



Authenticated Encryption

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1

Secrecy and integrity

- We have primitives for secrecy and integrity
 - Secrecy: ciphers
 - Integrity: MAC
- What if we wish to achieve secrecy and integrity at the same time?

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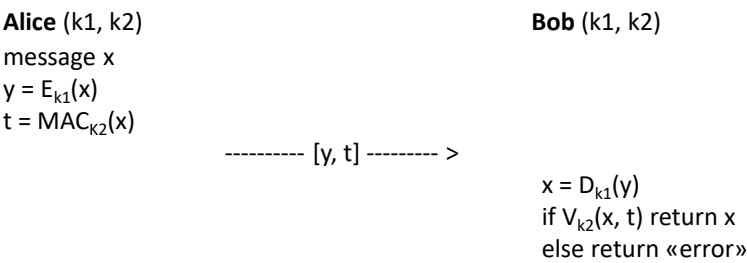
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2

2

Encrypt and authenticate (E&M)

- Alice and Bob want to achieve both confidentiality and integrity



3

Is it secure?

- The tag t might leak information about x
 - Nothing in the definition of security for a MAC implies that it hides information about x
- If the MAC is deterministic (e.g., CBC-MAC and HMAC), then it leaks whether the same message is encrypted twice

4

Encrypt then authenticate

- Alice and Bob want to achieve confidentiality and integrity

Alice (k_1, k_2)
 x
 $y = E_{k_1}(x)$
 $t = \text{MAC}_{k_2}(y)$

Bob (k_1, k_2)

----- $[y, t]$ --->

if ($V_{k_2}(y, t)$) return ($x = D_{k_1}(y)$)
else return "error"

5

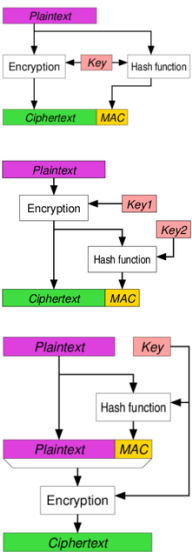
Security of encrypt then authenticate

- It can be proved that if Enc is CPA-secure and MAC is secure then:
 - The combination is CPA-secure (encryption must be randomized)
 - The combination is a secure MAC

6

Three different approaches

- Encrypt and MAC (E&M)
 - Discouraged
 - SSH
- Encrypt then MAC (EtM)
 - Always correct
 - Ipsec
- MAC then Encrypt (MtE)
 - correctness depends on Enc-MAC combinations
 - TLS/SSL



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Authenticated Encryption

- Most of applications require *message privacy* and *message authentication*
- Combining privacy and authentication is a challenging task that is rarely done *securely* with *ad-hoc* constructions
- Authenticated Encryption (AE) are *encryption modes* which simultaneously assure the confidentiality and authenticity of data.

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AE APIs

Encryption

```
graph LR; PA[P, A] --> EK[E_K]; EK --> CT[C, T]
```

Decryption

```
graph LR; CTA[C, T, A] --> DK[D_K]; DK --> P_err[P, error code]
```

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11

11

Authenticated Encryption with Associated Data (AEAD)

- AEAD allows checking the integrity of both the encrypted and unencrypted information in a message.
 - E.g., network packets or frames where the header needs visibility, the payload needs confidentiality, and both need integrity and authenticity.

```
graph LR; subgraph AEAD [Authenticated Encryption with Associated Data]; direction LR; AD[associated data]; ED[encrypted data]; end; integrity --- AEAD; confidentiality --- ED
```

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12

12

Standards and associated data

- NIST
 - CCM: CBC-MAC then CTR mode encryption
 - 802.11i
 - GCM: CTR mode encryption then MAC
 - Very efficient
- IETF
 - EAX: CTR mode encryption than OMAC
- NIST and IETF standards support AEAD

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13

13

Cipher Block Chaining Message Authentication Code (CCM)

- NIST SP 800-38C
- For IEEE 802.11 WiFi
- AES-CTR and CMAC
- Single key K

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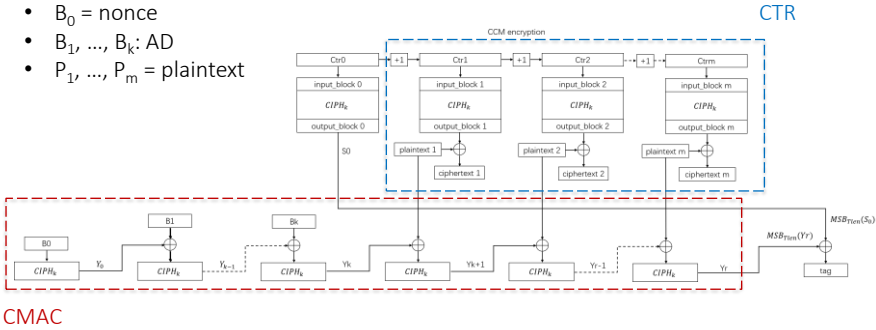
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14

14

CCM – encryption flow chart

- B_0 = nonce
- B_1, \dots, B_k : AD
- P_1, \dots, P_m = plaintext



15

CCM - drawbacks

- CCM is quite complex: it requires two passes through the plaintext

16

Galois Counter Mode (GCM)

- GCM is an encryption mode which also computes a MAC
 - Confidentiality and authenticity
- GCM protects
 - Confidentiality of a plaintext x
 - Authenticity of plaintext x and
 - Authenticity of AAD which is left in the clear

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17

17

GCM - main components

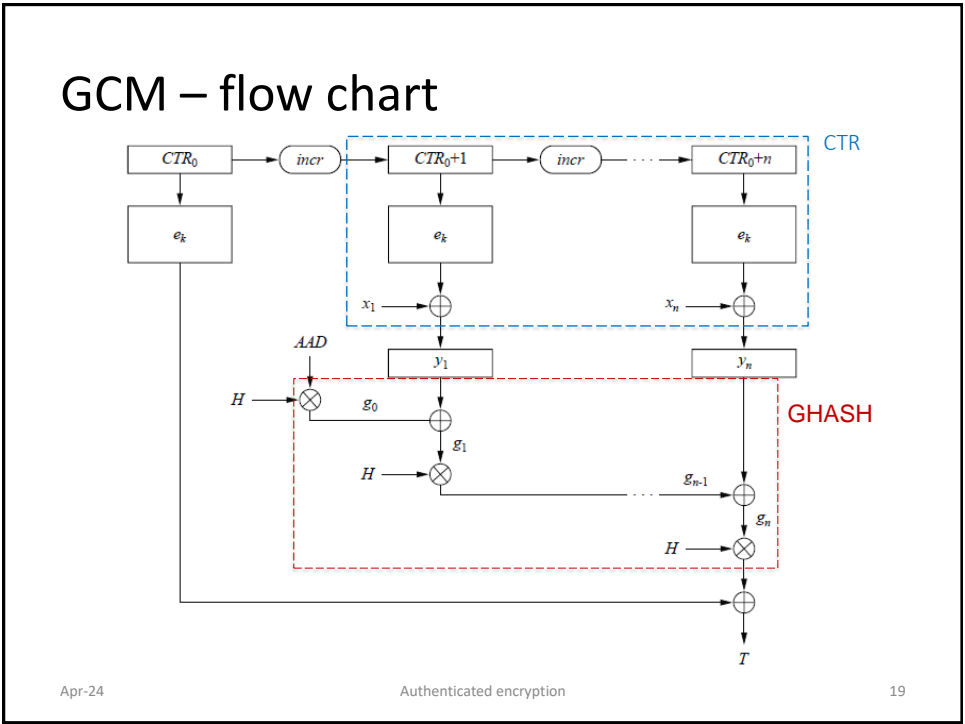
- Cipher in the Counter Mode (CTR)
 - Confidentiality
 - Block size: 128 bit (e.g., AES-128)
- Galois field multiplication
 - Authentication
 - GMAC
 - Based on GHASH which exploits multiplication in $GF(2^{128})$
 - Irreducible polynomial $P(x) = x^{128} + x^7 + x^2 + x + 1$
 - Easy and efficient in HW

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18

18



19

GCM - advantage

- Assume that AAD and ciphertext constitute a sequence of blocks $X = X_1, X_2, \dots, X_m$
- GHASH(X, H)
 - $Y_0 = 0^{128}$
 - $Y_i = (Y_{i-1} \oplus X_i) \cdot H$ which can be re-written as
 - $(X_1 \cdot H^m) \oplus (X_2 \cdot H^{m-1}) \oplus \dots \oplus (X_{m-1} \cdot H^2) \oplus (X_m \cdot H^1)$
 - H^2, H^3, \dots, H^m can be *precomputed*
 - X_i 's can be processed *in parallel*

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20

20