Data Structures

$$\begin{split} \mathsf{E}[\mathsf{X}] &= \mathsf{E} \Big[\sum_{i=1}^{n-1} \sum_{j=i+1}^{n} \mathsf{X}_{\mathsf{i}\mathsf{j}} \Big] \\ &= \sum_{i=1}^{n-1} \sum_{j=i+1}^{n} \mathsf{E}[\mathsf{X}_{\mathsf{i}\mathsf{j}}] \\ &= \sum_{i=1}^{n-1} \sum_{j=i+1}^{n} \mathsf{Pr} \{ z_{\mathsf{i}} \text{ is compared to } z_{\mathsf{j}} \} \end{split}$$

- We have to compute the quantity, $Pr\{z_i \text{ is compared to } z_i\}$
- Consider an input array A = {41, 11, 21, 51, 81, 61, 31, 71, 101, 91}
- Assume that the first call to Partition separates this array into two sets: {41, 11, 21, 51, 31} and {81, 71, 101, 91}
- An element from either of these sets will ever be compared with the elements in the other set?

- Consider a set Z_{ij}
- If an element "x" s.t. $z_i < x < z_j$ is selected as the pivot, then can we compare z_i and z_i at any subsequent time?
- If z_i is chosen as the pivot, then z_i will be compared to all elements in Z_{ii} except for itself
- If z_j is chosen as the pivot, then z_j will be compared to all elements in Z_{ij} except for itself
- Observation 3:

Elements z_i and z_j are compared if the first element to be chosen as pivot from Z_{ij} is either z_i or z_j

- Till the point we select an element from Z_{ij} as the pivot, all elements in Z_{ij} are in the same partition
- A = {41, 11, 21, 51, 81, 61, 31, 71, 101, 91}
- First two smallest elements: $Z_{12} = \{11, 21\}$
- $A_1 = \{41, 11, 21, 51, 31\}; A_2 = \{81, 71, 101, 91\}$
- $A_{11} = \{11, 21\}; A_{12} = \{41, 51\}$
- $A_{111} = \{11\}$
- Any elements from Z_{ij} is equally likely to be selected as the pivot
- There are j-i+1 elements in Z_{ij}
- The probability that any given element is the first one chosen as pivot is 1/(j-i+1)

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• Pr{z<sub>i</sub> is compared to z<sub>j</sub>} = Pr{z<sub>i</sub> or z<sub>j</sub> is the first pivot chosen from Z<sub>ij</sub>}

= Pr{z<sub>i</sub> is the first pivot chosen from Z<sub>ij</sub>} +

Pr{z<sub>j</sub> is the first pivot chosen from Z<sub>ij</sub>}

= 1/(j-i+1) + 1/(j-i+1)

= 2/(j-i+1)
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Using Pr{z_i is compared to z_i},

$$E[X] = \sum_{i=1}^{n-1} \sum_{j=i+1}^{n} 2/(j-i+1)$$

$$= \sum_{i=1}^{n-1} \sum_{k=1}^{n-i} 2/(k+1)$$

$$< \sum_{i=1}^{n-1} \sum_{k=1}^{n} 2/k$$

$$= \sum_{i=1}^{n-1} O(\log n)$$

$$E[X] \text{ is } O(n \log n)$$

• Using Randomized_Partition, the expected running time of quick sort algorithm is O(n log n) when the elements are distinct

- Analyse the running time in terms of the expected running time of each individual recursive calls to randomised quicksort
- An array of n distinct elements
- The probability of selecting an element as pivot is: 1/n
- X_i = I{ith smallest element is selected as pivot}

• $E[X_i] = 1/n$

- Suppose that ith smallest element is selected as the pivot
- The input array gets divided into two subarrays of size (i-1) and (n-i)
- The running time is:

$$T(n) = X_i(T(i-1) + T(n-i) + \theta(n)$$

Expectation over all events and linearity of expectation

$$\begin{split} \mathsf{E}[\mathsf{T}(\mathsf{n})] &= \mathsf{E}[\sum_{i=1}^n \mathsf{X}_\mathsf{i}(\mathsf{T}(\mathsf{i}{-}1) + \mathsf{T}(\mathsf{n}{-}\mathsf{i}) + \theta(\mathsf{n}))] \\ &= \sum_{i=1}^n E[\mathsf{X}_\mathsf{i}(\mathsf{T}(\mathsf{i}{-}1) + \mathsf{T}(\mathsf{n}{-}\mathsf{i}) + \theta(\mathsf{n}))] \\ &= \sum_{i=1}^n E[\mathsf{X}_\mathsf{i}](\mathsf{E}[\mathsf{T}(\mathsf{i}{-}1)] + \mathsf{E}[\mathsf{T}(\mathsf{n}{-}\mathsf{i})] + \theta(\mathsf{n})) \\ &= \sum_{i=1}^n (\mathsf{E}[\mathsf{T}(\mathsf{i}{-}1)] + \mathsf{E}[\mathsf{T}(\mathsf{n}{-}\mathsf{i})] + \theta(\mathsf{n}))/\mathsf{n} \end{split}$$

$$\begin{split} \mathsf{E}[\mathsf{T}(\mathsf{n})] &= \theta(\mathsf{n}) \; + \; 1/n \sum_{i=1}^n \left(\mathsf{E}[\mathsf{T}(\mathsf{i}\!-\!1)] + \mathsf{E}[\mathsf{T}(\mathsf{n}\!-\!\mathsf{i})] \right) \\ &= \theta(\mathsf{n}) \; + \frac{1}{n} \left(\sum_{i=1}^n \mathsf{E}[\mathsf{T}(\mathsf{i}\!-\!1)] + \sum_{i=1}^n E[\mathsf{T}(\mathsf{n}\!-\!\mathsf{i})] \right) \\ &= \theta(\mathsf{n}) \; + \frac{1}{n} \left(\sum_{i=1}^n \mathsf{E}[\mathsf{T}(\mathsf{i}\!-\!1)] + \sum_{i=1}^n E[\mathsf{T}(\mathsf{i}\!-\!1)] \right) \\ &= \theta(\mathsf{n}) \; + \frac{2}{n} \sum_{i=1}^n \mathsf{E}[\mathsf{T}(\mathsf{i}\!-\!1)] \\ &= \theta(\mathsf{n}) \; + \frac{2}{n} \sum_{i=0}^{n-1} E[\mathsf{T}(\mathsf{i})] \\ &= \theta(\mathsf{n}) \; + \frac{2}{n} \sum_{i=2}^{n-1} E[\mathsf{T}(\mathsf{i})] \end{split}$$

$$E[T(n)] = \theta(n) + \frac{2}{n} \sum_{i=2}^{n-1} E[T(i)]$$

$$E[T(n)] = \frac{2}{n} \sum_{i=2}^{n-1} E[T(i)] + cn$$

$$nE[T(n)] = 2\sum_{i=2}^{n-1} E[T(i)] + cn^{2}$$

$$(n-1) E[T(n-1)] = 2\sum_{i=2}^{n-2} E[T(i)] + c(n-1)^{2}$$

Take difference:

$$nE[T(n)] - (n-1) E[T(n-1)] = 2E[T(n-1)] + 2cn - c$$

 $nE[T(n)] = (n+1)E[T(n-1)] + 2cn$

Divide by n(n+1):

$$\frac{E[T(n)]}{n+1} = \frac{E[T(n-1)]}{n} + \frac{2c}{n+1}$$

$$\frac{E[T(n)]}{n+1} = \frac{E[T(n-1)]}{n} + \frac{2c}{n+1}$$

$$\frac{E[T(n-1)]}{n} = \frac{E[T(n-2)]}{n-1} + \frac{2c}{n}$$

$$\frac{E[T(n-2)]}{n-1} = \frac{E[T(n-3)]}{n-2} + \frac{2c}{n-1}$$

$$\vdots$$

$$\frac{E[T(2)]}{3} = \frac{E[T(1)]}{2} + \frac{2c}{3}$$

Adding these equations:

$$\frac{E[T(n)]}{n+1} = \frac{E[T(1)]}{2} + 2c\sum_{i=3}^{n+1} \frac{1}{i}$$

$$\frac{E[T(n)]}{n+1} = \frac{E[T(1)]}{2} + 2c\sum_{i=1}^{n+1} \frac{1}{i} - \frac{3}{2}$$

E[T(n)] is O(n log n)

Space complexity

- It is a function describing the amount of memory space an algorithms takes in terms of input size
- Can use natural units to measure the space requirement
- Number of integers used, number of fixed size structures
- The function is independent of bytes needed to represent a unit

Algorithm sum(x, y, z)

$$r \leftarrow x + y + z$$
 return r

Space complexity

```
Algorithm sum1(A, n)
r \leftarrow 0
for i \leftarrow 0 \text{ to n-1 do}
r \leftarrow (r + A[i])
return r
```

Space complexity

Quick sort algorithm

```
Initial call: Quick_Sort(A, 0, n-1)
Quick_Sort(A, p, r)
    if(p < r)
        q = Partitition(A, p, r)
        Quick_Sort(A, p, q-1)
        Quick_Sort(A, q+1, r)</pre>
```

- The second recursive call is not really necessary
- Can be avoided using an iterative control structure
- This technique is called tail recursion

```
Tail_Recursive_Quicksort(A, p, r)

while p < r

q \leftarrow Partition(A, p, r)

Tail_Recursive_Quicksort(A, p, q-1)

p \leftarrow q+1
```

- Compilers use a stack to execute recursive calls
- This stack contains pertinent information including the parameter values for each recursive call
- Recent information is at the top
- When a procedure is called, its information is pushed onto stack
- When a procedure is terminated, its information is popped from stack
- Assume that the array parameters are represented by pointers
- The information for each procedure call: O(1)
- Stack depth: the maximum amount of stack space used at any time during a computation

```
Initial call: Tail_Recursive_Quicksort(A, 0, n-1)

Tail_Recursive_Quicksort(A, p, r)

while p < r

q \leftarrow Partition(A, p, r)

Tail_Recursive_Quicksort(A, p, q-1)

p \leftarrow q+1
```

When does the stack depth is $\theta(n)$ on an n-element input array?

• How can we modify the code so that worst-case stack depth is $\theta(\log n)$ Algorithm Tail_Recursive_Quicksort1(A, p, r) while p < r $q \leftarrow Partition(A, p, r)$ if q < |(p + r)/2|Tail_Recursive_Quicksort1(A, p, q-1) $p \leftarrow q+1$ else Tail_Recursive_Quicksort1(A, q+1, r) $r \leftarrow q-1$