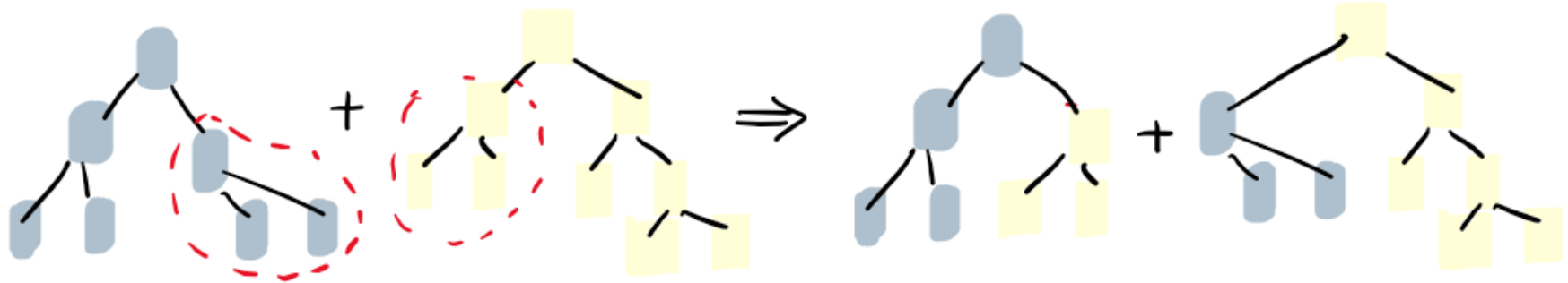


# Data Driven Engineering II: Advanced Topics

**Genetic programming:  
towards data driven control**

Institute of Thermal Turbomachinery  
Prof. Dr.-Ing. Hans-Jörg Bauer



# Convergence

- \* Max. generation
- \* Elapsed time
- \* Track fitness
  - Best individual
  - worst individual
  - $\sum f_i$  or  $\bar{f}_i$

# Algorithm of GA :

1. Initialize population
2. Get current fitness (+filtering)
3. Create offsprings  $\leftrightarrow$  cross over
4. Mutations
5. "Survival of the fittest"
  - ↳ update the population

## DDE $\Leftrightarrow$ Optimization

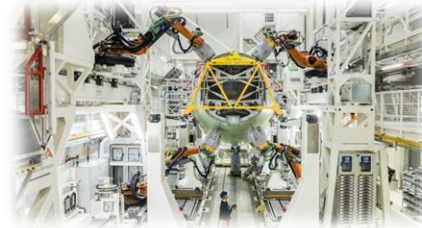
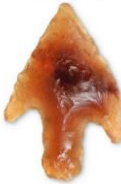
- \* Optimization landscape
- \* Evolutionary algorithms
- \* Genetic algorithms
- \* Genetic programming

# Data Driven Engineering

Obj: Engineering  $\Rightarrow$  Automate the process  
 $\searrow$  controllable way

DATA [source]  $\xrightarrow{\text{[mean]}}$

Flint arrowhead,  
c. 4000 BCE



Craftsmanship  
"handmade"

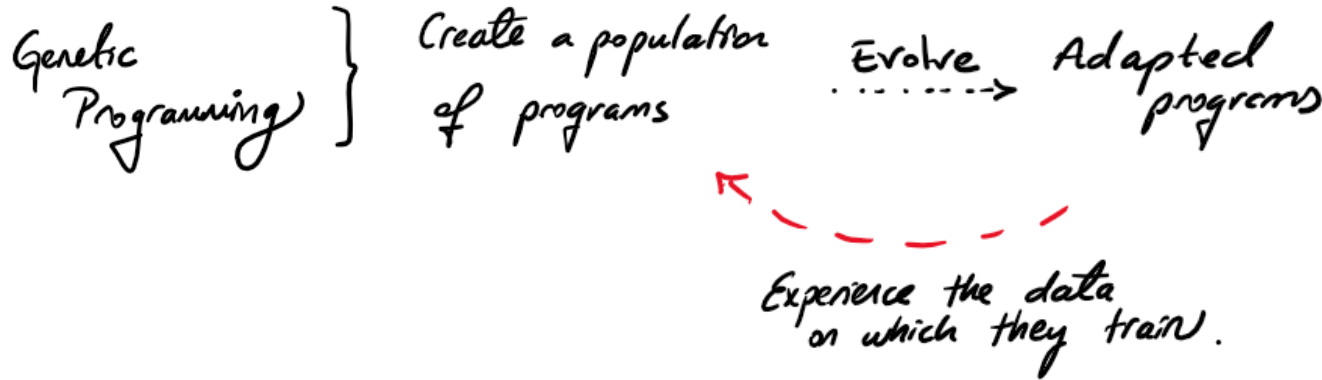
Automated  
production

Machine  
Learning  
the same  
idea

# Data Driven Engineering


Obj: Engineering  $\Rightarrow$  Automate the process  
 $\searrow$  controllable way

DATA  
[source]  $\xrightarrow{\text{[mean]}}$



# Genetic Programming

\* GA  $\Rightarrow$  fixed-length strings / lists / arrays



- Stochastic decision process
- Genetic operations { mutations, crossover, breeding }
- Fitness based selection

\* GP  $\Rightarrow$  hierarchical, variable in size

# Gedanken Experiment

\* EA  $\Rightarrow$  give a rod of certain length.

Rule: Rod must be assembled from smaller rods.

①  $L_t = 9 \text{ cm}$      $l_1 = 1, l_2 = 2, l_3 = 4 \text{ cm}$

Solution;

\* eg.  $N \rightarrow 5$ ;

\*  $N < 3$   
 $N > 9$

you get a subset  
of possible solutions.

| Genome Size | # Sol.       | Sample                     |
|-------------|--------------|----------------------------|
| 2           | <del>0</del> | -                          |
| 3           | 3            | 4 4 1                      |
| 4           | 12           | 4 2 2 1                    |
| 5           | 20<br>5      | 4 2 1 1 1<br>2 2 2 2 1     |
| 6           | 6<br>120     | 4 1 1 1 1 1<br>2 2 2 1 1 1 |
| ...         |              |                            |
| 9           | 1            | 1 . . . . . 1              |
| 10          | <del>0</del> | <del>0</del>               |

# Data Driven Engineering

Genetic  
Programming

"Inductive  
learning"

GP → linear  
GP → graph-based  
GP → Tree representation

| Year | Inventor                                | Technique                  | Individual            |
|------|---|----------------------------|-----------------------|
| 1958 | Friedberg                               | learning machine           | virtual assembler     |
| 1959 | Samuel                                  | mathematics                | polynomial            |
| 1965 | Fogel, Owens and Walsh                  | evolutionary programming   | automaton             |
| 1965 | Rechenberg, Schwefel                    | evolutionary strategies    | real-numbered vector  |
| 1975 | Holland                                 | genetic algorithms         | fixed-size bit string |
| 1978 | Holland and Reitmann                    | genetic classifier systems | rules                 |
| 1980 | Smith                                   | early genetic programming  | var-size bit string   |
| 1985 | Cramer                                  | early genetic programming  | tree                  |
| 1986 | Hicklin                                 | early genetic programming  | LISP                  |
| 1987 | Fujiki and Dickinson                    | early genetic programming  | LISP                  |
| 1987 | Dickmanns, Schmidhuber<br>and Winkhofer | early genetic programming  | assembler             |
| 1992 | Koza                                    | genetic programming        | tree                  |



Cramer, 1985 & Koza, 1989

\* Suggested tree-like structure for program represent.  
"Genetic Programming"

In particular, I describe a single, unified, domain-independent approach to the problem of program induction – namely, genetic programming. I demonstrate, by example and analogy, that genetic programming is applicable and effective for a wide variety of problems from a surprising variety of fields. It would probably be impossible to solve most of these problems with any one existing paradigm for machine learning, artificial intelligence, self-improving systems, self-organizing systems, neural networks, or induction. Nonetheless, a single approach will be used here – regardless of whether the problem involves optimal control, planning, discovery of game-playing strategies, symbolic regression, automatic programming, or evolving emergent behavior.

J. KOZA, 1992



# Why tree representation is popular?

- \* Recursive evaluation
- \* Dynamically changing sizes & shapes. (?)
- ! Allows algorithm to modify the structure of the solution

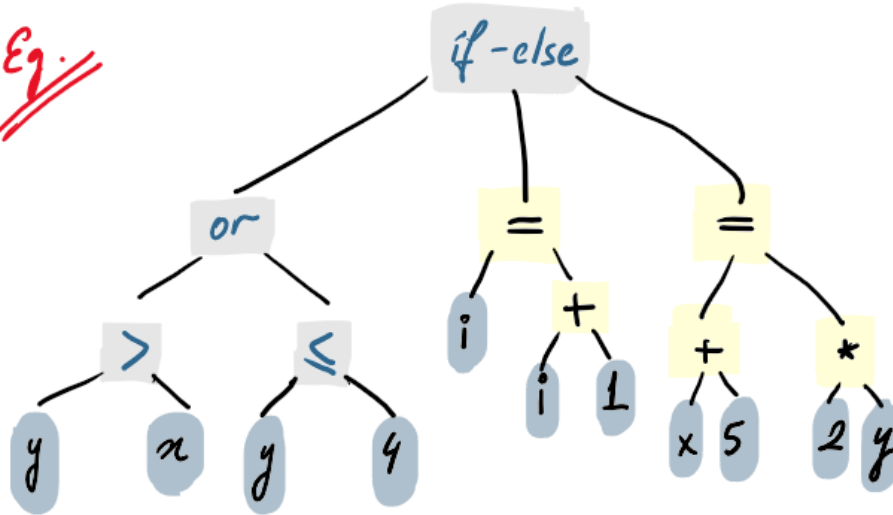
how many  
parameters?

Meaning  
of parameters

How parameters  
interact

# Genetic Programming

Eg.



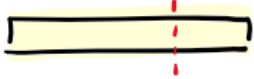
⌘ Evaluation  $\Rightarrow$  Recursive  
[ Depth-first  $\gg$  left ]

# Genetic Operations:

## (1) Crossover

~~GA~~

Parent A

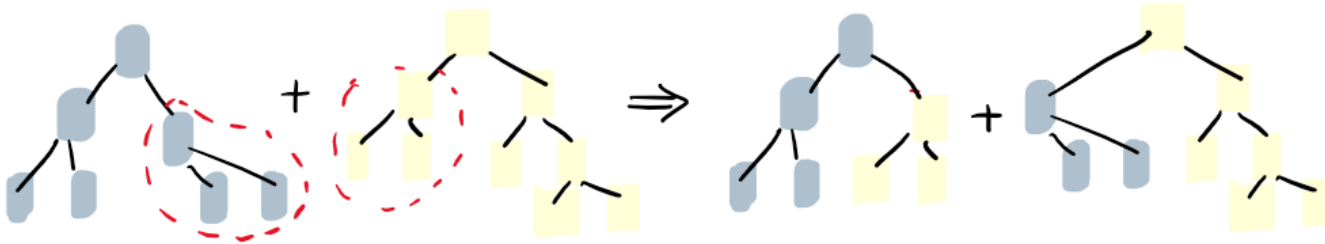


Parent B



~~GP~~

Single Point; Replace one subtree with the other.



# Genetic Operations :

## (2) Mutation

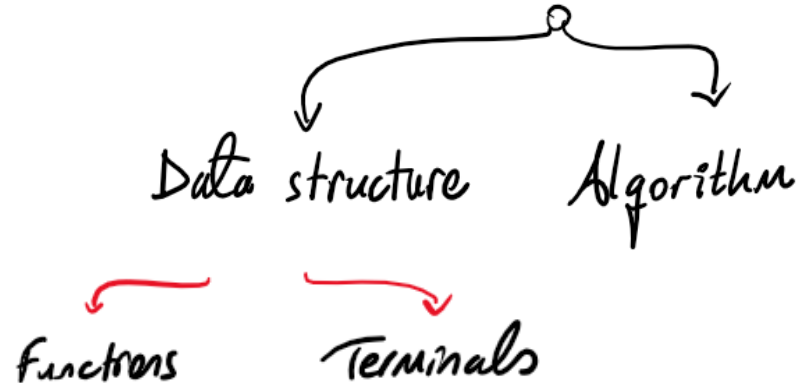
\* Choose a node randomly.

- ↳ Delete subtree
- ↳ Replace it with a random tree.
- ↳ Change a sub-property. ( $'>' \Rightarrow '<'$ )

# Genetic Programming

\* GA  $\Rightarrow$  fixed-length strings / lists / arrays

\* GP  $\Rightarrow$  hierarchical, variable in size



# Genetic Programming

**Terminal Set**  $\hat{=}$  Inputs to GP } at the end of every branch,  
( $\rightarrow$  also includes constants ( $b_0$ ))

**Function Set**  $\hat{=}$  Statements Operators + functions

- Boolean
- Arithmetic (+ -  $\times$  /)
- Transcendental (Trigonometric, logarithmic)
- Variable assignments
- Conditionals (if...), controllers (go, jump...), loops (while...)
- Subroutines

Start basic & simple

How does structure evolve dynamically?

! "Iterative" + "Selective" algorithm

"Essence of evolution"

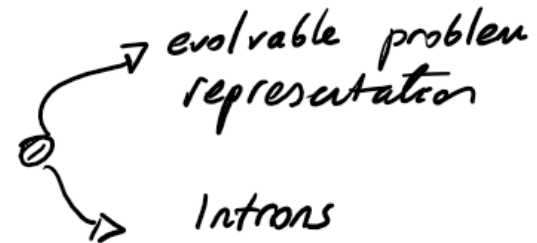
(i) population  $\rightarrow$  reproduction opportunities

(ii) selection  $\Rightarrow$  better variants have higher chance

+

"Cumulative Selection"

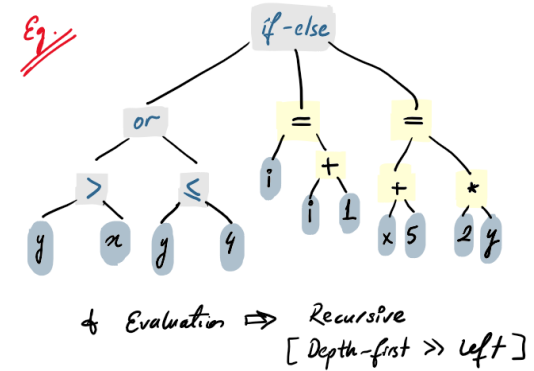
? Practical significance  
in GP





# Evolvable Representation

\* Problem representation in ML  $\Rightarrow$  "constrained problem"  
[ model is created & tailored ]



\* GP  $\Rightarrow$  may evolve any solution (using Turing complete language)

## Evolvable Representation

□ GP may ignore operators / terminals

eg  $\{+, -, \times, /\}$  ; Fitness of "/" is typically over.  
     $\hookrightarrow \{+, -, \times\}$

□ Meta-learning  $\Rightarrow$  Create a bias for grammar rules from previous generations

□ GP can find solutions of "right" length.

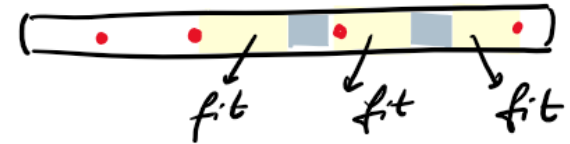
# Introns $\Rightarrow$ Bloat :

→ Junk genetic materials  $\left( \begin{array}{l} x = x * 1 \\ y = y + 0 \end{array} \right)$

→ ~90s ; emerge due to variable length of GP genes.

→ GP  $\Rightarrow$  grows uncontrollably (until  $d_{max}$ ).

\* less useful genes  $\Rightarrow$  spread into pool  $\Rightarrow$  reach the whole population } "Stagnation in evolution"




"hitchhiking"

## Introns $\Leftrightarrow$ Bloat :

❓ Introns  $\Rightarrow$  does not affect individual fitness.  
Why do they emerge?

💡 Effective fitness : Survivability of an individual's  
offspring

## Introns $\Leftrightarrow$ Bloat :

- ❑ Cross overs  $\sim$  children are much less fit than parents.  
Mutations  $\sim$  usually have (-) effects.
- ❑ Any parent reduces negative effects of (cross over mutation) 
- ❑ Better a parent can protect the child from destructive operations  $\Rightarrow$  higher effective fitness

# Introns $\Leftrightarrow$ Bloat :

? Introns  $\Rightarrow$  does not affect individual fitness.  
Why do they emerge?

Fitness  $\left\{ \begin{array}{l} \rightarrow \text{Parent} := \text{chosen for reproduction} \\ \rightarrow \text{Child} := \text{gene is passed down.} \end{array} \right\}$  Emergence of Introns

□ Destructive genetic operators  $\Rightarrow$  create an advantage for parents with introns

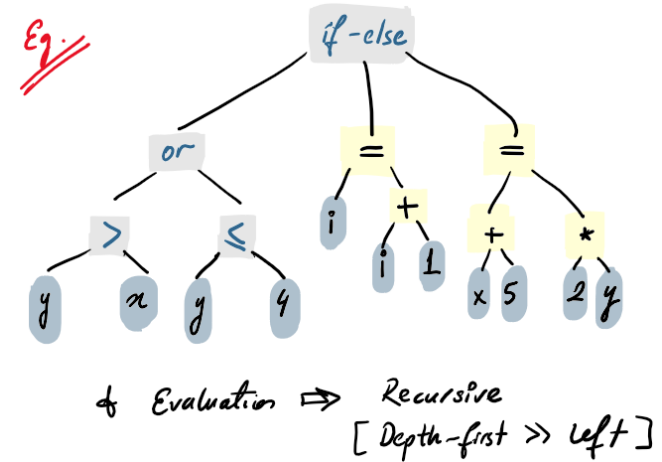
# Effective Complexity :

\* complexity  $\Rightarrow$  # nodes ; size

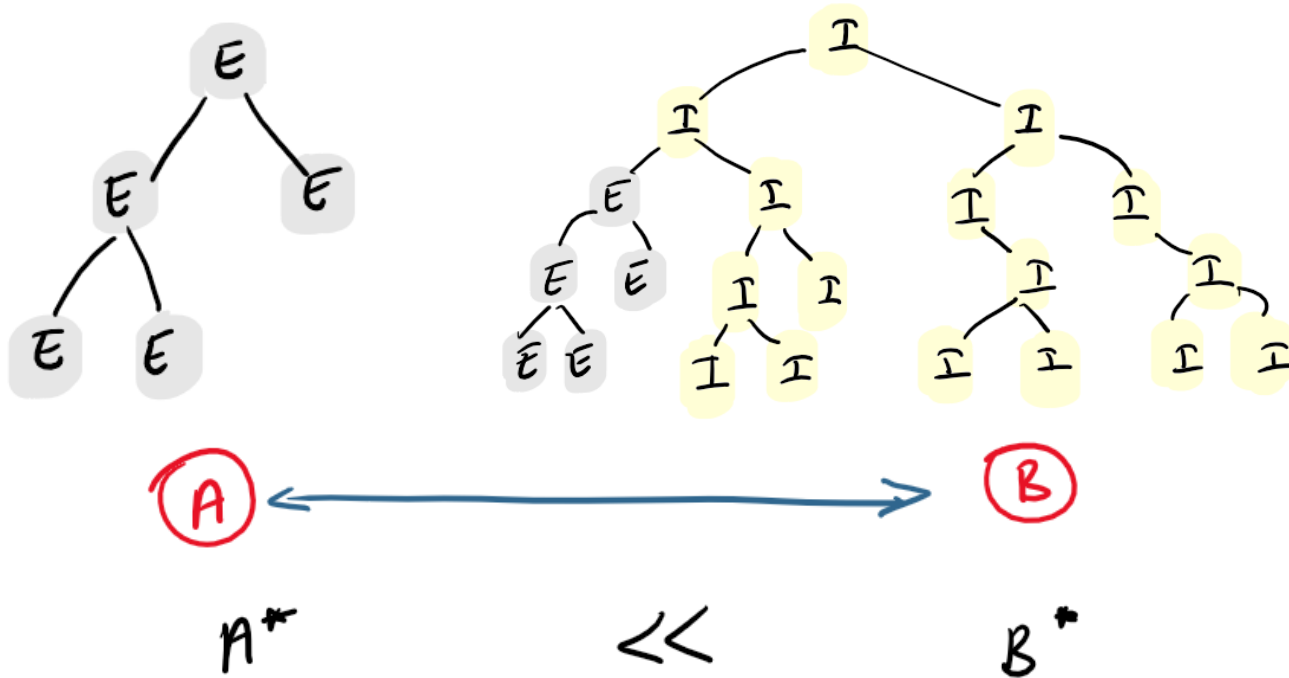
$$\text{Eff. comp}(E) = \text{useful genes} / \sum g_i$$

$$P_i^{t+1} = P_i^t \frac{f_i}{\bar{f}} \left( 1 - p_c \cdot E P_i^d \right)$$

genes fitness crossover destructive



# Effective Complexity :





# Effective Complexity :

\* As I % increases in population,

Destructive cross over  $\Rightarrow$  Neutral cross over

Exchanged code has no/little effect.

□ Strategy: Finding better solutions  $\Rightarrow$  Prevent disrupting good solutions

□ Stagnation; more / comp. power } effective growth ends.  
memory

What can be done?

- Reduce destructive effects of crossover
  - brood recomb.
  - intelligent crossover
  - ...
- Parsimony  $\Rightarrow$  penalty to the length of programs
- Variable fitness function
  - gradually
  - "sensors"
  - epochs

# Genetic Programming

## Convergence

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  - worst individual
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## Algorithm of GA :

1. Initialize population
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5. "Survival of the fittest"
  - ↳ update the population

# GP: Initialization

- \* Heuristically // randomly:
- \* Max depth ( $d_{max} \rightarrow$  hyperparameter)



## Full method

- $d < d_{max}$   
node  $\rightarrow$  function ( $f$ )
- $d = d_{max}$   
node  $\rightarrow$  terminal ( $t$ )

## Grow method

- $d < d_{max}$   
node  $\rightarrow f \parallel t$
- $d = d_{max}$   
node  $\rightarrow t$

→ ramped  
half-half

## Closer look to Crossover :

\* Primary search mechanism for opt. problem

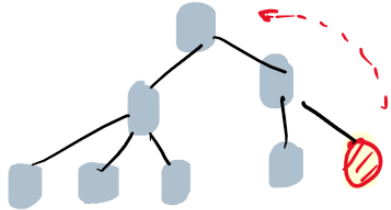
Hypothesis  $\Rightarrow$  good building blocks combined  
into larger, better blocks. ?

□ Algorithm  $\Rightarrow$  Take the fittest parents

$\Rightarrow$  Combined  $\Rightarrow$  better individuals !

# Closer look to Crossover :

! Good building blocks  $\Rightarrow$  dominant  $\Rightarrow$  reflected on fitness.




Can we generalize it ?

\* Crossover  $\approx$  70-75% lethal to offsprings

## \* Nature

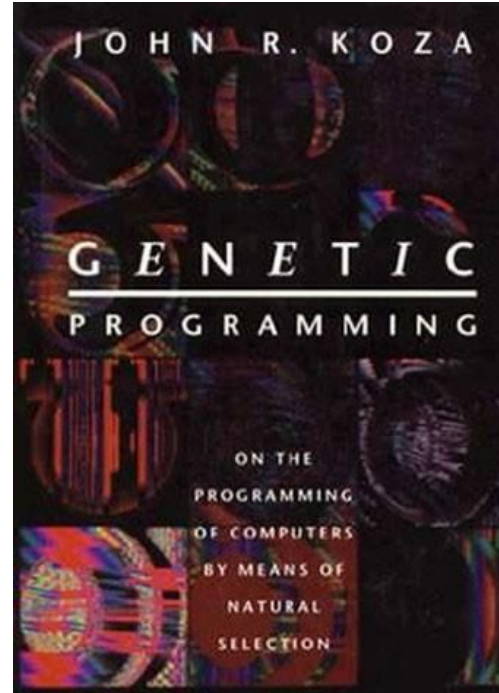
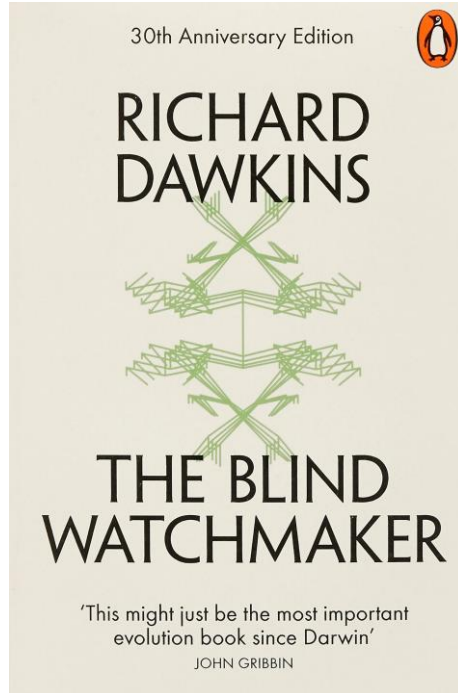
$\Rightarrow$  restricting mating of Intraspecies  
~ viable offsprings

$\Rightarrow$  "Semantics"  
hair color  $\Leftrightarrow$  muscle density

$\Rightarrow$  "homologous"  
 ~ very similar down to molecular level



# colab



- Handbook of Genetic Programming Applications, Springer
- Genetic Algorithms and Genetic Programming Modern Concepts and Practical Applications, CRC