



# Applied Cryptography

## CPEG 472/672

### Lecture 8A

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# Authenticated Encryption (AE)

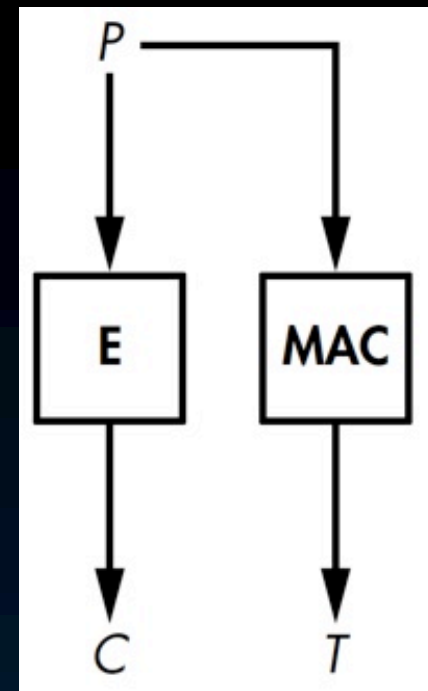
- ◉ We want confidentiality + integrity
  - ◉ Combine a cipher with a MAC
- ◉ Different ways to achieve this
  - ◉ Using MACs
  - ◉ Using Authenticated ciphers
- ◉ Real-life examples using AES (Thursday)
  - ◉ GCM (Galois counter mode)
  - ◉ OCB (Offset codebook)
  - ◉ SIV (Synthetic IV)

# AE using MACs

- ◉ Three different constructions
  - ◉ Encrypt and MAC (EaM)
  - ◉ MAC then Encrypt (MtE)
  - ◉ Encrypt then MAC (EtM)
- ◉ What is the difference?
  - ◉ The cipher and the MAC are combined in different order
  - ◉ Different compositions have different properties
- ◉ Different keys for cipher and MAC

# Encrypt and MAC (EaM)

- ◉ Ctxt and MAC computed separately
  - ◉ Parallelizable
- ◉ Sender computes  $C = E(K1, P)$  and  $T = MAC(K2, P)$ 
  - ◉  $C || T$  is sent to the recipient
- ◉ Recipient computes  $P = D(K1, C)$  and  $T' = MAC(K2, P)$ 
  - ◉ Check if  $T' == T$
  - ◉ Decryption of  $C$  happens **before** checking  $T$

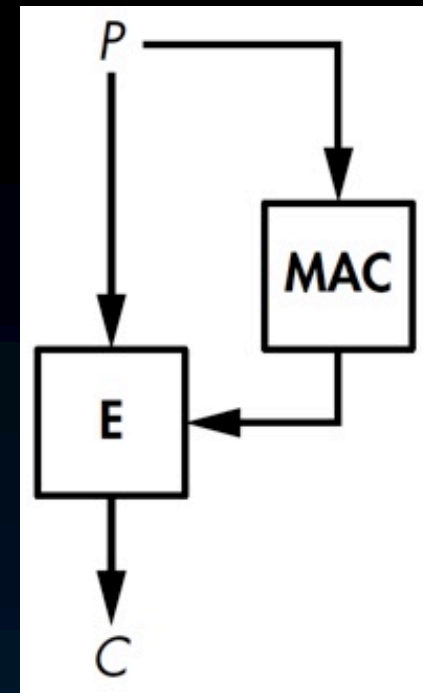


# Is EaM secure?

- ◉ In theory, EaM is the least secure variant
- ◉ Recall, the goal of MACs is unforgeability
  - ◉ This means a MAC **could leak info** about the input message
    - ◉ Confidentiality is not a goal of MACs
    - ◉ If the MAC is **PRF** the tag won't have leaks
- ◉ A secure MAC in EaM may leak ptxt bits
  - ◉ Makes recovering P from C easier
- ◉ EaM used in SSH:  $T = \text{MAC}(K, N || P)$ 
  - ◉ MAC is HMAC-SHA-256 (no leaks)

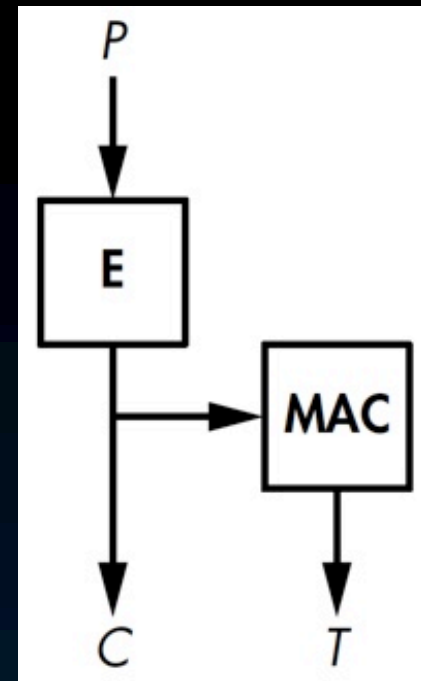
# MAC then Encrypt (MtE)

- ◉ First compute  $T = \text{MAC}(K_2, P)$
- ◉ Then encrypt  $C = E(K_1, P \parallel T)$
- ◉ Recipient decrypts  $C$ 
  - ◉  $P \parallel T = D(K_1, C)$
  - ◉ Decryption of  $C$  happens **before** checking  $T$
- ◉ Recipient computes  $T' = \text{MAC}(K_2, P)$  and compares  $T'$  with  $T$ 
  - ◉ **More secure than EaM**
- ◉ Used in TLS before v1.3



# Encrypt then MAC (EtM)

- ◉ Sender encrypts ptxt
  - ◉  $C = E(K_1, P)$
- ◉ Sender generates a tag for C
  - ◉  $T = \text{MAC}(K_2, C)$
  - ◉  $C || T$  is sent to the recipient
- ◉ Recipient computes  $T' = \text{MAC}(K_2, C)$  first
  - ◉ Check if  $T == T'$  and then decrypt  $P = D(K_1, C)$
  - ◉ No decryption if tag verification fails
    - ◉ Recipient cannot be decryption oracle for attacks
    - ◉ Stronger than EaM, MtE; used on IPSec



# Authenticated ciphers

- ◉ Alternative to cipher + MAC construction
- ◉ The cipher returns ctxt + tag
- ◉ Notation
  - ◉ **Authenticated Encryption**:  $(C,T) = AE(K,P)$
  - ◉ **Authenticated Decryption**:  $(P,err) = AD(K,C,T)$ 
    - ◉ No plaintext if there is error in tag verification
    - ◉ Prevents chosen-ctxt queries
- ◉ If ptxt is returned, it must be encrypted by someone who knows the AE key  $K$



# AEAD

Authenticated Encryption with Associated Data

- ◉ What is associated data?
  - ◉ Data we want to authenticate by not encrypt
  - ◉ E.g., packet header must be unencrypted
- ◉ Notation
  - ◉ **Encryption**:  $(C, A, T) = \text{AEAD}(K, P, A)$
  - ◉ Associated data  $A$  is part of the output
  - ◉ Tag  $T$  includes  $A$ , ctxt  $C$  does not include  $A$
  - ◉ **Decryption**:  $(P, A, \text{err}) = \text{ADAD}(K, C, A, T)$ 
    - ◉ Error if  $C$  or  $A$  is corrupted
  - ◉ You may leave  $P$  or  $A$  empty in AEAD

# Nonces in AE

- ◉ Nonces: prevent attackers from detecting if the same ptxt is encrypted twice
  - ◉ We have seen nonces and IVs before
  - ◉ Same approach in authenticated ciphers
- ◉ Nonce must be unique for the same key
- ◉ Notation
  - ◉  $(C, A, T) = \text{AEAD}(K, P, A, N)$
  - ◉  $(P, A, \text{err}) = \text{ADAD}(K, C, A, T, N)$

# Evaluation criteria for AE

## ◉ Security

- ◉ Protect confidentiality, authenticity, integrity
- ◉ AEAD as secure as a secure cipher and as strong as a secure MAC
- ◉ Misuse resistance: impact of nonce reuse

## ◉ Performance

- ◉ Number of operations, parallelization
- ◉ Single-layer, double-layer structure
- ◉ Streamability: can we discard already processed blocks?
  - ◉ Less memory (e.g., useful for routers)

# Hands-on exercises

- ◉ Encrypt and MAC
- ◉ MAC then Encrypt
- ◉ Encrypt then MAC
- ◉ AEAD example

# Reading for next lecture

- ◉ Aumasson: Chapter 8 until end of chapter
  - ◉ We will have a short quiz on the material