



Amerivest Easy Form

For use with taxable accounts
enrolling in Amerivest Portfolios by
Amerivest Investment Management, LLC

PO Box 2789 ■ Omaha, NE 68103-2789

Fax: 866-468-6268

Questions? Call a New Accounts representative at 800-276-8746.

1. ACCOUNT INFORMATION

I/we acknowledge the client information on file for account number (Current TD Ameritrade Account Number): _____ remains valid or I have provided the updated client information that is applicable to the new TD Ameritrade Investing Account which will be used in conjunction with the advisory services of Amerivest Investment Management, LLC ("Amerivest"). I have also completed an Amerivest Suitability Form with my current information.

Please confirm your U.S. Social Security number or Tax ID number for each account owner:

Primary Account Owner: _____

Account Co-Owner: _____

2. FUNDING AND CASH SWEEP ACKNOWLEDGEMENT

Transfer cash from my existing Like-Titled account (select one): ☐ All Cash ☐ Partial Transfer of \$ _____ cash

☐ I am requesting to have the delivery brokerage account closed after the internal transfer occurs.

Please note: By accepting the Client Agreement, I agree to have all free credit balances held in my account automatically swept into a TD Ameritrade FDIC Insured Deposit Account. See the Brokerage Services section of the Client Agreement for a complete description of the Cash Sweep program. I acknowledge that Margin and Option features will not be added to the new TD Ameritrade Investing Account; all other features will remain the same.

3. AGREEMENT

I have received and read the Client Agreement, which is incorporated by this reference, that will govern my account. I agree to be bound by this Client Agreement, as amended from time to time, and request an account to be opened in the name(s) set forth on this document.

The Client Agreement applicable to this brokerage account agreement contains predispute arbitration clauses. By signing this Amerivest Easy Form, the parties agree to be bound by the terms of the Client Agreement, including the arbitration agreement located in Section 12 of the Client Agreement, pages 7 and 8. The agreement to arbitrate does not constitute a waiver of any right provided to me by the Advisers Act, including the right to choose the forum, whether arbitration or adjudication, in which to seek resolution of disputes.

All securities, dividends, and proceeds will be held at TD Ameritrade Clearing, Inc., unless otherwise instructed. I understand that TD Ameritrade may obtain a current consumer or credit report to determine my eligibility, or continuing eligibility, for credit or for other legitimate business purposes. Any decision by TD Ameritrade to extend credit may be based on information contained in a consumer or credit report, as well as the policies of TD Ameritrade and TD Ameritrade Clearing, Inc. I understand that TD Ameritrade may relate information regarding this account, including account delinquency and voluntary closures, to consumer or credit reporting agencies. Upon my request, TD Ameritrade shall inform me of each consumer or credit reporting agency from which they have obtained and/or reported my consumer or credit report. TD Ameritrade agrees to notify the consumer or credit reporting agencies if I dispute the completeness or accuracy of the information furnished by TD Ameritrade. By my signature on this document I authorize TD Ameritrade to obtain consumer or credit reports for the name(s) set forth below.

I understand that non-deposit investments purchased through TD Ameritrade are not insured by the Federal Deposit Insurance Corporation (FDIC), are not obligations of or guaranteed by any financial institution, and are subject to investment risk and loss that may exceed the principal invested.

IMPORTANT INFORMATION ABOUT PROCEDURES FOR OPENING A NEW ACCOUNT:

To help the government fight the funding of terrorism and money laundering activities, federal law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account.

What this means for you: When you open an account, we will ask for your name, address, date of birth, and other information that will allow us to identify you. We may also utilize a third-party information provider for verification purposes and/or ask for a copy of your driver's license or other identifying documents.

If this is a joint account, all Account Owners must sign.

I/we agree to become an Amerivest advisory client(s) and acknowledge that I/we have received the Amerivest Investment Management, LLC Disclosure Brochure (Form ADV Part 2).



Under penalties of perjury, I certify that: (1) the number shown on this form is my correct taxpayer identification number, and (2) I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and (3) I am a U.S. citizen or other U.S. person, and (4) The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

If I have been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding because I have failed to report all interest and dividends on my tax return, I must cross out (2) in this certification.

The IRS does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

Account Owner's Printed Name:

OLEG IVANOV

X Account Owner's Signature:

Oleg Ivanov

Date:

08-05-2014

Account Co-Owner's Printed Name:

X Account Co-Owner's Signature:

Date:

_____-_____-_____-

Original Signature required; electronic signatures and/or signature fonts are not authorized.

Investment Products: Not FDIC Insured * No Bank Guarantee * May Lose Value

Amerivest Portfolios is an investment advisory service of Amerivest Investment Management, LLC, a registered investment advisor. Brokerage services are provided by TD Ameritrade, Inc., member FINRA/SIPC/NFA & TD Ameritrade Clearing, Inc., member FINRA/SIPC. TD Ameritrade, Inc. and Amerivest Investment Management, LLC are both wholly owned subsidiaries of TD Ameritrade Holding Corporation. Amerivest provides non-discretionary and discretionary services for a fee. Risks applicable to any portfolio are those associated with its underlying securities. For more information, please see the Amerivest Disclosure Brochure (ADV Part 2) at http://www.tdameritrade.com/retail-en_us/resources/pdf/TDA4855.pdf.

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