

# 人工智能基础

徐林莉 [linlixu@ustc.edu.cn](mailto:linlixu@ustc.edu.cn)

# 课程简介

## □ 教材

- ▣ Artificial Intelligence – A modern approach

S. Russell and P. Norvig

人工智能——一种现代方法（第三版）

## □ 参考书

- ▣ 机器学习 周志华 (2016)

## □ 课程考核

- ▣ 学期总评=期末考试(60%)+书面作业(15%)+实验部分(25%)

## □ 课件:

<http://staff.ustc.edu.cn/~linlixu/ai2023spring/ai2023spring.html>

# 课程大纲

- 第一部分：人工智能概述/Introduction and Agents (chapters 1,2)
- 第二部分：问题求解/Search (chapters 3,4,5,6)
- 第三部分：知识与推理/Logic (chapters 7,8,9,10)
- 第四部分：不确定知识与推理/Uncertainty (chapters 13 -17)
- 第五部分：学习/Learning (chapters 18,19,20,21)

# 助教

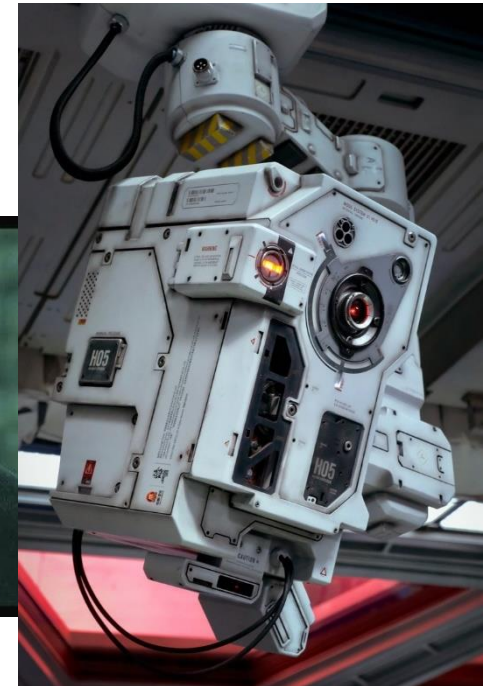
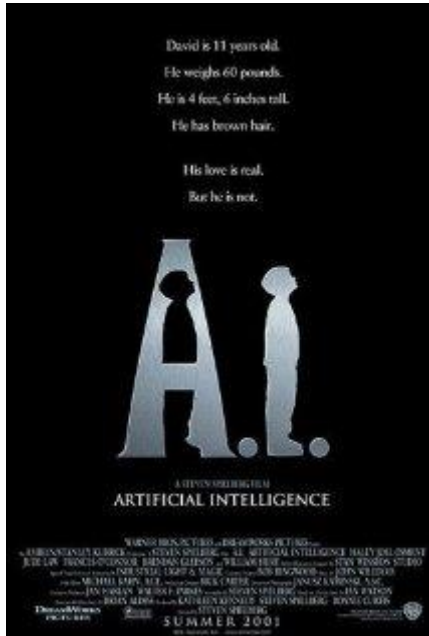
又木	[youmu1998@mail.ustc.edu.cn]
李博诚	[bcli@mail.ustc.edu.cn]
蒋征国	[jiangzhengguo@mail.ustc.edu.cn]

# Chapter 1. Introduction

# Introduction

- What is AI?
- The history of AI (历史)
- Recent progress in AI (现状)

# Sci-Fi AI?



# Early Achievements of AI

## □ Deep Blue playing chess （国际象棋）





# Early Achievements of AI

- Face detection, in most digital cameras for auto focusing



Also blink and smile detection!



# Early Achievements of AI

- Feb 2011, Watson (沃森) beat human on the quiz show Jeopardy!. And received the first prize of \$1 million.



# Threats of AI?



2 December 2014 Last updated at 13:02

## Stephen Hawking warns artificial intelligence could end mankind

 COMMENTS (1027)



**By Rory Cellan-Jones**  
Technology correspondent



Stephen Hawking: "Humans, who are limited by slow biological evolution, couldn't compete and would be superseded"

**Prof Stephen Hawking, one of Britain's pre-eminent scientists, has said that efforts to create thinking machines pose a threat to our very existence.**

# New Achievements of AI

Silver, D. et al. Nature (2016)



Go, a complex game popular in Asia, has frustrated the efforts of artificial-intelligence researchers for decades.

ARTIFICIAL INTELLIGENCE

## Google masters Go

*Deep-learning software excels at complex ancient board game.*

<http://staff.ustc.edu.cn/~linlixu/ai2023spring/ai2023spring.html>



# New Achievements of AI

Li, Y. et al. (2022)

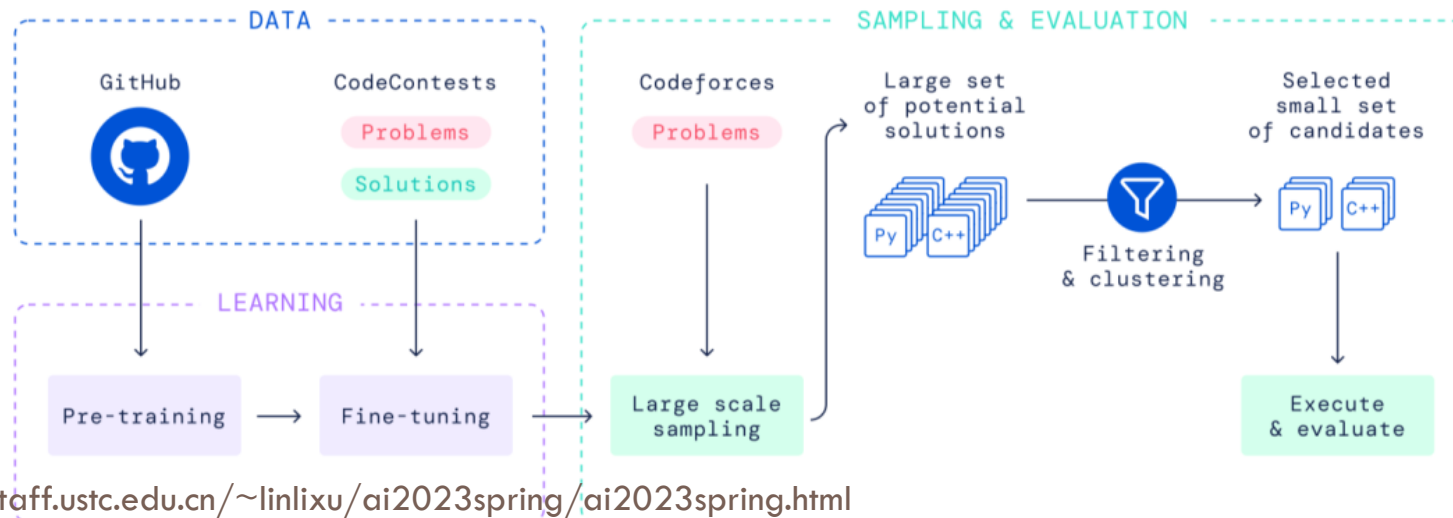


2022-2-19

## Competition-Level Code Generation with AlphaCode

Yujia Li\*, David Choi\*, Junyoung Chung\*, Nate Kushman\*, Julian Schrittwieser\*, Rémi Leblond\*, Tom Eccles\*, James Keeling\*, Felix Gimeno\*, Agustin Dal Lago\*, Thomas Hubert\*, Peter Choy\*, Cyprien de Masson d'Autume\*, Igor Babuschkin, Xinyun Chen, Po-Sen Huang, Johannes Welbl, Sven Gowal, Alexey Cherepanov, James Molloy, Daniel J. Mankowitz, Esme Sutherland Robson, Pushmeet Kohli, Nando de Freitas, Koray Kavukcuoglu and Oriol Vinyals

\*Joint first authors



# New Achievements of AI

Agostinelli, A. et al. (2023)

## MusicLM: Generating Music From Text

Napoleon  
Crossing the  
Alps - Jacques-  
Louis David



*"The composition shows a strongly idealized view of the real crossing that Napoleon and his army made across the Alps through the Great St Bernard Pass in May 1800." By [wikipedia](#)*



# New Achievements of AI

Li, Y. et al. (2022)

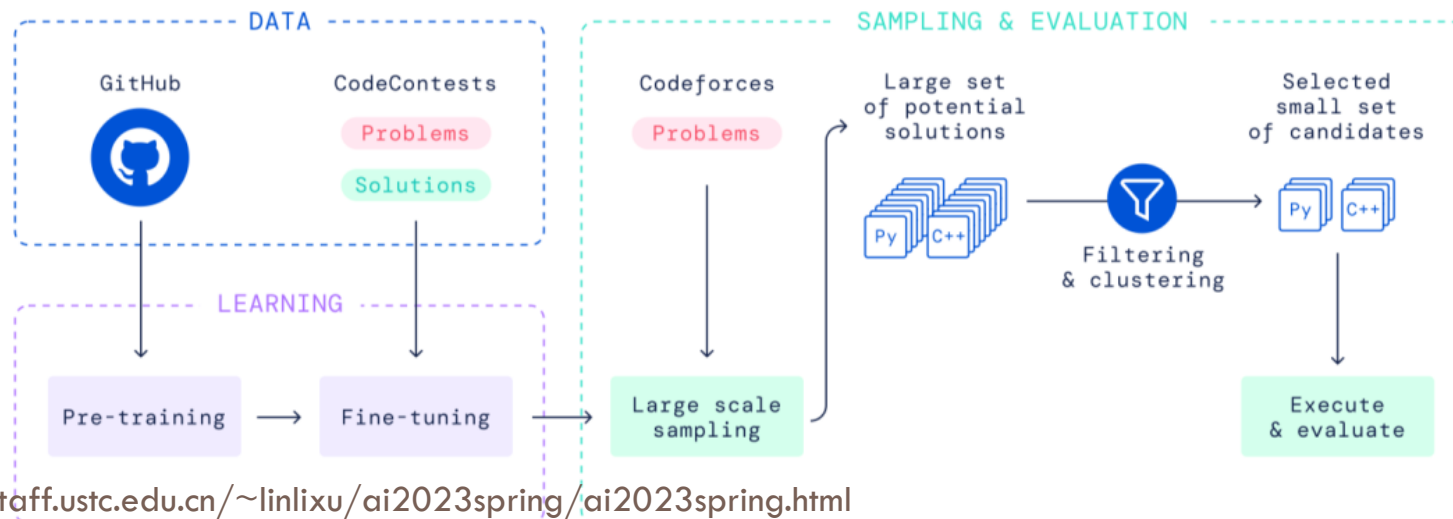


2022-2-19

## Competition-Level Code Generation with AlphaCode

Yujia Li\*, David Choi\*, Junyoung Chung\*, Nate Kushman\*, Julian Schrittwieser\*, Rémi Leblond\*, Tom Eccles\*, James Keeling\*, Felix Gimeno\*, Agustin Dal Lago\*, Thomas Hubert\*, Peter Choy\*, Cyprien de Masson d'Autume\*, Igor Babuschkin, Xinyun Chen, Po-Sen Huang, Johannes Welbl, Sven Gowal, Alexey Cherepanov, James Molloy, Daniel J. Mankowitz, Esme Sutherland Robson, Pushmeet Kohli, Nando de Freitas, Koray Kavukcuoglu and Oriol Vinyals

\*Joint first authors



<http://staff.ustc.edu.cn/~linlixu/ai2023spring/ai2023spring.html>

# What is AI?

Different people think of AI differently

Views of AI fall into four categories:

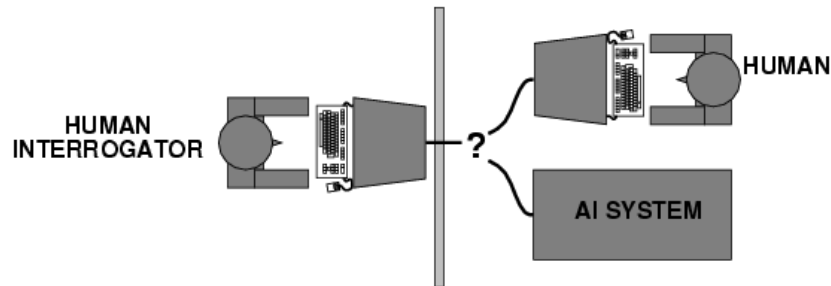
Thinking humanly	Thinking rationally
Acting humanly	Acting rationally

The textbook advocates “acting rationally（理性的）”



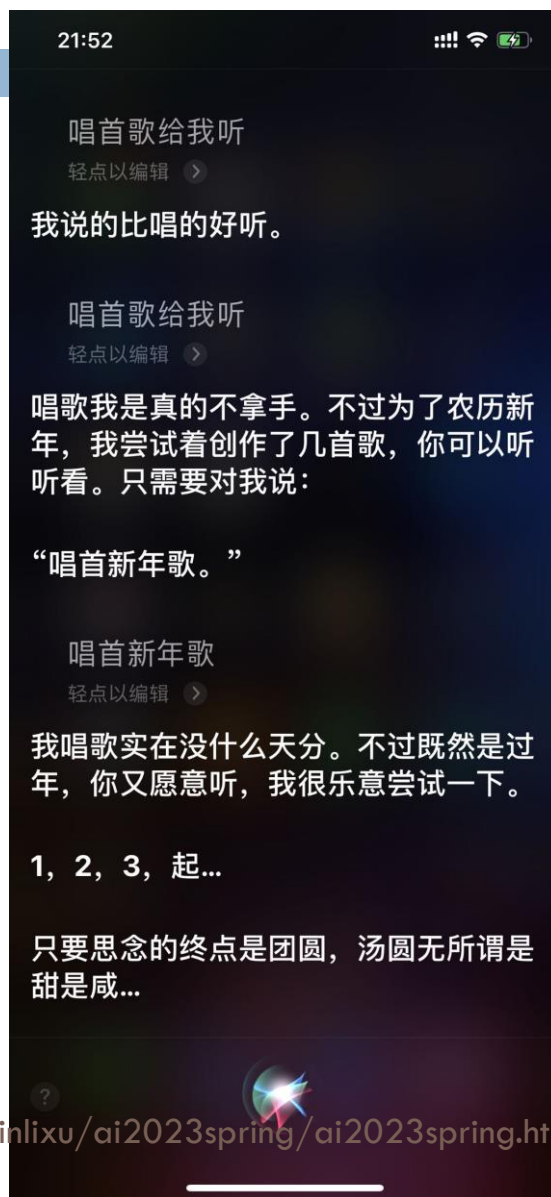
# Acting humanly: Turing Test 图灵测试

- Turing (1950) "Computing machinery and intelligence":
  - ▣ "Can machines think?" → "Can machines behave intelligently?"
  - ▣ Operational test for intelligent behavior: the Imitation Game



- ▣ Predicted that by 2000, a machine might have a 30% chance of fooling a lay person for 5 minutes
  - ▣ Anticipated all major arguments against AI in following 50 years
  - ▣ Suggested major components of AI: **knowledge** (知识), **reasoning** (推理), **language understanding** (语言理解), **learning** (学习)
- Problem: Turing test is not reproducible or amenable to mathematical analysis

# Acting humanly: Turing Test 图灵测试



# Thinking humanly: cognitive modeling

## 认知模型

- 1960s "cognitive revolution": information-processing psychology
- Requires scientific theories of internal activities of the brain
- -- How to validate? Requires
  - 1) Predicting and testing behavior of human subjects (top-down) or 2) Direct identification from neurological data (bottom-up)
- ▣ Both approaches (roughly, Cognitive Science and Cognitive Neuroscience) are now distinct from AI

# Thinking rationally: "laws of thought"

- Aristotle: what are correct arguments/thought processes?
- Several Greek schools developed various forms of *logic notation* (符号) and *rules of derivation* (规则) for thoughts; may or may not have proceeded to the idea of mechanization
- Direct line through mathematics and philosophy to modern AI
- Problems:
  - ▣ Not all intelligent behavior is mediated by logical deliberation
  - ▣ What is the purpose of thinking? What thoughts should I have?
  - ▣ Logical systems tend to do the wrong thing in the presence of uncertainty

# Acting rationally: rational agent

- **Rational** behavior: doing the right thing
  - ▣ The right thing: which is expected to maximize goal achievement, given the available information
  - ▣ Doesn't necessarily involve thinking – e.g., blinking reflex – but thinking should be in the service of rational action
  - ▣ Entirely dependent on goals!
  - ▣ Irrational  $\neq$  insane, irrationality is sub-optimal action
  - ▣ Rational  $\neq$  successful

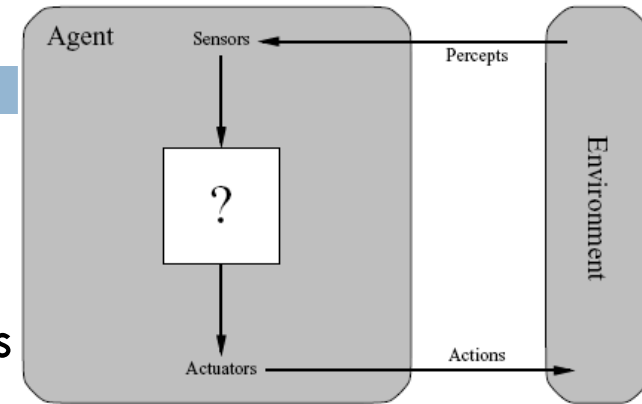
# Acting rationally: rational agent

- Our focus here:
  - ▣ Systems which make the best possible decisions given goals, evidence, and constraints
  - ▣ In the real world, usually lots of uncertainty
    - ... and lots of complexity
  - ▣ Usually, we're just approximating rationality

**Maximize Your  
Expected Utility**

# Rational agents

- An **agent** is an entity that perceives and acts
- This course is about designing rational agents
- Abstractly, an agent is a function from percept histories to actions:  
$$[f: P^* \rightarrow \mathcal{A}]$$
- For any given class of environments and tasks, we seek the agent (or class of agents) with the best performance
- Caveat: computational limitations make perfect rationality unachievable  
→ design best **program** for given machine resources





# AI prehistory

- Philosophy Logic, methods of reasoning, mind as physical system foundations of learning, language, rationality
- Mathematics Formal representation and proof algorithms, computation, (un)decidability, (in)tractability, probability
- Economics utility, decision theory
- Neuroscience physical substrate for mental activity
- Psychology phenomena of perception and motor control, experimental techniques
- Computer engineering building fast computers
- Control theory design systems that maximize an objective function over time
- Linguistics knowledge representation, grammar

# AI history

- The gestation of AI 孕育期 (—1956)
- Reasoning methods 注重推理时期 (1956-1975)
- Knowledge-based system 知识运用时期 (1976-1988)
- Integration 集成运用 (1989- present)

# The Gestation of AI

- 古希腊**Aristotle**（亚里士多德BC 384-322），给出形式逻辑的基本规律 **Syllogism**(三段论)。
- 英国**Bacon**（培根1561-1626），系统地给出**Induction**(归纳法)。
- 德国**Leibnitz**（莱布尼茨1646-1716）提出**Symbolic Logic**(数理逻辑)。
- 英国**Boole**（布尔1815-1864）提出**Boolean Algebra**(布尔代数)系统，实现了思维符号化和数学化

# The Gestation of AI (Cont.)

- 1936 英国Turing（图灵, 1912-1954）:理想计算机模型Turing Machine（图灵机）
- 1946 美国Mauchly（莫克利）, Eckert（埃克特）: ENIAC
- 1948 美国Shannon（香农）: Information Theory(信息论)
- 1950 Turing Test图灵测试



# The Birth of AI (1956)

John McCarthy organized a two-month workshop at Dartmouth in the summer of 1956, ten young men were there :

McCarthy, Minsky, Rochester, Shannon, Moore, Samuel, Selfridge, Solomonff, Simon, Newell.

They introduced all the major figures to each other and agreed to adopt the name of **Artificial Intelligence** for the field.

# Abridged history of AI

- 1943 McCulloch & Pitts: Boolean circuit model of brain
- 1950 Turing's "Computing Machinery and Intelligence"
- 1956 Dartmouth meeting: "Artificial Intelligence" adopted
- 1952—69 Look, Ma, no hands!
- 1950s Early AI programs, including Samuel's checkers program, Newell & Simon's Logic Theorist, Gelernter's Geometry Engine
- 1965 Robinson's complete algorithm for logical reasoning
- 1966—73 AI discovers computational complexity  
Neural network research almost disappears
- 1969—79 Early development of knowledge-based systems
- 1980-- AI becomes an industry
- 1986-- Neural networks return to popularity
- 1987-- AI becomes a science
- 1995-- The emergence of intelligent agents

# AI Today

- Mostly about engineering domain-specific solutions rather than creating general theories
- We don't know how to do most of intelligent things, but the rest can be solved pretty well
- A set of “tools” for representing information and using them to solve specific tasks
  - ▣ Neural networks, hidden Markov models, Bayesian networks, heuristic search, logic, ...
- There's no magic in AI. It's all about **representation, optimization, probability, and algorithms**

# Well-known AI applications

- Expert systems (organic chemistry, medicine, geology, configuring computers)
- Speech recognition
- Handwriting recognition
- Game playing (chess, checkers, now Go)
- Robots (automated cars, ping pong player, Honda robot)
- Automated theorem proving
- Web search engines
- Natural language understanding (machine translation, Google)
- Logistics scheduling (military --- people, cargo, vehicles)
- Cruise missiles
- Microsoft Answer Wizard



# State of the art

- Google language translation services
- Google automatic news aggregation and summarization
- Nuance voice recognition (behind Apple's Siri)
- Face detection and face recognition systems
- Apple Siri question-answering system
- IBM Watson question-answering system
- IBM Deep Blue chess playing program
- Deepmind Alpha Series
- Large Language Models, ChatGPT
- Driverless cars

# Summary

- Applications of AI:
  - ▣ high-impact (affect billions of people)
  - ▣ diverse (language, vision, robotics)
- Challenges: really hard...
  - ▣ computation complexity
  - ▣ information complexity
- Paradigm: modeling + algorithms