

SPRING 2023

INFORMATION TECHNOLOGY RESEARCH

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Lecture slides are based on the supplemental materials of the textbook: <https://algs4.cs.princeton.edu>

Subtext of today's lecture (and this course)

Steps to developing a usable algorithm.

- Model the problem.
- Find an algorithm to solve it.
- Fast enough? Fits in memory?
- If not, figure out why not.
- Find a way to address the problem.
- Iterate until satisfied.

A little mathematical analysis.



<http://algs4.cs.princeton.edu>

1.5 UNION-FIND

- *dynamic connectivity*
- *quick find*
- *quick union*
- *improvements*
- *applications*

Dynamic connectivity problem

Given a set of N objects, support two operation:

- Connect two objects.
- Is there a path connecting the two objects?

connect 4 and 3

connect 3 and 8

connect 6 and 5

connect 9 and 4

connect 2 and 1

are 0 and 7 connected? ✗

are 8 and 9 connected? ✓

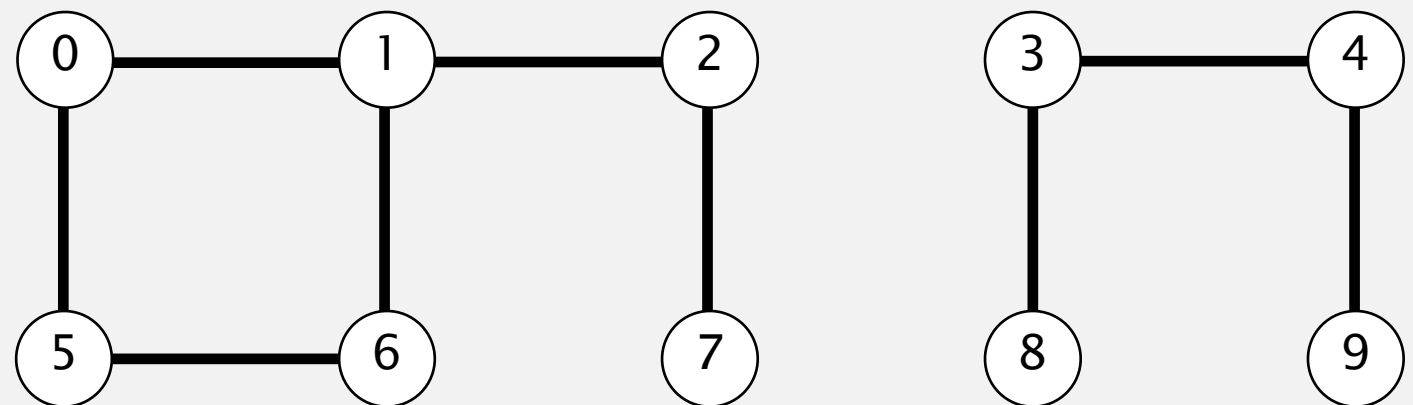
connect 5 and 0

connect 7 and 2

connect 6 and 1

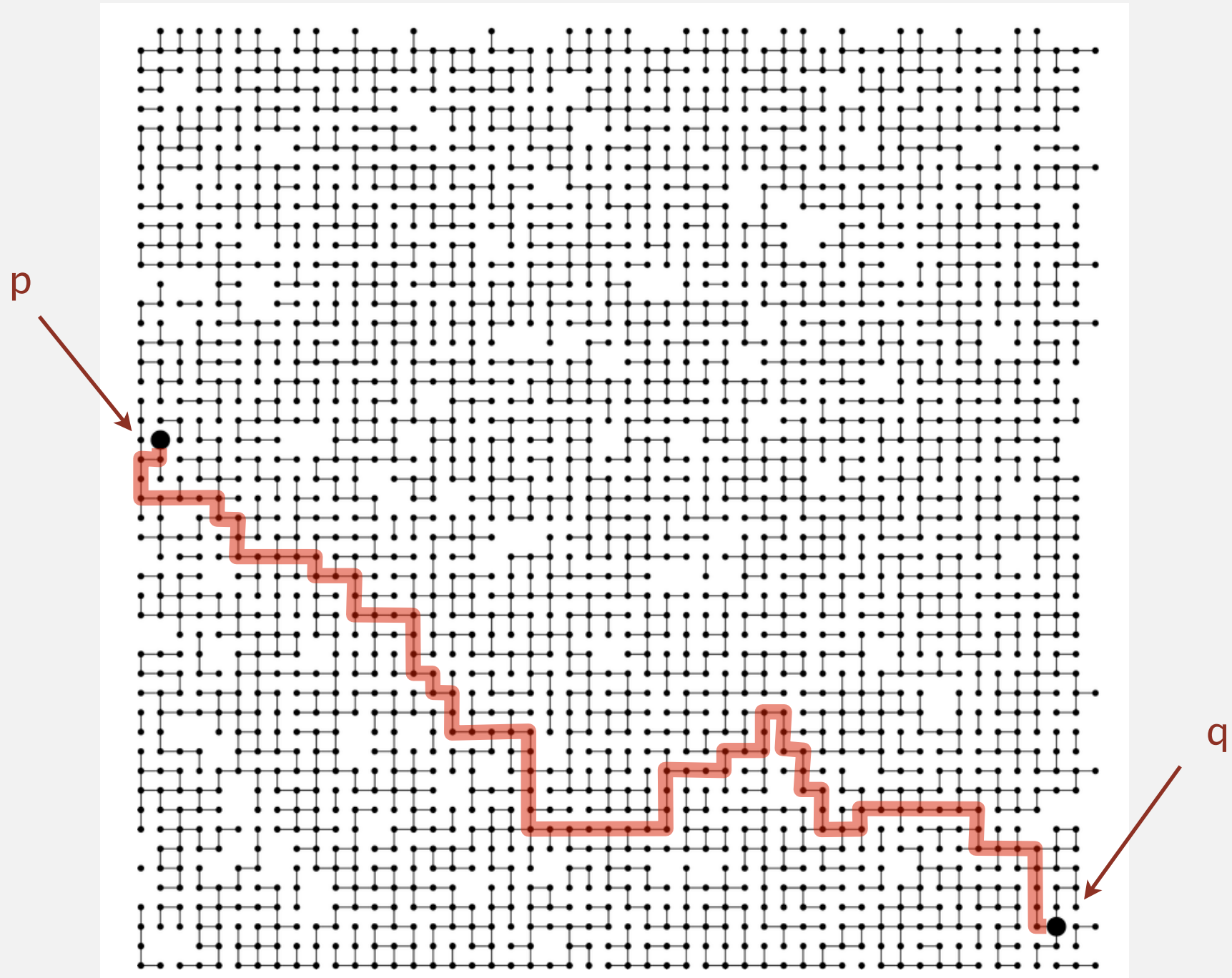
connect 1 and 0

are 0 and 7 connected? ✓



A larger connectivity example

Q. Is there a path connecting p and q ?



A. Yes.

Modeling the objects

Applications involve manipulating objects of all types.

- Pixels in a digital photo.
- Computers in a network.
- Friends in a social network.
- Transistors in a computer chip.
- Elements in a mathematical set.
- Variable names in a Fortran program.
- Metallic sites in a composite system.

When programming, convenient to name objects 0 to $N - 1$.

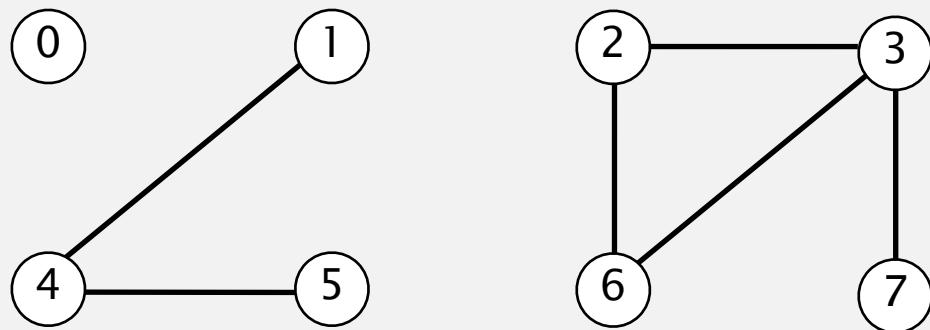
- Use integers as array index.
- Suppress details not relevant to union-find.

Modeling the connections

We assume "is connected to" is an equivalence relation:

- Reflexive: p is connected to p .
- Symmetric: if p is connected to q , then q is connected to p .
- Transitive: if p is connected to q and q is connected to r , then p is connected to r .

Connected component. Maximal **set** of objects that are mutually connected.



{ 0 } { 1 4 5 } { 2 3 6 7 }

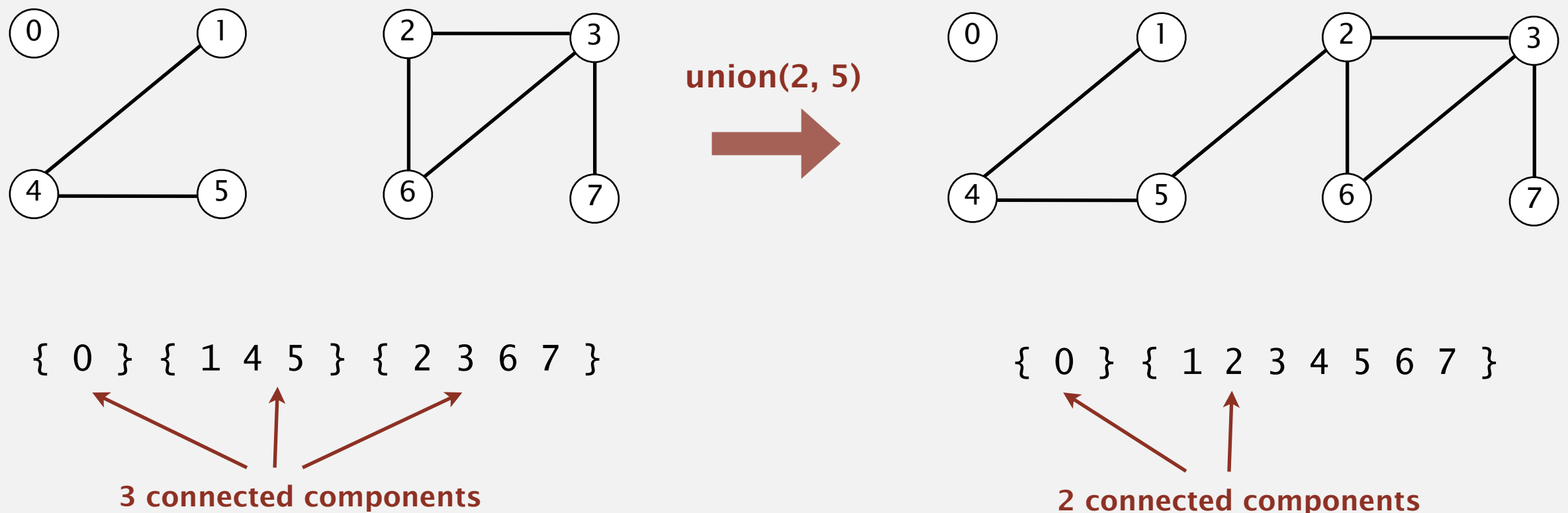
3 connected components

Implementing the operations

Find. In which component is object p ?

Connected. Are objects p and q in the same component?

Union. Replace components containing objects p and q with their union.



Union-find data type (API)

Goal. Design efficient data structure for union-find.

- Number of objects N can be huge.
- Number of operations M can be huge.
- Union and find operations may be intermixed.

```
public class UF
```

```
    UF(int N)
```

*initialize union-find data structure
with N singleton objects (0 to $N - 1$)*

```
    void union(int p, int q)
```

add connection between p and q

```
    int find(int p)
```

component identifier for p (0 to $N - 1$)

```
    boolean connected(int p, int q)
```

are p and q in the same component?

```
    public boolean connected(int p, int q)
    { return find(p) == find(q); }
```

1-line implementation of connected()

Dynamic-connectivity client

- Read in number of objects N from standard input.
- Repeat:
 - read in pair of integers from standard input
 - if they are not yet connected, connect them and print out pair

```
public static void main(String[] args)
{
    int N = StdIn.readInt();
    UF uf = new UF(N);
    while (!StdIn.isEmpty())
    {
        int p = StdIn.readInt();
        int q = StdIn.readInt();
        if (!uf.connected(p, q))
        {
            uf.union(p, q);
            StdOut.println(p + " " + q);
        }
    }
}
```

% more tinyUF.txt

10

4 3

3 8

6 5

9 4

2 1

8 9

5 0

7 2

6 1

1 0

6 7

already connected



* StdIn() & StdOut() are from the book authors: <https://algs4.cs.princeton.edu/code/>



<http://algs4.cs.princeton.edu>

1.5 UNION-FIND

- *dynamic connectivity*
- *quick find*
- *quick union*
- *improvements*
- *applications*

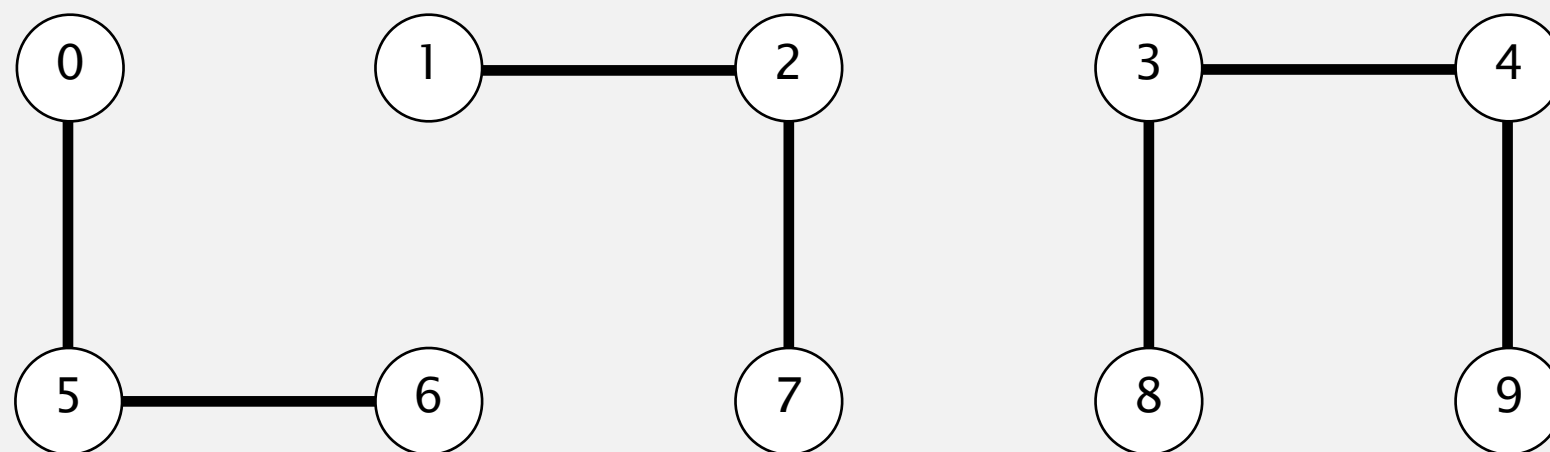
Quick-find [eager approach]

Data structure.

- Integer array `id[]` of length `N`.
- Interpretation: `id[p]` is the id of the component containing `p`.

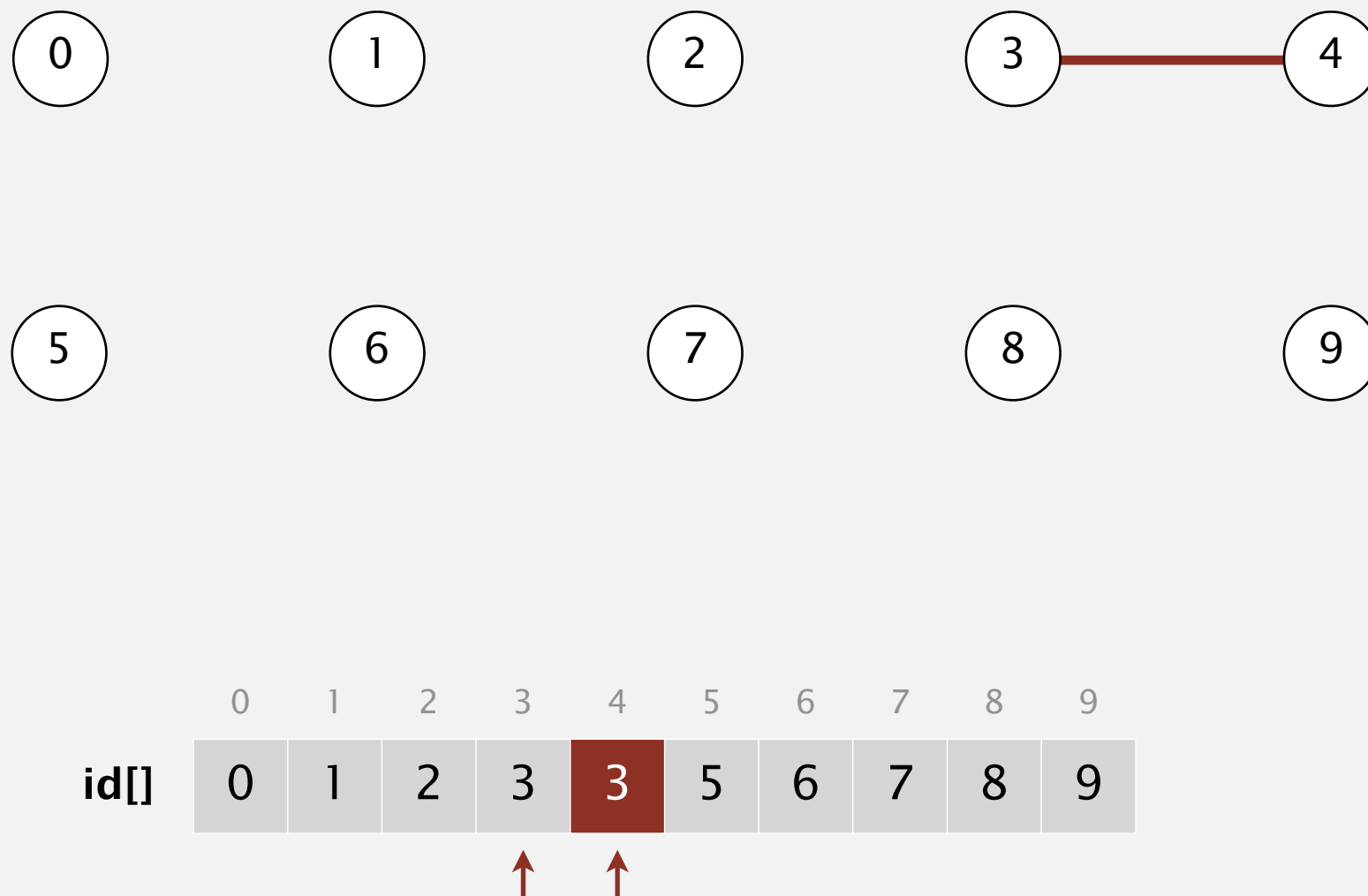
	0	1	2	3	4	5	6	7	8	9
<code>id[]</code>	0	1	1	8	8	0	0	1	8	8

0, 5 and 6 are connected
1, 2, and 7 are connected
3, 4, 8, and 9 are connected



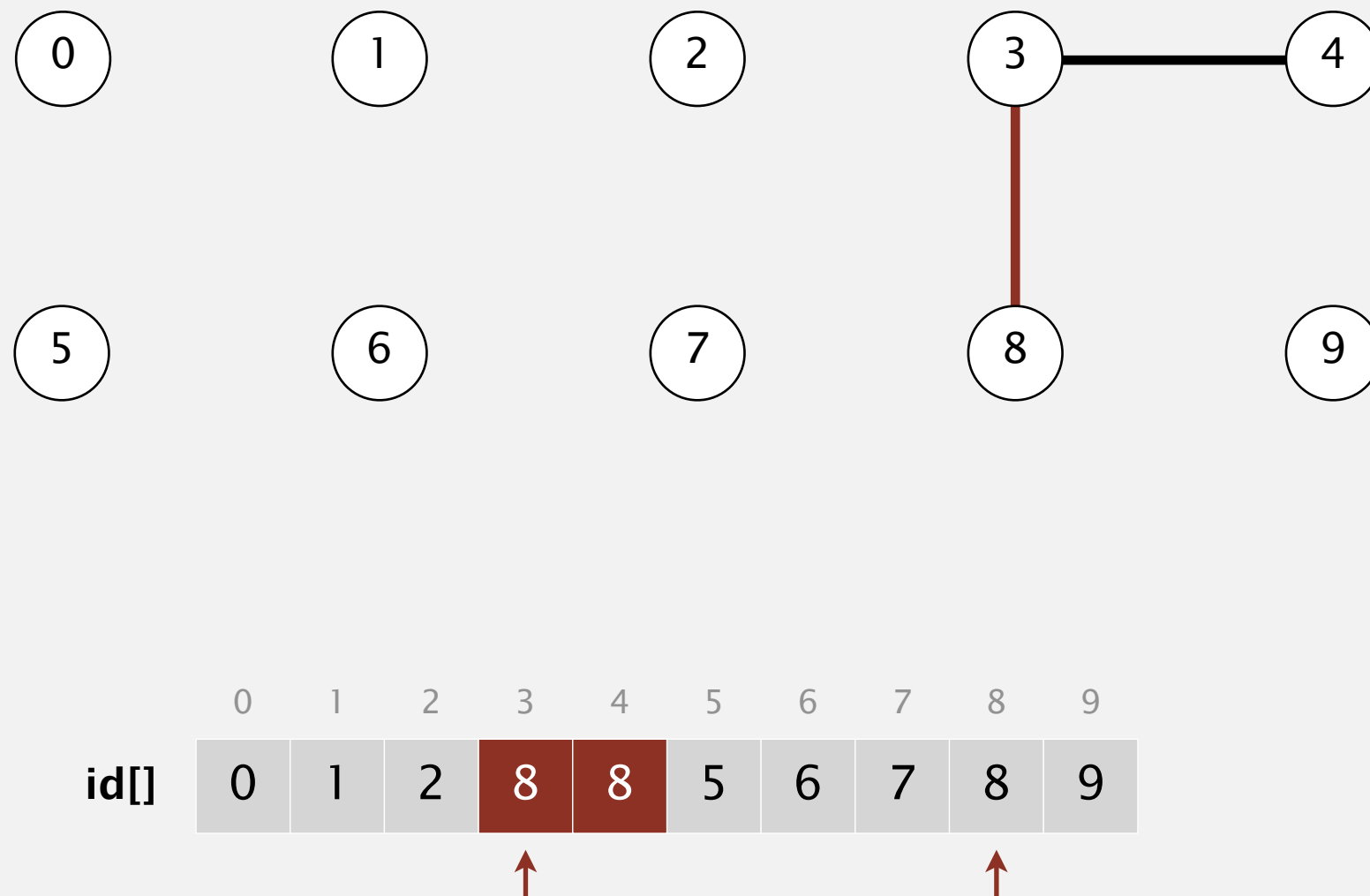
Quick-find demo

union(4, 3)



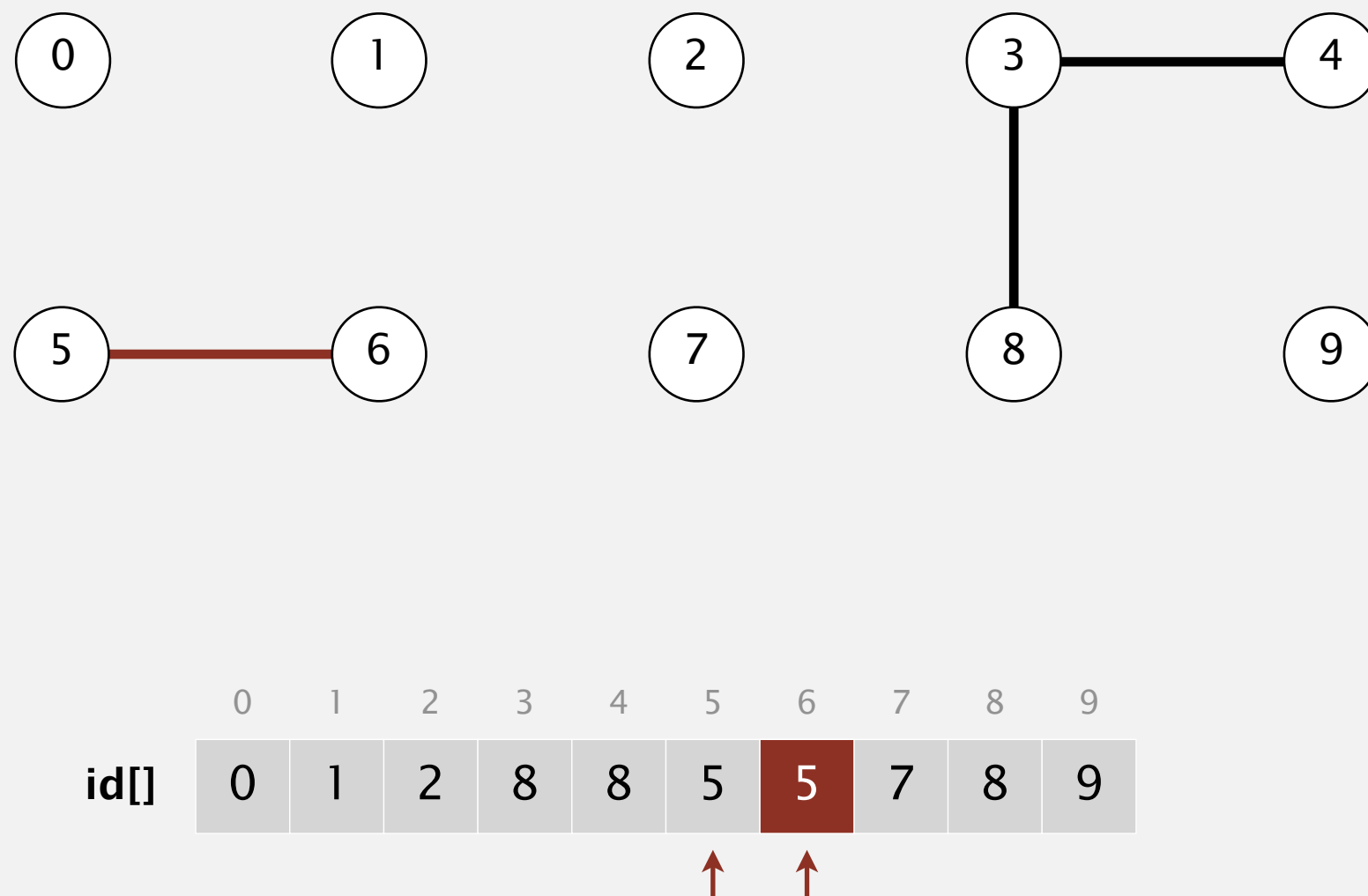
Quick-find demo

union(3, 8)



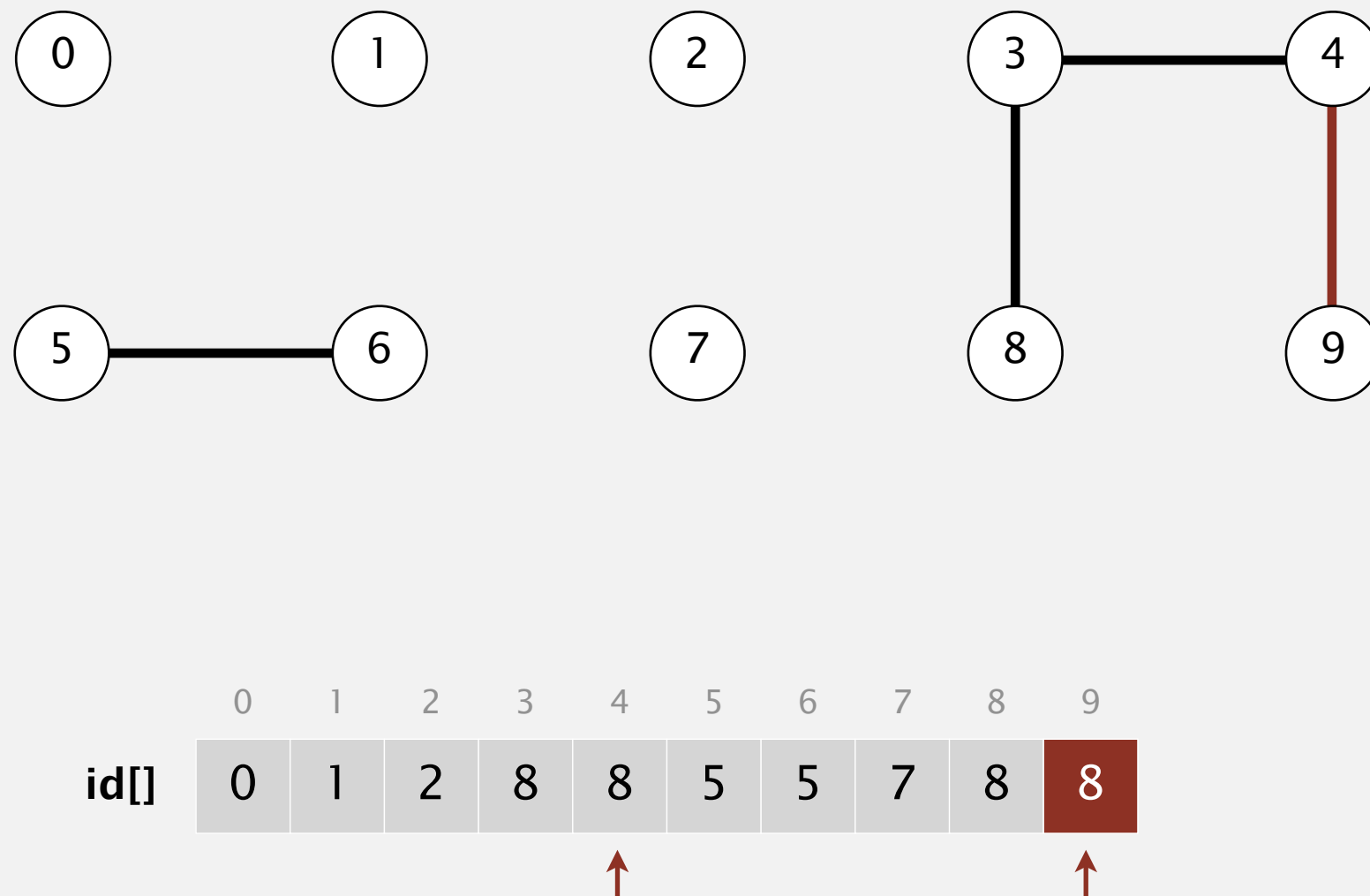
Quick-find demo

union(6, 5)



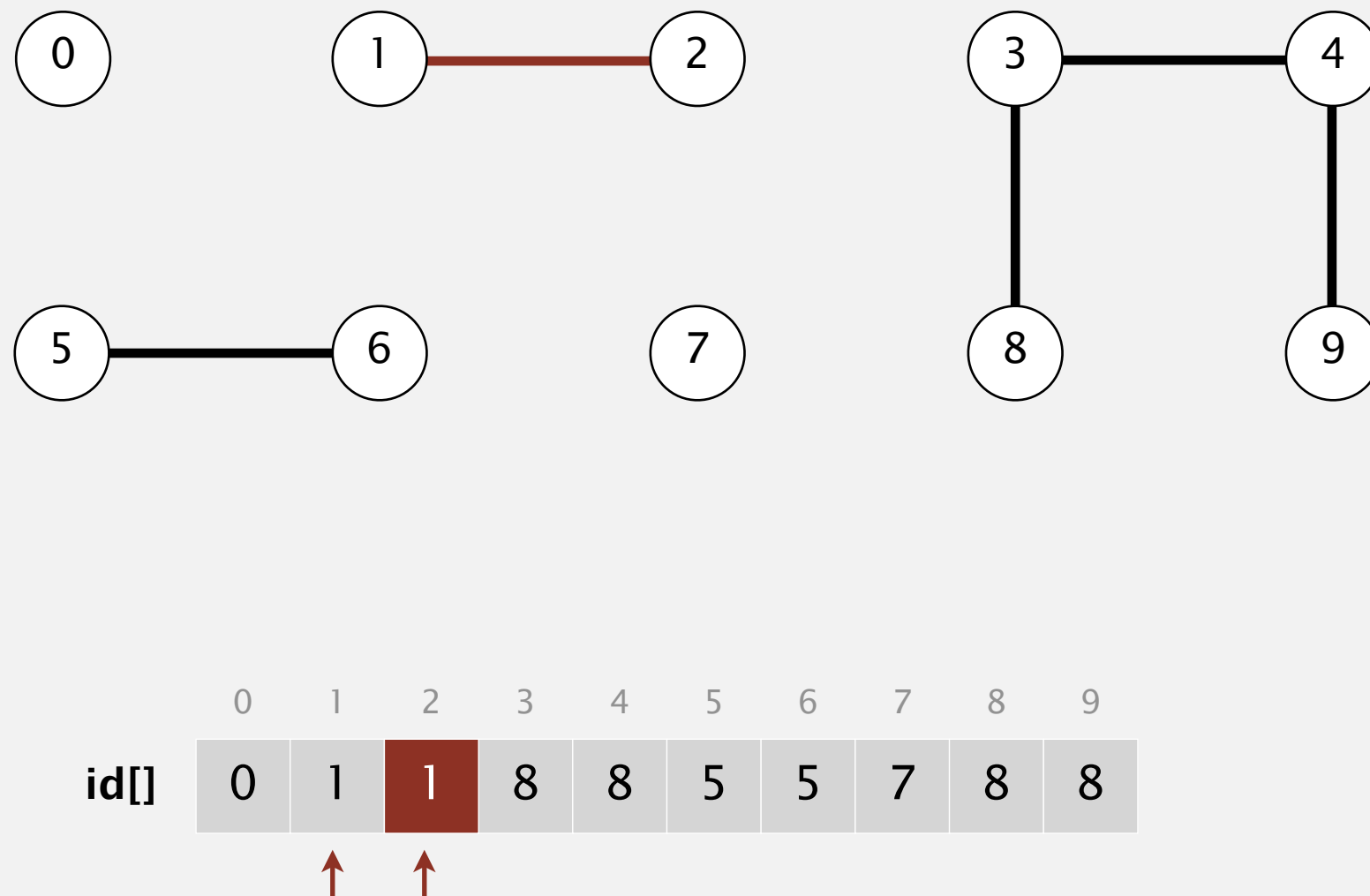
Quick-find demo

union(9, 4)



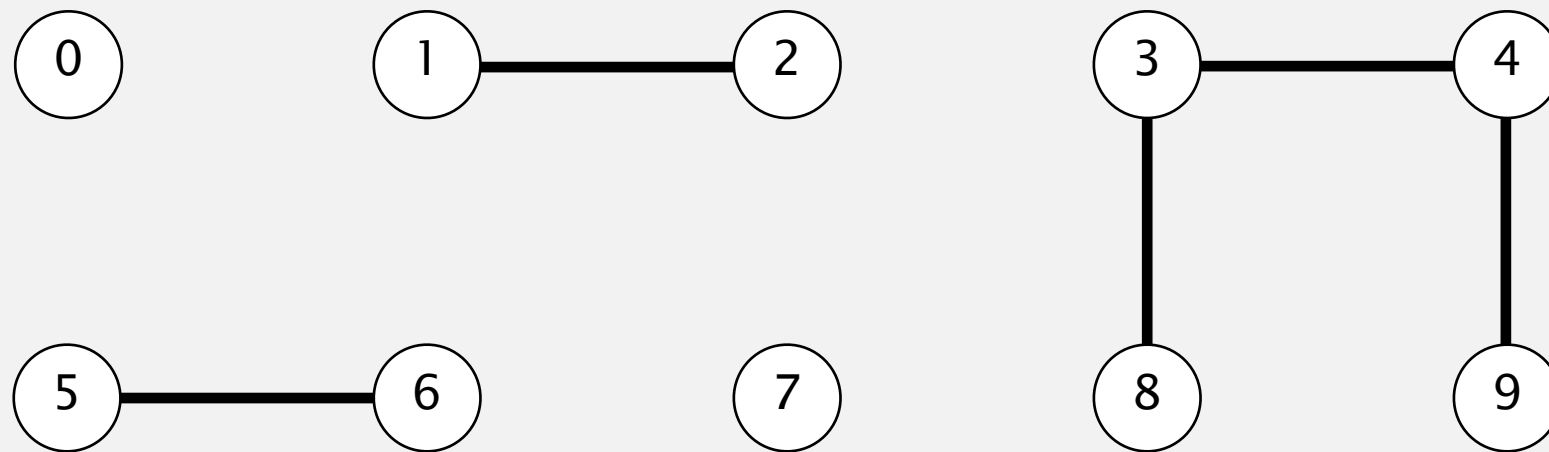
Quick-find demo

union(2, 1)



Quick-find demo

connected(8, 9)

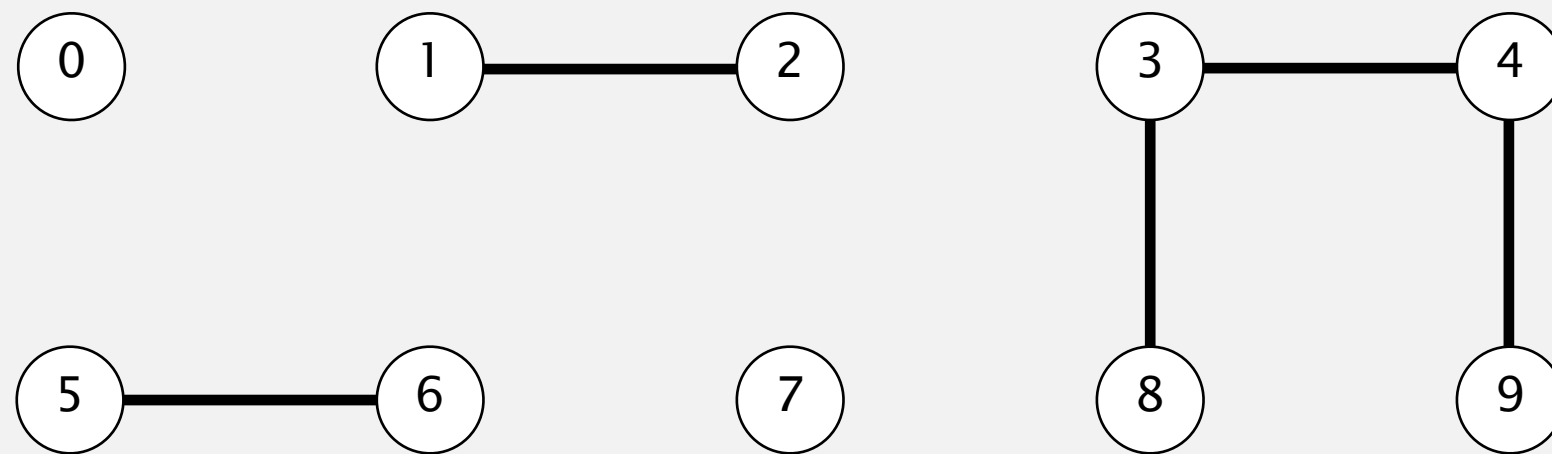


	0	1	2	3	4	5	6	7	8	9
id[]	0	1	1	8	8	5	5	7	8	8

↑ ↑
already connected

Quick-find demo

connected(5, 0)



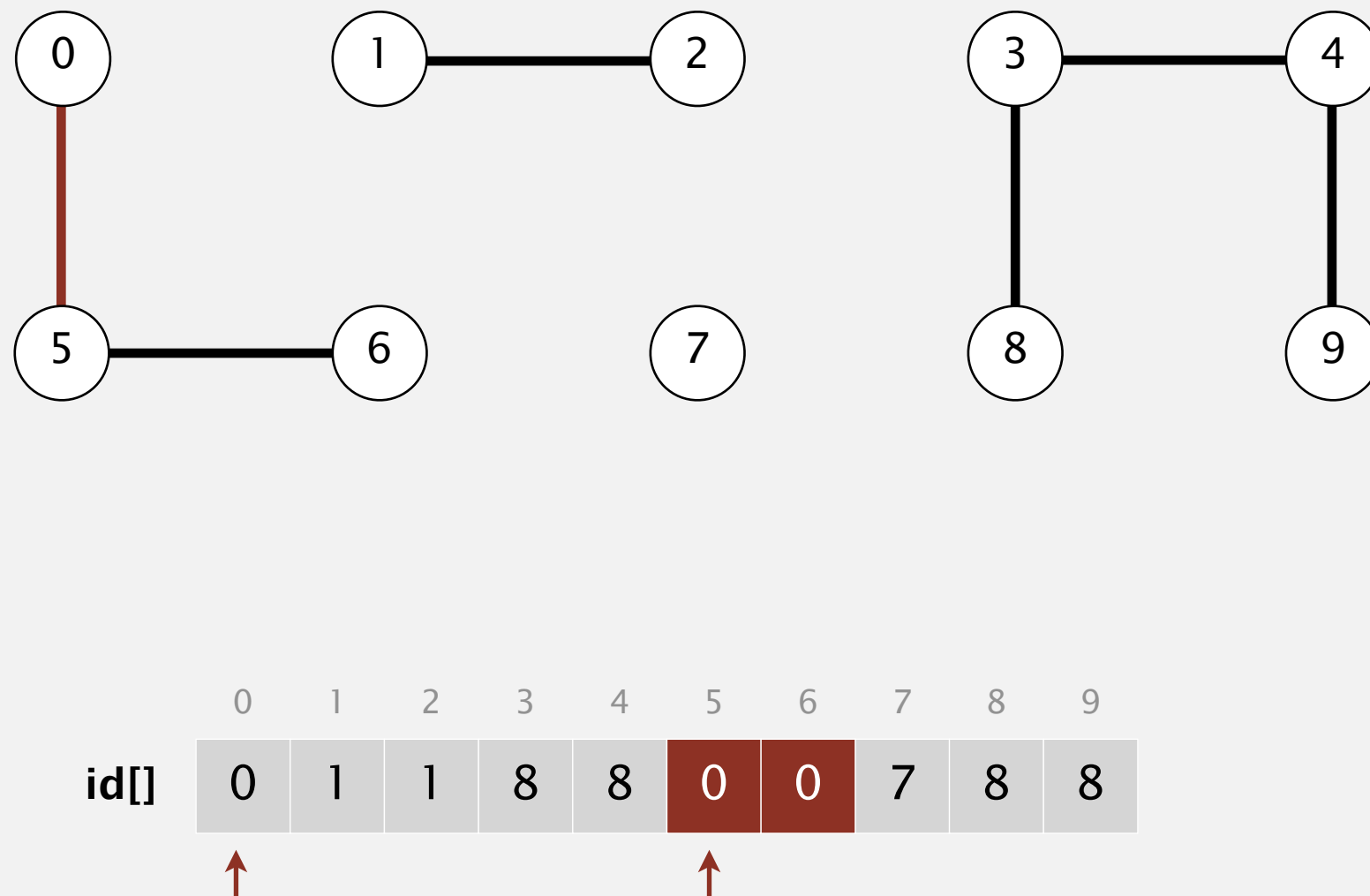
	0	1	2	3	4	5	6	7	8	9
id[]	0	1	1	8	8	5	5	7	8	8

Diagram illustrating the initial state of the `id[]` array. The array contains the values: 0, 1, 1, 8, 8, 5, 5, 7, 8, 8. Red arrows point to the first element (0) and the sixth element (5).

not connected

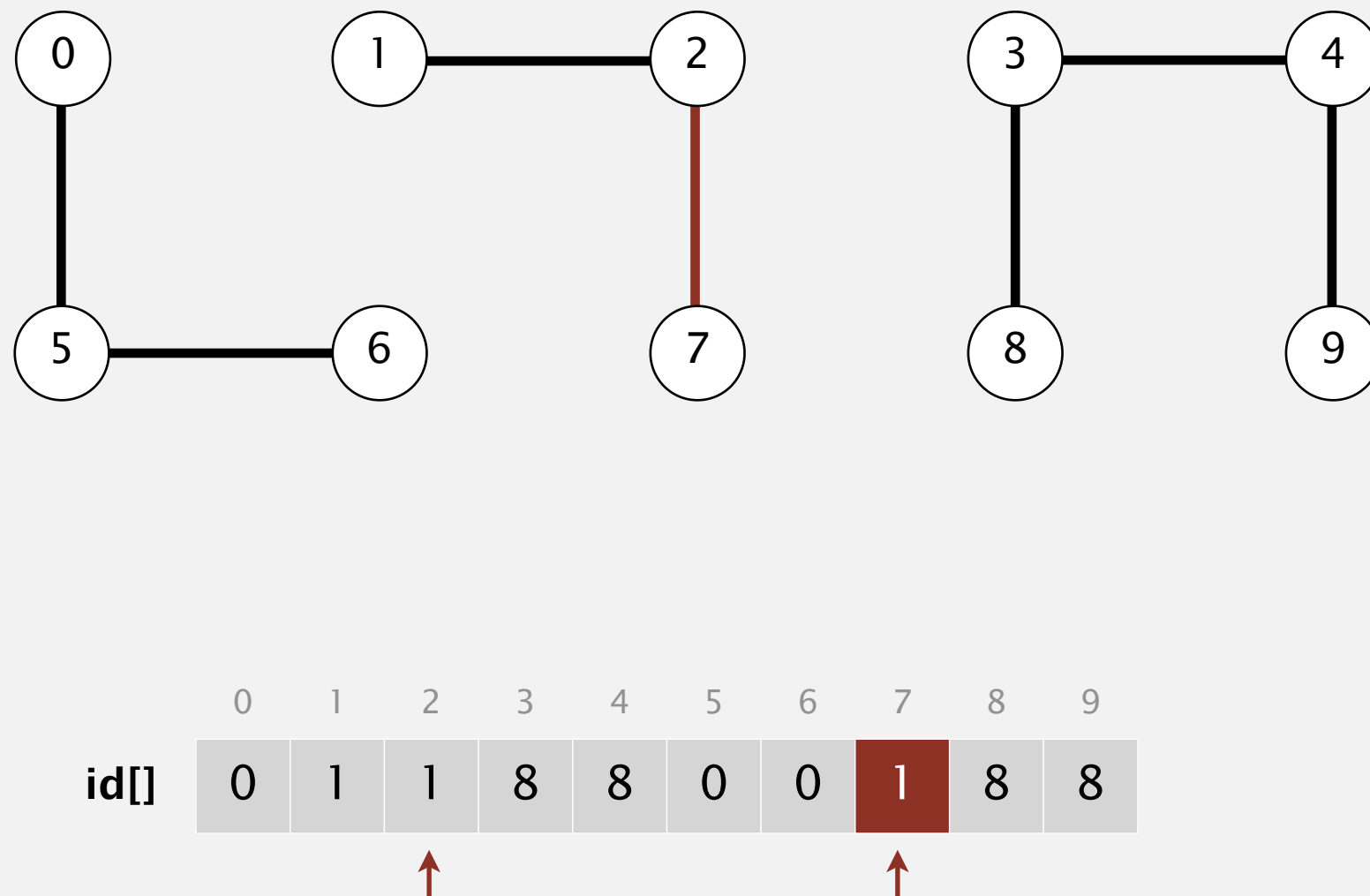
Quick-find demo

union(5, 0)



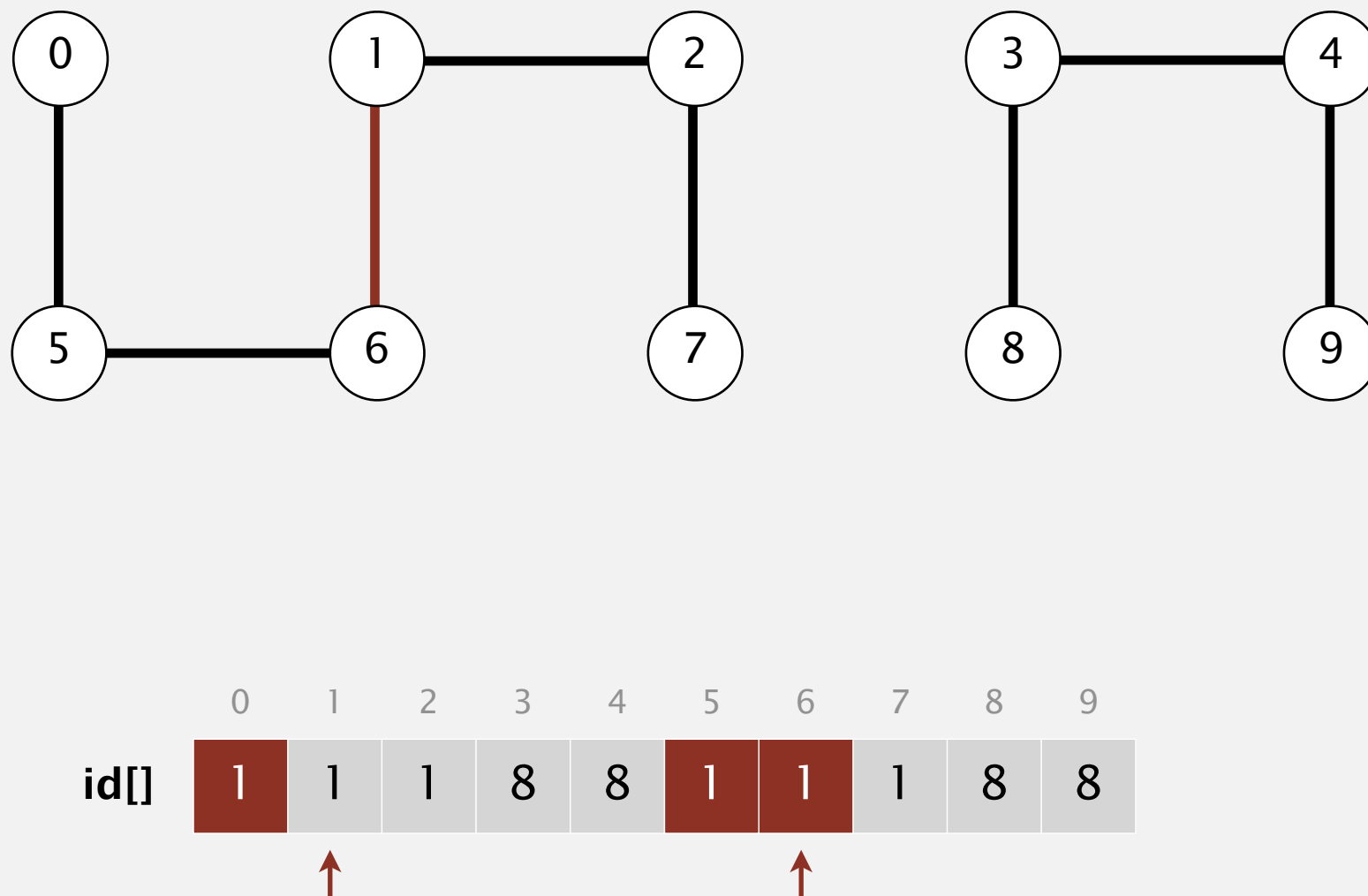
Quick-find demo

union(7, 2)



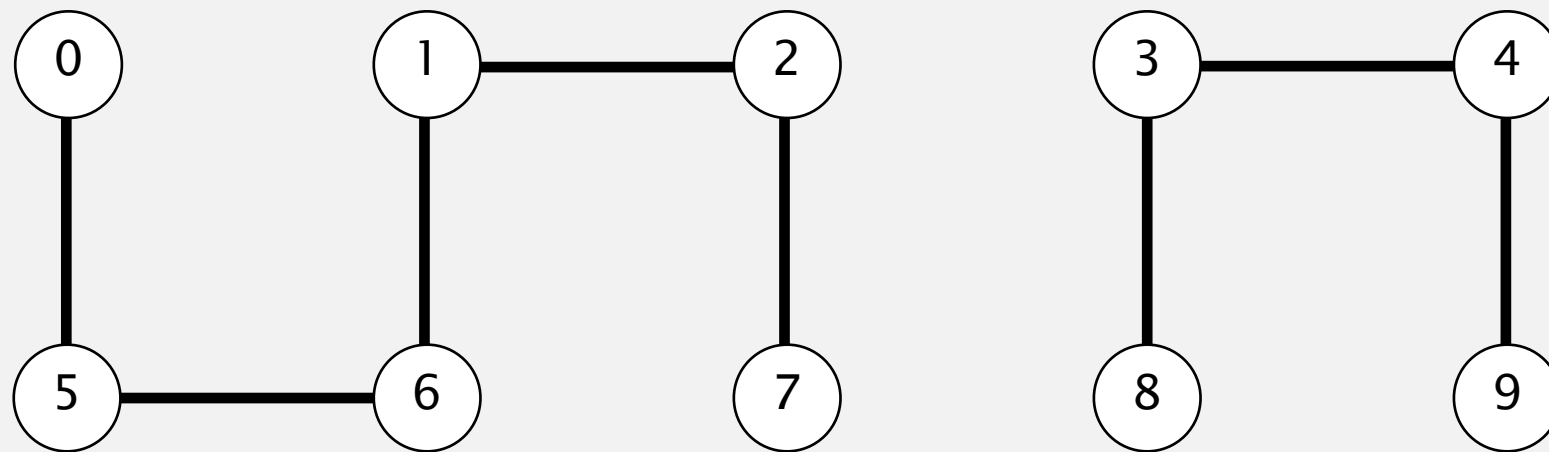
Quick-find demo

union(6, 1)



Quick-find demo

connected(1, 0)



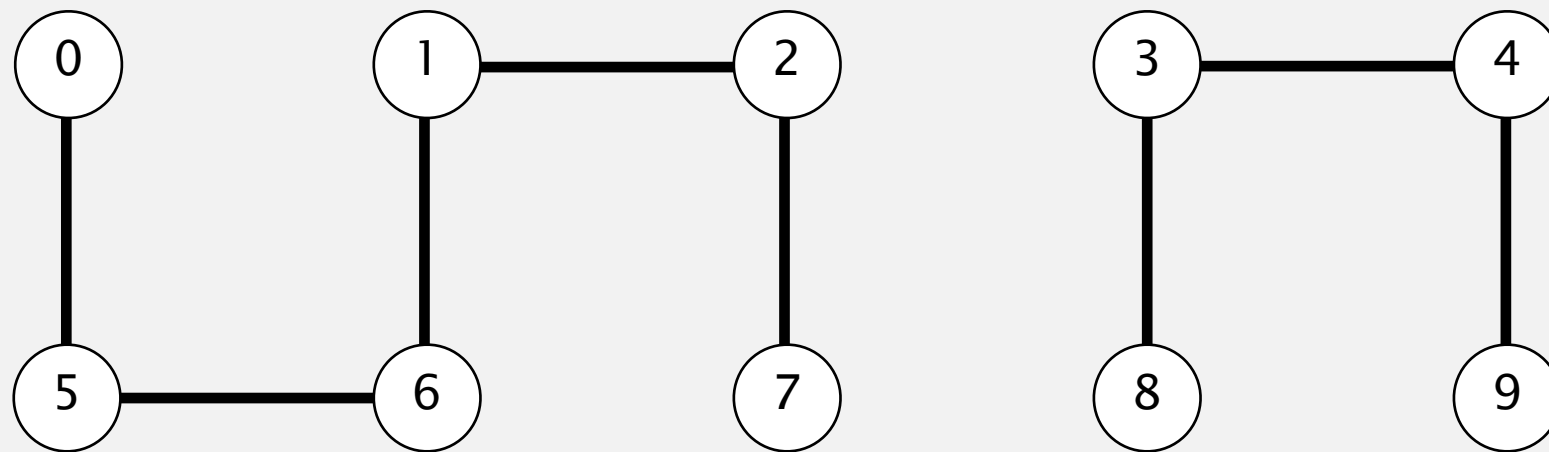
	0	1	2	3	4	5	6	7	8	9
id[]	1	1	1	8	8	1	1	1	8	8

↑ ↑

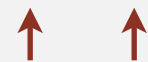
already connected

Quick-find demo

connected(6, 7)

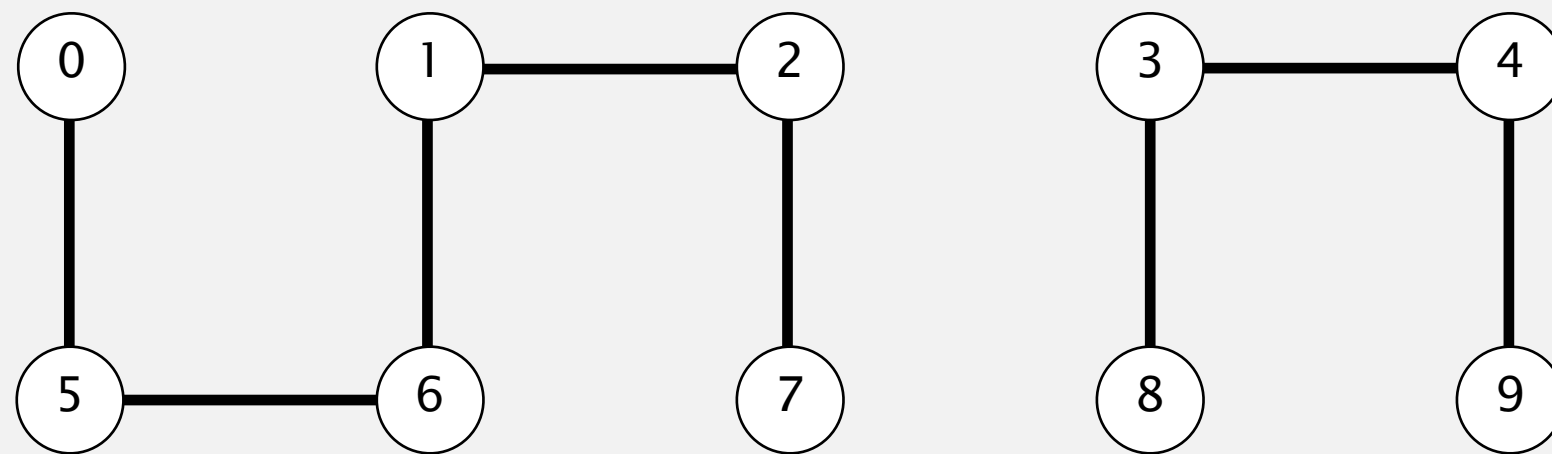


	0	1	2	3	4	5	6	7	8	9
id[]	1	1	1	8	8	1	1	1	8	8



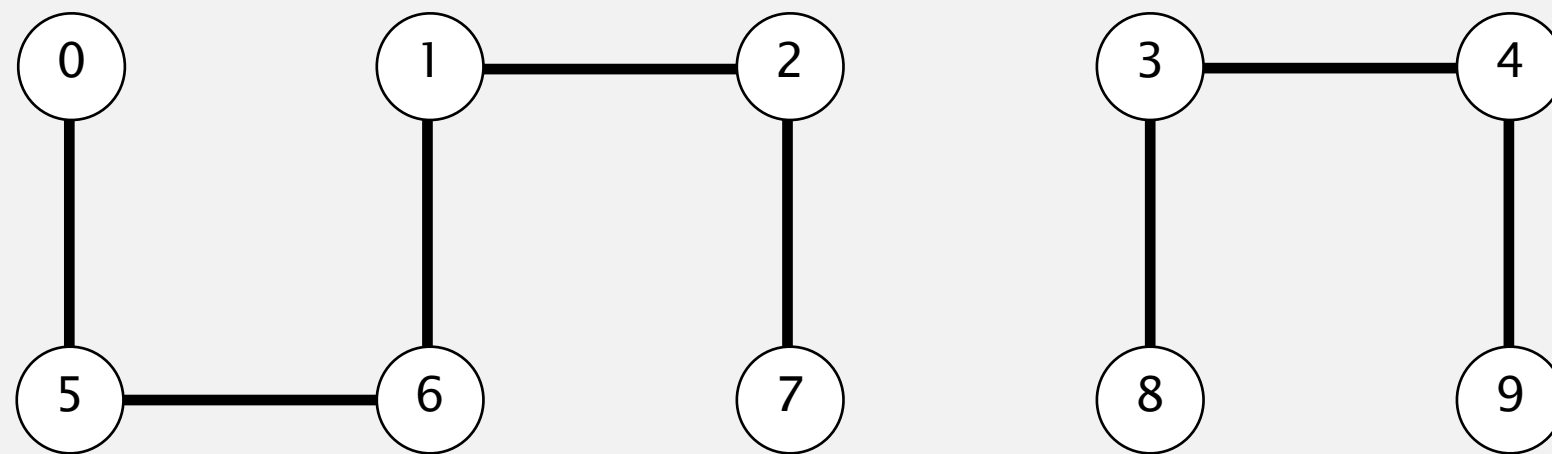
already connected

Quick-find demo



	0	1	2	3	4	5	6	7	8	9
id[]	1	1	1	8	8	1	1	1	8	8

Quick-find demo



	0	1	2	3	4	5	6	7	8	9
id[]	1	1	1	8	8	1	1	1	8	8

Quick-find: Java implementation

```
public class QuickFindUF  
{
```

```
    private int[] id;
```

```
    public QuickFindUF(int N)  
{
```

```
        id = new int[N];  
        for (int i = 0; i < N; i++)  
            id[i] = i;
```

← set id of each object to itself
(N array accesses)

```
    }
```

```
    public int find(int p)  
    { return id[p]; }
```

← return the id of p
(1 array access)

```
    public void union(int p, int q)  
    {
```

```
        What to write here? 5 mins.
```

← change all entries with id[p] to id[q]
(at most $2N + 2$ array accesses)

```
    }
```

```
}
```

Quick-find: Java implementation

```
public class QuickFindUF
{
```

```
    private int[] id;
```

```
    public QuickFindUF(int N)
    {
```

```
        id = new int[N];
        for (int i = 0; i < N; i++)
            id[i] = i;
```

← set id of each object to itself
(N array accesses)

```
    }
```

```
    public int find(int p)
    { return id[p]; }
```

← return the id of p
(1 array access)

```
    public void union(int p, int q)
    {
```

```
        int pid = id[p];
        int qid = id[q];
        for (int i = 0; i < id.length; i++)
            if (id[i] == pid) id[i] = qid;
```

← change all entries with id[p] to id[q]
(at most $2N + 2$ array accesses)

```
    }
```

```
}
```


Quick-find is too slow

Cost model. Number of array accesses (for read or write).

algorithm	initialize	union	find	connected
quick-find	N	N	1	1

order of growth of number of array accesses

Union is too expensive. It takes N^2 array accesses to process a sequence of N union operations on N objects.

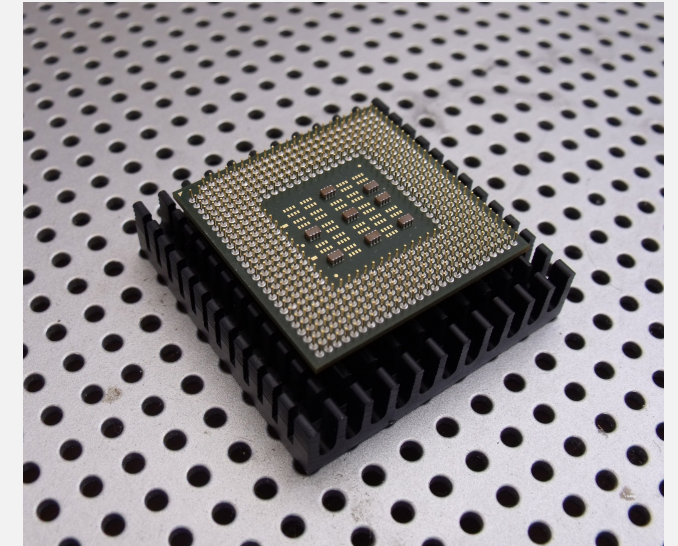
 quadratic

Quadratic algorithms do not scale

Rough standard (for now).

- 10^9 operations per second.
- 10^9 words of main memory.
- Touch all words in approximately 1 second.

a truism (roughly)
since 1950!

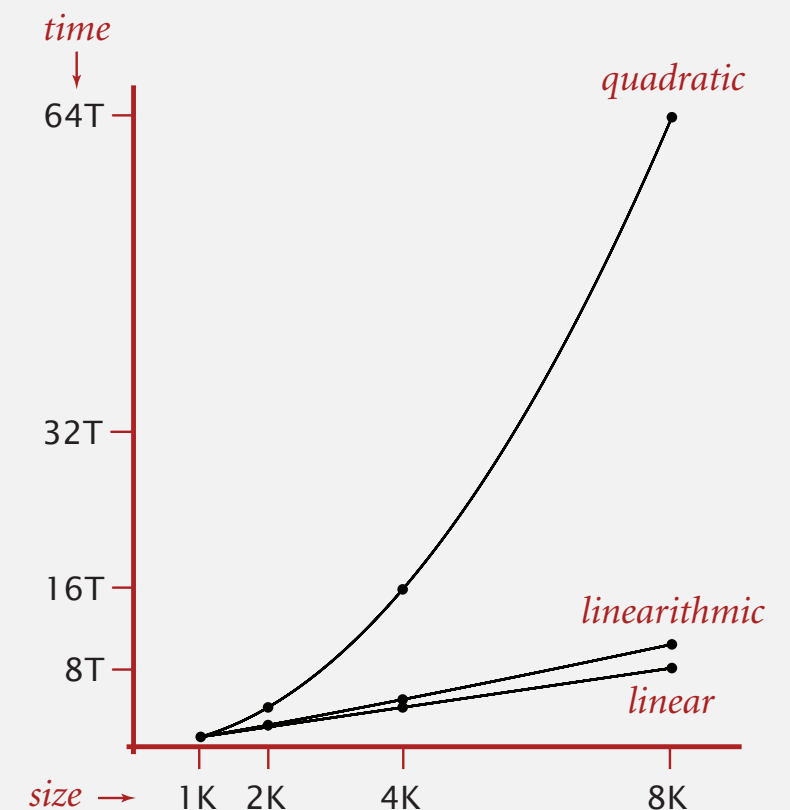


Ex. Huge problem for quick-find.

- 10^9 union commands on 10^9 objects.
- Quick-find takes more than 10^{18} operations.
- 30+ years of computer time!

Quadratic algorithms don't scale with technology.

- New computer may be 10x as fast.
- But, has 10x as much memory \Rightarrow want to solve a problem that is 10x as big.
- With quadratic algorithm, takes 10x as long!





<http://algs4.cs.princeton.edu>

1.5 UNION-FIND

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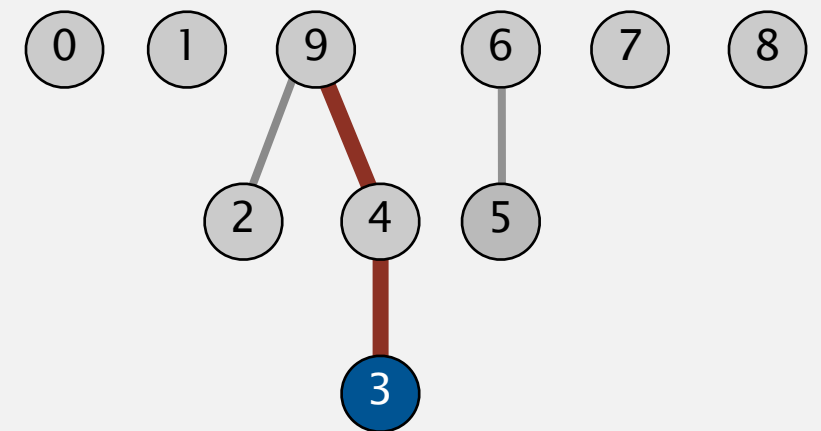
Quick-union [lazy approach]

Data structure.

- Integer array `id[]` of length `N`.
- Interpretation: `id[i]` is parent of `i`.
- **Root** of `i` is `id[id[id[...id[i]...]]]`.

	0	1	2	3	4	5	6	7	8	9
<code>id[]</code>	0	1	9	4	9	6	6	7	8	9

keep going until it doesn't change
(algorithm ensures no cycles)



parent of 3 is 4

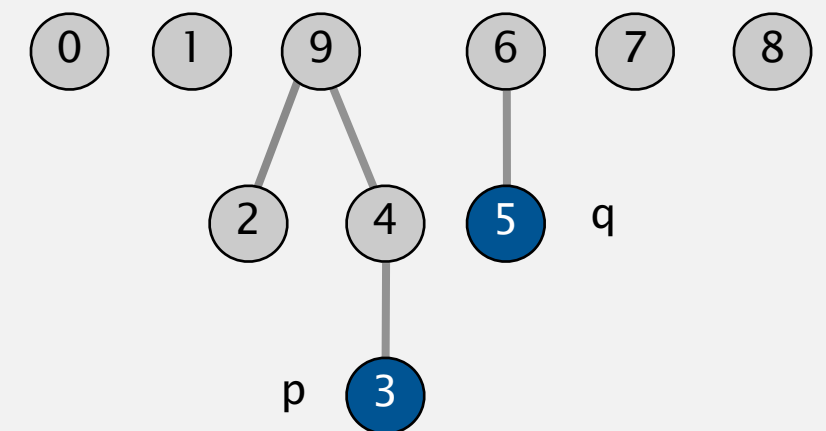
root of 3 is 9

Quick-union [lazy approach]

Data structure.

- Integer array `id[]` of length `N`.
- Interpretation: `id[i]` is parent of `i`.
- Root of `i` is `id[id[id[...id[i]...]]]`.

	0	1	2	3	4	5	6	7	8	9
<code>id[]</code>	0	1	9	4	9	6	6	7	8	9



root of 3 is 9

root of 5 is 6

3 and 5 are not connected

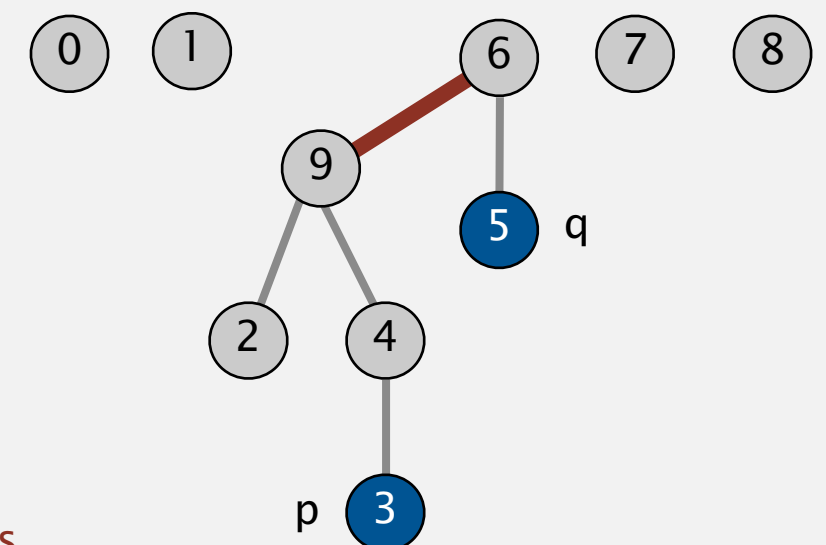
Find. What is the root of `p`?

Connected. Do `p` and `q` have the same root?

Union. To merge components containing `p` and `q`, set the `id` of `p`'s root to the `id` of `q`'s root.

	0	1	2	3	4	5	6	7	8	9
<code>id[]</code>	0	1	9	4	9	6	6	7	8	6

↑
only one value changes



Quick-union demo



	0	1	2	3	4	5	6	7	8	9
id[]	0	1	2	3	4	5	6	7	8	9

Quick-union demo

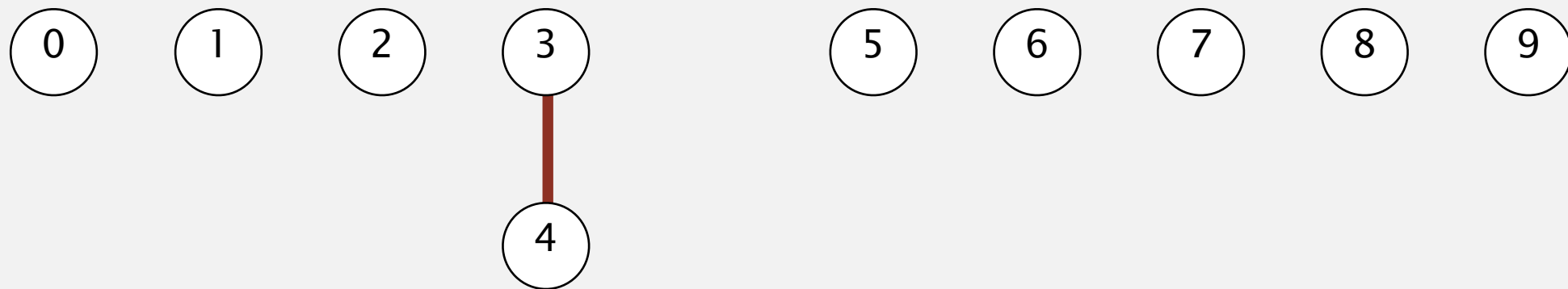
union(4, 3)



	0	1	2	3	4	5	6	7	8	9
id[]	0	1	2	3	4	5	6	7	8	9

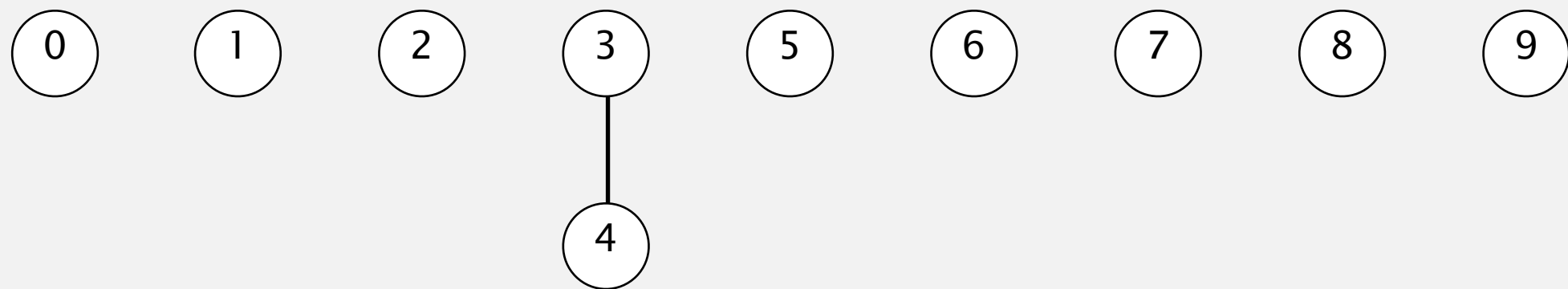
Quick-union demo

union(4, 3)



	0	1	2	3	4	5	6	7	8	9
id[]	0	1	2	3	3	5	6	7	8	9

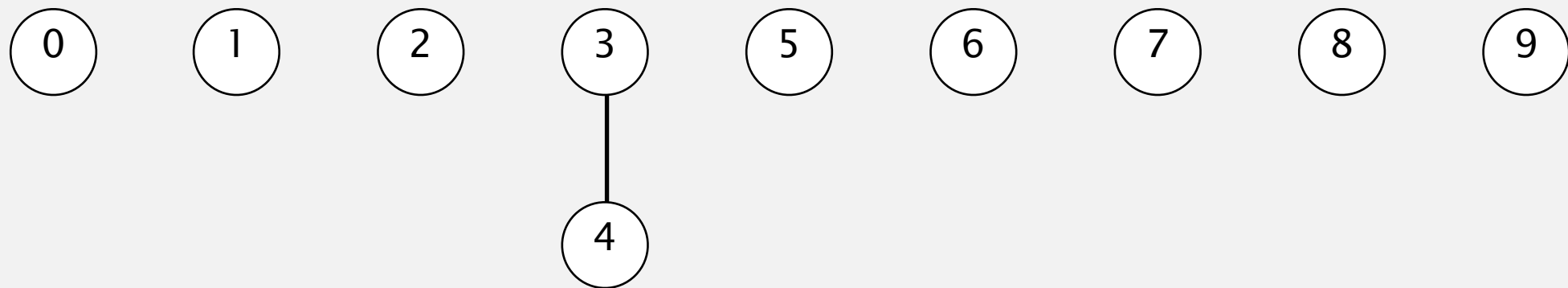
Quick-union demo



	0	1	2	3	4	5	6	7	8	9
id[]	0	1	2	3	3	5	6	7	8	9

Quick-union demo

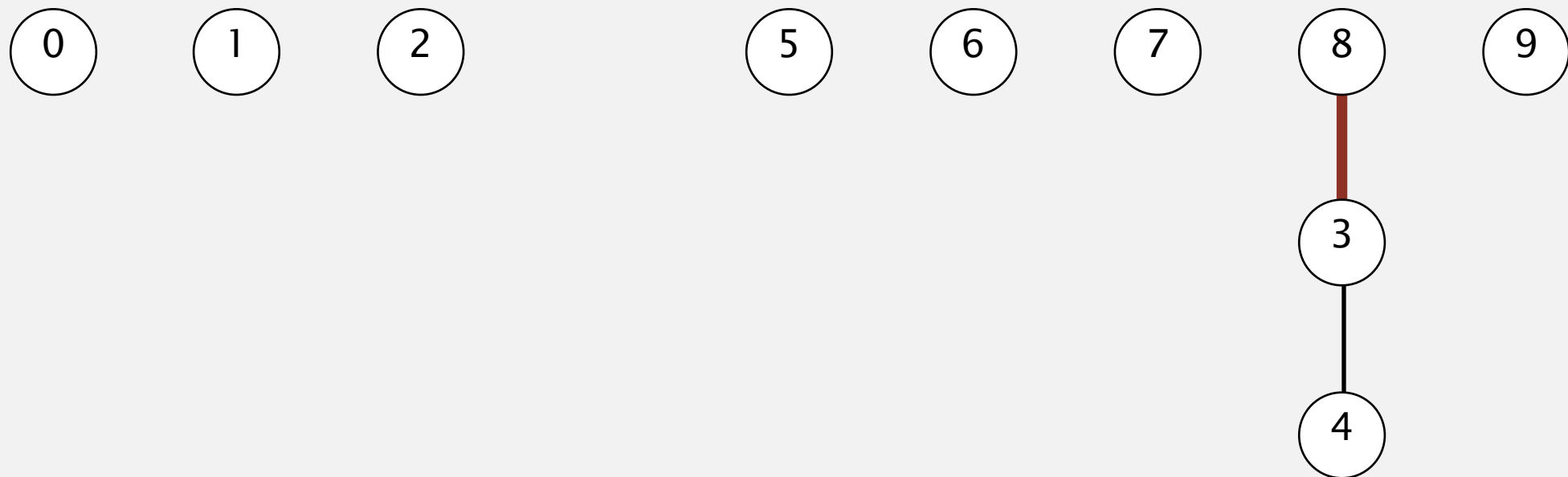
union(3, 8)



	0	1	2	3	4	5	6	7	8	9
id[]	0	1	2	3	3	5	6	7	8	9

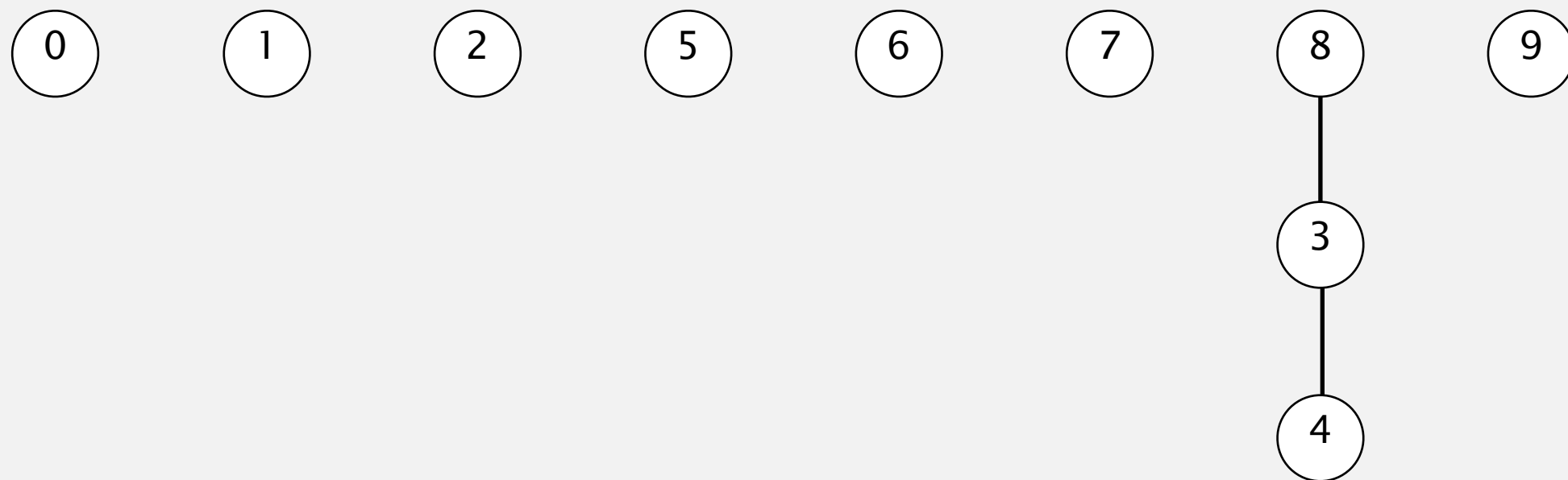
Quick-union demo

union(3, 8)



	0	1	2	3	4	5	6	7	8	9
id[]	0	1	2	8	3	5	6	7	8	9

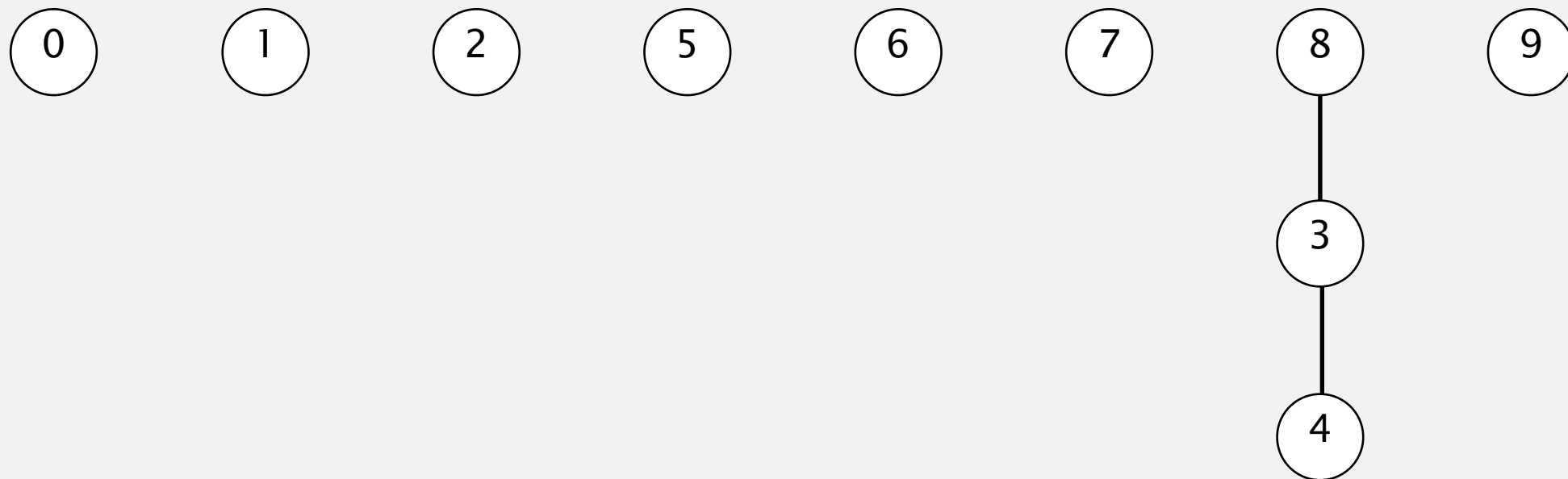
Quick-union demo



	0	1	2	3	4	5	6	7	8	9
id[]	0	1	2	8	3	5	6	7	8	9

Quick-union demo

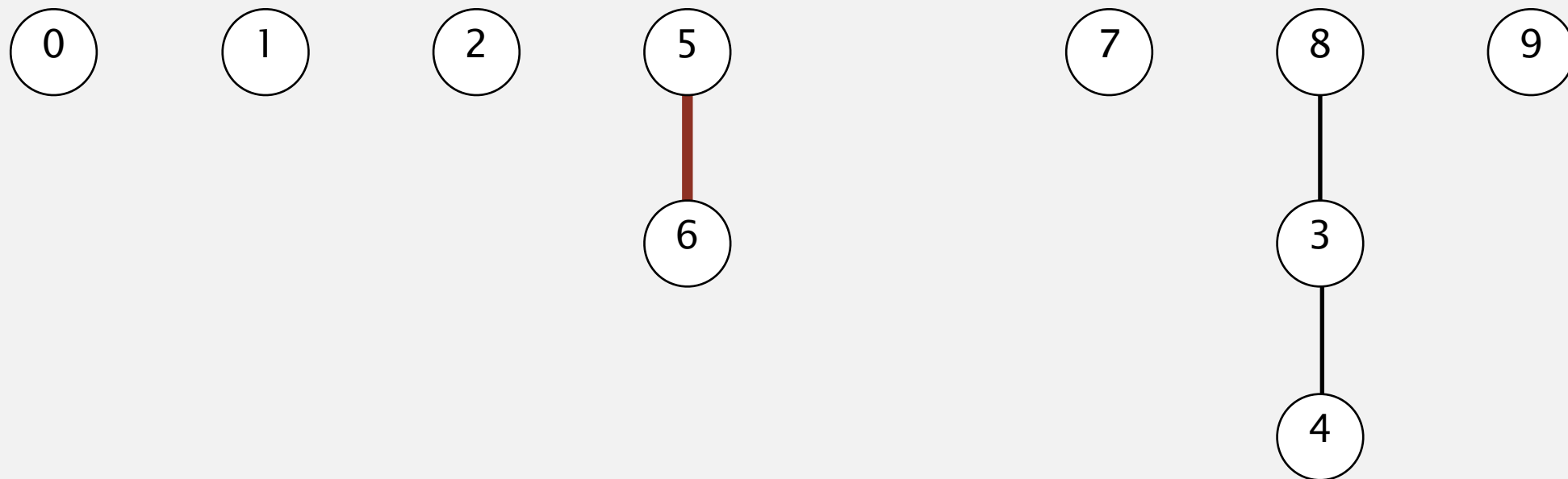
union(6, 5)



	0	1	2	3	4	5	6	7	8	9
id[]	0	1	2	8	3	5	6	7	8	9

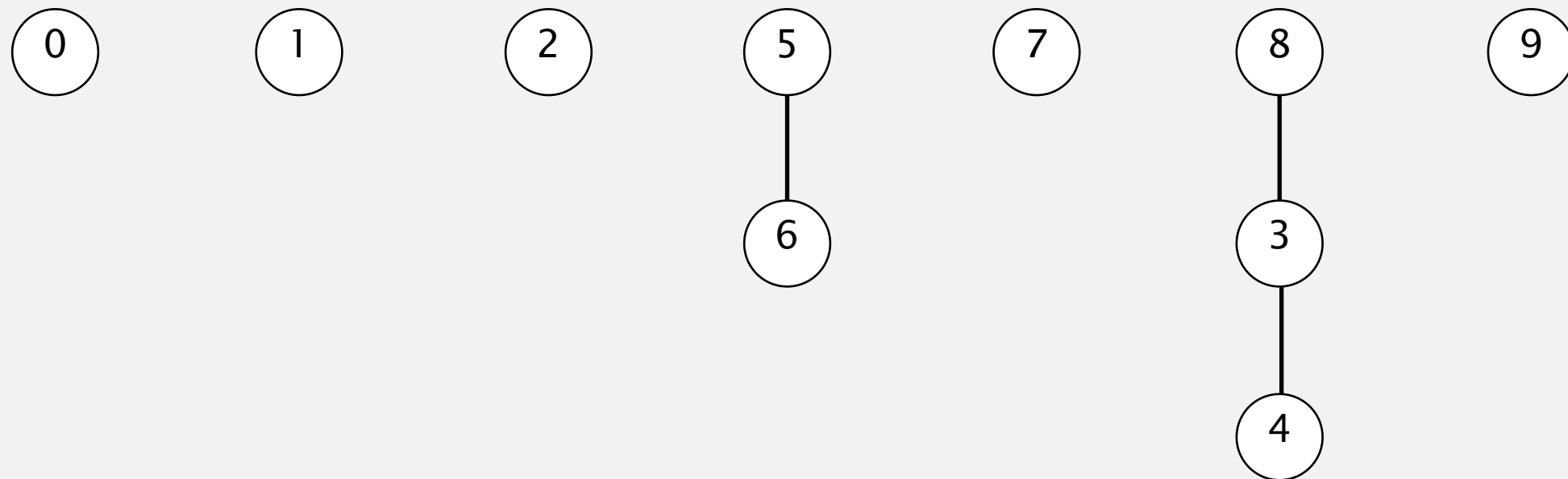
Quick-union demo

union(6, 5)



	0	1	2	3	4	5	6	7	8	9
id[]	0	1	2	8	3	5	5	7	8	9

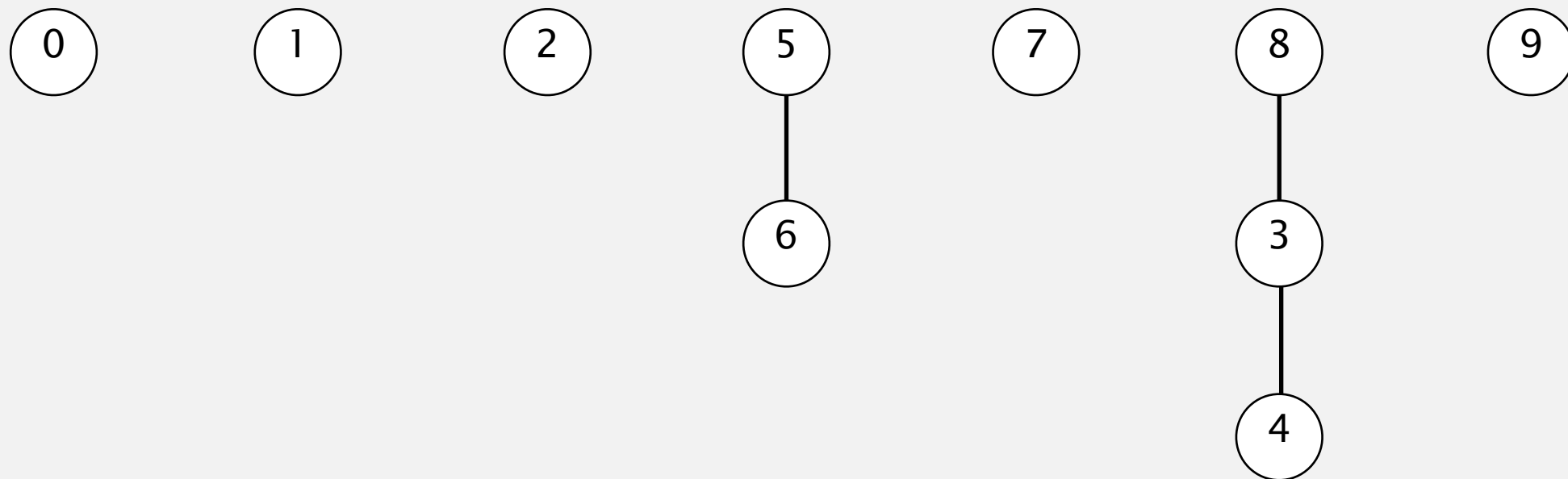
Quick-union demo



	0	1	2	3	4	5	6	7	8	9
id[]	0	1	2	8	3	5	5	7	8	9

Quick-union demo

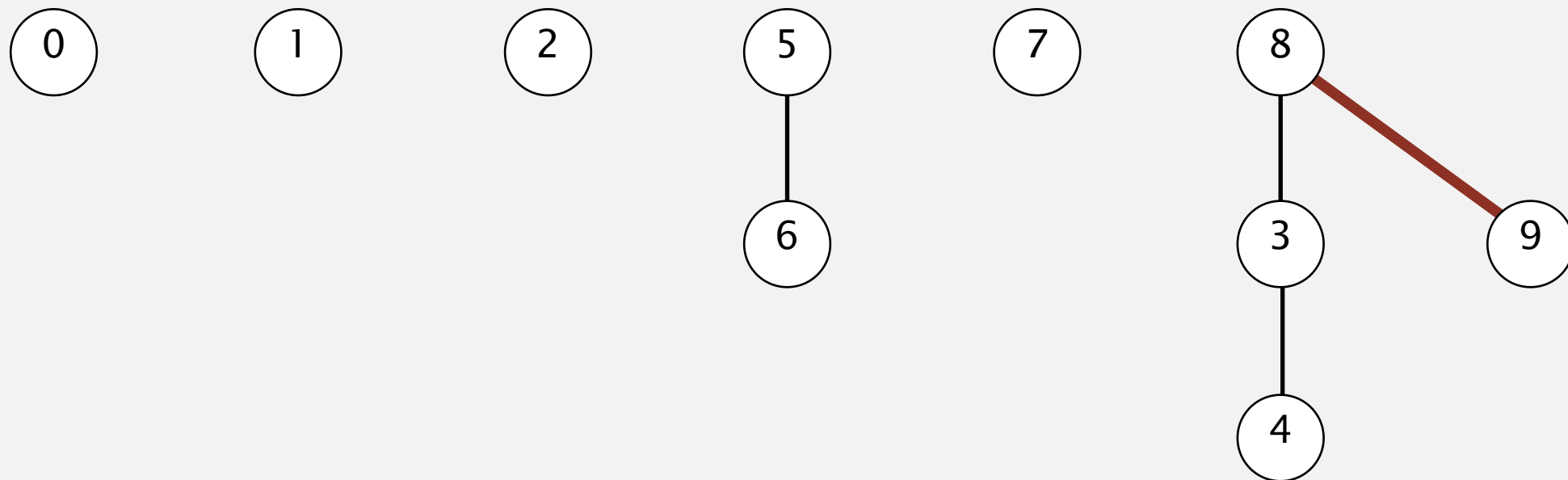
union(9, 4)



	0	1	2	3	4	5	6	7	8	9
id[]	0	1	2	8	3	5	5	7	8	9

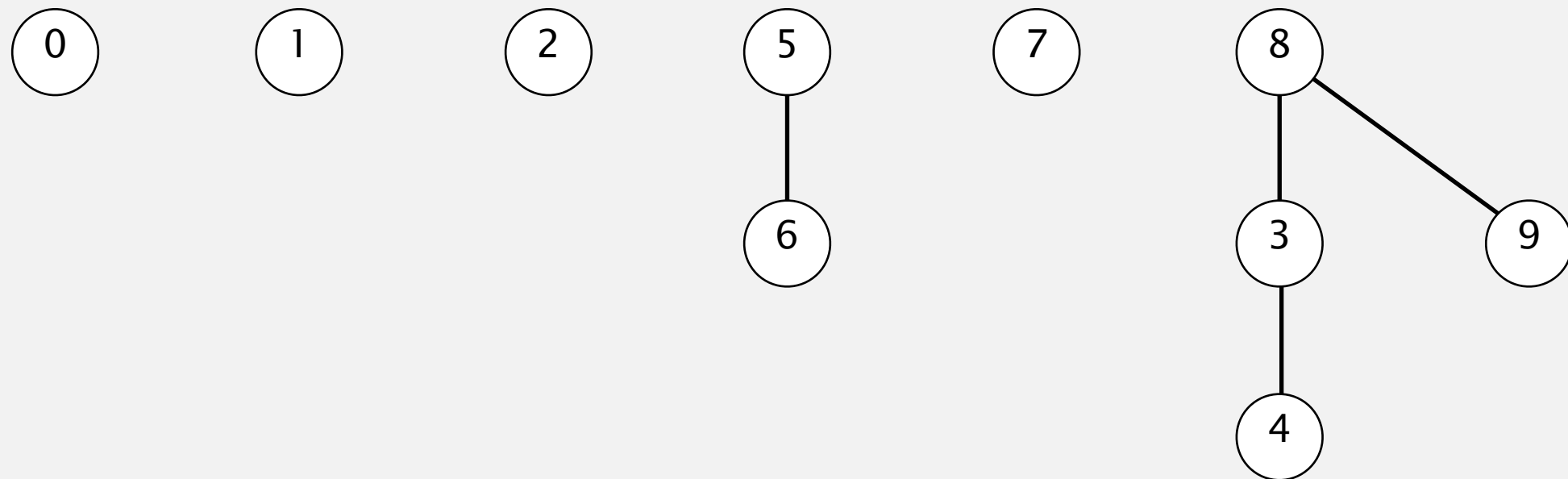
Quick-union demo

union(9, 4)



	0	1	2	3	4	5	6	7	8	9
id[]	0	1	2	8	3	5	5	7	8	8

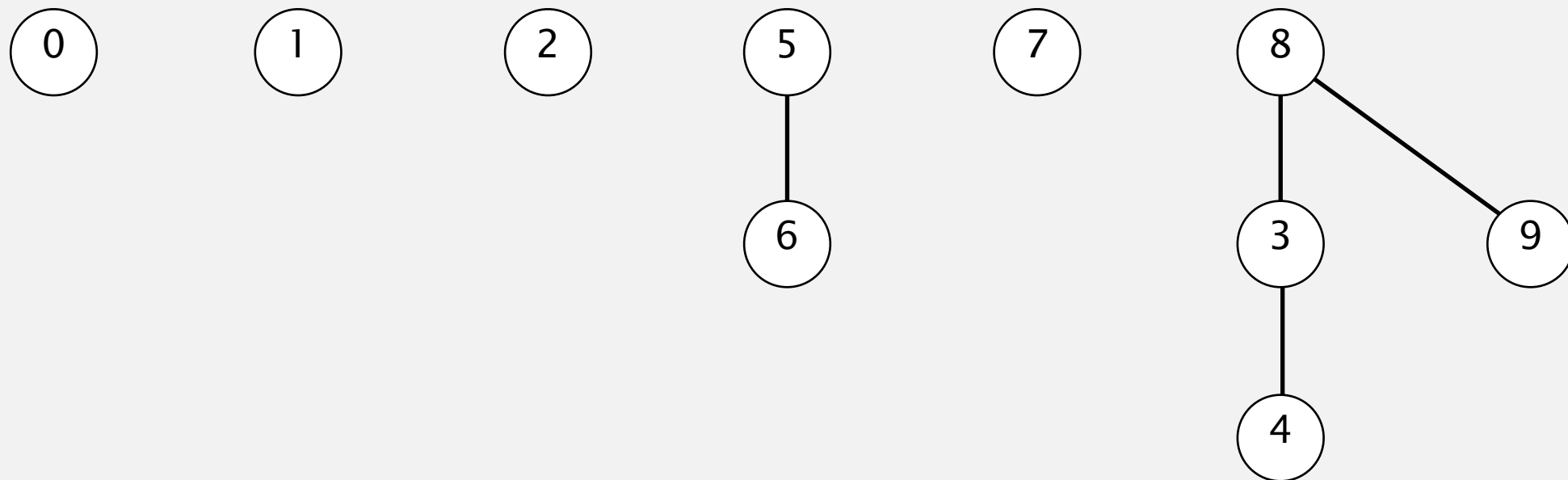
Quick-union demo



	0	1	2	3	4	5	6	7	8	9
id[]	0	1	2	8	3	5	5	7	8	8

Quick-union demo

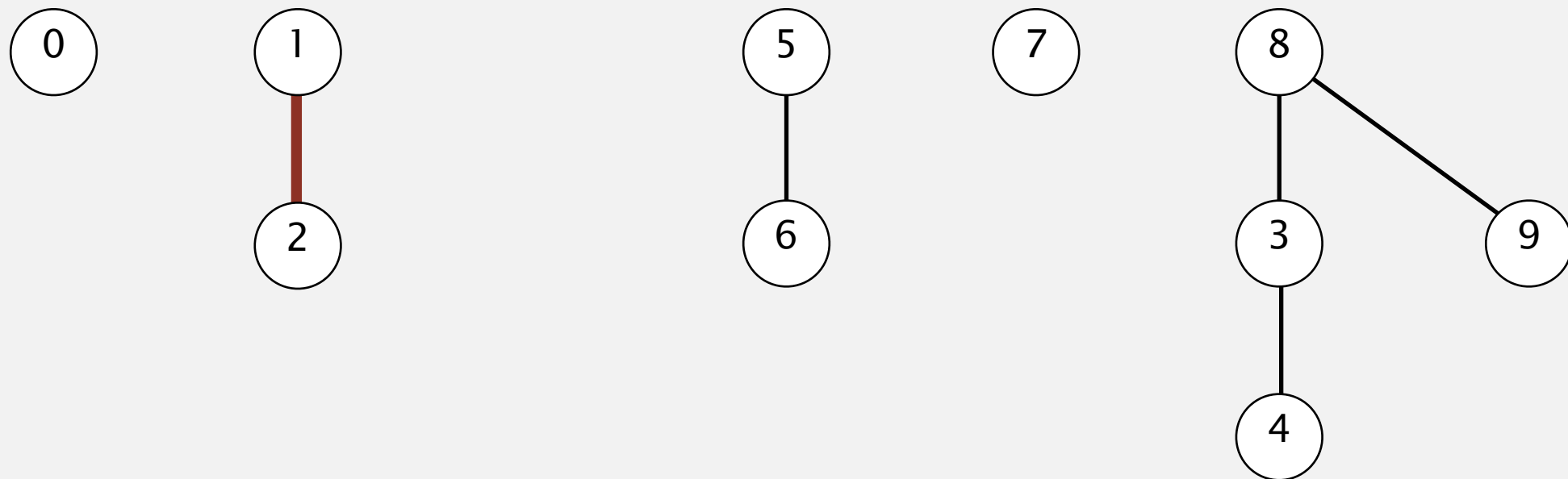
union(2, 1)



	0	1	2	3	4	5	6	7	8	9
id[]	0	1	2	8	3	5	5	7	8	8

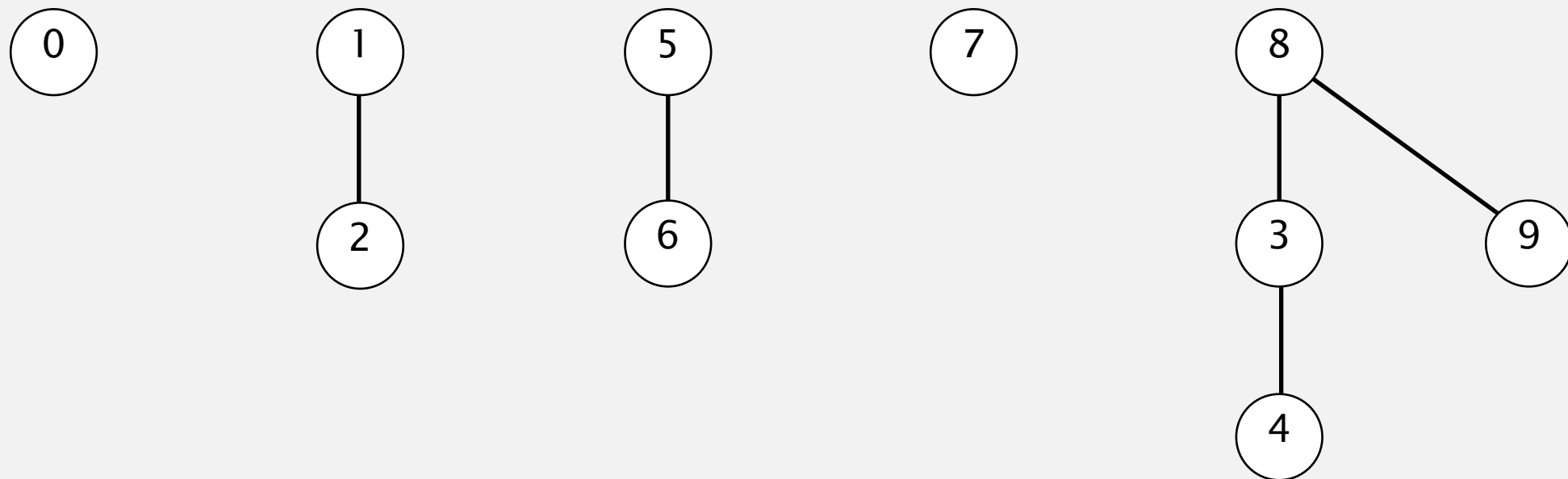
Quick-union demo

union(2, 1)



	0	1	2	3	4	5	6	7	8	9
id[]	0	1	1	8	3	5	5	7	8	8

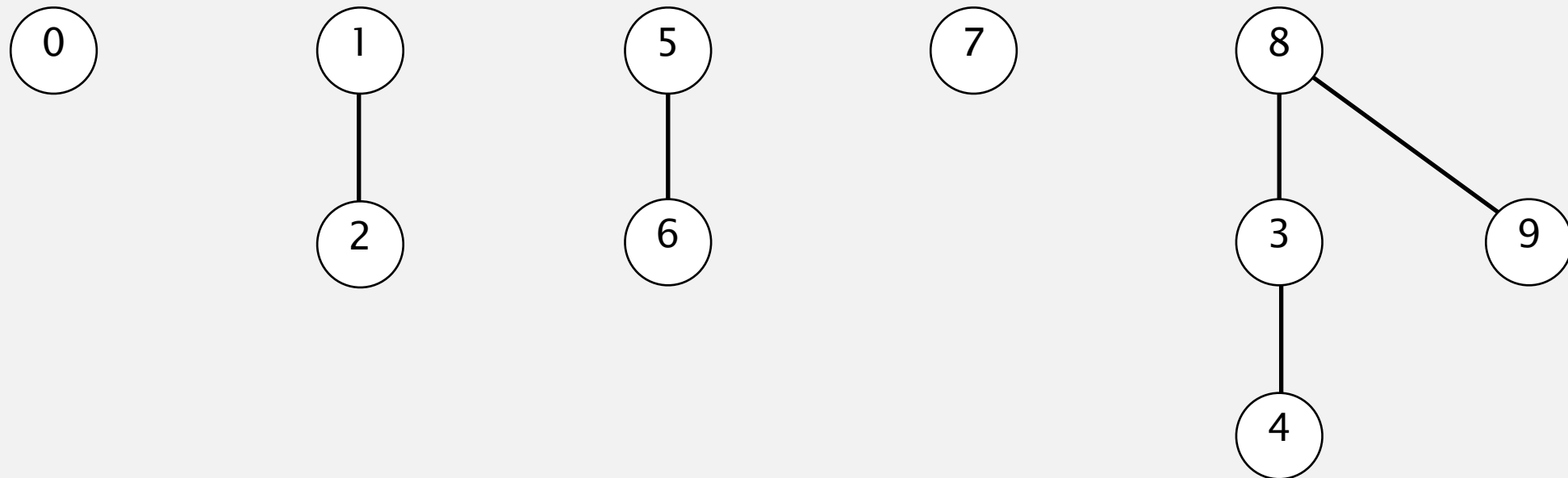
Quick-union demo



	0	1	2	3	4	5	6	7	8	9
id[]	0	1	1	8	3	5	5	7	8	8

Quick-union demo

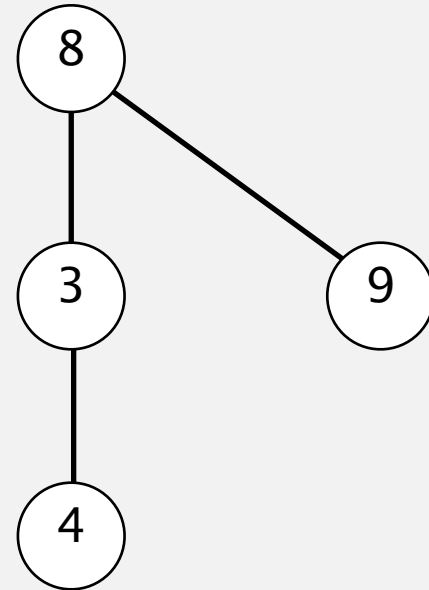
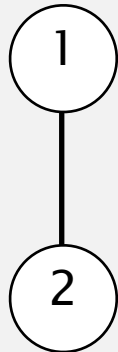
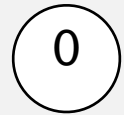
connected(8, 9) ✓



	0	1	2	3	4	5	6	7	8	9
id[]	0	1	1	8	3	5	5	7	8	8

Quick-union demo

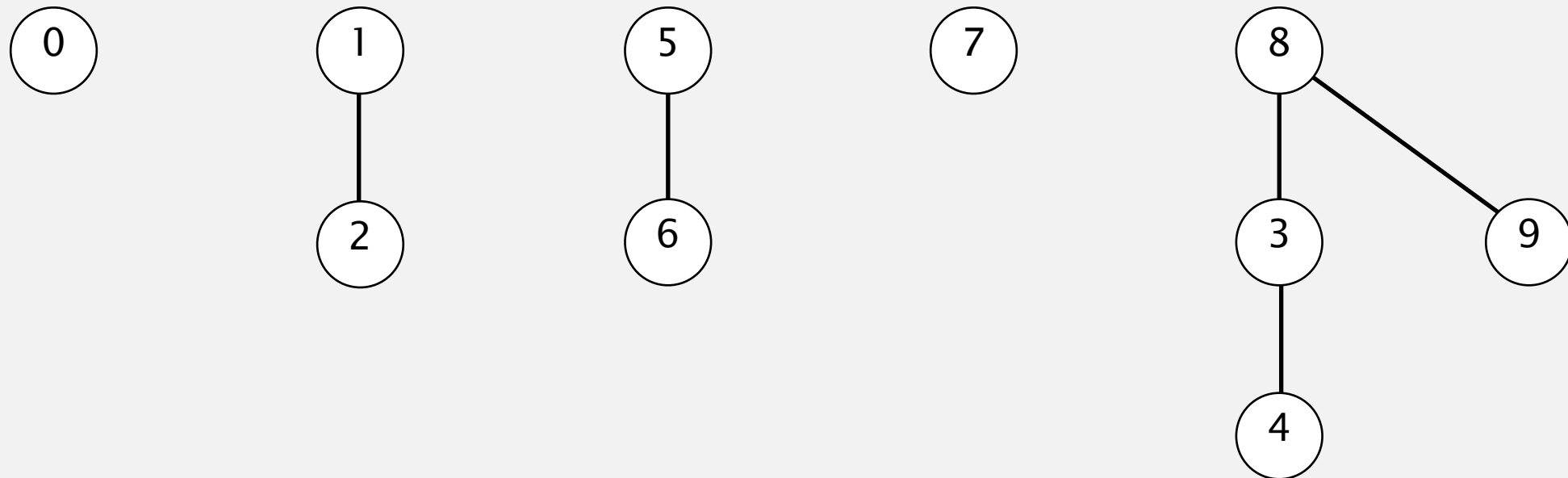
connected(5, 4) **×**



	0	1	2	3	4	5	6	7	8	9
id[]	0	1	1	8	3	5	5	7	8	8

Quick-union demo

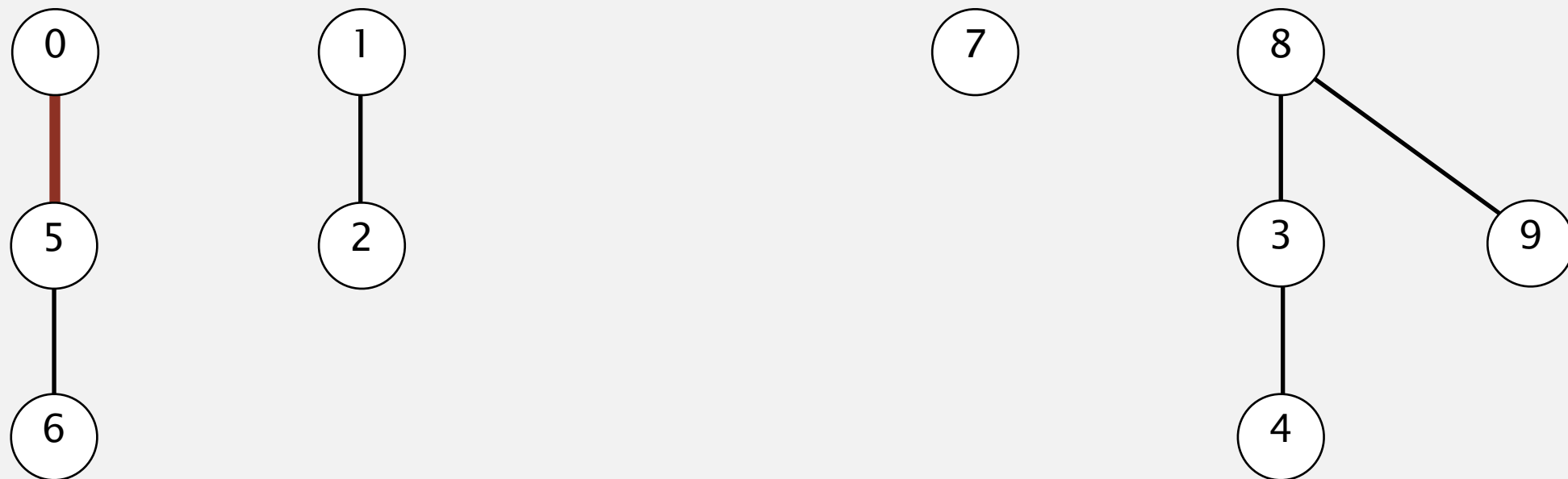
union(5, 0)



	0	1	2	3	4	5	6	7	8	9
id[]	0	1	1	8	3	5	5	7	8	8

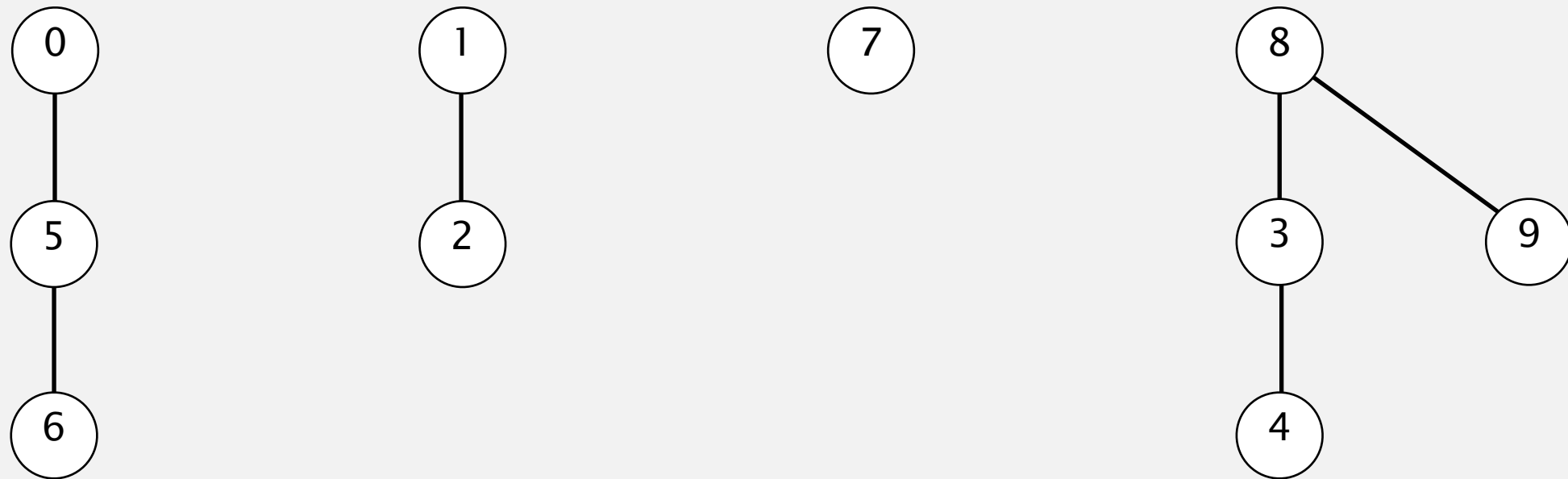
Quick-union demo

union(5, 0)



	0	1	2	3	4	5	6	7	8	9
id[]	0	1	1	8	3	0	5	7	8	8

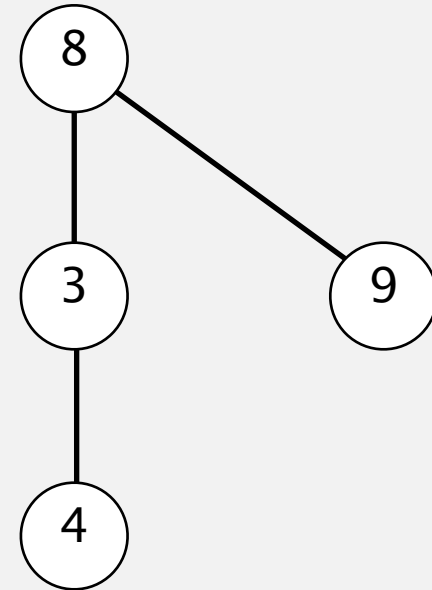
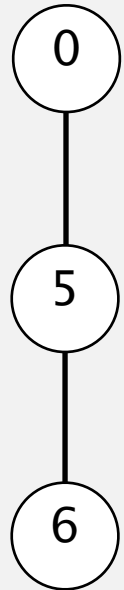
Quick-union demo



	0	1	2	3	4	5	6	7	8	9
id[]	0	1	1	8	3	0	5	7	8	8

Quick-union demo

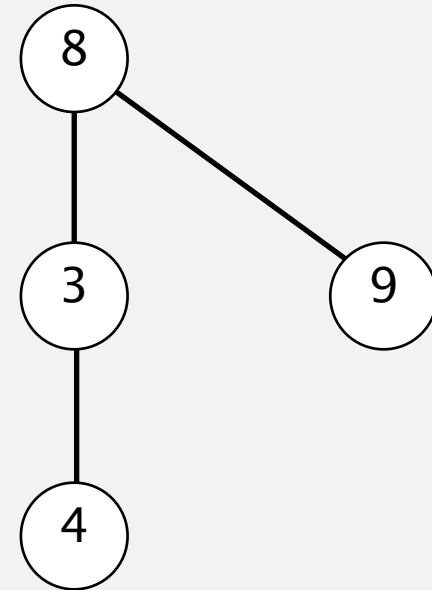
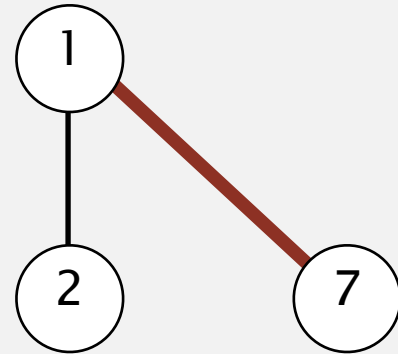
union(7, 2)



	0	1	2	3	4	5	6	7	8	9
id[]	0	1	1	8	3	0	5	7	8	8

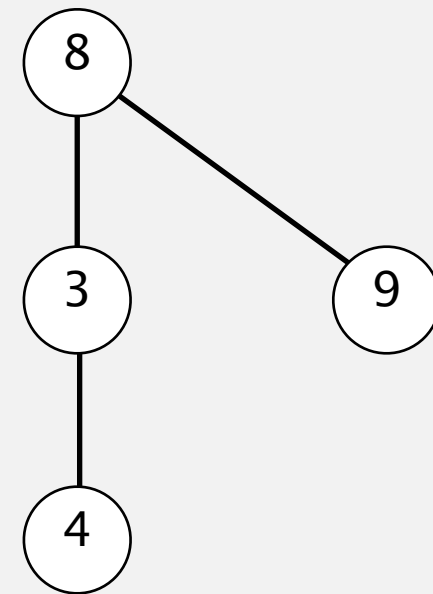
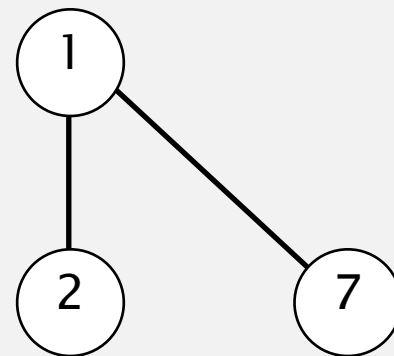
Quick-union demo

union(7, 2)



	0	1	2	3	4	5	6	7	8	9
id[]	0	1	1	8	3	0	5	1	8	8

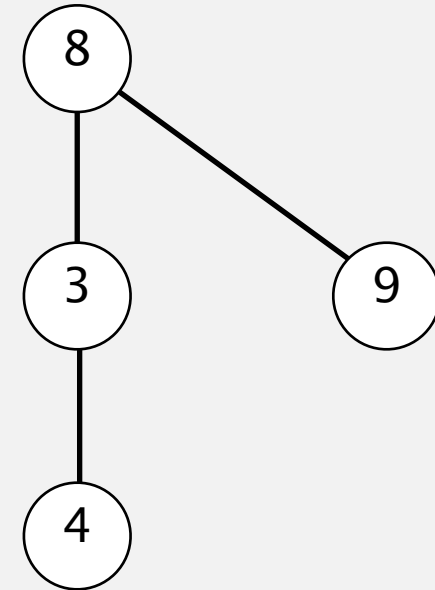
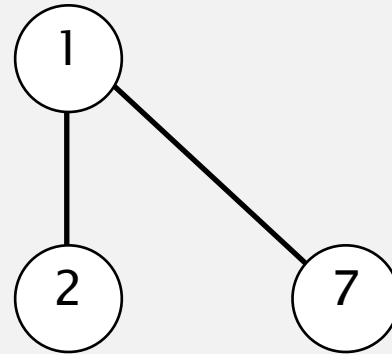
Quick-union demo



	0	1	2	3	4	5	6	7	8	9
id[]	0	1	1	8	3	0	5	1	8	8

Quick-union demo

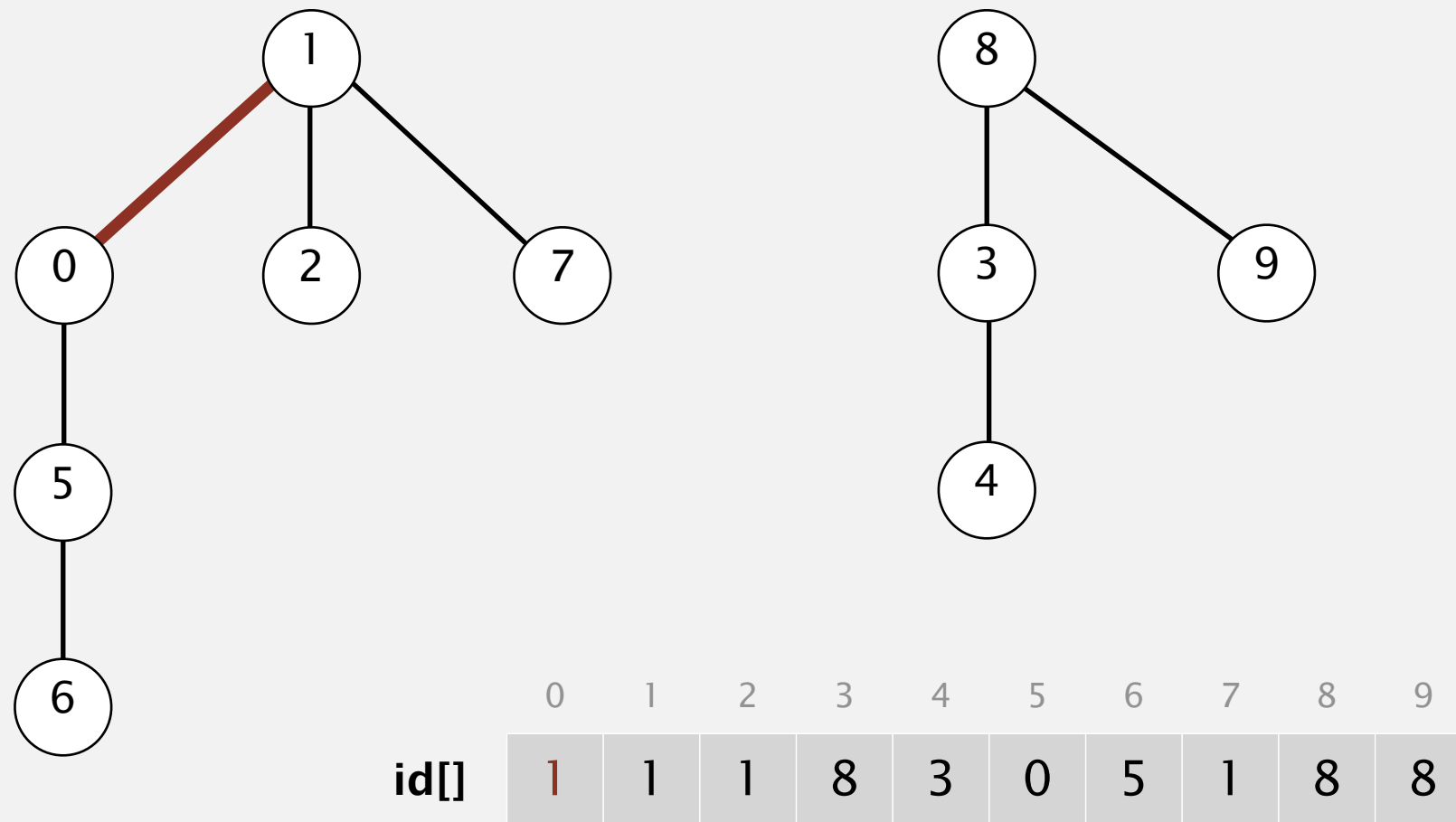
union(6, 1)



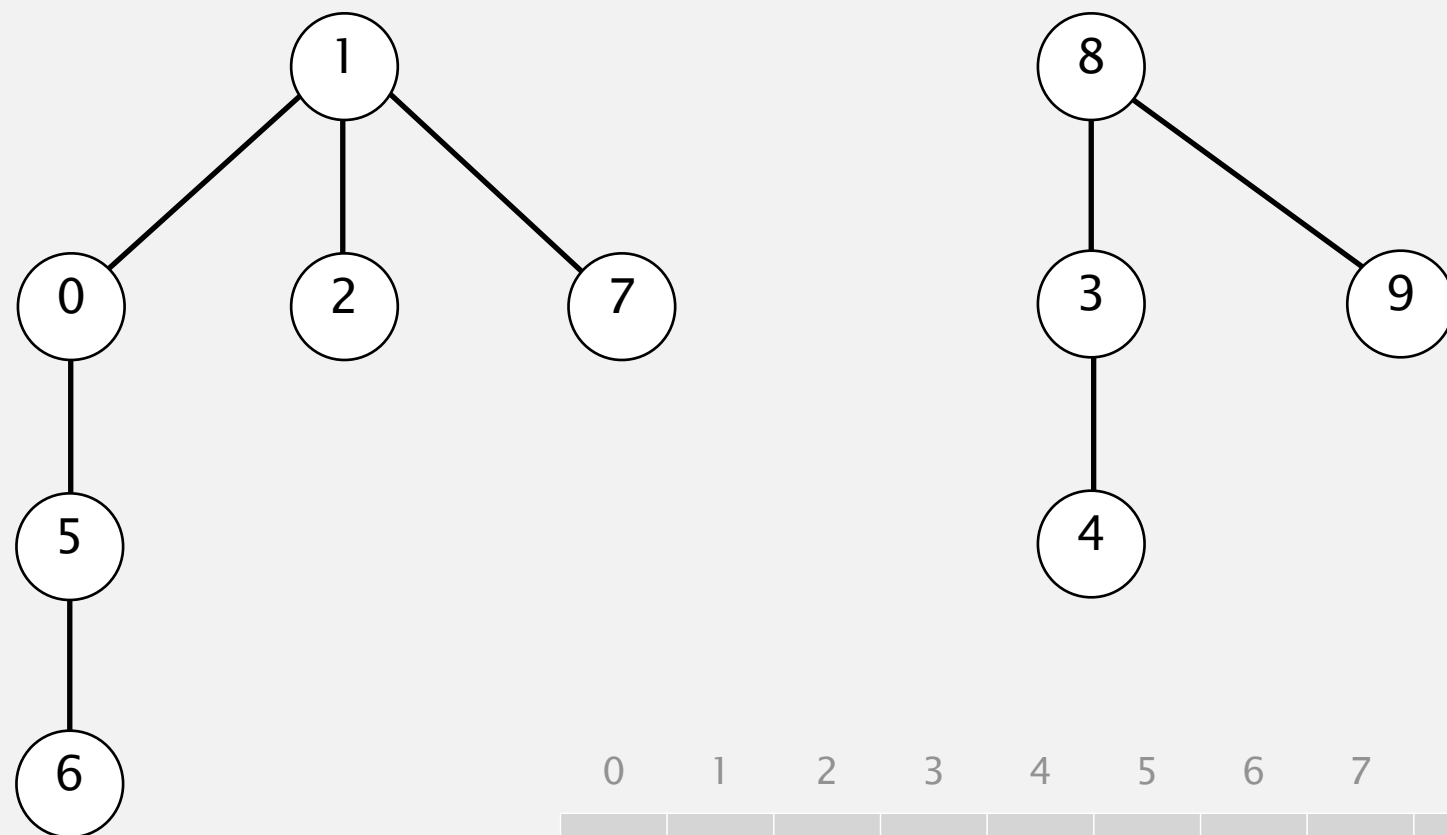
	0	1	2	3	4	5	6	7	8	9
id[]	0	1	1	8	3	0	5	1	8	8

Quick-union demo

union(6, 1)



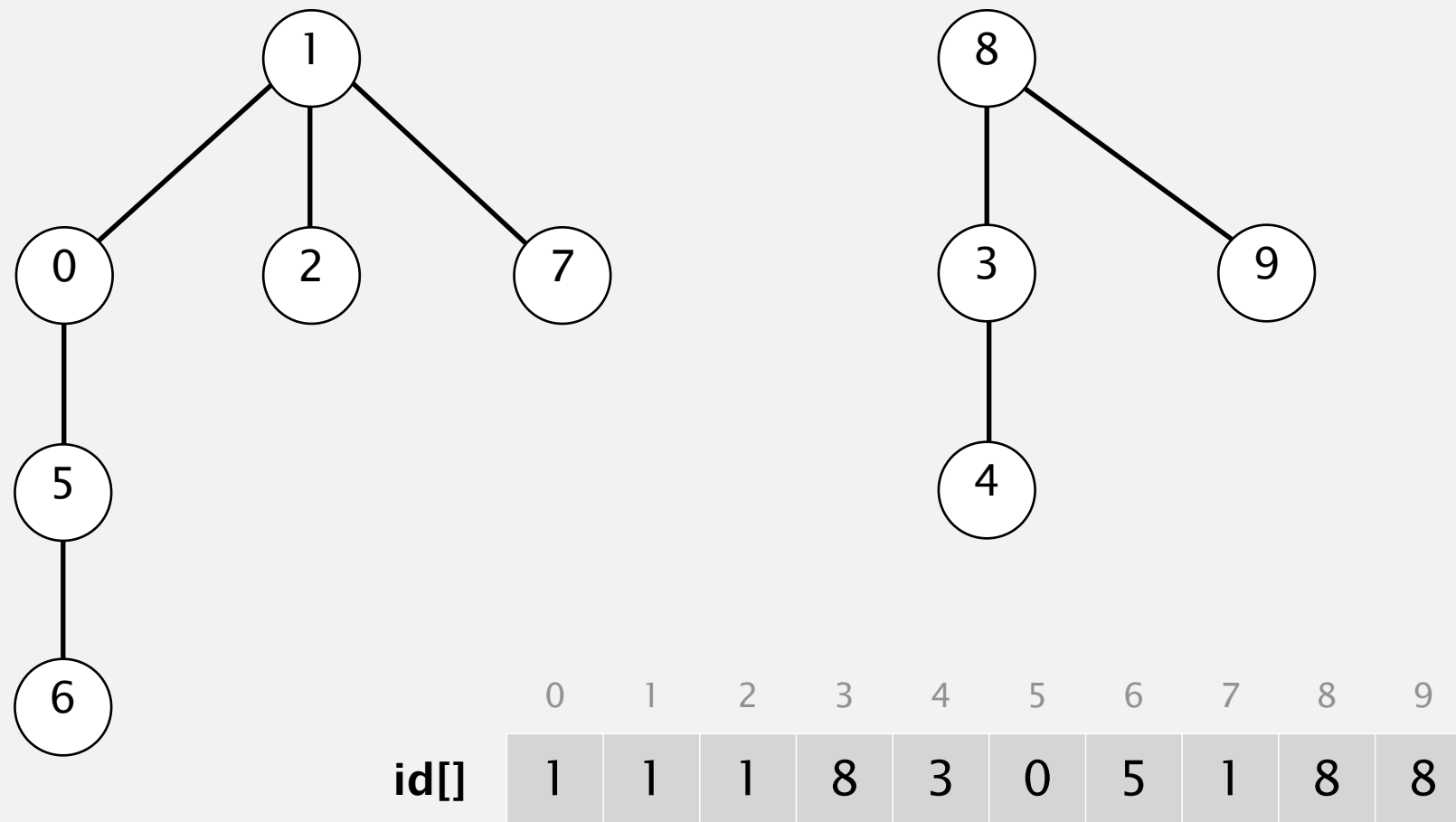
Quick-union demo



	0	1	2	3	4	5	6	7	8	9
id[]	1	1	1	8	3	0	5	1	8	8

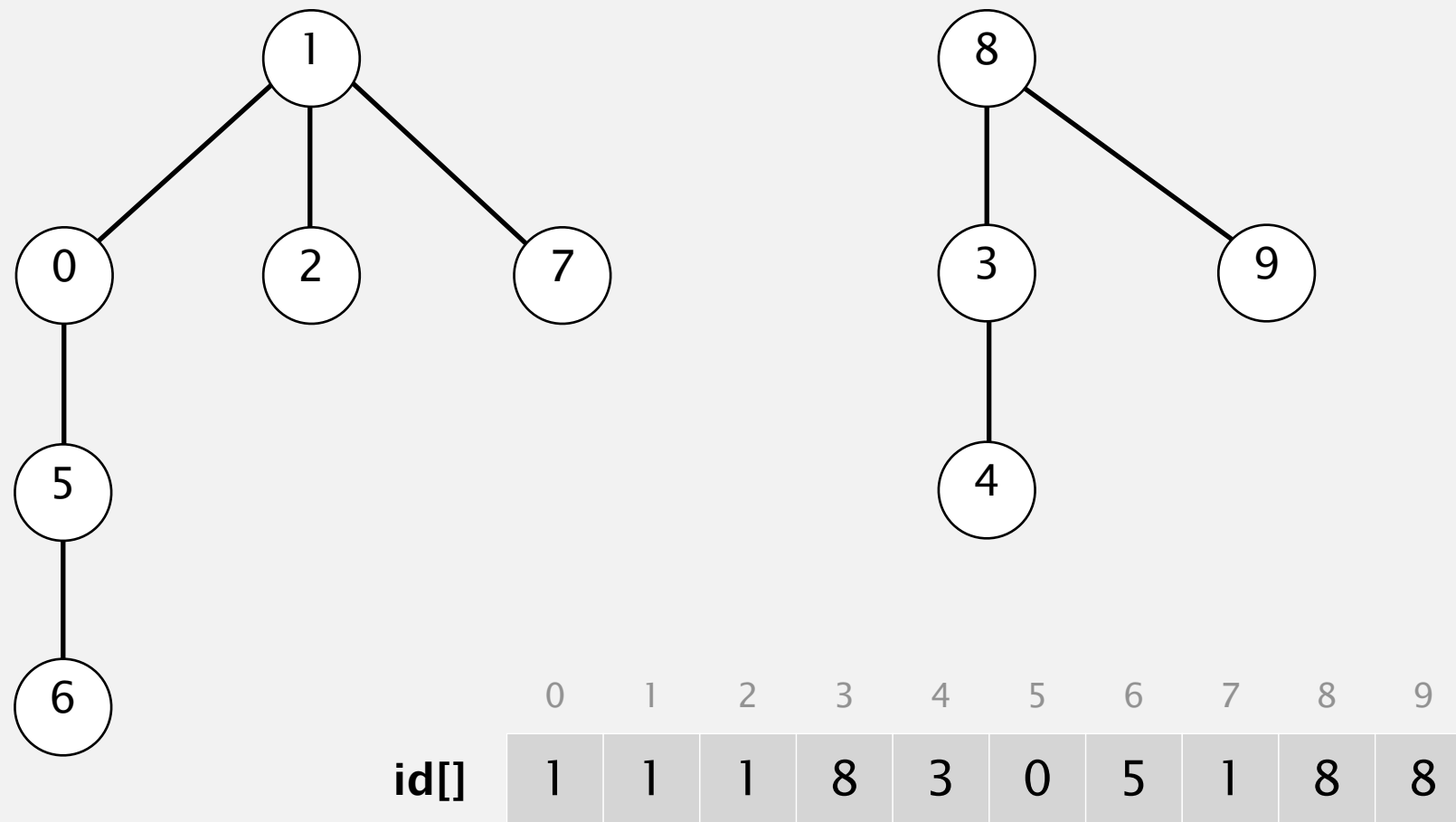
Quick-union demo

connected(1, 0)



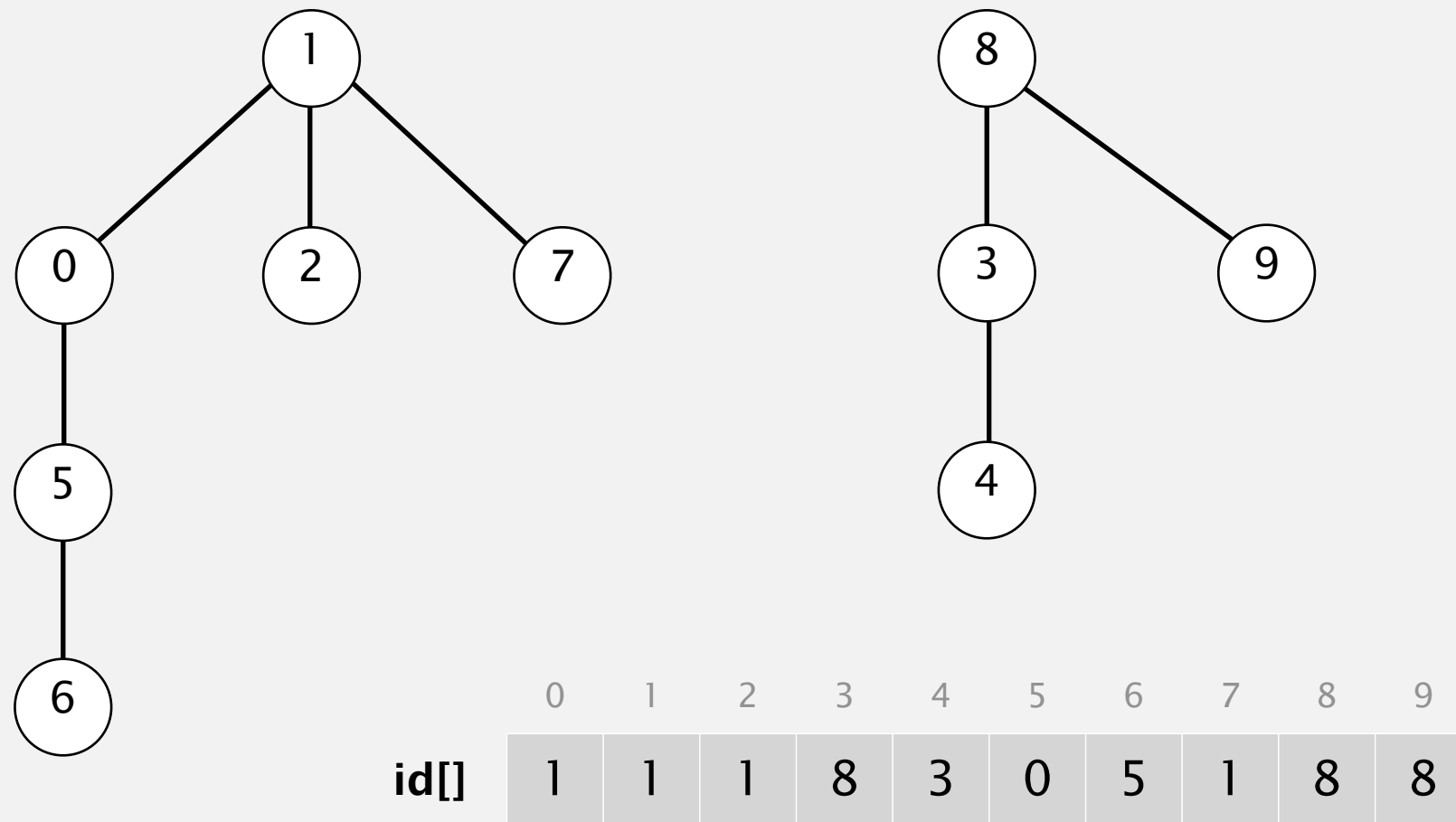
Quick-union demo

connected(6, 7)



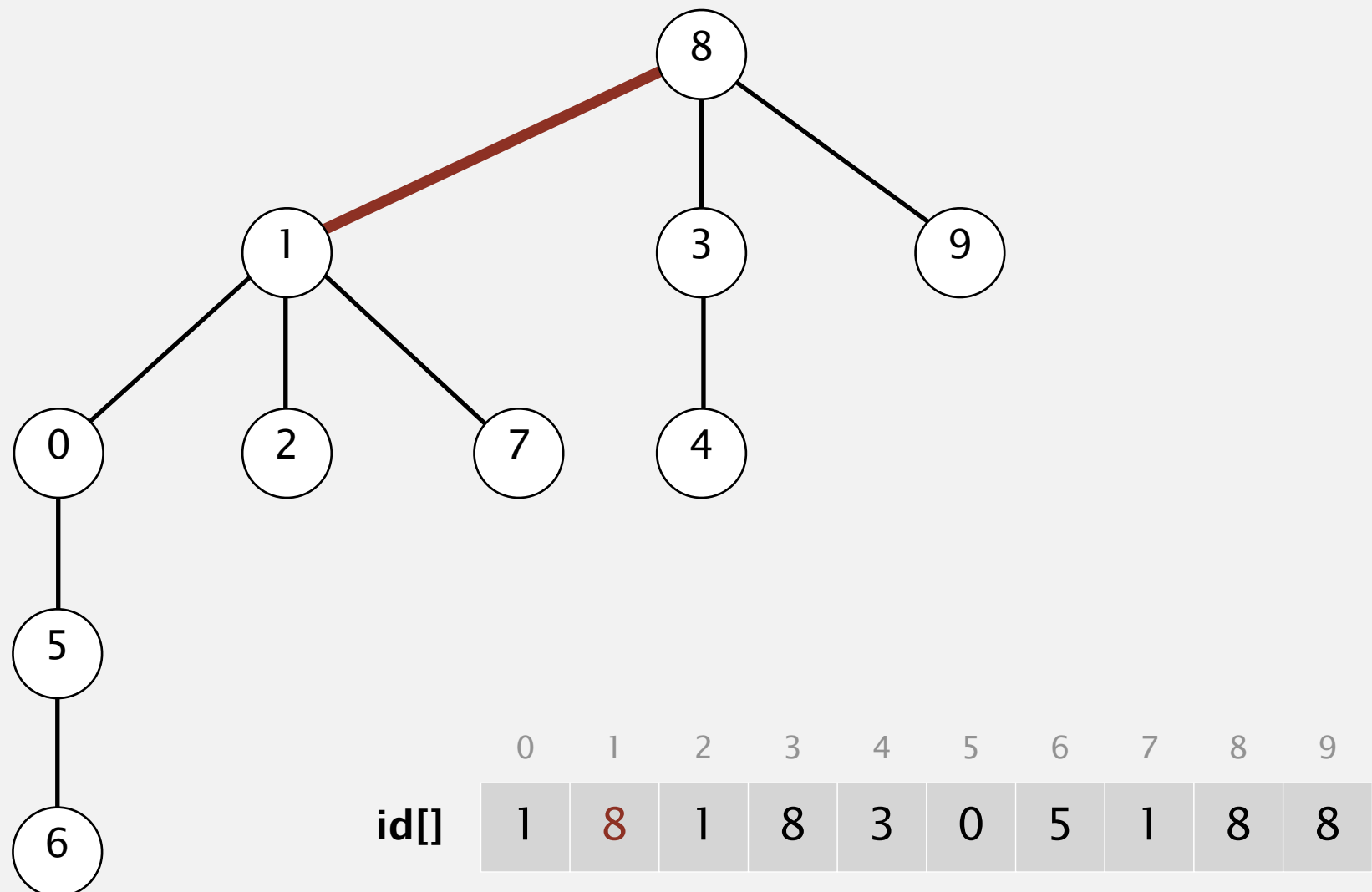
Quick-union demo

union(7, 3)

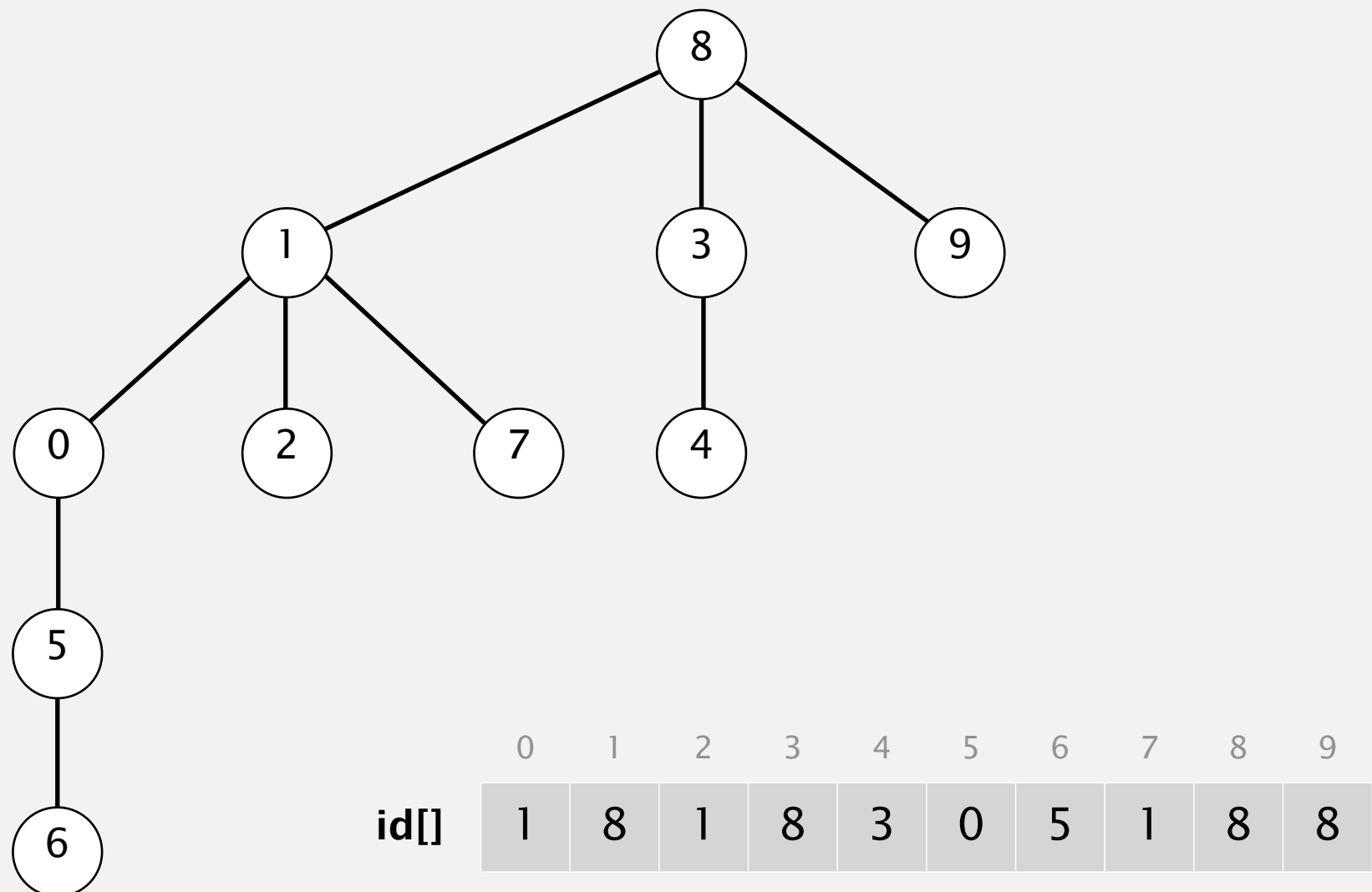


Quick-union demo

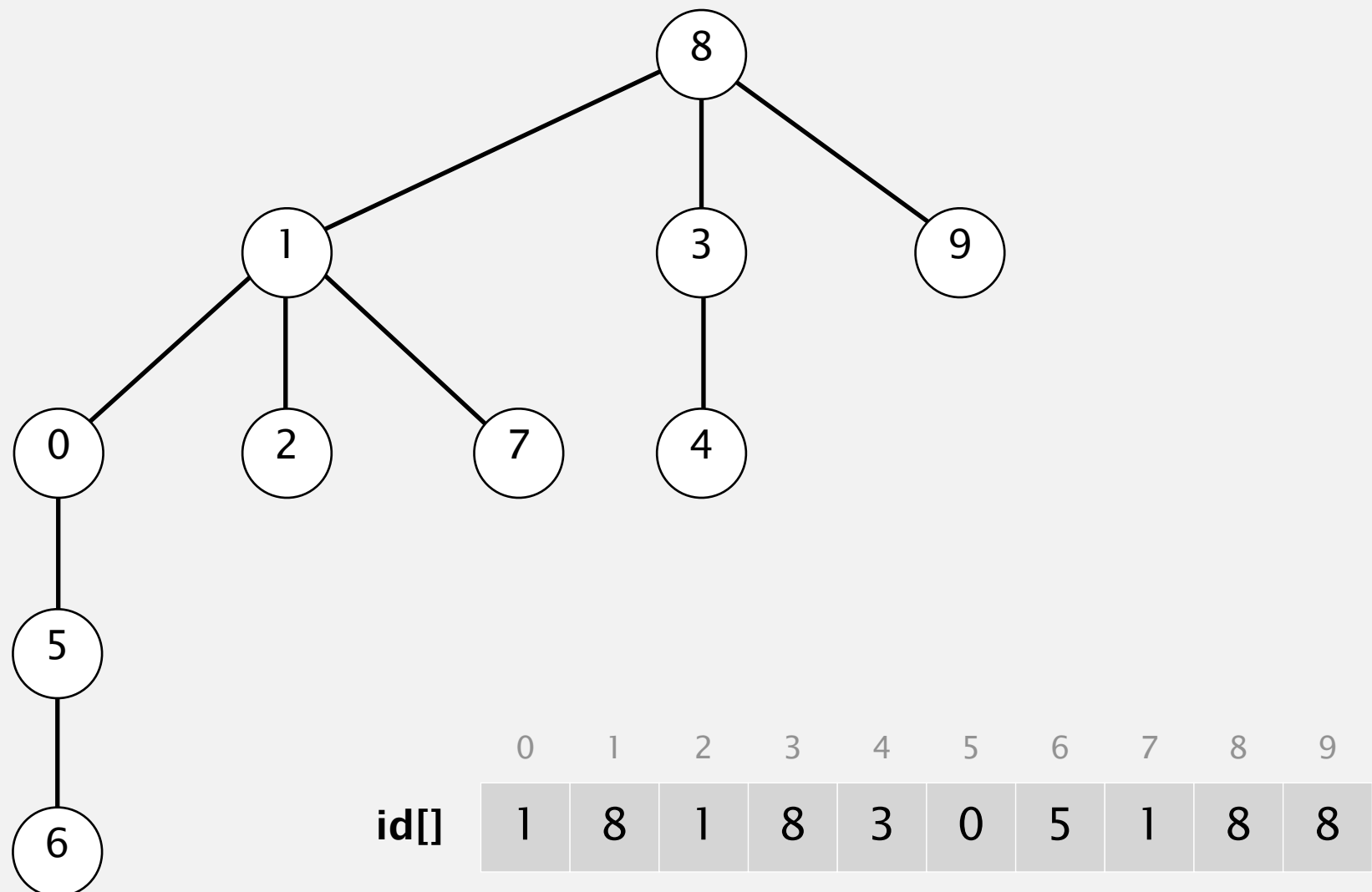
union(7, 3)



Quick-union demo



Quick-union demo



Quick-union: Java implementation

```
public class QuickUnionUF  
{
```

```
    private int[] id;
```

```
    public QuickUnionUF(int N)  
    {
```

```
        id = new int[N];  
        for (int i = 0; i < N; i++) id[i] = i;
```

set id of each object to itself
(N array accesses)

```
    }
```

```
    public int find(int i)  
    {
```

```
        What to write here? 3 mins.
```

chase parent pointers until reach root
(depth of i array accesses)

```
    }
```

```
}
```

Quick-union: Java implementation

```
public class QuickUnionUF  
{
```

```
    private int[] id;
```

```
    public QuickUnionUF(int N)  
{
```

```
        id = new int[N];  
        for (int i = 0; i < N; i++) id[i] = i;
```

← set id of each object to itself
(N array accesses)

```
    }
```

```
    public int find(int i)  
{
```

```
        while (i != id[i]) i = id[i];  
        return i;
```

← chase parent pointers until reach root
(depth of i array accesses)

```
    }
```

```
    public boolean connected(int p, int q)  
{
```

```
        return find(p) == find(q);
```

← do p and q have the same root?
(depth of p and q array accesses)

```
    }
```

```
}
```

Quick-union: Java implementation

```
public class QuickUnionUF
{
```

```
    private int[] id;
```

```
    public QuickUnionUF(int N)
    {
```

```
        id = new int[N];
        for (int i = 0; i < N; i++) id[i] = i;
```

← set id of each object to itself
(N array accesses)

```
    }
```

```
    public int find(int i)
    {
```

```
        while (i != id[i]) i = id[i];
        return i;
```

← chase parent pointers until reach root
(depth of i array accesses)

```
    }
```

```
    public boolean connected(int p, int q)
    {
```

```
        return find(p) == find(q);
```

← do p and q have the same root?
(depth of p and q array accesses)

```
    }
```

```
    public void union(int p, int q)
    {
```

```
        What to write here? 2 mins.
```

← change root of p to point to root of q
(depth of p and q array accesses)

```
    }
```

```
}
```

Quick-union: Java implementation

```
public class QuickUnionUF
{
```

```
    private int[] id;
```

```
    public QuickUnionUF(int N)
    {
```

```
        id = new int[N];
        for (int i = 0; i < N; i++) id[i] = i;
```

← set id of each object to itself
(N array accesses)

```
    }
```

```
    public int find(int i)
    {
```

```
        while (i != id[i]) i = id[i];
        return i;
```

← chase parent pointers until reach root
(depth of i array accesses)

```
    }
```

```
    public boolean connected(int p, int q)
    {
```

```
        return find(p) == find(q);
```

← do p and q have the same root?
(depth of p and q array accesses)

```
    }
```

```
    public void union(int p, int q)
    {
```

```
        int i = find(p);
        int j = find(q);
        id[i] = j;
```

← change root of p to point to root of q
(depth of p and q array accesses)

```
    }
```

```
}
```

Quick-union is also too slow

Cost model. Number of array accesses (for read or write).

algorithm	initialize	union	find	connected
quick-find	N	N	1	1
quick-union	N	$N \dagger$	N	N

← worst case

\dagger includes cost of finding roots

Quick-find defect.

- Union too expensive (N array accesses).
- Trees are flat, but too expensive to keep them flat.

Quick-union defect.

- Trees can get tall.
- Find/connected too expensive (could be N array accesses).