Stock Broker DBMS Project

Deliverable 4

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Part 1: Queries

Query 1:(Thomas)

// Display the Name and City of all users who have an active account with over 100,000

SELECT FName, LName, City, USERID
FROM UserTable JOIN (
SELECT AccountNO
FROM Account
WHERE Balance > 100000 AND ActivityStatus = 'Active'
) USING (AccountNO)
ORDER BY LName;

OUTPUT:

SQL> SELECT FName, LName, City

- 2 FROM UserTable JOIN (
- 3 SELECT AccountNO
- 4 FROM Account
- 5 WHERE Balance > 100000 AND ActivityStatus = 'Active'
- 6) USING (AccountNO)
- 7 ORDER BY LName;

FNAME	LNAME C	CITY
ONNJ94PWg9 gHN6oURwDt I4GrBIMtEZ aUteRkF1zN VdtO8V9g4w 9j59c5UnqT akPTWF1Yxw	03UdJ1pQG 068wCZlg6p 06djtnfSMX 06psDbz31W 086eMccub8 09OixiJaw4	
I8UDnVGJDv Ztb4WgVja2 wUmxTF0bnj FqpcPthPWY	0DVT2IKEp3 0EIf9Oe85J 0EREdTx5P6 0EVzAkaj2A	R4ku4bRfJ09B7HW4C01t mmKUaa5FkLWUrq7CAeXd

FNAME LNAME CITY

WrGTOE8TTq 0FSVX7HaVn fgd65dyguvONAVBMIQW7 enVUfo2F5r 0J4Bu348zq INZ08YVx77Mlfxruaz79 kBRFvyAbLs 0JW4kEq5Wb e3TuE3ngxFofOOMS7TwY vhvFdc41HZ 0KXeFf8Zbb Awo0pwUHmkSAHunWQfUj 0QAwokQ7sq 0NIaVli1QJ qMIIqdhJ72pv8dyMni5q lf59r0WHfl 0VE0rgHkg0 Y8rVUxe7JoJ4a9LBbe72 lizls7RZZ4 0VH8gt1sde cgPfY2QirWjDgEHbKTts V28onzFFuq 0YX5uCNjDg MYIt8SS3uajvJnC7ZZA6 Xk5pxGHxOX 0Zzbcu0uel wKEbjurbZEF3t9MUX2fD yaBGIZo4T6 0aQDvGxM6h 87P3htkg7iqE5IVm5guo VsEHXPzCPn 0aidYia87D Q7vUZqOPMHIYdelLgBze

```
Query 2: (Thomas)
// Display total value of stocks that user FName = 'BjrrbBLw77' AND Lname = 'QITPh7iEX2'
OWNS in their account
// NOTE: This excludes any stocks they have shorted as they obliged to pay X dollars back to
//
              where X = Quantity * purchase price they shorted it at.
//step1: get the account number of user:
SELECT AccountNo
FROM UserTable
WHERE FName = 'BjrrbBLw77' AND Lname = 'QITPh7iEX2'
//step2: use that account no to determine the Ticker and Quantity of stocks they OWN
(meaning Quantity > 0)
SELECT Ticker, Quantity
FROM ContainsStock JOIN (
SELECT AccountNo
FROM UserTable
WHERE FName = 'BjrrbBLw77' AND Lname = 'QITPh7iEX2'
) USING (AccountNo)
WHERE Quantity > 0;
// Step 3: use the ticker of all stocks that account number owns to determine the market value
of those shares
SELECT Quantity * Price AS MarketValue
FROM StockTable JOIN (
       SELECT Ticker, Quantity
       FROM ContainsStock JOIN (
              SELECT AccountNo
              FROM UserTable
              WHERE FName = 'BjrrbBLw77' AND Lname = 'QITPh7iEX2'
      ) USING (AccountNo)
       WHERE Quantity > 0
) USING (Ticker);
OUTPUT:
SQL> SELECT Quantity * Price AS MarketValue
2 FROM StockTable JOIN (
3 SELECT Ticker, Quantity
```

- 4 FROM ContainsStock JOIN (
- 5 SELECT AccountNo
- 6 FROM UserTable
- 7 WHERE FName = 'BjrrbBLw77' AND Lname = 'QITPh7iEX2'
- 8) USING (AccountNo)
- 9 WHERE Quantity > 0
- 10) USING (Ticker);

MARKETVALUE

482600

Query 3:

Select all Users with a Filled Optionorder with size over 50, that have an accountNumber greater than 5000 ordered by Last Name (ETHAN)

Select UserId,LNAme,FName,accountNO From Usertable natural join optionorder where OOsize > 50 and status = 'Filled' AND AccountNO > 5000 Order By LName,FNAme

OUTPUT

SQL> Select UserId,LNAme,FName,accountNO

- 2 From Usertable natural join optionorder
- 3 where OOsize > 50 and status = 'Filled'
- 4 AND AccountNO > 5000
- 5 Order By LName,FNAme
- 6;

USERID	LNAME	FNAME	ACCOUNTNO
77794739	fRn8vcFW57	BKzCqmWb	ra 9988
36123259	xG4zn9lkd1	6sG6B2SvZk	9757

Query 4:

Selecting first names, last names, and the addresses of users currently working with a professional account status, but have had their accounts frozen.

Query: SQL> SELECT LNAME, FNAME, ADDRESS FROM USERTABLE JOIN (SELECT Account FROM ACCOUNT WHERE ACCOUNTSTATUS = 'Prof' AND ACTIVITYSTATUS = 'Frozen') USING (ACCOUNTNO) ORDER BY FNAME;

Output:

SQL> SELECT LNAME, FNAME, ADDRESS FROM USERTABLE JOIN (SELECT Account no FROM ACCOUNT WHERE ACCOUNTSTATUS = 'Prof' AND ACTIVITYSTATUS = 'Frozen') USING (ACCOUNTNO) ORDER BY FNAME;

LNAME	FNAME AI	DDRESS
5TC8Oi2wmG XtGoCuqcRX yVywyn1Tqx aK4hYDJKN0 a5NaiRLOdQ wObV2XFYdd GMdx3QS0ID 0zf8ILUSrD p5GVuMEZnp dnZ3qO6IG6 47pt8MVrcO	01x5R9NhwK 03aKTJaQek 0AAjVYE42S 0D4p8wawqN 0Dxi2aVxZD 0H5ZclL7mk 0HCDgaCf1N	Hauqb8nP1ZzuCthZBZSWGNgs2S wJ8dMWZEO9BATKvXdJaw0zOGgJ jbMbaQHR0vEseOgAnc1WD49jak yCtyY5IsQDyQggteHdBkoc2jFU DIUZ84jc3WLX6u0nfKmK3e61XO VhhsGzCIFMxffYPkcaXZG7KIBI 5xgjx4RSY9pfcAMeq8wKp3yv1d kV7loQM16DRIpoEy3q7NpxQVze byhQIBxODtOzfaK8DZ9cA6EDZT tmQcRuARL1bLEOvVvH1qqbcuJ2 6hSdOFMXYIYcSWBIVF0EUVFFii
LNAME	· ·	DDRESS
RFFzpemmM i6SJxUTYzK JUDshvN7TI qorldVFHk8 Z73w5Gsdla FRCq4iaoaB acNUD263VC iF8R6QGSUh HNPk86Xi9r 7wLCQW68tS hmibdtEjME	0Qm9JZx8SI 0SYMrfYyUY 0VhgG3tljJ 0Y53lv2jak 0ZVaDzGwDq 0b0JuNZzSW 0cTirqUsrf 0cozXxLaYs 0ga8QHcFMI	cwa75KVkyw0xA5ur6ZZWX0zgWW MC7MDiHFKKZH9YvcyMaFcSSdl2 dyBNgRkVQkm8yEKXZg3oMXx4zr l58rtYDP6p9TsnXDMVjf6dZWAZ 2p3ddPM73hCZMkT2n4pGf92twG I51XXXgDUvuBy6d5AvlbMQVSYB oVovnDpaSLijrGnknekd4UEfxf 3xslKlylGfkvEAi8d63SJif8sW

Query 5:

DIsplay the Price Of Both optionOrders and stockorders then compute the average total cost of an order for each.

Select OrderNUm, 'OptionOrder' As Type, OOSize, Price, (OOsize*Price) as OrderPrice from optionorder
Group By OrderNUm, OOsize, Price
UNION

Select orderNUm, 'StockOrder' AS Type, SOsize ,Price, (SOSIZE*Price) as Orderprice

From stockorder

Group by orderNum, SOsize, Price

order by type;

Select 'OptionOrder' AS type,(SUM(Price)+SUM(OOsize))/count(Price)

AS AverageOrdercost

From Optionorder

UNION

Select 'StockOrder' AS type,(SUM(Price)+SUM(SOsize))/count(price)

AS AverageOrderCost

From stockorder;

Output

SQL> Select OrderNUm , 'OptionOrder' As Type ,OOSize ,Price, (OOsize*Price) OrderPrice

- 2 from optionorder
- 3 Group By OrderNUm, OOsize, Price
- 4 UNION
- 5 Select orderNUm, 'StockOrder' AS Type, SOsize ,Price, (SOSIZE*Price) as O rprice
- 6 from stockorder
- 7 Group by orderNum, SOsize, Price
- 8 order by type;

ORDERNUM TYPE	008	SIZE	PRICE ORDERPRICE
323 OptionOrder	550	51	28050
5646 OptionOrder	100	33	3300
9999 OptionOrder	55	41	2255
33333 OptionOrder	3500	13	45500
41683 OptionOrder	550	3	1650
364636 OptionOrder	250	33	8250
0 StockOrder	500	87	43500
123573 StockOrder	200	35	7000
345162 StockOrder	100	51	5100
5782347 StockOrder	200	3	600

10 rows selected.

SQL> Select 'OptionOrder' AS type,(SUM(Price)+SUM(OOsize))/count(Price)

- 2 AS AverageOrdercost
- 3 From Optionorder
- 4 UNION
- 5 Select 'StockOrder' AS type,(SUM(Price)+SUM(SOsize))/count(price)
- 6 AS AverageOrderCost
- 7 From stockorder;

OptionOrder 863.166667 StockOrder 294

Incomplete

Query 6:

Finding the first name, last name, city, and account number of users who have current options assets in their account where the option's purchase price is less than it's current strike price.

Query:

SQL> Select fname, Iname, city, accountno from usertable Join

- 2 (select account no from account join (select account no, purchase price, strike
- 3 from containsoption where purchaseprice < strike)
- 4 using (accountno)) using (accountno) Order by Lname;

Output:

SQL> Select fname, Iname, city, accountno from usertable Join

- 2 (select accountno from account join(select accountno, purchaseprice, strike
- 3 from containsoption where purchaseprice < strike)
- 4 using (accountno)) using (accountno) Order by Lname;

FNAME	LNAME CI	TY ACCC	OUTUUC
FYr5RS9e5u	0hwmWapmsY	NYTWtlwAPBTUz9NWEE70	654
zsQNehhu2d	GfnmBtujwu	v4UXoqmzYswRCPWSd6bo	0

Part 2: Data Modification

Query 1:(Thomas)

deleting a set of tuples that is more than one but less than all the tuples in a relation

 $\!\!\!/\!\!\!/$ suppose the firm is in financial desperation and is forced to cut off all clients with a balance below $\!\!\!/\!\!\!/$ \$10,000 since they do not pay enough in commissions

//must delete users information as well as account information and also any other information that could be tied to them

Instead of this:

DELETE FROM UserTable
WHERE ACCOUNTNO IN(
SELECT AccountNo FROM Account

WHERE Balance < 10000);

DELETE FROM ContainsStock
WHERE ACCOUNTNO IN(
SELECT AccountNo FROM Account
WHERE Balance < 10000);

DELETE FROM ContainsOption
WHERE ACCOUNTNO IN(
SELECT AccountNo FROM Account
WHERE Balance < 10000);

DELETE FROM OptionOrder
WHERE ACCOUNTNO IN(
SELECT AccountNo FROM Account
WHERE Balance < 10000);

DELETE FROM StockOrder
WHERE ACCOUNTNO IN(
SELECT AccountNo FROM Account
WHERE Balance < 10000);

DELETE FROM Account WHERE Balance < 10000;

NOTE: I deleted this table, then realized a better way of doing it (disclosed below)

SQL> DELETE FROM UserTable

- 2 WHERE ACCOUNTNO IN(
- 3 SELECT AccountNo FROM Account
- 4 WHERE Balance < 10000);

422 rows deleted.

We can go back and add FOREIGN KEY constraints with ON DELETE CASCADE OPTION

SQL> ALTER TABLE StockOrder
2 DROP CONSTRAINT FK_AccountNum;

Table altered.

SQL> ALTER TABLE StockOrder

- 2 ADD CONSTRAINT FK_AccountNum
- 3 FOREIGN KEY (AccountNum) REFERENCES Account(AccountNo) ON DELETE CASCADE;

Table altered.

SQL> ALTER TABLE OptionOrder
2 DROP CONSTRAINT FK_OptionAccountNum;

Table altered.

SQL>

SQL> ALTER TABLE OptionOrder

- 2 ADD CONSTRAINT FK_OptionAccountNum
- 3 FOREIGN KEY (AccountNum) REFERENCES Account(AccountNo) ON DELETE CASCADE;

Table altered.

SQL> ALTER TABLE ContainsStock
2 DROP CONSTRAINT FK_AccountNO2;

Table altered.

SQL> ALTER TABLE ContainsStock

- 2 ADD CONSTRAINT FK_AccountNO2
- 3 FOREIGN KEY (AccountNO) REFERENCES Account(AccountNo) ON DELETE CASCADE;

Table altered.

SQL> ALTER TABLE ContainsOption
2 DROP CONSTRAINT FK_AccountNO3;

Table altered.

SQL> ALTER TABLE ContainsOption

- 2 ADD CONSTRAINT FK_AccountNO3
- 3 FOREIGN KEY (AccountNo) REFERENCES Account(AccountNo) ON DELETE CASCADE;

Table altered.

SQL> ALTER TABLE UserTable
2 DROP CONSTRAINT fk_Accno;

Table altered.

SQL> ALTER TABLE UserTable

- 2 ADD CONSTRAINT fk Accno
- 3 FOREIGN KEY (AccountNo) REFERENCES Account(AccountNo) ON DELETE CASCADE;

Table altered.

Now, with these added constraints, we can complete the deletion more simply.

SQL> DELETE FROM Account 2 WHERE BALANCE <10000;

422 rows deleted.

Proof of CASCADE working:

SQL> SELECT AccountNo FROM Account JOIN ContainsStock USING (AccountNo) WHERE Balance < 10000; no rows selected

Query 2:(Thomas)

updating several tuples at once and inserting the result of a query

// change the account status of all active, "Unprofessional" accounts that are of the type 'Margin' to Professional

SQL> UPDATE Account

- 2 SET AccountStatus = 'Prof'
- 3 WHERE AccountNo IN (
- 4 SELECT AccountNo FROM Account
- 5 WHERE AccountStatus = 'UnProf' AND AccountType = 'Margin' AND ActivityStatus IN (
- 6 SELECT ActivityStatus FROM Account
- 7 GROUP BY ActivityStatus HAVING ActivityStatus = 'Active'));

614 rows updated.

Proof of Update:

SQL> SELECT * FROM Account

- 2 WHERE AccountStatus = 'UnProf' AND AccountType = 'Margin' AND ActivityStatus IN (
- 3 SELECT ActivityStatus FROM Account
- 4 GROUP BY ActivityStatus HAVING ActivityStatus = 'Active');

no rows selected

Query 3:

updating several tuples at once and inserting the result of a query

// Updating the Order Action status to 'Sell' on any Options in the OptionOrder that have a current strike price that is greater than it's last purchase price (Jacob).

SQL> Update OptionOrder SET OrderAction = 'Sell'

- 2 where Ordernum IN (Select OrderNum from OptionOrder
- 3 where strike > price);

2 rows updated.

Proof of Update:

Select Ordernum, OrderAction, Strike, Price from OptionOrder Where OrderAction = 'Sell' AND strike < price;

no rows selected

Query 4:

Update any Filled stock order with an action to buy from AON to GTC (ETHAN)

```
UPDATE Stockorder

SET Term = 'GTC'

WHERE TERM IN(

Select Term From stockorder

WHERE Term = 'AON' AND Status = 'Filled' AND OrderAction = 'Buy'
)
;
```

Output

```
SQL> UPDATE Stockorder

2 SET Term = 'GTC'

3 WHERE TERM IN(

4 Select Term From stockorder

5 WHERE Term = 'AON' AND Status = 'Filled' AND OrderAction = 'Buy'

6 )

7 ;
```

2 rows updated.

PROOF OF UPDATE:

```
SQL> select * from stockorder
2 where Term = 'AON' AND OrderAction = 'Buy'
3 ;
no rows selected
```

Query 5:

Deleting the stock data in which the price of the stock is less than \$10.00 (Jacob).

SQL> Delete from ContainsStock

2 Where Ticker IN (Select Ticker From StockTable Where Price < 10);

9 rows deleted.

Proof of delete

SQL> select AccountNo from contains stock Join (Select ticker from stocktable where price < 10) using (ticker);

no rows selected

Query 6:

Remove all Users from the UserTable with standard account types who have not placed an option order(ETHAN)

DELETE FROM USERTABLE
WHERE AccountNo IN(
Select AccountNO from account
WHERE AccountType = 'Standard')
AND AccountNo NOT IN(Select AccountNum
from optionorder);

OUTPUT

SQL>

SQL> DELETE FROM USERTABLE

- 2 WHERE AccountNo IN(
- 3 Select AccountNO from account
- 4 WHERE AccountType = 'Standard')
- 5 AND AccountNo NOT IN(Select AccountNum
- 6 from optionorder);

2418 rows deleted.

Part 3: Creation of Useful Views

View 1: (Thomas)

SQL> CREATE VIEW Account_Stock_Unrealized_Gains AS

- 2 SELECT AccountNo, PurchasePrice * Quantity AS Stock_Initial_value, Price * Quantity AS Stock_curr_Value,
- 3 (((Price * Quantity) / (PurchasePrice * Quantity)) 1) * 100 AS Unrealized_Percent_Gain_Or_Loss,
- 4 (Price * Quantity) (PurchasePrice *Quantity) AS Unrealized_Dollar_Gain_Or_Loss
- 5 FROM StockTable JOIN (
- 6 SELECT Ticker, Quantity, AccountNo, PurchasePrice
- 7 FROM ContainsStock
- 8 WHERE Quantity > 0)
- 9 USING (Ticker);

View created.

SQL> SELECT * FROM Account_stock_unrealized_gains;

ACCOUNTNO STOCK_INITIAL_VALUE STOCK_CURR_VALUE UNREALIZED_PERCENT_GAIN_OR_LOSS UNREALIZED_DOLLAR_GAIN_OR_LOSS

2222	1245	27412.5	2101.80723	26167.5
3434	88560	166545	88.0589431	77985
1902	1111.5	2380.5	114.17004	1269
201	8017.75	2095.21	-73.867856	-5922.54
65	2101.5	842.4	-59.914347	-1259.1
123	5175	11318.85	118.721739	6143.85
8211	152425	9439.5	-93.807118	-142985.5
6001	20828.825	20828.825	0	0
4286	345.75	345.75	0	0
5555	7275	7239	49484536	-36
3000	10808	10888	.74019245	80

ACCOUNTNO STOCK_INITIAL_VALUE STOCK_CURR_VALUE UNREALIZED_PERCENT_GAIN_OR_LOSS UNREALIZED_DOLLAR_GAIN_OR_LOSS

3250	89683	89683	0	0
9988	497	497	0	0
5671	1759.2	126	-92.837653	-1633.2
6969	4241.5	4241.5	0	0
9211	1522.5	154	-89.885057	-1368.5
9612	141	141	0	0
2343	2121.75	2121.75	0	0
4386	2625	12302.5	368.666667	9677.5

¹⁹ rows selected.

SQL> SELECT * FROM Account_Stock_Unrealized_Gains WHERE UNREALIZED_PERCENT_GAIN_OR_LOSS > 0;

ACCOUNTNO STOCK_INITIAL_VALUE STOCK_CURR_VALUE UNREALIZED_PERCENT_GAIN_OR_LOSS UNREALIZED_DOLLAR_GAIN_OR_LOSS

2222	1245	27412.5	2101.80723	26167.5
3434	88560	166545	88.0589431	77985
1902	1111.5	2380.5	114.17004	1269
123	5175	11318.85	118.721739	6143.85
3000	10808	10888	.74019245	80
4386	2625	12302.5	368.666667	9677.5

⁶ rows selected.

Updating View:

SQL> INSERT INTO Account_Stock_Unrealized_gains VALUES (1123123, 10, 10, 10, 10); INSERT INTO Account_Stock_Unrealized_gains VALUES (1123123, 10, 10, 10, 10)

ERROR at line 1:

ORA-01779: cannot modify a column which maps to a non key-preserved table

This view is not updatable because it is partially contrived from the ContainsStock table which imposes a foreign key constraint on the account number.

View 2:(Ethan)

Display the total sales in dollars of both options and stocks, as well as the largest order bought

From both

SQL> Create VieW Totalsales AS

- 2 Select 'OptionOrders' AS Type, SUM(OOsize*Price) AS totalsales,MAX(OOsize*Price) AS LargestBuy
- 3 from optionorder
- 4 UNION
- 5 Select 'StockOrders' AS TYpe,SUM(SOsize*Price) AS totalsales,MAX(SOsize*Pri
- ce) AS LargestBuy
- 6 from stockorder;

View created.

SQL> select * from totalsales;

TYPE TOTALSALES LARGESTBUY
----OptionOrders 89005 45500
StockOrders 56200 43500

It doesn't make sense to insert a tuple into this view as it aggregates all of the "total sales" and largest purchases into a compact table.

View 3: (Thomas)

SQL> CREATE VIEW Premium_Clients AS

- 2 SELECT UserID, AccountNo, Fname, LName, City
- 3 FROM UserTable
- 4 WHERE AccountNo IN (
- 5 SELECT AccountNo
- 6 FROM Account
- 7 WHERE BALANCE > 220000 AND AccountStatus = 'Prof' AND ActivityStatus = 'Active');

View created.

SQL> SELECT * FROM Premium_Clients WHERE AccountNo BETWEEN 1000 AND 1100;

US	SERID	ACCOUNTNO FNAME	LNAME	CITY
				
478	824477	1054 frLtswngbm	MOfFYoY5a2	evsqEOFfwwyETY2geHNI
788	886852	1067 nCAgsiMmy3	onObVSpgv0	navV51kc0wHzH77aj1Yu
79	619892	1074 75oN4yItSs	mK5p70aqpN	abSt43X3wC4CIYt3B3sA
15	167777	1082 rQ3V8sQCXW	XrUTOniYq3	NkxSPrHBEzQoA2pwNedS
899	947129	1092 NIGY6S7v0U	ruPDL6wgDI	pYTDJoaO4SJnBDz2k37V
788	839393	1095 bANfhECXrZ	8pUOe9JlvJ	6fOzNCVriT5hUzP4UTx2

6 rows selected.

SQL> INSERT INTO Premium_Clients VALUES ('99999', 1870, 'Joe', 'Shmoe', 'Atlanta'); 1 row created.

SQL> SELECT * FROM Premium_Clients WHERE FName = 'Joe'; no rows selected

This has occurred because the premium clients view will display the user information of clients whose balance is above 220,000. Although the insertion of Joe Shmoe appeared to be successful, this tuple does not show up in the view because we have not specified any balance information for them.

SQL> SELECT * FROM UserTable WHERE FNAME = 'Joe';

USERID	ACCOUNTNO	FNAME	LNAME	ADDRESS	SIN	PHONENO CITY
99999	1870 Joe	Shmoe			Atlanta	

The insertion instead placed Joe Shmoe into the Usertable.

Work Outline:

Thomas:

- Queries 1 & 2 part 1 and part 2
- View 1 & 3 part 3

Ethan:

- Queries 3 & 4 for part1, 4 & 6 for part 2
- View 2 for part 3

Jacob:

- Queries 5 & 6 part 1
- Queries 3 & 5 part 2