

## Quantization of a Free Scalar Field

Consider the free scalar field with Lagrangian density

$$\mathcal{L} = \frac{1}{2} \partial_\mu \phi \partial^\mu \phi - \frac{1}{2} m^2 \phi^2$$

that we considered before.  $\phi$  satisfies the Klein-Gordon equation

$$(\square + m^2)\phi = 0 \quad \leftarrow \text{This has solution } e^{\pm i k \cdot x} \quad k \cdot x \equiv k_\mu x^\mu$$

$$k^\mu = (E(\vec{k}), \vec{k})$$

The Hamiltonian density is

$$\mathcal{H} = \frac{1}{2} \pi^2 + \frac{1}{2} (\vec{\nabla} \phi)^2 + \frac{1}{2} m^2 \phi^2$$

$$k_\mu = \eta_{\mu\nu} k^\nu = (E(\vec{k}), -\vec{k})$$

The general solution of the Klein-Gordon equation is

$$\phi(x) = \int \frac{d^3 \vec{k}}{(2\pi)^3} \frac{1}{\sqrt{2E(\vec{k})}} \left[ a(\vec{k}) e^{-i k \cdot x} + a^\dagger(\vec{k}) e^{i k \cdot x} \right]$$

Then

$$\pi(x) = \int \frac{d^3 \vec{k}}{(2\pi)^3} \frac{1}{\sqrt{2E(\vec{k})}} [-i E(\vec{k})] \left[ a(\vec{k}) e^{-i k \cdot x} - a^\dagger(\vec{k}) e^{i k \cdot x} \right]$$

To quantize the field, we follow the usual canonical procedure:

$$\{\phi, \pi\} \rightarrow i [\hat{\phi}, \hat{\pi}]$$

$$a(\vec{k}) \rightarrow \hat{a}(\vec{k})$$

$$a^*(\vec{k}) \rightarrow \hat{a}^\dagger(\vec{k})$$

In particular, we impose

$$[\hat{\phi}(\vec{x}, t), \hat{\pi}(\vec{x}', t)] = i \delta^{(3)}(\vec{x} - \vec{x}')$$

What does this imply about  $[\hat{a}(\vec{k}), \hat{a}^\dagger(\vec{k}')] ?$

To answer this question, compute

$$\begin{aligned} & \hat{\phi}(\vec{x}, t) \hat{\pi}(\vec{x}', t) \\ &= \int \frac{d^3\vec{k}}{(2\pi)^3} \frac{1}{\sqrt{2E(\vec{k})}} \left[ \hat{a}(\vec{k}) e^{-iE(\vec{k})t} e^{i\vec{k} \cdot \vec{x}} + \hat{a}^\dagger(\vec{k}) e^{iE(\vec{k})t} e^{-i\vec{k} \cdot \vec{x}} \right] \\ & \times (-i) \int \frac{d^3\vec{k}'}{(2\pi)^3} \frac{E(\vec{k}')}{\sqrt{2E(\vec{k}')}} \left[ \hat{a}(\vec{k}') e^{-iE(\vec{k}')t} e^{i\vec{k}' \cdot \vec{x}'} - \hat{a}^\dagger(\vec{k}') e^{iE(\vec{k}')t} e^{-i\vec{k}' \cdot \vec{x}'} \right] \\ &= -i \int \frac{d^3\vec{k}}{(2\pi)^3} \int \frac{d^3\vec{k}'}{(2\pi)^3} \frac{1}{\sqrt{2E(\vec{k})}} \frac{E(\vec{k}')}{\sqrt{2E(\vec{k}')}} \\ & \times \left[ \hat{a}(\vec{k}) \hat{a}(\vec{k}') e^{-i[E(\vec{k})+E(\vec{k}')]t} e^{i\vec{k} \cdot \vec{x}} e^{i\vec{k}' \cdot \vec{x}'} \right. \\ & + \hat{a}(\vec{k}) \hat{a}^\dagger(\vec{k}') e^{-i[E(\vec{k})-E(\vec{k}')]t} e^{i\vec{k} \cdot \vec{x}} e^{-i\vec{k}' \cdot \vec{x}'} \\ & + \hat{a}^\dagger(\vec{k}) \hat{a}(\vec{k}') e^{i[E(\vec{k})-E(\vec{k}')]t} e^{-i\vec{k} \cdot \vec{x}} e^{i\vec{k}' \cdot \vec{x}'} \\ & \left. + \hat{a}^\dagger(\vec{k}) \hat{a}^\dagger(\vec{k}') e^{i[E(\vec{k})+E(\vec{k}')]t} e^{-i\vec{k} \cdot \vec{x}} e^{-i\vec{k}' \cdot \vec{x}'} \right] \end{aligned}$$



$$- \hat{a}^\dagger(\vec{k}) \hat{a}^\dagger(\vec{k}') e^{i[E(\vec{k})+E(\vec{k}')]t} e^{-i\vec{k}\cdot\vec{x}} e^{-i\vec{k}'\cdot\vec{x}'} \Big]$$

Now compute

$$\begin{aligned} & \hat{\Pi}(\vec{x}', t) \hat{\phi}(\vec{x}, t) \\ &= (-i) \int \frac{d^3\vec{k}}{(2\pi)^3} \int \frac{d^3\vec{k}'}{(2\pi)^3} \frac{1}{\sqrt{2E(\vec{k})}} \frac{E(\vec{k}')}{\sqrt{2E(\vec{k}')}} \\ & \times \left[ \hat{a}(\vec{k}') \hat{a}(\vec{k}) e^{-i[E(\vec{k}')+E(\vec{k})]t} e^{i\vec{k}'\cdot\vec{x}'} e^{i\vec{k}\cdot\vec{x}} \right. \\ & + \hat{a}(\vec{k}') \hat{a}^\dagger(\vec{k}) e^{-i[E(\vec{k}')-E(\vec{k})]t} e^{i\vec{k}'\cdot\vec{x}'} e^{-i\vec{k}\cdot\vec{x}} \\ & - \hat{a}^\dagger(\vec{k}') \hat{a}(\vec{k}) e^{i[E(\vec{k}')-E(\vec{k})]t} e^{-i\vec{k}'\cdot\vec{x}'} e^{i\vec{k}\cdot\vec{x}} \\ & \left. - \hat{a}^\dagger(\vec{k}') \hat{a}^\dagger(\vec{k}) e^{i[E(\vec{k}')+E(\vec{k})]t} e^{-i\vec{k}'\cdot\vec{x}'} e^{-i\vec{k}\cdot\vec{x}} \right] \end{aligned}$$

Then

$$\begin{aligned} & \hat{\phi}(\vec{x}, t) \hat{\Pi}(\vec{x}', t) - \hat{\Pi}(\vec{x}', t) \hat{\phi}(\vec{x}, t) \\ &= -i \int \frac{d^3\vec{k}}{(2\pi)^3} \int \frac{d^3\vec{k}'}{(2\pi)^3} \frac{1}{\sqrt{2E(\vec{k})}} \frac{E(\vec{k}')}{\sqrt{2E(\vec{k}')}} \\ & \times \left[ -\hat{a}(\vec{k}) \hat{a}^\dagger(\vec{k}') e^{-i[E(\vec{k})-E(\vec{k}')]t} e^{i\vec{k}\cdot\vec{x}} e^{-i\vec{k}'\cdot\vec{x}'} \right. \quad (1) \\ & \left. + \hat{a}^\dagger(\vec{k}) \hat{a}(\vec{k}') e^{i[E(\vec{k})-E(\vec{k}')]t} e^{-i\vec{k}\cdot\vec{x}} e^{i\vec{k}'\cdot\vec{x}'} \right] \quad (2) \end{aligned}$$

(4)

$$- \hat{a}(\vec{k}') \hat{a}^\dagger(\vec{k}) e^{-i[E(\vec{k}') - E(\vec{k})]t} e^{i\vec{k}' \cdot \vec{x}'} e^{-i\vec{k} \cdot \vec{x}} \quad (3)$$

$$+ \hat{a}^\dagger(\vec{k}') \hat{a}(\vec{k}) e^{i[E(\vec{k}') - E(\vec{k})]t} e^{-i\vec{k}' \cdot \vec{x}'} e^{i\vec{k} \cdot \vec{x}} \quad (4)$$

if

$$[\hat{a}(\vec{k}), \hat{a}(\vec{k}')] = 0$$

$$[\hat{a}^\dagger(\vec{k}), \hat{a}^\dagger(\vec{k}')] = 0$$

Further, if

$$[\hat{a}(\vec{k}), \hat{a}^\dagger(\vec{k}')] = (2\pi)^3 \delta^{(3)}(\vec{k} - \vec{k}')$$

then

$$[\hat{\phi}(\vec{x}, t), \hat{\pi}(\vec{x}', t)]$$

$$= i \int \frac{d^3 \vec{k}}{(2\pi)^3} \int \frac{d^3 \vec{k}'}{(2\pi)^3} \frac{1}{\sqrt{2E(\vec{k})}} \frac{E(\vec{k}')}{\sqrt{2E(\vec{k}')}} \\ \times \left[ (2\pi)^3 \delta^{(3)}(\vec{k} - \vec{k}') e^{-i[E(\vec{k}) - E(\vec{k}')]t} e^{i\vec{k} \cdot \vec{x}} e^{-i\vec{k}' \cdot \vec{x}'} \quad (1) + (4) \right. \\ \left. + (2\pi)^3 \delta^{(3)}(\vec{k}' - \vec{k}) e^{i[E(\vec{k}) - E(\vec{k}')]t} e^{-i\vec{k} \cdot \vec{x}} e^{i\vec{k}' \cdot \vec{x}'} \quad (3) + (2) \right]$$

$$= i \int \frac{d^3 \vec{k}}{(2\pi)^3} \frac{1}{2} \left[ e^{i\vec{k} \cdot (\vec{x} - \vec{x}')} + e^{-i\vec{k} \cdot (\vec{x} - \vec{x}')} \right] = i \delta^{(3)}(\vec{x} - \vec{x}')$$



Therefore, if we impose

$$[\hat{\phi}(\vec{x}, t), \hat{\pi}(\vec{x}', t)] = i \delta^{(3)}(\vec{x} - \vec{x}')$$

then

$$[\hat{a}(\vec{k}), \hat{a}^\dagger(\vec{k}')] = (2\pi)^3 \delta^{(3)}(\vec{k} - \vec{k}')$$

which is the commutation relation for the creation and annihilation operators of the harmonic oscillator!

HOMEWORK 1 :

Derive the Hamiltonian for the real scalar field  $\hat{\phi}$ . Interpret the result. What is the number operator in this case?

Given the Hamiltonian, show that  $\hat{\phi}$  satisfies the Heisenberg equations of motion.

Derive the momentum operator for  $\hat{\phi}$ . Interpret the result.

The above homework problems will further suggest the interpretation of  $\hat{a}(\vec{k})$  and  $\hat{a}^\dagger(\vec{k})$  as annihilation and creation operators, respectively, of particles (in this case scalar, spin 0 particles) of momentum  $\vec{k}$  - i. e., of quanta in mode  $\vec{k}$  of the quantized field  $\hat{\phi}$ .

The action of  $\hat{a}(\vec{k})$  and  $\hat{a}^\dagger(\vec{k})$  on the vacuum are

$$\sqrt{2E(\vec{k})} \hat{a}(\vec{k}) |0\rangle = 0 \quad (\text{this is the same as } \hat{a}(\vec{k})|0\rangle = 0)$$



(6)

$$\sqrt{2E(\vec{k})} \hat{a}^\dagger(\vec{k}) |0\rangle = |\vec{k}\rangle$$

The factor  $\sqrt{2E(\vec{k})}$  is a convenient relativistic normalization.

To develop a better understanding of the quantized scalar field operator, let's compute

$$\langle \vec{y} | \hat{\phi}(x) | 0 \rangle$$

$$= \langle 0 | \sqrt{2E(\vec{y})} \hat{a}(\vec{y}) \int \frac{d^3k}{(2\pi)^3} \frac{1}{\sqrt{2E(\vec{k})}} [\hat{a}(\vec{k}) e^{-ik \cdot x} + \hat{a}^\dagger(\vec{k}) e^{ik \cdot x}] | 0 \rangle$$

$$= \int \frac{d^3k}{(2\pi)^3} \left[ \frac{2E(\vec{y})}{2E(\vec{k})} \right]^{1/2} e^{ik \cdot x} \langle 0 | \hat{a}(\vec{y}) \hat{a}^\dagger(\vec{k}) | 0 \rangle$$

$$= \int \frac{d^3k}{(2\pi)^3} \left[ \frac{2E(\vec{y})}{2E(\vec{k})} \right]^{1/2} e^{ik \cdot x} \langle 0 | [\hat{a}^\dagger(\vec{k}) \hat{a}(\vec{y}) + 2\pi \delta^{(3)}(\vec{k} - \vec{y})] | 0 \rangle$$

$$= \int d^3k \left[ \frac{2E(\vec{y})}{2E(\vec{k})} \right]^{1/2} e^{ik \cdot x} \delta^{(3)}(\vec{k} - \vec{y}) \underbrace{\langle 0 | 0 \rangle}_{=1}$$

$$= e^{i\vec{y} \cdot \vec{x}}$$

$$= e^{iE(\vec{y})t} e^{-i\vec{y} \cdot \vec{x}}$$

$$= e^{iE(\vec{y})t} \langle \vec{y} | x \rangle$$

Thus

$$\hat{\phi}(\underline{x}) |0\rangle = e^{iE(\vec{p})t} |\vec{x}\rangle$$

- i.e., the operator creates a free spin-0 particle of mass  $m$  and energy  $E(\vec{p})$  (momentum  $\vec{p}$ ) at  $\underline{x}$  (i.e., in the position eigenstate  $|\vec{x}\rangle$ ).

Since  $\hat{\phi}(\underline{x})$  is Hermitian

$$\hat{\phi}^\dagger(\underline{x}) = \hat{\phi}(\underline{x})$$

We have

$$\langle \underline{x} | = (| \underline{x} \rangle)^\dagger = (\hat{\phi}(\underline{x}) | 0 \rangle)^\dagger = \langle 0 | \hat{\phi}^\dagger(\underline{x}) = \langle 0 | \hat{\phi}(\underline{x})$$

Then the wave function of a particle in state  $|\psi\rangle$  is

$$\psi(\underline{x}) = \langle \underline{x} | \psi \rangle = \langle 0 | \hat{\phi}(\underline{x}) | \psi \rangle$$

Let's consider the time evolution of  $\psi(\underline{x})$

$$\psi_t(\underline{x}) = \int_t \langle 0 | \hat{\phi}(\underline{x}) | \psi \rangle$$

$$= \langle 0 | \int_t \hat{\phi}(\underline{x}) | \psi \rangle$$

$$= \langle 0 | \int \frac{d^3k}{(2\pi)^3} \frac{1}{\sqrt{2E(\vec{k})}} [-iE(\vec{k})] [\hat{a}(\vec{k}) e^{-ik \cdot x} - \hat{a}^\dagger(\vec{k}) e^{ik \cdot x}] | \psi \rangle$$



$$= -i \langle 0 | \int \frac{d^3k}{(2\pi)^3} \frac{1}{\sqrt{2E(k)}} [\vec{k}^2 + m^2]^{1/2} [\hat{a}(\vec{k}) e^{-i\vec{k}\cdot\vec{x}} - \hat{a}^\dagger(\vec{k}) e^{i\vec{k}\cdot\vec{x}}] | \psi \rangle$$

$$= -i \langle 0 | [-\vec{\nabla}^2 + m^2]^{1/2} \hat{\phi}(\vec{x}) | \psi \rangle$$

$$= -i [-\vec{\nabla}^2 + m^2]^{1/2} \langle 0 | \hat{\phi}(\vec{x}) | \psi \rangle$$

$$= -i [-\vec{\nabla}^2 + m^2]^{1/2} \psi(\vec{x})$$

$$= -im \left[ 1 - \frac{1}{m^2} \vec{\nabla}^2 \right]^{1/2} \psi(\vec{x})$$

$$\approx -im \left[ 1 - \frac{1}{2m^2} \vec{\nabla}^2 \right] \psi(\vec{x})$$

Then

$$i \gamma_t \psi \approx \left( m - \frac{1}{2m} \vec{\nabla}^2 \right) \psi$$

$$= \left( \hat{H}_{\text{nonrelativistic}} + m \right) \psi$$

The term  $m$  (really  $mc^2$ ) can be dropped. It contributes a constant to the overall Hamiltonian and does not affect the dynamics.

So, we have

$$i \gamma_t \psi \approx -\frac{1}{2m} \vec{\nabla}^2 \psi$$



in the nonrelativistic limit, which is just Schrödinger's equation for the single free scalar particle in this limit.

## Multi-Particle States and Fock Space

Using the creation operators, one can construct multiparticle states.

For example, the following is a 2-particle state

$$\hat{a}^\dagger(\vec{k}_1) \hat{a}^\dagger(\vec{k}_2) |0\rangle = |\vec{k}_1, \vec{k}_2\rangle$$

The possibilities are literally endless

$$|0\rangle, \underbrace{\hat{a}^\dagger(\vec{k}_1) |0\rangle}_{|\vec{k}_1\rangle}, \underbrace{\hat{a}^\dagger(\vec{k}_1) \hat{a}^\dagger(\vec{k}_2) |0\rangle}_{|\vec{k}_1, \vec{k}_2\rangle}, \underbrace{\hat{a}^\dagger(\vec{k}_1) \hat{a}^\dagger(\vec{k}_2) \hat{a}^\dagger(\vec{k}_3) |0\rangle}_{|\vec{k}_1, \vec{k}_2, \vec{k}_3\rangle}, \dots$$

The Hilbert space is a direct sum of  $n$ -particle Hilbert spaces ( $n=0, 1, 2, \dots$ )

$$\mathcal{H} = \bigoplus_n \mathcal{H}_n$$

known as Fock Space.

Let's look at some matrix elements (observables). Consider a two particle system with one particle in mode  $\vec{k}_1$  and the other in mode  $\vec{k}_2$ .

Let's check that the number operators



$$\hat{N} = \int \frac{d^3 \vec{k}}{(2\pi)^3} \hat{a}^\dagger(\vec{k}) \hat{a}(\vec{k})$$

returns the number  $z$ :

$$\langle \vec{k}_1, \vec{k}_2 | \hat{N} | \vec{k}_1, \vec{k}_2 \rangle$$

$$= \langle \vec{k}_1, \vec{k}_2 | \int \frac{d^3 \vec{k}}{(2\pi)^3} \hat{a}^\dagger(\vec{k}) \hat{a}(\vec{k}) \hat{a}^\dagger(\vec{k}_1) \hat{a}^\dagger(\vec{k}_2) | 0 \rangle$$

$$= \langle \vec{k}_1, \vec{k}_2 | \int \frac{d^3 \vec{k}}{(2\pi)^3} \left[ \hat{a}^\dagger(\vec{k}) \hat{a}^\dagger(\vec{k}_1) \hat{a}(\vec{k}) \hat{a}^\dagger(\vec{k}_2) + (2\pi)^3 \delta^{(3)}(\vec{k} - \vec{k}_1) \hat{a}^\dagger(\vec{k}) \hat{a}^\dagger(\vec{k}_2) \right] | 0 \rangle$$

$$= \langle \vec{k}_1, \vec{k}_2 | \int \frac{d^3 \vec{k}}{(2\pi)^3} \left[ \hat{a}^\dagger(\vec{k}) \hat{a}^\dagger(\vec{k}_1) \hat{a}^\dagger(\vec{k}_2) \hat{a}(\vec{k}) + (2\pi)^3 \delta^{(3)}(\vec{k} - \vec{k}_2) \hat{a}^\dagger(\vec{k}) \hat{a}^\dagger(\vec{k}_1) + (2\pi)^3 \delta^{(3)}(\vec{k} - \vec{k}_1) \hat{a}^\dagger(\vec{k}) \hat{a}^\dagger(\vec{k}_2) \right] | 0 \rangle$$

$$= \langle \vec{k}_1, \vec{k}_2 | \hat{a}^\dagger(\vec{k}_2) \hat{a}^\dagger(\vec{k}_1) | 0 \rangle + \langle \vec{k}_1, \vec{k}_2 | \hat{a}^\dagger(\vec{k}_1) \hat{a}^\dagger(\vec{k}_2) | 0 \rangle$$

$$= \langle \vec{k}_1, \vec{k}_2 | \vec{k}_1, \vec{k}_2 \rangle + \langle \vec{k}_1, \vec{k}_2 | \vec{k}_2, \vec{k}_1 \rangle$$

$$= \langle \vec{k}_1, \vec{k}_2 | \vec{k}_1, \vec{k}_2 \rangle + \langle \vec{k}_1, \vec{k}_2 | \vec{k}_1, \vec{k}_2 \rangle$$

$$= 2 \langle \vec{k}_1, \vec{k}_2 | \vec{k}_1, \vec{k}_2 \rangle$$

$$| \vec{k}_1, \vec{k}_2 \rangle = | \vec{k}_2, \vec{k}_1 \rangle$$

for  $[a(\vec{k}_1), a^\dagger(\vec{k}_2)] = 0$   
-i.e., for bosons

What about the total energy?

$$\begin{aligned} & \langle \vec{k}_1, \vec{k}_2 | \hat{H} | \vec{k}_1, \vec{k}_2 \rangle = \\ &= \langle \vec{k}_1, \vec{k}_2 | \int \frac{d^3k}{(2\pi)^3} E(\vec{k}) [\text{from page 12}] | 0 \rangle \rangle \\ &= \langle \vec{k}_1, \vec{k}_2 | E(\vec{k}_2) a^\dagger(\vec{k}_2) a^\dagger(\vec{k}_1) | 0 \rangle \\ &+ \langle \vec{k}_1, \vec{k}_2 | E(\vec{k}_1) a^\dagger(\vec{k}_1) a^\dagger(\vec{k}_2) | 0 \rangle \\ &= E(\vec{k}_2) \langle \vec{k}_1, \vec{k}_2 | \vec{k}_1, \vec{k}_2 \rangle + E(\vec{k}_1) \langle \vec{k}_1, \vec{k}_2 | \vec{k}_2, \vec{k}_1 \rangle \\ &= [E(\vec{k}_2) + E(\vec{k}_1)] \langle \vec{k}_1, \vec{k}_2 | \vec{k}_1, \vec{k}_2 \rangle \\ &= E(\vec{k}_1) + E(\vec{k}_2) \end{aligned}$$

as expected.



Note that the symmetry/antisymmetry associated with identical particles is built into the commutators.

For bosons:

$$|\vec{k}_1, \vec{k}_2\rangle = |\vec{k}_2, \vec{k}_1\rangle$$

But

$$|\vec{k}_1, \vec{k}_2\rangle = \hat{a}^\dagger(\vec{k}_2) \hat{a}^\dagger(\vec{k}_1) |0\rangle$$

$$|\vec{k}_2, \vec{k}_1\rangle = \hat{a}^\dagger(\vec{k}_1) \hat{a}^\dagger(\vec{k}_2) |0\rangle$$

Given that

$$[\hat{a}^\dagger(\vec{k}_1), \hat{a}^\dagger(\vec{k}_2)] = 0$$

for bosons, it is easy to see that

$$|\vec{k}_1, \vec{k}_2\rangle = |\vec{k}_2, \vec{k}_1\rangle$$

For fermions, we will see that

$$\{\hat{a}^\dagger(\vec{k}_1), \hat{a}^\dagger(\vec{k}_2)\} = 0$$

which then gives

$$|\vec{k}_1, \vec{k}_2\rangle = -|\vec{k}_2, \vec{k}_1\rangle$$