

# Differential Graded Hopf Algebras I

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In the following  $k$  denotes a field. All vector spaces, all kinds of algebras, all tensor products, etc. are over  $k$ , unless otherwise stated. All occurring maps are linear unless otherwise stated. We will sometime assume additional constraints on the characteristic of  $k$ , but will make this explicit when it occurs.

## 1 Preliminary Notions and Notations

A **graded vector space** is a vector space  $V$  together with a grading  $V = \bigoplus_{n \in \mathbb{Z}} V_n$ . The elements  $v \in V_n$  are **homogeneous** of **degree**  $|v| = n$ .

Whenever we write  $|v|$  the element  $v$  is assumed to be homogeneous.

A map  $f: V \rightarrow W$  between graded vector spaces is **graded** of **degree**  $|f| = d$  if  $f(V_n) \subseteq W_{n+d}$  for all  $n$ . A **differential** on  $V$  is a map  $V \rightarrow V$  of degree  $-1$  with  $d^2 = 0$ . A **dg-vector space** is a graded vector space together with a differential, i.e. a chain complex. A dg-subspace is a chain subcomplex and the usual notions of quotients, direct sums and products and morphisms apply. We will always regard graded objects as differential graded objects with zero differential.

graded  $\iff$  differential graded with  $d = 0$

Hence every statement about dg-objects entails a statement about graded objects.

If  $V$  and  $W$  are graded vector spaces then  $V \otimes W$  is again a graded vector space with  $|v \otimes w| = |v| + |w|$ , i.e.  $(V \otimes W)_n = \bigoplus_{i+j=n} V_i \otimes W_j$ . The **twist map**  $\tau: V \otimes W \rightarrow W \otimes V$  is given by

$$\tau(v \otimes w) = (-1)^{|v||w|} w \otimes v.$$

We hence adhere to the Koszul-Quillen **sign convention**:

Whenever elements  $x, y$  are swapped, the sign  $(-1)^{|x||y|}$  is introduced.

If  $f: V \rightarrow V'$  and  $g: W \rightarrow W'$  are graded maps then  $f \otimes g: V \otimes W \rightarrow V' \otimes W'$  is the graded map of degree  $|f \otimes g| = |f| + |g|$  with

$$(f \otimes g)(v \otimes w) = (-1)^{|g||v|} f(v) \otimes g(w).$$

If  $V, W$  are dg-vector space then  $V \otimes W$  is a dg-vector space with  $d_{V \otimes W} = d \otimes \text{id} + \text{id} \otimes d$ ; more explicitly,

$$d(v \otimes w) = d(v) \otimes w + (-1)^{|v|} v \otimes d(w).$$

Higher tensor products are defined inductively. The twist map  $\tau$  is an isomorphism of dg-vector spaces.<sup>1</sup> We regard the ground field  $k$  as a dg-vector space concentrated in degree 0. Then the natural isomorphism  $k \otimes V \cong V$  and  $V \otimes k \cong V$  are isomorphism of dg-vector spaces. The dg-vector space  $\text{Hom}(V, W)$  is given by

$$\text{Hom}(V, W)_n = \{\text{graded maps } V \rightarrow W \text{ of degree } n\}$$

with differential

$$d(f) = d \circ f - (-1)^{|f|} f \circ d.$$

If  $V, W$  are dg-vector spaces then the **algebraic Künneth isomorphism** is the natural isomorphism of graded vector spaces

$$H(V \otimes W) \cong H(V) \otimes H(W), \quad [v \otimes w] \mapsto [v] \otimes [w].$$

## 2 Differential Graded Algebras

**Definition 2.1.** A **differential graded algebra** or **dg-algebra** is a dg-vector space  $A$  together with morphisms of dg-vector spaces  $m: A \otimes A \rightarrow A$  and  $u: k \rightarrow A$  that make the diagrams

$$\begin{array}{ccc} A \otimes A \otimes A & \xrightarrow{\text{id} \otimes m} & A \otimes A \\ m \otimes \text{id} \downarrow & & \downarrow m \\ A \otimes A & \xrightarrow{m} & A \end{array} \quad \begin{array}{ccc} k \otimes A & \xleftarrow{\sim} & A \xrightarrow{\sim} A \otimes k \\ u \otimes \text{id} \downarrow & & \parallel \downarrow \text{id} \otimes u \\ A \otimes A & \xrightarrow{m} & A \xleftarrow{m} A \otimes A \end{array}$$

commute. The dg-algebra  $A$  is **graded commutative** if the diagram

$$\begin{array}{ccc} A \otimes A & \xrightarrow{\tau} & A \otimes A \\ & \searrow m & \swarrow m \\ & A & \end{array}$$

commutes. A **morphism** of dg-algebras  $f: A \rightarrow B$  is a morphism of dg-vector spaces such that the following diagrams commute:

$$\begin{array}{ccc} A \otimes A & \xrightarrow{f \otimes f} & B \otimes B \\ m \downarrow & & \downarrow m \\ A & \xrightarrow{f} & B \end{array} \quad \begin{array}{ccc} & k & \\ u \swarrow & & \searrow u \\ A & \xrightarrow{f} & B \end{array}$$

<sup>1</sup>The naive twist map  $v \otimes w \mapsto w \otimes v$  is not a morphism of dg-vector spaces.

**Definition 2.2.** A graded map  $\delta: A \rightarrow A$  for a graded algebra  $A$  is a **derivation** if

$$\delta \circ m = m \circ (\delta \otimes \text{id} + \text{id} \otimes \delta);$$

more explicitly,

$$\delta(ab) = \delta(a)b + (-1)^{|\delta||a|}a\delta(b).$$

**Remark 2.3.**

- (1) A dg-algebra is the same as a graded algebra  $A$  together with a differential  $d$  such that  $d(1) = 0$  and

$$d(a \cdot b) = d(a) \cdot b + (-1)^{|a|}a \cdot d(b),$$

i.e. such that  $d$  is a graded derivation (of degree  $-1$ ).

$$\begin{array}{ccc} \text{graded} & \xrightarrow{\text{multiplication}} & \text{graded} \\ \text{vector spaces} & & \text{algebras} \\ \text{differential} \downarrow & & \downarrow \text{differential} \\ \text{dg-vector spaces} & \xrightarrow{\text{multiplication}} & \text{dg-algebras} \end{array}$$

- (2) The graded commutativity of  $A$  means  $ab = (-1)^{|a||b|}ba$ . If  $|a|$  or  $|b|$  is even then  $ab = ba$ ; if  $|a|$  is odd and  $\text{char}(k) \neq 2$  then  $a^2 = 0$ .
- (3) A morphism  $f$  of dg-algebras is the same as a morphism of the underlying graded algebras that commutes with the differentials. (No additional signs occur since  $|f| = 0$ .)

**Examples 2.4.**

- (1) Every algebra  $A$  is a dg-algebra concentrated in degree 0. This holds in particular for  $A = k$ .
- (2) If  $V$  is any dg-vector space then the algebra structure of  $\text{End}_k(V)$  restricts to a dg-algebra structure on  $\text{End}(V) = \text{Hom}(V, V)$ .
- (3) If  $V$  is a dg-vector space then  $T(V) = \bigoplus_{d \geq 0} V^{\otimes d}$  is again a dg-vector space with

$$|v_1 \cdots v_n| = |v_1| + \cdots + |v_n|$$

and

$$d(v_1 \cdots v_n) = \sum_{i=1}^n (-1)^{|v_1| + \cdots + |v_i|} v_1 \cdots d(v_i) \cdots v_n.$$

This makes the tensor  $T(V)$  into a dg-algebra. The inclusion  $V \rightarrow T(V)$  is a morphism of dg-vector spaces and if  $A$  is any other dg-algebra and  $f: V \rightarrow A$

any morphism of dg-vector spaces then  $f$  extends uniquely to a morphism of dg-algebras  $F: T(V) \rightarrow A$ :

$$\begin{array}{ccc} T(V) & \xrightarrow{\quad F \quad} & A \\ \uparrow & \nearrow f & \\ V & & \end{array}$$

- (4) If  $V$  is any vector space then the symmetric algebra  $S(V)$  is a graded algebra and a commutative algebra, but not a graded commutative algebra. The exterior algebra  $\bigwedge(V)$  is a graded algebra, it is in general not a commutative algebra (unless  $\dim V \leq 1$ ), but it is a graded commutative algebra.

**Lemma 2.5.** Let  $A, B$  be dg-algebras.

- (1) The tensor product  $A \otimes B$  becomes a dg-algebra with

$$\begin{aligned} m_{A \otimes B}: A \otimes B \otimes A \otimes B &\xrightarrow{\text{id} \otimes \tau \otimes \text{id}} A \otimes A \otimes B \otimes B \xrightarrow{m \otimes m} A \otimes B \\ u_{A \otimes B}: k &\xrightarrow{\sim} k \otimes k \xrightarrow{u \otimes u} A \otimes B. \end{aligned}$$

More explicitly,  $1_{A \otimes B} = 1_A \otimes 1_B$  and  $(a \otimes b)(a' \otimes b') = (-1)^{|a'| |b|} aa' \otimes bb'$ .

- (2) If  $f: A \rightarrow A'$  and  $g: B \rightarrow B'$  are morphism of dg-algebras then so is  $f \otimes g$ .  
(3) The twist map  $\tau: A \otimes B \rightarrow B \otimes A$  is a morphism of dg-algebras.  
(4) If  $A = (A, m, u)$  then  $A^{\text{op}} = (A, m^{\text{op}}, u)$  with  $m^{\text{op}} = m \circ \tau$  is again a dg-algebra.  $\square$

**Warning 2.6.** If  $A, B$  are dg-algebras then the underlying algebra of  $A \otimes B$  is not the tensor product of the underlying algebras of  $A$  and  $B$ . The underlying algebra of  $A^{\text{op}}$  is not the opposite of the underlying algebra of  $A$ . (Both thanks to signs.)

**Definition 2.7.** A **dg-ideal** in a dg-algebra  $A$  is a dg-subspace that is also an ideal.

**Lemma 2.8.** For an ideal  $I$  in a dg-algebra  $A$  the following conditions are equivalent:

- (1)  $I$  is a dg-ideal.  
(2)  $I$  is generated by homogeneous elements  $x_\alpha$  with  $d(x_\alpha) \in I$  for every  $\alpha$ .

*Proof.* That  $I$  is a graded ideal if and only if it is generated by homogeneous elements is well-known, see [Lan02, pp. IX, 2.5] or [Bou89, II.§11.3]. It remains to show that  $d(I) \subseteq I$  if  $d(x_\alpha) \in I$  for every  $\alpha$ : The ideal  $I$  is spanned by  $ax_\alpha b$  with  $a, b \in A$  homogeneous, and

$$d(ax_\alpha b) = d(a)x_\alpha b + (-1)^{|a|} ad(x_\alpha)b + (-1)^{|a|+|x_\alpha|} ax_\alpha d(b) \in I$$

since  $x_\alpha, d(x_\alpha) \in I$ .  $\square$

**Lemma 2.9.** If  $I$  is a dg-ideal in a dg-algebra  $A$  then  $A/I$  inherits the structure of a dg-algebra such that the projection  $A \rightarrow A/I$  is a morphism of dg-algebras.  $\square$

**Definition 2.10.** If  $A$  is a dg-algebra then the **dg-comutator** is given by

$$[a, b] := ab - (-1)^{|a||b|}ba.$$

**Example 2.11.** Let  $V$  be a dg-vector space. The ideal

$$I := ([v, w] \mid v, w \in V \text{ are homogeneous})$$

is a dg-ideal in  $T(V)$  since the generators  $[v, w]$  are homogeneous with

$$d([v, w]) = [d(v), w] + (-1)^{|v|}[v, d(w)] \in I.$$

The dg-algebra  $\Lambda(V) := T(V)/I$  is the **differential graded symmetric algebra** on  $V$ . If  $S$  is any other graded symmetric dg-algebra and  $f: V \rightarrow S$  any morphism of dg-vector space then  $f$  extends uniquely to a morphism of dg-algebras  $F: \Lambda(V) \rightarrow S$ :

$$\begin{array}{ccc} \Lambda(V) & \xrightarrow{F} & S \\ \uparrow & \nearrow f & \\ V & & \end{array}$$

**Proposition 2.12.** If  $A$  is a dg-algebra then  $Z(A)$  is a graded subalgebra of  $A$ ,  $B(A)$  is a graded ideal in  $Z(A)$  and  $H(A)$  is hence a graded algebra.

### 3 Differential Graded Coalgebras

**Definition 3.1.** A **differential graded coalgebra** or **dg-coalgebra** is a dg-vector space  $C$  together with morphisms of dg-vector space  $\Delta: C \rightarrow C \otimes C$  and  $\varepsilon: C \rightarrow k$  that make the diagrams

$$\begin{array}{ccc} C & \xrightarrow{\Delta} & C \otimes C \\ \Delta \downarrow & & \downarrow \text{id} \otimes \Delta \\ C \otimes C & \xrightarrow{\Delta \otimes \text{id}} & C \otimes C \otimes C \end{array} \quad \begin{array}{ccccc} C \otimes C & \xleftarrow{\Delta} & C & \xrightarrow{\Delta} & C \otimes C \\ \varepsilon \otimes \text{id} \downarrow & & \parallel & & \downarrow \varepsilon \otimes \text{id} \\ k \otimes C & \xrightarrow{\sim} & C & \xleftarrow{\sim} & C \otimes k \end{array}$$

commute. The dg-coalgebra  $C$  is **graded cocommutative** if the following diagram commutes:

$$\begin{array}{ccc} & C & \\ \Delta \swarrow & & \searrow \Delta \\ C \otimes C & \xrightarrow{\tau} & C \otimes C \end{array}$$

A **morphism** of dg-coalgebra  $f: C \rightarrow D$  is a morphism of dg-vector spaces such that the following diagrams commute:

$$\begin{array}{ccc} C & \xrightarrow{f} & D \\ \Delta \downarrow & & \downarrow \Delta \\ C \otimes C & \xrightarrow{f \otimes f} & D \otimes D \end{array} \quad \begin{array}{ccc} C & \xrightarrow{f} & D \\ \varepsilon \searrow & & \swarrow \varepsilon \\ & k & \end{array}$$

**Definition 3.2.** A graded map  $\omega: C \rightarrow C$  of a graded coalgebra is a **coderivation** if

$$\Delta \circ \omega = (\omega \otimes \text{id} + \text{id} \otimes \omega) \circ \Delta;$$

more explicitly,

$$\Delta(\omega(c)) = \sum_{(c)} \omega(c_{(1)}) \otimes c_{(2)} + (-1)^{|\omega||c_{(1)}|} c_{(1)} \otimes \omega(c_{(2)}).$$

**Remark 3.3.**

- (1) A dg-coalgebra is the same as a graded coalgebra  $C$  together with a differential  $d$  such that  $d$  vanishes on the zero boundaries and

$$\Delta(d(c)) = \sum_{(c)} d(c_{(1)}) \otimes c_{(2)} + (-1)^{|c_{(1)}|} c_{(1)} \otimes d(c_{(2)}),$$

i.e. such that  $d$  is a graded coderivation of degree  $-1$ .

- (2) The graded cocommutativity of  $C$  means

$$\sum_{(c)} c_{(1)} \otimes c_{(2)} = \sum_{(c)} (-1)^{|c_{(1)}||c_{(2)}|} c_{(2)} \otimes c_{(1)}.$$

- (3) A morphism of dg-coalgebras is the same as a morphism of the underlying graded coalgebras that commutes with the differentials.
- (4) Every coalgebra  $C$  is a dg-coalgebra centered in degree 0. This holds in particular for  $C = k$ .

**Example 3.4.** Let  $V$  be a dg-vector space. Then the induced dg-vector space  $T(V)$  becomes a dg-coalgebra with the deconcatenation

$$\begin{aligned} \Delta: T(V) &\rightarrow T(V) \otimes T(V), \quad v_1 \cdots v_n \mapsto \sum_{i=0}^n v_1 \cdots v_i \otimes v_{i+1} \cdots v_n, \\ \varepsilon: T(V) &\rightarrow k, \quad v_1 \cdots v_n \mapsto \delta_{n0}. \end{aligned}$$

**Lemma 3.5.** Let  $C, D$  be dg-coalgebras.

- (1) The tensor product  $C \otimes D$  becomes a dg-coalgebra with

$$\begin{aligned} \Delta_{C \otimes D}: C \otimes D &\xrightarrow{\Delta \otimes \Delta} C \otimes C \otimes D \otimes D \xrightarrow{\text{id} \otimes \tau \otimes \text{id}} C \otimes D \otimes C \otimes D \\ \varepsilon_{C \otimes D}: C \otimes D &\xrightarrow{\varepsilon \otimes \varepsilon} k \otimes k \xrightarrow{\sim} k \end{aligned}$$

- (2) If  $f: C \rightarrow C'$  and  $g: D \rightarrow D'$  are morphism of dg-coalgebras then so is  $f \otimes g$ .
- (3) The twist map  $\tau: C \otimes D \rightarrow D \otimes C$  is a morphism of dg-coalgebras.
- (4) If  $C = (C, \Delta, \varepsilon)$  then  $C^{\text{cop}} = (C, \Delta^{\text{cop}}, \varepsilon)$  with  $\Delta^{\text{op}} = \tau \circ \Delta$  is again a dg-coalgebra.

**Warning 3.6.** If  $C, D$  are dg-coalgebras then the underlying coalgebra of  $C \otimes D$  is not the tensor product of the underlying coalgebras of  $C$  and  $D$ . The underlying coalgebra of  $C^{\text{op}}$  is not the coopposite of the underlying coalgebra of  $C$ . (Again both thanks to signs.)

**Definition 3.7.** A **dg-coideal** in a dg-coalgebra  $C$  is a dg-subspace that is a coideal.

**Lemma 3.8.** If  $I$  is a dg-coideal in a dg-coalgebra  $C$  then  $C/I$  inherits the structure of a dg-coalgebra such that the projection  $C \rightarrow C/I$  is a morphism of dg-coalgebras.  $\square$

**Proposition 3.9.** If  $C$  is a dg-coalgebra then  $Z(C)$  is a graded subcoalgebra of  $A$ ,  $B(C)$  is a graded coideal in  $Z(C)$  and  $H(C)$  is hence a graded coalgebra.  $\square$

## 4 Differential Graded Bialgebras

**Lemma 4.1.** Let  $B$  be a dg-vector space, let  $(B, m, u)$  be a dg-algebra and let  $(B, \Delta, \varepsilon)$  be a dg-coalgebra. Then the following conditions are equivalent:

- (1)  $\Delta$  and  $\varepsilon$  are morphisms of dg-algebras.
- (2)  $m$  and  $u$  are morphisms of dg-coalgebras.

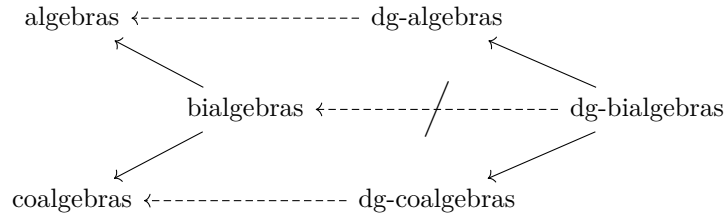
*Proof.* The same diagrammatic proof as in the non-dg case.  $\square$

**Definition 4.2.** A **dg-bialgebra** is a quintuple  $(B, \mu, u, \Delta, \varepsilon)$  such that the equivalent conditions of Lemma 4.1 are satisfied. A map  $f: B \rightarrow C$  is a **morphism** of dg-bialgebras if it is both a morphism of dg-algebras and of dg-coalgebras. A **dg-biideal** is a dg-subspace that is both a dg-ideal and a dg-coideal.

**Remark 4.3.** The compatibility of the multiplication and comultiplication of  $B$  means

$$\Delta(bc) = \sum_{(b),(c)} (-1)^{|b_{(2)}||c_{(1)}|} b_{(1)}c_{(1)} \otimes b_{(2)}c_{(2)}$$

**Warning 4.4.** A dg-bialgebra does in general *not* have an underlying bialgebra structure: The comultiplication  $\Delta: B \rightarrow B \otimes B$  is a morphism of dg-algebras where the algebra structure on  $B \otimes B$  is given by  $(b \otimes b') \cdot (b'' \otimes b''') = (-1)^{|b'||b''|} bb'' \otimes b'b'''$ . But it is in general not an algebra homomorphism with respect to the multiplication  $(b \otimes b') \cdot (b'' \otimes b''') = bb'' \otimes b'b'''$ .



We will see an explicit counterexample in Example 5.7.

**Lemma 4.5.** If  $B$  is a dg-bialgebra then  $B^{\text{op}}$ ,  $B^{\text{cop}}$  and  $B^{\text{op,cop}}$  are again dg-bialgebras.  $\square$

**Lemma 4.6.** If  $I$  is a dg-biideal in a dg-bialgebra  $B$  then  $B/I$  inherits from  $B$  the structure of a dg-bialgebra such that the projection  $B \rightarrow B/I$  is a morphism of dg-bialgebras.  $\square$

**Proposition 4.7.** If  $B$  is a dg-bialgebra then  $Z(B)$  is a graded sub-bialgebra of  $B$ ,  $B(B)$  is a graded biideal in  $Z(B)$  and  $H(B)$  is hence a graded bialgebra.  $\square$

**Definition 4.8.** If  $B$  is a dg-bialgebra then  $x \in B$  is **primitive** if  $\Delta(x) = x \otimes 1 + 1 \otimes x$ .

**Lemma 4.9.** If  $B$  is a dg-bialgebra and  $x, y \in B$  are primitive then  $[x, y]$  is again primitive.  $\square$

## 5 Differential Graded Hopf Algebras

**Lemma 5.1.** If  $C$  is a dg-coalgebra and  $A$  is a dg-algebra then the convolution product on  $\text{Hom}_k(C, A)$  makes  $\text{Hom}(C, A)$  into a dg-algebra.  $\square$

**Definition 5.2.** An **antipode** for a dg-bialgebra  $H$  is an inverse  $S$  to  $\text{id}_H$  with respect to the convolution product of  $\text{Hom}(H, H)$ . If  $H$  admits an antipode then it is a **dg-Hopf algebra**. A **morphism** of dg-Hopf algebras is a morphism of dg-bialgebras. A **dg-Hopf ideal** in  $H$  is a dg-biideal  $I$  with  $S(I) \subseteq I$ .

**Warning 5.3.** A dg-Hopf algebra does in general not have an underlying Hopf algebra structure.

**Remark 5.4.** Let  $H$  be a dg-Hopf algebra.

- (1) The antipode of  $H$  is unique.
- (2) The antipode of  $H$  is the the unique morphism of dg-vector spaces  $S: H \rightarrow H$  that makes the following diagram commute:

$$\begin{array}{ccccc}
 & H \otimes H & \xrightarrow{S \otimes \text{id}} & H \otimes H & \\
 \Delta \nearrow & & & & \searrow m \\
 H & \xrightarrow{\varepsilon} & k & \xrightarrow{u} & H \\
 \Delta \searrow & & & & \nearrow m \\
 & H \otimes H & \xrightarrow{\text{id} \otimes S} & H \otimes H & 
 \end{array} \tag{1}$$

This means more explicitly that

$$\sum_{(c)} S(c_{(1)})c_{(2)} = \varepsilon(c)1_H \quad \text{and} \quad \sum_{(c)} c_{(1)}S(c_{(2)}) = \varepsilon(c)1_H.$$

(No additional signs occur because  $|S| = 0$ .)



**Lemma 5.5.** If  $I$  is a dg-Hopf ideal in a dg-Hopf algebra  $H$  then  $H/I$  inherits from  $H$  the structure of a dg-Hopf algebra such that the projection  $H \rightarrow H/I$  is a morphism of dg-Hopf algebras.

**Example 5.6.** Let  $V$  be a dg-vector space.

(1) The map

$$V \rightarrow T(V) \otimes T(V), \quad v \mapsto v \otimes 1 + 1 \otimes v$$

is a morphism of dg-vector space and hence induces a morphism of dg-algebras

$$\Delta: T(V) \rightarrow T(V) \otimes T(V).$$

The zero map  $V \rightarrow 0$  induces a morphism of dg-algebras  $\varepsilon: T(V) \rightarrow T(0) = k$ . These make  $T(V)$  into a dg-bialgebra; the necessary diagrams can be checked on the algebra generators  $V$  of  $T(V)$  because all arrows occuring in the bialgebra diagrams are now morphisms of dg-algebras. The comultiplication  $\Delta$  and  $\varepsilon$  are explicitly given by

$$\begin{aligned} \Delta(v_1 \cdots v_n) &= \Delta(v_1) \cdots \Delta(v_n) \\ &= (v_1 \otimes 1 + 1 \otimes v_1) \cdots (v_n \otimes 1 + 1 \otimes v_n) \\ &= \sum_{p=0}^n \sum_{\sigma \in \text{Sh}(p, n-p)} (-1)^{n_p(\sigma)} v_{\sigma(1)} \cdots v_{\sigma(p)} \otimes v_{\sigma(p+1)} \cdots v_{\sigma(n)} \end{aligned}$$

where

$$n_p(\sigma) = \sum \left\{ |v_i| |v_j| \mid 1 \leq i \leq p, p+1 \leq j \leq n, \sigma(i) > \sigma(j) \right\},$$

and the counit is given by

$$\varepsilon(v_1 \cdots v_n) = \begin{cases} 1 & \text{if } n = 0, \\ 0 & \text{otherwise.} \end{cases}$$

The map

$$V \rightarrow T(V)^{\text{op}}, \quad v \mapsto -v$$

is a morphism of dg-vector spaces and hence induces a morphism of dg-algebras

$$S: T(V) \rightarrow T(V)^{\text{op}}.$$

As a map  $S: T(V) \rightarrow T(V)$  this is given by

$$S(v_1 \cdots v_n) = (-1)^{\sum_{1 \leq i < j \leq n} |v_i| |v_j|} (-1)^n v_n \cdots v_1.$$

It can now be checked on monomials that  $S$  is an antipode for  $T(V)$ , making it a dg-Hopf algebra.<sup>2</sup> This is the **differential graded tensor algebra** on  $V$ .

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<sup>2</sup>In the resulting expressions the terms for  $v_1 \cdots v_p \otimes v_{p+1} \cdots v_n$  and  $v_2 \cdots v_p \otimes v_1 v_{p+1} \cdots v_n$  cancel out because of signs.

- (2) The dg-algebra  $\Lambda(V) = T(V)/I$  from Example 2.11 inherits from  $T(V)$  the structure of a dg-Hopf algebra because the dg-ideal

$$I = ([v, w] \mid v, w \in V \text{ are homogeneous})$$

is a dg-Hopf ideal in  $T(V)$  since

$$\begin{aligned}\varepsilon([v, w]) &= 0, \\ \Delta([v, w]) &= [v, w] \otimes 1 + 1 \otimes [v, w] \in I \otimes T(V) + T(V) \otimes I, \\ S([v, w]) &= -[v, w] \in I.\end{aligned}$$

For the computation of  $\Delta$  we use that  $v, w$  are primitive in  $T(V)$  and  $[v, w]$  is therefore again primitive.

**Example 5.7** (Exterior Algebra). Let  $V$  be a vector space. We may regard  $V$  as a dg-vector space centered in degree 1. Then  $\Lambda(V) = \bigwedge(V)$  as graded algebras whence  $\bigwedge(V)$  is a graded Hopf algebra. But for  $\text{char } k \neq 2$  there exists no bialgebra structure on  $\Lambda := \bigwedge(V)$ . Suppose otherwise.

Then  $\varepsilon(v)^2 = \varepsilon(v^2) = 0$  and thus  $\varepsilon(v) = 0$  for all  $v \in V$ , so  $\ker \varepsilon = \bigoplus_{d \geq 1} \bigwedge^d(V) =: I$ . Let  $v \in V$ . Then by the counital axiom,

$$\Delta(v) \equiv v \otimes 1 \pmod{I \otimes I} \quad \text{and} \quad \Delta(v) \equiv 1 \otimes v \pmod{I \otimes I}$$

and thus

$$\Delta(v) \equiv v \otimes 1 + 1 \otimes v \pmod{I \otimes I}.$$

It follows that

$$\Delta(v^2) \equiv (v \otimes 1 + 1 \otimes v)^2 \pmod{(v \otimes 1)(I \otimes I) + (1 \otimes v)(I \otimes I) + (I \otimes I)^2},$$

and therefore

$$\Delta(v^2) \equiv v^2 \otimes 1 + 2v \otimes v + 1 \otimes v^2 \pmod{I \otimes I^2 + I^2 \otimes I}.$$

Now  $v^2 = 0$ , hence

$$2v \otimes v \equiv 0 \pmod{I \otimes I^2 + I^2 \otimes I}.$$

But  $2 \neq 0$  and  $v \neq 0$  hence  $2v \otimes v \neq 0$  while  $v \otimes v \notin I \otimes I^2 + I^2 \otimes I$ , a contradiction. (This proof is taken from [MO18] and partially from [Bou89, III.§11.3]).

**Proposition 5.8.** If  $\mathcal{H}$  is a dg-Hopf algebra with antipode  $S$  then the graded bialgebra  $H(\mathcal{H})$  is a graded Hopf algebra with antipode induced by  $S$ .  $\square$

**Example 5.9.** If  $V$  is a dg-vector space then

$$H(T(V)) = H\left(\bigoplus_{d \geq 0} V^{\otimes d}\right) \cong \bigoplus_{d \geq 0} H(V^{\otimes d}) \cong \bigoplus_{d \geq 0} H(V)^{\otimes d} = T(H(V))$$

as graded vector spaces by the algebraic Künneth isomorphism. We see on representatives that this is already an isomorphism of graded Hopf algebras.

## 6 Differential Graded Lie Algebras

Let  $\text{char}(k) \neq 2$ .

**Recall 6.1.** A Lie algebra is a vector space  $\mathfrak{g}$  together with a map  $[-, -]: \mathfrak{g} \otimes_k \mathfrak{g} \rightarrow \mathfrak{g}$  such that  $[-, -]$  is skew-symmetric and for every  $x \in \mathfrak{g}$  the map  $[x, -]: \mathfrak{g} \rightarrow \mathfrak{g}$  is a derivation; the last assertion is equivalent to the Jacobi identity  $\sum_{\text{cyclic}} [x, [y, z]] = 0$ .

**Definition 6.2.** A **dg-Lie algebra** is a dg-vector space  $\mathfrak{g}$  together with a morphism  $[-, -]: \mathfrak{g} \otimes \mathfrak{g} \rightarrow \mathfrak{g}$  such that  $[-, -]$  is **graded skew symmetric** in the sense that the diagram

$$\begin{array}{ccc} \mathfrak{g} \otimes \mathfrak{g} & \xrightarrow{\tau} & \mathfrak{g} \otimes \mathfrak{g} \\ & \searrow \quad \swarrow & \\ & [-, -] \quad -[-, -] & \\ & \mathfrak{g} & \end{array}$$

commutes, and that for every homogeneous  $x \in \mathfrak{g}$  the map  $[x, -]: \mathfrak{g} \rightarrow \mathfrak{g}$  is a derivation (of degree  $|x|$ ).

**Remark 6.3.** Let  $\mathfrak{g}$  be a dg-Lie algebra. Then  $[\mathfrak{g}_i, \mathfrak{g}_j] \subseteq \mathfrak{g}_{i+j}$  for all  $i, j$  and

$$[x, y] = -(-1)^{|x||y|}[y, x]$$

and

$$[x, [y, z]] = [[x, y], z] + (-1)^{|x||y|}[y, [x, z]] \quad (2)$$

and

$$d([x, y]) = [d(x), y] + (-1)^{|x|}[x, d(y)].$$

Condition (2) can be rewritten by the graded skew-symmetry of  $[-, -]$  as the **graded Jacobi identity**

$$\sum_{\text{cyclic}} (-1)^{|x||z|}[x, [y, z]] = 0.$$

**Warning 6.4.** A dg-Lie algebra does in general not have an underlying Lie algebra structure.

**Example 6.5.**

- (1) Every dg-algebra  $A$  becomes a dg-Lie algebra with respect to the dg-comutator.
- (2) If  $A$  is a graded algebra then the graded subspace  $\text{Der}(A)$  of  $\text{End}(A)$  given by

$$\text{Der}(A)_n = \{\text{derivations of } A \text{ of degree } n\}$$

is a dg-Lie subalgebra of  $\text{End}(A)$ .

- (3) If  $B$  is a dg-bialgebra then the set of primitive elements

$$\mathbb{P}(B) = \{x \in B \mid \Delta(x) = x \otimes 1 + 1 \otimes x\}$$

is a dg-Lie subalgebra of  $B$ .

**Lemma 6.6.** If  $\mathfrak{g}$  is a dg-Lie algebra then  $Z(\mathfrak{g})$  is a graded Lie subalgebra of  $\mathfrak{g}$ ,  $B(\mathfrak{g})$  is a graded Lie ideal in  $Z(\mathfrak{g})$  and  $H(\mathfrak{g})$  is thus an graded Lie algebra.

**Definition 6.7.** The **universal enveloping algebra** of a dg-Lie algebra  $\mathfrak{g}$  is a dg-algebra  $U(\mathfrak{g})$  together with a morphism of dg-Lie algebras  $i: \mathfrak{g} \rightarrow U(\mathfrak{g})$  such that for every other dg-algebra  $A$  and every morphism of dg-Lie algebras  $f: \mathfrak{g} \rightarrow A$  there exists a unique morphism of dg-algebras  $F: U(\mathfrak{g}) \rightarrow A$  that extends  $f$ , i.e. that makes the following diagram commute:

$$\begin{array}{ccc} U(\mathfrak{g}) & \xrightarrow{\quad F \quad} & A \\ i \uparrow & \nearrow f & \\ \mathfrak{g} & & \end{array}$$

**Proposition 6.8.** Every dg-Lie algebra  $\mathfrak{g}$  admits a universal enveloping algebra. It is unique up to unique isomorphism and can be constructed as

$$U(\mathfrak{g}) = T(\mathfrak{g}) / ([x, y]_{T(\mathfrak{g})} - [x, y]_{\mathfrak{g}} \mid x, y \in \mathfrak{g} \text{ homogeneous})$$

together with the composition  $i: \mathfrak{g} \rightarrow T(\mathfrak{g}) \rightarrow U(\mathfrak{g})$ . It inherits from  $T(\mathfrak{g})$  the structure of a dg-Hopf algebra.  $\square$

*Proof.* We check that the given ideal  $I$  is a dg-Hopf ideal. It is generated by homegeneous elements which satisfy

$$\begin{aligned} & d([x, y]_{T(\mathfrak{g})} - [x, y]_{\mathfrak{g}}) \\ &= d([x, y]_{T(\mathfrak{g})}) - d([x, y]_{\mathfrak{g}}) \\ &= [d(x), y]_{T(\mathfrak{g})} + (-1)^{|x|} [x, d(y)]_{T(\mathfrak{g})} - [d(x), y]_{\mathfrak{g}} - (-1)^{|x|} [x, d(y)]_{\mathfrak{g}} \\ &= \left( [d(x), y]_{T(\mathfrak{g})} - [d(x), y]_{\mathfrak{g}} \right) + (-1)^{|x|} \left( [x, d(y)]_{T(\mathfrak{g})} - [x, d(y)]_{\mathfrak{g}} \right) \\ &\in I \end{aligned}$$

so  $I$  is a dg-ideal. Also

$$\varepsilon([x, y]_{T(\mathfrak{g})} - [x, y]_{\mathfrak{g}}) = \varepsilon([x, y]_{T(\mathfrak{g})}) - \varepsilon([x, y]_{\mathfrak{g}}) = 0 - 0 = 0$$

because  $[x, y]_{T(\mathfrak{g})}$  and  $[x, y]_{\mathfrak{g}}$  are homogeneuous of degree  $\geq 1$ ,

$$\begin{aligned} & \Delta([x, y]_{T(\mathfrak{g})} - [x, y]_{\mathfrak{g}}) \\ &= \Delta([x, y]_{T(\mathfrak{g})}) - \Delta([x, y]_{\mathfrak{g}}) \\ &= [x, y]_{T(\mathfrak{g})} \otimes 1 + 1 \otimes [x, y]_{T(\mathfrak{g})} - [x, y]_{\mathfrak{g}} \otimes 1 - 1 \otimes [x, y]_{\mathfrak{g}} \\ &= ([x, y]_{T(\mathfrak{g})} - [x, y]_{\mathfrak{g}}) \otimes 1 + 1 \otimes ([x, y]_{T(\mathfrak{g})} - [x, y]_{\mathfrak{g}}) \\ &\in I \otimes T(\mathfrak{g}) + T(\mathfrak{g}) \otimes I \end{aligned}$$

and finally

$$S([x, y]_{T(\mathfrak{g})} - [x, y]_{\mathfrak{g}}) = S([x, y]_{T(\mathfrak{g})}) - S([x, y]_{\mathfrak{g}}) = -[x, y]_{T(\mathfrak{g})} + [x, y]_{\mathfrak{g}} \in I.$$

Thus the dg-ideal  $I$  is already a dg-Hopf ideal.  $\square$

**Remark 6.9.** Let  $\mathfrak{g}, \mathfrak{h}$  be a dg-Lie algebras.

- (1) The product  $\mathfrak{g} \times \mathfrak{h}$  is again a dg-Lie algebra with

$$[(x, y), (x', y')] = ([x, x'], [y, y']).$$

The inclusions  $\mathfrak{g}, \mathfrak{h} \rightarrow \mathfrak{g} \times \mathfrak{h}$  induce morphisms of dg-Hopf algebras

$$U(\mathfrak{g}), U(\mathfrak{h}) \rightarrow U(\mathfrak{g} \times \mathfrak{h})$$

that results in an isomorphism of dg-Hopf algebras

$$U(\mathfrak{g}) \otimes U(\mathfrak{h}) \cong U(\mathfrak{g} \times \mathfrak{h}).$$

- (2) The Hopf algebra structure of  $U(\mathfrak{g})$  is induced from underlying morphisms of dg-Lie algebras: The diagonal morphism  $\mathfrak{g} \rightarrow \mathfrak{g} \times \mathfrak{g}$ ,  $v \mapsto (v, v)$  induces the comultiplication

$$U(\mathfrak{g}) \rightarrow U(\mathfrak{g} \times \mathfrak{g}) \cong U(\mathfrak{g}) \otimes U(\mathfrak{g})$$

the morphism  $\mathfrak{g} \rightarrow 0$  induced the counit

$$U(\mathfrak{g}) \rightarrow U(0) = k$$

and the morphism  $\mathfrak{g} \rightarrow \mathfrak{g}^{\text{op}}$ ,  $v \mapsto -v$  induces the antipode

$$U(\mathfrak{g}) \rightarrow U(\mathfrak{g}^{\text{op}}) = U(\mathfrak{g})^{\text{op}}$$

- (3) The famous Poincaré–Birkhoff–Witt theorem generalizes to the universal enveloping algebras of dg-Lie algebras. It can be expressed as an isomorphism of dg-coalgebra  $\Lambda(\mathfrak{g}) \cong U(\mathfrak{g})$  and show that  $\mathbb{P}(U(\mathfrak{g})) = \mathfrak{g}$ . This can be found in [Qui69, Appendix B, Theorem 2.3] and [FHT01, §21(a)] for more details on this.
- (4) It holds that  $H(U(\mathfrak{g})) \cong U(H(\mathfrak{g}))$ , see [Qui69, Appendix B, Proposition 2.1] or [FHT01, Theorem 21.7].
- (5) If  $H$  is a graded cocommutative connected<sup>3</sup> dg-Hopf algebra then a version of the Cartier–Milnor–Moore theorem asserts that  $H \cong U(\mathbb{P}(H))$ , which results in an equivalence between the categories of dg-Lie algebras and graded cocommutative connected dg-Hopf algebras, see [Qui69, Appendix B, Theorem 4.5].

## 7 Homology of the Primitive Part

**Theorem 7.1** ([Lod92, Theorem A.9]). Let  $\mathcal{H}$  be a dg-Hopf algebra. The inclusion  $\mathbb{P}(\mathcal{H}) \rightarrow \mathcal{H}$  is a morphism of dg-Lie algebras and thus induced a morphism of graded Lie algebras  $H(\mathbb{P}(\mathcal{H})) \rightarrow H(\mathcal{H})$ . This morphism restricts to an isomorphism of graded Lie algebras  $H(\mathbb{P}(\mathcal{H})) \rightarrow \mathbb{P}(H(\mathcal{H}))$ .

<sup>3</sup>The connectedness is defined in terms of the underlying dg-coalgebra, not that of the dg-algebra.

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