

Remark and Solutions

Sheet 5

Problem 25

The relations

$$[h, e] = 2e, \quad [h, f] = -2f, \quad [e, f] = h$$

can be regarded as *rewriting rules*

$$hf \rightarrow fh - 2f, \quad eh \rightarrow he - 2e, \quad ef \rightarrow fe + h.$$

To write a monomial $e^l h^m f^n$ as a linear combination of the given basis monomials we repeatedly apply this rewriting rules and expand the resulting expressions if needed. This is best done using computers. We show two ways of doing this.

Python (SymPy)

The first solution uses `python`. We use the `sympy` (symbolic python) package, which allows us to use symbolic manipulation and replacement.

```

1 from sympy import *
2
3 e, h, f = symbols('e h f', commutative=False)
4
5 def sl2expand(term):
6     while True:
7         newterm = term
8         newterm = newterm.subs(h*f, f*h - 2*f)
9         newterm = newterm.subs(e*h, h*e - 2*e)
10        newterm = newterm.subs(e*f, f*e + h)
11        newterm = expand(newterm)
12        if newterm == term:
13            break
14        else:
15            term = newterm
16    return term

```

We get from this program the following results:

* Available online at <https://github.com/cionx/representation-theory-1-tutorial-ss-19>.

```

>>> sl2expand(e * h * f)
-4*f*e + f*h*e - 2*h + h**2
>>> sl2expand(e**2 * h**2 * f**2)
-288*f*e + 240*f*h*e - 56*f*h**2*e + 4*f*h**3*e + 64*f**2*e**2 - 16*f**2*h*e
**2 + f**2*h**2*e**2 - 32*h + 48*h**2 - 18*h**3 + 2*h**4
>>> sl2expand(h * f**3)
-6*f**3 + f**3*h
>>> sl2expand(h**3 * f)
-8*f + 12*f*h - 6*f*h**2 + f*h**3

```

Hence

$$\begin{aligned}
ehf &= -4fe + fhe - 2h + h^2 \\
&= fhe - 4fe + h^2 - 2h, \\
e^2h^2f^2 &= -288fe + 240fhe - 56fh^2e + 4fh^3e + 64f^2e^2 \\
&\quad - 16f^2he^2 + f^2h^2e^2 - 32h + 48h^2 - 18h^3 + 2h^4 \\
&= f^2h^2e^2 - 16f^2he^2 + 64f^2e^2 + 4fh^3e - 56fh^2e \\
&\quad + 240fhe - 288fe + 2h^4 - 18h^3 + 48h^2 - 32h, \\
hf^3 &= -6f^3 + f^3h \\
&= f^3h - 6f^3, \\
h^3f &= -8f + 12fh - 6fh^2 + fh^3 \\
&= fh^3 - 6fh^2 + 12fh - 8f.
\end{aligned}$$

Sage

Another software solution is provided by **sage**, a computer algebra system that provides many tools for algebra, combinatorics, representation theory, etc. Lie algebras and their PBW bases are already implemented. An explicit explanation of PBW bases can be found in [Sag13].

```

sage: g = lie_algebras.three_dimensional_by_rank(QQ, 3, names=['E','F','H']) 1
sage: def sort_key(x):                                                         2
....:     if x == 'F':                                                         3
....:         return 0                                                         4
....:     if x == 'H':                                                         5
....:         return 1                                                         6
....:     if x == 'E':                                                         7
....:         return 2                                                         8
sage: pbw = g.pbw_basis(basis_key=sort_key)                                   9
sage: E,F,H = pbw.algebra_generators()                                       10
sage: H * E - E * H                                                         11
2*PBW['E']                                                                    12
sage: H * F - F * H                                                         13
-2*PBW['F']                                                                    14

```

```

sage: E * F - F * E 15
PBW['H'] 16
sage: E * H * F 17
PBW['F']*PBW['H']*PBW['E'] - 4*PBW['F']*PBW['E'] + PBW['H']^2 - 2*PBW['H'] 18
sage: E^2 * H^2 * F^2 19
PBW['F']^2*PBW['H']^2*PBW['E']^2 - 16*PBW['F']^2*PBW['H']*PBW['E']^2 + 4*PBW[ 20
    'F']*PBW['H']^3*PBW['E'] + 64*PBW['F']^2*PBW['E']^2 - 56*PBW['F']*PBW['H']
    ]^2*PBW['E'] + 2*PBW['H']^4 + 240*PBW['F']*PBW['H']*PBW['E'] - 18*PBW['H']
    ]^3 - 288*PBW['F']*PBW['E'] + 48*PBW['H']^2 - 32*PBW['H']
sage: H * F^3 21
PBW['F']^3*PBW['H'] - 6*PBW['F']^3 22
sage: H^3 * F 23
PBW['F']*PBW['H']^3 - 6*PBW['F']*PBW['H']^2 + 12*PBW['F']*PBW['H'] - 8*PBW['F 24
    '']

```

(The above output in the lines 12, 14, 16, 18, 20, 22, 24 is in fact autogenerated by sage when compiling this document.)

Remark 1. The term hf^3 — and more generally hf^n for every $n \geq 0$ — can also be computed smartly: If A is any k -algebra and $a \in A$ then the map $[a, -]: A \rightarrow A$ is a derivation of A , i.e.

$$[a, xy] = [a, x]y + x[a, y].$$

In $A = U(\mathfrak{sl}_2(k))$ we therefore have

$$[h, f^n] = [h, ff \cdots f] = [h, f]f \cdots f + f[h, f] \cdots f + \cdots + ff \cdots [h, f].$$

With $[h, f] = -2f$ we find

$$[h, f^n] = -2nf^n.$$

With $[h, f^n] = hf^n - f^n h$ we find by rearranging that

$$hf^n = f^n h - 2nf^n.$$

Problem 26

Lemma 2. For any two finite dimensional $\mathfrak{sl}_2(k)$ -representations V, W and every $\kappa \in k$,

$$(V \otimes W)_\kappa = \bigoplus_{\lambda+\mu=\kappa} V_\lambda \otimes W_\mu.$$

Proof. We have $V_\lambda \otimes W_\mu \subseteq (V \otimes W)_{\lambda+\mu}$ because

$$h.(v \otimes w) = (h.v) \otimes w + v \otimes (h.w) = \lambda v \otimes w + \mu v \otimes w = (\lambda + \mu)v \otimes w$$

for every simple tensor $v \otimes w \in V_\lambda \otimes W_\mu$. We also know from Weyl's theorem that

$$V \otimes W = \bigoplus_{\kappa} (V \otimes W)_\kappa$$

and

$$V \otimes W = \left(\bigoplus_{\lambda} V_{\lambda} \right) \otimes \left(\bigoplus_{\mu} W_{\mu} \right) = \bigoplus_{\lambda, \mu} V_{\lambda} \otimes W_{\mu} = \bigoplus_{\kappa} \bigoplus_{\lambda + \mu = \kappa} V_{\lambda} \otimes W_{\mu}.$$

We see that the inclusion $\bigoplus_{\lambda + \mu = \kappa} V_{\lambda} \otimes W_{\mu} \subseteq (V \otimes W)_{\kappa}$ is already an equality. \square

For every finite dimensional $\mathfrak{sl}_2(k)$ -representation V its *formal character* is

$$\text{ch}(V) := \sum_{n \in \mathbb{Z}} \dim(V_n) t^n \in k[t, t^{-1}].$$

Lemma 3.

(1) For every $n \geq 0$,

$$\text{ch}(V(n)) = t^n + t^{n-2} + \dots + t^{2-n} + t^{-n}.$$

(2) If $V^{(1)}, \dots, V^{(n)}$ are finite dimensional $\mathfrak{sl}_2(k)$ -representations then

$$\text{ch}(V^{(1)} \oplus \dots \oplus V^{(n)}) = \text{ch}(V^{(1)}) + \dots + \text{ch}(V^{(n)}).$$

(3) If V and W are two finite dimensional $\mathfrak{sl}_2(k)$ -representations then

$$\text{ch}(V \otimes W) = \text{ch}(V) \text{ch}(W).$$

Proof.

(1) We see this from the explicit description of $V(n)$ as done in the lecture.

(2) This follows from $(V^{(1)} \oplus \dots \oplus V^{(n)})_{\lambda} = V_{\lambda}^{(1)} \oplus \dots \oplus V_{\lambda}^{(n)}$.

(3) This follows from Lemma 2. \square

Proposition 4. Two finite dimensional $\mathfrak{sl}_2(k)$ -representations V and W are isomorphic if and only if they have the same formal character.

Proof. If $V \cong W$ then $\dim(V_{\lambda}) = \dim(W_{\lambda})$ for every $\lambda \in k$ and thus $\text{ch}(V) = \text{ch}(W)$.

Suppose now that $\text{ch}(V) = \text{ch}(W)$. By Weyl's theorem and the classification of finite dimensional irreducible $\mathfrak{sl}_2(k)$ -representations we can decompose both V and W as

$$V \cong \bigoplus_{n=0}^N V(n)^{\oplus p_n} \quad \text{and} \quad W \cong \bigoplus_{m=0}^M V(m)^{\oplus q_m}$$

with $p_N, q_M \neq 0$. Then $\text{ch}(V)$ has the leading term $p_N t^N$ and $\text{ch}(W)$ has the leading term $q_M t^M$. It follows from $\text{ch}(V) = \text{ch}(W)$ that $N = M$ and $p_N = q_M$. It follows for

$$V' := \bigoplus_{n=0}^{N-1} V(n)^{\oplus p_n} \quad \text{and} \quad W' := \bigoplus_{m=0}^{M-1} V(m)^{\oplus q_m}$$

that

$$\text{ch}(V') = \text{ch}(V) - \text{ch}(V(N)^{\oplus p_N}) = \text{ch}(W) - \text{ch}(V(M)^{\oplus q_M}) = \text{ch}(W')$$

We can now apply induction to find $V' \cong W'$ and hence $p_i = q_i$ for all $i = 0, \dots, N-1$. The induction start is given by $\text{ch}(V) = \text{ch}(W) = 0$, in which case $V = 0 = W$.

This shows that $N = M$ and $p_i = q_i$ for all $i = 1, \dots, N$, whence $V \cong W$. \square

Let now $n, m \geq 0$. To determine the decomposition of $V(n) \otimes V(m)$ into irreducibles we may assume that $n \geq m$ (because $V(n) \otimes V(m) \cong V(m) \otimes V(n)$). We can now proceed in two ways:

- We have

$$\begin{aligned} & \text{ch}(V(n) \otimes V(m)) \\ &= \text{ch}(V(n)) \text{ch}(V(m)) \\ &= (t^n + t^{n-2} + \dots + t^{2-n} + t^{-n})(t^m + t^{m-2} + \dots + t^{2-m} + t^{-m}) \\ &= t^{n+m} + 2t^{n+m-2} + \dots + mt^{n-m} + \dots + mt^{m-n} + \dots + 2t^{2-n-m} + t^{-n-m} \\ &= (t^{n+m} + t^{n+m-2} + \dots + t^{2-n-m} + t^{-n-m}) \\ &\quad + (t^{n+m-2} + t^{n+m-4} + \dots + t^{4-n-m} + t^{2-n-m}) \\ &\quad + \dots \\ &\quad + (t^{n-m} + t^{n-m-2} + \dots + t^{m-n}) \\ &= \text{ch}(V(n+m)) + \text{ch}(V(n+m-2)) + \dots + \text{ch}(V(n-m)) \\ &= \text{ch}(V(n+m) \oplus V(n+m-2) \oplus \dots \oplus V(n-m)) \end{aligned}$$

and therefore

$$V(n) \otimes V(m) \cong V(n+m) \oplus V(n+m-2) \oplus \dots \oplus V(n-m).$$

- We note that

$$\text{ch}(V(n)) = t^n + t^{n-2} + \dots + t^{2-n} + t^{-n} = \frac{t^{n+2} - t^{-n}}{t^2 - 1}.$$

It follows that

$$\begin{aligned}
& \text{ch}(V(n) \otimes V(m)) \\
&= \text{ch}(V(n)) \text{ch}(V(m)) \\
&= \frac{t^{n+2} - t^{-n}}{t^2 - 1} (t^m + t^{m-2} + \dots + t^{2-m} + t^{-m}) \\
&= \frac{(t^{n+2} - t^{-n})(t^m + t^{m-2} + \dots + t^{2-m} + t^{-m})}{t^2 - 1} \\
&= \frac{t^{n+m+2} + t^{n+m} + \dots + t^{n-m+2} - t^{m-n} - \dots - t^{-n-m+2} - t^{-n-m}}{t^2 - 1} \\
&= \frac{t^{n+m+2} - t^{-n-m}}{t^2 - 1} + \frac{t^{n+m} - t^{-n-m+2}}{t^2 - 1} + \dots + \frac{t^{n-m+2} - t^{-n-m}}{t^2 - 1} \\
&= \text{ch}(V(n+m)) + \text{ch}(V(n+m-2)) + \dots + \text{ch}(V(n-m)) \\
&= \text{ch}(V(n+m) \oplus V(n+m-2) \oplus \dots \oplus V(n-m))
\end{aligned}$$

and hence again

$$V(n) \otimes V(m) \cong V(n+m) \oplus V(n+m-2) \oplus \dots \oplus V(n-m).$$

The above decomposition of $V(n) \otimes V(m)$ is known as the *Clebsch–Gordan decomposition* or *Clebsch–Gordan rule*. Under the name of *Clebsch–Gordan coefficients* this plays a role in quantum mechanics.

Problem 27

Suppose that A is not a domain. There are two possible situation for this to happen:

If $A = 0$ then $\text{gr}_i(A) = A_{(i)}/A_{(i-1)} = 0$ for every i and thus $\text{gr}(A) = 0$. In this case $\text{gr}(A)$ is again not a domain.

If $A \neq 0$ then there exist nonzero $a, b \in A$ with $ab = 0$. Then there exist $i, j \geq 0$ with $a \in A_{(i)}$ and $b \in A_{(j)}$ but $a \notin A_{(i-1)}$ and $b \notin A_{(j-1)}$ (where $A_{(-1)} = 0$). (This means that a is of degree i and b is of degree j .) Then the resulting elements $[a]_i \in \text{gr}_i(A)$ and $[b]_j \in \text{gr}_j(A)$ are nonzero with

$$[a]_i \cdot [b]_j = [ab]_{i+j} = [0]_{i+j} = 0.$$

In this case $\text{gr}(A)$ is again not a domain.

If \mathfrak{g} is a Lie algebra then $\text{gr}(U(\mathfrak{g})) = S(\mathfrak{g})$ is a domain because $S(\mathfrak{g})$ is a polynomial algebra. (More specifically, if $(x_i)_{i \in I}$ is a basis of \mathfrak{g} then $S(\mathfrak{g}) \cong k[t_i \mid i \in I]$.) Thus $U(\mathfrak{g})$ is a domain.

Problem 28

The main idea of our approach is taken from [MRS87, 6.7].

Let $A = \bigcup_i A_{(i)}$ be a filtered algebra (where $A_{(-j)} = 0$ for all $j \leq -1$). We denote for $x \in A_{(i)}$ the corresponding equivalence class in $\text{gr}_i(A) = A_{(i)}/A_{(i-1)}$ by $[x]_i$. We denote for every $a \in A$ by $\deg(a)$ the degree of a , i.e.

$$\deg(a) = \min\{i \geq 0 \mid a \in A_{(i)}\}.$$

For every element $a \in A$ the equivalence class $[a]_i$ is defined for all $i \geq \deg(a)$, but $[a]_i = 0$ if $i > \deg(a)$. To every element $a \in A$ we can hence associate a canonical element $\gamma(a) \in \text{gr}(A)$, namely $[a]_{\deg(a)}$.

To every left (resp. right) ideal I in A we can associate a homogeneous left (resp. right) ideal $\text{gr}(I)$ in A , given by the homogeneous parts

$$\text{gr}_i(I) := (A_{(i-1)} + I \cap A_{(i)})/A_{(i-1)} = \{[x]_i \mid x \in I \cap A_{(i)}\} \subseteq \text{gr}_i(A)$$

If I is a left ideal then $\text{gr}(I) = \bigoplus_{i \geq 0} \text{gr}_i(I)$ is a left ideal in $\text{gr}(A)$ because

$$\begin{aligned} \text{gr}_i(A)\text{gr}_j(I) &= \{[a]_i[x]_j \mid [a]_i \in \text{gr}_i(A), [x]_j \in \text{gr}_j(I)\} \\ &= \{[a]_i[x]_j \mid a \in A_{(i)}, x \in I \cap A_{(j)}\} \\ &= \{[ax]_{i+j} \mid a \in A_{(i)}, x \in I \cap A_{(j)}\} \\ &\subseteq \{[y]_{i+j} \mid y \in I \cap A_{(i+j)}\} \\ &= \text{gr}_{i+j}(I). \end{aligned}$$

If I is a right ideal then a similar calculation shows that $\text{gr}(I)$ is indeed a right ideal in $\text{gr}(A)$.

Recall 5. If M is an R -module (where R is some ring) and A, B, C are submodules of M with $A \subseteq C$ then

$$A + (B \cap C) = (A + B) \cap C.$$

We can therefore just write $A + B \cap C$.

Remark 6. The ideal I inherits from A a filtration given by $I_{(i)} := I \cap A_{(i)}$. Then by the second isomorphism theorem

$$\begin{aligned} \text{gr}_i(I) &= (A_{(i-1)} + I \cap A_{(i)})/A_{(i-1)} \\ &\cong (I \cap A_{(i)})/(A_{(i-1)} \cap I \cap A_{(i)}) \\ &= (I \cap A_{(i)})/(I \cap A_{(i-1)}) \\ &= I_{(i)}/I_{(i-1)}. \end{aligned}$$

This justifies the notation $\text{gr}_i(I)$.

If I and J are two ideals in A with $I \subseteq J$ then also $\text{gr}_i(I) \subseteq \text{gr}_i(J)$ for all i and thus $\text{gr}(I) \subseteq \text{gr}(J)$. We will see that if I is strictly contained in J then $\text{gr}(I)$ is also strictly contained in $\text{gr}(J)$. This will be a consequence of the following observation, that is interesting in its own right.

Proposition 7. Let I be a left (resp. right) ideal in A and let S be a subset of I . If the associated elements $[s]_{\deg(s)}$ with $s \in S$ is a generating set for the left (resp. right) ideal $\text{gr}(I)$ then S is a generating set for I .

Proof. We consider only the case that I is a left ideal; the case of a right ideal works the same.

Let $x \in I$. The associated element $[x]_{\deg(x)} \in \text{gr}(I)$ can be written as a linear combination

$$[x]_{\deg(x)} = \sum_{s \in S} b_s [s]_{\deg(s)}$$

for some $b_s \in \text{gr}(A)$. By decomposing the coefficients b_s into homogeneous components we see that we can replace each b_s by its homogeneous component of degree $\deg(x) - \deg(s)$. We may hence assume that each b_s is homogeneous of degree $\deg(x) - \deg(s)$. We can now write every coefficient b_s as $b_s = [a_s]_{\deg(x) - \deg(s)}$ for some $a_s \in A_{\deg(x) - \deg(s)}$.¹ Then

$$\begin{aligned} [x]_{\deg(x)} &= \sum_{s \in S} b_s [s]_{\deg(s)} \\ &= \sum_{s \in S} [a_s]_{\deg(x) - \deg(s)} [s]_{\deg(s)} \\ &= \sum_{s \in S} [a_s s]_{\deg(x)} \\ &= \left[\sum_{s \in S} a_s s \right]_{\deg(x)} \end{aligned}$$

and hence $x - \sum_{s \in S'} a_s s \in A_{\deg(x)-1}$. Now we can proceed inductively to express this difference as a linear combination of S . \square

Remark 8. One may think for $a \in A$ about the associated element $[a]_{\deg(a)} \in \text{gr}(A)$ as the “leading term” of a , and for an ideal I in A about $\text{gr}(I)$ as the “ideal of leading terms” of I . (Note that if A is a graded algebra then $\text{gr}(A) = A$ and $[a]_{\deg(a)}$ is precisely the leading term of a in the usual sense. Then also $\text{gr}(I) = I$ for every homogeneous left (resp. right) ideal I in A .) Then the above statement and its proof may be compared to Hilbert’s basis theorem², or the concept of a Gröbner basis.

Corollary 9. If I and J are left (resp. right) ideals in A with $I \subsetneq J$ then $\text{gr}(I) \subsetneq \text{gr}(J)$.

Proof. Suppose that $\text{gr}(I) = \text{gr}(J)$. The ideal $\text{gr}(I)$ is homogeneous and thus generated by homogeneous elements. There hence exists some subset S of I such that $\text{gr}(I)$ is generated by the associated elements $[s]_{\deg(s)}$ with $s \in S$. (Here we use that the homogeneous elements contained in $\text{gr}(I)$ are precisely those of the form $[x]_{\deg(x)}$)

¹If $\deg(s) > \deg(x)$ then $b_s = 0$ and $a_s = 0$.

²In [MRS87, Proposition 6.7] the above proposition is implicitly used but not explicitly stated. For the missing calculations the authors instead refer to an earlier proof — namely that of Hilbert’s basis theorem.

with $x \in I$.) It follows from Proposition 7 and $\text{gr}(I) = \text{gr}(J)$ that S is a generating set of both I and J . Thus $I = J$. \square

Corollary 10. If $\text{gr}(A)$ is left (resp. right) noetherian then A is left (resp. right) noetherian. The same holds for left (resp. right) artinian.

Proof. Every strictly increasing sequence of left (resp. right) ideals

$$I_0 \subsetneq I_1 \subsetneq I_2 \subsetneq I_3 \subsetneq \cdots$$

in A results by Corollary 9 in a strictly increasing sequence of left (resp. right) ideals

$$\text{gr}(I_0) \subsetneq \text{gr}(I_1) \subsetneq \text{gr}(I_2) \subsetneq \text{gr}(I_3) \subsetneq \cdots$$

in $\text{gr}(A)$. So if A is not noetherian then neither is $\text{gr}(A)$. Similarly for artinian. \square

Corollary 11. If \mathfrak{g} is a finite dimensional Lie algebra then $U(\mathfrak{g})$ is both left and right noetherian.

Proof. If \mathfrak{g} is of dimension n then $\text{gr}(U(\mathfrak{g})) \cong k[x_1, \dots, x_n]$ is both left noetherian and right noetherian whence the assertion follows from Corollary 10. \square

Remark 12. Recall that for any $n \geq 1$ the polynomial algebra $k[x_1, \dots, x_n]$ is not artinian because

$$(x_n) \supsetneq (x_n^2) \supsetneq (x_n^3) \supsetneq \cdots$$

is a strictly decreasing sequence of ideals of infinite length. We can see similarly that if \mathfrak{g} is any nonzero Lie algebra then $U(\mathfrak{g})$ is neither left artinian nor right artinian:

We show that that $U(\mathfrak{g})$ is not left artinian: Let $(x_i)_{i \in I}$ be basis of \mathfrak{g} such that the index set (I, \leq) is linearly ordered and admits a maximal element $j \in I$. (Here we use the well ordering theorem.) Then $U(\mathfrak{g})$ admits the associated PBW basis consisting of all monomials $x_{i_1}^{n_1} \cdots x_{i_r}^{n_r}$ with $r \geq 0$, $n_i \geq 1$, $i_1 < \cdots < i_r$. It follows that for all $m \geq 0$ the left ideal $U(\mathfrak{g})x_j^m$ has as a basis all monomials $x_{i_1}^{n_1} \cdots x_{i_r}^{n_r} x_j^{m'}$ with $r \geq 0$, $n_i \geq 1$, $i_1 < \cdots < i_r < j$ and $m' \geq m$. Thus

$$U(\mathfrak{g})x_j \supsetneq U(\mathfrak{g})x_j^2 \supsetneq U(\mathfrak{g})x_j^3 \supsetneq \cdots$$

is a strictly increasing sequence of left ideals of infinite length, showing that $U(\mathfrak{g})$ is not left artinian.

That $U(\mathfrak{g})$ is not right artinian can be seen in the same way.

References

- [MRS87] J. C. McConnell, J. C. Robson, and L. W. Small. *Noncommutative Noetherian Rings*. Graduate Studies in Mathematics 30. American Mathematical Society, 1987, pp. xx+636. ISBN: 978-0-8218-2169-5.
- [Sag13] *The Poincaré–Birkhoff–Witt Basis For A Universal Enveloping Algebra*. November 3, 2013. URL: http://doc.sagemath.org/html/en/reference/algebras/sage/algebras/lie_algebras/poincare_birkhoff_witt.html (visited on May 23, 2019).