

## 1 Asymptotic notation with 1 parameter

For the following operations on a Binary Search Tree (BST) that stores  $n$  items (keys or key/data pairs), choose the **tightest worst-case running time** for a good implementation of the operation.

Note: In this class, we use “tight big- $O$ ” in an informal sense. We consider a bound  $O(f(n))$  to be a tight big- $O$  bound if there is no alternative “reasonable” big- $O$  bound  $O(g(n))$  where  $g(n) = o(f(n))$ . A “reasonable” bound is typically a simple combination of functions such as  $\log n$ ,  $\sqrt{n}$ ,  $n$ ,  $n^k$ ,  $2^n$ , or  $n!$ . There should be no lower-order terms, and no unnecessary constant coefficients.

1. Find the smallest key in a (not necessarily balanced) BST.

$O(1)$         $O(\lg n)$         $O(n)$         $O(n \lg n)$         $O(n^2)$

2. Build a BST whose keys are the numbers between 1 and  $n$ , by inserting them in that order.

$O(1)$         $O(\lg n)$         $O(n)$         $O(n \lg n)$         $O(n^2)$

3. Given a key  $k$  stored in a *balanced* BST, in which each node stores the size of its subtree, count the number of keys  $x$  such that  $x < k$ . The BST is balanced if its depth is  $O(\log n)$ .

$O(1)$         $O(\lg n)$         $O(n)$         $O(n \lg n)$         $O(n^2)$

## 2 Asymptotic notation with multiple parameters

Algorithms that find occurrences of a pattern in a text are used in myriad applications, including text processing as well as detecting interesting motifs (patterns) in genomes (texts). Let  $P[1..k]$  and  $T[1..n]$  be strings, called the pattern and text, respectively. We say that  $P$  occurs at offset  $o$  in  $T$  if  $P[1..k] = T[1 + o..k + o]$ . The following algorithm finds the offsets of all occurrences of a pattern in a text:

```
FUNCTION FindPattern(P[1...k],T[1...n]):  
    // output the list of all offsets of occurrences of pattern P in text T  
    offset_list = [ ]  
    for o from 0 to n-k:  
        num_matches = 0  
        for j from 0 to k-1:  
            if T[1+o+j] == P[1+j]:  
                num_matches++  
        if num_matches == k:  
            add o to offset_list  
    return offset_list
```

To analyze the running time of this algorithm, we'll extend big- $O$  notation to functions with two parameters. Specifically, let  $T(n, k)$  be the worst-case running time of a given algorithm whose input size is described using a pair  $(n, k)$  of nonnegative integers. We say that  $T(n, k)$  is *big- $O$  of function  $f(n, k)$*  and write  $T(n, k) = O(f(n, k))$  if there are nonnegative integers  $c$ ,  $n_0$ , and  $k_0$  such that for all inputs of size  $(n, k)$  with  $n \geq n_0$  and  $k \geq k_0$ ,  $T(n, k) \leq cf(n, k)$ .

As a function of  $n$  and  $k$ , what terms below describe the worst-case running time of Algorithm Find-Pattern? Check all answers that apply. (Make sure to write down a brief justification for your choices, and don't forget to do that also in future problems and in the assignment.)

- $\Theta(k^2)$
- $\Theta((n - k + 1)^2)$
- $\Theta((n - k + 1)k)$
- $\Theta(nk)$

## Tutorial 2

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2020.07.11.

For  $\Theta(k^2)$ , we let  $k=1$ , then  $k^2=1$

$(n-k+1)k \notin O(1)$  which is equivalently  $\Theta(k^2)$

For  $\Theta((n-k+1)^2)$ , we assume  $k=n$ ,

$(n-k+1)^2 = (n-n+1)^2 = 1 \Rightarrow \notin \Theta((n-k+1)^2)$

$(n-k+1)k \notin O(1)$

For  $\Theta(nk)$ ,  $(n-k+1)k \in O(nk)$ . Let  $k=n-1$

$(n-n+1+1)(n-1) = 2n-2 < 2n \leq c \cdot nk$

so  $(n-k+1)k < c \cdot nk$  which means  
it  $\notin \Omega$ , as a result, it  $\notin \Theta$ .

The whole algorithm:

offset\_list = []  $O(1)$  time

the first for loop:  $O(n-k+1)$  time

num\_matches = 0  $O(1)$  time

the second for loop:  $O(k)$  time

the following steps takes  $O(1)$  for each

return  $O(1)$  time

O(1)  
O(1)  
n/17

$\Theta$ :  $\in \Omega$  and  $\in \Omega$

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For  $\Theta((n-k+1)^2)$ , we assume  $k=n$ ,

$(n-k+1)^2 = (n-n+1)^2 = 1 \notin \Theta((n-k+1)^2)$

$(n-k+1)k \notin O(1)$

For  $\Theta(nk)$ ,  $(n-k+1)k \in O(nk)$ . Let  $k=n-1$

$(n-n+1+1)(n-1) = 2n-2 < 2n \leq c \cdot nk$

So  $(n-k+1)k < c \cdot nk$  which means

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the second for loop:  $O(k)$  time

the following steps takes  $O(1)$  for each

return  $O(1)$  time