{matrix} \text{size} \\ (N_{\text{ibl}',k} \times N_{\text{ibl},d}) \end{matrix} \end{cases}$$

and

$$\begin{cases} A_1^s(\omega) = A(\text{ibl}, k, \text{ibl}', d) \delta(\omega - E' - E) \rightarrow (N_{\text{ibl},k} \times N_{\text{ibl}',d}) \\ A_2^s(\omega) = A(\text{ibl}, d, \text{ibl}', k) \delta(\omega - E' - E) \rightarrow (N_{\text{ibl},d} \times N_{\text{ibl}',k}) \end{cases}$$

Thus we need a routine that, given blocks ibl, ibl' of an operator produces BLAS matrices (ibl, (k,d), ibl' (k,d)) → (4 combinations).

What if there are no kept or discarded state in a given block?

$N_{be,k}=0$ or $N_{be',d}=0 \Rightarrow \Rightarrow C_1=0$, terms 2 C_2 only

$N_{be,d}=0$ or $N_{be',k}=0 \Rightarrow \Rightarrow C_2=0$ terms 1 C_1 only

$N_{be,k} \neq 0$ AND $N_{be',d} \neq 0 \rightarrow$ calculate C_1

$N_{be,d} \neq 0$ AND $N_{be',k} \neq 0 \rightarrow$ calculate C_2