

Postcorrection Tool (PoCoTo) Manual

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1 Introduction

The Postcorrection Tool PoCoTo is an interactive application for the manual postcorrection of OCRed (historical) documents. You can use it to examine the results of the optical character recognition in context with the source images and improve the overall quality of the recognition by correcting common errors in the document.

It offers various features that help the user to identify and correct detection errors of the OCR engine. The application can automatically propose correction candidates for *misspelled* words, recognize common error patterns and correct multiple words over all pages of your documents at once.

The main focus of the tool lies in the correction of historical documents and it contains a set of features that help you correct historical spelling variants without the need for additional, historical dictionaries.

This manual tries to cover the different aspects of the tool. It covers both the basic usage of the tool and also more advanced features. In order to ease the use of the tool, this manual tries to set some basic conventions that have been proven to work with this tool. Whenever possible the user should stick to the conventions used in this manual, since special cases are not covered here. If you find anything missing from the manual or if you discover any errors in the application don't hesitate to write a short email to finkf@cis.lmu.de.

1.1 Menu items

Whenever this manual states to open a specific menu item, it will show you the names of the items in the order you have to activate (click). Sometimes there are menu items that are not active and can therefore not be clicked. This happens if the application cannot execute the action in the current context. Most often this is the case if you have not opened a project yet. If you cannot click a menu item, make sure that you have successfully opened a project beforehand. If you have already opened a project, but you still cannot click on the menu item, try to activate the current project, by simply clicking on the document in the main view area (see below).

Depending on the language settings of your system, the names of menu items can be in a different language. Do not get confused about this. It should always be possible to find the right menu items even if your language differs from the default English settings.

For example in order to export a project as a single plain text file (set in the chapter [Exporting and importing](#)), you have to activate the following items in order: File -> Export -> as plain text. This means, that you have to open the File menu, then click on the Export sub menu and then finally click on the item as plain text. If your system's default language is German, you would have to activate the items Datei, Export and als Textdokument. If you cannot click one of these items, you have not opened a project yet and you cannot export anything in this context. You would have to open or create a project as described in [Creating new projects](#) and in [Opening existing projects](#).

1.2 Selecting files and directories

On many occasions, you have to choose some files or directories. Just find and select the files or directories in the file chooser dialog. If you need to open a directory, you cannot select a file and vice

versa. If you open a file to write, make sure that you do not accidentally overwrite any important files, because PoCoTo will not always warn you, before you overwrite existing files.

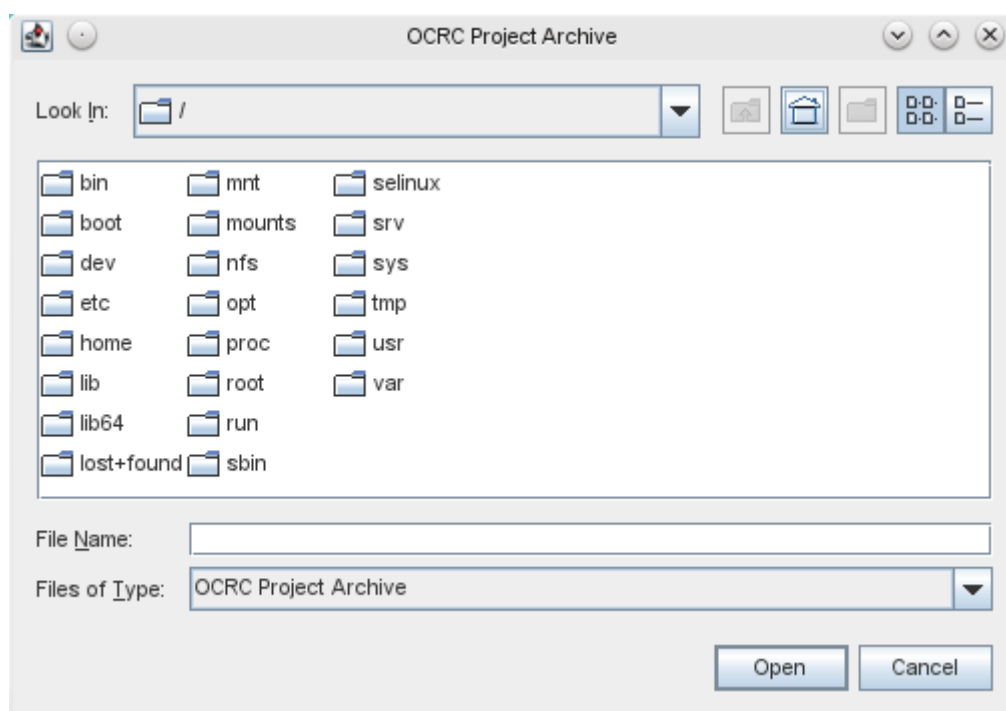


Figure 1: The file chooser dialog of PoCoTo

1.3 Example data

Most of the examples and images shown in this manual are taken from a PoCoTo session working with the Latin version of Hobbes' Leviathan (1668). All files are available under the following [link](#). The archive contains various OCR files¹ in different languages². You can use all of the files in the archive to reproduce the examples shown in this manual and to experiment on your own.

2 Basic usage

PoCoTo is written in the Java programming language. In order to run it you need to have the Java virtual machine installed on your computer. You can freely download and install it on your system from the [Oracle](#) home page.

This chapter covers the basic usage of the tool, starting with how to download the application and start it up. The layout of your OCR output files is discussed at length. Later on all basic movement and editing commands are explained.

¹Both the binary image files and the OCR XML output files.

²At the time of this writing there are files in German, Latin and Ancient Greek available.

2.1 Downloading

In order to use PoCoTo you must download the [binary distribution](#) and extract its contents. Copy the resulting `ocrcorrection` folder to a convenient place in some directory. This directory contains a `bin` folder that contains the application's executable files, which you have to execute in order to start the application.

If you are interested, you can also download the source code of the application. It is freely available on [Github](#). PoCoTo is developed in Java using the [Netbeans IDE](#). In order to compile the source code you should have a recent version of the Netbeans IDE installed on your system. You can then import the source code directly into Netbeans and run the program from within it. Or you can even checkout the git repository using the built in version control support of Netbeans.

2.2 Running the program

After you have downloaded and extracted the PoCoTo archive, you have to execute the appropriate file to start PoCoTo. Open the `bin` directory of the `ocrcorrection` directory you just extracted and double click on the appropriate executable file.

There are several files in the `bin` directory. Which file you have to use depends on the operating system of your computer. If you are using Linux, you have to double click the file called `ocrcorrection`. On a Microsoft OS you have to either select `ocrcorrection.exe` or `ocrcorrection64.exe`. Just try which one of the files starts PoCoTo.

PoCoTo will show its splash screen and load the application. The splash screen should disappear after a short while and you should now see PoCoTo's main window.

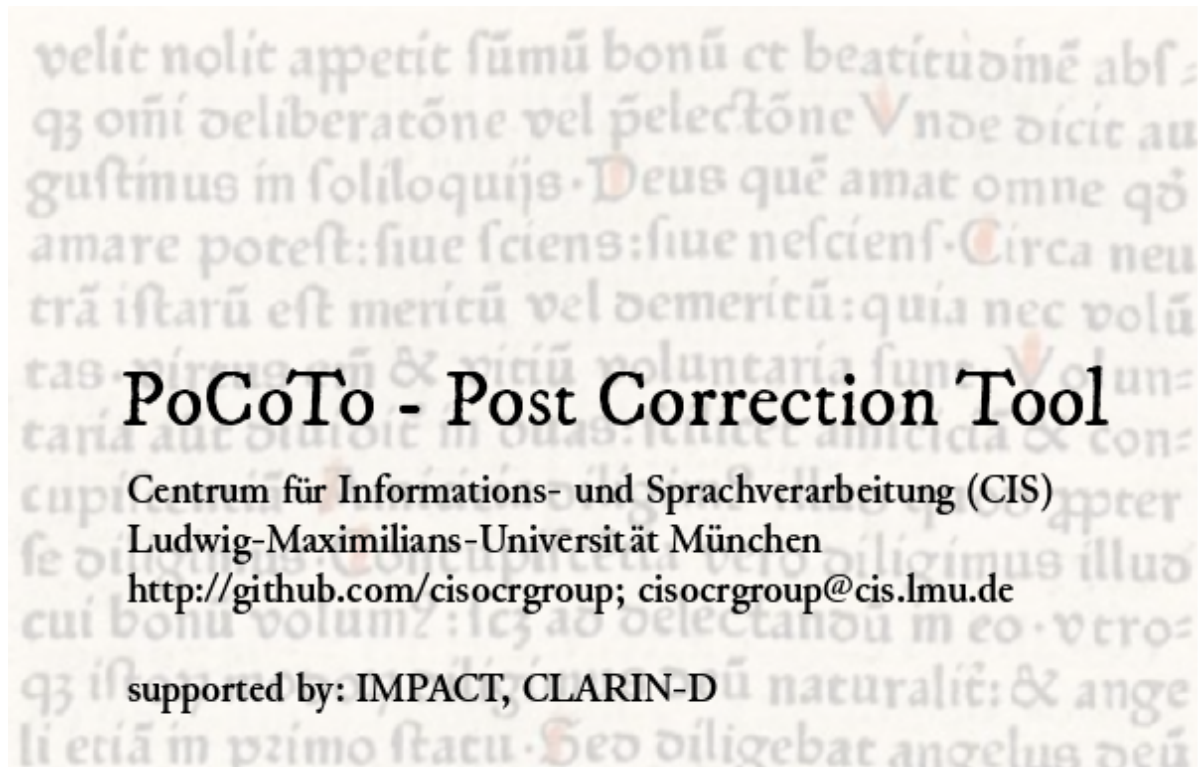


Figure 2: PoCoTo's splash screen

2.3 Main window

PoCoTo's main window is composed of 5 main areas:

1. The menu and toolbar area is at the upper border. It contains the menu items and the main navigation in the currently open document.
2. The main view area on the upper right side of the windows always contains the main view of the document.
3. The complete image view on the lower right side shows the page context of the currently active token.
4. The error area on the lower left side of the window contains an overview of token errors.
5. The token action area on the upper left side of the window contains buttons for merging and splitting of tokens as well as for the concordance view.

You can use your mouse to increase, decrease, show and hide the different areas. You can drag and drop the areas to different positions and rearrange them freely. PoCoTo will remember your settings and will restore them whenever you restart the application. The manual always refers to the standard layout of PoCoTo.

The menu and toolbar area (1) offers access to all settings and actions of the application. The toolbar contains buttons to turn the pages in the document, undo or redo your last actions or to zoom in or out. The menu gives you access to all possible actions and enables you to set various configuration parameters.

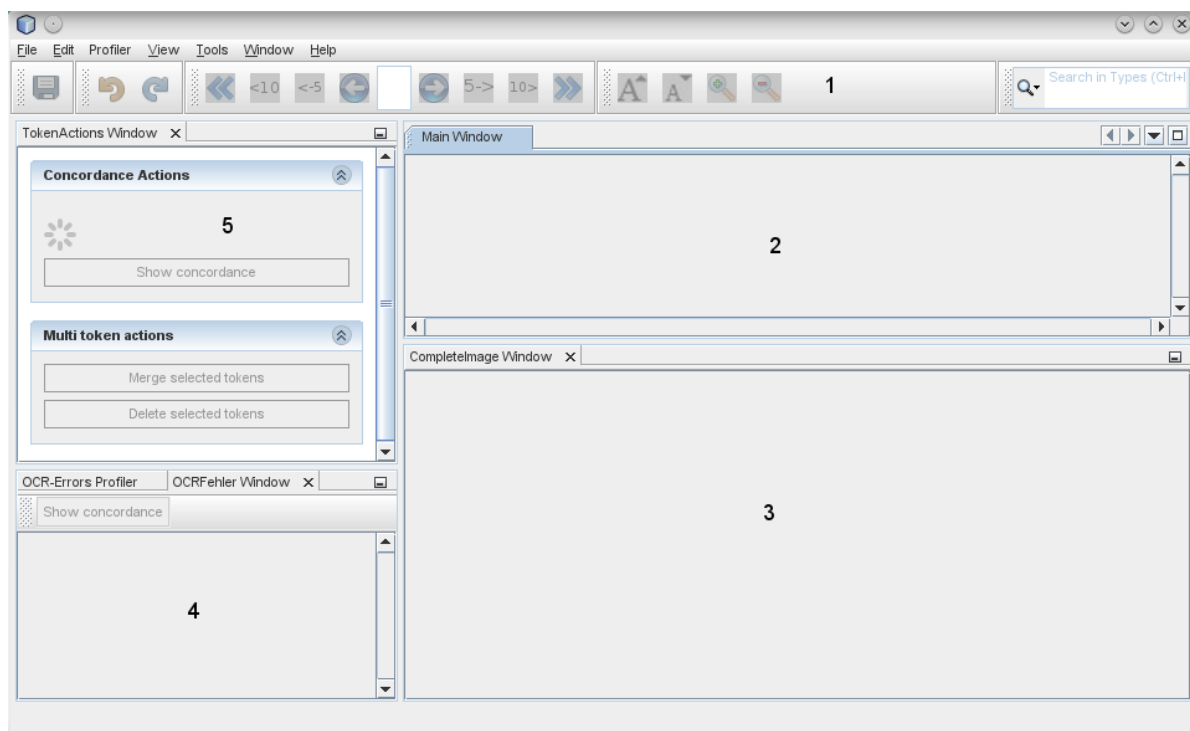


Figure 3: PoCoTo's main window and its 5 areas

The most important areas are the main view and the complete image view areas (2 and 3). The main view area (2) shows the text of single tokens and their corresponding snippet in the source image. The complete image view (3) marks the selected token and shows it in its page context. Those two views may seem redundant at first, but if the main view shows the concordance of some recurring error patterns on different pages, the complete image view helps to keep track of the larger context of a selected token. It can also help you to decipher barely visible text parts, since a larger context can often improve the readability. Both areas together are referred to as the *main page area* or *main area* in the rest of this document.

The token action area (5) gives you easy access to the concordance view and enables you to quickly merge and split tokens. More important is the error area (4). It consists of two different error listings in two different tabs. The second one lists common error patterns and *suspicious* words³. If you used a profiler service to obtain an error profile for your OCR'd document (see in the chapter [Profiler]), it will also show you common historical spelling variations encountered in all the words over the whole document.

PoCoTo uses the information of the input document to identify suspicious words. The errors shown are those words, that the OCR engine marked as suspicious. If you encounter a lot of words that are legal entries of the language's dictionary, make sure that the language settings of your OCR engine match the language of the input images for the OCR. In the example given in Figure 2.3 an English language setting was used for an input document in Latin. This explains why legal Latin words like *etiam* or *Homine* are identified as errors. In the end this does not matter much – you can ignore those false errors or even mark them as correct words.

³The identification of these words both depends on the OCR engine and the output format you use.

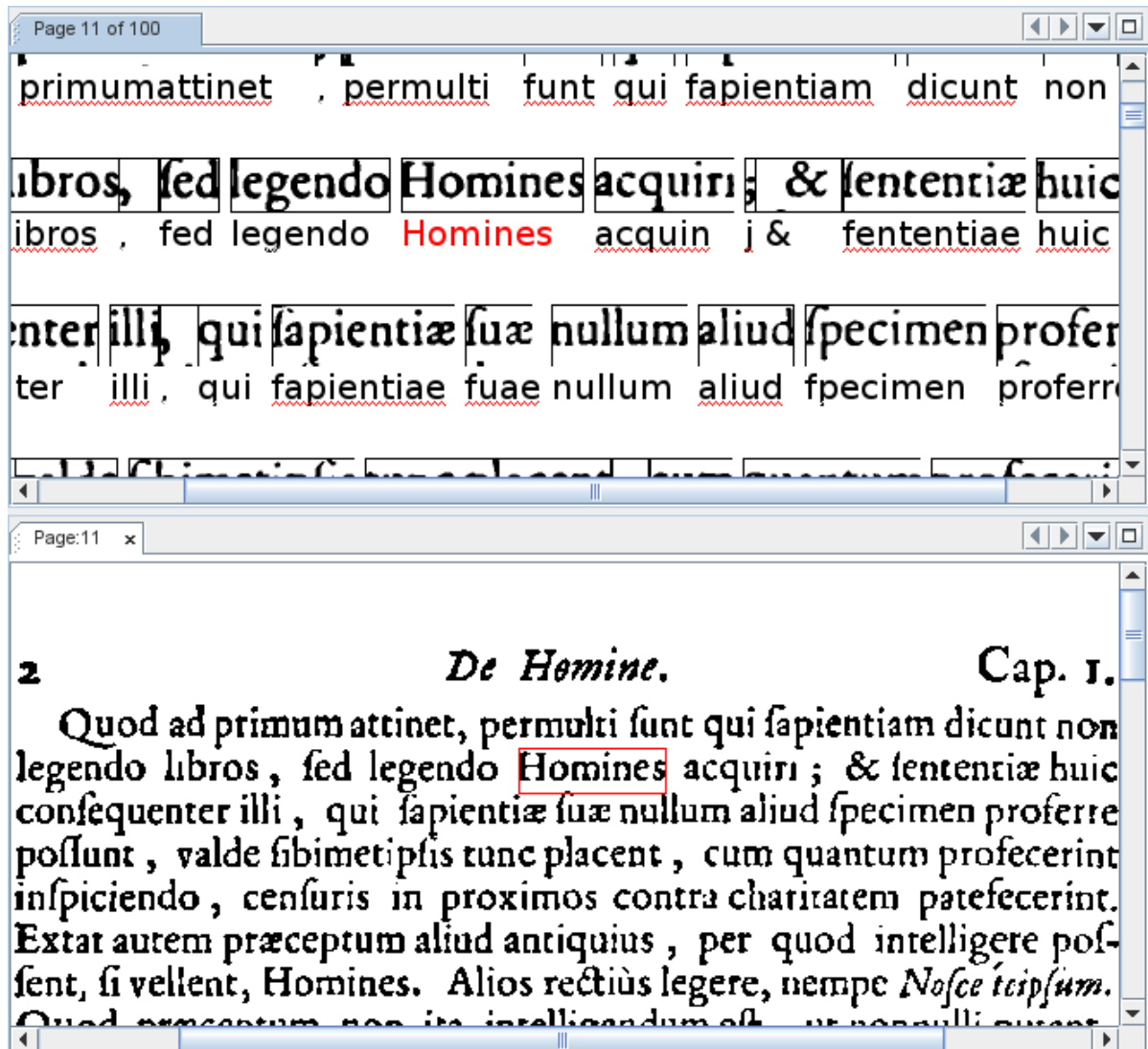


Figure 4: Example of the main view area and the corresponding complete image view area. The selected token ‘Homines’ in the main view area is shown in its page context in the complete image view area.

The screenshot shows a window titled 'OCR-Errors Profiler' with a sub-tab 'OCR Fehler Window'. Below the title bar is a button labeled 'Show concordance'. The main area contains a list of words and their corresponding frequencies, sorted in descending order. The words are Latin, and the frequencies are integers. The list is as follows:

| Word | Frequency |
|--------|-----------|
| enim | 85 |
| autem | 82 |
| efle | 81 |
| funt | 80 |
| quam | 68 |
| ilia | 60 |
| etiam | 58 |
| tamen | 57 |
| rerum | 44 |
| aliis | 40 |
| Homine | 37 |
| illis | 36 |

Figure 5: Example of the error window showing common *suspicious* words and their frequencies over the whole document. The other (left) tab would show you common error patterns and their frequencies.

If you see a lot of false errors this may be a pointer that you should check the language settings of your OCR engine. Depending on the OCR engine you use, it could improve the performance of the recognition if you change the language settings accordingly. This is, of course, only possible if your OCR engine contains a dictionary of wordforms of that language.

2.4 Input layout and input file formats

Before we can proceed to the creation of new projects and the basic usage of the tool, we need to explain the input formats that PoCoTo understands and the required directory layout of the input files.

2.4.1 Input files and formats

At this point, PoCoTo supports three different XML file formats for the input text and three different image formats for the source images of the scanned document pages.

2.4.1.1 Supported XML file formats

PoCoTo supports both the [Abbyy XML](#) and the [hOCR](#) file formats. hOCR is the output format you get from OCRopus and Tesseract.

Additionally PoCoTo supports its own internal XML file format called OCRCXML⁴. It is loosely based on the Abbyy XML format.

In its newest version PoCoTo now also supports the hOCR-based file format, that [OCRopus](#) uses as its main output format. In order to display the token snippets in the image files correctly PoCoTo needs

⁴This format is sometimes also referred to as *DocXML*.

finer bounding boxes than just the simple line based coordinates OCRopus provides out-of-the-box. Specifically, PoCoTo needs the line location files (llocs) of each text line image, which provide the horizontal pixel coordinates for each character along the text line image. These files can be produced using the `ocropus-rpred --llocs -m <modelname> book/*/*.bin.png` command.

There are some caveats, though. Make sure that the Abbyy XML files are *character* based as opposed to word or token based. This is important, since PoCoTo will exit with an error if you try to open a word based Abbyy XML file. On the other hand, PoCoTo supports token based hOCR files. Since PoCoTo uses the geometrical information pertaining to the tokens and characters to create the token snippets of the source images, a character based XML file⁵ will generate more accurate character based snippets, even after merging and splitting operations on the words.

The OCRXML format is used by PoCoTo internally. You can easily export and import this file format. Notice that it is a truly internal format and is not supported by external tools.

We will show you some examples of the supported input file formats on the next pages⁶.

```
<!-- snippet of the supported hOCR XML format... -->
<span class='ocr_line' id='line_1_1'
      title='bbox 303 120 1890 194;baseline 0.005 -25">
  <span class='ocrx_word' id='word_1_1'
        title='bbox 303 142 334 175; x_wconf 71'
        lang='eng'><strong>2</strong></span>
  <span class='ocrx_word' id='word_1_2'
        title='bbox 923 126 996 171; x_wconf 72'
        lang='eng' dir='ltr'>De</span>
  <span class='ocrx_word' id='word_1_3'
        title='bbox 1030 121 1243 172; x_wconf 73'
        lang='eng' dir='ltr'><strong><em>Homim.</em></strong></span>
  <span class='ocrx_word' id='word_1_4'
        title='bbox 1694 120 1821 194; x_wconf 79'
        lang='eng' dir='ltr'>Cap.</span>
  <span class='ocrx_word' id='word_1_5'
        title='bbox 1849 139 1890 177; x_wconf 85'
        lang='eng' dir='ltr'>I.</span>
</span>
<!-- ... -->
```

⁵This means the Abbyy XML format, since PoCoTo does not support character based hOCR formats yet.

⁶They are all somewhat strangely formatted, though. Don't let this bother you.

```

<!-- snippet of the supported Abbyy XML format... -->
<par align="Justified" startIndent="182">
  <line baseline="172" l="303" t="120" r="1890" b="194">
    <formatting lang="EnglishUnitedStates">
      <charParams l="303" t="142" r="334" b="175"
        charConfidence="24">2</charParams>
      <charParams l="335" t="126" r="922" b="175">    </charParams>
      <charParams l="923" t="126" r="969" b="171"
        suspicious="1" charConfidence="13">D</charParams>
      <charParams l="973" t="137" r="996" b="171"
        charConfidence="42">e</charParams>
      <charParams l="997" t="125" r="1029" b="171">    </charParams>
      <charParams l="1030" t="125" r="1082" b="171"
        suspicious="1" charConfidence="27">H</charParams>
      <charParams l="1079" t="138" r="1102" b="172"
        suspicious="1" charConfidence="18">e</charParams>
      <charParams l="1104" t="139" r="1149" b="170"
        suspicious="1" charConfidence="22">m</charParams>
      <charParams l="1152" t="121" r="1170" b="171"
        suspicious="1" charConfidence="100">i</charParams>
      <charParams l="1172" t="138" r="1202" b="171"
        charConfidence="21">n</charParams>
      <charParams l="1205" t="137" r="1231" b="171"
        charConfidence="34">e</charParams>
      <charParams l="1232" t="159" r="1243" b="172"
        charConfidence="25">.</charParams>
      <charParams l="1244" t="120" r="1693" b="172">    </charParams>
      <charParams l="1694" t="120" r="1738" b="172"
        charConfidence="88">C</charParams>
      <charParams l="1744" t="140" r="1770" b="173"
        charConfidence="33">a</charParams>
      <charParams l="1774" t="140" r="1805" b="194"
        charConfidence="50">p</charParams>
      <charParams l="1811" t="161" r="1821" b="171"
        charConfidence="73">.</charParams>
      <charParams l="1822" t="139" r="1848" b="174">    </charParams>
      <charParams l="1849" t="139" r="1870" b="174" suspicious="1"
        charConfidence="44">i</charParams>
      <charParams l="1878" t="164" r="1890" b="177"
        charConfidence="73">.</charParams>
    </formatting>
  </line>
</par>
<!-- ... -->

```

2.4.1.2 Supported image file formats

PoCoTo supports the most commonly encountered image file formats including PNG, JPEG and TIF formats. These are the formats supported by most OCR engines. You have to use the same image file formats for PoCoTo that you used as input for the OCR engine. If you want to use different images as input for the OCR engine and PoCoTo⁷, make sure that the image files you use for PoCoTo have the same size (in pixels) as the images that you have used as input for the OCR engine. Otherwise the word snippets that PoCoTo displays will be not aligned properly on the pages.

2.4.2 Directory layout structure

In order to create a new project from your OCR resource files⁸ you need to organize them in a very specific way. If you plan to use PoCoTo on your OCR'd files, it could be easier to consider the naming restriction imposed by PoCoTo before you do the actual recognition of your files, otherwise you would have to change the naming of existing files in a way that they match the imposed restrictions of PoCoTo.

We recommend that you stick to the following conventions for your PoCoTo projects (see the chapter [Projects](#) for more information about PoCoTo projects):

2.4.2.1 Project directories

Each project should live in its own project directory. All the project files that PoCoTo creates should be put into this directory. This directory should be the base path of your project, as it will be described in chapter [Creating new projects](#) later.

2.4.2.2 XML and image directories

Put the XML output files of the OCR engine into one directory in the project folder and put the corresponding image files in another directory. You can use the same directory for both images and XML files if you prefer, but we do not recommend this technique.

We call the image directory `img` and the corresponding XML directory `xml`. You can use whatever convention you like. If we have different image types and XML files from different OCR engines of *the same* document we try to give the different directories obvious names like `jpg-bin`, `tif-color`, `abbyy-xml` or `tess-hocr`. The corresponding project names would then be `<project-name>-abbyy` and `<project-name>-tess`. This has the advantage that we need to store the image files only once. The different PoCoTo projects refer to the same images in one folder, but to different XML output files in different folders.

2.4.2.3 llocs directory

As mentioned before, if you want to use PoCoTo in combination with [OCRopus](#) you need the line locations (horizontal pixel coordinate of each character measured along its text line image) in the `llocs` files for all the text line image. PoCoTo expects the `llocs` to reside in a directory on the same

⁷For example if you want PoCoTo to display the original colored images, but your OCR engine needs binary (black and white) images to process.

⁸This means both the OCR input images and the OCR output XML or hOCR files.

level as the directory of the hOCR files. Additionally the name of the directory *must* contain the string hocr (e.g., ocropus-hocr). The llocs directory must have the same name as the hOCR directory with the string hocr replaced with book (e.g., ocropus-book).

Make sure that the directory structure of this llocs directory is exactly what ocropus-rpred --llocs generates. For each input file in the xml directory there should be a directory under the llocs directory that contains the line locations for each line in the input xml page along with the recognized text of the line and the image snippet.

Here is a simple example of the directory structure of an OCRopus project that can be processed with PoCoTo. The project contains two xml files in the xml directory with their respective image files (binarized) in the image directory.

```
+-- ocropus-hocr
|   +-- 001.html
|   +-- 002.html
+-- tif
|   +-- 001.tif
|   +-- 002.tif
+-- ocropus-book
    +-- 001
    |   +-- 0100001.bin.png
    |   +-- 0100001.llocs
    |   +-- 0100001.txt
    |   +-- 0100002.bin.png
    |   +-- 0100002.llocs
    |   +-- 0100002.txt
    |   ...
    +-- 001.pseg.png
    +-- 002
    |   +-- 0100001.bin.png
    |   +-- 0100001.llocs
    |   +-- 0100001.txt
    |   +-- 0100002.bin.png
    |   +-- 0100002.llocs
    |   +-- 0100002.txt
    |   ...
    +-- 002.pseg.png
```

2.4.2.4 File names

The names of the images and XML files must correspond to each other. Make sure that their names – save for their file extension – are *exactly* the same. PoCoTo uses the filenames to map between the XML and image files. If the names do not correspond, PoCoTo cannot find the respective images for the OCR pages. If PoCoTo gives you an error saying *image could not be found* this most likely means that there is a problem in the mapping between image and XML files. Check the names of your files and make sure that they correspond to each other if you encounter such an error.

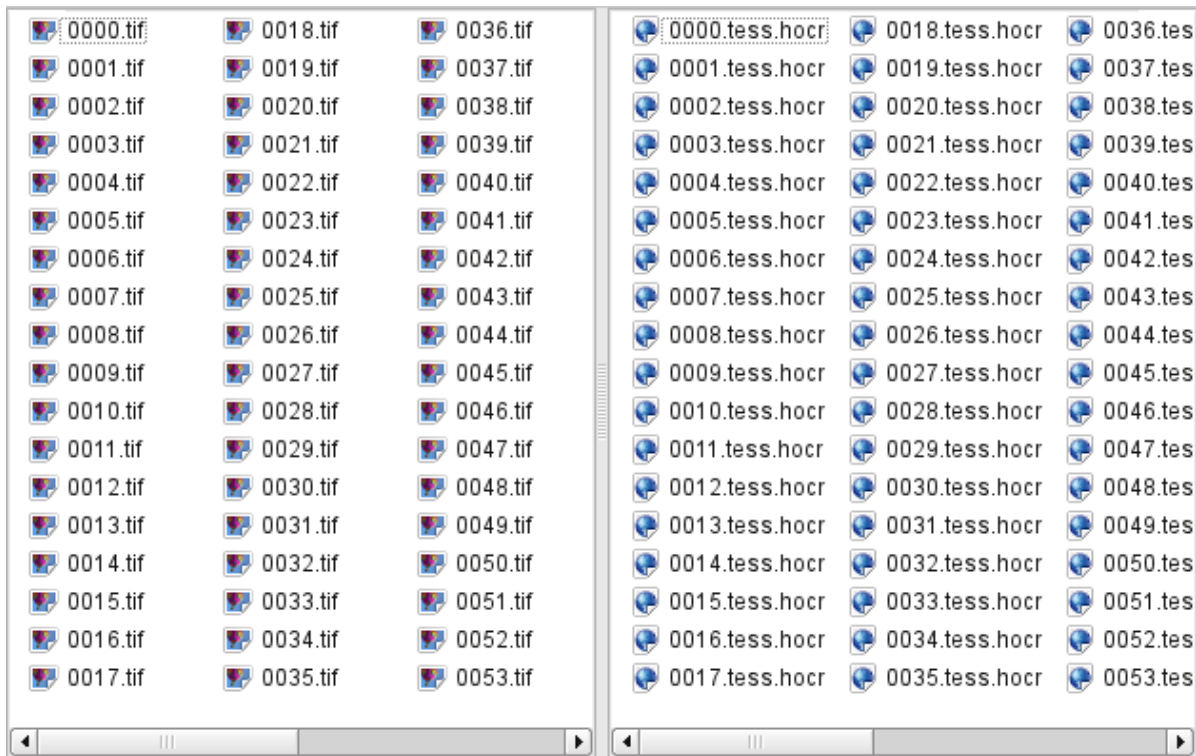


Figure 6: Naming conventions of the files. This is an example for the names of XML and image files in two different directories. Notice that only the file names must match – not their file extensions. The order of the files given in this example corresponds to the later page ordering in the application.

For example, if you have to image files `img/page-01.jpg` and `img/page-02.jpg` in the image directory of you project, the corresponding XML files should be named `xml/page-01.xml` and `xml/page-02.xml`.

2.4.2.5 Sorting order of the file names

The names of the XML files (and therefore the names of the image files – see above) should be named in a way that their *lexicographical, ascending* sorting order conforms to the page numbering of the document. The lexicographical smallest name should correspond to the first page of the document and so on. That is why you should use the page number in the document as a prefix in the file name. Make sure that you use leading zeros to avoid incorrect ordering.

For example the names of the pages of a document could be `0001-book.xml`, `0002-book.xml`, ..., `0020-book.xml`, ..., `0100-book.xml`, It is wrong⁹ to name them `1-book.xml`, `2-book.xml`, ..., `100-book.xml`, since this naming convention would give a wrong ordering: `1-book.xml`, `10-book.xml`, ..., `11-book.xml`, `20-book.xml`,

⁹At least it would destroy the page ordering.

2.5 How to produce the necessary input files and layout structure with current OCR engines

Now that you know what kind of input files and directory layout structure is expected there remains the question how to efficiently generate these data and structure by using current OCR engines (ABBY Finereader, Tesseract, OCRopus). While we do not intend to give an introduction to the usage of these engines (for this we refer you to our [OCR Workshop](#)), we nevertheless want to list the various commands for each engine in turn to enable you to quickly generate data in the required form.

ATTENTION:

Do not forget to NFC-normalize your data (e.g. with the `nfc.sh`-script provided in the [OCR Testset](#) directory) before using them with PoCoTo.

2.5.1 ABBYY Finereader Engine SDK 11 for Linux

Assuming that you got a licence for historical font OCR (Gothic script, Frakturschrift) and lexica for “old languages” (OldGerman in our case), you may invoke this little bash script from the tif image directory of binarized images:

```
for i in *.tif; do
    /opt/FRE11.1/Samples/CommandLineInterface/CLI \
    -if "$i" -tet UTF8 \
    -f Text -f XML --xmlWriteAsciiCharAttributes \
    -of ../abbyy-txt/"${i}.tif/.abbyy.txt" \
    -of ../abbyy-xml/"${i}.tif/.abbyy.xml" \
    -rl OldGerman -rtt Gothic
done
```

Thereafter, the *.abbyy.xml and *.abbyy.txt files will reside in the abbyy-xml and abbyy-txt directories at the same level as the tif image directory. These directories need to exist beforehand (generate them before calling the OCR engine).

2.5.2 Tesseract >= 3.04

From the tif image directory of binarized images you can produce hOCR-files with word bounding boxes (sufficient for PoCoTo, but note that you could also produce character bounding boxes by using the Tesseract C++ API which we do not cover here) in this way:

```
for i in *.tif; do \
    tesseract -l deu_frak $i ../tess-hocr/"${i}.tif" hocr
done
```

(leaving out the hocr at the end will produce plain text files).

If you want to make use of several CPU cores simultaneously, use GNU `parallel`:

```
ls | parallel --gnu "tesseract {} ../tess-hocr/{}.tif -l deu_frak hocr"
```

2.5.3 OCRopus

For OCRopus, let's again assume you start from binarized tif images in the tif directory. You could equally well binarize your original color or greyscale images with `ocropus-nlbin` which produces files with the extension `*.bin.png` and start from there:

```
ocropus-nlbin -n -Q4 <image-directory/*.<tif,jpg> -o book
```

Then you would enter the book directory and proceed as described below, but with `ocropus-gpageseg` `*.bin.png`. At the end copy the binarized `*.bin.png` images to their own directory for later use with PoCoTo, or provide it with the original images. In this case they must have the same pixel geometry or else the bounding boxes of OCR tokens will be meaningless, so do not change the image resolution in the binarization process if you plan to use the original images.

First the page images need to be segmented:

```
ocropus-gpageseg -n -Q4 *.tif
```

The option `-n` ensures that also short lines get segmented, `-Q4` employs 4 cores. The output will consist in `*.pseg.png` files plus a directory for each page containing single text line images of that page as `*.bin.png` files.

Next comes the recognition of each text line image with a trained model:

```
ocropus-rpred -n -Q4 --llocs -m <modelname> */*.bin.png
```

Here the output will be single lines of recognized text equally named to their image counterparts with extensions `*.txt` and `*.llocs`. The latter `llocs` files contain a table with recognized characters (first column) and horizontal pixel coordinate of each character's beginning (second column) in its corresponding line image.

From these files we can assemble hOCR-Files (create the `ocropus-hocr` directory beforehand) with hOCR text line coordinates (PoCoTo will later use the character coordinates contained in the `llocs` files to determine word boundaries in the image):

```
for i in *.tif; do \  
    echo $i  
    j="{i/.tif/}"  
    ocropus-hocr $i -o ../ocropus-hocr/$j.hocr  
done
```

At the end, rename the tif-directory to `ocropus-book` and put the tif images into their own directory again named `tif`.

2.6 Projects

PoCoTo manages documents as separate projects. A project contains a collection of different XML and image files that form the pages of a logical document such as a book or an article. Each project consists of three special project files storing the internal project information. They also contain your saved corrections and the language information. For each project PoCoTo creates three distinct file names in your chosen project directory: `<project-name>.h2.db`, `<project-name>.ocrproject`

and `<project-name>.trace.db`. The `.ocrproject` file contains the configuration data of the project; the two other files are database files used by the project to store the various information of the document. You should *never* edit one of the database files, since this will most likely end in a corrupted file that cannot be used by PoCoTo anymore. If you are absolutely sure that you know what you are doing, you could edit the `.ocrproject` file manually. If, e.g., you prefer a specific font that has all the glyphs corresponding to your OCR output, you can change it by setting the `mainFontType`:

```
#mainFontType=FreeSerif  
mainFontType=Andron Scriptor Web
```

If you accidentally delete one of those three project files, you will most probably lose all the information about the project and all the work stored in your project. If you create a new project with the same name as an already existing project in the same directory, PoCoTo will ask you if you want to overwrite the existing project. If you answer yes and create the new project, your old project will be overwritten and ceases to exist.

2.6.1 Creating new projects

In order to start with the postcorrection of your OCR'd files you have to create a new project from your existing OCR files. Make sure that you have read and understood the chapter [Input layout and input file formats](#) and that you have organized your input files accordingly.

Click to **File** -> **New Project** to open the *New project wizard*. This will open a wizard window that helps you to configure your new project.

The wizard consists of three separate steps. After you have finished one step, click on the button *Next* to go to the next step. If you cannot click on the *Next* button, you have not completely filled in all the required information. It is also possible to change the settings of previous steps. Just click on the *Back* button to go one step back. You can see your current position on the navigation area on the left side of the window. If you want to abort the creation of the new project, you can always click *Cancel* to close the wizard and abort the creation of the new project.

2.6.1.1 The first step: project name and path

In the first step of the wizard you have to configure a project name and a project path. Enter your project name into the text input field labeled *Project Name*. Following the conventions about the directory layout as explained in section [Directory layout structure](#), choose now the the project directory that contains the directory of your OCR files as *Project Path*. Just click on the *Browse* button to open the file chooser dialog and select your project directory.

The dialog will open. Navigate to the project directory on your computer and select it. You can only select directories. It is not possible to accidentally select a file. If you have set all required parameters you can go to the next step by clicking onto the *Next* button. This will bring you to the second configuration step, so you can simply select the XML directory directly if you have followed the suggested directory layout.

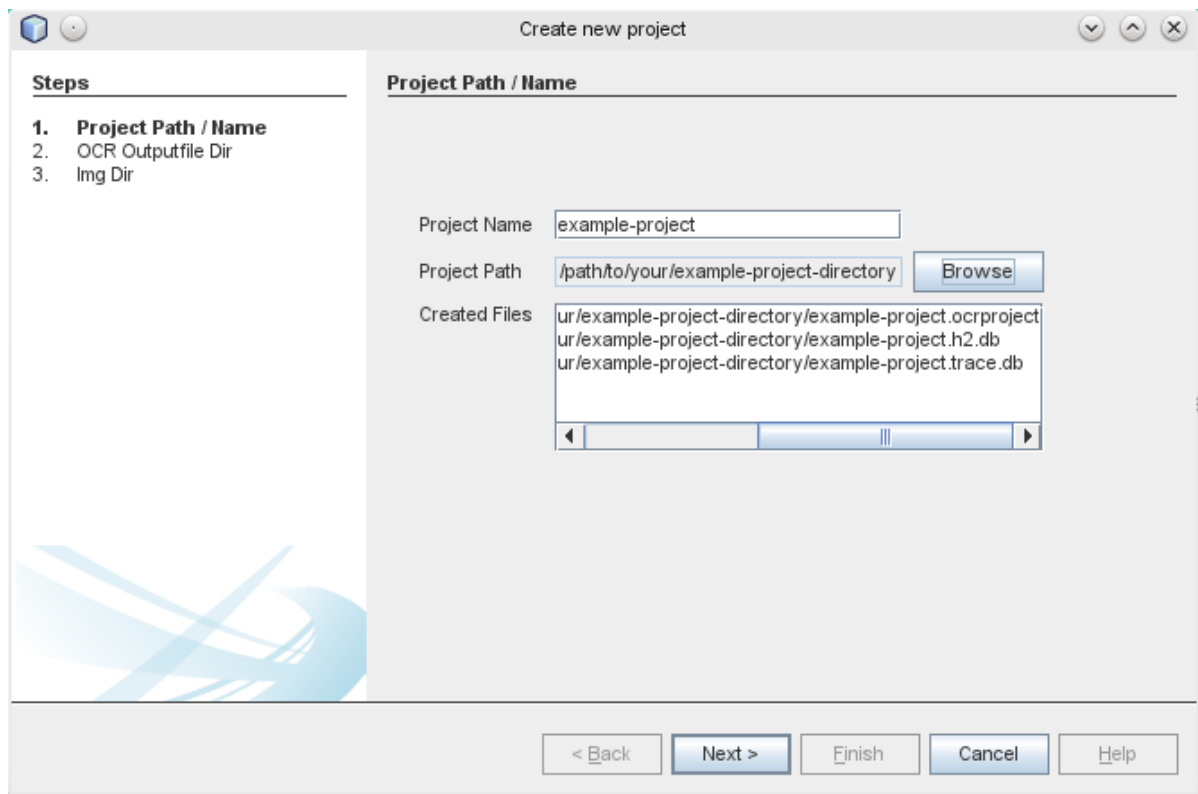


Figure 7: First step of the *New Project wizard*. All required configuration parameters have been set – so you can proceed to the next step.

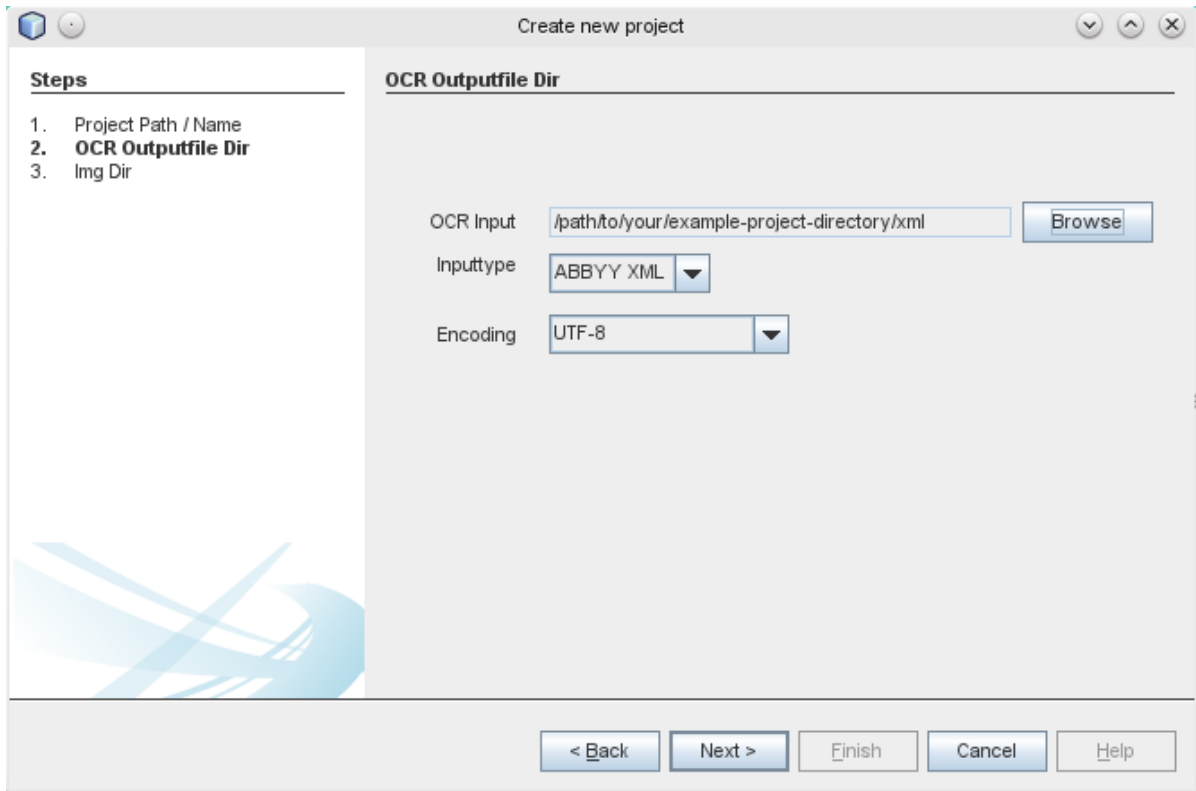


Figure 8: Second step of the *New Project wizard*

2.6.1.2 The second step: OCR directory

In the second step you have to configure the directory of the OCR files. This means the *output files* of your OCR engine, that will be used as *input files* for PoCoTo.

First of all you should choose the OCR directory. This is the directory where all the XML or hOCR files are stored. Again just click on the *Browse* button and select the directory accordingly. Note that the file chooser dialog opens in the project directory that you configured as project path in the previous step.

After you have selected the OCR directory, select the input file format respectively input type. You can either select *Abbyy XML* or *hOCR*.

Optionally you can select the input encoding of the OCR files in the directory. In some circumstances you might choose another input encoding depending on the encoding of the OCR files. But normally the default option *UTF-8* should suffice. Click *Next* afterwards to go to the third and final step of the wizard.

2.6.1.3 The third step: image directory

In the third step you have to select the image directory of your project. If you followed the standard layout you just have to select the *img* directory in the project directory. Note that the file chooser dialog starts again in the previously configured project directory.

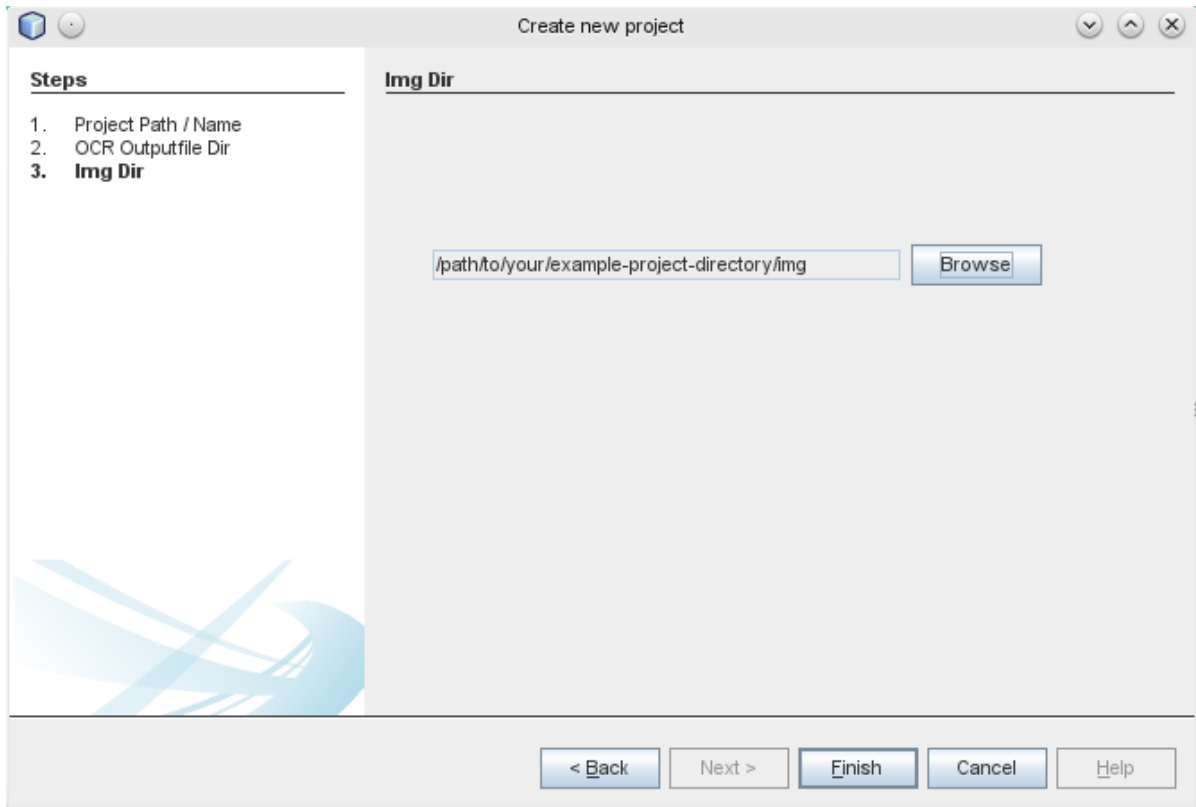


Figure 9: Third and last step of the *New Project wizard*

After you have selected the correct image directory just click the *Finish* button to create the new project. PoCoTo will import the XML/hOCR and image files, setup the configuration and database files and start to display the first page of your document. Depending on the size of your project (number of pages in your document) this can take some time.

2.6.2 Opening existing projects

Whenever you start PoCoTo, it will start without an opened project. In order to open an existing project you can either click on *File -> Recent Project* and select a recent project of yours or you can click to *File -> Open Project* to navigate to a PoCoTo project on your local directory tree.

In the latter case the file chooser dialog that opens up will just show you directories and *.ocrproject* files. Navigate to the respective project folder and select the *.ocrproject* file.

After you have selected an existing project, PoCoTo will load it and you can keep on correcting your project. All correction you already made to the project will be there¹⁰.

¹⁰If you have saved them before leaving PoCoTo.



Figure 10: The buttons of the tool bar menu from left to right: The save button, the undo and redo buttons, the page navigation buttons and the zooming buttons.

2.7 Basic navigation

In PoCoTo you can navigate through the pages of your documents by using the page navigation buttons in the toolbar area (1) (see figure 3 on page 7). You can go forward or backwards using the appropriate buttons, by one, five or ten pages at a time. You can also jump to the first or last page of the document. If you change to another page, both the main view area (2) and the complete image view area (3) will change accordingly. Note that if the navigation buttons are deactivated, you can click onto the main view area to activate them again.

You can navigate within the current page using either the scroll wheel of your mouse (while hovering over the main view area (2)) or using the scrollbars¹¹. You can also both increase and decrease the zooming factor of the image and the text size using the zooming buttons in the menu bar.

The main image view shows the reading order of the token of the currently selected page. For each token the token snippet on the image and the corresponding characters of the text recognition are shown. If you click on any token snippet in the main view area (2), the complete image area (3) is updated and the token in the context of the page is shown. The text of the selected token is marked red in the main image view (2).

2.8 Undoing and redoing changes

You can always undo your last recent changes by either clicking on the *undo* button in the tool bar menu or by selecting *Edit -> Undo* from the main menu. If you want to undo your last undo or if you want to repeat your last action, you can use the *redo* button or select *edit -> redo* to do so.

2.9 Saving your changes

In order to save your changes, you can either click the *save* button in the tool bar menu or select *File -> Save* from the main menu. If you save your changes the undo / redo information of PoCoTo is discarded. You cannot undo or redo anything after you have saved your recent changes.

2.10 Closing PoCoTo

You can close the application by selecting *File -> Exit* or clicking on the x in the upper right corner of PoCoTo's window. If you close PoCoTo it will ask you if you want it to save your last changes before exiting.

¹¹Remember that you can always increase or decrease the frame size of the different areas using your mouse.

3 Concordance view

Beside the normal page oriented view, PoCoTo has another facility to display the words of your document. This so called concordance view is able to list a concordance of tokens over all pages in your project's document.

PoCoTo can easily create a concordance view for you. This concordance view shows a list of similar tokens and their line context over the whole document. It can be used to examine error patterns and spelling variants or to see all equal words in the document. In the concordance view, the main area does not show the tokens of a page but a list of tokens over all pages in their line context. If you click on any token in the concordance view the complete image view will show the token in its page context as usual.

The concordance view is loaded as a new tab in the main area. You can use the tabs to switch between many different active concordance views simultaneously. In order to close a concordance view, you can click on the small x on the right side of the tab¹². This view also offers various buttons that can help you to batch-correct common errors at once (see the chapter [Batch error correction](#) for more information).

In order to create a concordance view of a specific token, select this token in the main view area (2). If the selected token has another occurrence somewhere in the document, you will see that the button *Show concordance* in the token actions area (5) is activated. If you click on this button, PoCoTo will calculate the concordance view of your selected token and display the concordance view in a new tab in the main area.

It is important to understand that the concordance view is able to simultaneously show tokens from different pages in the document. The complete image view shows a selected token in the context of the page where this token is found. So if you click on different tokens in the concordance view, different pages will be shown.

Of course it is possible to create another concordance view from an existing one. Just click on one token, and if there is more than one occurrence of the token in the whole document you can click again on the *Show concordance* button in the token action area (5) to open yet another concordance view in a new tab.

The other way to open a concordance view is to use the errors area (4) of PoCoTo. If you click on any of the listed words or spelling patterns, PoCoTo will create the corresponding concordance view of the misspelled token or of all tokens that presumably have a common spelling variation pattern. Note that the concordance view you get by clicking on a token in the main view area and by clicking a token in the OCR error tab in the error area are exactly the same. The OCR error tab just gives you an easier access to the most common errors in the document.

The main reason to use the concordance view is to correct a set of errors in the whole project at once. The next chapter [Error correction](#) explains in detail how you can use the concordance view to correct such an error series.

¹²Although the main page view is also a tab, you cannot close it.

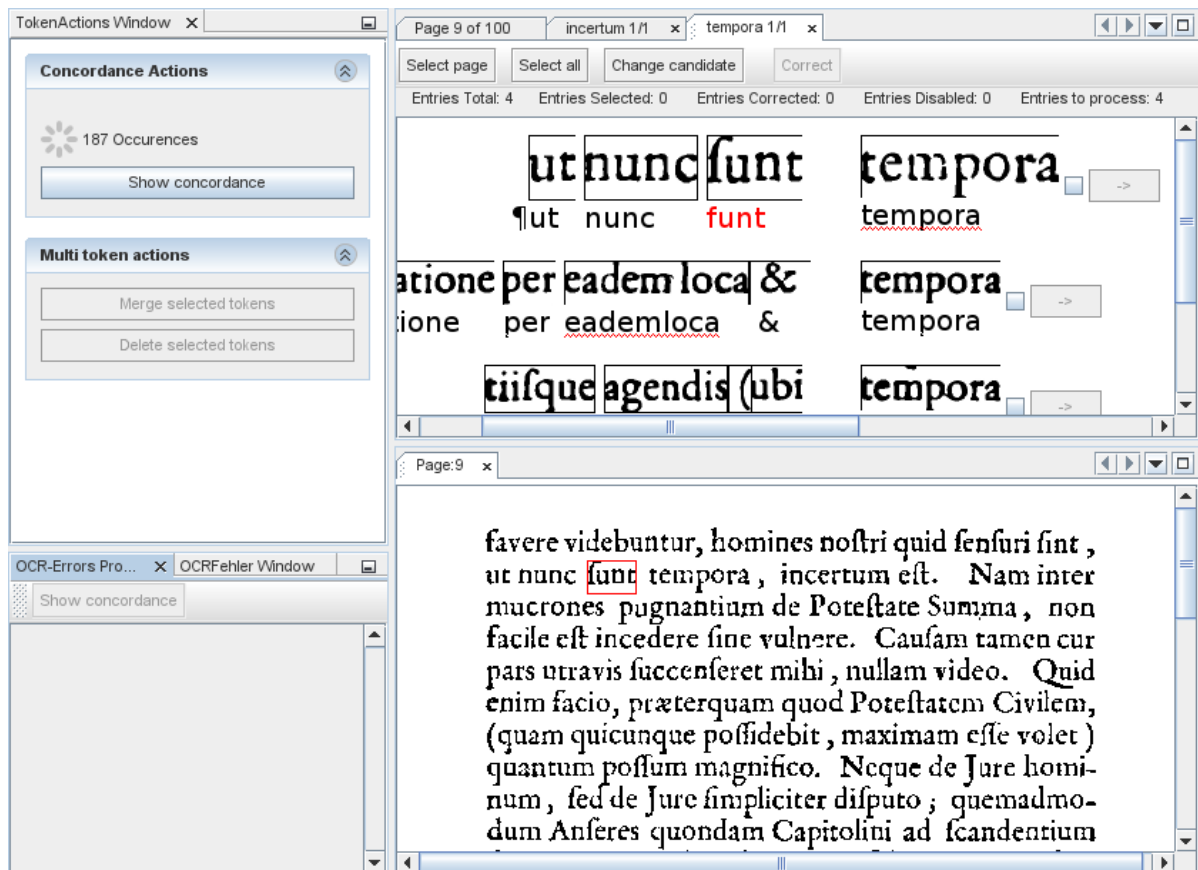


Figure 11: This image shows a concordance view of the word *tempora*. The currently selected token is *funt*. On the left side in the token actions area you can see that it is possible to create another concordance view of the 187 (presumably inaccurate) occurrences of the token *funt*.

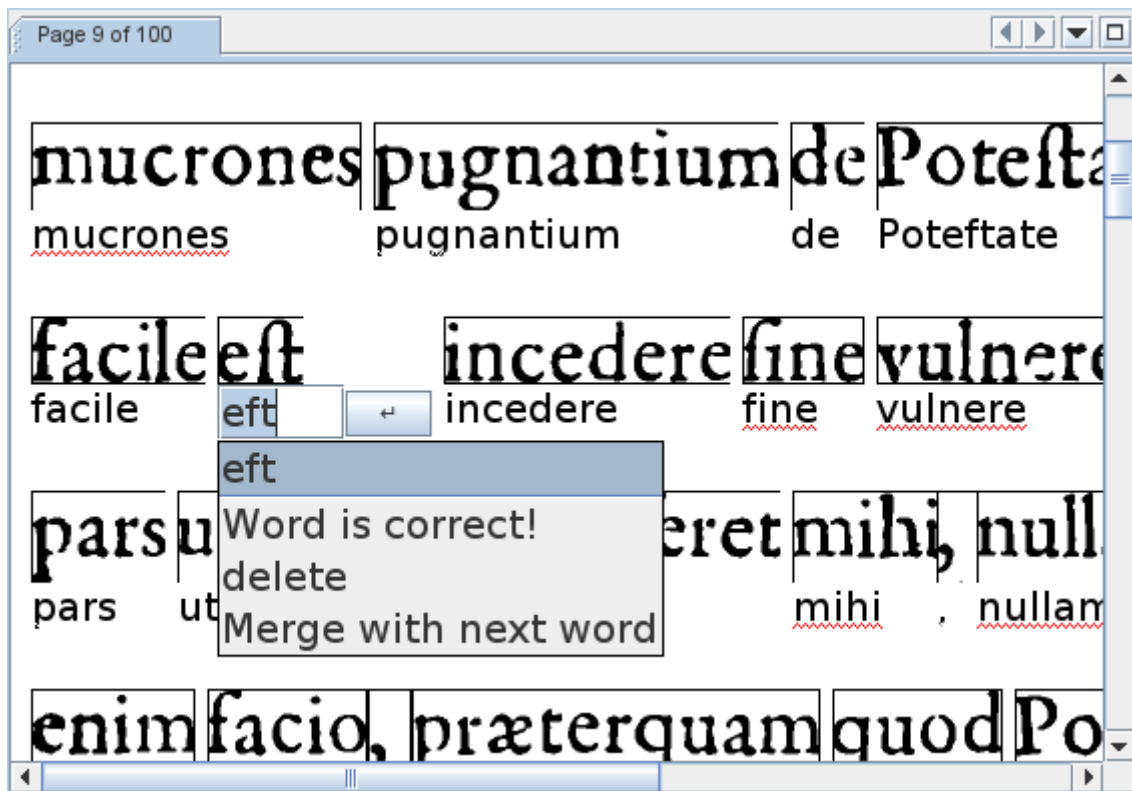


Figure 12: Correcting one word

4 Error correction

PoCoTo's main focus lies on the correction of OCR errors. It offers different methods that help the user to detect and correct various kinds of errors in the document. First of all the basic error correction approach is explained. More advanced error correction techniques will be explained later in this chapter.

4.1 Basic error correction

To correct a word, in the main view area (2) double click on the text or the snippet of the token you want to correct. This will open a context menu that you can use to correct the word.

You will have the following options in the context menu:

- You can mark the word as correct. This will remove this token from the Error overview. It will not be displayed in the error correction overview any more. PoCoTo will mark this token with a green underline.
- You can delete the token. This will remove the token from the document.
- You can merge the token with its right neighbor. This will combine the two tokens to one. This option is only available if the selected token has a right neighbor on its line (see the next chapter [Splitting and merging of tokens](#)).

- You can type in the correction of the token. Just start to type the correct word on the keyboard and the text of the token will be updated accordingly. Hit the enter key or click on the button with the return key symbol at the right of the text input field when you are done. If you have entered any whitespace, the token will be split at the whitespace positions (set the next section [Splitting and merging of tokens](#)).
- If you already have profiled the document, as it is explained in the chapter [Profiler], you will see an additional list of correction suggestions. You can select either of them to correct the word accordingly.

4.2 Splitting and merging of tokens

Sometimes the recognition of your OCR engine does not properly recognize token bounds. Either it recognizes two or more tokens, where there should be only one or it recognizes one token that consists of two or more real tokens. In the first case you have to split a token into several. In the second case you have to merge two or more tokens together to one.

PoCoTo supports both operations even on multiple tokens. Note that both merging and splitting of tokens only works for tokens on *the same line*. It is not possible to merge tokens over line or page boundaries and it is not possible to merge words that have been split over a line and are not recognized as a connected token by the OCR engine.

In the previous section you already saw how you can merge and split tokens. If you double click on a token, you can select *Merge with next word* to merge the current token with the next one. If you want to split a token, just insert a literal whitespace character when you update the text of the token. In this case PoCoTo calculates the split positions automatically. That way you can split a token into as many parts as you like.

It is also possible to merge more than one token. Just draw a rectangle over all tokens you want to select¹³. All tokens of your selection are colored and you can now either use the button *Merge selected tokens* in the token action area (5) to merge these tokens, or you can delete all of them, by clicking the *Delete selected tokens* button.

¹³Click once around a token and then draw the mouse pointer to the right or left. You will see a blue rectangle, that you can use to select multiple tokens.

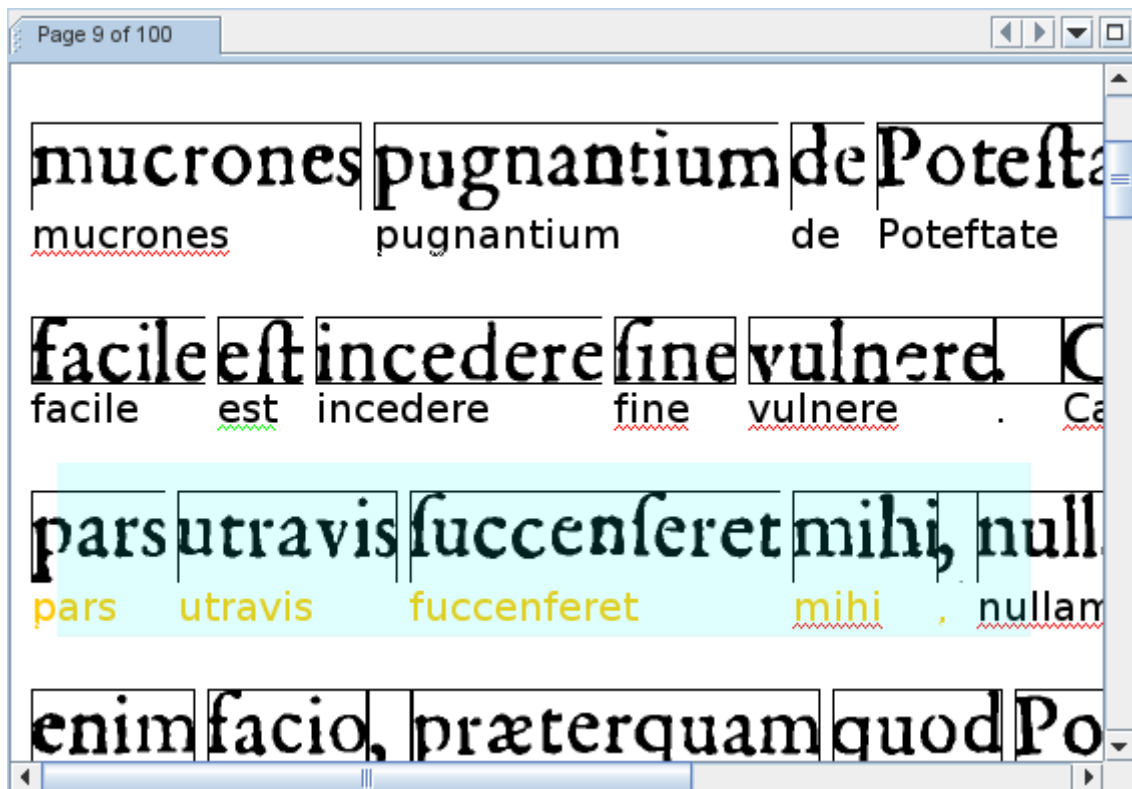


Figure 13: Selecting multiple tokens

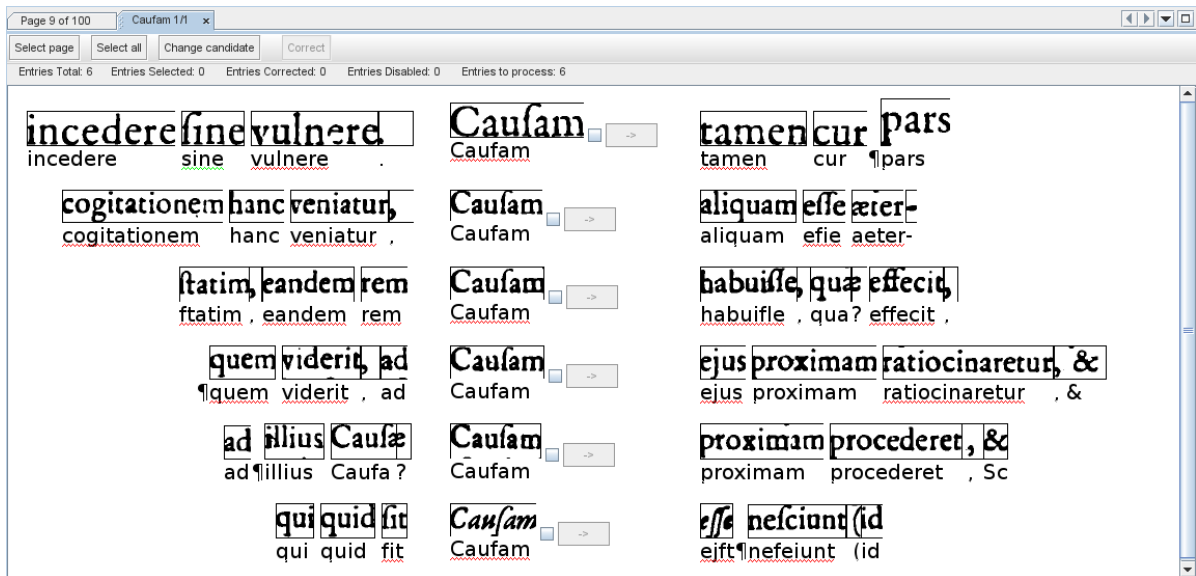


Figure 14: Batch correcting multiple tokens: Click on *Select all* to select all tokens in the concordance view.

4.3 Batch error correction

PoCoTo also offers *batch error correction*. This helps you to correct not only single errors, but a set of similar error patterns at once. Needless to say that if you correct a whole set of tokens at once you should be extra cautious before you apply the corrections to all of them. Always check that all of the selected tokens need the same kind of correction.

The batch error correction works in close cooperation with the concordance view (see the chapter [Concordance view](#)). If you want to batch correct some tokens, first open a concordance view. In this view you will notice some buttons. First of all there are the main operation buttons on the top of the view. Then there are check boxes on each token of the concordance. You can either activate the check boxes on the tokens to select specific tokens or you can use the *Select all* button to select all tokens in the concordance view.

To change the text of the selected tokens, you can click on the *Change candidate* button to set the new, updated text. A small window will open where you can enter your correction. To apply the change, click *Ok* in the window and finally click the *Correct* button. PoCoTo will now update all selected tokens. Do not forget to save your changes afterward (see section [Saving your changes](#)).

If you created the concordance view using the profiler error window (see chapter [Concordance view](#)) or if you have successfully run the profiler service over your project (see the chapter [Profiler](#)), you will notice default correction candidates. If you like those you can directly use these correction candidates without manually entering the correction as described in the previous paragraph.

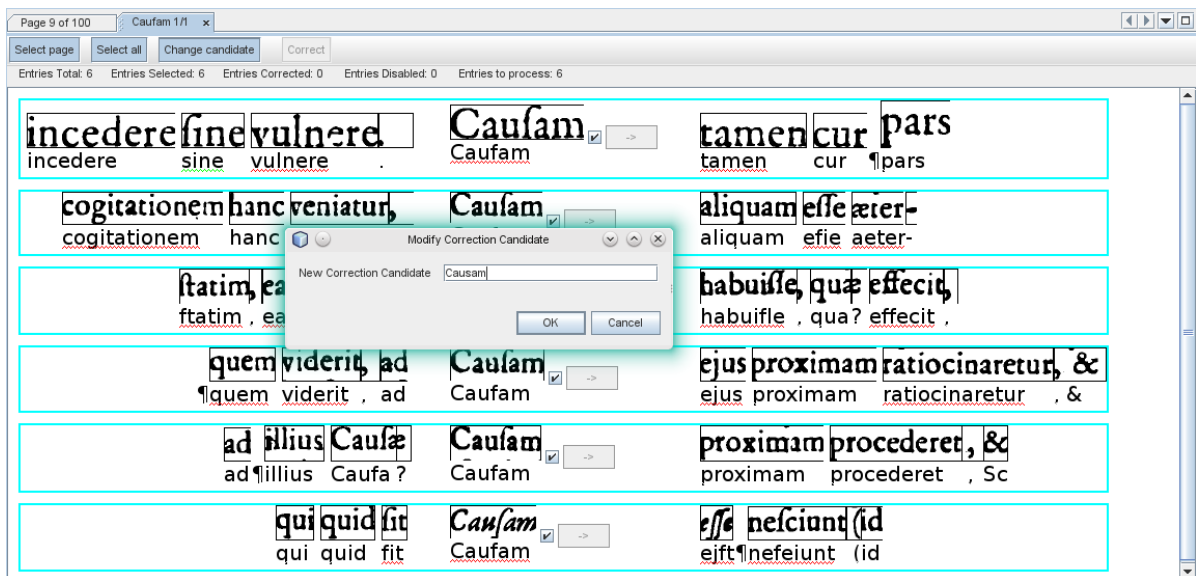


Figure 15: Batch correcting multiple tokens: Click on *Change candidate* to enter the correct text *Causam* (or *Caufam*) for the incorrect OCR result *Causam*.

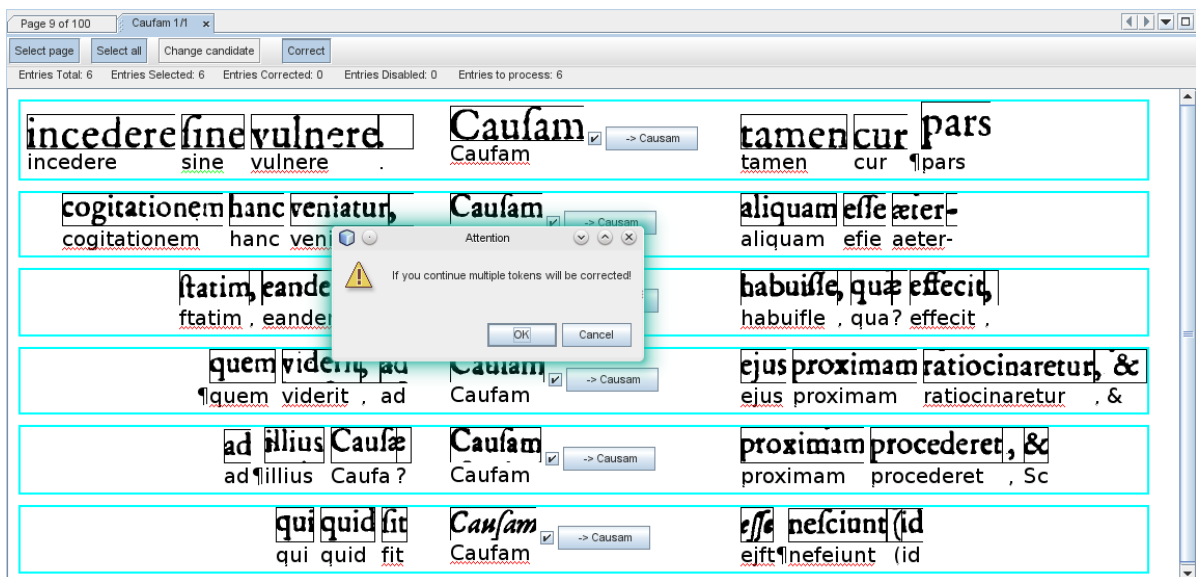


Figure 16: Batch correcting multiple tokens: Click on *Correct* to apply the correction to all tokens. (PoCoTo will ask if you are sure before the changes are applied).

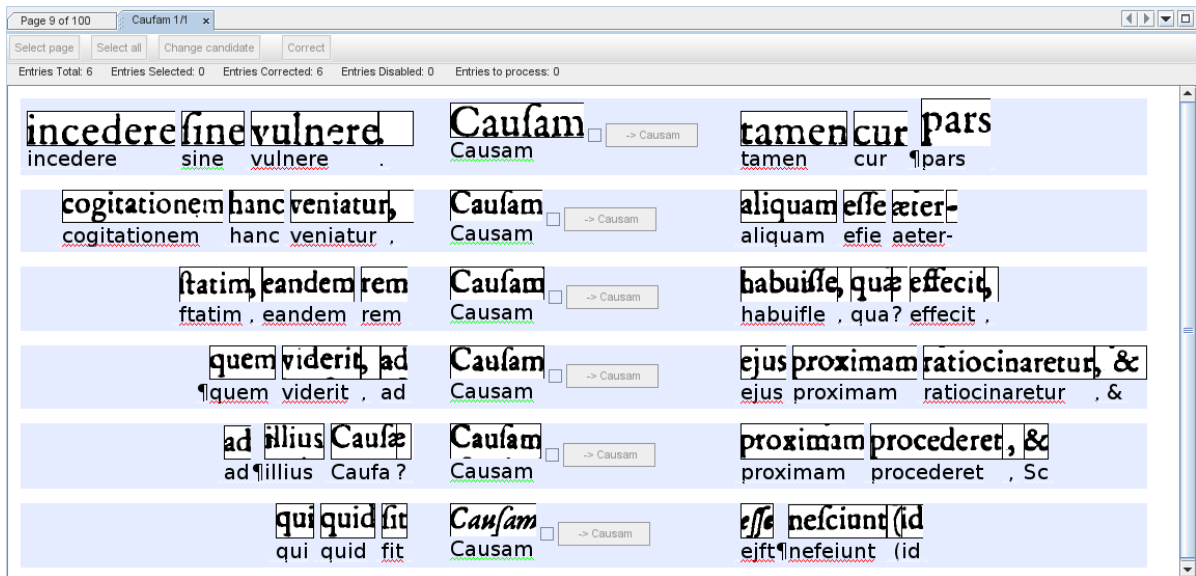


Figure 17: Batch correcting multiple tokens: After the batch correction all selected tokens have been corrected.

5 Profiler Service

As mentioned on various occasions in this manual, PoCoTo can utilize a specialized profiler service for your OCR'd pages. This service calculates language dependent statistical hypotheses for OCR errors and historical spellings based on your OCR'd document. Using this information, PoCoTo will present you a list of misspelled words together with correction candidates to choose from, as well as an overview of error patterns by which wrongly recognized words can be transformed into valid lexical words (see Fig. 18).

In order to get such a profile there must exist a profiler service for your language, and you must either have access to a profiler web service that provides such service over the web or (experts only) have installed language resources together with the profiler software on your system.

For more information about the profiler web service (and how you can install it locally) see the [profiler manual](#).

5.1 Profiling using the profiler web service

The easiest method to get a language aware OCR document error profile for your OCR'd document is to use an existing profiler web service. The profiler web service offers the language and OCR result specific profiles over the Internet and makes it possible for you to get the results without installing any additional tools.

5.1.1 Configuring the profiler web service URL

In order to use the profiler web service you have to configure a valid service URL. This URL is the connection point to a running instance of the profiler web service. If you want more

| Error Pattern | Count |
|---------------|-------|
| s --> f | 3140 |
| ct --> d | 356 |
| s --> l | 305 |
| ic --> f | 226 |
| l --> f | 166 |
| e --> i | 132 |
| m --> ra | 131 |
| xo --> f | 126 |
| i --> ef | 119 |
| S --> f | 91 |
| s --> t | 91 |
| e --> c | 87 |
| n --> f | 82 |
| i --> t | 79 |
| t --> c | 65 |
| t --> f | 63 |
| s --> j | 57 |

Figure 18: Error patterns for a (Latin) document

information about the profiler web service, take a look into the [profiler manual](#) on how to configure and deploy the web service. CIS offers an open profiler web service for various languages. If you want to use it, you have to use the following URL for the configuration: <http://langprofiler.informatik.uni-leipzig.de/axis2/services/ProfilerWebService>. You can use any valid URL for a running instance of the web service.

To configure the URL of the web service, click to Tools -> Options and open the *Profiler* tab in the window that opens up. Enter a profiler web service URL into the input field and click *Ok*.

5.1.2 Ordering a document profile

In order to profile your project you have to order a language dependent document profile from the web service. Click to Profiler -> Order document profile. PoCoTo will connect to the web service and retrieve a list of available languages on the server. Select the language you want to use and click *Ok*. A warning will appear that informs you that the profiling will take a long time to finish. Click again on *Ok* in order to start the profiling.

As the notification states, the profiling will take a while. It must upload your project onto the server, run the profiler with the appropriate language settings on your files and download and import the result back into your project. After the profiling has finished you will get a notification informing you that the profiling has finished.

5.2 Experts only: Using a local profiler

If you have installed the profiler command line tool on your system and have appropriate language resources available, you can locally profile your exported project on the command line and re-import it into PoCoTo. If you want to do this, you should have a good understanding on the usage of command line tools and have read the [profiler manual](#) on how to install the profiler and generate an error profile for your OCR'd document locally. In the remainder of this section it is assumed that you have installed the profiler and that you have access to appropriate language resources¹⁴. Make also sure that you have read and understood the profiler manual on how to use the profiler command line tool. It is no problem to skip this section, since you can still use the profiler web service from PoCoTo as described in the previous section [Profiling using the profiler web service](#).

5.2.1 Exporting the project

In order to profile your project, you have to *export* it as a OCRCXML file. This format is used to communicate between PoCoTo and the profiler. Click to File -> Export as DocXML, define a place and a filename to export to and then export your project as an DocXML formatted file. This exported DocXML file will be the input file for the profiler command line tool in the next step.

5.2.2 Calculating an error profile for your OCR'd document

After exporting your project, open a command line and call the profiler with the appropriate command line options. Use the following command to profile the project file you just exported in the previous step¹⁵:

```
$ profiler --config path/to/language/profile/lang.ini \
  --sourceFile pocoto_export.ocrcxml \
  --sourceFormat DocXML \
  --out_xml profile.xml \
  --out_doc pocoto_import.ocrcxml
```

The first command line option for the profiler is the language configuration file of the language you want to use on your file. You have to specify the path to your exported project file with the `--sourceFile` switch and set the `--sourceFormat` to `DocXML`. The profiler will generate two output files that you will need to import into PoCoTo to make the profile of your document available for correction purposes.

The general project file with the appropriate correction candidates for misspelled words must be specified with the `--out_doc` switch. In order to get an overview over the encountered OCR correction patterns, you need to specify the path of the profile with the `--out_xml` command line switch. Remember the names of those two output files for the next step.

¹⁴including the `.ini` file for the language configuration, the language dictionaries, a historical pattern file and the initial pattern frequencies and weights.

¹⁵Make sure that you adjust the paths of the files according to your requirements.

5.2.3 Importing the error profile for your OCRred document

As the final step, you have to import the two output files of the profiler into PoCoTo.

Just click on File -> Import from DocXML. You will be asked to specify two files in order. Select the output file you specified with the `--out_doc` switch in the previous step first. Afterwards specify the profile file you generated with the `--out_xml` switch.

PoCoTo should start to import the profile. Be patient, this can take some time. After the import is finished you have an error profile for your OCRred document ready as if you would have ordered one from a profiler web service.

5.3 The error profile for your OCRred document

After you have equipped yourself with an error profile for your OCRred document using any of the two previously described methods, PoCoTo now has some additional features available that will help you to correct misspelled words. Be aware though, that the profile is not always correct. The corrections are suggestions and often there is more than one feasible correction candidate. Whenever you want to apply corrections in batch mode for a whole pattern series, be sure that you do not accidentally alter valid words.

5.3.1 OCR Profiler error patterns

In the error area (3) of PoCoTo there is a tab that shows you a list of common error patterns and the frequency of their occurrence. These error patterns show common OCR errors – like confusing the letter *e* with the letter *c* – in the form *correct letter(s) -> incorrect letter(s)*, as *e -> c* in our example.

Historical spelling patterns like the older German Thür for Tür are left alone even if Thür doesn't appear in the modern lexicon, because it can be traced back to the modern form by the historical pattern *th -> t* which is part of the provided language resources from which the error profile gets calculated.

You can click onto any of those error patterns to create a concordance view of all the words that supposedly feature such a pattern and that can be corrected to valid dictionary entries using the respective pattern rule (see Fig. 20 for the pattern *ct -> d*). Just proceed to batch correct those errors as described in the section [Batch error correction](#).

5.3.2 Correction candidates

The profiling also generates a list of correction candidates for any suspicious words in your project. Whenever you try to correct a token as described in the section [Basic error correction](#), you will get an additional list of correction candidates in the sub-menu. You can always choose one of these correction candidates by clicking on it. The chosen token will be corrected and the text of the token will be updated to the suggested correction candidate.

The correction candidates of the language and document dependent error profile also play an important role in the concordance view (see chapter [Concordance view](#)) and the batch correction of misspelled words (see section [Batch error correction](#)).

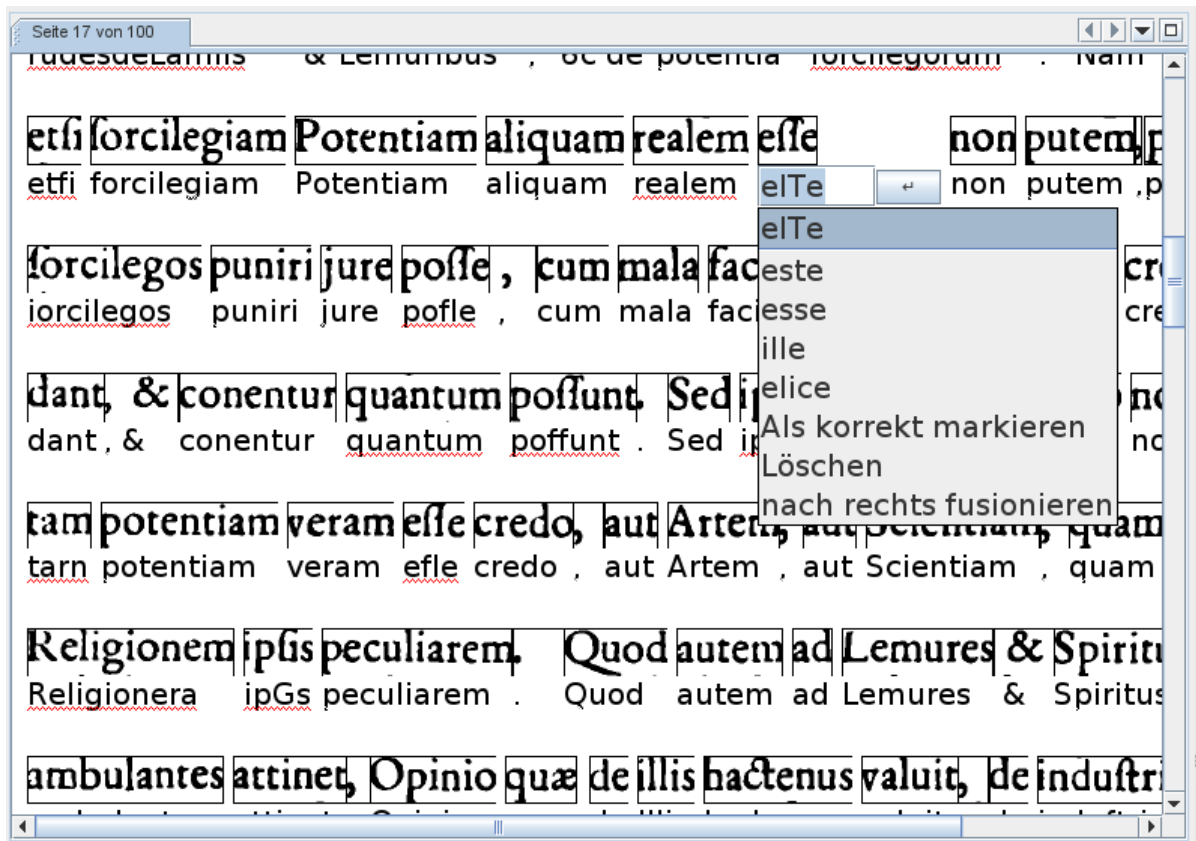


Figure 19: Correction suggestions for correcting single words

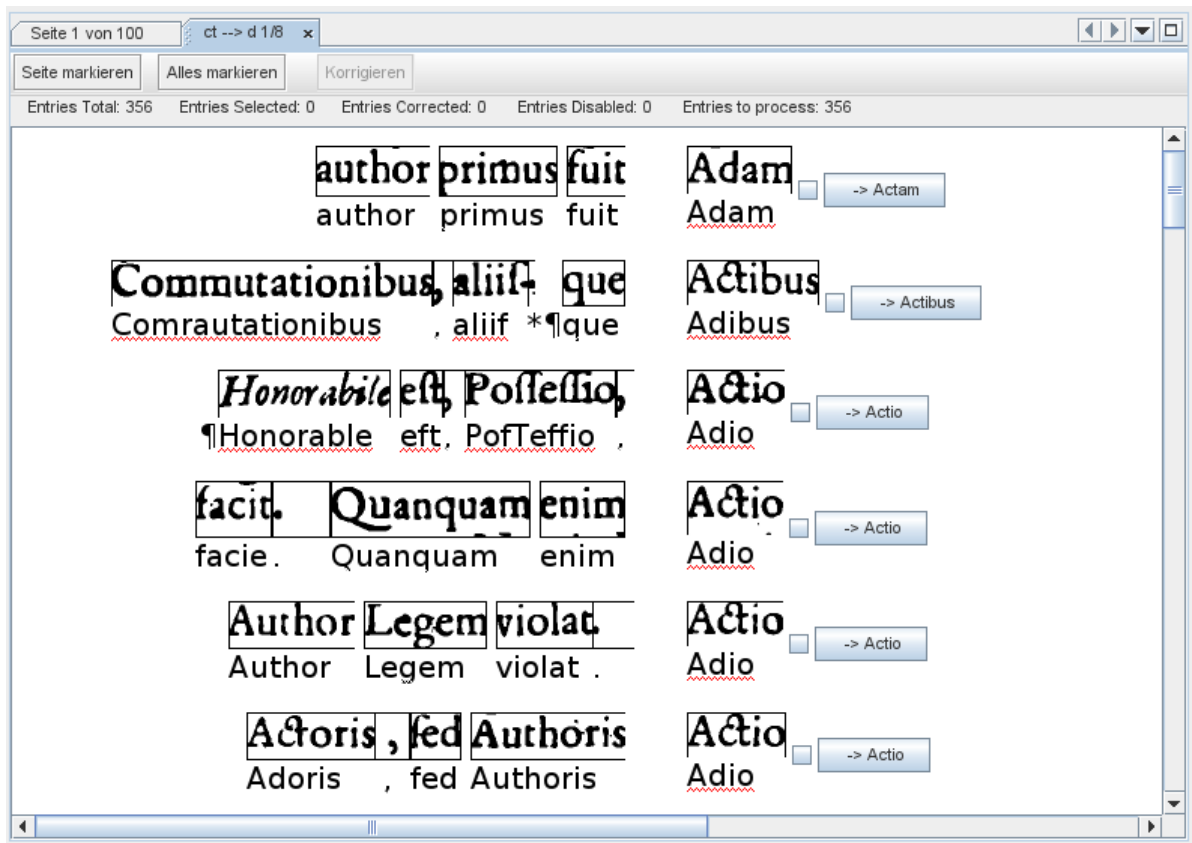


Figure 20: Correction suggestions in the concordance view

After you have profiled your project, any concordance view, no matter if it comes from a profiler error pattern or the concordance of similar words, now has a button where you can select correction candidates automatically. It is also possible to batch correct all misspelled words using their default correction suggestion without the need to manually insert the correction.

This does not only apply to similar words, but also to words with similar error patterns. Consider the German *long s*. If your OCR engine does not know this character, it will very likely recognize every occurrence of this character as an *f*. If you would profile such a document, the profiler would give most likely a pattern rule *f -> s*. If you click on this pattern you will get a list of all occurrences where the profiler thinks that such an error has occurred¹⁶. You can now simply select all words where the application of this pattern would actually correct the word and apply the correction to all selected words. In this example all selected instances of *ift* would be corrected to *ist*, whereas all selected instances of *fo* would be corrected to *so* and so on. You do not have to manually correct these words – PoCoTo is able to apply the correction automatically.

¹⁶That means all the words that could be mapped to a valid (modern) dictionary entry by the application of the *f -> s* pattern rule to the word.

5.4 Unicode Normalization Format and the profiler

If you intend to use the PoCoTo with the profiler you need to make sure that the normalization format of the input files for PoCoTo match those used to construct the profiler. PoCoTo, the profiler and the ProfilerWebService currently lack any mechanism to detect different normalization formats or convert between them automatically.

6 Exporting and importing

PoCoTo has some facilities to import and export files. As you have seen in the chapter [Using a local profiler], you can import and export your projects¹⁷ using PoCoTo's internal OCRXML file format. Although this format is based on the formatting of Abbyy XML files, there are no tools available for using these files directly. You can only use this importing and exporting facility to share your PoCoTo project files.

If you want to export your project in this format, click on File -> Export -> as DocXML and select a file name. Later you can import this project back into PoCoTo. Click to File -> Open Project and select an OCRXML export file to import into PoCoTo.

You can also export your project as plain text files. Click to file -> Export -> as page separated plain text, or File -> Export -> plain text file. The former exports all pages of your project¹⁸ as a single text file. The latter exports each page as a separate file into a directory that you can specify.

6.1 Exporting to your original input format

The newest version of PoCoTo is now able to align your corrections with your input xml files. You can therefore export your correction from PoCoTo back into your original files. You can either overwrite your existing files or create new ones.

In order to export your files, go to File -> Export -> export project and select your export directory. If you do not want PoCoTo to overwrite your original input files, choose another directory than your xml input directory.

6.2 Importing TEI files into your ocr project

PoCoTo is able to import [TEI](#) formatted xml files. It is not possible to create a PoCoTo project from TEI files, since normal TEI files do not save information about the bounding boxes of the characters in the document.

What you can do is, to import your existing TEI file into an ocr project and inject the corrections of your TEI file into the recognized text of the ocr engine. Since PoCoTo aligns the documents on a page level, make sure that your project contains *exactly the same number* of pages as your TEI file. PoCoTo will fail if the TEI file contains more or less pages than the ocr project, even if these pages

¹⁷Including any error profiles for your OCRd documents

¹⁸Including your corrections, of course

are empty. PoCoTo assumes that one TEI file contains the whole document of the project. It is not possible to import more than one TEI file into PoCoTo.

To import a TEI file, make sure that you have opened the right project and go to **File -> Import -> Import from TEI** and select the respective TEI file. PoCoTo will now align the content of the TEI file with your ocr data.

7 Updating the application

PoCoTo has the capability to automatically update itself. This means that any stable development of PoCoTo can be automatically distributed to all active users of PoCoTo.

If you start up PoCoTo, and if there are any update available, you will see a notification about available updates in the lower left corner of the window. You can click to *Update* to apply those updates to the application. Depending on the update, PoCoTo may need to be restarted afterwards.

You have to enable this update mechanism manually. Click on **Tools -> Plugins** to open the plugins configuration window. In this window open the **settings** tab and click to the *Add* button. A window will open where you can enter a name associated with the update URL and the update URL itself. You can choose any name you like for the update name. For the update URL, use one of the two following update URLs of PoCoTo.

PoCoTo is currently updated with two different URLs:

1. <http://www.cis.lmu.de/ocrworkshop/pocoto/updates/stable>
2. <http://www.cis.lmu.de/ocrworkshop/pocoto/updates/testing>

The first URL provides all stable updates of PoCoTo. If you want to get the latest features which are not yet tested very well, you could also set the update URL to the second testing URL. If you do so you should manage some backups of your projects, since these testing features could break your project files.

8 PoCoTo's private files

8.1 Cache

PoCoTo uses a private cache that stores the different configuration parameters in order speed up the application's startup. Sometimes this cache can tamper with your private settings after you have either downloaded a new version of PoCoTo or after you have updated it (see the previous chapter [Updating the application](#)).

If you suspect a problem with the cache you can try to delete PoCoTo's private directory `.ocrcorrection` in your user's home directory. Be aware that PoCoTo's log file resides in this private directory as well (see the next section [Log files](#)). So make sure to copy it before you delete the directory.

8.2 Log files

PoCoTo writes warnings, errors, and general debugging information to a log file. If you encounter any errors in PoCoTo you should check this log file first. This file can also help developers to find and fix potential bugs. In the case that you find a bug you can send an email with the description of the bug and your log file to a developer of PoCoTo (finkf@cis.lmu.de).

PoCoTo's log file can be found in PoCoTo's private directory (see the previous section [Cache](#)) in your basic user directory `.ocrcorrection/dev/pocoto.log`.

9 References

Vobl, Thorsten, Annette Gotscharek, Uli Reffle, Christoph Ringlstetter, and Klaus U. Schulz. 2014. "PoCoTo - an Open Source System for Efficient Interactive Postcorrection of OCR'd Historical Texts." In *Proceedings of the First International Conference on Digital Access to Textual Cultural Heritage*, 57–61. DATECH '14. New York, NY, USA: ACM. doi:<http://doi.org/10.1145/2595188.2595197>.