# **COMP9120**

Week 8: Database Application Development

Semester 2, 2016

(Ramakrishnan/Gehrke – Chapter 6; 7.5

Kifer/Bernstein/Lewis - Chapter 8;

Ullman/Widom - Chapter 9)



Based on material by Dr. Bryn Jeffries And Dr. Uwe Röhm





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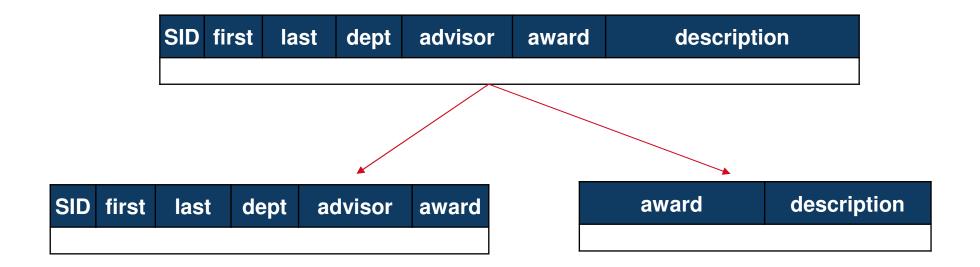
SID	first	last	dept	advisor	award	description
1234	Homer	Simpson	IT	Codd	Rejected	Work not deemed sufficient
3456	Albert	Einstein	IT	Boyce	Conditional	Accepted with minor corrections
3456	Albert	Einstein	Physics	Newton	Accepted	Accepted with no corrections
7546	Alan	Turing	IT	Codd	Accepted	Accepted with no corrections
4879	Brian	Cox	Physics	Newton	Conditional	Accepted with minor corrections
4879	Brian	Cox	Media	Attenborough	Accepted	Accepted with no corrections

 $sid \rightarrow first$ , last

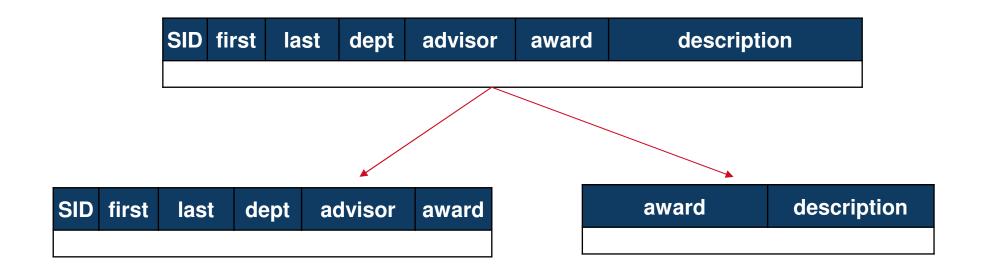
advisor → dept

 $award \rightarrow description$ 

SID	first	last	dept	advisor	award	description



award → description



**Dependency Preserving Property?** 

sid → first, last

advisor → dept

award → description



# Reflexivity Rule



1. Reflexivity rule: If 
$$Y \subseteq X$$
, then  $X \to Y$ 

$$A, B \rightarrow A$$

$$\mathsf{A},\,\mathsf{B}\to\mathsf{B}$$

$$A, B \rightarrow A, B$$

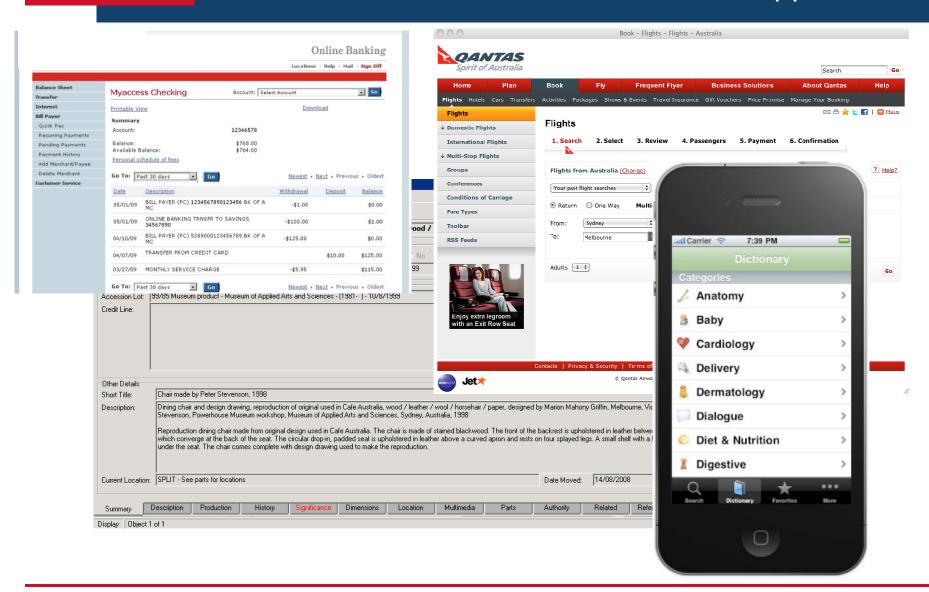




- > Keywords
  - Cursors
  - Prepared Statement
  - Stored Procedure
  - SQL Injection
- Understanding (within a DB application API)
  - NULL handling
  - Error handling
- Skills
  - Write application code (e.g. Java functions with JDBC) to interact with a database
  - Identify and avoid major security flaws in client code
  - Write stored procedures and call from client code



### **Database Applications**





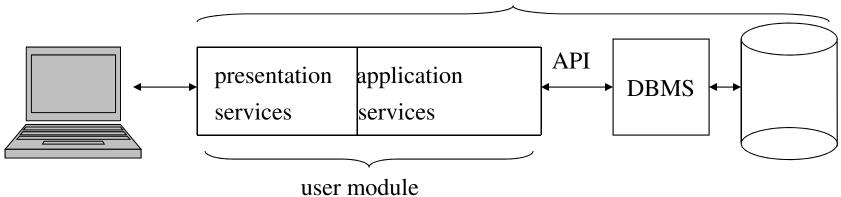
### Interactive vs. Non-Interactive SQL

- Interactive SQL: SQL statements input from terminal; DBMS outputs to screen
  - Inadequate for most uses
    - It may be necessary to process the data before output
    - Amount of data returned not known in advance
    - SQL has very limited expressive power (not Turing-complete)
- Non-interactive SQL: SQL statements are included in an application program written in a host language, like C, Java, Python, PHP
- Client-side vs. Server-side application development
  - Server-side: Stored Procedures and Triggers



# 1-Tier Architecture: Centralized System

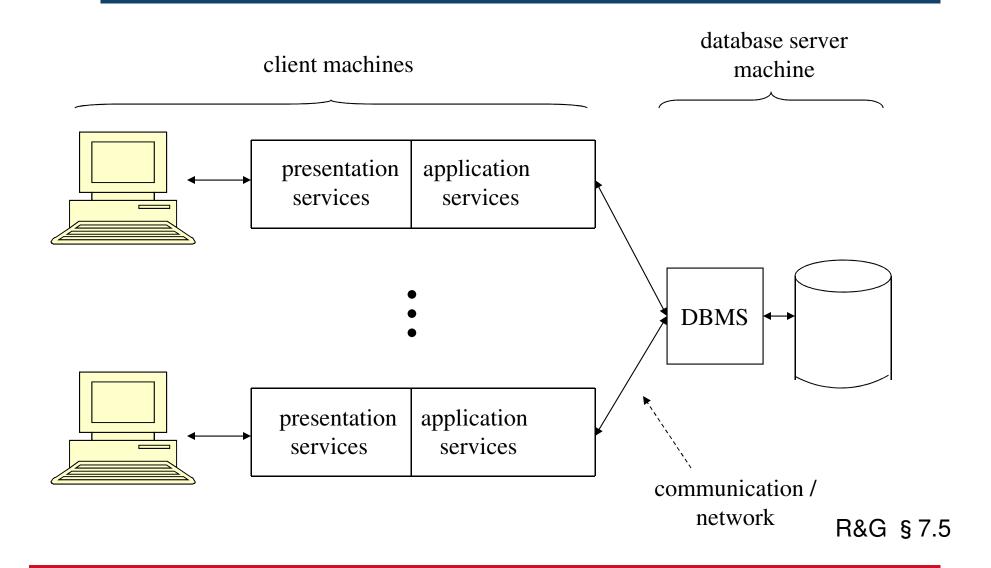
### centralized system



- Presentation Services displays forms, handles flow of information to/from screen
- Application Services implements user request, interacts with DBMS
- DBMS handles user requests retrieves and returns results of queries or handles user requests for inserts/updates/deletes of data.
- Examples: Any application with integrated DB
  - MS Access systems,
  - SQLite, esp. Smartphone apps

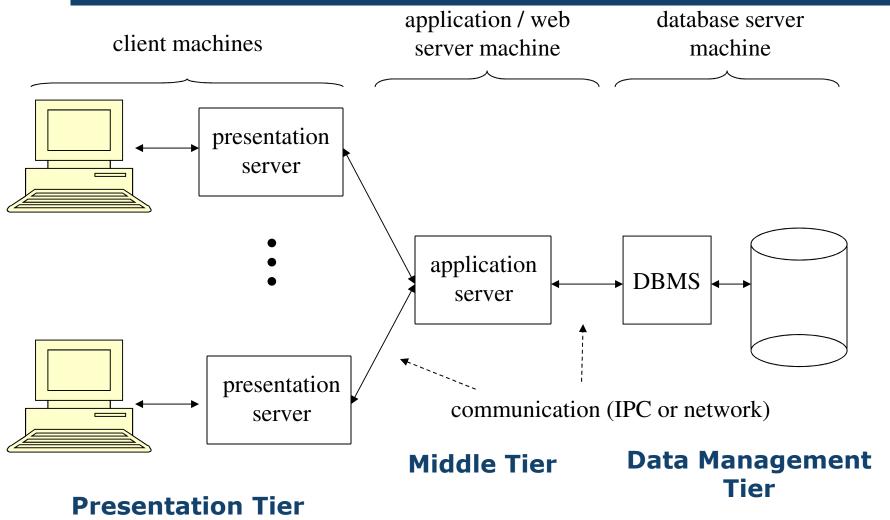


# 2-Tier Architecture: Client - Server Model





### Three-Tiered Architecture



R&G § 7.5



## SQL in Application Code

- SQL commands can be called from within a host language (e.g., C++, Java, PHP, Python) program.
  - ➤ SQL statements can refer to host variables (including special variables used to return status).
  - Must include a statement to connect to the right database.
- Two main integration approaches:
  - Statement-level interface (SLI)
    - Embed SQL in the host language (Embedded SQL in C, SQLJ)
    - Application program is a mixture of host language statements and SQL statements and directives
  - ► Call-level interface (CLI)
    - Create special API to call SQL commands (ODBC, JDBC, PHP-PDO, etc.)
    - SQL statements are passed as arguments to host language (library)
       procedures / APIs
       R&G § 6.1



### Template Java function

```
boolean myFunction(int myValue, String myText) {
  boolean valueToReturn = false;
  try {
    // Do something with input parameters
    // ** Interact with database **
    // Set return value
  } catch (Exception e) {
    // Handle errors
  } finally {
    // Cleanup
  return valueToReturn;
```

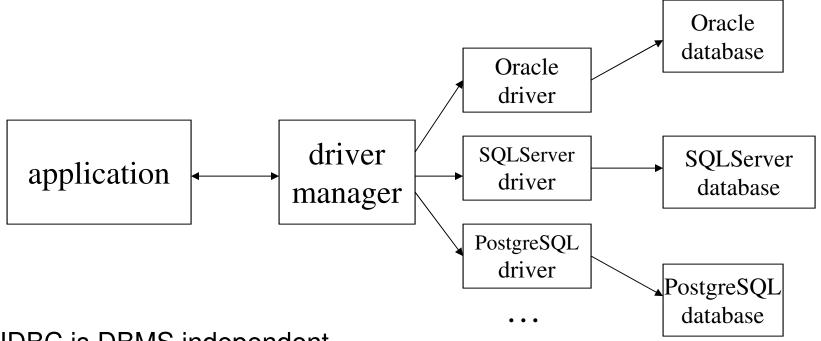


### JDBC - "Java Database Connectivity"

- JDBC is a Java API for communicating with database systems supporting SQL
- JDBC supports a variety of features for querying and updating data, and for retrieving query results
- JDBC also supports metadata retrieval, such as querying about relations present in the database and the names and types of relation attributes
- Model for communicating with the database:
  - Acquire a connection
  - Create a "statement" object
  - Execute queries using the Statement object to send queries and fetch results
  - Exception mechanism to handle errors



### JDBC Run-Time Architecture



- JDBC is DBMS independent
  - JDBC functions are generic
  - DriverManager allows to connect to specific driver
    - Even to different databases from the same program
  - Database drivers are loaded and used at run-time



### JDBC Connection: Connecting to a database

- A session with a data source is started through the creation of a Connection object
  - Can do this Via the java.sql.DriverManager:
     DriverManager.getConnection(DB\_URL, userid, passwd);
  - Release resource with conn.close();
  - Takes time, so try to re-use between functions
    - Pooling: http://docs.oracle.com/javase/tutorial/jdbc/basics/sqldatasources.html
  - Database URL of the form
  - jdbc:<subprotocol>:<connectionParameters>
- For example with Oracle:

```
Connection conn = DriverManager.getConnection(
   "jdbc:oracle:thin:@oracle12.it.usyd.edu.au:1521:COMP5138",user, pwd);
   subprotocol connectionParameters
```

### JDBC Statement: Executing a query

- SQL operations are conducted using java.sql.Statement
  - Constructed from a Connection object:

```
Statement stmt = conn.createStatement();
```

- Execute a SQL query:

```
stmt.executeQuery("SELECT ... ");
```

Execute a DML statement (INSERT/UPDATE/DELETE)

```
stmt.executeUpdate("INSERT INTO ... ");
```

- Release resource with stmt.close();

- Two other ways (covered later)
  - PreparedStatement (semi-static SQL statements)
  - CallableStatement (stored procedures)



### JDBC ResultSet: Retrieving results

 Statement.executeQuery returns data, encapsulated in a ResultSet object (a cursor)

```
ResultSet rs = stmt.executeQuery(sql);
while (rs.next()) { // Iterate through records
// process the data with, e.g. rs.getInt("name");
}
rs.close() // Release resources
```

- A ResultSet can be a very powerful cursor:
  - previous(): moves one row back
  - absolute(int num): moves to the row with the specified number
  - relative (int num): moves forward or backward
  - first() and last(): jump to ends
  - wasNull(): dealing with NULL values
  - Support for updatable ResultSet



# Matching Java and SQL Types

SQL Type	Java class	ResultSet get method
BIT	Boolean	getBoolean()
CHAR	String	getString()
VARCHAR	String	getString()
DOUBLE	Double	getDouble()
FLOAT	Double	getDouble()
INTEGER	Integer	getInt()
REAL	Double	getFloat()
DATE	java.sql.Date	getDate()
TIME	java.sql.Time	getTime()
TIMESTAMP	java.sql.TimeStamp	getTimestamp()



## Exercise: Simple Java/JDBC function

Complete the following function to list the ids of all students from the relation Student (studid, name, password, address)

```
void listStudentIds() throws SQLException {
  // Connect to the database
  // Execute query
  // Process results
  while (
    System.out.println(
  // Cleanup
```



# Time for a Break...



## Security & SQL Injection

' or '1'='1			
' or '1'='1			
Details:			

http://www.djekldevelopments.co.uk/microsoft-visual-basic-net-programmers-cookbook/source/6797final/lib0331.html

Should use salted hashes to avoid retrieval of plain text passwords

```
retrieval of plain text passwords

Statement stmt = conn.createStatement();
ResultSet rs = stmt.executeQuery(
```

```
"SELECT * FROM Student WHERE

name = '" + uName + "' AND Pass = '" + uPass + "'");

SELECT * FROM Student WHERE
```

Will return all students' details!!

```
SELECT * FROM Student WHERE

Name ='' or '1'='1' AND Pass ='' or '1'='1'
```

## Security & SQL Injection

User Name:	' or '1'='1
Password:	' or '1'='1
Details:	

- How do we limit risks?
  - Hide error messages that expose the internals
  - Use salted hash passwords
  - Prevent SQL injection with Prepared Statements
  - Restrict user access to DB Objects (Access Control)



#### Bine ati venit in magazinul eSIMM'S.

INVALID SQL: 1016 : Can't open file: 'xcart\_products.MYI'. (errno: 145)

SQL QUERY FAILURE: SELECT COUNT(\*) FROM xcart\_products WHERE xcart\_products, forsale='Y' and (categoryid='290' OR categoryid1='290' OR categoryid2='290' OR

INVALID SQL: 1016 : Can't open file: 'xcart\_products.MYI'. (errno: 145)

SQL QUERY FAILURE: SELECT COUNT(\*) FROM xcart\_products WHERE xcart\_products, forsale='Y' and (categoryid='300' OR categoryid1='300' OR categoryid2='300' OR

INVALID SQL: 1016 : SQL QUERY FAILUR

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INVALID SQL: 1016 : SQL QUERY FAILUR

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Cauta:

#### Association for Computing Machinery Advancing Computing as a Science & Profession

**ACM Order Rectification** 

INVALID SQL: 1016: The web site you are accessing has experienced an unexpected error. Please contact the website administrator.

The following information is meant for the website developer for debugging purposes.

Error Occurred While Processing Request

Element ORDERID is undefined in URL.

The error occurred in D:\wwwroot\Public\rectifyCC\rectifyCC.cfm: line 463

461: WHERE a.order id = b.order id 462: AND a.order\_id = c.order\_id

463 : AND a.order id = '#URL.orderID#'

464: </CFOUERY>

465: Resources:

- Check the ColdFusion documentation to verify that you are using the correct
- Search the Knowledge Base to find a solution to your problem.

Mozilla/5.0 (Macintosh; U; Intel Mac OS X 10 5 8; en-us) AppleWebKit/531.9 Browser (KHTML, like Gecko) Version/4.0.3 Safari/531.9

Remote 120 70 220 7

### JDBC SQLException: Handling Errors

- Most of java.sql can throw an SQLException if an error occurs.
  - Catch and process with catch (SQLException e) { ... }
  - Use getMessage() or getSQLState() or getErrorCode() to identify problem
- Sub-classes available to catch specific types e.g.:
  - SQLTransientException (worth retrying)
  - SQLRecoverableException (worth retrying with new connection)
  - SQLTimeoutException
  - SQLIntegrityConstraintViolationException
- SQLWarning is a subclass of SQLException; not as severe
  - con.getWarnings();
  - con.getNextWarning();
  - con.clearWarnings();



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#### Table 12. Class Code 23: Constraint Violation

SQLSTATE Valu	e
8350	Meaning
23001	The update or delete of a parent key is prevented by a RESTRICT update or delete rule.
23502	An insert or update value is null, but the column cannot contain null values.
23503	The insert or update value of a foreign key is invalid.
23504	The update or delete of a parent key is prevented by a NO ACTION update or delete rule.
23505	A violation of the constraint imposed by a unique index or a unique constraint occurred.
23510	A violation of a constraint on the use of the command imposed by the RLST table occurred.
23511	A parent row cannot be deleted, because the check constraint restricts the deletion.
23512	The check constraint cannot be added, because the table contains rows that do not satisfy the constraint definition.
23513	The resulting row of the INSERT or UPDATE does not conform to the check constraint definition.
23514	Check data processing has found constraint violations.
23515	The unique index could not be created or unique constraint added, because the table contains duplicate values of the specified key.
23520	The foreign key cannot be defined, because all of its values are not equal to a parent key of the parent table.
23521	The update of a catalog table violates an internal constraint.

ftp://ftp.software.ibm.com/ps/products/db2/info/vr6/htm/db2m0/db2state.htm#HDR STTMSG

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## Example: Error Handling

The following function may fail to work for a number of reasons.

```
void exampleEnrolment() {
  Connection conn = null;
  try {
    conn = DriverManager.getConnection("jdbc:oracle:thin:@" +
       "oracle12.it.usyd.edu.au:1521:COMP5138",
       "COMP5138 DEMO", "COMP5138 DEMO");
    Statement stmt = conn.createStatement();
    stmt.executeUpdate("INSERT INTO Transcript VALUES
       (309187546, 'COMP5338', 'S2', 2013, 'HD')");
  } catch (SQLIntegrityConstraintViolationException e) {
    System.err.println("Violated a constraint!");
  } catch (SQLTimeoutException e) {
    System.err.println("Operation timed out");
  } catch (SQLException e) {
    if (e.getSQLState().equalsIgnoreCase("0A000") ) {
      System.err.println("Feature not supported");
    } else {
      System.err.println("Other problem");
  } finally {
   if (conn != null)
     try {conn.close();} catch (SQLException e) {//handle exception}
```



### Dynamic SQL with Prepared Statements in JDBC

- java.sql.PreparedStatement allows a statement to be executed with host variables after the query plan has been evaluated
- Example:

- More flexible and <u>secure</u>
- > As a rule, always use in preference to Statements



## Another example : Security

What security issues can you identify in this function? How could they be fixed?

```
void getStudentAddress(int studID, String password) {
  Connection conn = DriverManager.getConnection(
                      "jdbc:oracle:thin:@" +
                       "oracle12.it.usyd.edu.au:1521:COMP5138",
                       "COMP5138_DEMO", "COMP5138 DEMO");
  Statement stmt = conn.createStatement();
  ResultSet rs = stmt.executeQuery(
       "SELECT address FROM Student WHERE studId='"
       + studID + "' AND password = '" + password + "'");
  // Process results
  while (rs.next()){
    System.out.println(rs.getString("address"));
                  Try:
  conn.close();
                  getStudentAddress(307088592, "' OR 1=1 -- ");
```

SELECT address FROM Student WHERE studId='307088592' AND password = '' OR 1=1 -- '



### **DB Access Control**

Views: Can be used to provide a restricted view of a relation / relations
 Professor(Id, FirstName, LastName, address)

CREATE VIEW Professor\_public AS

SELECT Id, FirstName, LastName
FROM Professor;

- SQL allows you to grant/revoke certain *privileges* to users to numerous DB objects like tables/views/stored procedures:
  - -GRANT SELECT ON Professor\_public TO Jimbo
  - -REVOKE SELECT ON Professor\_public TO Jimbo
- Also lets you grant/revoke privileges (eg: select/update/delete) to roles
  - -CREATE ROLE StudentRole
  - -GRANT StudentRole TO Jimbo
  - -GRANT SELECT ON Professor\_public TO StudentRole

http://docs.oracle.com/database/121/SQLRF/statements\_9013.htm



### **Stored Procedures**

- Run logic within the database server
  - Included as schema element (stored in DBMS)
  - Invoked by the application

#### Pros:

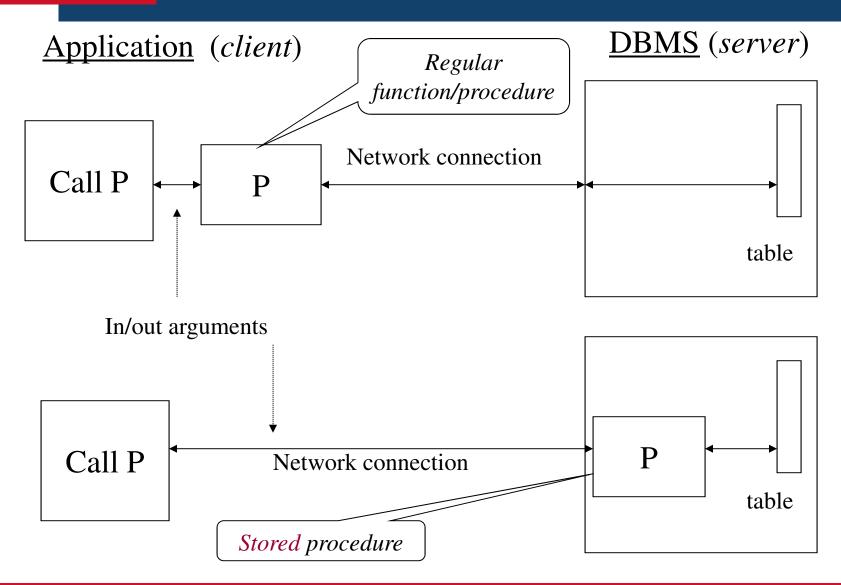
- Additional abstraction layer (programmers do not need to know the schema)
- Reduced data transfer
- Less long-held locks
- DBMS-centric security and consistent logging/auditing (important!)

#### Cons:

- What if you wanted to switch DBMS?
  - rewrite all logic?
- Language not as expressive
- Scaling out DB tier more challenging than app server tier



# Stored Procedures network activity





### Stored Procedure features

- All major database systems provide extensions of SQL to a simple, general purpose language
  - SQL:1999 Standard: SQL/PSM
  - PostgreSQL: PL/pgSQL, Oracle: PL/SQL (syntax differs!!!)
- Procedure Declarations (with SQL/PSM)

```
CREATE PROCEDURE name ( parameter1,..., parameterN )
  local variable declarations
  procedure code;
```

- Stored Procedures can have parameters
  - of a valid SQL type (parameter types must match)
  - three different modes
    - IN arguments to procedure
    - OUT return values
    - INOUT combination of IN and OUT
- Stored Procedures have full access to SQL, plus extensions:
  - Local variables, loops, if-then-else conditions



### Oracle PL/SQL Example

```
create procedure RateStudent
(studId IN INTEGER, uos IN VARCHAR, result out char) AS
grade CHAR;
marks INTEGER;
begin
SELECT SUM(mark) INTO marks
    FROM Assessment
   WHERE sid=studId AND uosCode=uos;
    IF ( marks>84 ) THEN grade := 'HD';
    ELSIF ( marks>74 ) THEN grade := 'D';
    ELSIF ( marks>64 ) THEN grade := 'CR';
    ELSIF ( marks>50 ) THEN grade := 'P';
    ELSE grade := 'F';
    END IF:
    result := grade;
END;
```



### Oracle PL/SQL Example

```
create FUNCTION RateStudent_Func
(studId IN INTEGER, uos IN VARCHAR) RETURN CHAR AS
grade CHAR;
        INTEGER:
marks
begin
SELECT SUM(mark) INTO marks
    FROM Assessment
    WHERE sid=studId AND uosCode=uos;
    IF ( marks>84 ) THEN grade := 'HD';
    ELSIF ( marks>74 ) THEN grade := 'D';
    ELSIF ( marks>64 ) THEN grade := 'CR';
    ELSIF ( marks>50 ) THEN grade := 'P';
    ELSE grade := 'F';
    END IF:
    RETURN grade;
END:
```

### Calling Stored Procedures from JDBC

- Use java.sql.CallableStatement, subclass of Statement
- Calling a Stored Procedure with parameters: (here: first IN, second an OUT parameter)

```
CallableStatement call = conn.prepareCall("{call RateStudent(?, ?, ?)}");
call.setInt(1, 1);
call.setString(2, "COMP5138");
call.registerOutParameter(3, Types.VARCHAR);
call.executeUpdate();

String result = call.getString(3);
```

- The syntax for calling stored Functions is as follows:
- > CallableStatement call = conn.prepareCall("{? = call RateStudent\_Func(?, ?)}");
  - The first ? refers to the return value of the function and is also to be registered as an OUT parameter.



## Example: Stored Procedures

The following function performs several queries and updates, incurring several network round trips. Rewrite as a stored procedure and change the function to call this.

```
void enrolStudent (Connection conn, int studID,
                       String uos, String sem, int year) {
  PreparedStatement stmt = conn.prepareStatement(
   "INSERT INTO Transcript VALUES (?, ?, ?, ?, null)");
  stmt.setInt(1, studID);
  stmt.setString(2, uos);
  stmt.setString(3, sem);
  stmt.setInt(4, year);
  stmt.executeUpdate();
  stmt.close();
  stmt = conn.prepareStatement(
    "UPDATE UoSOffering SET enrollment=enrollment+1"
    + " WHERE UoSCode=? AND Semester=? AND Year=?");
  stmt.setString(1, uos);
  stmt.setString(2, sem);
  stmt.setInt(3, year);
  stmt.executeUpdate();
  stmt.close();
```

```
CREATE OR REPLACE

PROCEDURE ENROLSTUDENT (
   sid IN INTEGER,
       uos IN VARCHAR,
   sem IN VARCHAR,
   yr IN INTEGER) AS

BEGIN

INSERT INTO Transcript VALUES (sid, uos, sem, yr, null);

UPDATE UoSOffering SET enrollment=enrollment+1

WHERE uoSCode=uos AND semester=sem AND year=yr;

END;
```





- Ramakrishnan/Gehrke (3rd edition the 'Cow' book)
  - Chapter 6; 7.5
- Kifer/Bernstein/Lewis (2nd edition)
  - Chapter 8
- Ullman/Widom (3rd edition of 'First Course in Database Systems')
  - Chapter 9 (covers Stored Procedures, ESQL, CLI, JDBC and PHP)

#### Research Papers and Presentations:

- > E.M. Fayo, 2005: "Advanced SQL Injection in Oracle Databases"
  - www.blackhat.com/presentations/bh-usa-05/bh-us-05-fayo.pdf

#### **Database Documentation:**

- Oracle Database Concepts
- Java JDBC reference
  - http://docs.oracle.com/javase/7/docs/api/java/sql/package-summary.html
- Java JDBC tutorials
  - http://docs.oracle.com/javase/tutorial/jdbc/index.html
- Oracle® Database JDBC Developer's Guide
  - http://docs.oracle.com/cd/E16655 01/java.121/e17657/toc.htm





### Transaction Management

- Transaction Concept
- Serializability
- SQL Commands to Control Transactions

### Readings:

- Ramakrishnan/Gehrke (Cow book), Chapter 16
- Kifer/Bernstein/Lewis book, Chapter 18
- Ullman/Widom, Chapter 6.6 onwards

