# FOUNDATIONS OF REPRESENTATION THEORY

### 4. Exercise sheet

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### November 8, 2013

### Exercise 13:

### Exercise 14:

**Lemma 1**. Let V and W be modules and  $f:V\to W$  a module homomorphism. Then the following hold:

- i) For every submodule  $U \subseteq W$  the preimage  $f^{-1}(U)$  is a submodule of V.
- ii) If  $U\subseteq V$  is a submodule,  $\pi:V\twoheadrightarrow V/U$  the canonical projection and  $W\subseteq V/U$  a maximal submodule, then  $\pi^{-1}(W)$  is a maximal submodule of V.
- iii) If  $W\subseteq V$  is maximal in V and  $U\subseteq W$  is a submodule then W/U is maximal in V/U and  $\pi^{-1}(W/U)=W$ .

### Proof. i)

We know from algebra that  $f^{-1}(U)$  is an additive subgroup of V.  $f^{-1}(U)$  is also a subspace of V, since for all  $\lambda \in K$ 

$$v \in f^{-1}(U) \Rightarrow f(v) \in U \Rightarrow f(\lambda v) = \lambda f(v) \in U \Rightarrow \lambda v \in f^{-1}(U).$$

 $f^{-1}(U)$  is a submodule of V, since f is a module homomorphism and U a submodule and so for all  $j \in J$  and  $v \in f^{-1}(U)$ 

$$f(\phi_j(v)) = \psi_j(f(v)) \in U,$$

so  $\phi_i(v) \in f^{-1}(U)$ .

ii)

From i) it follows that  $f^{-1}(W)$  is a submodule of V. Let  $W'\subseteq V$  be a arbitrary submodule with

$$\pi^{-1}(W) \subseteq W' \subseteq V. \tag{1}$$

Then  $W\subseteq W'/U\subseteq V/U$ , so the maximality of W implies that W'/U=W or W'/U=V/U. If W'/U=W then

$$W' \subseteq \pi^{-1}(W'/U) = \pi^{-1}(W),$$

and with (1) we get  $W' = \pi^{-1}(W)$ . If W'/U = V/U then the isomorphism theorems imply that

$$V/W' \cong (V/U)/(W'/U) = 0,$$

so W' = V.

iii)

W/U is a proper submodule of V/U, because W is maximal in V and so

$$(V/U)/(W/U) \cong \underbrace{V/W}_{\text{simple}} \neq 0.$$

Let  $W' \subseteq V/U$  be a submodule with

$$W/U \subseteq W' \subsetneq V/U$$
.

We know from i) that  $\pi^{-1}(W')$  is a submodule of V, and obviously

$$W \subseteq \pi^{-1}(W/U) \subseteq \pi^{-1}(W') \subsetneq V.$$

So the maximality of W implies that  $W = \pi^{-1}(W')$ . So

$$W/U = \pi(W) = \pi(\pi^{-1}(W')) = W'.$$

This shows that W/U is maximal in V/U. Now 1 ii) implies that  $\pi^{-1}(W/U)$  is maximal is V. Because  $W \subseteq \pi^{-1}(W/U)$  it follows that  $W = \pi^{-1}(W/U)$ .

(ii)

If V/U does not have any maximal submodules we get rad(V/U) = V/U and

$$(U + \operatorname{rad}(V))/U \subset V/U = \operatorname{rad}(V/U)$$

If V/U does have maximal submodules, then by definition we get

$$\begin{split} &(U+\operatorname{rad}(V))/U\subseteq\operatorname{rad}(V/U)\\ \Leftrightarrow &(U+\operatorname{rad}(V))/U\subseteq\bigcap_{\substack{W\subseteq V/U\\W\text{ maximal}}}W\\ \Leftrightarrow &(U+\operatorname{rad}(V))/U\subseteq W\text{ for all }W\subseteq V/U\text{ maximal}. \end{split}$$

Let  $W\subseteq V/U$  be an arbitrary maximal submodule. Using Lemma 1 ii) we find that  $\pi^{-1}(W)$  is a maximal submodule of V, where  $\pi:V\twoheadrightarrow V/U$  is the canonical projection. Obviously we have  $U\subseteq\pi^{-1}(W)$ , and because  $\pi^{-1}(W)$  is maximal in V we also get  $\mathrm{rad}(V)\subseteq\pi^{-1}(W)$ , so

$$U + \operatorname{rad}(V) \subseteq \pi^{-1}(W),$$

and thus

$$(U + \operatorname{rad}(V))/U = \pi(U + \operatorname{rad}(V)) \subseteq \pi(\pi^{-1}(W)) = W.$$

(i)

From part (ii) we get

$$rad(V)/U = (U + rad(V))/U \subseteq rad(V/U),$$

so all that's left to show is  $\mathrm{rad}(V/U)\subseteq\mathrm{rad}(V)/U.$  If V has no maximal submodules, then  $\mathrm{rad}(V)=V$  and

$$rad(V/U) \subseteq V/U = rad(V)/U$$
.

If V has maximal submodules, then let W be an arbitrary maximal submodule of V. Since  $U\subseteq \operatorname{rad}(V)\subseteq W$  we know from 1 iii) that W/U is maximal in V/U and  $\pi^{-1}(W/U)=W$ . So  $\operatorname{rad}(V/U)\subseteq W/U$  and thus

$$\pi^{-1}(\operatorname{rad}(V/U)) \subset \pi^{-1}(W/U) = W.$$

Because W is arbitrary it follows that

$$\pi^{-1}(\operatorname{rad}(V/U)) \subseteq \operatorname{rad}(V)$$

and from this it follows that

$$\operatorname{rad}(V/U) = \pi(\pi^{-1}(\operatorname{rad}(V/U))) \subseteq \pi(\operatorname{rad}(V)) = \operatorname{rad}(V)/U.$$

#### Exercise 15:

$$\neg(ii) \Rightarrow \neg(i)$$

Assume that  $V = U \oplus C$  is a direct sum decomposition with U simple.

Claim. C is maximal in V.

With this we find that

$$U \subseteq \operatorname{soc}(V)$$
 and  $\operatorname{rad}(V) \subseteq C$ 

because U is simple and C is maximal in V. Because U nonzero with  $U \cap C = 0$ , this implies that  $soc(V) \nsubseteq rad(V)$ .

*Proof of the claim.* Let  $C' \subseteq V$  be a submodule with  $C \subseteq C' \subseteq V$ . Let  $C'' := C' \cap U$ . Because V is simple we know that C'' = 0 or C'' = U. If C'' = 0 then

$$C = C + C'' = C + (U \cap C') = (C + U) \cap C' = V \cap C' = C'.$$

If C'' = U we get

$$U = C'' = C' \cap U$$
, so  $V = U \cap C \subseteq C'$ , so  $C' = V$ .

$$(ii) \Rightarrow (i)$$

Assume that V does not have a simple direct summand. If V has no maximal submodule, then  $soc(V) \subseteq rad(V) = V$  is trivial. If V does have at least one maximal submodule it is easy to see that

$$\operatorname{soc}(V) \subseteq \operatorname{rad}(V) \Leftrightarrow S \subseteq U$$
 for all  $S \subseteq V$  simple and all  $U \subseteq V$  maximal.

Assume that  $S\subseteq V$  is simple and  $U\subseteq W$  is maximal with  $S\nsubseteq U$ . Then  $S\cap U\neq S$  and  $S\subsetneq S+U$ . Because S is simple this implies  $S\cap U=0$ , and because U is maximal it implies S+U=V. So  $V=S\oplus U$ . This is a contradiction to the assumption that V does not have a simple direct summand. So  $S\subseteq U$  for all for all  $S\subseteq V$  simple and all  $U\subseteq V$  maximal.

# **Exercise 16:**

## (i)

 $0\subseteq K[T]$  is the only small submodule of  $(K[T],T\cdot)$ : Let  $0\subsetneq U\subseteq K[T]$  be a small submodule. We know that U=(a) for some  $a\in K[T]$ ; because K[T] has to be nonzero and proper we know that  $\deg a\geq 1$ . We find an irreducible polynomial  $p\in K[T]$  with  $p\nmid a$ . So (a)+(p)=(1)=K[T]. Because (p) proper submodule of  $(K[T],T\cdot)$  this shows that U is not small.

In  $N(\infty)$  all nonzero submodules are small: For all nonzero submodules  $V,V'\subseteq N(\infty)$  we have  $N(1)\subseteq V,V'$  as a nonzero submodule, so  $V\cap V'\supseteq N(1)$  is nonzero.

# (ii)

#### Both modules are uniform:

Let  $U, U' \subseteq K[T]$  be nonzero submodules. We know that U = (a) and U' = (b) for  $a, b \in K[T] \setminus \{0\}$ . Thus (ab) is a nonzero submodule of both U and U' (because K[T] has no zero divisors), so  $U \cap U' \supseteq (ab)$  is nonzero.

Let  $V,V'\subseteq N(\infty)$  be nonzero submodules. We know that V=N(i) and V'=N(j) for  $i,j\in\mathbb{N}\setminus\{0\}$ . So  $V\cap V'\supseteq N(1)$  is nonzero.

### (iii)

Let  $V=N(\infty)$  and  $U:=N(1)\subseteq V$ . U is large in V: For every nonzero submodule  $U'\subseteq V$  we have  $N(1)\subseteq U'$ , so  $U\cap U'\supseteq N(1)$  is nonzero. U is also small: For every proper submodule  $U''\subseteq V$  we have U''=N(i) for some  $i\in\mathbb{N}$ . So  $U+U''=N(1)+N(i)=N(\max 1,i)$  is a proper submodule of V.