Reflection symmetry of a sphere's internal field and its consequences on scattering: a microphysical approach

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We examine the reflection symmetries of the electromagnetic wave inside of a uniform spherical particle and identify the consequences of the symmetries for the Stokes parameters describing the polarization state of the far-field scattered wave. The connection between the two waves is described from a microphysical perspective that illustrates the wavelet-superposition origin of the scattered wave. In contrast to more conventional approaches, this microphysical perspective yields new insight into the physical character of the scattering of plane wave by a sphere. The results of simulations are presented, which graphically demonstrate the relation between the symmetries present in the internal wave and the polarization state of the scattered wave. © 2007 Optical Society of America

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1. INTRODUCTION

This work identifies the reflection symmetries of the electromagnetic wave inside of a spherical particle and describes the connection between this internal wave and the wave scattered by the particle in the far-field zone. This connection has been known for some time and has recently been used to explain aspects of the particle's back-scattering behavior [1,2]. Previous interest in the wave inside of a spherical particle has been motivated mainly by secondary effects such as stimulated Raman and Brillouin scattering, lasing, and particle heating [3–12]. Here however, the internal wave is regarded as the source of the scattered wave, and the influences of the internal wave's symmetries on the far-field measurable quantities derived from the scattered wave (that is, the Stokes parameters), are determined.

An extensive foundation of work exists relating the symmetry of a single particle or of a multiparticle group to the structure of its scattered wave [13-23]. In contrast to the approaches taken in the previous work, here the connection between the the sphere's internal and scattered waves is described from a microphysical wavesuperposition perspective. This microphysical approach treats the internal wave residing in the particle's volume elements as sources that radiate their contributions to the observation point and hence build up the scattered wave. As a result, a physical interpretation of the effects of the sphere's symmetry on the polarization state of the scattered wave emerges. This same physical understanding, however, is not achieved from the more conventional approaches of analyzing the character of the Mie series for the scattered wave or the matrix transformation between the incident and scattered waves.

The article begins by defining the scattering arrangement and then derives the reflection symmetries of the

sphere's internal field. Next the microphysical model is presented, and the consequences of the internal wave's symmetry on the polarization state of the far-field scattered wave are described.

2. SCATTERING ARRANGEMENT

Consider a uniform sphere of radius R and refractive index m located at the origin and surrounded by vacuum. A linearly polarized plane wave of wavelength λ is incident on the sphere traveling along the z-axis in the $\hat{\mathbf{n}}^{\text{inc}}$ direction. The wavenumber is $k = 2\pi/\lambda$, and the polarization is along the x-axis, see Fig. 1. The electric and magnetic fields of the incident wave at \mathbf{r} are

$$\mathbf{E}^{\text{inc}}(\mathbf{r}) = E_o^{\text{inc}} \exp(ikr\hat{\mathbf{r}} \cdot \hat{\mathbf{n}}^{\text{inc}})\hat{\mathbf{x}}, \tag{1}$$

$$\mathbf{B}^{\text{inc}}(\mathbf{r}) = \frac{k}{n} \hat{\mathbf{n}}^{\text{inc}} \times \mathbf{E}^{\text{inc}}(\mathbf{r}), \tag{2}$$

respectively, where $E_o^{\rm inc}$ is the real-valued wave amplitude. All field quantities in this work share the same harmonic time dependence $\exp(-i\omega t)$, where $\omega = kc$, and $c = (\epsilon_o \mu_o)^{-1/2}$ is the speed of light, with ϵ_o and μ_o being the permittivity and permeability of free space, respectively. For conciseness, this time dependence is suppressed.

The scattering arrangement in this work is defined as the geometric configuration formed by the sphere, the polarization, and the propagation direction of the incident wave. The sphere's surface, denoted by S, separates space into two volumes; the volume internal to the sphere's surface denoted by V^{int} , and the volume external to it denoted by V^{ext} . The sphere is surrounded by a large imaginary spherical surface S_l also centered on the origin. The observation point \mathbf{r} is restricted to points on S_l , and it is

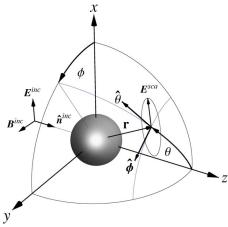


Fig. 1. (Color online) Scattering arrangement. Shown at the tip of ${\bf r}$ is the scattered electric field ${\bf E}^{\rm sca}$ and the polarization ellipse discussed in Section 4.

assumed that the size of S_l is large enough that \mathbf{r} satisfies the far-field conditions of [24].

3. REFLECTION SYMMETRY OF THE INTERNAL FIELD

The Mie internal electric and magnetic fields can be cast into a form that readily demonstrates their reflection symmetry. The electric field inside of the sphere is [25]

$$\mathbf{E}^{\text{int}}(\mathbf{r}) = \sum_{n=1}^{\infty} E_n [c_n \mathbf{M}_n(\mathbf{r}) - id_n \mathbf{N}_n(\mathbf{r})], \quad \mathbf{r} \in V^{\text{int}}, \quad (3)$$

and the magnetic field follows as

$$\mathbf{B}^{\mathrm{int}}(\mathbf{r}) = -\frac{1}{\omega} \nabla \times \mathbf{E}^{\mathrm{int}}(\mathbf{r}), \quad \mathbf{r} \in V^{\mathrm{int}}.$$
 (4)

The connection between $\mathbf{E}^{\mathrm{int}}$ and $\mathbf{B}^{\mathrm{int}}$ in Eq. (4) essentially allows one to consider only the electric field when analyzing the wave's reflection symmetry, see [14]. In Eq. (3), $E_n = i^n E_o^{\mathrm{inc}} (2n+1)/n(n+1)$ and the functions \mathbf{M}_n and \mathbf{N}_n are vector spherical wave functions. These functions are usually formulated in spherical polar coordinates, and explicit expressions for them are given in [25]. The expansion coefficients c_n and d_n in Eq. (3) are determined by the boundary conditions of the fields at $\mathcal S$ and depend only on the sphere's size parameter kR, and refractive index m. Because of their independence on the location of the observation point, c_n and d_n are not explicitly given here.

The internal electric field can be expressed in Cartesian coordinates by defining the radial, polar, and azimuthal functions

$$R_n(\mathbf{r}) = E_n[c_n \mathbf{M}_n(\mathbf{r}) - id_n \mathbf{N}_n(\mathbf{r})] \cdot \hat{\mathbf{r}}, \tag{5}$$

$$\Theta_n(\mathbf{r}) = E_n [c_n \mathbf{M}_n(\mathbf{r}) - i d_n \mathbf{N}_n(\mathbf{r})] \cdot \hat{\boldsymbol{\theta}}, \tag{6}$$

$$\Phi_n(\mathbf{r}) = E_n[c_n \mathbf{M}_n(\mathbf{r}) - id_n \mathbf{N}_n(\mathbf{r})] \cdot \hat{\boldsymbol{\phi}}, \tag{7}$$

respectively. Then the rectangular functions X_n , Y_n , and Z_n are defined as

$$X_n(\mathbf{r}) = R_n(\mathbf{r})\sin\theta\cos\phi + \Theta_n(\mathbf{r})\cos\theta\cos\phi - \Phi_n(\mathbf{r})\sin\phi,$$
(8)

$$Y_n(\mathbf{r}) = R_n(\mathbf{r})\sin\theta\sin\phi + \Theta_n(\mathbf{r})\cos\theta\sin\phi + \Phi_n(\mathbf{r})\cos\phi,$$
(9)

$$Z_n(\mathbf{r}) = R_n(\mathbf{r})\cos\theta - \Theta_n(\mathbf{r})\sin\theta. \tag{10}$$

Equations (8)–(10) now give the internal field in Cartesian coordinates

$$\mathbf{E}^{\text{int}}(\mathbf{r}) = \sum_{n=1}^{\infty} \left[X_n(\mathbf{r}) \hat{\mathbf{x}} + Y_n(\mathbf{r}) \hat{\mathbf{y}} + Z_n(\mathbf{r}) \hat{\mathbf{z}} \right]. \tag{11}$$

To describe the reflection symmetry of \mathbf{E}^{int} and of the scattering arrangement, let Π_x , Π_y , and Π_z denote the y -z, x-z, and x-y planes through the origin, respectively. The intersection of the large spherical surface S_l with the Π_x and Π_y planes defines the horizontal contour \mathcal{C}_h and the vertical contour C_v , respectively. Note that these contours can have practical significance; in some simple scattering measurements the detector is confined to one of these contours [26]. Figure 2 shows this configuration of planes and contours, and inspection of Fig. 2 demonstrates that the scattering arrangement is invariant under reflection about the Π_x and Π_y planes, [recall from Eqs. (1) and (2) that the direction of the incident field oscillates]. It is not, however, invariant under reflection about the Π_z plane because of the propagation direction $(\hat{\mathbf{n}}^{inc})$ of the incident wave.

Expressing **r** in Cartesian coordinates reveals the reflection symmetry of \mathbf{E}^{int} by the behavior of the rectangular functions X_n , Y_n , and Z_n under the transformations $x \rightarrow -x$ and $y \rightarrow -y$. Upon making these transformations in Eqs. (8)–(10), one eventually finds that

$$X_n(x,y,z) = X_n(-x,y,z) = X_n(x,-y,z),$$
 (12)

$$Y_n(x,y,z) = -Y_n(-x,y,z) = -Y_n(x,-y,z),$$
 (13)

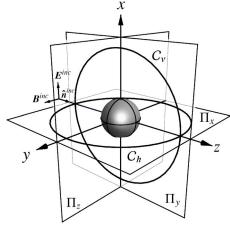


Fig. 2. (Color online) Sketch showing the Π_x , Π_y , and Π_z planes and \mathcal{C}_h and \mathcal{C}_v contours. The planes pass through the origin of the coordinate system and the center of the sphere.

$$Z_n(x,y,z) = -Z_n(-x,y,z) = Z_n(x,-y,z).$$
 (14)

Because the components of the internal field must be continuous throughout $V^{\rm int}$ since no sources reside in the particle, Eqs. (13) and (14) provide the additional conditions that

$$Y_n(\mathbf{r}) = 0$$
, for $x = 0$ or $y = 0$, (15)

$$Z_n(\mathbf{r}) = 0, \quad \text{for } x = 0. \tag{16}$$

Equation (12) shows that the x-component of the internal field is invariant under reflection about the Π_x and Π_y planes, whereas Eq. (13) shows that the y-component of the field switches sign upon reflection about these planes. Equation (14) demonstrates that the z-component of the field is invariant about the Π_y plane but switches sign about the Π_x plane.

From Eqs. (15) and (16) one can see that the y-component of the internal field vanishes for points on the Π_x and Π_y , planes, and the z-component vanishes for points on the Π_x plane. This means that the internal field can have only an x-component in the Π_x plane. Moreover, because of Eq. (12), the field magnitude must have reflection symmetry about the z-axis in this plane.

A numerical implementation of the Mie solution (verified by comparison to the BHMIE program of [25]) is used to graphically demonstrate these symmetries. Figure 3 shows the normalized field magnitude in the Π_x plane for a sphere with kR=12 and m=1.33+0i. Figures 4 and 5 show the field inside this same sphere except in the Π_y and Π_z planes. The color code for these plots is given in Fig. 3 and is in log scale. The colors are assigned relative to Fig. 3, which enables comparison between the plots.

4. MICROPHYSICAL APPROACH

It has been shown that the scattered electric field \mathbf{E}^{sca} at observation points in the far-field zone is related to \mathbf{E}^{int} via the volume integral equation (VIE) as

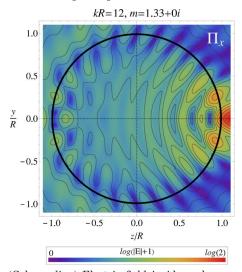


Fig. 3. (Color online) Electric field inside and surrounding a sphere with kR=12 and m=1.33+0i for points in the Π_x plane, recall Fig. 2. The sphere's surface is outlined. The normalized field magnitude is shown by the color shades in log scale. Contour lines are also shown to give relief to the plot when not viewed in color. No field vectors are shown since the field is normal to this plane, see Eqs. (15) and (16).

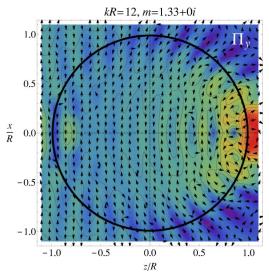


Fig. 4. (Color online) Same as Fig. 3 except for the Π_{ν} plane.

$$\mathbf{E}^{\text{sca}}(\mathbf{r}) = \frac{\exp(ikr)}{r} \frac{k^2}{4\pi} (m^2 - 1)(\hat{I} - \hat{\mathbf{r}} \otimes \hat{\mathbf{r}})$$
$$\cdot \int_{V^{\text{int}}} \mathbf{E}^{\text{int}}(\mathbf{r}') \exp(-ik\mathbf{r}' \cdot \hat{\mathbf{r}}) d\mathbf{r}', \qquad (17)$$

where \vec{I} is the Cartesian identity dyad and $\hat{\mathbf{r}} \otimes \hat{\mathbf{r}}$ is the dyad formed by the direct product of $\hat{\mathbf{r}}$ with itself in the Cartesian basis [24,27].

In the far-field zone, the scattered wave is a transverse spherical wave [24]. The scattered magnetic field is then given by

$$\mathbf{B}^{\text{sca}}(\mathbf{r}) = \frac{k}{-\hat{\mathbf{r}}} \times \mathbf{E}^{\text{sca}}(\mathbf{r}). \tag{18}$$

Because of the transverse nature of the scattered wave, both \mathbf{E}^{sca} and \mathbf{B}^{sca} are tangent to the large spherical surface \mathcal{S}_l . The scattered electric field is then fully described by its projections along the $\hat{\boldsymbol{\theta}}$ and $\hat{\boldsymbol{\phi}}$ unit vectors at \mathbf{r} . In general, the polarization state of the sphere's scattered

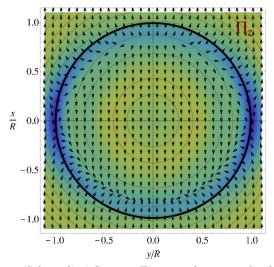


Fig. 5. (Color online) Same as Figs. 3 and 4 except for the Π_z plane.

wave at \mathbf{r} is elliptical, meaning that the tip of the \mathbf{E}^{sca} vector will trace out an ellipse (the polarization ellipse) in time at \mathbf{r} , see Fig. 1 [28,29]. The polarization ellipse describes the wave's polarization state and is characterized by three quantities; its ellipticity, defined as the ratio of its minor and major axes, its orientation in the $\hat{\boldsymbol{\theta}} - \hat{\boldsymbol{\phi}}$ plane, and the sense of rotation of \mathbf{E}^{sca} in time [1].

Consider dividing the sphere into many infinitesimally small volume elements ΔV each located by \mathbf{r}_i . The size of a volume element is taken to be sufficiently small enough that the electric field throughout it is uniform. Then by Eq. (17)

$$\mathbf{E}^{\mathrm{sca}}(\mathbf{r}) = \frac{\exp(ikr)}{r} \frac{k^2}{4\pi} (m^2 - 1) \lim_{\Delta V \to 0} \sum_{i} (\vec{I} - \hat{\mathbf{r}} \otimes \hat{\mathbf{r}})$$
$$\cdot \mathbf{E}^{\mathrm{int}}(\mathbf{r}_i) \exp(-ik\mathbf{r}_i \cdot \hat{\mathbf{r}}) \Delta V, \tag{19}$$

where the sum runs over the locations ${\bf r}_i$ of every volume element in the sphere. Next, let $c_o\!=\!k^2(m^2\!-\!1)\Delta V/(4\,\pi)$ and define

$$z_{i\theta}(\hat{\mathbf{r}}) = c_o\{ [E_x^{\text{int}}(\mathbf{r}_i)\cos\phi + E_y^{\text{int}}(\mathbf{r}_i)\sin\phi]\cos\theta - E_z^{\text{int}}(\mathbf{r}_i)\sin\theta\}\exp(-ik\mathbf{r}_i \cdot \hat{\mathbf{r}}),$$
 (20)

and

$$z_{i\phi}(\hat{\mathbf{r}}) = c_o[E_y^{\text{int}}(\mathbf{r}_i)\cos\phi - E_x^{\text{int}}(\mathbf{r}_i)\sin\phi]\exp(-ik\mathbf{r}_i \cdot \hat{\mathbf{r}}), \tag{21}$$

where $E_x^{\rm int}$, $E_y^{\rm int}$, and $E_z^{\rm int}$ are the Cartesian components of the internal field. The quantities $z_{i\theta}$ and $z_{i\phi}$ of Eqs. (20) and (21) have physical significance (discussed next) and give the following form for the scattered field

$$\mathbf{E}^{\rm sca}(\mathbf{r}) = \frac{\exp(ikr)}{r} \sum_{i} \left[z_{i\theta}(\hat{\mathbf{r}}) \, \hat{\boldsymbol{\theta}} + z_{i\phi}(\hat{\mathbf{r}}) \, \hat{\boldsymbol{\phi}} \right], \tag{22}$$

where the limit $\Delta V \rightarrow 0$ appearing in Eq. (19) is assumed. Equation (22) reveals the meaning of the far-field form of the VIE formulation of the scattered field [24]. The internal electric field within a volume element acts as the source of an outward-traveling transverse spherical wave (or wavelet for short). The amplitudes of the components of the electric field of the ith wavelet are given by the complex-valued quantities $z_{i\theta}$ and $z_{i\phi}$, which depend on the location of the the volume element \mathbf{r}_i and the direction to the observation point $\hat{\mathbf{r}}$. The scattered field is then the superposition of all the wavelet fields radiated out to \mathbf{r} , that is Eq. (22). This wavelet-based description of the scattered field forms the basis for a microphysical perspective of the scattering process.

5. CONSEQUENCES OF THE SYMMETRY

Consider placing the observation point somewhere on the \mathcal{C}_h contour. With \mathbf{r} on this contour, the azimuthal angle can have two values, $\phi = \pi/2$ and $\phi = 3\pi/2$, and one finds from Eq. (20) that

$$z_{i\theta}(\hat{\mathbf{r}}) = -c_o[E_z^{\rm int}(\mathbf{r}_i)\sin\,\theta \mp E_y^{\rm int}(\mathbf{r}_i)\cos\,\theta]\exp(-ik\mathbf{r}_i\cdot\hat{\mathbf{r}}), \eqno(23)$$

and from Eq. (21) that

$$z_{i,\phi}(\hat{\mathbf{r}}) = \mp c_o E_x^{\text{int}}(\mathbf{r}_i) \exp(-ik\mathbf{r}_i \cdot \hat{\mathbf{r}}), \qquad (24)$$

where the top and bottom signs in Eq. (23) correspond to $\phi = \pi/2$ and $\phi = 3\pi/2$, respectively. Next, the sum over the sphere's volume in Eq. (22) for the θ -component of the field is split in two. One sum covers the hemisphere for internal points with $x \ge 0$, and the other sum covers the hemisphere with x < 0, that is,

$$\sum_{i} z_{i\theta}(\hat{\mathbf{r}}) = \sum_{i} {}^{x \ge} z_{j\theta}(\hat{\mathbf{r}}) + \sum_{k} {}^{x <} z_{k\theta}(\hat{\mathbf{r}}), \tag{25}$$

where the points \mathbf{r}_j and \mathbf{r}_k [recall Eqs. (23) and (24)] are mirror points about the Π_x plane, see Fig. 6. From Eqs. (13) and (14) one sees that the y- and z-components of the internal field appearing in Eq. (23) change sign upon reflection about the Π_x plane. This means that the sums over the $x \ge 0$ and x < 0 hemispheres in Eq. (25) cancel each other term-by-term, that is,

$$z_{j\theta}(\hat{\mathbf{r}}) = -z_{k\theta}(\hat{\mathbf{r}}). \tag{26}$$

Then from Eqs. (22) and (24),

$$\mathbf{E}^{\text{sca}}(R_l\hat{\mathbf{r}}) = \mp c_o \frac{\exp(ikR_l)}{R_l} \sum_i E_x^{\text{int}}(\mathbf{r}_i) \exp(-ik\mathbf{r}_i \cdot \hat{\mathbf{r}}) \hat{\boldsymbol{\phi}},$$

$$\mathbf{r} \in \mathcal{C}_b, \qquad (27)$$

where the top and bottom signs correspond to $\phi = \pi/2$ and $\phi = 3\pi/2$, respectively.

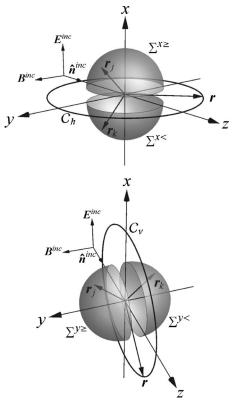


Fig. 6. Sketch of the hemispheres used to derive Eqs. (27) and (32). The sphere is separated to delineate the hemispheres. The internal locations \mathbf{r}_j and \mathbf{r}_k are mirror points about the Π_x plane (top sketch) and the Π_y plane (bottom sketch).

Equation (27) shows that, for observation points on the \mathcal{C}_h contour, the scattered wave is determined solely by the x-component of the sphere's internal electric field. The symmetry of the internal field leads to the cancellation of the θ -components of the wavelet amplitudes, which carry the dependence on the other Cartesian components of the internal field. Moreover, the direction of the scattered field for observation points on this contour is only along the $\hat{\boldsymbol{\phi}}$ direction, (which is parallel or antiparallel to the $\hat{\mathbf{x}}$ direction on \mathcal{C}_h demonstrating that the scattered wave for points on \mathcal{C}_h has the same (linear) polarization state as the incident wave.

Next consider placing the observation point on the C_v contour. Here ϕ =0 and ϕ = π . Now Eqs. (20) and (21) become

$$z_{i\theta}(\hat{\mathbf{r}}) = -c_o[E_z^{\rm int}(\mathbf{r}_i)\sin\,\theta \mp E_x^{\rm int}(\mathbf{r}_i)\cos\,\theta]\exp(-ik\mathbf{r}_i\cdot\hat{\mathbf{r}}), \eqno(28)$$

and

$$z_{i\phi}(\hat{\mathbf{r}}) = \pm c_o E_y^{\rm int}(\mathbf{r}_i) \exp(-ik\mathbf{r}_i \cdot \hat{\mathbf{r}}), \qquad (29)$$

where the top and bottom signs in Eqs. (28) and (29) correspond to ϕ =0 and ϕ = π , respectively.

The sum in Eq. (22) is again split in two like above except here the hemispheres correspond to $y \ge 0$ and y < 0, see Fig. 6. Then, for the ϕ -component,

$$\sum_{i} z_{i\phi}(\hat{\mathbf{r}}) = \sum_{j} y^{\geqslant} z_{j\phi}(\hat{\mathbf{r}}) + \sum_{k} y^{<} z_{k\phi}(\hat{\mathbf{r}}), \tag{30}$$

where \mathbf{r}_j and \mathbf{r}_k are now mirror points about the Π_y plane. From the behavior of the *y*-component of \mathbf{E}^{int} given in Eq. (13) combined with Eq. (29), one finds that

$$z_{i\phi}(\hat{\mathbf{r}}) = -z_{k\phi}(\hat{\mathbf{r}}),\tag{31}$$

which, from Eqs. (22) and (29), demonstrates that there is no contribution to the scattered field on C_v from the y-component of the internal electric field. Then,

$$\mathbf{E}^{\rm sca}(R_l \hat{\mathbf{r}}) = -\,c_o \frac{\exp(ikR_l)}{R_l} \sum_i \left[E_z^{\rm int}(\mathbf{r}_i) \mathrm{sin} \,\, \theta \mp E_x^{\rm int}(\mathbf{r}_i) \mathrm{cos} \,\, \theta \right]$$

$$\times \exp(-ik\mathbf{r}_i\cdot\hat{\mathbf{r}})\hat{\boldsymbol{\theta}}, \quad \mathbf{r} \in \mathcal{C}_v,$$
 (32)

where again the top and bottom signs correspond to ϕ =0 and ϕ = π , respectively. This result demonstrates that the scattered electric field for points on \mathcal{C}_v has only a θ -component and hence is linearly polarized.

Using the Stokes parameter formalism, the polarization state of the far-field scattered wave is expressed below in terms of the wavelet amplitudes of Eqs. (20) and (21). The Stokes parameters consist of four quantities I, Q, U, and V that have units of power and can be directly measured. Explicit expressions for the parameters and a description of their measurement can be found in [27]. The quantity I describes the intensity of the scattered wave and the overall size of the polarization ellipse, whereas Q and U collectively describe its orientation and ellipticity, and V describes its sense of rotation.

In casting the Stokes parameters in terms of the wavelet amplitudes of Eqs. (20) and (21), one obtains a double sum over the sphere's volume for each parameter. To explicitly build the symmetries of the sphere's internal field into these parameters, the double sums which cover the entire sphere volume are separated into component sums of the volume elements contained in the four regions of the sphere's interior bounded by the intersection of the Π_x and Π_y planes and the sphere's surface $\mathcal S.$ These wedge-shaped regions, or quadrants for short, are labeled by the Roman numerals I–IV, and the volume of each quadrant is denoted $V^{\rm I}-V^{\rm IV}.$

Using the symmetry properties of Eqs. (12)–(14), the double sums over the sphere's four quadrants are reduced to a single double sum over only the first quadrant, $V^{\rm I}$. To explain how this is done, consider two arbitrary volume element locations ${\bf r}_j^{({\rm I})}$ and ${\bf r}_k^{({\rm I})}$ in $V^{\rm I}$. Let ${\bf r}_j^{({\rm III})}, {\bf r}_j^{({\rm III})}, {\bf r}_j^{({\rm IV})}$, and ${\bf r}_k^{({\rm III})}, {\bf r}_k^{({\rm III})}$, be the locations obtained in the remaining three quadrants by performing successive reflections of ${\bf r}_j$ and ${\bf r}_k$ about the Π_x and Π_y planes. Figure 7 shows these locations in their respective quadrants. Next, let $z_{j0}^{({\rm II})}, z_{j\phi}^{({\rm II})}, z_{k\phi}^{({\rm II})}, z_{k\phi}^{({\rm III})}$ be the wavelet amplitudes of Eqs. (20) and (21) evaluated for the points ${\bf r}_j^{({\rm II})}$ and ${\bf r}_k^{({\rm II})}$, respectively. Finally let $z_{j\theta}^{({\rm III})}, z_{j\phi}^{({\rm III})}, \ldots, z_{j\phi}^{({\rm IV})}, z_{j\phi}^{({\rm IV})}$ be the wavelet amplitudes $z_{j\theta}$ and $z_{j\phi}$ evaluated for the reflected points ${\bf r}_j^{({\rm III})}, {\bf r}_j^{({\rm III})}, {\bf r}_j^{({\rm IV})}$, and similarly for the k subscript terms. Because the points in the $V^{\rm II}-V^{\rm IV}$ quadrants are related to the points in the $V^{\rm I}$ quadrant through the same reflection operations that define the reflection symmetry of the internal field, the wavelet amplitudes in the $V^{\rm II}-V^{\rm IV}$ quadrants are directly related to the amplitudes in only the $V^{\rm I}$ quadrant. With these considerations, the Stokes parameters can be expressed as Eqs. (33)–(36) below as double sums over only the $V^{\rm I}$ quadrant,

$$I(\hat{\mathbf{r}}) = \frac{1}{2} \sqrt{\frac{\epsilon_o}{\mu_o}} \sum_{j,k} \sum_{\alpha,\beta} \{ z_{j\theta}^{(\alpha)}(\hat{\mathbf{r}}) [z_{k\theta}^{(\beta)}(\hat{\mathbf{r}})]^* + z_{j\phi}^{(\alpha)}(\hat{\mathbf{r}}) [z_{k\phi}^{(\beta)}(\hat{\mathbf{r}})]^* \},$$
(33)

$$Q(\hat{\mathbf{r}}) = \frac{1}{2} \sqrt{\frac{\epsilon_o}{\mu_o}} \sum_{j,k} {}^{(\mathrm{I})} \sum_{\alpha,\beta} \{ z_{j\theta}^{(\alpha)}(\hat{\mathbf{r}}) [z_{k\theta}^{(\beta)}(\hat{\mathbf{r}})]^* - z_{j\phi}^{(\alpha)}(\hat{\mathbf{r}}) [z_{k\phi}^{(\beta)}(\hat{\mathbf{r}})]^* \},$$
(34)

$$U(\hat{\mathbf{r}}) = -\frac{1}{2} \sqrt{\frac{\epsilon_o}{\mu_o}} \sum_{j,k} \sum_{\alpha,\beta} \{ z_{j\theta}^{(\alpha)}(\hat{\mathbf{r}}) [z_{k\phi}^{(\beta)}(\hat{\mathbf{r}})]^* + z_{j\phi}^{(\alpha)}(\hat{\mathbf{r}}) [z_{k\theta}^{(\beta)}(\hat{\mathbf{r}})]^* \},$$
(35)

$$V(\hat{\mathbf{r}}) = \frac{i}{2} \sqrt{\frac{\epsilon_o}{\mu_o}} \sum_{j,k} {}^{(\mathrm{I})} \sum_{\alpha,\beta} \left\{ z_{j\phi}^{(\alpha)}(\hat{\mathbf{r}}) \left[z_{k\theta}^{(\beta)}(\hat{\mathbf{r}}) \right]^* - z_{j\theta}^{(\alpha)}(\hat{\mathbf{r}}) \left[z_{k\phi}^{(\beta)}(\hat{\mathbf{r}}) \right]^* \right\}, \tag{36}$$

where $\alpha, \beta \in \{\mathrm{I}, \mathrm{II}, \mathrm{III}, \mathrm{IV}\}$, and the notation $\Sigma^{(\mathrm{I})}$ means that the sum covers the first quadrant V^{I} only. The appendix provides the explicit expression for $z_{j\theta}^{(\alpha)}$ and $z_{j\phi}^{(\alpha)}$ that are needed to arrive at the symmetry properties in Eqs. (37)–(42) next.

By making the transformations $\phi \rightarrow \pi - \phi$ and $\phi \rightarrow 2\pi - \phi$ in Eqs. (33)–(36), (which describe the reflection of the observation point about the Π_x and Π_y planes, respectively) one finds that

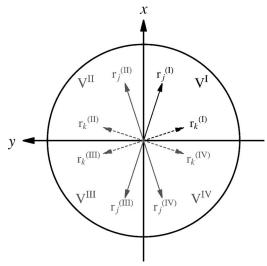


Fig. 7. Sketch of the internal points and quadrants of the sphere used to derive Eqs. (33)–(36). The incident wave travels through the page toward the reader, and the sketch shows the Π_z slice through the sphere depicted in Fig. 2. The locations $\mathbf{r}_j^{(II)}, \mathbf{r}_j^{(III)}, \mathbf{r}_j^{(IV)}$ and $\mathbf{r}_k^{(II)}, \mathbf{r}_k^{(III)}, \mathbf{r}_k^{(IV)}$ are generated from the locations $\mathbf{r}_k^{(I)}$ and $\mathbf{r}_k^{(I)}$ in V^{I} through successive reflections about the Π_x and Π_y planes.

$$I(\theta, \phi) = I(\theta, \pi - \phi) = I(\theta, 2\pi - \phi), \tag{37}$$

$$Q(\theta, \phi) = Q(\theta, \pi - \phi) = Q(\theta, 2\pi - \phi), \tag{38}$$

$$U(\theta, \phi) = -U(\theta, \pi - \phi) = -U(\theta, 2\pi - \phi), \quad (39)$$

$$V(\theta, \phi) = -V(\theta, \pi - \phi) = -V(\theta, 2\pi - \phi). \tag{40}$$

The symmetry conditions of Eqs. (37)–(40) result from the *term-by-term* equality of Eqs. (33)–(36) under the reflection transformations, which is a direct consequence of the internal field's symmetry about these same planes. Equations (39) and (40) and the continuity of the Stokes parameters with direction provide the extra conditions

$$U(\theta, 0) = U(\theta, \pi/2) = U(\theta, \pi) = U(\theta, 3\pi/2) = 0,$$
 (41)

$$V(\theta, 0) = V(\theta, \pi/2) = V(\theta, \pi) = V(\theta, 3\pi/2) = 0.$$
 (42)

It is important to stress that these symmetries of the Stokes parameters, require all the reflection symmetries of the spheres internal field. Equations (37)–(42) are also obtained by [14,20,23]; however via different methods, there the analysis concerns either the scattered field's structure only or the elements of a matrix transformation of the incident wave into the scattered wave. Neither demonstrates the effect of the internal wave's structure.

Notice that if the internal electric field is uniform and directed along the x-axis (contrary to earlier, more general considerations), then from Eqs. (20), (21), and (36) one can find that

$$V(\hat{\mathbf{r}}) = 0$$
, for $\mathbf{E}^{\text{int}}(\mathbf{r}) = E_r^{\text{int}} \hat{\mathbf{x}}$, (43)

where $E_x^{\rm int}$ is a constant. A situation that would produce such a uniform internal field would be the so-called Rayleigh limit [25]. Equation (43) demonstrates that the scattered wave is linearly polarized in all directions, which is

consistent with the Rayleigh limit. Referring to [28] shows that this result can, of course, also be deduced from the Mie series for the scattered electric field.

6. DEMONSTRATION OF THE AFFECT OF THE SYMMETRY ON THE POLARIZATION STATE

The polarization state of the scattered wave for a sphere with kR=4 and m=1.25+0i is examined in detail next to graphically demonstrate the symmetries presented above. The size and refractive index of the sphere is chosen such that the structures in the scattered wave are not too complicated to examine graphically. Other kR and m values have been investigated by the authors and give the same qualitative results as described below.

Figure 8 shows the polarization state of the scattered wave on the large imaginary spherical surface S_l where the ellipticity is indicated by gray shades. Lighter shades correspond to more linear polarization, whereas darker shades correspond to more circular polarization. The polarization ellipses are also shown for various points on S_l . The left-handed rotation of an ellipse is indicated by a thick red line, and the right-handed rotation is indicated by a thin blue line. The sense of rotation is right-handed if the scattered electric field vector rotates in the counterclockwise direction to an observer looking into the oncoming wave. Inspection of this figure shows that the wave is linearly polarized along the C_h and C_v contours as predicted by Eqs. (27) and (32).

Figures 9–11 display the intensity, ellipticity and rotation of the scattered wave on \mathcal{S}_l for the same sphere as in Fig. 8, except here the plots show all directions. The intersection of the Π_x and Π_y planes with \mathcal{S}_l are indicated on

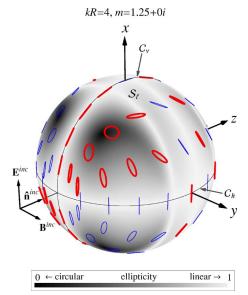


Fig. 8. (Color online) The polarization state of the scattered wave for a sphere with kR=4 and m=1.25+0i. The plot shows the vibration ellipses at various points on S_l , recall Fig. 1. The bold red ellipses correspond to left-handed rotation of the field, whereas thin blue ellipses correspond to right-handed rotation. The ellipticity is shown in gray shades on S_l . Darker (lighter) shades indicate more elliptical (linear) polarization.

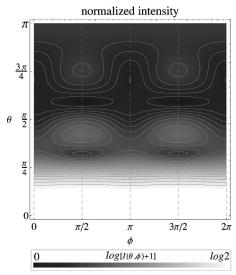


Fig. 9. Intensity of the scattered wave on S_l . The intensity is normalized to the forward direction (θ =0), and gray shades are assigned in log scale as indicated. The sphere is the same as in Fig. 8

the plots by dashed lines. The intensity, Fig. 9, shows reflection symmetry about both the Π_x and Π_y planes. Notice from Fig. 10 that the scattered wave is, in general, elliptically polarized in all directions except those contained in the Π_x and Π_y planes. The ellipticity displays reflection symmetry about the Π_x and Π_y planes and shows that the wave is, overall, more linearly polarized in the forward directions as compared to the backward directions. The plot of the wave's rotation in Fig. 11 reveals that the wave changes its sense of rotation upon reflection of the observation point about either of these planes. The angular distribution of the wave's rotation appears to be "conserved"

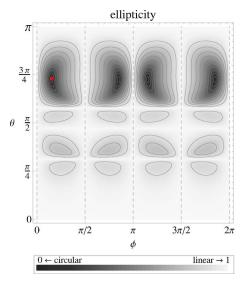


Fig. 10. (Color online) Ellipticity of the scattered wave on S_l . The gray scale is the same as in Fig. 8, and the sphere is the same as in Figs. 8 and 9. The dashed lines show the intersection of the Π_x and Π_y planes with S_l . These lines also indicate the location of four of the seven \mathcal{L} -lines, that separate the the angular regions of opposing rotation in Fig. 11. One of the four points of circular polarization, or \mathcal{C} -points, is indicated by the gray (red online) dot in the upper left of the plot. See the text for a discussion of \mathcal{L} -lines and \mathcal{C} -points.

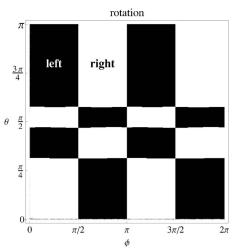


Fig. 11. Rotation of the scattered wave on S_l . Black indicates left-handed rotation and white indicates right-handed rotation. The sphere is the same as in Figs. 8–10. Notice the correlation of the angular structure with that of Fig. 10.

in the sense that for each angular region of right-handed rotation, there is another region that is the mirror image to that region about the Π_x or Π_y planes that shows left-handed rotation.

Every reflection symmetry of Figs. 8–11 is explained by the results in Section 5. The figures show that the scattered wave is linearly polarized along the \mathcal{C}_h and \mathcal{C}_v contours. This can be understood from Eq. (42), which shows that V=0 for directions lying in the Π_x and Π_y planes. Moreover, the orientation of the (linear) polarization is along either the $\hat{\boldsymbol{\theta}}$ or $\hat{\boldsymbol{\phi}}$ directions since U=0 from Eq. (41) (see the ellipsometric interpretation given in [1]). Figure 9 shows that the intensity of the wave is invariant upon reflection about the Π_x and Π_y planes, which is due to the invariance of Eq. (37) upon reflection. The change in the wave's rotation and the orientation of its polarization ellipse for directions reflected about the Π_x and Π_y planes is accounted for by the negative sign appearing in Eqs. (39) and (40).

A comparison of the rotation of the scattered wave shown in Fig. 11 to the ellipticity shown in Fig. 10 reveals that the wave becomes linearly polarized where angular regions of opposing rotation meet. In this sense the angular structure of the ellipticity and the rotation of the wave are correlated. There are also distinct points where the ellipticity of the wave becomes circular, one of which is indicated Fig. 10. The points of circular polarization and the lines of linear polarization appear to be examples of the so-called " \mathcal{C} -points," and " \mathcal{L} -lines," respectively, of [30,31]. One can find seven such \mathcal{L} -lines in Fig. 11 and four such \mathcal{C} -points in Fig. 10.

7. INTERPRETATION

By appealing to the microphysical perspective of scattering afforded by the VIE formalism, the analysis above connects the reflection symmetries of a sphere's internal field to the overall structure of the polarization state of its scattered wave. This connection can be given a clearer physical meaning by remembering that the quantities $z_{i\theta}$

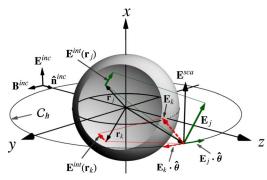


Fig. 12. (Color online) This diagram illustrates the wavelet-component cancellation that is responsible for the linear polarization state of the scattered wave on the \mathcal{C}_h contour. A similar picture applies for the \mathcal{C}_v contour. Internal mirror points \mathbf{r}_j and \mathbf{r}_k about the Π_x plane are shown along with an example of the internal electric field vectors $\mathbf{E}^{\text{int}}(\mathbf{r}_j)$ and $\mathbf{E}^{\text{int}}(\mathbf{r}_k)$ that satisfy the symmetry conditions of Eqs. (12)–(16). Each of these internal wavelets contribute \mathbf{E}_j and \mathbf{E}_k to the scattered field, where the cancellation of their θ -components is evident.

and $z_{i\phi}$ represent the amplitudes of the θ - and ϕ -components of each wavelet's contribution to the farfield scattered wave. These amplitudes depend both on the field at a location inside the sphere and on the direction to the observation point. In this sense, the wavelet amplitudes facilitate the connection between the internal and scattered waves.

For example, suppose that the observation point lies on the C_h contour. The sketch in Fig. 12 shows two wavelets located at points \mathbf{r}_i and \mathbf{r}_k inside a sphere. These points are mirror points about the Π_x plane, and the electric fields shown at the points, $\mathbf{E}^{\text{int}}(\mathbf{r}_i)$ and $\mathbf{E}^{\text{int}}(\mathbf{r}_k)$, obey the symmetry properties of Eqs. (12)–(16). Shown at $\bf r$ are the contributions to the scattered field from these wavelets, \mathbf{E}_i and \mathbf{E}_k , respectively. The projections of \mathbf{E}_i and \mathbf{E}_k onto the $\hat{\boldsymbol{\theta}}$ direction are also shown. These projections are given by the wavelet amplitudes $z_{j\theta}$ and $z_{k\theta}$, except for a factor of $\exp(ikr)/r$, which is a constant on C_h , recall Eq. (22). Figure 12 demonstrates that these components of the wavelet's contribution to the scattered field cancel each other, and hence it is only the ϕ -components that contribute. The contributing components in turn depend only on the x-component of the internal electric field and yield a linearly polarized scattered wave on the C_h contour. A similar cancellation process can be used to explain the linear polarization state of the scattered wave for observation points on the C_v contour.

From this cancellation-based viewpoint, one can now understand that the ellipticity of the polarization state is due to the break in symmetry of the scattering arrangement that results from taking the observation point off the C_h or C_v contours. This break in symmetry prevents the unique cancellation of components that results in the linear polarization state and introduces a relative phase shift between the components.

8. COMMENTS

None of the general results of this work rely on specific values for kR or m. Consequently, the symmetries of the internal field and the Stokes parameters are valid for a

sphere of any arbitrary size and refractive index provided that the illumination configuration of Fig. 1 is used.

Note that when a sphere is illuminated by a linearly polarized incident wave, as in this work, the scattered wave is completely polarized in all directions [28]. There is no depolarization. That does not mean, however, that the polarization state does not change, and indeed this work reminds one that it does change, from linear to elliptical, with angle.

One could, of course, derive the symmetry properties of the Stokes parameters in Eqs. (37)-(42) using the Mie scattered wave directly, see for example [20,23,28]. This wave is similar to the Mie internal wave in that it also involves an expansion of the wave in vector spherical wave functions except with different expansion coefficients. Each term in the expansion can be regarded as a partial wave that consists of an electric and magnetic part. These partial waves essentially provide a way to describe the structure of the scattered wave in terms of component waves of simpler form. For example, the scattered wave for a small sphere $(kR \ll 1)$ with a moderate realvalued refractive index, will have an expansion that is dominated by the first electric partial wave [28]. This partial wave is equivalent in form to the far-field wave radiated by an oscillating electric dipole. For a more arbitrary sphere, higher-order partial waves will in general be present in the expansion. Although the partial waves are useful to describe the structure of the scattered wave, they offer no explanation for the physical origin of the structure; that information is encoded in a complicated way in the expansion coefficients via the boundary conditions on the surface of the particle. In using the partial waves to derive Eqs. (37)-(40), one is only able to show that the symmetry of the Stokes parameters is due to the mathematical behavior of the partial waves under reflection of $\hat{\mathbf{r}}$ about the Π_x or Π_y planes. Although this is a valid mathematical account of the symmetry and has utility in its own right, it does little to provide the wavesuperposition insight that the above microphysical approach yields.

9. CONCLUSION

The electric field inside of a uniform dielectric sphere is shown to have reflection symmetries, and these symmetries' consequences on the polarization state of the scattered wave are described. By regarding the internal wave as the source of the scattered wave, a microphysical, wavelet-superposition description of the scattering process is developed. The microphysical description is used to show that the scattered wave is linearly polarized in directions contained in the planes of reflection symmetry, and in general, is elliptically polarized in other directions. It is seen that some components of the internal electric field have no direct influence on the scattered wave for certain high symmetry directions. The angular structure of the rotation and ellipticity of the scattered wave's polarization state are shown to be correlated. All the symmetry properties of the polarization state of the scattered wave found in this work have also been found by others. The analysis here, however, demonstrates that these properties are caused by the symmetric structure of the

internal wave, which in turn is caused by the spherical particle shape. Moreover, the symmetries are shown to cause cancellation of the wavelet components, which build up the scattered wave and hence demonstrate how the sphere's symmetries are ultimately imprinted on the structure of the scattered wave.

APPENDIX A

Here the explicit forms for the wavelet amplitudes $z_{i\theta}^{(\alpha)}$ and $z_{i\phi}^{(\alpha)}$ of Eqs. (33)–(42) required to prove the symmetry properties of the Stokes parameters in Eqs. (37)-(40) are

$$\begin{split} z_{j\theta}^{(1)}(\hat{\mathbf{r}}) &= c_o[E_x(\mathbf{r}_j)\cos\theta\cos\phi + E_y(\mathbf{r}_j)\cos\theta\sin\phi - E_z(\mathbf{r}_j)\sin\theta] \\ &\times \exp[-ik(r_{jx}\sin\theta\cos\phi + r_{jy}\sin\theta\sin\phi \\ &+ r_{jz}\cos\theta)], \end{split} \tag{44}$$

$$\begin{split} z_{j\theta}^{(\mathrm{II})}(\hat{\mathbf{r}}) &= c_o[E_x(\mathbf{r}_j)\cos\theta\cos\phi - E_y(\mathbf{r}_j)\cos\theta\sin\phi \\ &- E_z(\mathbf{r}_j)\sin\theta] \times \exp[-ik(r_{jx}\sin\theta\cos\phi \\ &- r_{jy}\sin\theta\sin\phi + r_{jz}\cos\theta)], \end{split} \tag{45}$$

$$\begin{split} z_{j\theta}^{(\text{III})}(\hat{\mathbf{r}}) &= c_o[E_x(\mathbf{r}_j)\cos\theta\cos\phi + E_y(\mathbf{r}_j)\cos\theta\sin\phi \\ &+ E_z(\mathbf{r}_j)\sin\theta] \times \exp[-ik(-r_{jx}\sin\theta\cos\phi \\ &- r_{iy}\sin\theta\sin\phi + r_{iz}\cos\theta)], \end{split} \tag{46}$$

$$\begin{split} z_{j\theta}^{(\text{IV})}(\hat{\mathbf{r}}) &= c_o[E_x(\mathbf{r}_j)\cos\theta\cos\phi - E_y(\mathbf{r}_j)\cos\theta\sin\phi \\ &+ E_z(\mathbf{r}_j)\sin\theta] \times \exp[-ik(-r_{jx}\sin\theta\cos\phi \\ &+ r_{iy}\sin\theta\sin\phi + r_{iz}\cos\theta)], \end{split} \tag{47}$$

and

$$z_{j\phi}^{(1)}(\hat{\mathbf{r}}) = c_o[E_y(\mathbf{r}_j)\cos\phi - E_x(\mathbf{r}_j)\sin\phi]\exp[-ik(r_{jx}\sin\theta\cos\phi + r_{jy}\sin\theta\sin\phi + r_{jz}\cos\theta)], \tag{48}$$

$$\begin{split} z_{j\phi}^{(\mathrm{II})}(\hat{\mathbf{r}}) &= c_o[-E_y(\mathbf{r}_j)\cos\phi - E_x(\mathbf{r}_j)\sin\phi] \\ &\times \exp[-ik(r_{jx}\sin\theta\cos\phi \\ &-r_{jy}\sin\theta\sin\phi + r_{jz}\cos\theta)], \end{split} \tag{49}$$

$$\begin{split} z_{j\phi}^{(\text{III})}(\hat{\mathbf{r}}) &= c_o[E_y(\mathbf{r}_j)\cos\phi - E_x(\mathbf{r}_j)\sin\phi] \\ &\times \exp[-ik(-r_{jx}\sin\theta\cos\phi \\ &-r_{jy}\sin\theta\sin\phi + r_{jz}\cos\theta)], \end{split} \tag{50}$$

$$\begin{split} z_{j\phi}^{(\text{IV})}(\hat{\mathbf{r}}) &= c_o[-E_y(\mathbf{r}_j)\cos\phi - E_x(\mathbf{r}_j)\sin\phi] \\ &\times \exp[-ik(-r_{jx}\sin\theta\cos\phi \\ &+ r_{jy}\sin\theta\sin\phi + r_{jz}\cos\theta)], \end{split} \tag{51}$$

where $c_o = k^2(m^2 - 1)\Delta V/(4\pi)$, and $\mathbf{r}_j = r_{jx}\hat{\mathbf{x}} + r_{jy}\hat{\mathbf{y}} + r_{jz}\hat{\mathbf{z}}$. These expressions are identical for the k subscript terms.

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