

1. Amortized Analysis

- Your task is to prove that a dynamic table's insertion and deletion operations take constant time in amortized analysis, considering that the table may expand or contract after the operation.
- While the class slide covers some cases, some non-critical ones are left out. Therefore, your job is to complete all the cases and submit a handwritten proof of this problem.

a.

Table Insertion.:

In table insertion, the i th operation causes an expansion only when $i-1$ is an exact power of 2. The amortized cost of an operation is in fact $O(1)$. We can show in aggregate analysis. The cost of the i th operation is

$$c_i = \begin{cases} 1 & \text{if } i-1 \text{ is an exact power of 2} \\ 1 & \text{otherwise.} \end{cases}$$

The total cost of n table insert operations is therefore

$$\sum_{i=1}^n c_i \leq n + \sum_{j=0}^{\lfloor \log_2 n \rfloor} 2^j \\ < n + 2n \\ = 3n = O(n)$$

because at most n operations cost 1 and the costs of the remaining operations form a geometric series. Since the total cost of n table insert operations is bounded by $3n$, the amortized cost of a single operation is at most 3.

So the time complexity is $O(1)$.

Table Deletion:

By using potential method, the amortized cost for deletion is

$$\hat{c}_i = c_i + \phi_i - \phi_{i-1} \\ = 1 + 2N_i - S_i - (2N_{i-1} - S_{i-1}) \\ = 1 + 2(N_{i-1} - 1) - S_i - 2N_{i-1} + S_i \quad \text{since } S_i = S_{i-1}, N_i = N_{i-1} - 1 \\ = -1$$

where S_i is the table size of the i th operation, and N_i is the number of elements in the i th operation.

Thus, the amortized cost of delete is $-1 = O(1)$.

b.

The Potential function is defined as.

$$\phi(T) = \begin{cases} \alpha \cdot T.\text{num} - T.\text{size} & \text{if } \alpha \geq \frac{1}{2} \\ T.\text{size}/2 - T.\text{sum} & \text{if } \alpha < \frac{1}{2} \end{cases}$$

* i th operation is insertion.

Case 1: $\alpha_{i-1} \geq \frac{1}{2} \Rightarrow \alpha_i \geq \frac{1}{2}$

$$\hat{c}_i = 1 + 2\text{num}_i - \text{size}_i - 2(\text{num}_{i-1}) + \text{size}_{i-1} \\ = 1 + 2\text{num}_i - \text{size}_i - 2\text{num}_{i-1} + 2 + \text{size}_i \\ = 3$$

$$\text{case 2: } \alpha_{i-1} < \frac{1}{2} \rightarrow \alpha_i < \frac{1}{2}$$

$$\begin{aligned}\hat{c}_i &= 1 + (\text{size}_i/2 - \text{num}_i) - (\text{size}_{i-1}/2 - \text{num}_{i-1}) \\ &= 1 + \text{size}_i/2 - \text{num}_i - \text{size}_{i-1}/2 - \text{num}_{i-1} \\ &= 0.\end{aligned}$$

$$\text{case 3: } \alpha_{i-1} < \frac{1}{2} \rightarrow \alpha_i \geq \frac{1}{2}$$

$$\begin{aligned}\hat{c}_i &= 1 + \text{num}_i - \text{size}_i - (\text{size}_{i-1}/2 - \text{num}_{i-1}) \\ &= 1 + \text{num}_{i-1} + 2 - \text{size}_{i-1} - \text{size}_{i-1}/2 + \text{num}_{i-1} \\ &= 3 + 3\text{num}_{i-1} - 3\text{size}_{i-1}/2 \\ &< 3 + 3\text{size}_{i-1}/2 - 3\text{size}_{i-1}/2 \\ &= 3\end{aligned}$$

* with operation D delete

$$\text{case 4: } \alpha_{i-1} \geq \frac{1}{2} \rightarrow \alpha_i \geq \frac{1}{2}$$

$$\begin{aligned}\hat{c}_i &= 1 + \text{num}_i - \text{size}_i - (\text{num}_{i-1} - \text{size}_{i-1}) \\ &= 1 + \text{num}_i - \text{size}_i - \text{num}_i - 2 + \text{size}_i \\ &= -1\end{aligned}$$

$$\text{case 5: } \alpha_{i-1} \geq \frac{1}{2} \rightarrow \alpha_i < \frac{1}{2}$$

$$\begin{aligned}\hat{c}_i &= 1 + (\text{size}_i/2 - \text{num}_i) - (\text{num}_{i-1} - \text{size}_{i-1}) \\ &= 1 + \text{size}_i/2 - \text{num}_{i-1} + 1 - 2\text{num}_{i-1} + \text{size}_i \\ &= 2 + 3\text{size}_i/2 - 3\text{num}_{i-1} \\ &= 2 + 3\text{size}_i/2 - 3\text{num}_i - 3 = -1\end{aligned}$$

if $\alpha_{i-1} = \frac{1}{2}$ trigger a contraction

$$\text{size}_i = \text{size}_{i-1}/2, \text{num}_i = \text{size}_{i-1}/2 \text{ and } c_i = \text{num}_i + 1$$

$$\begin{aligned}\hat{c}_i &= 1 + \text{num}_i + (\text{size}_i/2 - \text{num}_i) - (\text{size}_{i-1}/2 - \text{num}_{i-1}) \\ &= 1 + \text{num}_i + \text{size}_i/2 - \text{num}_i - \text{size}_i + \text{size}_i/2 \\ &= 1\end{aligned}$$

$$\text{case 6: } \alpha_{i-1} \leq \frac{1}{2} \rightarrow \frac{1}{4} \leq \alpha_i < \frac{1}{2}$$

$$\begin{aligned}\hat{c}_i &= 1 + \text{size}_i/2 - \text{num}_i - \text{size}_{i-1}/2 + \text{num}_{i-1} \\ &= 1 + \text{num}_{i-1} + \text{size}_i/2 - \text{num}_i + 1 - \text{size}_i/2 \\ &= 2.\end{aligned}$$

2. Fibonacci Heap

- You are required to show that the degree of any node in a Fibonacci Heap is bounded above by $O(\log n)$.

If x is any node in a Fibonacci heap, $x.\text{degree} = m$, and x has children y_1, y_2, \dots, y_m , then $y_i.\text{degree} \geq 0$ and $y_i.\text{degree} \geq i-k$. Thus, if s_m denotes the fewest nodes possible in a node of degree m , then we have $s_0=1, s_1=2, \dots, s_{k-1}=k$ and in general, $s_m=k+\sum_{i=0}^{m-k} s_i$. Thus, the difference between s_m and s_{m-1} is s_{m-k} .

Let $\{f_m\}$ be the sequence such that $f_m=m+1$ for $0 \leq m < k$ and $f_m=f_{m-1}+f_{m-k}$ for $m \geq k$.

If $F(x)$ is the generating function for f_m then we have $F(x)=\frac{1-x^k}{(1-x)(1-x-x^k)}$. Let α be a root of $x^k=x^{k-1}+1$. We'll show by induction that $f_{m+k} \geq \alpha^m$. For the base case.

$$f_k = k+1 \geq 1 = \alpha^0$$

$$f_{k+1} = k+3 \geq \alpha^1$$

$$f_{k+k} = k + \frac{(k+1)(k+2)}{2} = k+k+1 + \frac{k(k+1)}{2} \geq 2k+1 + \alpha^{k-1} \geq \alpha^k.$$

In general, we have

$$f_{m+k} = f_{m+k-1} + f_m \geq \alpha^{m-1} + \alpha^{m-k} = \alpha^{m-k}(\alpha^{k-1}+1) = \alpha^m$$

Next we show that $f_{m+k} = k + \sum_{i=0}^m f_i$. The base case is clear, since $f_k = f_0+k = k+1$. For the induction step, we have

$$f_{m+k} = f_{m-1-k} + f_m = k \sum_{i=0}^{m-1} f_i + f_m = k + \sum_{i=0}^m f_i.$$

Observe that $s_i \geq f_{i+k}$ for $0 \leq i < k$. Again, by induction, for $m \geq k$ we have

$$s_m = k + \sum_{i=0}^{m-k} s_i \geq k + \sum_{i=0}^{m-k} f_{i+k} \geq k + \sum_{i=0}^m f_i = f_{m+k}.$$

So in general, $s_m \geq f_{m+k}$. Putting it all together, we have

$$\begin{aligned} \text{size}(x) &\geq s_m \\ &\geq k + \sum_{i=k}^m s_{i-k} \\ &\geq k + \sum_{i=k}^m f_i \\ &\geq f_{m+k} \\ &\geq \alpha^m \end{aligned}$$

Taking logs on both sides, we have

$$\log_\alpha n \geq m$$

The degree of node is bounded above by $O(\lg n)$