

# Algebra II, Sheet 5

## Remarks and Solutions

Jendrik Stelzner

### Exercise 2.

#### A missing proof

We will give two proof of the following lemma from linear algebra which we used in the tutorial:

**Lemma 1.** Let  $M$  be an  $R$ -module and let  $P \subseteq N \subseteq M$  be submodules. If a submodule  $C \subseteq M$  is a direct complement of  $P$  in  $M$ , then  $C \cap N$  is a direct complement of  $P$  in  $N$ , i.e. if  $M = P \oplus C$  then  $N = P \oplus (C \cap N)$ .

#### First Proof

For the first proof we use linear algebra.

**Recall 2.** Let  $M$  be an  $R$ -module and let  $P \subseteq N \subseteq M$  be submodules. Then

$$P + (C \cap N) = (P + C) \cap N$$

for every submodule  $C \subseteq M$

**Remark 3.** Recall 2 states that the lattice of submodules of  $M$  is modular.

*First proof.* It holds that

$$P \cap (C \cap N) = P \cap C \cap N = 0 \cap N = 0,$$

and it holds by Recall 2 that

$$P + (C \cap N) = (P + C) \cap N = M \cap N = N.$$

Hence  $N = P \oplus (C \cap N)$ . □

## Second Proof

For the second proof we will also use some linear algebra:

**Definition 4.** If  $X$  is a set then a map  $e: X \rightarrow X$  is *idempotent* if  $e^2 = e$ .

**Definition 5.** Let  $M$  be an  $R$ -module and let  $P, C \subseteq M$  be two submodules for which  $M = P \oplus C$ . Then the map  $e: M \rightarrow M$  given by

$$e(p + c) = p$$

for all  $p \in P$  and  $c \in C$  is the *projection* onto  $P$  along(side)  $C$ .

**Recall 6.** Let  $M$  be an  $R$ -module.

- 1) Let  $P, C \subseteq M$  be submodules with  $M = P \oplus C$ . Then the projection  $e_{P,C}: M \rightarrow M$  onto  $P$  along  $C$  is an idempotent endomorphism with  $\text{im}(e) = P$  and  $\ker(e) = C$ .
- 2) If  $e: M \rightarrow M$  is any idempotent endomorphism then

$$M = \text{im}(e) \oplus \ker(e).$$

- 3) The above constructions yield a one-to-one correspondence

$$\left\{ (P, C) \left| \begin{array}{l} \text{submodules} \\ P, C \subseteq M \\ \text{with } M = P \oplus C \end{array} \right. \right\} \longleftrightarrow \left\{ \begin{array}{l} \text{idempotent} \\ \text{endomorphisms} \\ e: M \rightarrow M \end{array} \right\},$$
$$(P, C) \mapsto e_{P,C},$$
$$(\text{im}(e), \ker(e)) \longleftarrow e.$$

*Second proof.* Let  $e: M \rightarrow M$  be the projection onto  $P$  along  $C$ . The image of  $e$  is the submodule  $P$  of  $N$ , and hence we can restrict the endomorphism  $e$  to an endomorphism  $e': N \rightarrow N$ . This restriction  $e'$  is again idempotent and therefore leads to a direct sum decomposition

$$N = \text{im}(e') \oplus \ker(e').$$

The first summand is given by  $e'(N) = P$ . Indeed, the inclusion  $e'(N) \subseteq P$  holds by construction of  $e$ , and the inclusion  $P \subseteq e'(N)$  follows from  $P \subseteq N$ . The second summand is given by  $\ker(e') = \ker(e) \cap N = C \cap N$ . We thus find that  $N = P \oplus (C \cap N)$ , as desired.  $\square$

## A Remark Regarding Nakayama

We have seen the following lemma in the tutorial.

**Lemma 7.** Every nonzero finitely generated  $R$ -module  $M$  contains a maximal submodule.

We give a short proof Nakayama's lemma which is based on this lemma.

**Definition 8.**

- 1) The *radical* of an  $R$ -module  $M$  is the intersection of all of its maximal submodules; it is denoted by  $\text{rad}(M)$ .
- 2) The *Jacobson radical* of  $M$  is  $J(R) := \text{rad}(R)$ .

**Remark 9.** One may call  $J(R)$  the *left Jacobson radical* of  $R$ , as it is the intersection of all maximal left<sup>1</sup> ideals of  $R$ . One can then also define the *right Jacobson radical* of  $R$ . But it turns out that both notions of Jacobson radical coincide. We will not need this here and will therefore not prove this.<sup>2</sup>

We get from Lemma 7 the following corollary:

**Corollary 10.** If  $M$  is a nonzero finitely generated  $R$ -module then its radical  $\text{rad}(M)$  is a proper submodule of  $M$ .

The radical of a module is functorial in the following sense:

**Lemma 11.** Let  $M$  and  $N$  be  $R$ -modules and let  $f: M \rightarrow N$  be a homomorphism of  $R$ -modules. Then  $f(\text{rad}(M)) \subseteq \text{rad}(N)$ .

*Proof.* If  $P \subseteq N$  is any maximal submodule then its preimage  $P' := f^{-1}(P)$  is the kernel of the composition  $M \xrightarrow{f} N \rightarrow N/P$ . The homomorphism  $f$  therefore induces an injective homomorphism

$$M/P' \hookrightarrow N/P.$$

The quotient module  $N/P$  is simple because  $P$  is maximal. It follows that  $M/P' = 0$  or  $M/P' \cong N/P$ . The submodule  $P'$  is therefore either the whole of  $M$  or maximal in  $M$ . In both cases the radical  $\text{rad}(M)$  is contained in  $P'$ .

This shows that  $\text{rad}(M) \subseteq f^{-1}(P)$  for every maximal submodule  $P \subseteq N$ , and hence that  $f(\text{rad}(M)) \subseteq P$ . It follows that  $f(\text{rad}(M)) \subseteq \text{rad}(N)$ .  $\square$

**Corollary 12.** Let  $M$  be an  $R$ -module. Then  $J(R)M \subseteq \text{rad}(M)$ .

*Proof.* Consider for  $x \in M$  the map

$$\rho_x: R \rightarrow M, \quad r \mapsto rx.$$

The map  $\rho_x$  is a homomorphism of  $R$ -modules, and so it follows that

$$J(R)x = \rho_x(J(R)) = \rho_x(\text{rad}(R)) \subseteq \text{rad}(M)$$

by Lemma 11.  $\square$

We are now ready to state and prove Nakayama's lemma.

**Lemma 13** (Nakayama). If  $M$  is a finitely generated  $R$ -module with  $J(R)M = M$  then  $M = 0$ .

*Proof.* If  $M$  were nonzero then it would follow from Corollary 10 and Corollary 12 that  $J(R)M \subseteq \text{rad}(M) \subsetneq M$ .  $\square$

---

<sup>1</sup>Because for us, "module" means "left module".

<sup>2</sup>The author also doesn't know a good proof. of this

### Exercise 3.

We instead show the following more general lemma, from which we then deduce the equivalence from the exercise:

**Lemma 14.** Let  $A = \bigoplus_{d \geq 0} A_d$  be a graded, commutative  $k$ -algebra. Then for a family  $(x_i)_{i \in I}$  of homogenous elements  $x_i$  of degree  $\deg(x_i) \geq 1$  the following conditions are equivalent:

- 1) The elements  $(x_i)_{i \in I}$  generate the ideal  $A^+ := \bigoplus_{d \geq 1} A_d$  of  $A$ .
- 2) The elements  $(x_i)_{i \in I}$  generate  $A$  as an  $A_0$ -algebra.

*Proof.* Suppose that the ideal  $A^+$  is generated by  $(x_i)_{i \in I}$  and let  $A' := A_0[x_i \mid i \in I]$  be the  $A_0$ -subalgebra of  $A$  generated by  $(x_i)_{i \in I}$ . We show that  $A_d \subseteq A'$  for all  $d \geq 0$  by induction.

For  $d = 0$  we have  $A_0 \subseteq A'$  by definition of  $A'$ . Suppose that  $d \geq 1$  and that  $A_0, \dots, A_{d-1} \subseteq A'$ . It then holds that  $A_d \subseteq A^+$  and hence for  $x \in A_d$  that  $x \in A^+$ . We may therefore write

$$x = \sum_{i \in I} a_i x_i$$

for some coefficients  $a_i \in A$  (where  $a_i = 0$  for all but finitely many  $i$ ). We can then decompose every coefficient  $a_i$  as  $a_i = \sum_{d' \geq 0} a'_{i,d'}$  with  $a'_{i,d'}$  homogeneous of degree  $d'$ . We get that

$$x = \sum_{i \in I} a_i x_i = \sum_{d' \geq 0} \sum_{i \in I} a'_{i,d'} x_i.$$

The element  $x$  on the left-hand side is homogeneous of degree  $d$ , and the summand  $a_{i,d'} x_i$  on the right-hand side is homogeneous of degree  $d' + \deg(x_i)$ . We therefore find by comparing homogeneous components that

$$x = \sum_{i \in I} a'_{i,d-\deg(x_i)} x_i$$

where we set  $a'_{i,d'} = 0$  for  $d' < 0$ . Hence we may assume that the coefficients  $a_i$  are homogeneous of degree  $d - \deg(x_i) < d - 1$ . It then follows by induction hypothesis that the coefficients  $a_i$  are contained in  $A'$ . Hence

$$x = \sum_{i \in I} a_i x_i \in \sum_{i \in I} A' x_i \subseteq \sum_{i \in I} A' = A'.$$

This shows that also  $A_d \subseteq A'$ .

Suppose on the other hand that  $A_0$  is generated by  $(x_i)_{i \in I}$  as an  $A_0$ -algebra. Then the monomials  $x_{i_1} \cdots x_{i_r}$  with  $i_1, \dots, i_r \in I$  generate  $A$  as an  $A_0$ -module. These generators are homogeneous, and hence the  $A_0$ -submodule  $A^+$  is generated by those monomials of degree  $\geq 1$ , which are those monomials with  $r \geq 1$ . We have for these monomials that

$$x_{i_1} \cdots x_{i_r} = x_{i_1} \cdots x_{i_{r-1}} x_{i_r} \in A x_{i_r}.$$

This shows that  $A^+$  is generated by  $(x_i)_{i \in I}$  as an ideal.  $\square$

We now show how the exercise follows from the above lemma:

If  $A^+$  is generated by finitely many elements  $x_1, \dots, x_n$ , then we may replace each generator  $x_i$  by all of its homogeneous components to assume that  $x_1, \dots, x_n$  are homogeneous; these generators are necessarily of homogeneous degree  $\deg(x_i) \geq 1$  because  $x_i \in A^+$ . It then follows from the above lemma that  $A$  is generated by  $x_1, \dots, x_n$  as an  $A_0$ -algebra.

Suppose on the other hand  $A$  is generated by finitely many elements  $x_1, \dots, x_n$  as an  $A_0$ -algebra. We may replace each generator  $x_i$  by all of its homogeneous components to assume that  $x_1, \dots, x_n$  are homogeneous. We may then also remove the generators of degree 0, as they are not needed. It then follows from the above lemma that  $x_1, \dots, x_n$  generate  $A^+$  as an ideal.

**Remark 15.** If it not needed that  $A_0$  is noetherian. But if  $A_0$  is noetherian, then one can give a shorter proof of one of the implications:

If  $A_0$  is noetherian and  $A$  is finitely generated as an  $A_0$ -algebra then  $A$  is also noetherian by Hilbert's basis theorem. It then follows that the ideal  $A^+$  of  $A$  is finitely generated.