

**SPRING 2023**

# **INFORMATION TECHNOLOGY RESEARCH**

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Lecture slides are based on the supplemental materials of the textbook: <https://algs4.cs.princeton.edu>



<http://algs4.cs.princeton.edu>

## 2.1 ELEMENTARY SORTS

---

- *rules of the game*
- *selection sort*
- *insertion sort*
- *shellsort*
- *shuffling*



<http://algs4.cs.princeton.edu>

## 2.1 ELEMENTARY SORTS

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# Sorting problem

---

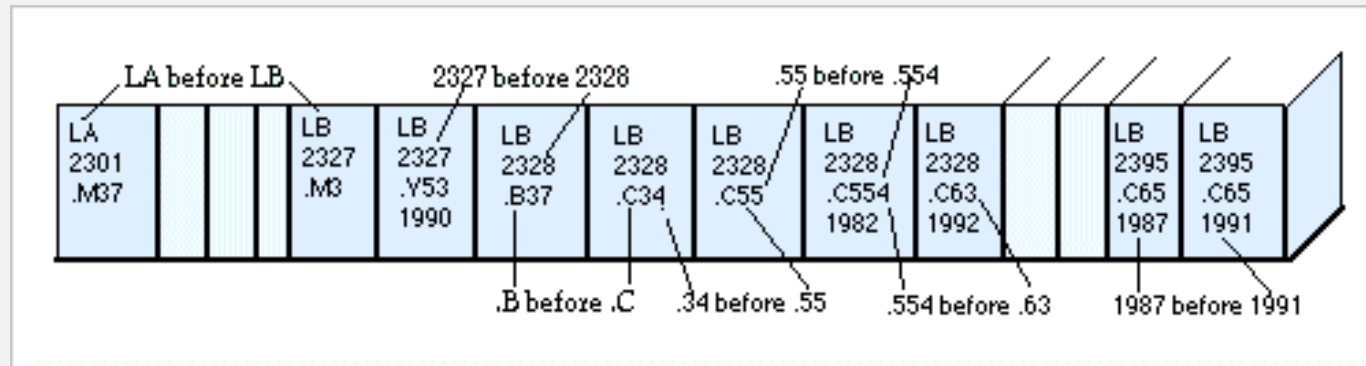
**Ex.** Student records in a university.

	Chen	3	A	991-878-4944	308 Blair
	Rohde	2	A	232-343-5555	343 Forbes
	Gazsi	4	B	766-093-9873	101 Brown
item →	<b>Furia</b>	<b>1</b>	<b>A</b>	<b>766-093-9873</b>	<b>101 Brown</b>
	Kanaga	3	B	898-122-9643	22 Brown
	Andrews	3	A	664-480-0023	097 Little
key →	<b>Battle</b>	<b>4</b>	<b>C</b>	<b>874-088-1212</b>	<b>121 Whitman</b>

**Sort.** Rearrange array of  $N$  items into ascending order.

Andrews	3	A	664-480-0023	097 Little
Battle	4	C	874-088-1212	121 Whitman
Chen	3	A	991-878-4944	308 Blair
Furia	1	A	766-093-9873	101 Brown
Gazsi	4	B	766-093-9873	101 Brown
Kanaga	3	B	898-122-9643	22 Brown
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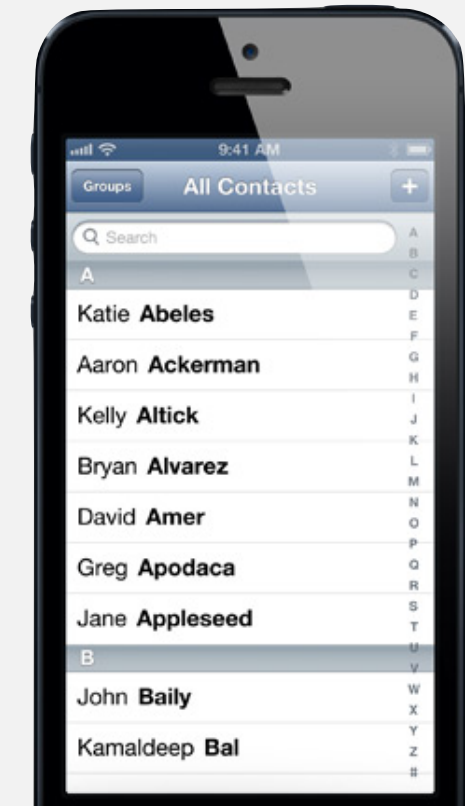
# Sorting applications



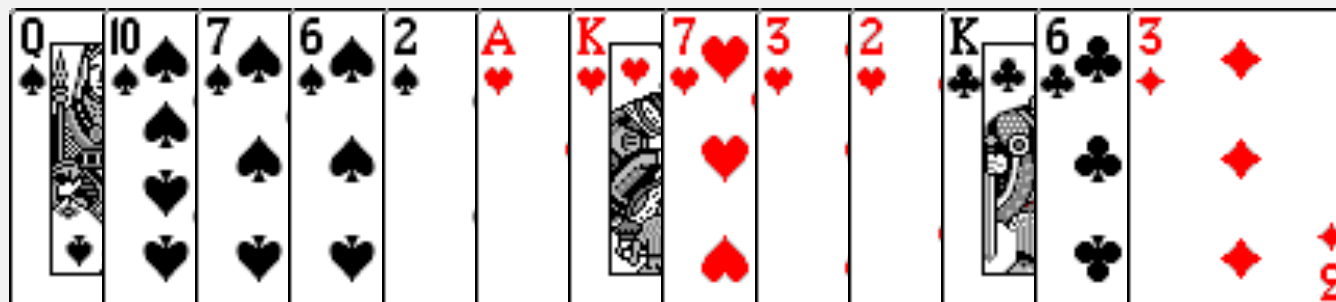
Library of Congress numbers



FedEx packages



contacts



playing cards

# Sample sort client 1

---

**Goal.** Sort **any** type of data.

**Ex 1.** Sort random real numbers in ascending order.

 seems artificial (stay tuned for an application)

```
public class Experiment
{
    public static void main(String[] args)
    {
        int N = Integer.parseInt(args[0]);
        Double[] a = new Double[N];
        for (int i = 0; i < N; i++)
            a[i] = StdRandom.uniform();
        Insertion.sort(a);
        for (int i = 0; i < N; i++)
            StdOut.println(a[i]);
    }
}
```

```
% java Experiment 10
0.08614716385210452
0.09054270895414829
0.10708746304898642
0.21166190071646818
0.363292849257276
0.460954145685913
0.5340026311350087
0.7216129793703496
0.9003500354411443
0.9293994908845686
```



# Sample sort client 2

---

**Goal.** Sort **any** type of data.

**Ex 2.** Sort strings in alphabetical order.

```
public class StringSorter
{
    public static void main(String[] args)
    {
        String[] a = StdIn.readAllStrings();
        Insertion.sort(a);
        for (int i = 0; i < a.length; i++)
            StdOut.println(a[i]);
    }
}
```

```
% more words3.txt
```

```
bed bug dad yet zoo ... all bad yes
```

```
% java StringSorter < words3.txt
```

```
all bad bed bug dad ... yes yet zoo
```

```
[suppressing newlines]
```

# Sample sort client 3

---

**Goal.** Sort **any** type of data.

**Ex 3.** Sort the files in a given directory by filename.

```
import java.io.File;

public class FileSorter
{
    public static void main(String[] args)
    {
        File directory = new File(args[0]);
        File[] files = directory.listFiles();
        Insertion.sort(files);
        for (int i = 0; i < files.length; i++)
            StdOut.println(files[i].getName());
    }
}
```

```
% java FileSorter .
Insertion.class
Insertion.java
InsertionX.class
InsertionX.java
Selection.class
Selection.java
Shell.class
Shell.java
ShellX.class
ShellX.java
```



# Total order

**Goal.** Sort **any** type of data (for which sorting is well defined).

A **total order** is a binary relation  $\leq$  that satisfies:

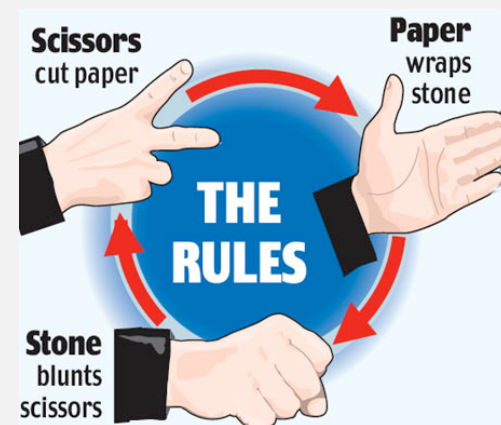
- Antisymmetry: if both  $v \leq w$  and  $w \leq v$ , then  $v = w$ .
- Transitivity: if both  $v \leq w$  and  $w \leq x$ , then  $v \leq x$ .
- Totality: either  $v \leq w$  or  $w \leq v$  or both.

**Ex.**

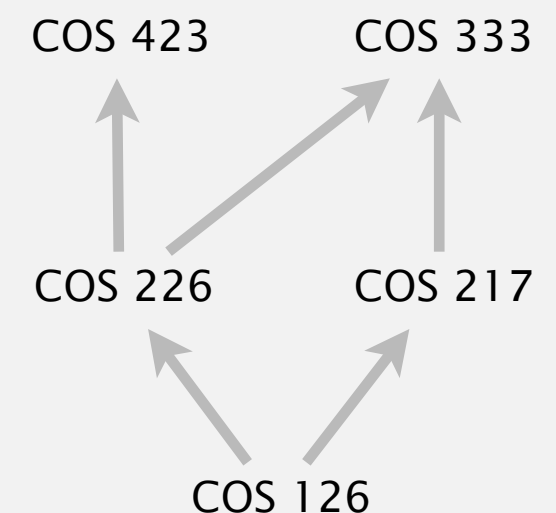
- Standard order for natural and real numbers.
- Chronological order for dates or times.
- Alphabetical order for strings.

**No transitivity.** Rock-paper-scissors.

**No totality.** PU course prerequisites.



**violates transitivity**



**violates totality**

# Callbacks

---

**Goal.** Sort **any** type of data (for which sorting is well defined).

**Q.** How can `sort()` know how to compare data of type `Double`, `String`, and `java.io.File` without any information about the type of an item's key?

**Callback = reference to executable code.**

- Client passes array of objects to `sort()` function.
- The `sort()` function calls object's `compareTo()` method as needed.

# Callbacks: roadmap

## client

```
public class StringSorter
{
    public static void main(String[] args)
    {
        String[] a = StdIn.readAllStrings();
        Insertion.sort(a);
        for (int i = 0; i < a.length; i++)
            StdOut.println(a[i]);
    }
}
```

## data-type implementation

```
public class String
implements Comparable<String>
{
    ...
    public int compareTo(String b)
    {
        ...
        return -1;
        ...
        return +1;
        ...
        return 0;
    }
}
```

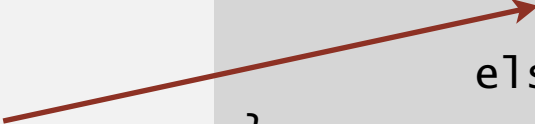
## Comparable interface (built in to Java)

```
public interface Comparable<Item>
{
    public int compareTo(Item that);
}
```

## sort implementation

```
public static void sort(Comparable[] a)
{
    int N = a.length;
    for (int i = 0; i < N; i++)
        for (int j = i; j > 0; j--)
            if (a[j].compareTo(a[j-1]) < 0)
                exch(a, j, j-1);
            else break;
}
```

key point: no dependence  
on String data type

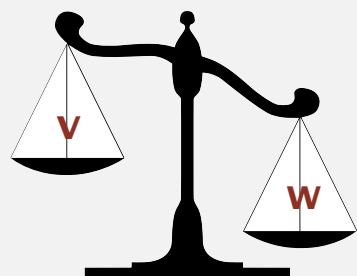


# Comparable API

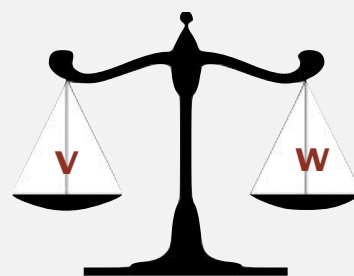
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Implement `compareTo()` so that `v.compareTo(w)`

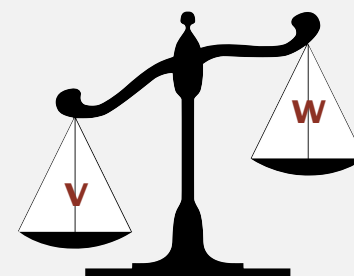
- Defines a total order.
- Returns a negative integer, zero, or positive integer if  $v$  is less than, equal to, or greater than  $w$ , respectively.
- Throws an exception if incompatible types (or either is `null`).



less than (return -1)



equal to (return 0)



greater than (return +1)

**Built-in comparable types.** Integer, Double, String, Date, File, ...

**User-defined comparable types.** Implement the Comparable interface.

# Implementing the Comparable interface

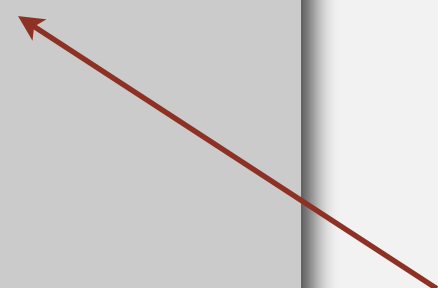
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**Date data type.** Simplified version of java.util.Date.

```
public class Date implements Comparable<Date>
{
    private final int month, day, year;

    public Date(int m, int d, int y)
    {
        month = m;
        day    = d;
        year   = y;
    }
}
```

```
    public int compareTo(Date that)
    {
        if (this.year < that.year ) return -1;
        if (this.year > that.year ) return +1;
        if (this.month < that.month) return -1;
        if (this.month > that.month) return +1;
        if (this.day < that.day ) return -1;
        if (this.day > that.day ) return +1;
        return 0;
    }
}
```



only compare dates  
to other dates



<http://algs4.cs.princeton.edu>

## 2.1 ELEMENTARY SORTS

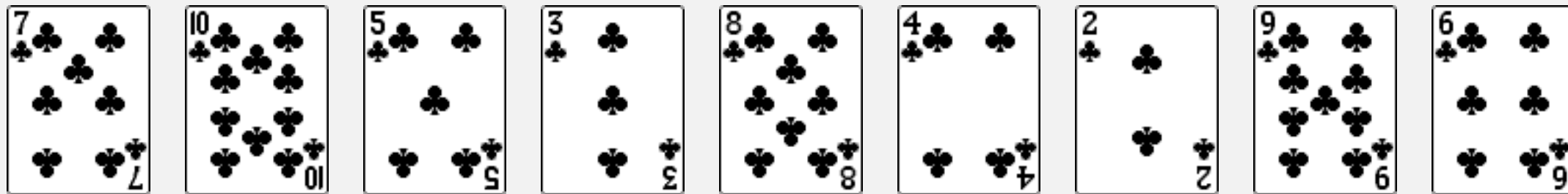
---

- *rules of the game*
- *selection sort*
- *insertion sort*
- *shellsort*
- *shuffling*

# Selection sort demo

---

- In iteration  $i$ , find index  $\min$  of smallest remaining entry.
- Swap  $a[i]$  and  $a[\min]$ .



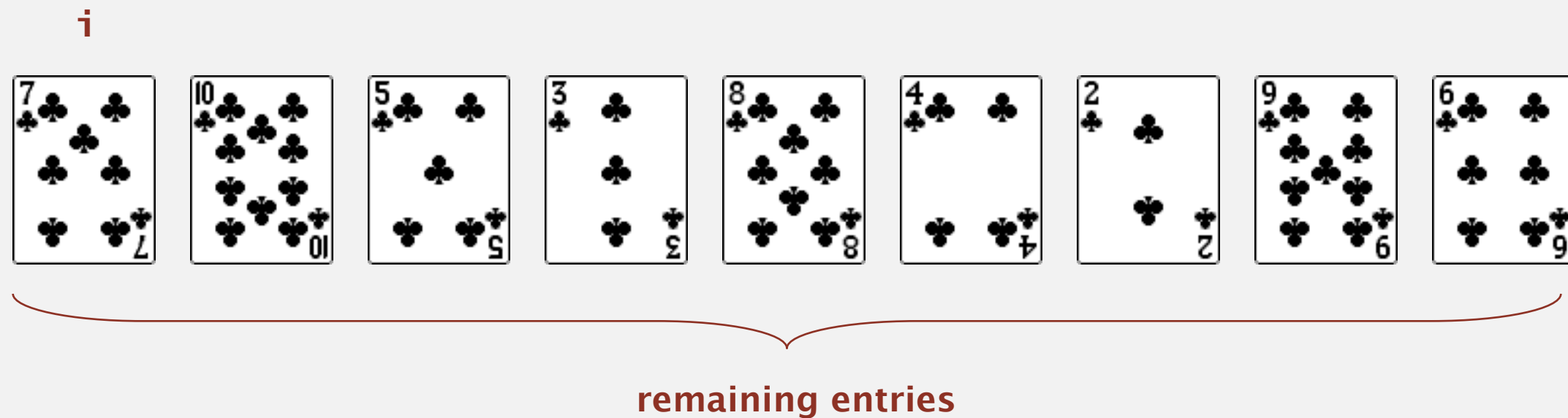
initial



# Selection sort demo

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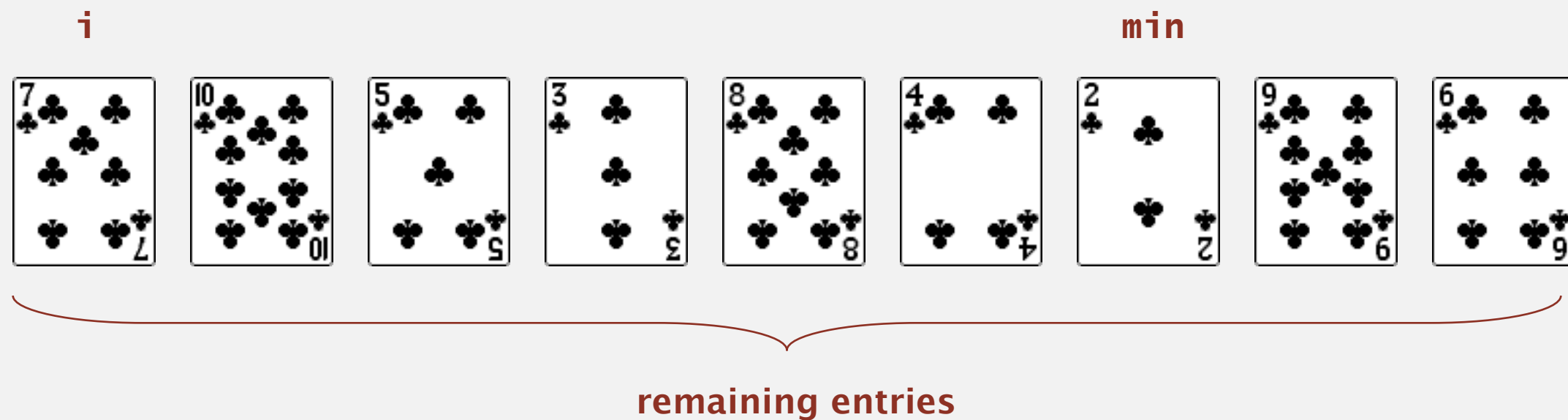
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# Selection sort demo

---

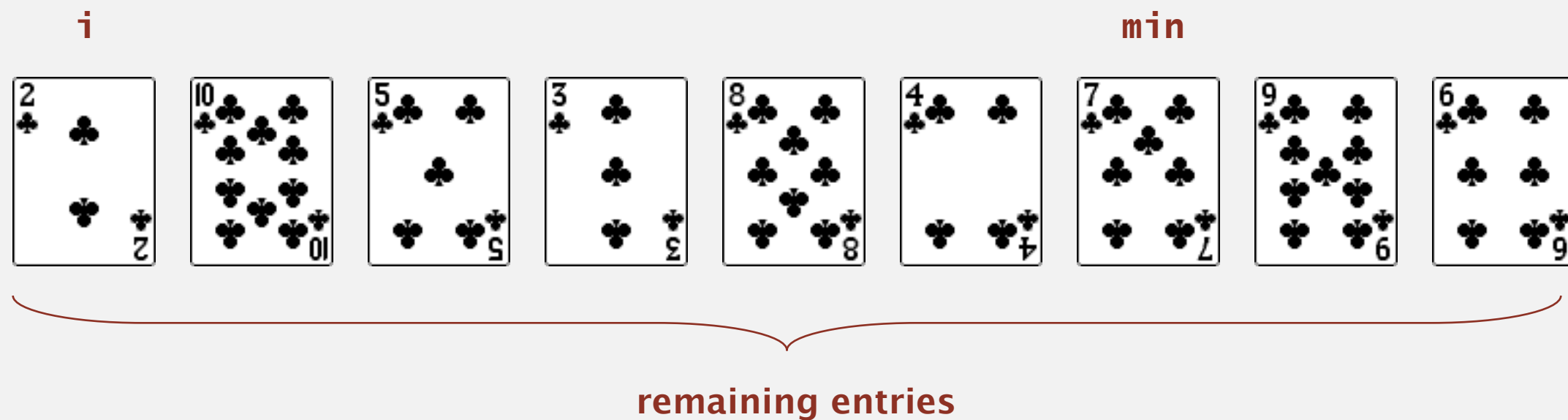
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# Selection sort demo

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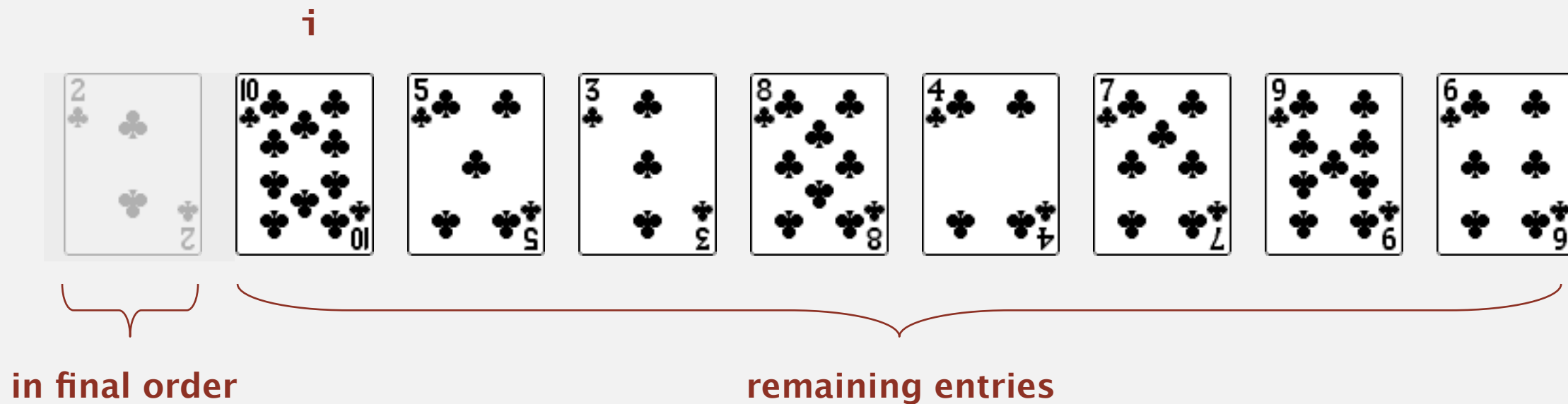
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# Selection sort demo

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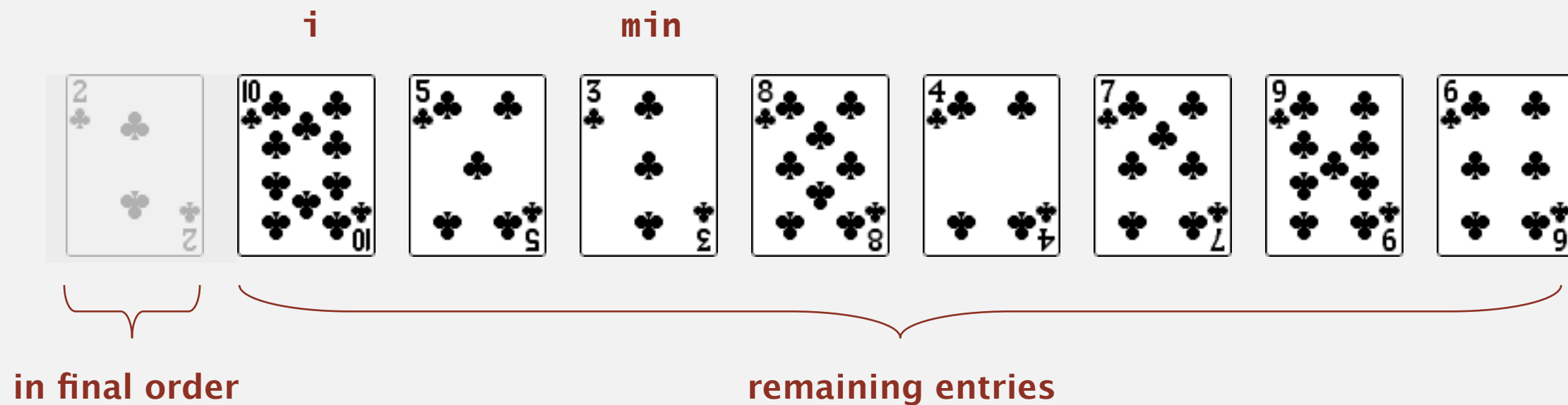
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# Selection sort demo

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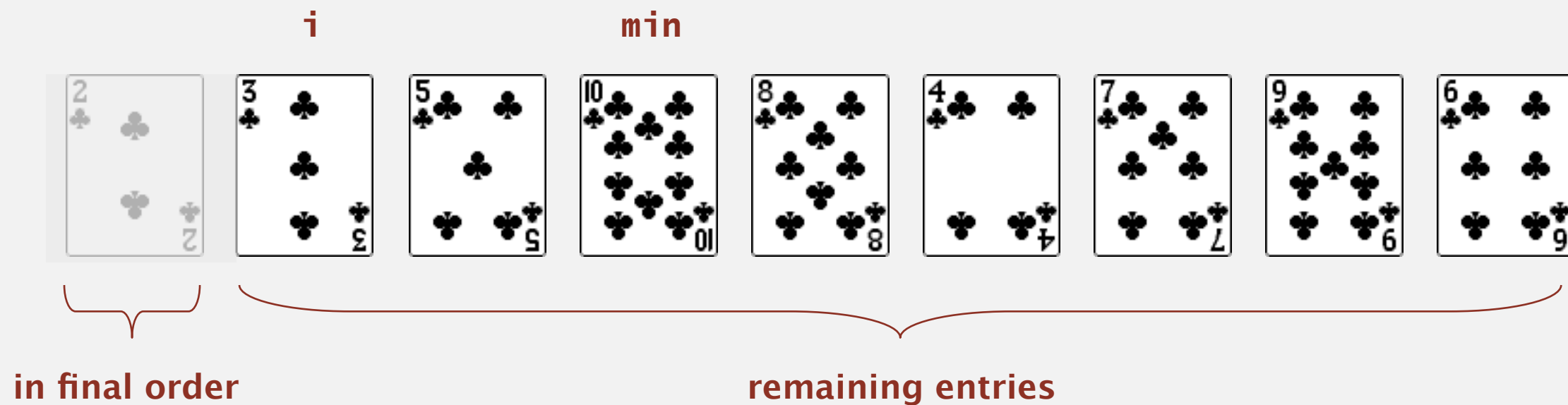
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# Selection sort demo

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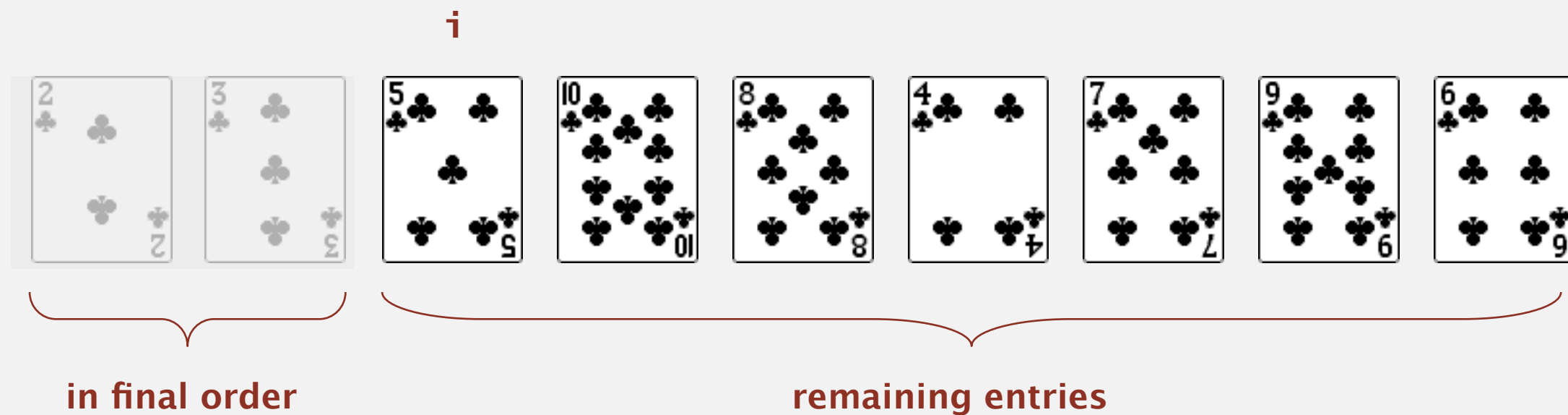
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# Selection sort demo

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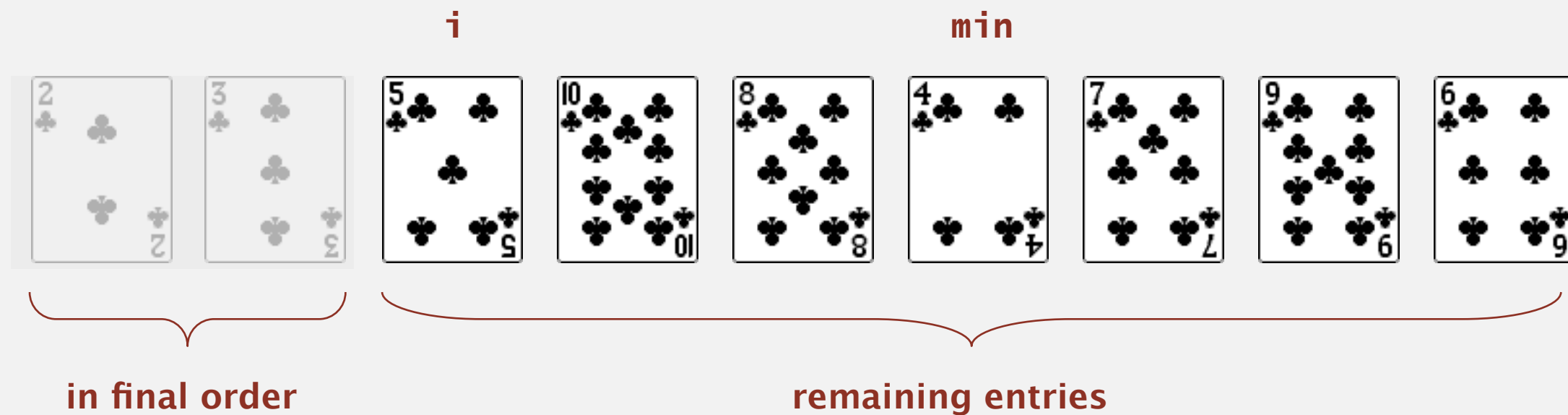




# Selection sort demo

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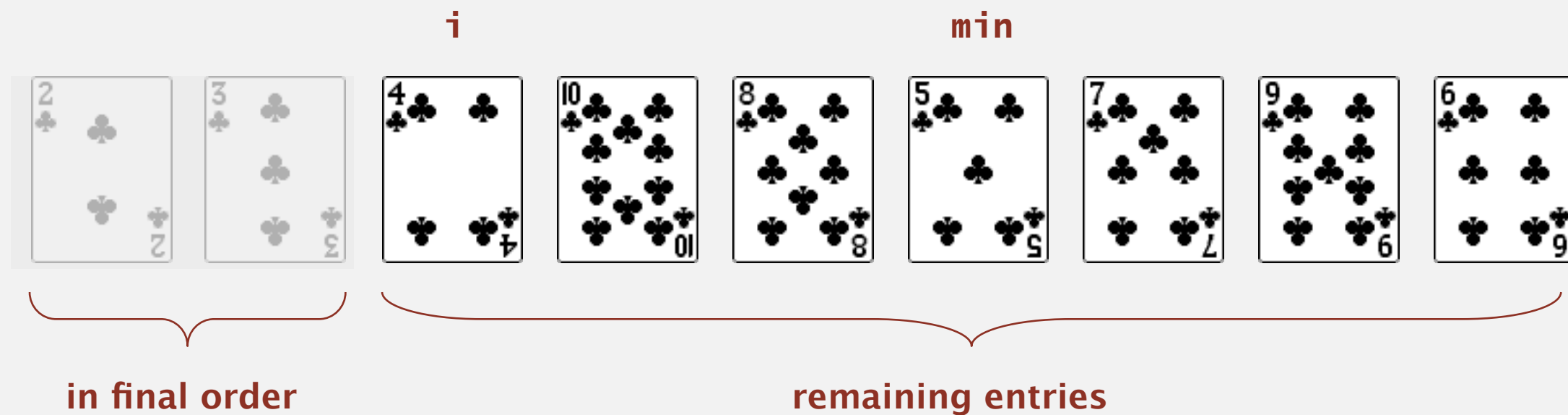
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# Selection sort demo

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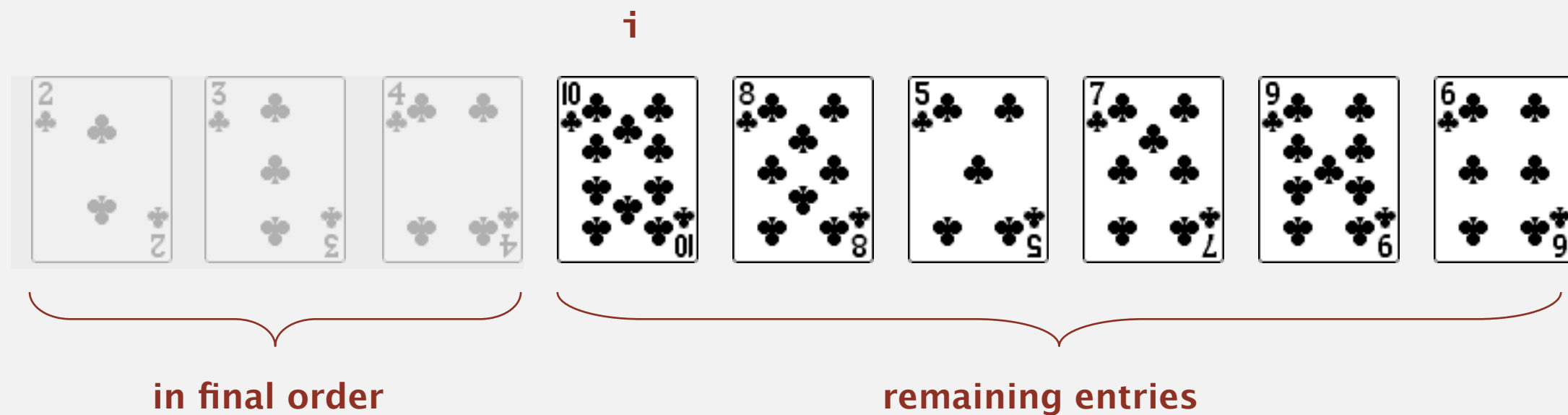
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# Selection sort demo

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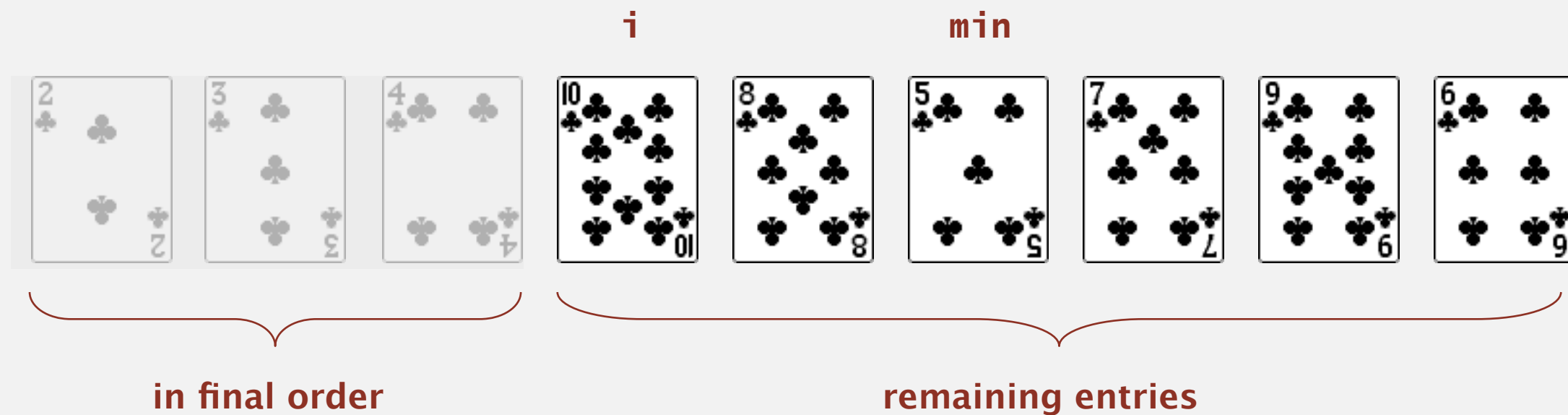
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# Selection sort demo

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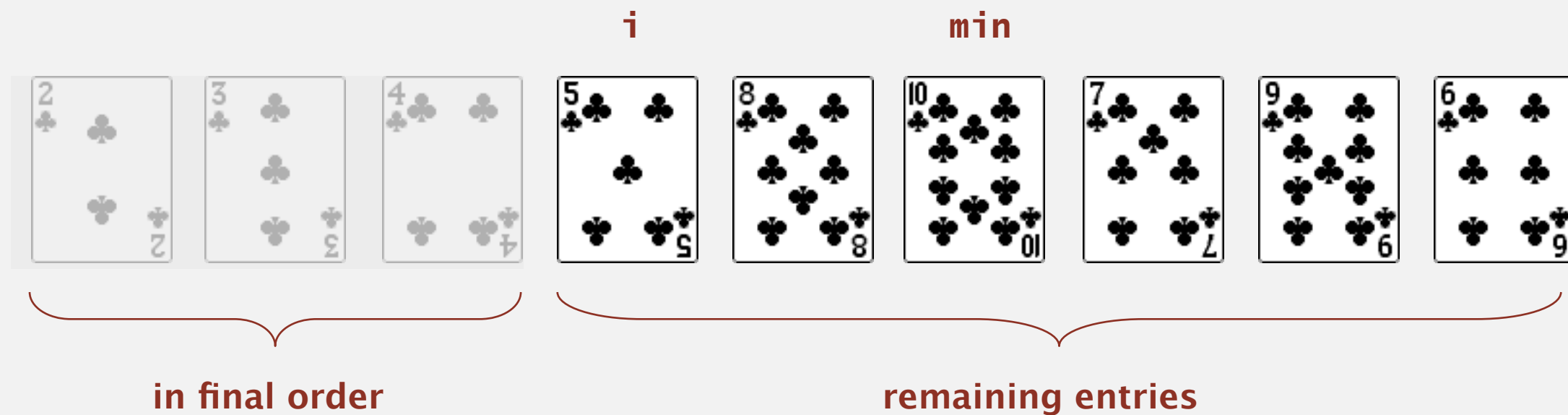
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# Selection sort demo

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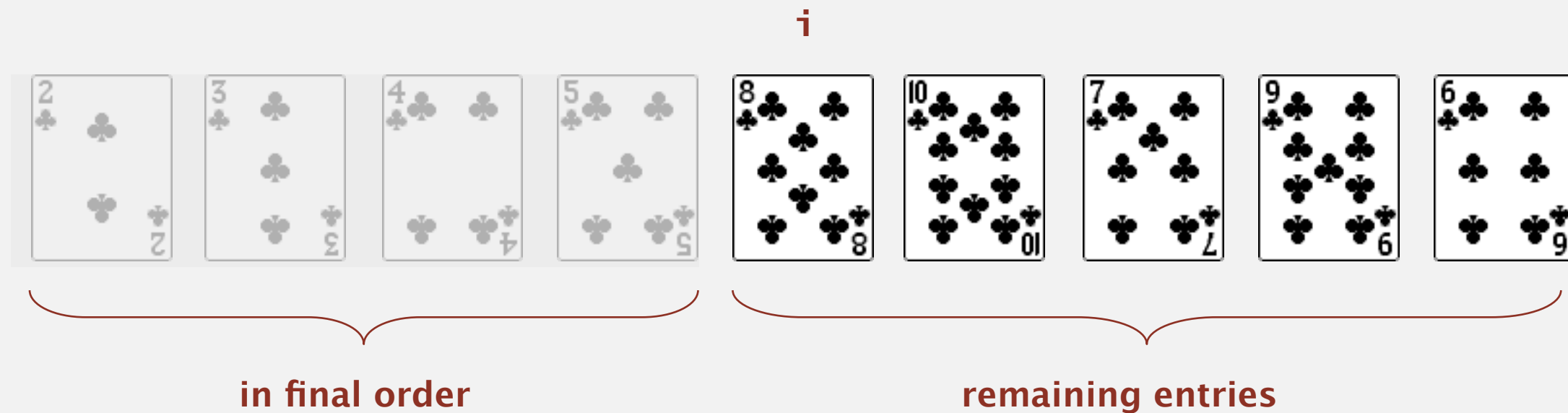
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# Selection sort demo

---

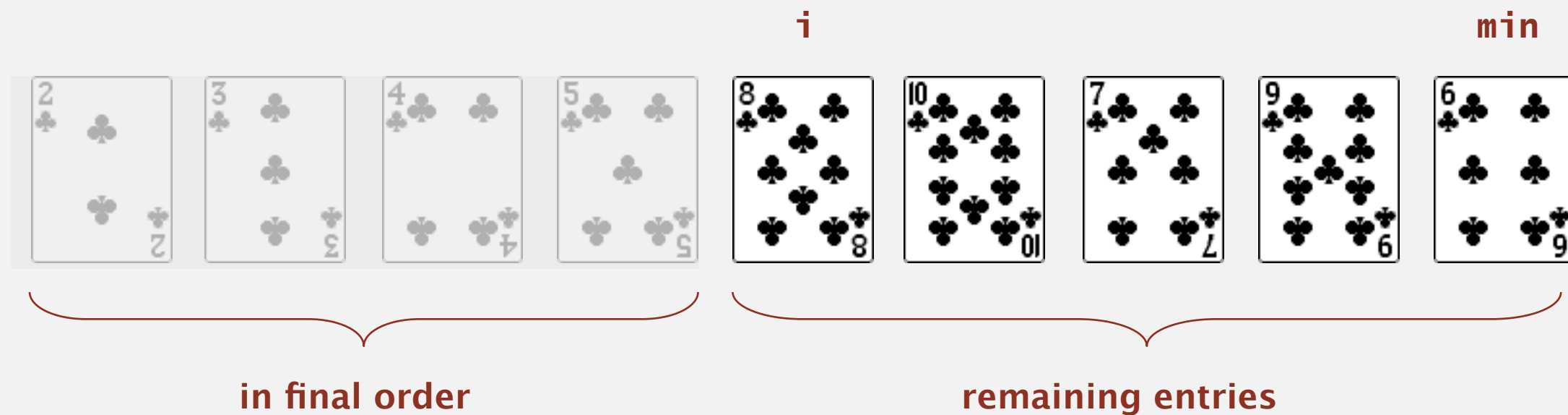
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# Selection sort demo

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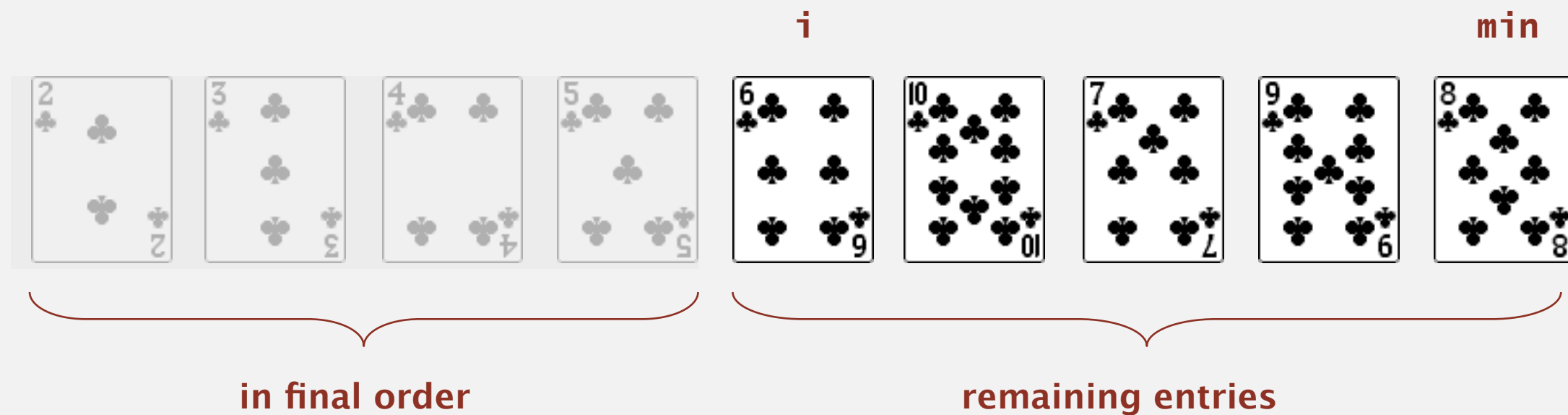




# Selection sort demo

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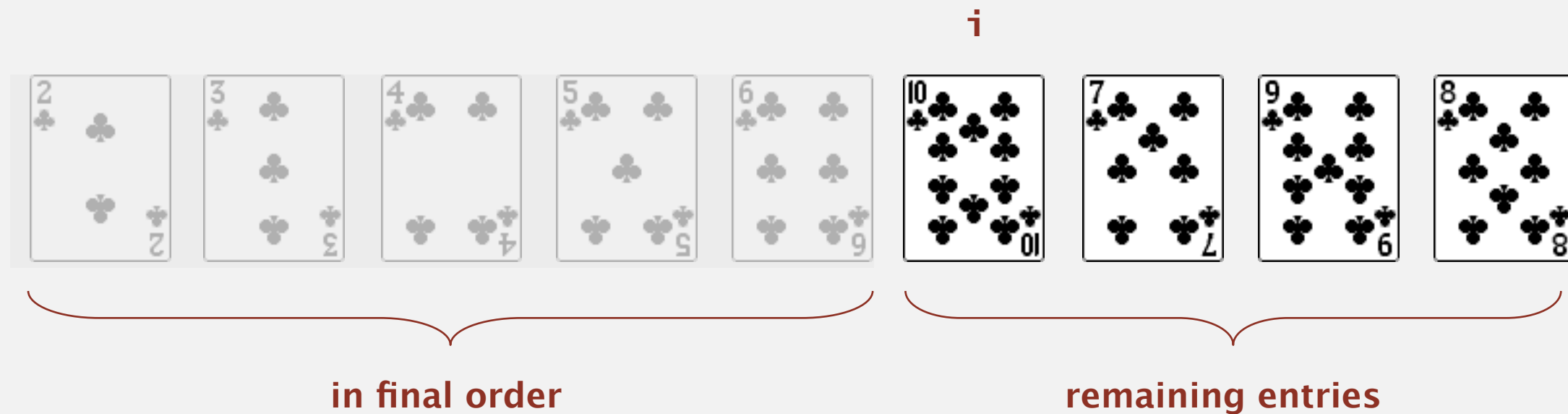
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# Selection sort demo

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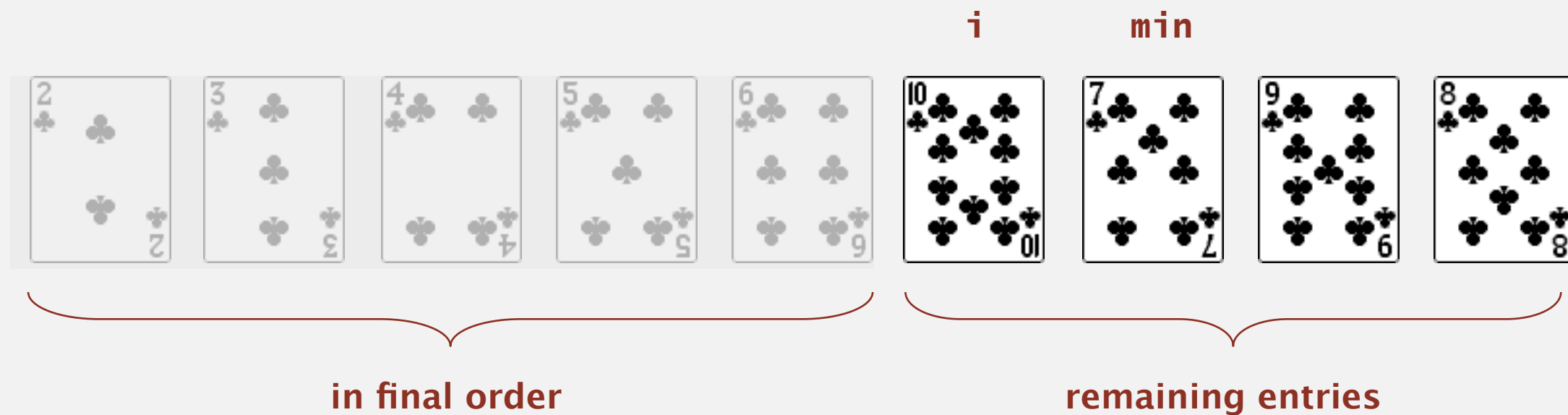
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# Selection sort demo

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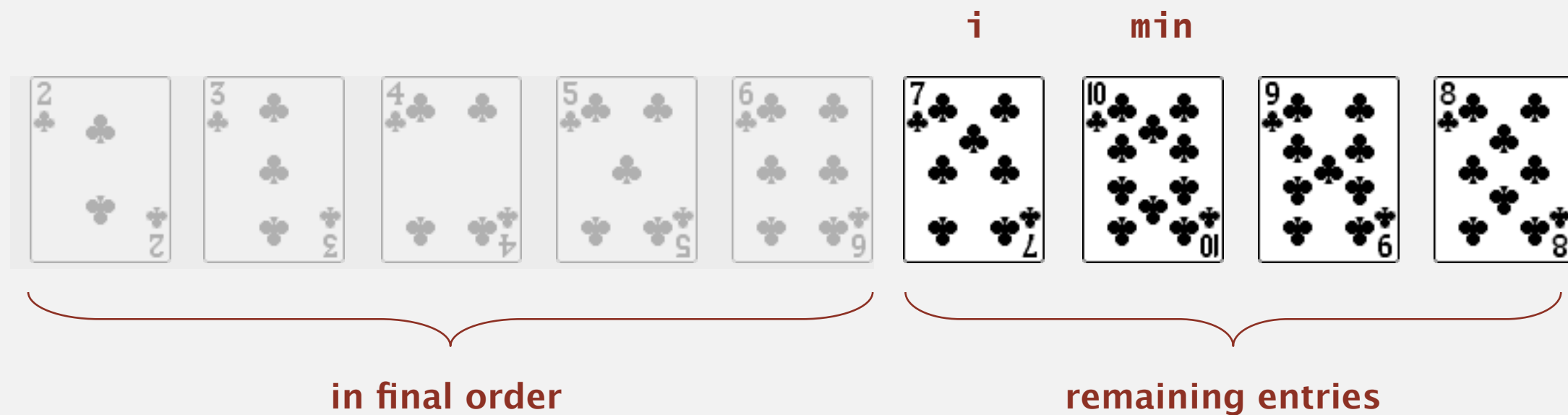
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# Selection sort demo

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# Selection sort demo

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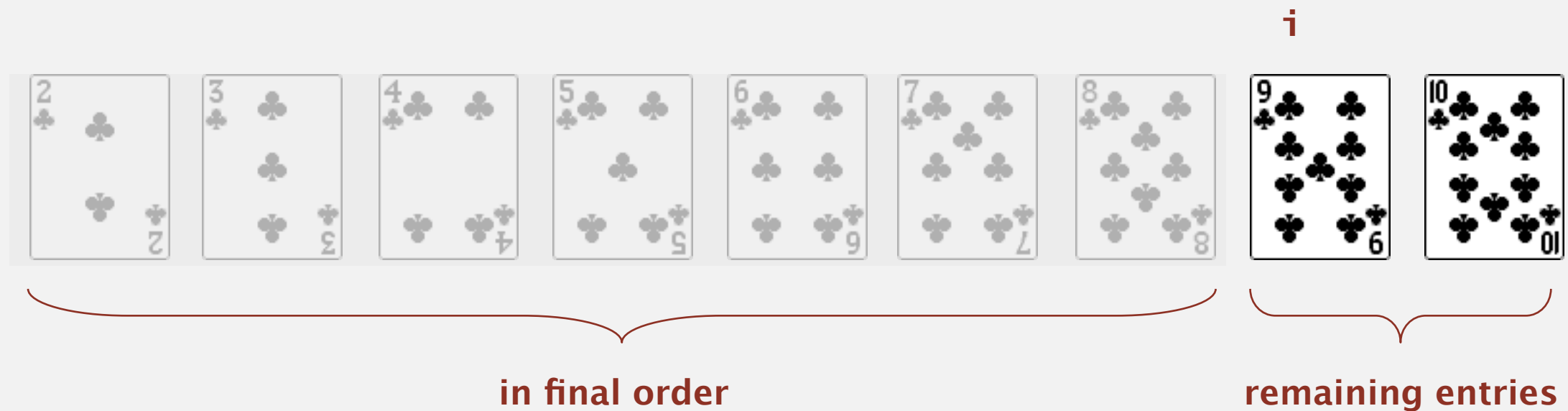




# Selection sort demo

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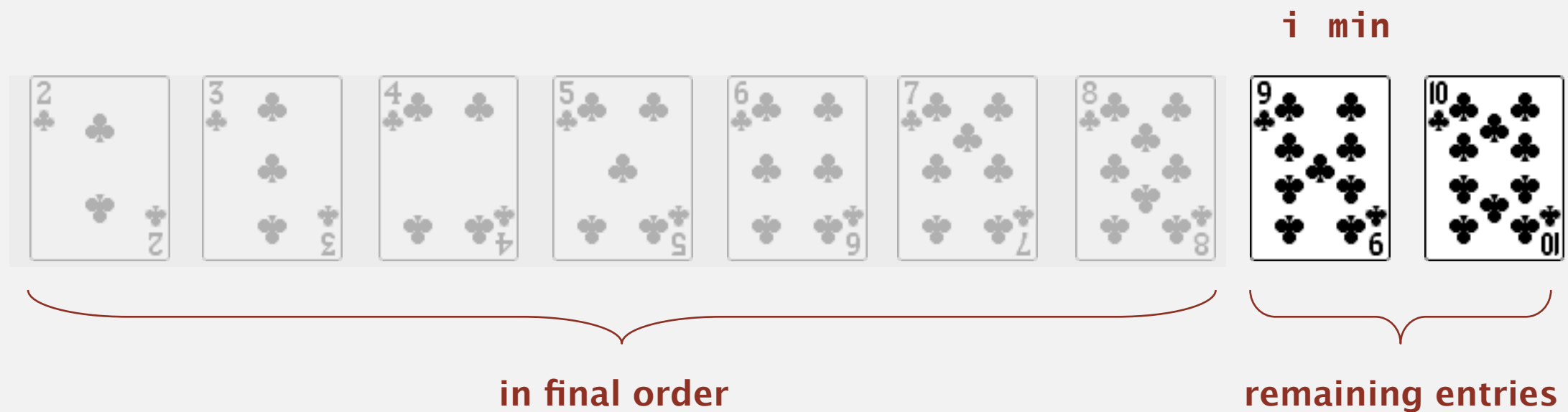
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# Selection sort demo

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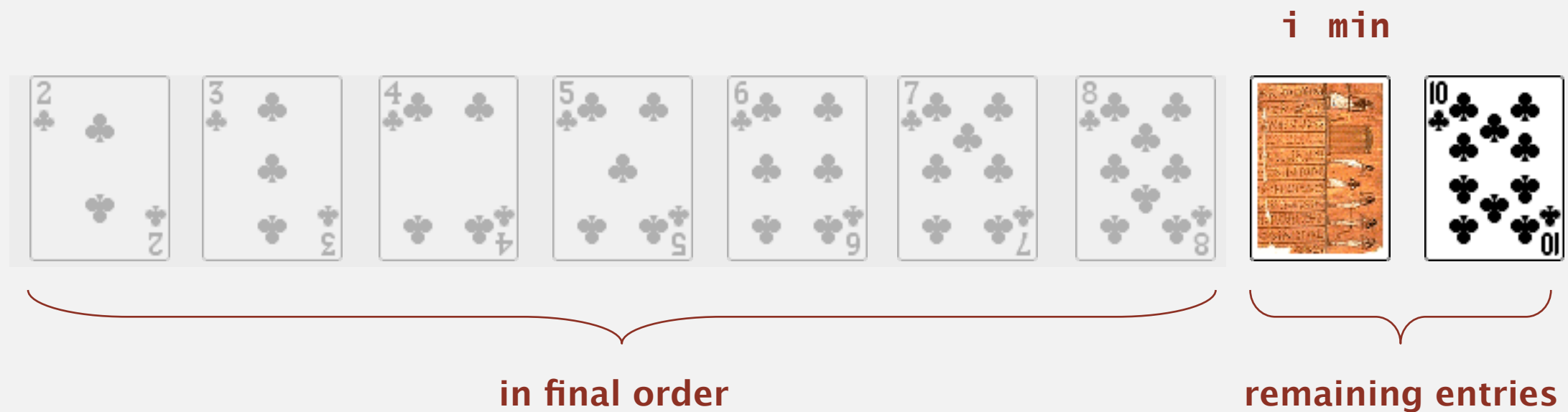
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# Selection sort demo

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# Selection sort demo

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# Selection sort demo

---

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# Selection sort demo

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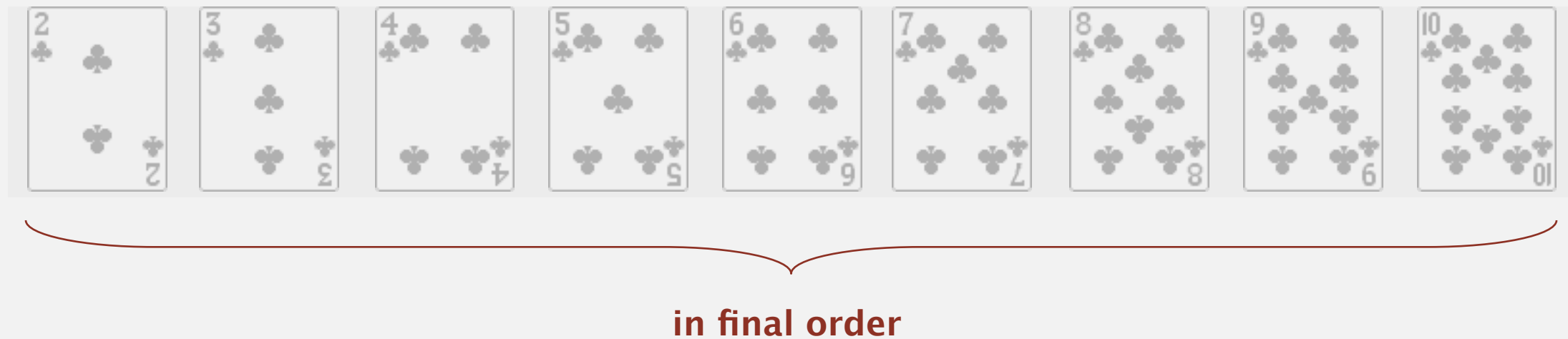
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# Selection sort demo

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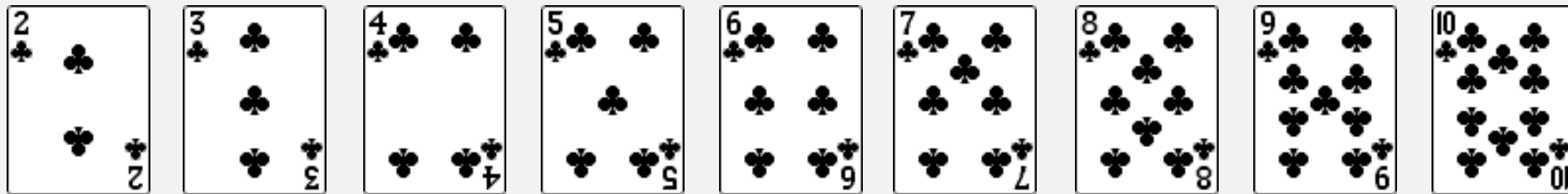
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# Selection sort demo

---

- In iteration  $i$ , find index  $\min$  of smallest remaining entry.
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sorted



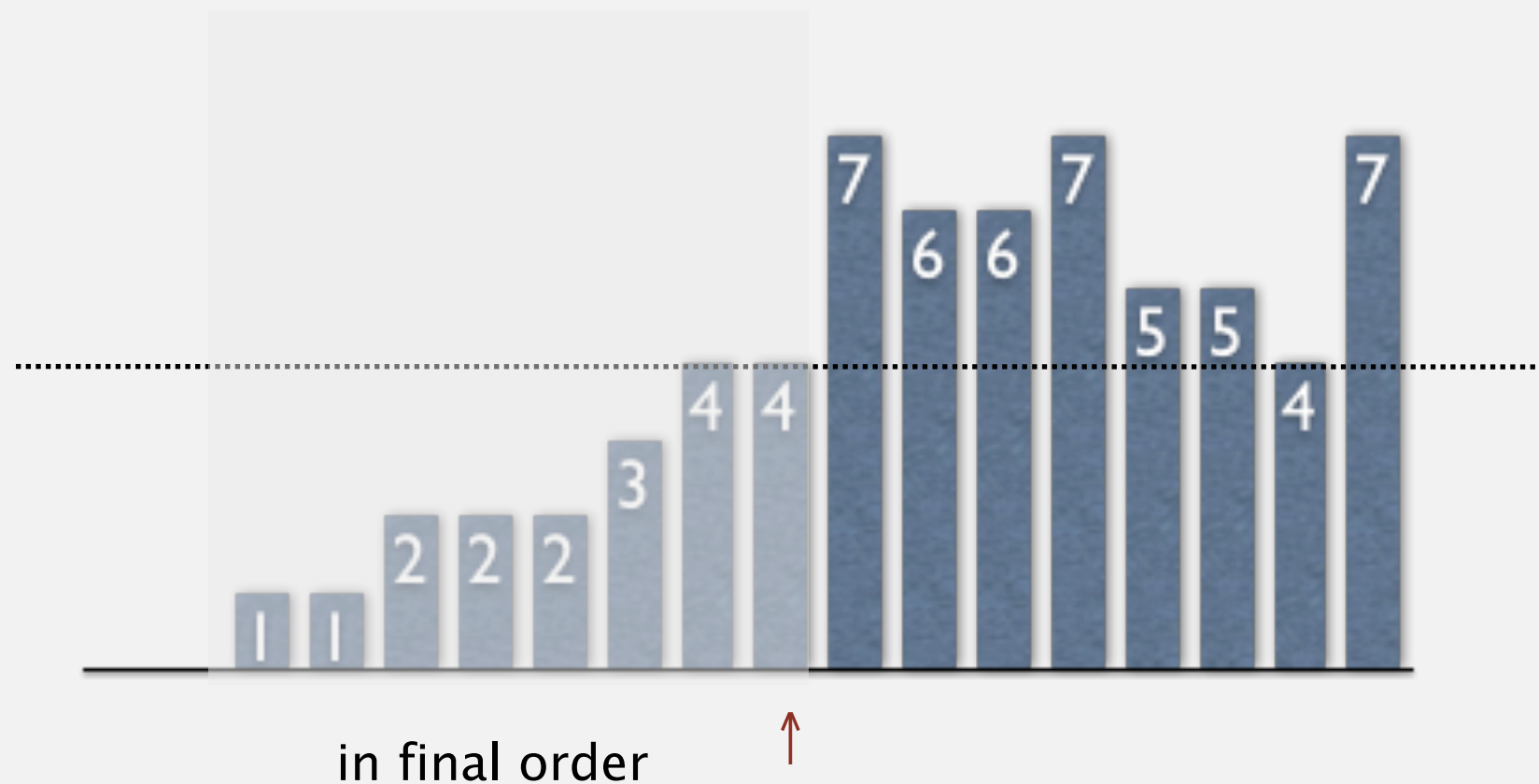
# Selection sort

---

**Algorithm.** ↑ scans from left to right.

**Invariants.**

- Entries the left of ↑ (including ↑) fixed and in ascending order.
- No entry to right of ↑ is smaller than any entry to the left of ↑.



## Two useful sorting abstractions

---

**Helper functions.** Refer to data through compares and exchanges.

**Less.** Is item  $v$  less than  $w$ ?

```
private static boolean less(Comparable v, Comparable w)
{   return v.compareTo(w) < 0;   }
```

**Exchange.** Swap item in array  $a[]$  at index  $i$  with the one at index  $j$ .

```
private static void exch(Comparable[] a, int i, int j)
{
    Comparable swap = a[i];
    a[i] = a[j];
    a[j] = swap;
}
```

# Selection sort: Java implementation

---

```
public class Selection
{
    public static void sort(Comparable[] a)
    {
```

```
        int N = a.length;
        for (int i = 0; i < N; i++)
        {
            int min = i;
```

What to write here? 3 mins.

Can use the following methods

- less(Comparable v, Comparable w)
- exch(Comparable[] a, int i, int j)

```
        }
```

```
    }
```

```
    private static boolean less(Comparable v, Comparable w)
    { /* as before */ }
```

```
    private static void exch(Comparable[] a, int i, int j)
    { /* as before */ }
```

```
}
```

# Selection sort inner loop

To maintain algorithm invariants:

- Move the pointer to the right.

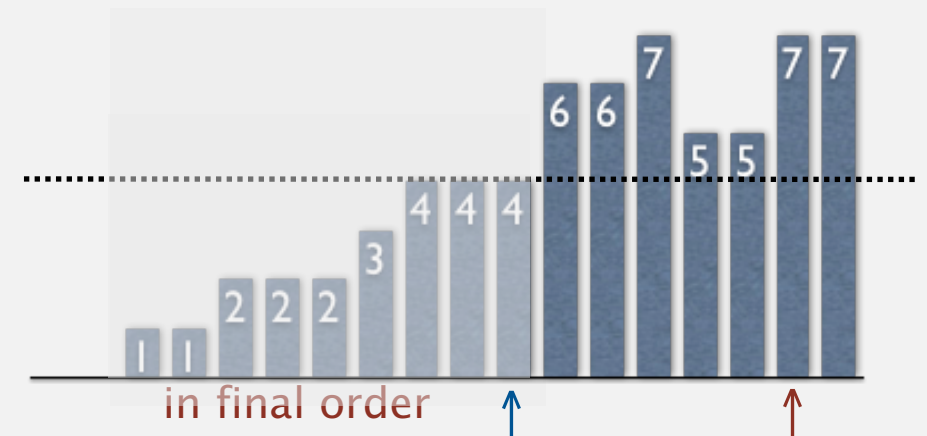
```
i++;
```

- Identify index of minimum entry on right.

```
int min = i;  
for (int j = i+1; j < N; j++)  
    if (less(a[j], a[min]))  
        min = j;
```

- Exchange into position.

```
exch(a, i, min);
```



# Selection sort: Java implementation

---

```
public class Selection
{
    public static void sort(Comparable[] a)
    {
        int N = a.length;
        for (int i = 0; i < N; i++)
        {
            int min = i;
            for (int j = i+1; j < N; j++)
                if (less(a[j], a[min]))
                    min = j;
            exch(a, i, min);
        }
    }

    private static boolean less(Comparable v, Comparable w)
    { /* as before */ }

    private static void exch(Comparable[] a, int i, int j)
    { /* as before */ }
}
```

# Selection sort: animations

---

20 random items



- ▲ algorithm position
- in final order
- not in final order

<http://www.sorting-algorithms.com/selection-sort>

# Selection sort: animations

---

20 partially-sorted items



- ▲ algorithm position
- in final order
- not in final order

<http://www.sorting-algorithms.com/selection-sort>



<http://algs4.cs.princeton.edu>

## QUESTION (INPUT=N)

---

- How many compares?
- How many exchanges?



# Selection sort: mathematical analysis

**Proposition.** Selection sort uses  $(N-1) + (N-2) + \dots + 1 + 0 \sim N^2/2$  compares and  $N$  exchanges.

		a[]										
i	min	0	1	2	3	4	5	6	7	8	9	10
		S	O	R	T	E	X	A	M	P	L	E
0	6	S	O	R	T	E	X	A	M	P	L	E
1	4	A	O	R	T	E	X	S	M	P	L	E
2	10	A	E	R	T	O	X	S	M	P	L	E
3	9	A	E	E	T	O	X	S	M	P	L	R
4	7	A	E	E	L	O	X	S	M	P	T	R
5	7	A	E	E	L	M	X	S	O	P	T	R
6	8	A	E	E	L	M	O	S	X	P	T	R
7	10	A	E	E	L	M	O	P	X	S	T	R
8	8	A	E	E	L	M	O	P	R	S	T	X
9	9	A	E	E	L	M	O	P	R	S	T	X
10	10	A	E	E	L	M	O	P	R	S	T	X
		A	E	E	L	M	O	P	R	S	T	X

entries in black are examined to find the minimum

entries in red are a[min]

entries in gray are in final position

Trace of selection sort (array contents just after each exchange)

**Running time insensitive to input.** Quadratic time, even if input is sorted.  
**Data movement is minimal.** Linear number of exchanges.



<http://algs4.cs.princeton.edu>

## 2.1 ELEMENTARY SORTS

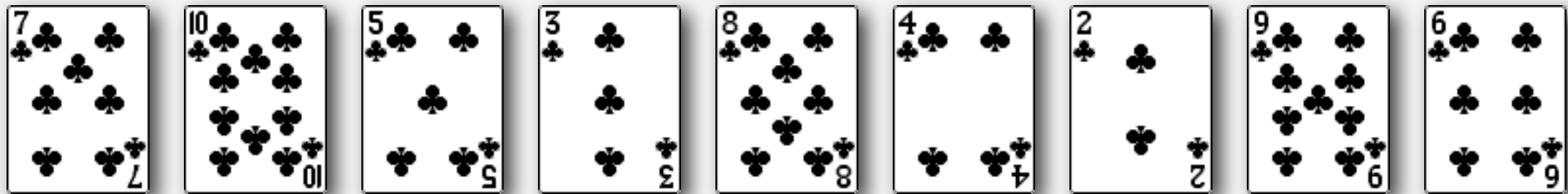
---

- *rules of the game*
- *selection sort*
- *insertion sort*
- *shellsort*
- *shuffling*

# Insertion sort demo

---

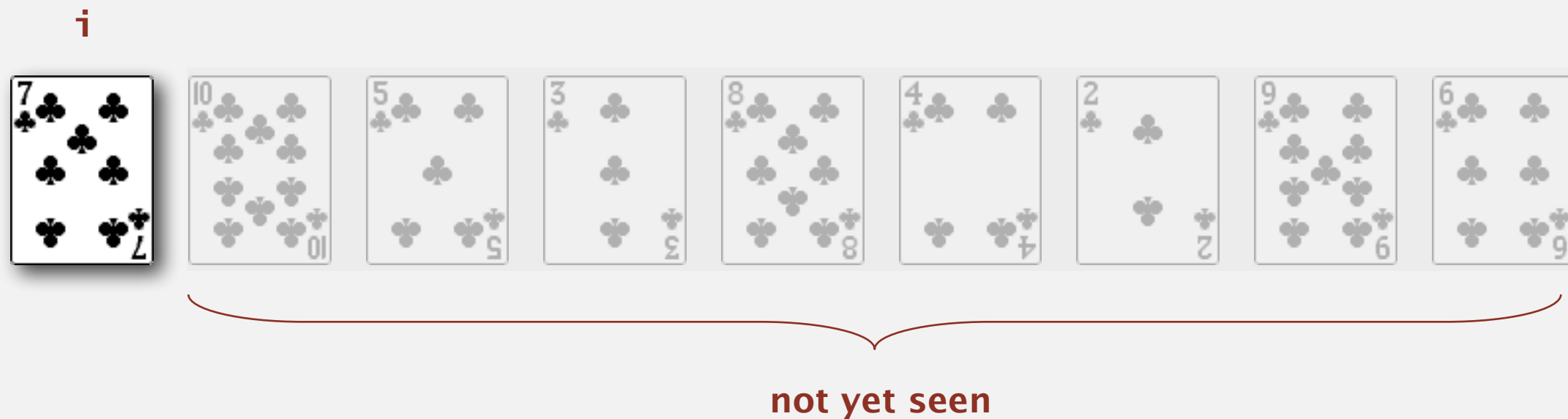
- In iteration  $i$ , swap  $a[i]$  with each larger entry to its left.



# Insertion sort demo

---

- In iteration  $i$ , swap  $a[i]$  with each larger entry to its left.



# Insertion sort demo

---

- In iteration  $i$ , swap  $a[i]$  with each larger entry to its left.

$j$   $i$



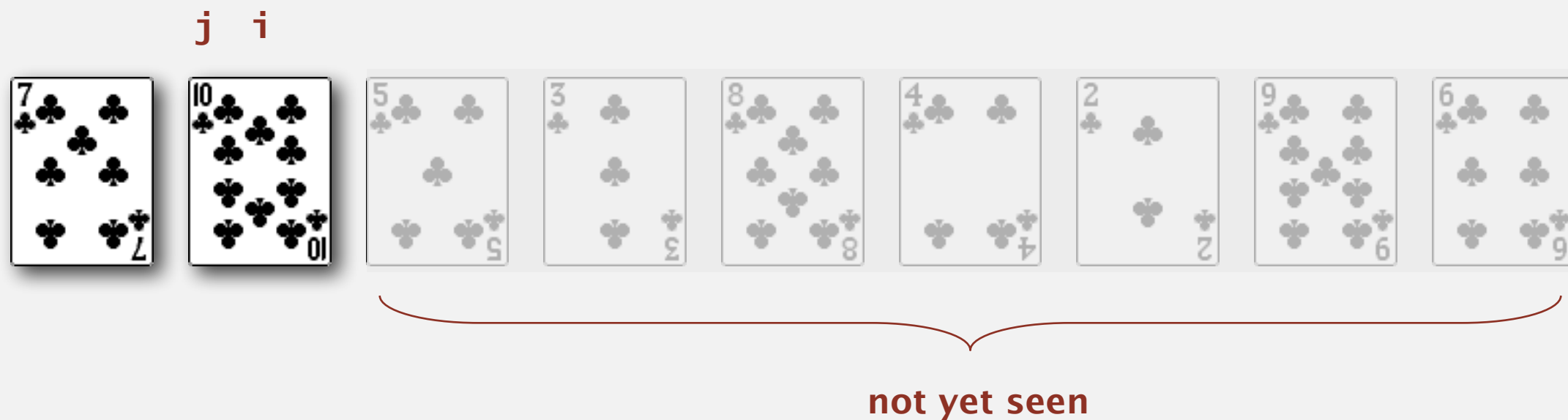
in ascending order

not yet seen

# Insertion sort demo

---

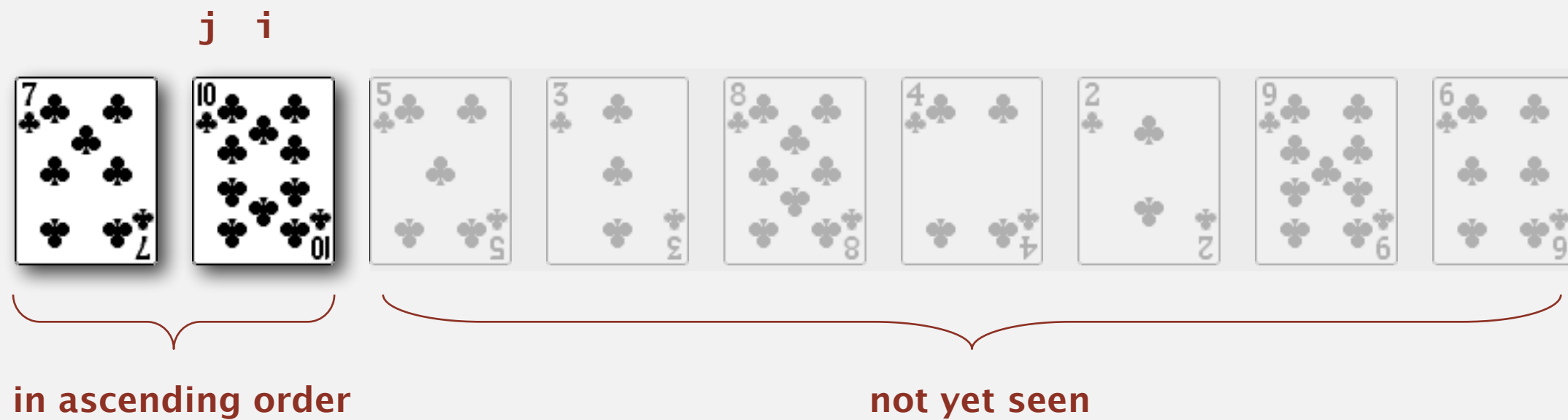
- In iteration  $i$ , swap  $a[i]$  with each larger entry to its left.



# Insertion sort demo

---

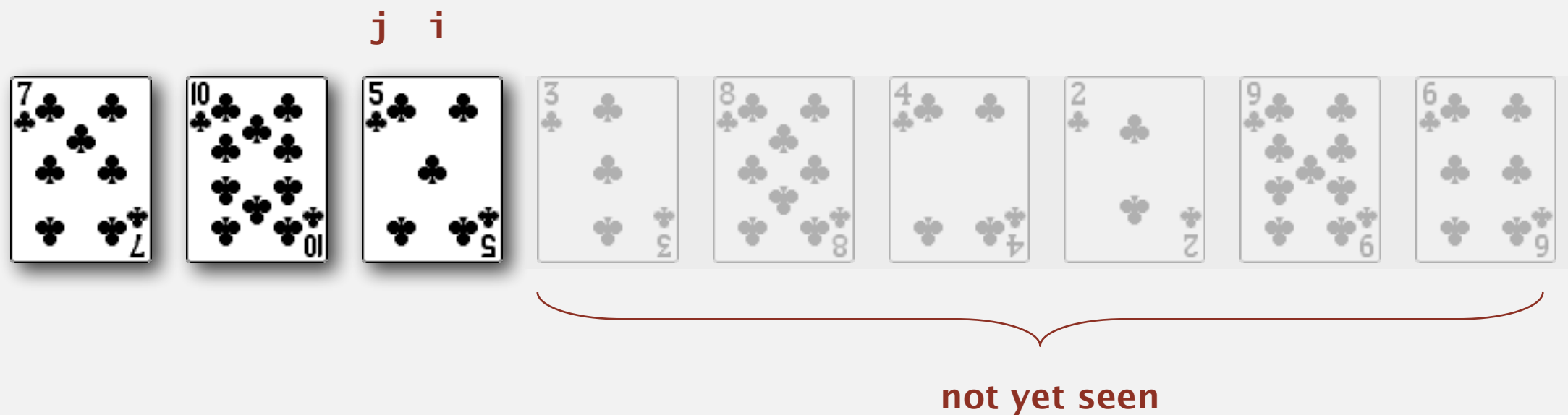
- In iteration  $i$ , swap  $a[i]$  with each larger entry to its left.



# Insertion sort demo

---

- In iteration  $i$ , swap  $a[i]$  with each larger entry to its left.

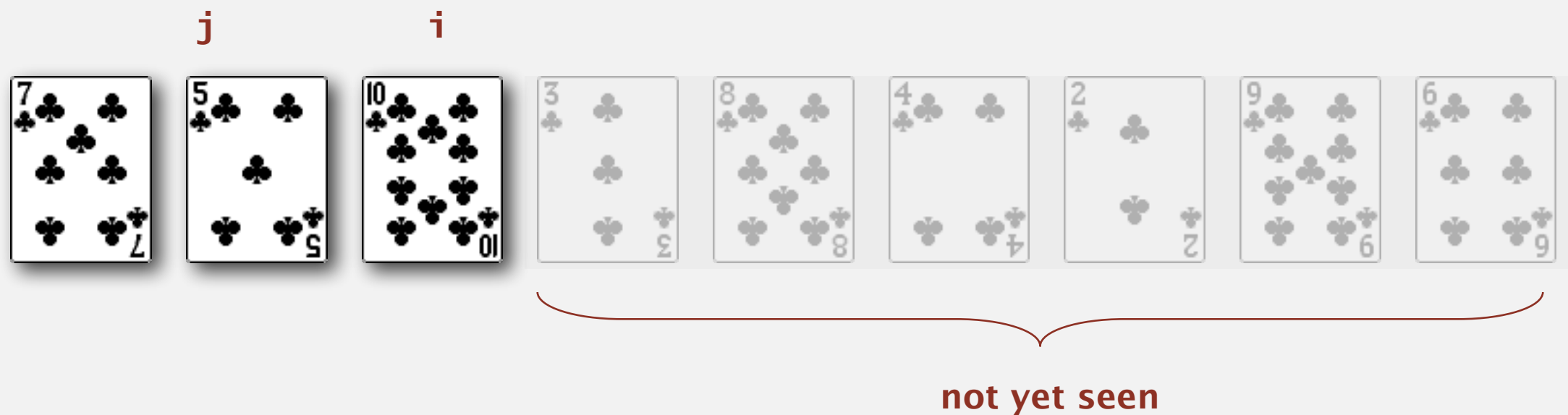




# Insertion sort demo

---

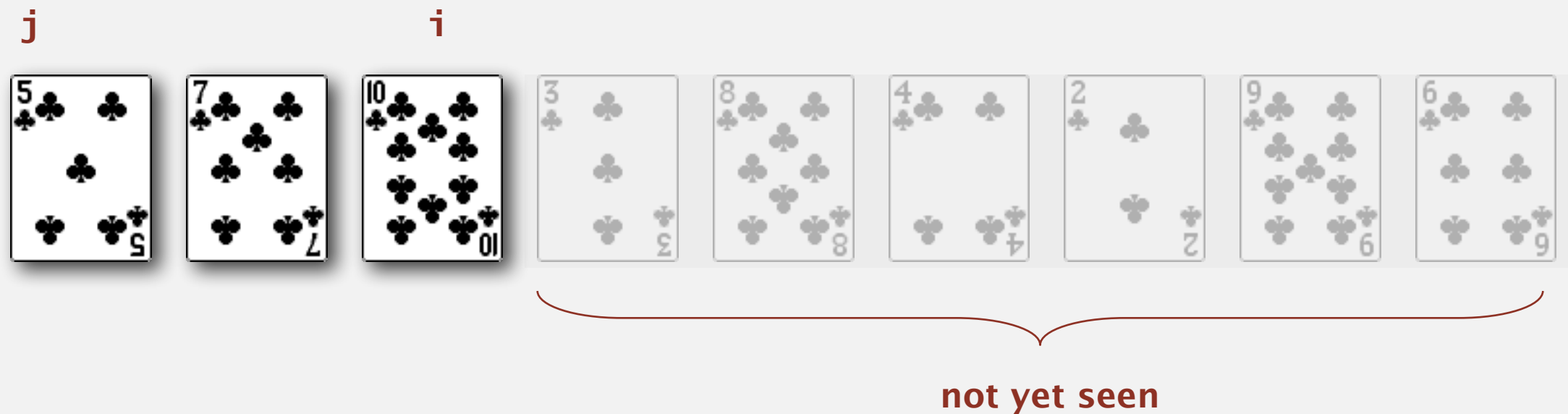
- In iteration  $i$ , swap  $a[i]$  with each larger entry to its left.



# Insertion sort demo

---

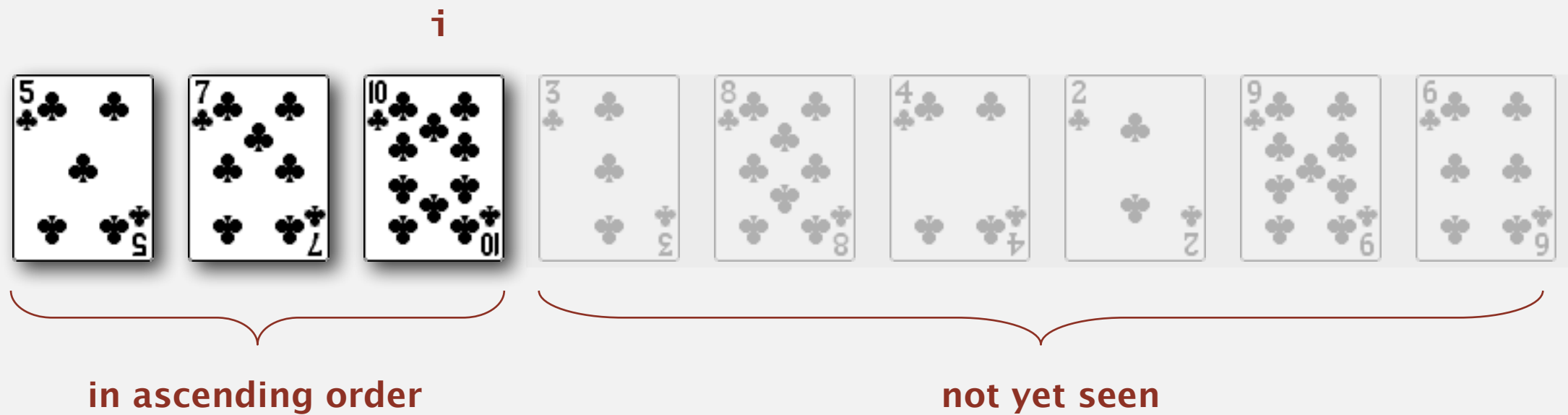
- In iteration  $i$ , swap  $a[i]$  with each larger entry to its left.



# Insertion sort demo

---

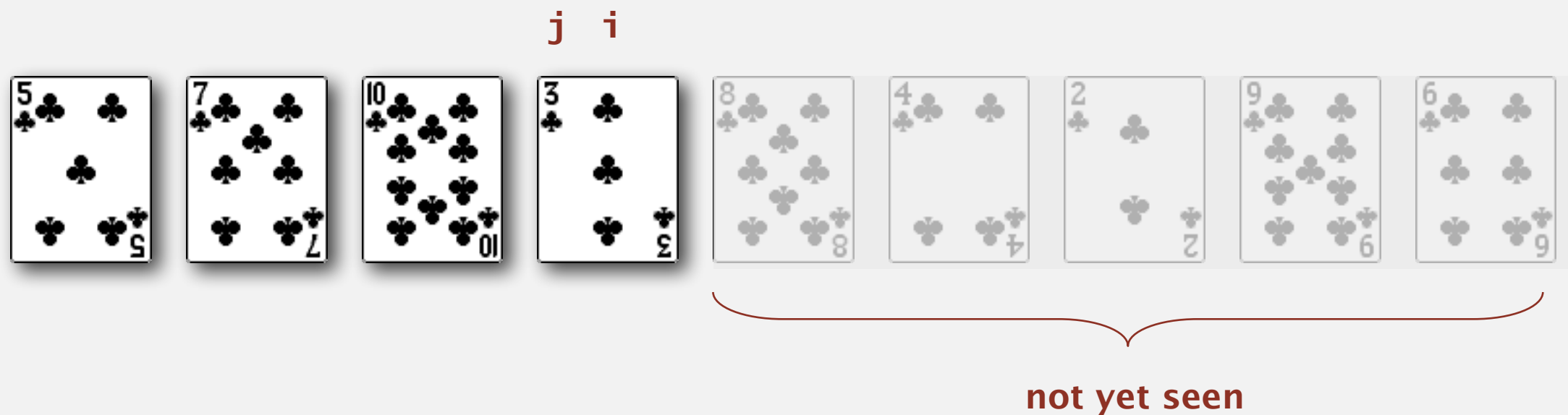
- In iteration  $i$ , swap  $a[i]$  with each larger entry to its left.



# Insertion sort demo

---

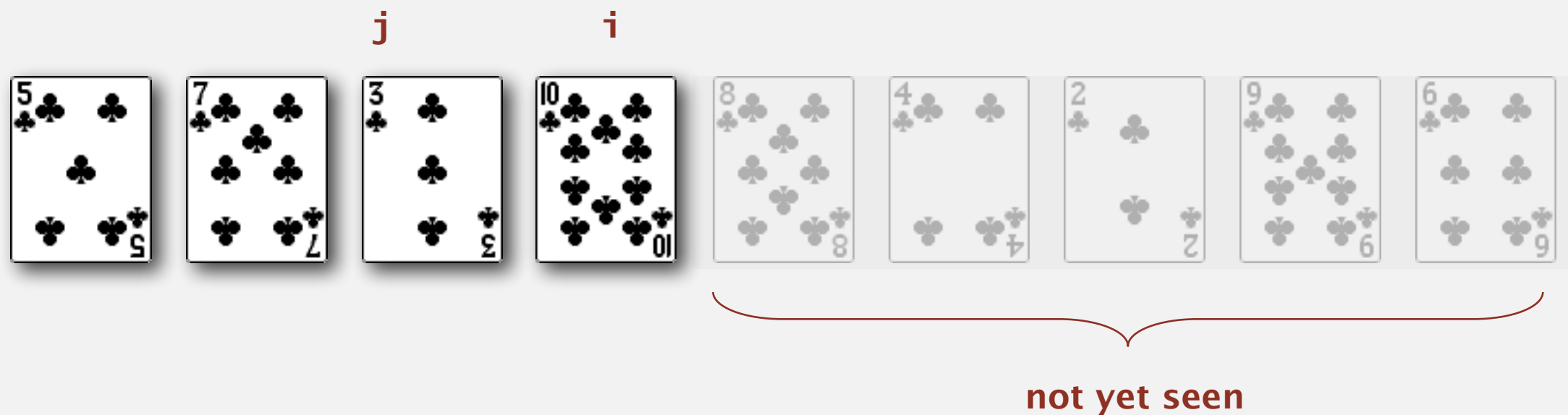
- In iteration  $i$ , swap  $a[i]$  with each larger entry to its left.



# Insertion sort demo

---

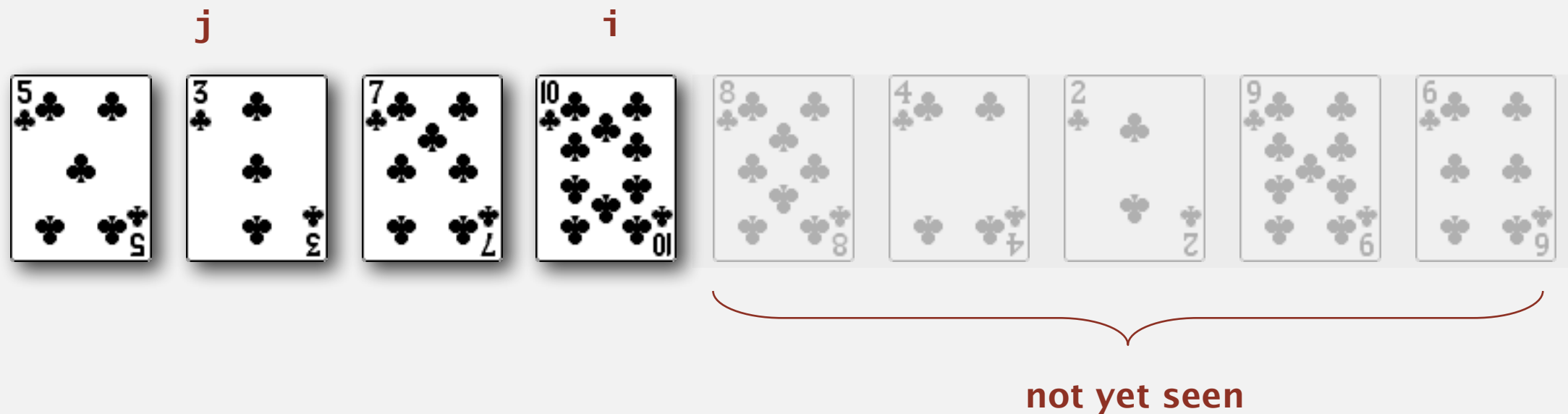
- In iteration  $i$ , swap  $a[i]$  with each larger entry to its left.



# Insertion sort demo

---

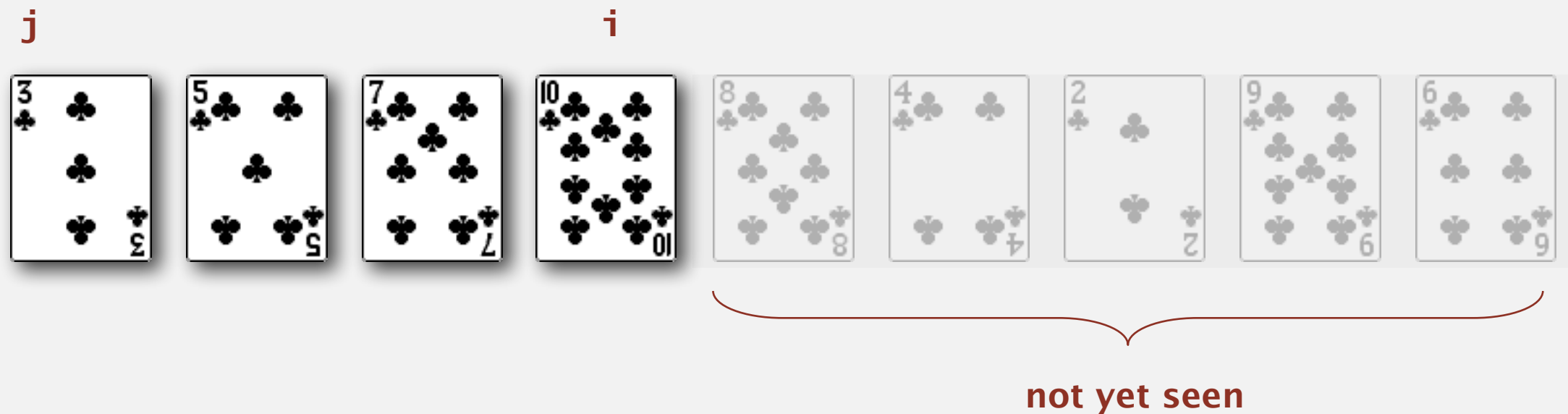
- In iteration  $i$ , swap  $a[i]$  with each larger entry to its left.



# Insertion sort demo

---

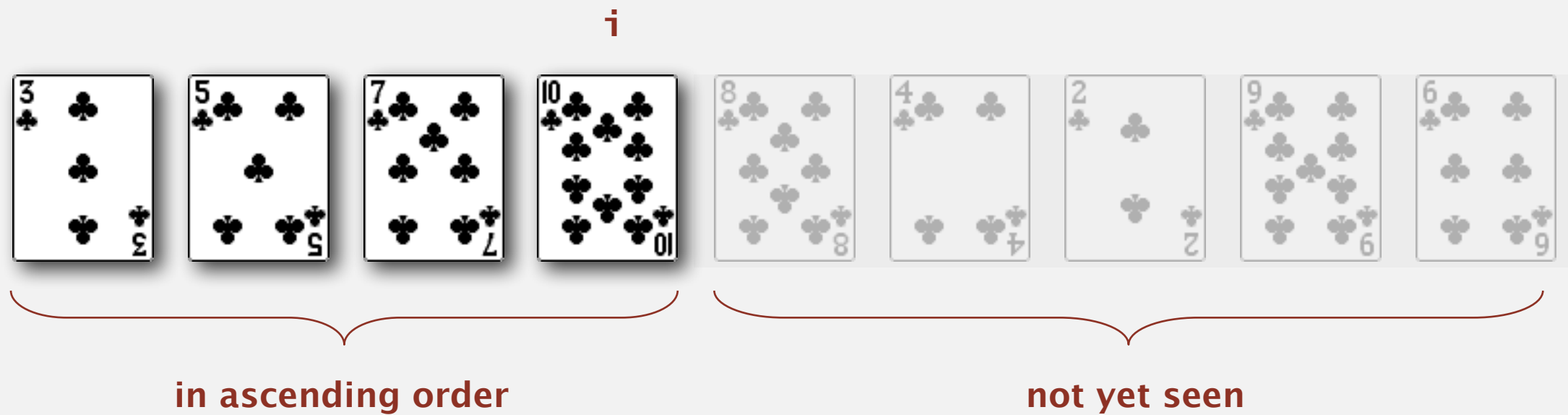
- In iteration  $i$ , swap  $a[i]$  with each larger entry to its left.



# Insertion sort demo

---

- In iteration  $i$ , swap  $a[i]$  with each larger entry to its left.

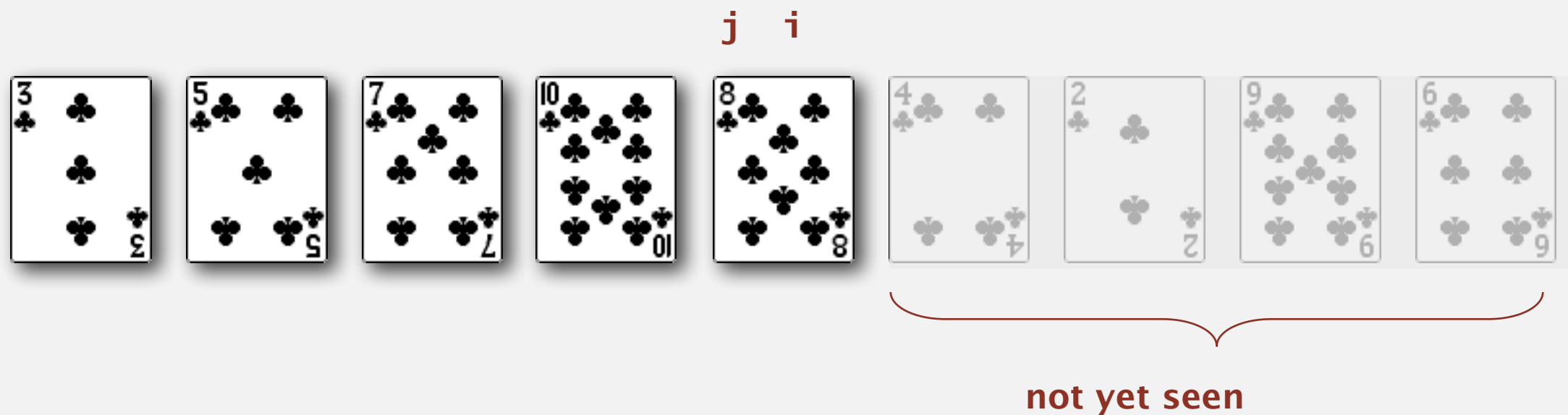




# Insertion sort demo

---

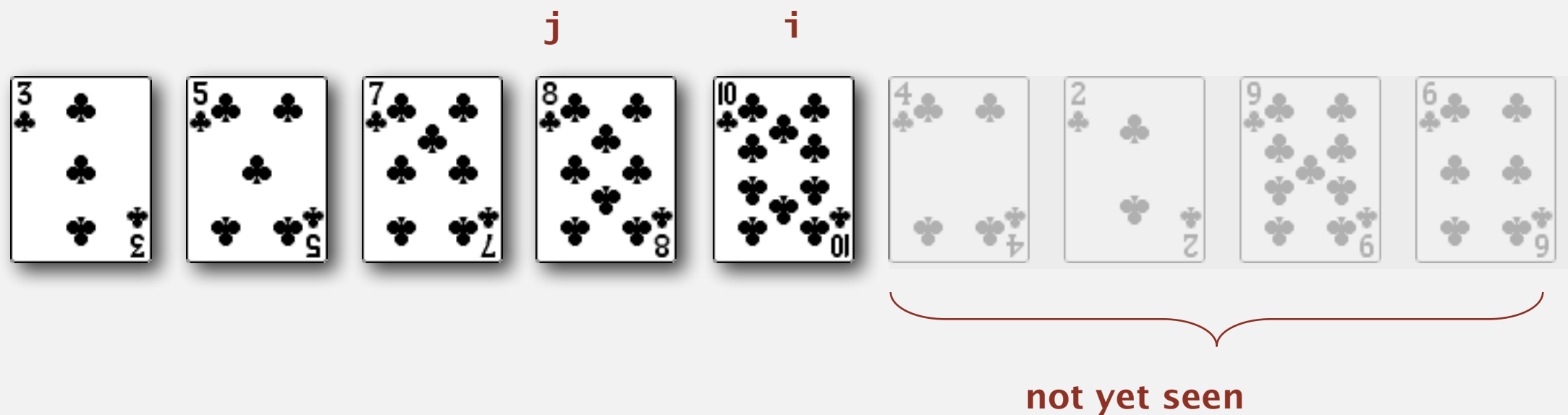
- In iteration  $i$ , swap  $a[i]$  with each larger entry to its left.



# Insertion sort demo

---

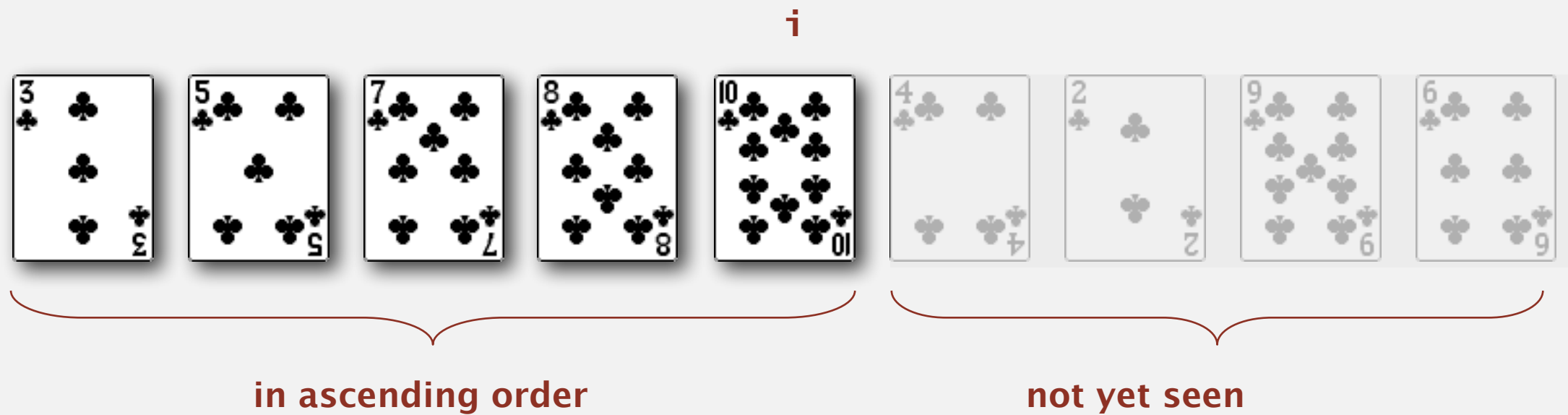
- In iteration  $i$ , swap  $a[i]$  with each larger entry to its left.



# Insertion sort demo

---

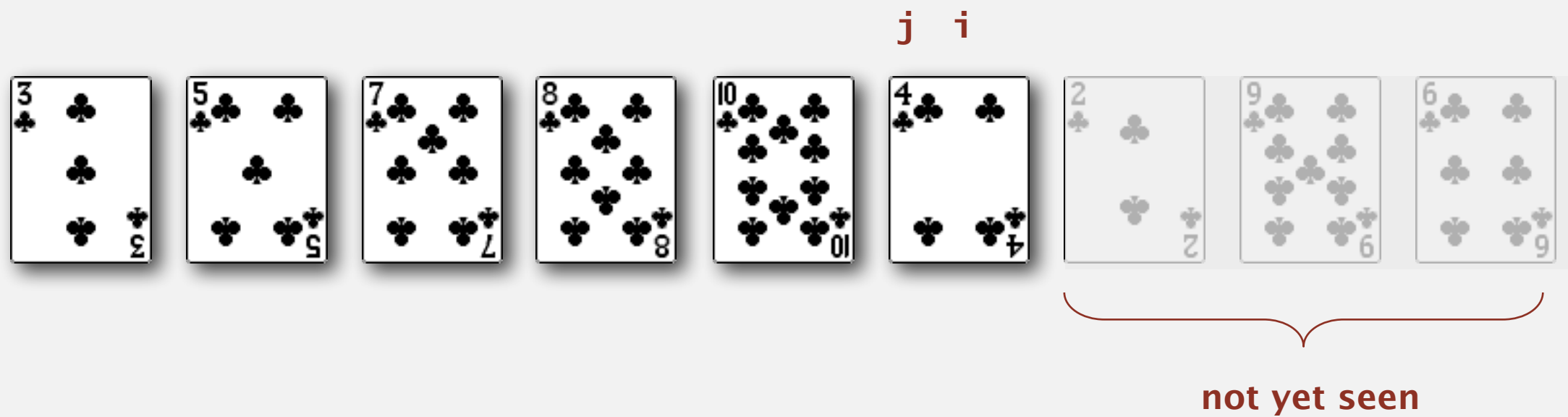
- In iteration  $i$ , swap  $a[i]$  with each larger entry to its left.



# Insertion sort demo

---

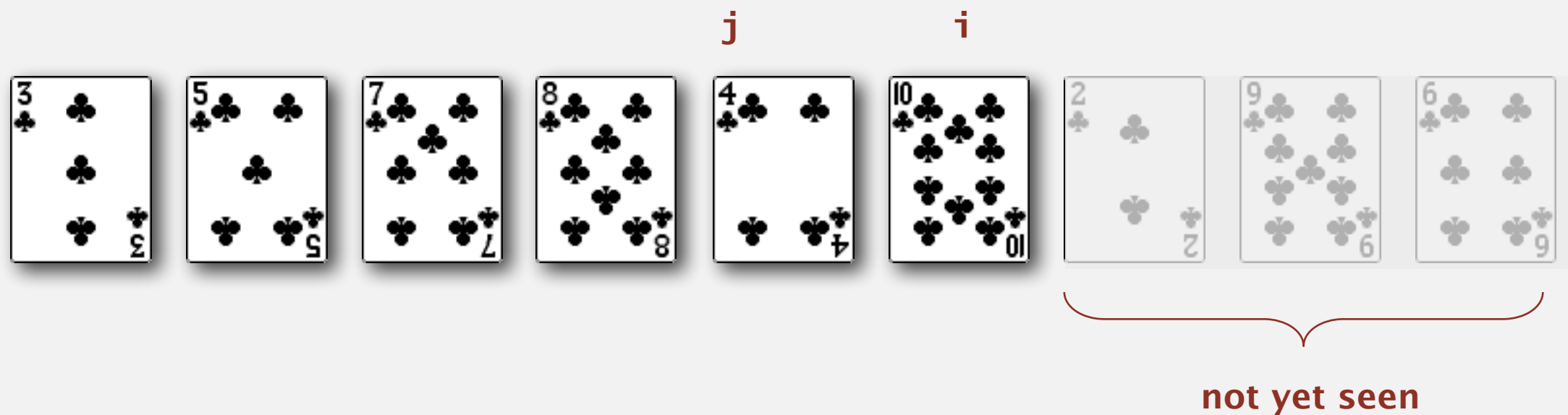
- In iteration  $i$ , swap  $a[i]$  with each larger entry to its left.



# Insertion sort demo

---

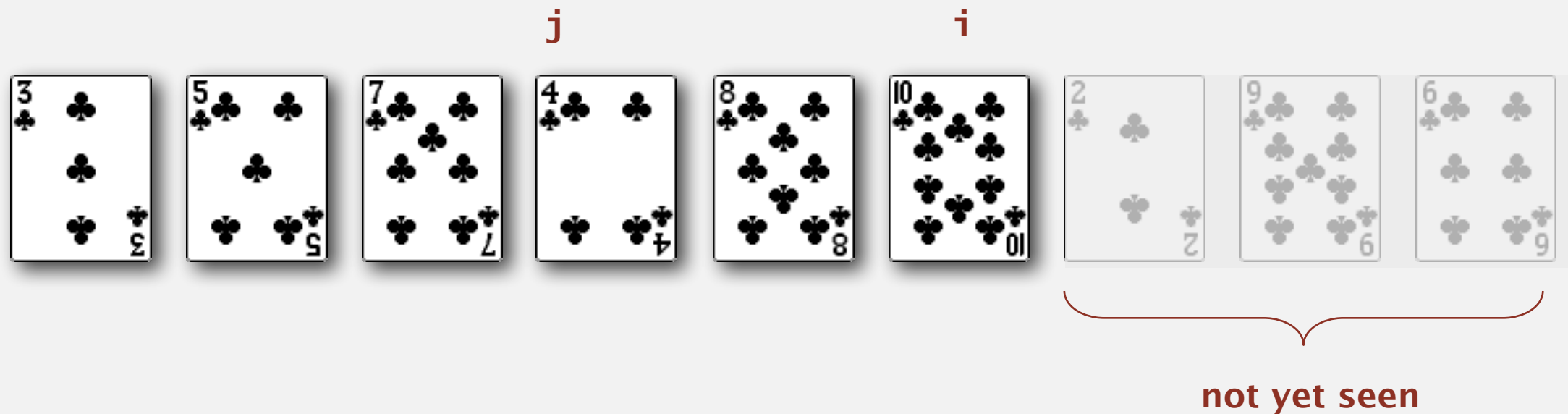
- In iteration  $i$ , swap  $a[i]$  with each larger entry to its left.



# Insertion sort demo

---

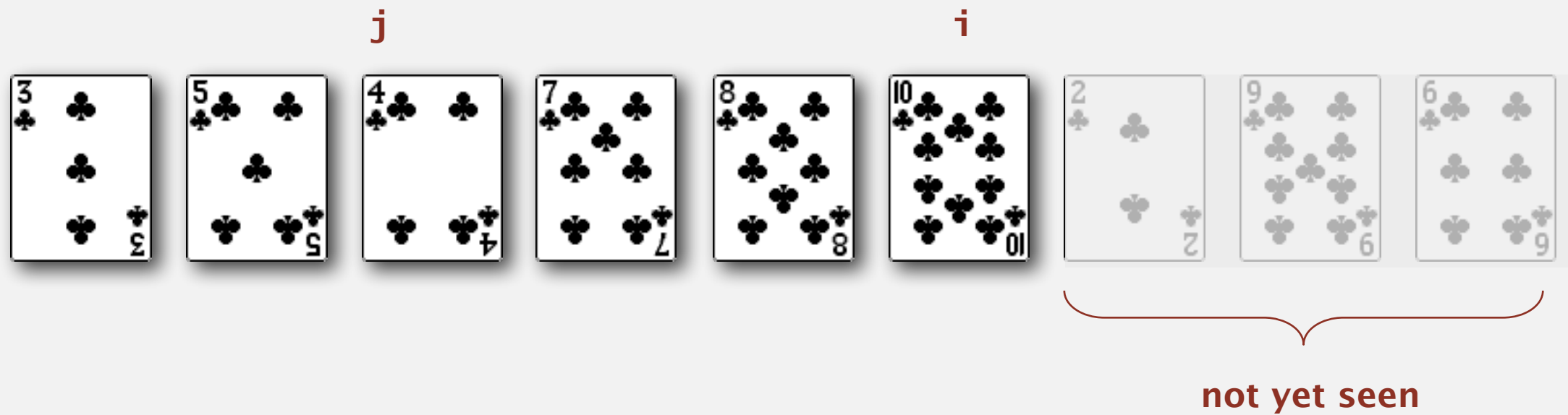
- In iteration  $i$ , swap  $a[i]$  with each larger entry to its left.



# Insertion sort demo

---

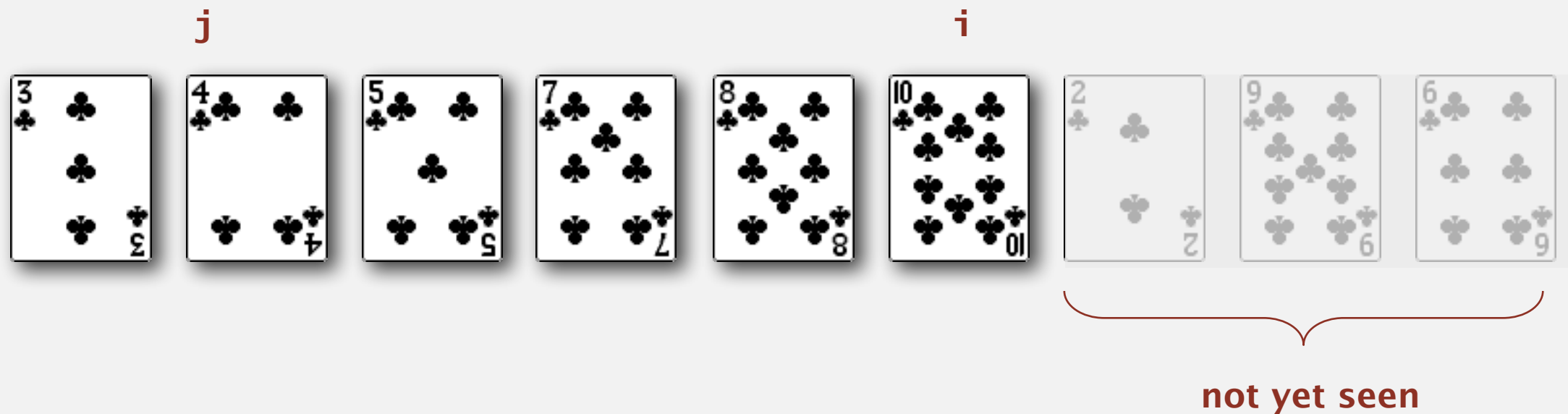
- In iteration  $i$ , swap  $a[i]$  with each larger entry to its left.



# Insertion sort demo

---

- In iteration  $i$ , swap  $a[i]$  with each larger entry to its left.

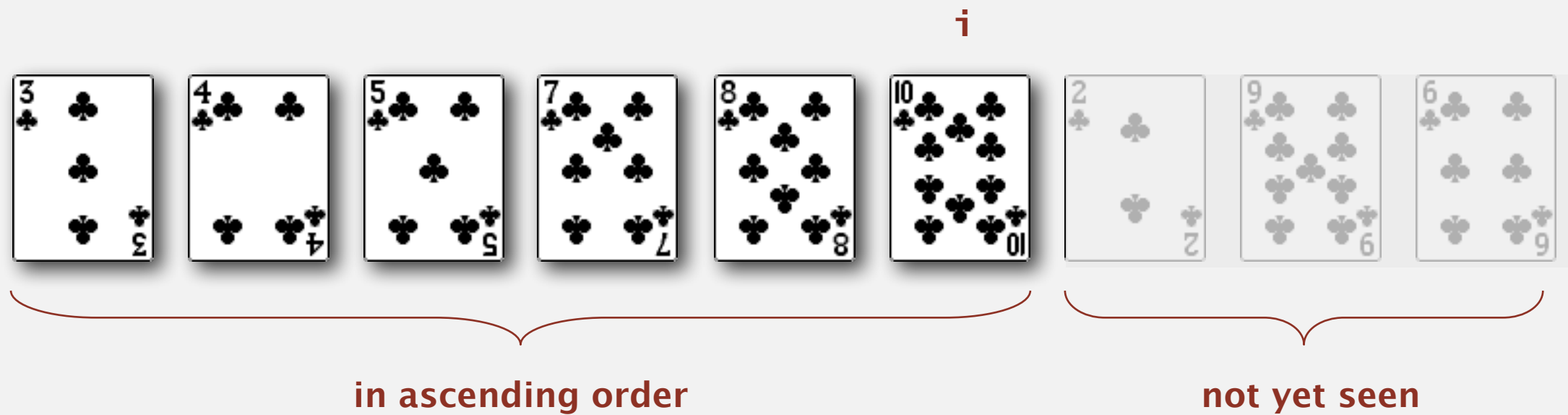




# Insertion sort demo

---

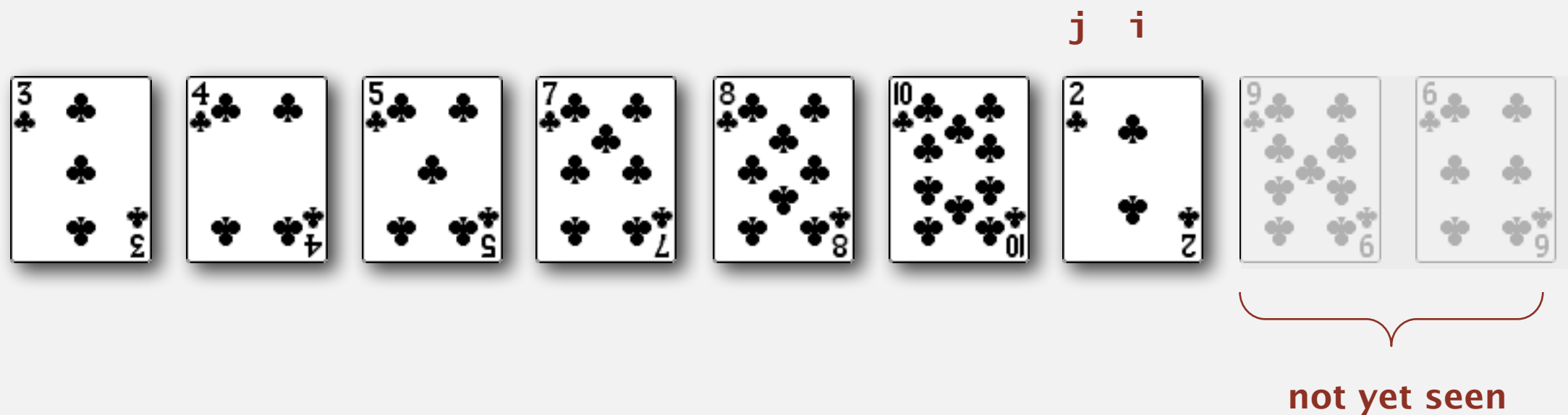
- In iteration  $i$ , swap  $a[i]$  with each larger entry to its left.



# Insertion sort demo

---

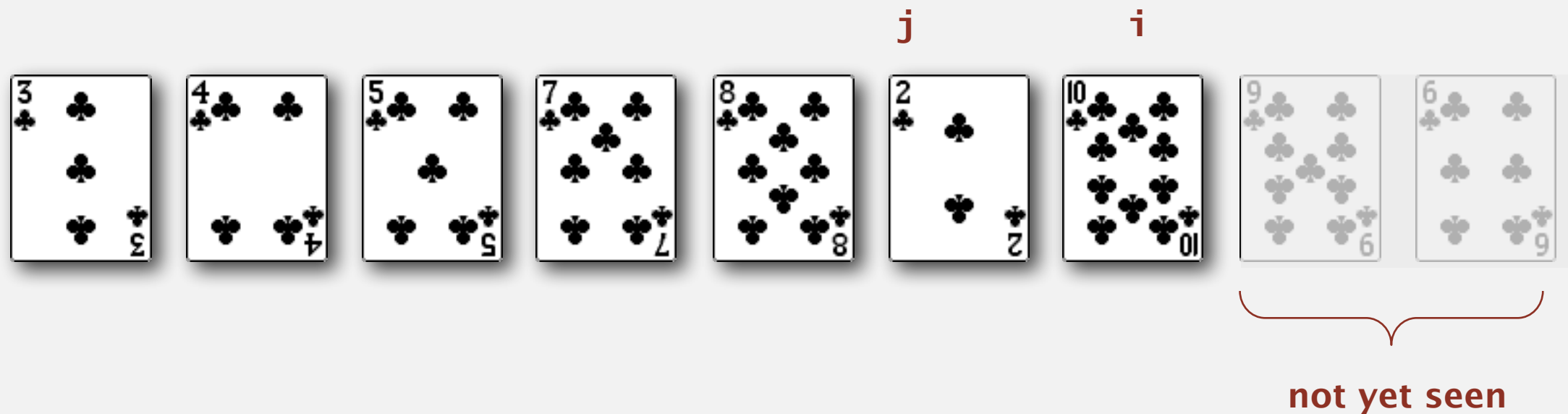
- In iteration  $i$ , swap  $a[i]$  with each larger entry to its left.



# Insertion sort demo

---

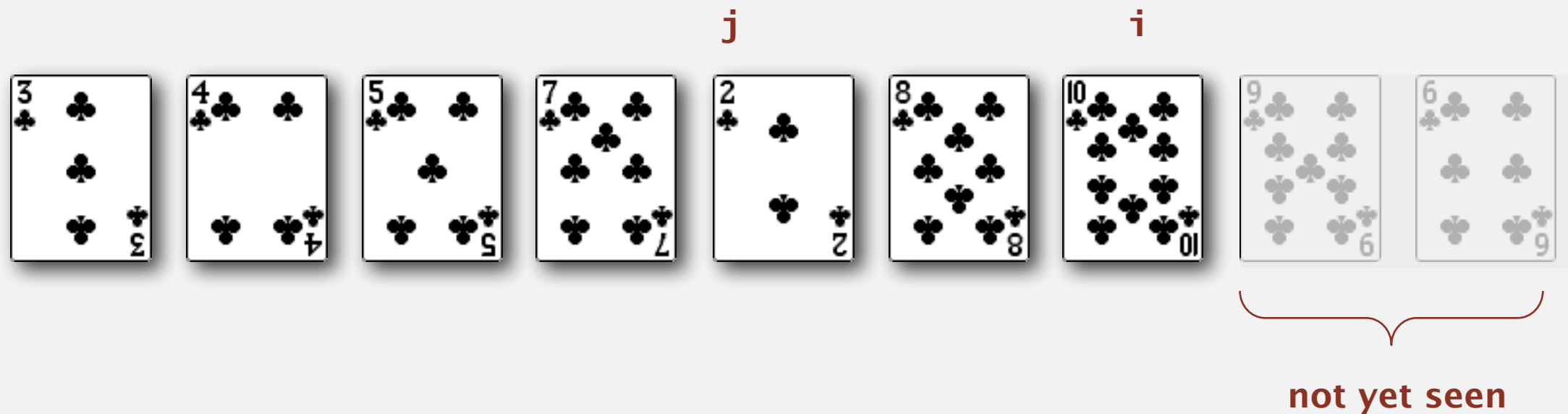
- In iteration  $i$ , swap  $a[i]$  with each larger entry to its left.



# Insertion sort demo

---

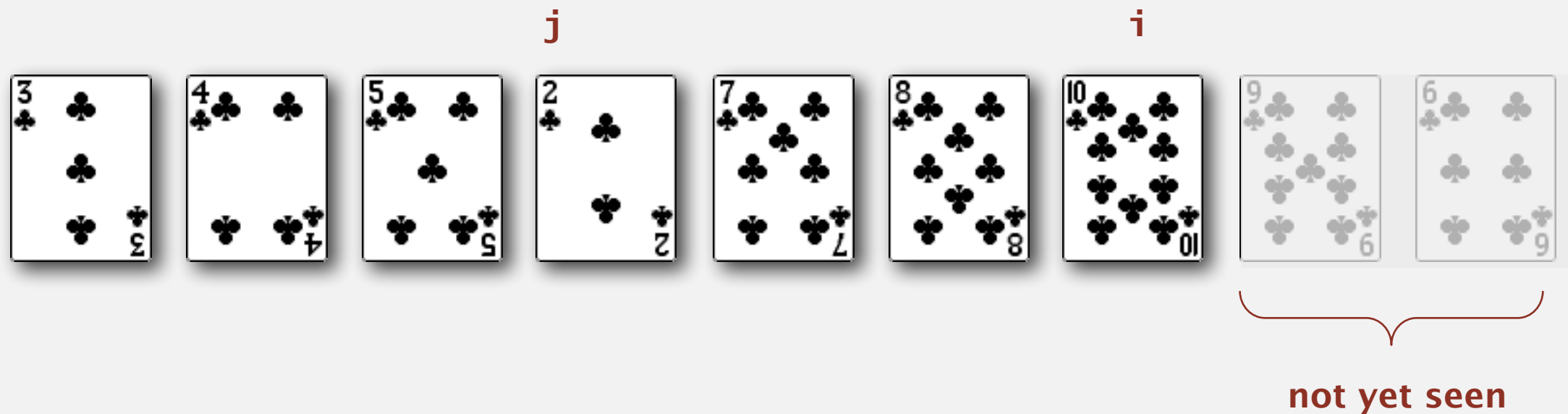
- In iteration  $i$ , swap  $a[i]$  with each larger entry to its left.



# Insertion sort demo

---

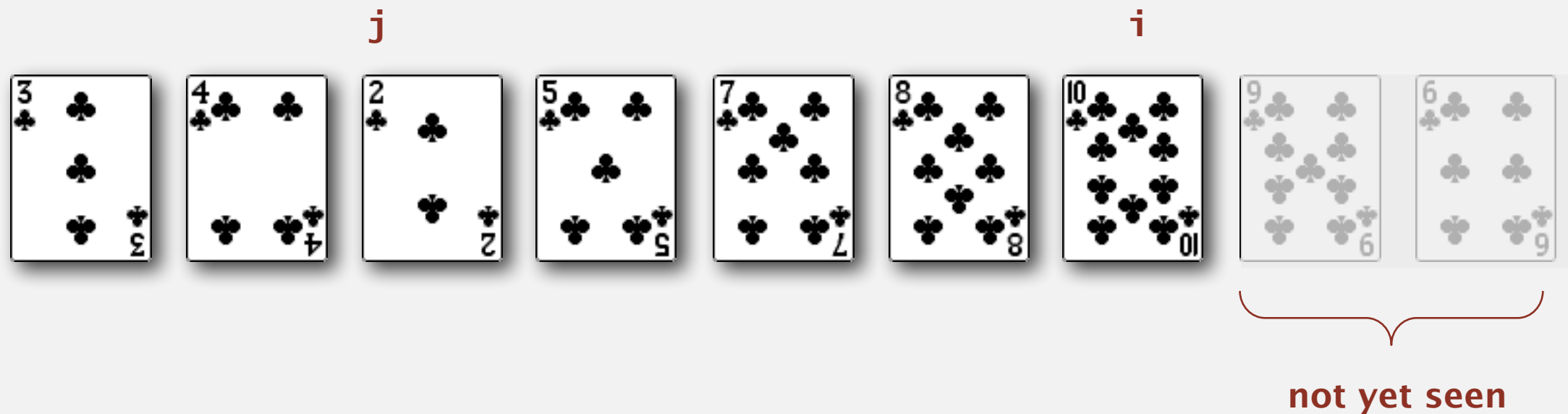
- In iteration  $i$ , swap  $a[i]$  with each larger entry to its left.



# Insertion sort demo

---

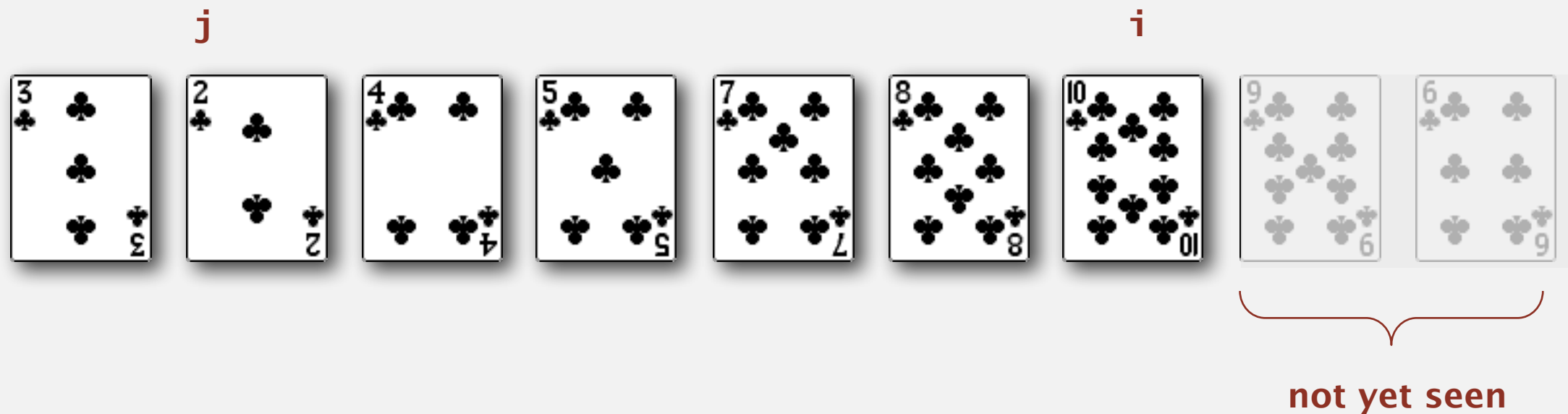
- In iteration  $i$ , swap  $a[i]$  with each larger entry to its left.



# Insertion sort demo

---

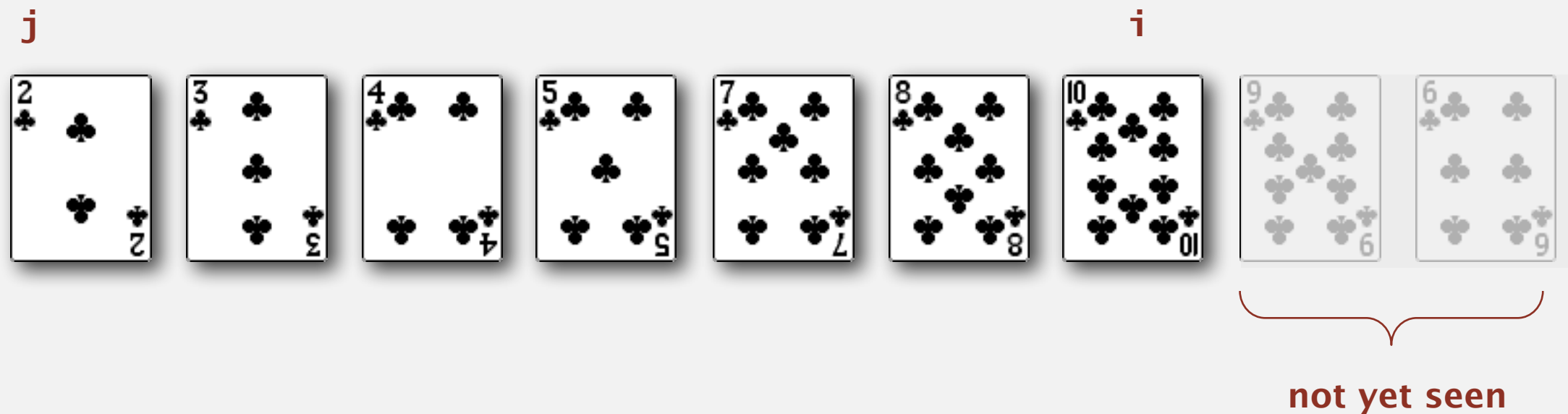
- In iteration  $i$ , swap  $a[i]$  with each larger entry to its left.



# Insertion sort demo

---

- In iteration  $i$ , swap  $a[i]$  with each larger entry to its left.

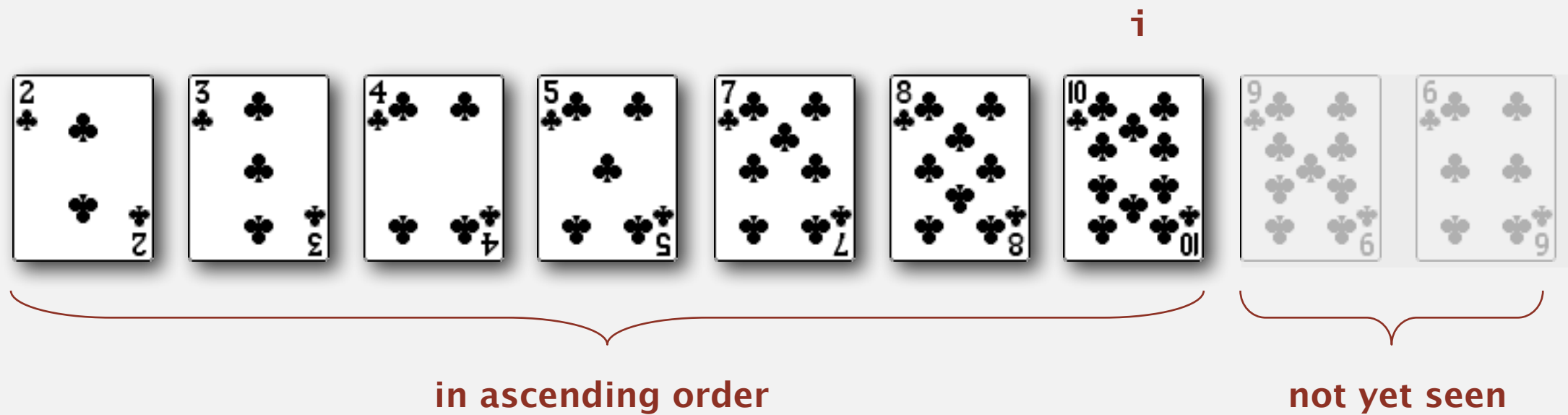




# Insertion sort demo

---

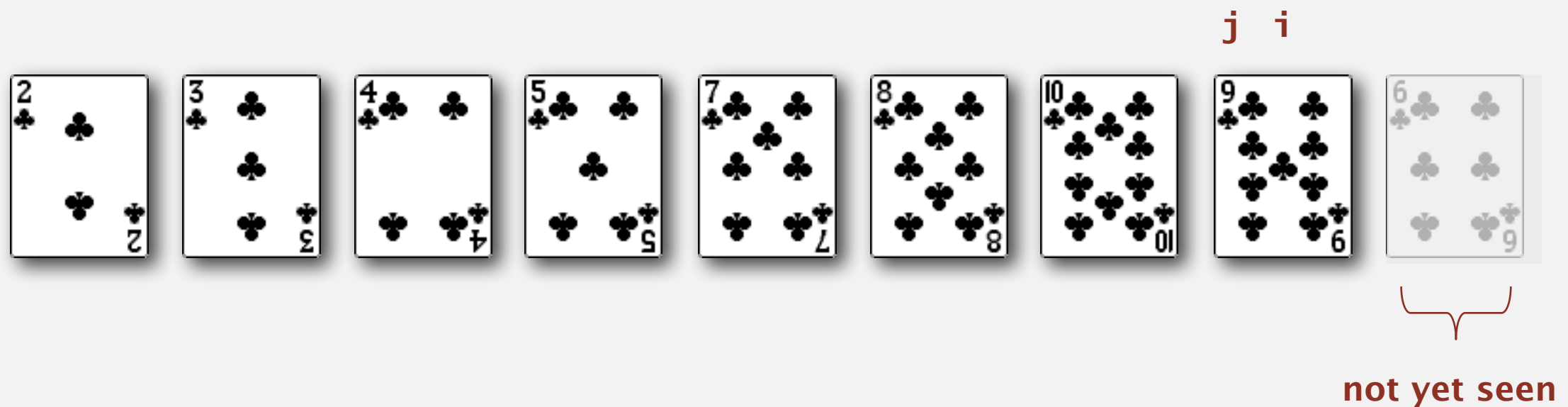
- In iteration  $i$ , swap  $a[i]$  with each larger entry to its left.



# Insertion sort demo

---

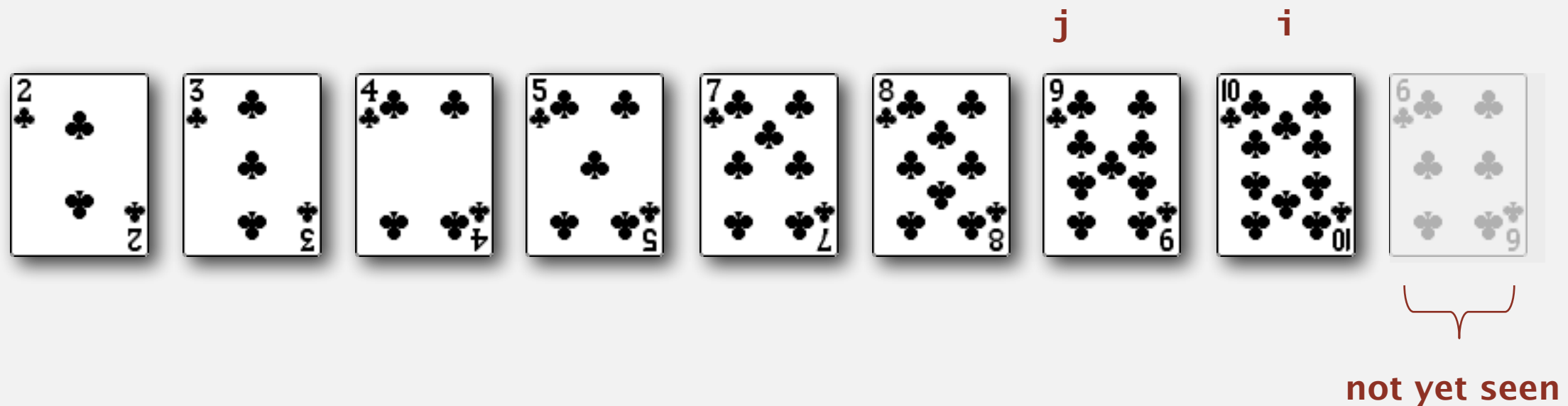
- In iteration  $i$ , swap  $a[i]$  with each larger entry to its left.



# Insertion sort demo

---

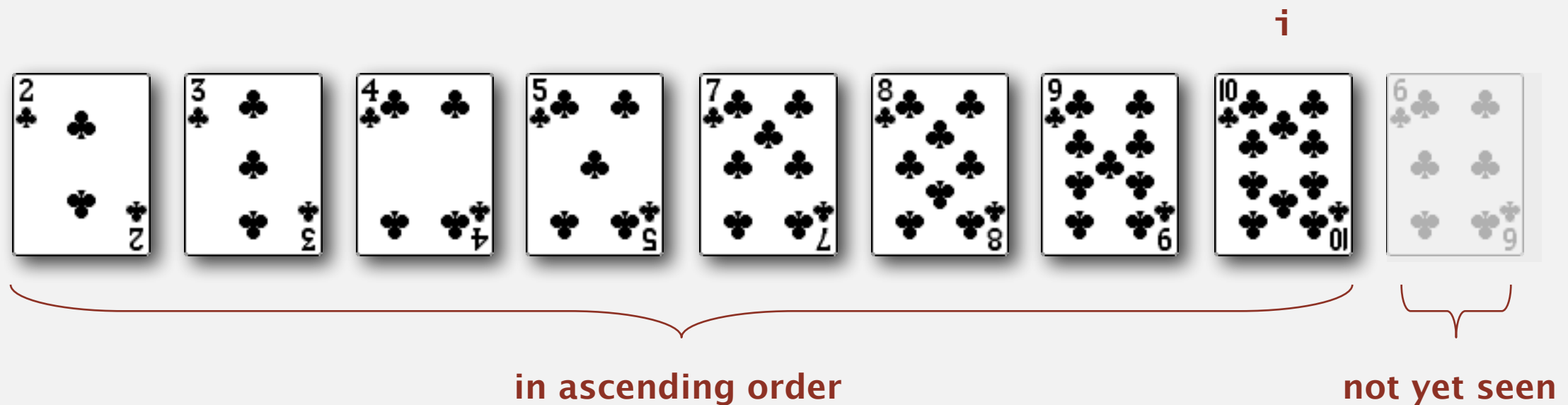
- In iteration  $i$ , swap  $a[i]$  with each larger entry to its left.



# Insertion sort demo

---

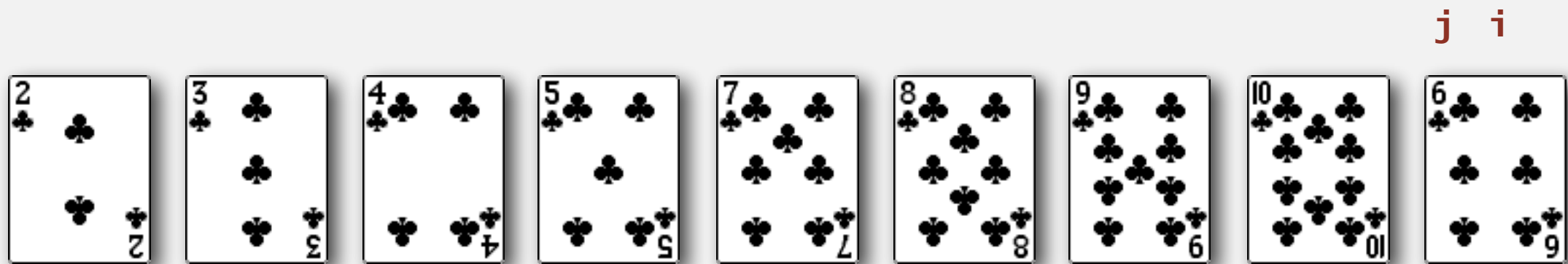
- In iteration  $i$ , swap  $a[i]$  with each larger entry to its left.



# Insertion sort demo

---

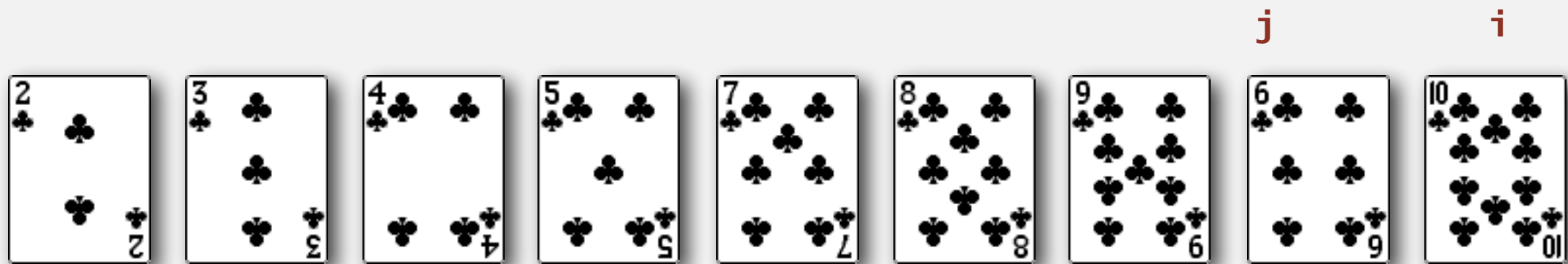
- In iteration  $i$ , swap  $a[i]$  with each larger entry to its left.



# Insertion sort demo

---

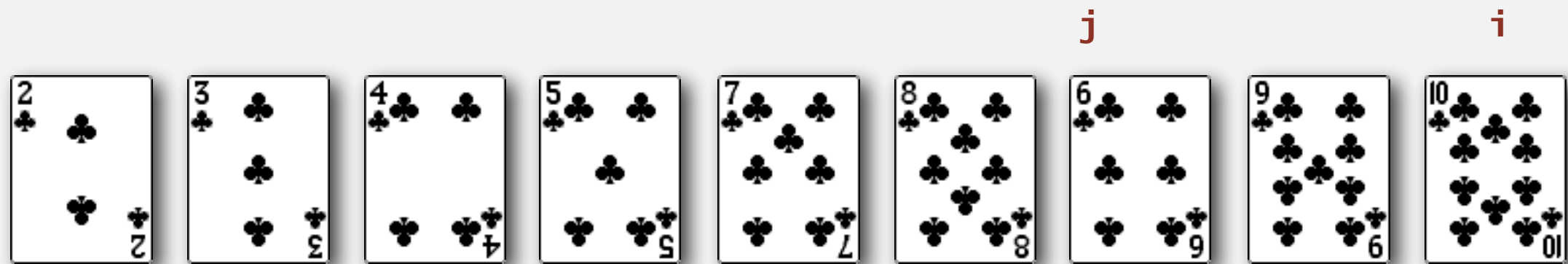
- In iteration  $i$ , swap  $a[i]$  with each larger entry to its left.



# Insertion sort demo

---

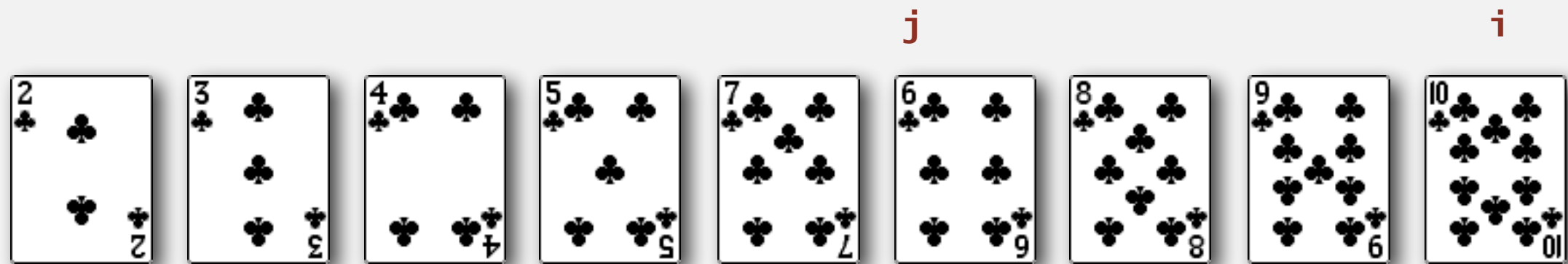
- In iteration  $i$ , swap  $a[i]$  with each larger entry to its left.



# Insertion sort demo

---

- In iteration  $i$ , swap  $a[i]$  with each larger entry to its left.

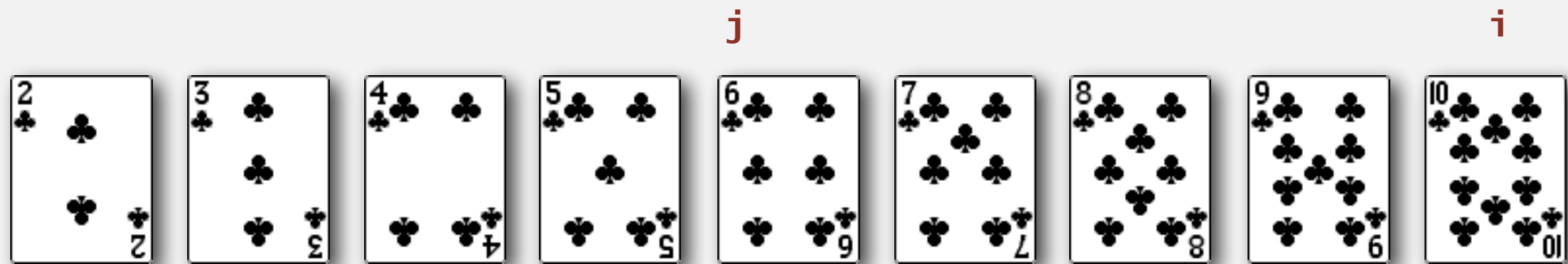




# Insertion sort demo

---

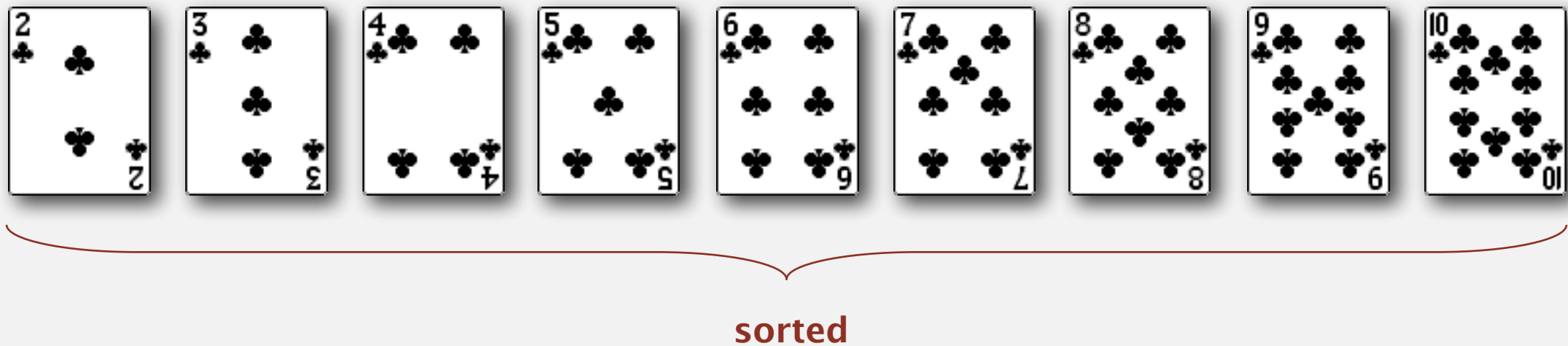
- In iteration  $i$ , swap  $a[i]$  with each larger entry to its left.



# Insertion sort demo

---

- In iteration  $i$ , swap  $a[i]$  with each larger entry to its left.



# Insertion sort

---

**Algorithm.** ↑ scans from left to right.

**Invariants.**

- Entries to the left of ↑ (including ↑) are in ascending order.
- Entries to the right of ↑ have not yet been seen.



# Insertion sort: Java implementation

---

```
public class Insertion
{
    public static void sort(Comparable[] a)
    {
        int N = a.length;

        What to write here? 4 mins.
        Can use the following methods
        - less(Comparable v, Comparable w)
        - exch(Comparable[] a, int i, int j)

    }

    private static boolean less(Comparable v, Comparable w)
    { /* as before */ }

    private static void exch(Comparable[] a, int i, int j)
    { /* as before */ }
}
```

# Insertion sort inner loop

To maintain algorithm invariants:

- Move the pointer to the right.

```
i++;
```



- Moving from right to left, exchange  $a[i]$  with each larger entry to its left.

```
for (int j = i; j > 0; j--)  
    if (less(a[j], a[j-1]))  
        exch(a, j, j-1);  
    else break;
```



# Insertion sort: Java implementation

---

```
public class Insertion
{
    public static void sort(Comparable[] a)
    {
        int N = a.length;
        for (int i = 0; i < N; i++)
            for (int j = i; j > 0; j--)
                if (less(a[j], a[j-1]))
                    exch(a, j, j-1);
                else break;
    }

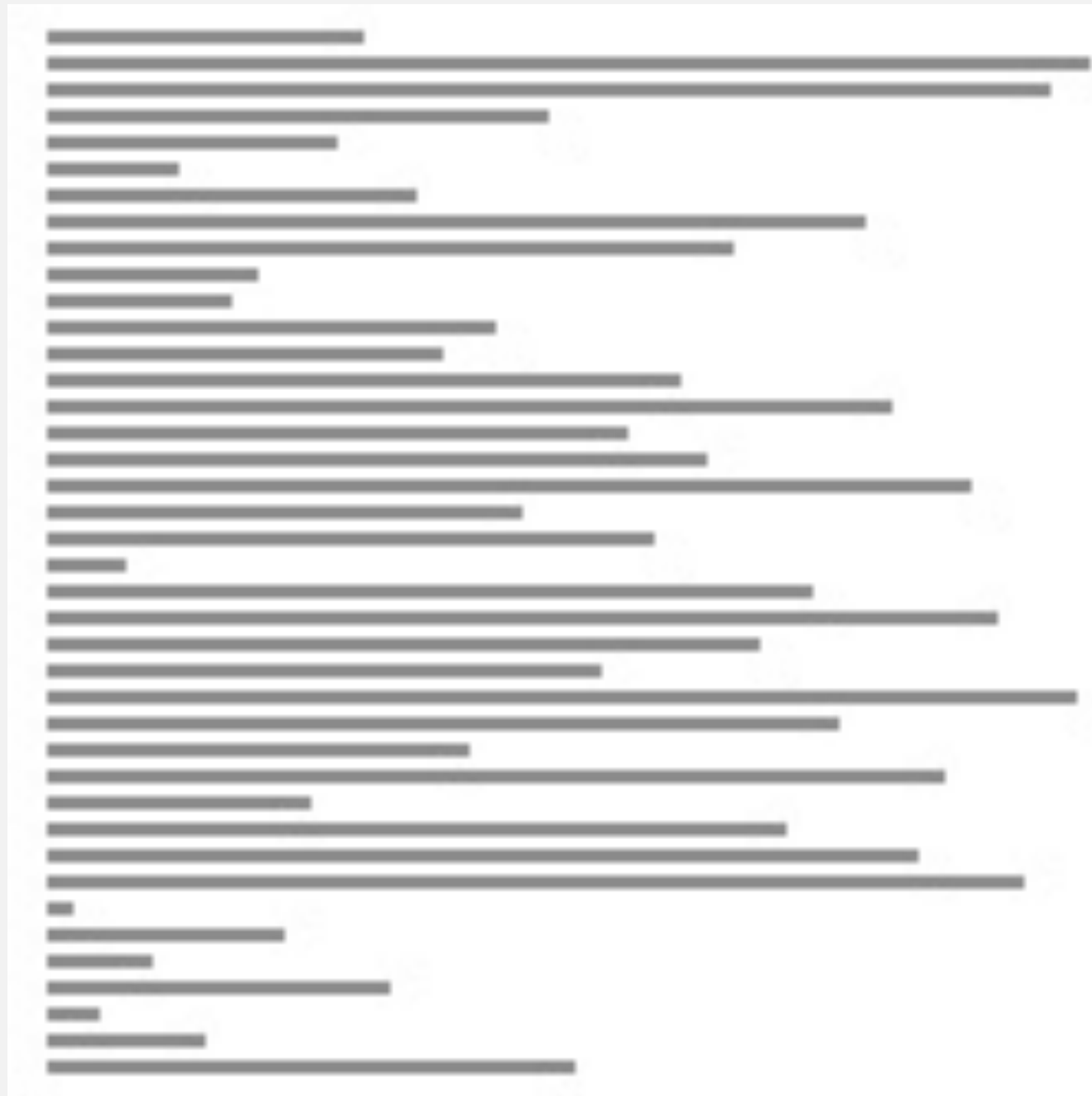
    private static boolean less(Comparable v, Comparable w)
    { /* as before */ }

    private static void exch(Comparable[] a, int i, int j)
    { /* as before */ }
}
```

# Insertion sort: animation

---

40 random items



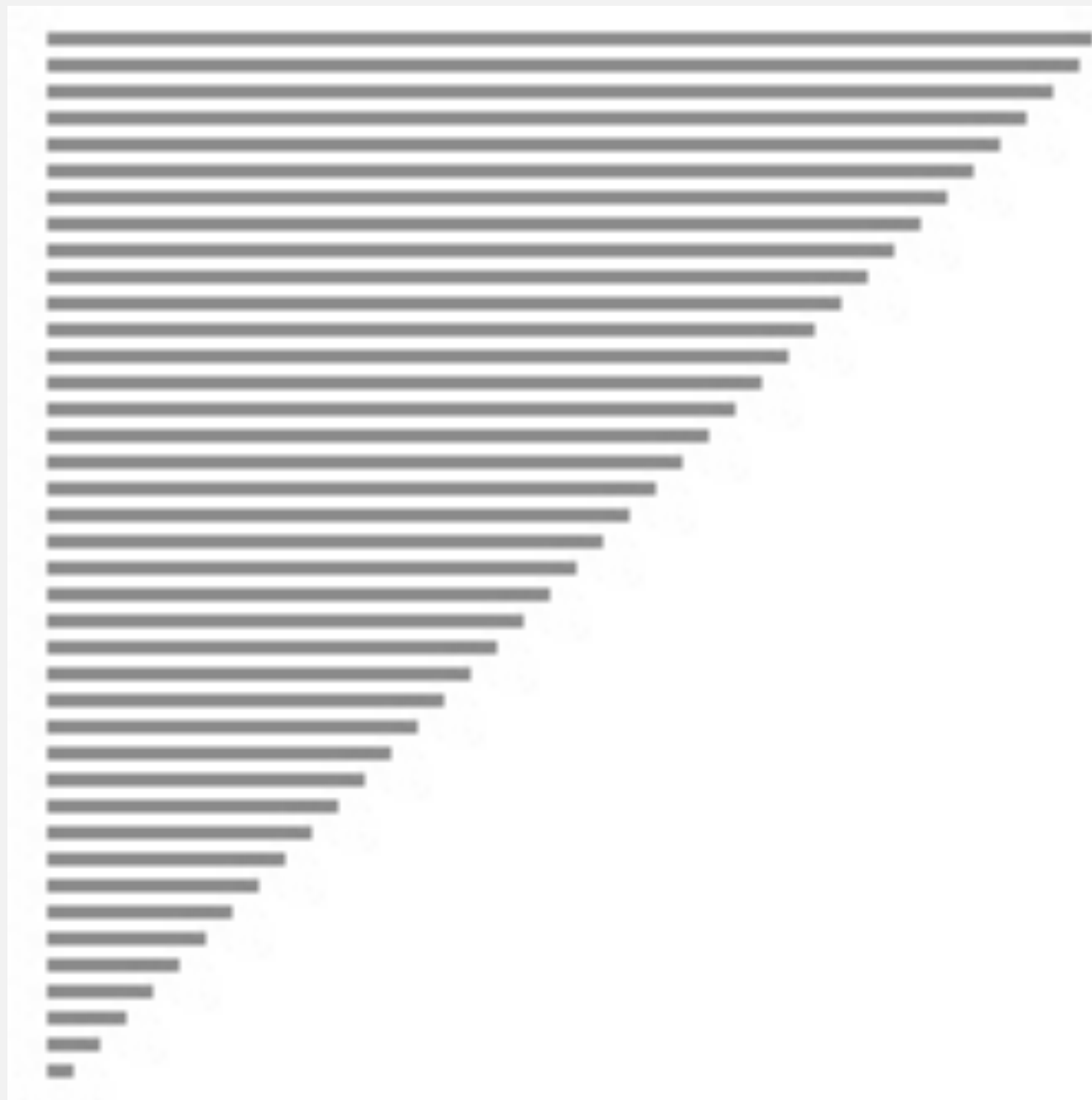
▲ algorithm position  
■ in order  
■ not yet seen

<http://www.sorting-algorithms.com/insertion-sort>

# Insertion sort: animation

---

40 reverse-sorted items



▲ algorithm position  
■ in order  
■ not yet seen

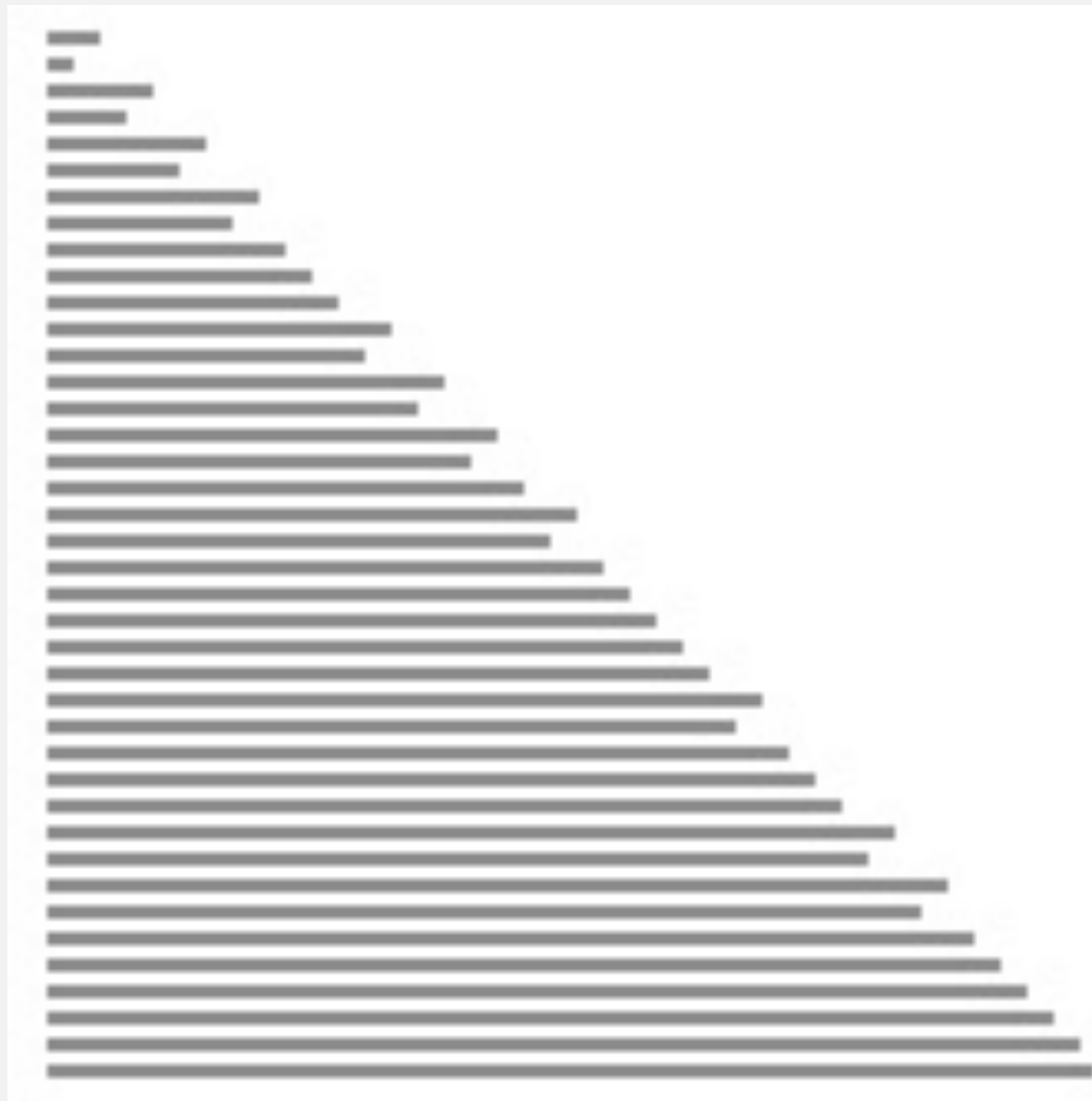
<http://www.sorting-algorithms.com/insertion-sort>



# Insertion sort: animation

---

40 partially-sorted items



▲ algorithm position  
— in order  
— not yet seen

<http://www.sorting-algorithms.com/insertion-sort>



<http://algs4.cs.princeton.edu>

## **QUESTION (INPUT=N)**

---

- Expect each entry move halfway back (on average)
- How many compares?
- How many exchanges?

# Insertion sort: mathematical analysis

**Proposition.** To sort a randomly-ordered array with distinct keys, insertion sort uses  $\sim \frac{1}{4} N^2$  compares and  $\sim \frac{1}{4} N^2$  exchanges on average.

**Pf.** Expect each entry to move halfway back.

		a[]											
i	j	0	1	2	3	4	5	6	7	8	9	10	
		S	O	R	T	E	X	A	M	P	L	E	
1	0	O	S	R	T	E	X	A	M	P	L	E	← entries in gray do not move
2	1	O	R	S	T	E	X	A	M	P	L	E	
3	3	O	R	S	T	E	X	A	M	P	L	E	
4	0	E	O	R	S	T	X	A	M	P	L	E	entry in red is a[j]
5	5	E	O	R	S	T	X	A	M	P	L	E	
6	0	A	E	O	R	S	T	X	M	P	L	E	
7	2	A	E	M	O	R	S	T	X	P	L	E	
8	4	A	E	M	O	P	R	S	T	X	L	E	
9	2	A	E	L	M	O	P	R	S	T	X	E	← entries in black moved one position right for insertion
10	2	A	E	E	L	M	O	P	R	S	T	X	
		A	E	E	L	M	O	P	R	S	T	X	

Trace of insertion sort (array contents just after each insertion)

# Insertion sort: trace

		a[]																																		
i	j	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34
		A	S	O	M	E	W	H	A	T	L	O	N	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E
0	0	A	S	O	M	E	W	H	A	T	L	O	N	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E
1	1	A	S	O	M	E	W	H	A	T	L	O	N	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E
2	1	A	O	S	M	E	W	H	A	T	L	O	N	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E
3	1	A	M	O	S	E	W	H	A	T	L	O	N	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E
4	1	A	E	M	O	S	W	H	A	T	L	O	N	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E
5	5	A	E	M	O	S	W	H	A	T	L	O	N	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E
6	2	A	E	H	M	O	S	W	A	T	L	O	N	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E
7	1	A	A	E	H	M	O	S	W	T	L	O	N	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E
8	7	A	A	E	H	M	O	S	T	W	L	O	N	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E
9	4	A	A	E	H	L	M	O	S	T	W	O	N	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E
10	7	A	A	E	H	L	M	O	S	T	W	N	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E	
11	6	A	A	E	H	L	M	N	O	O	S	T	W	G	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E
12	3	A	A	E	G	H	L	M	N	O	O	S	T	W	E	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E
13	3	A	A	E	E	G	H	L	M	N	O	O	S	T	W	R	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E
14	11	A	A	E	E	G	H	L	M	N	O	O	S	T	W	I	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E	
15	6	A	A	E	E	G	H	I	L	M	N	O	O	R	S	T	W	N	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E
16	10	A	A	E	E	G	H	I	L	M	N	N	O	O	R	S	T	W	S	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E
17	15	A	A	E	E	G	H	I	L	M	N	N	O	O	R	S	T	W	E	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E	
18	4	A	A	E	E	E	G	H	I	L	M	N	N	O	O	R	S	S	T	W	R	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E
19	15	A	A	E	E	E	G	H	I	L	M	N	N	O	O	R	R	S	S	T	W	T	I	O	N	S	O	R	T	E	X	A	M	P	L	E
20	19	A	A	E	E	E	G	H	I	L	M	N	N	O	O	R	R	S	S	T	T	W	I	O	N	S	O	R	T	E	X	A	M	P	L	E
21	8	A	A	E	E	E	G	H	I	I	L	M	N	N	O	O	R	R	S	S	T	T	W	O	N	S	O	R	T	E	X	A	M	P	L	E
22	15	A	A	E	E	E	G	H	I	I	L	M	N	N	O	O	R	R	S	S	T	T	W	N	S	O	R	T	E	X	A	M	P	L	E	
23	13	A	A	E	E	E	G	H	I	I	L	M	N	N	N	O	O	R	R	S	S	T	T	W	S	O	R	T	E	X	A	M	P	L	E	
24	21	A	A	E	E	E	G	H	I	I	L	M	N	N	N	O	O	R	R	S	S	S	T	T	W	O	R	T	E	X	A	M	P	L	E	
25	17	A	A	E	E	E	G	H	I	I	L	M	N	N	N	O	O	O	R	R	S	S	S	T	T	W	R	T	E	X	A	M	P	L	E	
26	20	A	A	E	E	E	G	H	I	I	L	M	N	N	N	O	O	O	R	R	R	S	S	S	T	T	W	T	E	X	A	M	P	L	E	
27	26	A	A	E	E	E	G	H	I	I	L	M	N	N	N	O	O	O	R	R	R	S	S	S	T	T	T	W	E	X	A	M	P	L	E	
28	5	A	A	E	E	E	E	G	H	I	I	L	M	N	N	N	O	O	O	R	R	R	S	S	S	T	T	T	W	X	A	M	P	L	E	
29	29	A	A	E	E	E	E	G	H	I	I	L	M	N	N	N	O	O	O	R	R	R	S	S	S	T	T	T	W	X	A	M	P	L	E	
30	2	A	A	A	E	E	E	E	G	H	I	I	L	M	N	N	N	O	O	O	R	R	R	S	S	S	T	T	T	W	X	M	P	L	E	
31	13	A	A	A	E	E	E	E	G	H	I	I	L	M	M	N	N	N	O	O	O	R	R	R	S	S	S	T	T	T	W	X	P	L	E	
32	21	A	A	A	E	E	E	E	G	H	I	I	L	M	M	N	N	N	O	O	O	P	R	R	R	S	S	S	T	T	T	W	X	L	E	
33	12	A	A	A	E	E	E	E	G	H	I	I	L	L	M	M	N	N	N	O	O	O	O	P	R	R	R	S	S	S	T	T	T	W	X	E
34	7	A	A	A	E	E	E	E	E	G	H	I	I	L	L	M	M	N	N	N	O	O	O	O	P	R	R	R	S	S	S	T	T	T	W	X
		A	A	A	E	E	E	E	E	G	H	I	I	L	L	M	M	N	N	N	O	O	O	O	P	R	R	R	S	S	S	T	T	T	W	X



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## INSERTION SORT: BEST CASE?

---

- *# of compares?*
- *# of exchanges?*



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## INSERTION SORT: WORST CASE?

---

- *# of compares? (approximately)*
- *# of exchanges? (approximately)*

# Insertion sort: analysis

---

**Best case.** If the array is in ascending order, insertion sort makes  $N-1$  compares and 0 exchanges.

A E E L M O P R S T X

**Worst case.** If the array is in descending order (and no duplicates), insertion sort makes  $\sim \frac{1}{2} N^2$  compares and  $\sim \frac{1}{2} N^2$  exchanges.

X T S R P O M L F E A

# Insertion sort: partially-sorted arrays

---

**Def.** An **inversion** is a pair of keys that are out of order.

A E E L M O T R X P S

T-R T-P T-S R-P X-P X-S

(6 inversions)

**Def.** An array is **partially sorted** if the number of inversions is  $\leq cN$ .

- Ex 1. A sorted array has 0 inversions.
- Ex 2. A subarray of size 10 appended to a sorted subarray of size  $N$ .

**Proposition.** For partially-sorted arrays, insertion sort runs in linear time.

**Pf.** Number of exchanges equals the number of inversions.

↑  
number of compares = exchanges +  $(N - 1)$



# Insertion sort: practical improvements

---

**Half exchanges.** Shift items over (instead of exchanging).


- Eliminates unnecessary data movement.
- No longer uses only `less()` and `exch()` to access data.

A C H H I M N N P Q X Y **K** B I N A R Y

**Binary insertion sort.** Use binary search to find insertion point.

- Number of compares  $\sim N \lg N$ .

A C H H I **M** N N P Q X Y **K** B I N A R Y



binary search for first key  $> K$

# In-class Assignment

---

Write code in the `sort(int[] a)` function in `InclassSort.java` to sort the input array in the way shown in the expected output.

Input => output

- 1,3,6 => 6,1,3
- 1,2,3,4,5,6 => 6,1,5,2,4,3
- 7,6,3,5,1,2,9 => 9,1,7,2,6,3,5
- 100,1,10,8,6,2,5,10,1 => 100,1,10,1,10,2,8,5,6

DO NOT EDIT other functions NOR add global variables.

# In-class Assignment

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## Deliverables

- Register your team with the TA.
- Include both your names at the top of the .java file as a comment.
- Write comment for each key operation.
- Cite referenced websites and others that helped you in the comments.
- Upload your .java file to the course website by the end of the class.
  - Only one of you in your team should upload the .java file.
  - The other person needs to make sure that the upload is successful.