

# Foundations of representation theory

## 2. exercise sheet

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### Exercise 5 and 6:

Let  $V$  be a 2-dimensional module. The following are equivalent:

- (i)  $V$  has at least 5 submodules.
- (ii) Every subspace of  $V$  is a submodule.
- (iii)  $V$  is not cyclic.

*Proof.*  $(i) \Rightarrow (ii)$

Let  $j \in J$  be fixed but arbitrary. Since  $V$  has at least 5 submodules, at least 3 of these submodules have to be nontrivial. Since these three have to be 1-dimensional, we find pairwise linear independent  $v_1, v_2, v_3 \in V$  with  $v_i \neq 0$  for all  $i$ , such that  $\langle v_1 \rangle, \langle v_2 \rangle$  and  $\langle v_3 \rangle$  are submodules. Since  $\phi_j(v_i) \in \langle v_i \rangle$  for all  $i$ , it follows that every  $v_i$  is an eigenvector of  $\phi_j$ . Let  $\lambda_i$  be the eigenvalue of  $v_i$ . If the  $\lambda_i$  were pairwise different,  $\{v_1, v_2, v_3\}$  would be linear independent, which is not possible because  $V$  is only 2-dimensional. So there must be  $i_1, i_2 \in \{1, 2, 3\}$  with  $i_1 \neq i_2$  but  $\lambda_{i_1} = \lambda_{i_2}$ . Since  $v_{i_1}$  and  $v_{i_2}$  are linear independent, it follows that  $\{v_{i_1}, v_{i_2}\}$  is a basis of  $V$ . From this it follows that every every  $v \in V, v \neq 0$  is an eigenvector of  $\phi_j$  with eigenvalue  $\lambda_{i_1}$ . This directly implies that every subspace of  $V$  is  $\phi_j$  invariant. Because  $j$  is arbitrary it follows that every subspace is  $\phi_j$  invariant for all  $j \in J$ , so every subspace is a submodule.

$(ii) \Rightarrow (i)$

Let  $\{v_1, v_2\}$  be a basis of  $V$ . Since  $v_1, v_2$  and  $v_1 + v_2$  are pairwise linear independent,  $\{0\}, \langle v_1 \rangle, \langle v_2 \rangle, \langle v_1 + v_2 \rangle$  and  $V$  are five pairwise different submodules of  $V$ .

$(ii) \Rightarrow (iii)$

Since for every  $v \in V, U(v) = \langle v \rangle$  is an at most 1-dimensional submodule of  $V$ , there is no  $v \in V$  such that  $U(v) = V$ . So  $V$  is not cyclic.

$$(iii) \Rightarrow (ii)$$

Assume that not every subspace of  $V$  is a submodule. Then we find a subspace  $U \subseteq V$  such that  $U$  is not a submodule of  $V$ . This implies that  $U$  is nontrivial, so  $U$  must be 1-dimensional. Let  $v \in U$  be a basis vector of  $U$ . Because  $U$  is a subspace but no submodule of  $V$  there exist  $j \in J$  such that  $\phi_j(v) \notin U = \langle v \rangle$ . This means that  $v$  and  $\phi_j(v)$  are linear independent and thus  $\{v, \phi_j(v)\}$  is a basis of  $V$  and  $V = U(v)$ . So  $V$  is cyclic.  $\square$

(i)  $\Rightarrow$  (ii) shows Exercise 5, and  $\neg(i) \Rightarrow \neg(iii)$  shows Exercise 6.

### Exercise 7:

A matrix  $A = (a_{ij}) \in M_3(K)$  describes a vector space endomorphism of  $K^3$ , which is a module endomorphism of  $V$  if and only if

$$A \begin{pmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} A \text{ and } A \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix} A. \quad (1)$$

By matrix multiplication we get

$$\begin{pmatrix} a_{12} & 0 & 0 \\ a_{22} & 0 & 0 \\ a_{32} & 0 & 0 \end{pmatrix} = A \begin{pmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} A = \begin{pmatrix} 0 & 0 & 0 \\ a_{11} & a_{12} & a_{13} \\ 0 & 0 & 0 \end{pmatrix}$$

and

$$\begin{pmatrix} a_{13} & 0 & 0 \\ a_{23} & 0 & 0 \\ a_{33} & 0 & 0 \end{pmatrix} = A \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix} A = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ a_{11} & a_{12} & a_{13} \end{pmatrix}.$$

So  $A$  does satisfy (1) if and only if  $a_{12} = a_{13} = a_{23} = a_{32} = 0$  and  $a_{11} = a_{22} = a_{33}$ . The set of all matrices satisfying this conditions is

$$\left\{ \begin{pmatrix} a & 0 & 0 \\ b & a & 0 \\ c & 0 & a \end{pmatrix} : a, b, c \in K \right\}.$$

$V$  is not simple, because

$$U = \langle e_2, e_3 \rangle = \ker \begin{pmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} = \ker \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix}$$

is a nonzero proper submodule of  $V$ .

$V$  is indecomposable: For submodules  $U_1, U_2 \subseteq V$  with  $U_1 \oplus U_2 = V$  there exist  $\lambda, \mu \in K$  such that  $e_1 + \lambda e_2 + \mu e_3 \in U_1$  or  $e_1 + \lambda e_2 + \mu e_3 \in U_2$ , because otherwise  $v$  and  $e_1$  would be linear independent for all  $v \in U_1$  and  $v \in U_2$ , so  $v$  and  $e_1$  would be linear independent for all  $v \in U_1 \oplus U_2 = V$ , which is obviously not the case. W.l.o.g. we can assume that  $e_1 + \lambda e_2 + \mu e_3 \in U_1$ . Because  $U_1$  is a submodule of  $V$  it follows

that

$$\begin{pmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} (e_1 + \lambda e_2 + \mu e_3) = e_2 \in U_1 \text{ and}$$

$$\begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix} (e_1 + \lambda e_2 + \mu e_3) = e_3 \in U_1.$$

This implies that

$$V = \langle e_1, e_2, e_3 \rangle = \langle e_1 + \lambda e_2 + \mu e_3, e_2, e_3 \rangle \subseteq U_1 \subseteq V,$$

so  $U_1 = V$ . Because  $U_1 \cap U_2 = \{0\}$  this means that  $U_2 = \{0\}$  and that  $V$  is indecomposable.

## Exercise 8:

### well-defined

It first needs to be shown that the function is well-defined, i.e. that for all  $f \in \text{Hom}_J(V, W)$   $\Gamma_f$  is a submodule of  $V \times W$  with  $\Gamma_f \oplus (0 \times W) = V \times W$ . (It is clear that  $0 \times W$  is a submodule of  $V \times W$ , since  $0$  is a submodule of  $V$  and  $W$  is a submodule of itself.)

Let  $f \in \text{Hom}_J(V, W)$ .  $\Gamma_f \subseteq V \times W$  is a subspace: It is  $(0, 0) = (0, f(0)) \in \Gamma_f$ , for  $(v, f(v)) \in \Gamma_f$  is  $-(v, f(v)) = (-v, f(-v)) \in \Gamma_f$ , for  $(v, f(v)), (v', f(v')) \in \Gamma_f$  is  $(v, f(v)) + (v', f(v')) = (v + v', f(v + v')) \in \Gamma_f$ , and for  $(v, f(v)) \in \Gamma_f$  and  $\lambda \in K$  we find  $\lambda(v, f(v)) = (\lambda v, f(\lambda v)) \in \Gamma_f$ . To show that  $\Gamma_f$  is a submodule we also need to show that  $(\phi_j \oplus \psi_j)(v, f(v)) \in \Gamma_f$  for all  $j \in J$  and  $v \in V$ . This holds because  $f$  is an module homomorphism and so

$$(\phi_j \oplus \psi_j)(v, f(v)) = (\phi_j(v), \psi_j(f(v))) = (\phi_j(v), f(\phi_j(v))) \in \Gamma_f.$$

To show that  $\Gamma_f \oplus (0 \times W) = V \times W$  we first notice that  $\Gamma_f \cap (0 \times W) = \{(0, 0)\}$ : For  $(x, y) \in \Gamma_f \cap (0 \times W)$  we find  $v \in V$  und  $w \in W$  such that  $(v, f(v)) = (x, y) = (0, w)$ . So  $v = 0$  and  $w = f(v) = f(0) = 0$  and thus  $(x, y) = (0, 0)$ . To show that  $\Gamma_f + (0 \times W) = V \times W$  we notice that for all  $(v, w) \in V \times W$

$$(v, w) = (v, f(v)) + (0, w - f(v)) \in \Gamma_f + (0 \times W).$$

### injective

To show that the function is injective we notice that for  $f, g \in \text{Hom}_J(V, W)$

$$\begin{aligned} \Gamma_f = \Gamma_g &\Rightarrow \{(v, f(v)) : v \in V\} = \{(v, g(v)) : v \in V\} \\ &\Rightarrow (v, f(v)) = (v, g(v)) \text{ for all } v \in V \\ &\Rightarrow f(v) = g(v) \text{ for all } v \in V \\ &\Rightarrow f = g. \end{aligned}$$

### surjective

To show that the function is surjective we construct for each submodule  $U \subseteq V \times W$  with  $U \oplus (0 \times W) = V \times W$  a homomorphism  $f_U \in \text{Hom}_J(V, W)$  with  $\Gamma_{f_U} = U$ . Let  $U \subseteq V \times W$  be a submodule with  $U \oplus (0 \times W) = V \times W$ . For every  $v \in V$  there exist a unique  $w_v \in W$  with  $(v, w_v) \in U$ : To show the uniqueness we notice that for  $(v, w), (v, w') \in U$  with  $(v, w) = (v, w')$

$$U \ni (v, w) - (v, w') = (0, w - w') \in W \Rightarrow w - w' = 0 \Rightarrow w = w',$$

since  $U \subseteq V \times W$  is a subspace and  $U \cap (0 \times W) = \{(0, 0)\}$ . To show that such a  $w_v$  exists we write  $(v, w) \in V \times W$  uniquely as  $(v, w) = (v, w') + (0, w'')$  with  $(v, w') \in U$  and  $(0, w'') \in 0 \times W$ ; this is possible because  $V \times W = U \oplus (0 \times W)$ .

This now allows us to define a function  $f : V \rightarrow W, v \mapsto w_v$ . Note that from the definition of  $f$  it directly follows that  $f(v) = w \Leftrightarrow (v, w) \in U$  and  $\Gamma_f = U$ . It turns out that  $f$  is a module homomorphism: Because  $U \subseteq V \times W$  is a subspace we find that for  $v, v' \in V$

$$(v + v', f(v) + f(v')) = (v, f(v)) + (v', f(v')) \in U,$$

so  $f(v + v') = f(v) + f(v')$ . We also find that for all  $v \in V$  and  $\lambda \in K$

$$(\lambda v, \lambda f(v)) = \lambda(v, f(v)) \in U,$$

so  $f(\lambda v) = \lambda f(v)$ . This shows that  $f$  is  $K$ -linear. To show that  $f$  is a module homomorphism we notice that for all  $v \in V$  and  $j \in J$

$$(v, f(v)) \in U \Rightarrow (\phi_j(v), \psi_j(f(v))) \in U,$$

because  $U$  is a submodule of  $V \times W$ . This implies  $f(\phi_j(v)) = \psi_j(f(v))$  for all  $v \in V$  and  $j \in J$ , so  $f\phi_j = \psi_j f$  for all  $j \in J$ . This shows that  $f$  is a module homomorphism.