

# Remark and Solutions

## Sheet 1

### Problem 14

1.

To construct a Lie algebra homomorphism  $\varphi: \mathfrak{g} \rightarrow \mathfrak{sl}_{n+1}(\mathbb{C})$  we only need to specify the images  $E_i, H_i, F_i$  of the generators  $e_i, h_i, f_i$  and then check that these proposed images satisfy the given relations (22)–(27). (This is what it means for  $\mathfrak{g}$  to be given by the generators  $\{e_i, h_i, f_i\}_{i=1}^n$  and relations (22)–(27).)

In the case  $n = 1$  we know that the Lie algebra  $\mathfrak{sl}_2(\mathbb{C})$  has the standard basis  $e, f, h$  consisting of the matrices

$$e = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \quad h = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \quad f = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}.$$

These three basis vectors satisfy the relations  $[h, e] = 2e$ ,  $[h, f] = -2f$  and  $[e, f] = h$ . Motivated by this example we will choose for arbitrary  $n \geq 1$  the proposed images  $E_i, F_i, H_i \in \mathfrak{sl}_{n+1}(\mathbb{C})$  as

$$E_i := E_{i,i+1}, \quad F_i := E_{i+1,i}, \quad H_i := E_{ii} - E_{i+1,i+1}.$$

We need to check that these elements satisfy the relations (22)–(27):

(22) We find  $[H_i, H_j] = 0$  because both  $H_i$  and  $H_j$  are diagonal matrices and hence commute with each other.

(23) We find

$$\begin{aligned} [E_i, F_j] &= E_i F_j - F_j E_i \\ &= E_{i,i+1} E_{j+1,j} - E_{j+1,j} E_{i,i+1} \\ &= \delta_{i+1,j+1} E_{ij} - \delta_{ij} E_{j+1,i+1} \\ &= \delta_{ij} (E_{ii} - E_{i+1,i+1}) \\ &= \delta_{ij} H_i \end{aligned}$$

where we used that  $\delta_{i+1,j+1} = \delta_{ij}$  and  $\delta_{ij} E_{ij} = \delta_{ij} E_{ii}$ .

(24) We find

$$\begin{aligned}
[H_i, E_j] &= [E_{ii} - E_{i+1, i+1}, E_{j, j+1}] \\
&= (E_{ii} - E_{i+1, i+1})E_{j, j+1} - E_{j, j+1}(E_{ii} - E_{i+1, i+1}) \\
&= E_{ii}E_{j, j+1} - E_{i+1, i+1}E_{j, j+1} - E_{j, j+1}E_{ii} + E_{j, j+1}E_{i+1, i+1} \\
&= \delta_{ij}E_{i, j+1} - \delta_{i+1, j}E_{i+1, j+1} - \delta_{i, j+1}E_{ji} + \delta_{i+1, j+1}E_{j, i+1} \\
&= \delta_{ij}(E_{i, j+1} + E_{j, i+1}) - \delta_{i+1, j}E_{i+1, j+1} - \delta_{i, j+1}E_{ji} \\
&= 2\delta_{ij}E_{j, j+1} - \delta_{i+1, j}E_{j, j+1} - \delta_{i, j+1}E_{j, j+1} \\
&= (2\delta_{ij} - \delta_{i+1, j} - \delta_{i, j+1})E_{j, j+1} \\
&= a_{ji}E_j.
\end{aligned}$$

(25) This relation can be checked in the same way as (24). But we can also observe that  $F_j = E_j^T$  and  $H_i = H_i^T$  whence

$$[H_i, F_j] = [H_i^T, E_j^T] = [E_j, H_i]^T = a_{ij}E_j^T = -a_{ij}F_j.$$

(26) We find

$$\begin{aligned}
\text{ad}(E_i)(E_j) &= [E_i, E_j] \\
&= [E_{i, i+1}, E_{j, j+1}] \\
&= E_{i, i+1}E_{j, j+1} - E_{j, j+1}E_{i, i+1} \\
&= \delta_{i+1, j}E_{i, j+1} - \delta_{i, j+1}E_{j, i+1}.
\end{aligned}$$

We find for  $|i - j| \geq 2$  that  $\delta_{i+1, j} = \delta_{i, j+1} = 0$  and hence

$$\text{ad}(E_i)^{-a_{ji}+1}(E_j) = \text{ad}(E_i)(E_j) = 0.$$

as desired. If  $i = j - 1$  then  $\text{ad}(E_i)(E_j) = E_{i, j+1} = E_{i, i+2}$  and  $a_{ji} = -1$  and thus

$$\begin{aligned}
\text{ad}(E_i)^{-a_{ji}+1}(E_j) &= \text{ad}(E_i)^2(E_j) \\
&= [E_i, [E_i, E_j]] \\
&= [E_i, E_{i, i+2}] \\
&= [E_{i, i+1}, E_{i, i+2}] \\
&= E_{i, i+1}E_{i, i+2} - E_{i, i+2}E_{i, i+1} \\
&= \delta_{i, i+1}E_{i, i+2} - \delta_{i, i+2}E_{i, i+1} \\
&= 0
\end{aligned}$$

The case  $i = j + 1$  works the same.

(27) This can be done by similar calculations as (26) but can also be directly derived from (26) by again using the matrix transpose.

**Remark 1.**

1. We used for (25) that that  $[A, B]^T = (AB - BA)^T = B^T A^T - A^T B^T = [B^T, A^T]$ .
2. For (24) and (25) it is useful to understand how a commutator  $[D, A]$  looks like if  $D$  is a diagonal matrix with diagonal entries  $\lambda_1, \dots, \lambda_n \in k$ :

The matrix  $DA$  results from  $A$  by multiplying for every  $i = 1, \dots, n$  the  $i$ -th row of  $A$  by the corresponding diagonal entry  $\lambda_i$ . Similarly the matrix  $AD$  results from  $A$  by multiplying for every  $j = 1, \dots, n$  the  $j$ th column of  $A$  by the corresponding diagonal entry  $\lambda_j$ . This means in formulae that

$$(DA)_{ij} = \lambda_i A_{ij} \quad \text{and} \quad (AD)_{ij} = \lambda_j A_{ij}$$

for all  $i, j = 1, \dots, n$ , and thus

$$[D, A]_{ij} = (DA - AD)_{ij} = (DA)_{ij} - (AD)_{ij} = \lambda_i A_{ij} - \lambda_j A_{ij} = (\lambda_i - \lambda_j) A_{ij}$$

for all  $i, j = 1, \dots, n$ .

It follows that

$$[H_i, E_j] = [H_i, E_{j,j+1}] = ((H_i)_{jj} - (H_i)_{j+1,j+1}) E_{j,j+1}$$

where

$$(H_i)_{jj} - (H_i)_{j+1,j+1} = \begin{cases} 0 & \text{if } j+1 < i, \\ -1 & \text{if } j+1 = i, \\ 2 & \text{if } j = i, \\ -1 & \text{if } j = i+1, \\ 0 & \text{if } j > i+1. \end{cases}$$

Hence  $[H_i, E_j] = a_{j,i} E_j$  as desired.

We have shown that  $E_i, F_i, H_i$  with  $i = 1, \dots, n$  satisfy the given relations, and all these matrices are contained in  $\mathfrak{sl}_{n+1}(\mathbb{C})$ . There hence exists a unique homomorphism of Lie algebras  $\varphi: \mathfrak{g} \rightarrow \mathfrak{sl}_{n+1}(\mathbb{C})$  with  $\varphi(e_i) = E_i$ ,  $\varphi(f_i) = F_i$  and  $\varphi(h_i) = H_i$ . It remains to show that  $\varphi$  is surjective.

The image of  $\varphi$  is a Lie subalgebra of  $\mathfrak{sl}_{n+1}(\mathbb{C})$ . It therefore suffices to show that  $\mathfrak{sl}_{n+1}(\mathbb{C})$  is generated by the elements  $\{E_i, F_i, H_i\}_{i=1}^n$  as a Lie algebra. Let  $\mathfrak{s}$  be the Lie subalgebra of  $\mathfrak{sl}_{n+1}(\mathbb{C})$  generated by these elements. We know that  $\mathfrak{sl}_{n+1}(\mathbb{C})$  has a basis the diagonal matrices  $H_1, \dots, H_n$  together with the off-diagonal matrices  $E_{ij}$  where  $1 \leq i \neq j \leq n+1$ . It suffices to show that these matrices are contained in  $\mathfrak{s}$ . This holds for  $H_1, \dots, H_n$  by construction of  $\mathfrak{s}$ .

Let us consider the off-diagonal matrices  $E_{ij}$  with  $j > i$ . We fix the index  $i$  and show that  $E_{i,i+1}, E_{i,i+2}, \dots, E_{i,n+1} \in \mathfrak{s}$ . This holds for  $E_{i,i+1} = E_i$  by construction of  $\mathfrak{s}$ . If  $E_{ij} \in \mathfrak{s}$  for some  $i+1 \leq j < n+1$  then we find inductively that the matrix

$$[E_{ij}, E_j] = [E_{ij}, E_{j,j+1}] = E_{ij} E_{j,j+1} - E_{j,j+1} E_{ij} = E_{i,j+1}$$

is again contained in  $\mathfrak{s}$ . This shows that all off-diagonal matrices  $E_{ij}$  with  $j > i$  are contained in  $\mathfrak{s}$ .

For the off-diagonal matrices  $E_{ij}$  with  $i < j$  we can argue in the same way by using the matrices  $F_i$  instead of  $E_i$ . But we could also observe that the Lie algebra generating set  $\{E_i, F_i, H_i\}_{i=1}^n$  of  $\mathfrak{s}$  is closed under matrix transpose whence  $\mathfrak{s}$  is closed under matrix transpose (because matrix transpose is a Lie algebra anti-isomorphism). It thus follows for all  $i < j$  from  $E_{ji} \in \mathfrak{s}$  that also  $E_{ij} \in \mathfrak{s}$ .

## 2.

We construct an inverse  $\psi: \mathfrak{sl}_2(\mathbb{C}) \rightarrow \mathfrak{g}$  to  $\varphi$ . We define  $\psi$  to be the unique linear map with  $\psi(e) = e_1$ ,  $\psi(f) = f_1$  and  $\psi(h) = h_1$ . Recall that  $[h, e] = 2e$ ,  $[h, f] = -2f$  and  $[e, f] = h$ . The relations (23), (24), (25) therefore ensure that  $\psi$  is a homomorphism of Lie algebras. Then  $\psi\varphi = \text{id}_{\mathfrak{sl}_2(\mathbb{C})}$  because this holds on the basis  $e, h, f$  of  $\mathfrak{sl}_2(\mathbb{C})$  and  $\varphi\psi = \text{id}_{\mathfrak{g}}$  because this holds on the Lie algebra generators  $e_1, h_1, f_1$  of  $\mathfrak{g}$ .