

# Remark and Solutions

## Sheet 5

### Problem 27

Suppose that  $A$  is not a domain. There are two possible situation for this to happen:

If  $A = 0$  then  $\text{gr}_i(A) = A_{(i)}/A_{(i-1)} = 0$  for every  $i$  and thus  $\text{gr}(A) = 0$ . In this case  $\text{gr}(A)$  is again not a domain.

If  $A \neq 0$  then there exist nonzero  $a, b \in A$  with  $ab = 0$ . Then there exist  $i, j \geq 0$  with  $a \in A_{(i)}$  and  $b \in A_{(j)}$  but  $a \notin A_{(i-1)}$  and  $b \notin A_{(j-1)}$  (where  $A_{(-1)} = 0$ ). (This means that  $a$  is of degree  $i$  and  $b$  is of degree  $j$ .) Then the resulting elements  $[a]_i \in \text{gr}_i(A)$  and  $[b]_j \in \text{gr}_j(A)$  are nonzero with

$$[a]_i \cdot [b]_j = [ab]_{i+j} = [0]_{i+j} = 0.$$

In this case  $\text{gr}(A)$  is again not a domain.

If  $\mathfrak{g}$  is a Lie algebra then  $\text{gr}(\text{U}(\mathfrak{g})) = \text{S}(\mathfrak{g})$  is a domain because  $\text{S}(\mathfrak{g})$  is a polynomial algebra. (More specifically, if  $(x_i)_{i \in I}$  is a basis of  $\mathfrak{g}$  then  $\text{S}(\mathfrak{g}) \cong k[t_i \mid i \in I]$ .) Thus  $\text{U}(\mathfrak{g})$  is a domain.

### Problem 28

The main idea of our approach is taken from [MRS87, 6.7].

Let  $A = \bigcup_{i \geq -1} A_{(i)}$  be a filtered algebra (where  $A_{(-1)} = 0$ ). We denote for  $x \in A_{(i)}$  the corresponding equivalence class in  $\text{gr}_i(A) = A_{(i)}/A_{(i-1)}$  by  $[x]_i$ . We denote for every  $a \in A$  by  $\deg(a)$  the degree of  $a$ , i.e.

$$\deg(a) = \min\{i \geq 0 \mid a \in A_{(i)}\}.$$

For every element  $a \in A$  the equivalence class  $[a]_i$  is defined for all  $i \geq \deg(a)$ , but  $[a]_i = 0$  if  $i > \deg(a)$ . To every element  $a \in A$  we can hence associate a canonical element  $\gamma(a) \in \text{gr}(A)$ , namely  $\gamma(a) = [a]_{\deg(a)}$ .

To every left (resp. right) ideal  $I$  in  $A$  we can associate a homogeneous left (resp. right) ideal  $\text{gr}(I)$  in  $A$ , given by the homogeneous parts

$$\text{gr}_i(I) := (A_{(i-1)} + I \cap A_{(i)})/A_{(i-1)} = \{[x]_i \mid x \in I \cap A_{(i)}\} \subseteq \text{gr}_i(A)$$

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\* Available online at <https://github.com/cionx/representation-theory-1-tutorial-ss-19>.

The space  $\text{gr}(I) = \bigoplus_{i \geq 0} \text{gr}_i(I)$  is indeed a left ideal in  $\text{gr}(A)$  because

$$\begin{aligned} \text{gr}_i(A)\text{gr}_j(I) &= \{[a]_i[x]_j \mid [a]_i \in \text{gr}_i(A), [x]_j \in \text{gr}_j(I)\} \\ &= \{[a]_i[x]_j \mid a \in A_{(i)}, x \in I \cap A_{(j)}\} \\ &= \{[ax]_{i+j} \mid a \in A_{(i)}, x \in I \cap A_{(j)}\} \\ &\subseteq \{[y]_{i+j} \mid y \in I \cap A_{i+j}\} \\ &= \text{gr}_{i+j}(I). \end{aligned}$$

If  $I$  is a right ideal then  $\text{gr}(I)$  is again a right ideal.

**Recall 1.** If  $M$  is an  $R$ -module (where  $R$  is some ring) and  $A, B, C$  are submodules of  $M$  with  $A \subseteq C$  then

$$A + (B \cap C) = (A + B) \cap C.$$

We can therefore just write  $A + B \cap C$ .

**Remark 2.** The ideal  $I$  inherits from  $A$  a filtration given by  $I_{(i)} := I \cap A_{(i)}$ . Then

$$\begin{aligned} \text{gr}_i(I) &= (A_{(i-1)} + I \cap A_{(i)})/A_{(i-1)} \\ &\cong (I \cap A_{(i)})/(A_{(i-1)} \cap I \cap A_{(i)}) \\ &= (I \cap A_{(i)})/(I \cap A_{(i-1)}) \\ &= I_{(i)}/I_{(i-1)}. \end{aligned}$$

This justifies the notation  $\text{gr}_i(I)$ .

If  $I$  and  $J$  are two ideals in  $A$  with  $I \subseteq J$  then also  $\text{gr}_i(I) \subseteq \text{gr}_i(J)$  for all  $i$  and thus  $\text{gr}(I) \subseteq \text{gr}(J)$ . We will see that if  $I$  is strictly contained in  $J$ , then  $\text{gr}(I)$  is also strictly contained in  $\text{gr}(J)$ . This will be a consequence of the following observation, that is interesting in its own right.

**Proposition 3.** Let  $I$  be a left (resp. right) ideal in  $A$  and let  $S$  be a subset of  $I$ . If  $\{[s]_{\deg(s)} \mid s \in S\}$  is a generating set for the left (resp. right) ideal  $\text{gr}(I)$  then  $S$  is a generating set for  $I$ .

*Proof.* Let  $a \in A$ . The associated element  $[a]_{\deg(a)} \in A$  can be written as a linear combination

$$[a]_{\deg(a)} = \sum_{s \in S} b_s [s]_{\deg(s)}$$

for some  $b_s \in \text{gr}(A)$ . By decomposing the coefficients  $b_s$  into homogeneous components we see that we can replace each  $b_s$  by its homogeneous component of degree  $\deg(a) - \deg(s)$ . We may hence assume that each  $b_s$  is homogeneous of degree  $\deg(a) - \deg(s)$ ; in particular  $b_s = 0$  whenever  $\deg(s) > \deg(a)$ . For

$$S' := \{s \in S \mid \deg(s) \leq \deg(a)\}$$

we thus have

$$[a]_{\deg(a)} = \sum_{s \in S'} b_s [s]_{\deg(s)}.$$

We can write every  $b_s$  as  $b_s = [a_s]_{\deg(a) - \deg(s)}$  for some  $a_s \in A_{\deg(a) - \deg(s)}$  because  $b_s$  is homogeneous of degree  $\deg(a) - \deg(s)$ . Then

$$\begin{aligned} [a]_{\deg(a)} &= \sum_{s \in S'} b_s [s]_{\deg(s)} \\ &= \sum_{s \in S'} [a_s]_{\deg(a) - \deg(s)} [s]_{\deg(s)} \\ &= \sum_{s \in S'} [a_s s]_{\deg(a)} \\ &= \left[ \sum_{s \in S'} a_s s \right]_{\deg(a)} \end{aligned}$$

and hence  $a - \sum_{s \in S'} a_s s \in A_{\deg(a) - 1}$ . Now we can proceed inductively to express this difference as a linear combination of  $S$ .  $\square$

**Remark 4.** One may think for  $a \in A$  about the associated element  $[a]_{\deg(a)} \in \text{gr}(A)$  as the “leading term” of  $a$ , and as  $\text{gr}(I)$  as the “ideal of leading terms” of  $I$ . (Note that if  $A$  is a graded algebra then  $\text{gr}(A) = A$  and  $[a]_{\deg(a)}$  is precisely the leading term of  $a$ .) Then the above statement and its proof may be compared to Hilbert’s basis theorem<sup>1</sup>, or the concept of a Gröbner basis.

**Corollary 5.** If  $I$  and  $J$  are left (resp. right) ideals in  $A$  with  $I \subsetneq J$  then  $\text{gr}(I) \subsetneq \text{gr}(J)$ .

*Proof.* Suppose that  $\text{gr}(I) = \text{gr}(J)$ . The ideal  $\text{gr}(I)$  is homogeneous and thus generated by homogeneous elements. There hence exists some subset  $S$  of  $I$  such that  $\text{gr}(I)$  is generated by the set  $\{[s]_{\deg(s)} \mid s \in S\}$ . It follows from Proposition 3 and  $\text{gr}(I) = \text{gr}(J)$  that  $S$  is a generating set of both  $I$  and  $J$ . Thus  $I = J$ .  $\square$

**Corollary 6.** If  $\text{gr}(A)$  is left (resp. right) noetherian then  $A$  is left (resp. right) noetherian. The same holds for left (resp. right) artinian.

*Proof.* Every strictly increasing sequence of left (resp. right) ideals

$$I_0 \subsetneq I_1 \subsetneq I_2 \subsetneq I_3 \subsetneq \cdots$$

in  $A$  results by Corollary 5 in a strictly increasing sequence of left (resp. right) ideals

$$\text{gr}(I_0) \subsetneq \text{gr}(I_1) \subsetneq \text{gr}(I_2) \subsetneq \text{gr}(I_3) \subsetneq \cdots$$

in  $\text{gr}(A)$ . So if  $A$  is not noetherian then neither is  $\text{gr}(A)$ . Similarly for artinian.  $\square$

**Corollary 7.** If  $\mathfrak{g}$  is a finite dimensional Lie algebra then  $U(\mathfrak{g})$  is both left and right noetherian.

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<sup>1</sup>In [MRS87, Proposition 6.7] the above proposition is implicitly used, and for a missing calculations the authors refer to an earlier proof — namely that of Hilbert’s basis theorem.

*Proof.* If  $\dim \mathfrak{g} = n$  then  $\text{gr}(U(\mathfrak{g})) = k[x_1, \dots, x_n]$  is both left and right noetherian whence the assertion follows from Corollary 6.  $\square$

**Remark 8.** Recall that for any  $n \geq 1$  the polynomial algebra  $k[x_1, \dots, x_n]$  is not artinian because

$$(x_n) \supsetneq (x_n^2) \supsetneq (x_n^3) \supsetneq \dots$$

is a strictly decreasing sequence of ideals of infinite length. We can see similarly that if  $\mathfrak{g}$  is any nonzero Lie algebra then  $U(\mathfrak{g})$  is neither left artinian nor right artinian:

We show that that  $U(\mathfrak{g})$  is not left artinian: Let  $(x_i)_{i \in I}$  be basis of  $\mathfrak{g}$  such that the index set  $(I, \leq)$  is linearly ordered and admits a maximal element  $j \in I$ . (Here we use the well ordering theorem.) Then  $U(\mathfrak{g})$  admits the associated PBW basis consisting of all monomials  $x_{i_1}^{n_1} \cdots x_{i_r}^{n_r}$  with  $r \geq 0$ ,  $n_i \geq 1$ ,  $i_1 < \cdots < i_r$ . It follows that for all  $m \geq 0$  the left ideal  $U(\mathfrak{g})x_j^m$  has as a basis all monomials  $x_{i_1}^{n_1} \cdots x_{i_r}^{n_r} x_j^{m'}$  with  $r \geq 0$ ,  $n_i \geq 1$ ,  $i_1 < \cdots < i_r < j$  and  $m' \geq m$ . Thus

$$U(\mathfrak{g})x_j \supsetneq U(\mathfrak{g})x_j^2 \supsetneq U(\mathfrak{g})x_j^3 \supsetneq \dots$$

is a strictly increasing sequence of left ideals of infinite length.

That  $U(\mathfrak{g})$  is not right artinian can be seen in the same way.

## References

- [MRS87] J. C. McConnell, J. C. Robson, and L. W. Small. *Noncommutative Noetherian Rings*. Graduate Studies in Mathematics 30. American Mathematical Society, 1987, pp. xx+636. ISBN: 978-0-8218-2169-5.