

# Decimal expansions

We take a number theoretic view of decimal (ie, regular) expansion of numbers.

We are going to look at our regular, decimal expansions of numbers from a number theory perspective in order to study something familiar as an analogy for continued fractions. We start with some familiar definitions.

**Definition 1.** Let  $\alpha \in \mathbb{R}$ . Then  $\alpha$  is a rational number (or  $\alpha \in \mathbb{Q}$ ) if  $\alpha = \frac{a}{b}$  where  $a, b \in \mathbb{Z}$  and  $b \neq 0$ . Otherwise  $\alpha$  is irrational.

**Example 1.** (a)  $0.5 = \frac{5}{10} = \frac{1}{2}$

(b)  $0.666\dots$  where the 6s repeat forever is rational since  $0.666\dots = \boxed{\frac{2}{3}}$ . We will actually prove that the decimal expansion of a rational number either repeats or terminates.

(c) The real number  $\sqrt{2}$  is irrational. This is our first proof.

(d) The real constants  $\pi$  and  $e$  are irrational. We will prove that  $e$  is irrational in the homework. The proof that  $\pi$  is irrational is much harder.

(e) The real numbers  $2^{\sqrt{2}}$ ,  $e^{\pi}$ , and  $\pi e$  are irrational. These were not proven until 1929.

(f) We still do not know if  $\pi^{\sqrt{2}}$ ,  $\pi^e$  or  $2^e$  are rational or irrational.

**Theorem 1.**  $\sqrt{2} \notin \mathbb{Q}$ .

**Proof** In order to get a contradiction, assume that  $\sqrt{2} \in \mathbb{Q}$ . Then  $\sqrt{2} \in \frac{a}{b}$  for some  $a, b \in \mathbb{Z}$ , with  $b \neq 0$ . Without loss of generality, assume  $(a, b) = 1$ . By squaring both sides, we get  $2 = \frac{a^2}{b^2}$ , so  $2b^2 = a^2$ . Thus,  $2 \mid a^2$  and  $2 \mid a$ . Thus, there is some integer  $c$  where  $a = 2c$ . Then  $2b^2 = 4c^2$ , so  $b^2 = 2c^2$ . Now we get that  $2 \mid b$ . Thus  $2 \mid a$  and  $2 \mid b$ , which contradicts  $(a, b) = 1$ . So  $\sqrt{2} \notin \mathbb{Q}$ . ■

Proof by contradiction is a useful technique for proving a number is irrational.

**Theorem 2.** Let  $\alpha, \beta \in \mathbb{Q}$ . Then  $\alpha \pm \beta, \alpha\beta \in \mathbb{Q}$ , and if  $\beta \neq 0$ , then  $\frac{\alpha}{\beta} \in \mathbb{Q}$ .

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Learning outcomes:  
Author(s):

**Proof** The participation assignment covers  $\alpha + \beta, \alpha\beta$ . Replacing  $\beta$  with  $-\beta$  gives  $\alpha - \beta$ . If  $\beta \neq 0$ , then there exists  $a, b, c, d \in \mathbb{Z}$  where  $\alpha = \frac{a}{b}$  and  $\beta = \frac{c}{d}$  where none of  $b, c, d$  are zero.

$$\frac{\alpha}{\beta} = \frac{\frac{a}{b}}{\frac{c}{d}} = \frac{ad}{bc}.$$

■

The analogous statement for irrational numbers does not hold. For example  $\sqrt{2}\sqrt{2} = 2$ . The participation assignment is to find an example that does not work for addition.

**Theorem 3.** Let  $\alpha \in \mathbb{R}$  be the root of the polynomial

$$f(x) = x^n + c_{n-1}x^{n-1} + \cdots + c_1x + c_0$$

where  $c_i \in \mathbb{Z}$  and  $c_0 \neq 0$ . Then  $\alpha \in \mathbb{Z}$  or  $\alpha$  is irrational.

**Proof** Assume that  $\alpha \in \mathbb{Q}$ . We must show that  $\alpha \in \mathbb{Z}$ . Now  $\alpha = \frac{a}{b}$  for some integers  $a$  and  $b \neq 0$ . Without loss of generality,  $(a, b) = 1$ . Then for  $f(\alpha) = 0$  implies

$$\left(\frac{a}{b}\right)^n + c_{n-1}\left(\frac{a}{b}\right)^{n-1} + c_{n-2}\left(\frac{a}{b}\right)^{n-2} + \cdots + c_1\left(\frac{a}{b}\right) + c_0 = 0.$$

■

Multiplying both sides by  $b^n$ , we get

$$a^n + c_{n-1}a^{n-1}b + c_{n-2}a^{n-2}b^2 + \cdots + c_1ab^{n-1} + c_0b^n = 0.$$

Then

$$a^n = b(-c_{n-1}a^{n-1} - c_{n-2}a^{n-2}b - \cdots - c_1ab^{n-2} - c_0b^{n-1}).$$

Thus,  $b \mid a^n$ . Since  $(a, b) = 1$ , we have that  $b = \pm 1$ . Then  $\alpha = \frac{a}{\pm 1} = \pm a \in \mathbb{Z}$ .

**Example 2.** (a)  $\sqrt{3}$  is a root of the polynomial  $f(x) = x^2 - 3$ . Since  $\sqrt{3} \notin \mathbb{Z}$ , then  $\sqrt{3}$  is irrational.

(b)  $2 + \sqrt{7}$  is a root of the polynomial  $f(x) = x^2 - 4x - 3$  and  $\sqrt{7} \notin \mathbb{Z}$ ,  $2 + \sqrt{7}$  is irrational.

(c)  $\sqrt[3]{5}$  is a root of the polynomial  $f(x) = x^3 - 5$ . Since 5 is between the perfect cubes  $\boxed{1^3}$  and  $\boxed{2^3}$ , we have that  $\boxed{1} < \sqrt[3]{5} < \boxed{2}$ . Thus,  $\sqrt[3]{5}$  is not an integer, and thus is irrational.

Using this theorem involves finding a polynomial where  $x$  is a root. Sometimes this is basic algebra, like rewriting  $x = 3 + \sqrt{2}$  as  $0 = \boxed{x^2 - 6x + 7}$ . However, for numbers like  $\pi$  and  $e$ , no such polynomial exists.

Every real number has a decimal expansion, which is how we are used to writing numbers.

**Definition 2.** Let  $\alpha \in \mathbb{R}$  with  $0 \leq \alpha < 1$  and let  $\sum_{n=1}^{\infty} \frac{a_n}{10^n} = 0.a_1a_2a_3 \dots$  be a decimal representation of  $\alpha$ . If there exist a positive integer  $\rho$  and  $N$  such that  $a_n = a_{n+\rho}$  for all  $n \geq N$ , then  $\alpha$  is eventually periodic; the sequence  $a_Na_{N+1} \dots a_{N+\rho-1}$  with  $\rho$  minimal is the period of  $\alpha$  and  $\rho$  is the period length. If the smallest such  $N$  is 1, then  $\alpha$  is periodic. An eventually periodic real number

$$\alpha = 0.a_1a_2a_3 \dots a_{N-1}a_Na_{N+1} \dots a_{N+\rho-1}a_Na_{N+1} \dots a_{N+\rho-1}a_Na_{N+1} \dots a_{N+\rho-1} \dots$$

is written

$$\alpha = 0.a_1a_2a_3 \dots a_{N-1}\overline{a_Na_{N+1} \dots a_{N+\rho-1}}.$$

This is a formalized definition of a repeating decimal.

**Example 3.** (a) A decimal representation of  $\frac{1}{2}$  is  $0.5 = 0.5\overline{0}$ , so  $\frac{1}{2}$  is eventually periodic with period  $\boxed{0}$  and length  $\boxed{1}$ . Any terminating decimal can be considered periodic with the same period and length.

(b) A decimal representation of  $\frac{2}{3}$  is  $0.\overline{6}$  is eventually periodic with period  $\boxed{6}$  and length  $\boxed{1}$ .

(c) A decimal representation of  $\sqrt{2}$  to 20 digits is 1.41421356237309504880... which does not appear to be eventually periodic, but maybe we have not computed enough digits.

(d) A decimal representation of  $\pi$  to 20 digits is 3.14159263558979323846... which does not appear to be eventually periodic but maybe we have not computed enough digits.

You have probably heard that the decimal expansion of a rational number either terminates or repeats. We have formalized the definition of repeats to “eventually periodic,” and show that terminating decimals are also eventually periodic. Now we prove that fact.

**Theorem 4.** Let  $\alpha \in \mathbb{R}$  with  $0 \leq \alpha < 1$ . Then  $\alpha \in \mathbb{Q}$  if and only if  $\alpha$  is eventually periodic.

**Proof** ( $\Rightarrow$ ) Assume that  $\alpha \in \mathbb{Q}$ . The  $\alpha = \frac{a}{b}$  for some integers  $a$  and  $b \neq 0$ . Since,  $0 \leq \alpha < 1$ , we also have that  $0 \leq a < b$ . Now divide  $b$  into  $a$  by using long

division; let the resulting decimal representation of  $\alpha$  be

$$\sum_{n=1}^{\infty} \frac{q_n}{10^n} = 0.q_1q_2q_3\ldots$$

By the division algorithm, the possible remainders when dividing  $a$  by  $b$  are  $0, 1, 2, \dots, b-1$ . At each stage of the long-division process,  $b$  is being divided by one of these remainders times 10 (ie,  $0, 10, 20, \dots, (b-1)10$ ). The first such remainder is  $a$ . Accordingly, let  $r_1 = a, r_2, r_3, \dots$  be the sequence of remainders corresponding to the quotients  $q_1, q_2, q_3, \dots$  (so that  $\frac{a}{b} = 0.q_1q_2q_3\ldots$ ). Since the number of possible remainders is finite,  $r_N = r_M$  for some  $N$  and  $M$  with  $N < M$ . If  $p = M - N$ , then  $r_n = r_{n+p}$  for all  $n \geq N$ , from which  $q_n = q_{n+p}$  for all  $n \geq N$ , and  $\alpha$  is eventually periodic.

( $\Leftarrow$ ) Assume that  $\alpha$  is eventually periodic. Then there exists positive integers  $p$  and  $N$  such that  $\alpha = 0.a_1a_2a_3\ldots a_{N-1}\overline{a_Na_{N+1}\cdots a_{N+p-1}}$  Now

$$10^{N-1}\alpha = a_1a_2a_3\ldots a_{N-1}.\overline{a_Na_{N+1}\cdots a_{N+p-1}}$$

and

$$10^p 10^{N-1}\alpha = a_1a_2a_3\ldots a_{N-1}a_Na_{N+1}\cdots a_{N+p-1}.\overline{a_Na_{N+1}\cdots a_{N+p-1}}.$$

Furthermore,  $10^p 10^{N-1}\alpha - 10^{N-1}\alpha$  is an integer since the identical repeating blocks cancel (leaving  $a_1a_2a_3\ldots a_{N-1}a_Na_{N+1}\cdots a_{N+p-1} - a_1a_2a_3\ldots a_{N-1}$ ). Since  $10^p 10^{N-1}\alpha - 10^{N-1}\alpha = (10^p - 1)10^{N-1}\alpha$ , we have that  $(10^p - 1)10^{N-1}\alpha = m \in \mathbb{Z}$ . Then

$$\alpha = \frac{m}{(10^p - 1)10^{N-1}}.$$

Since  $(10^p - 1)10^{N-1}$  is a nonzero integer, then  $\alpha \in \mathbb{Q}$  as desired.  $\blacksquare$

Homework: parallel this proof for specific numbers.

## A very different look at decimal numbers

Here is a very different way of generating decimal expansions using ideas from dynamical systems. The idea is to divide the unit interval  $[0, 1)$  into intervals  $\left[\frac{i}{10}, \frac{i+1}{10}\right)$  where  $i = 0, 1, 2, \dots, 9$ . If a number  $x \in \left[\frac{i}{10}, \frac{i+1}{10}\right)$ , then the first digit of the decimal expansion is  $i$ . For example, when  $i = 1$ , the interval is  $\left(\boxed{\frac{1}{10}}, \boxed{\frac{2}{10}}\right)$  and the first digit of every  $x$  in the interval is  $\boxed{1}$ .

To get the second digit, we break each of these intervals into 10 smaller intervals  $\left[\frac{i}{10} + \frac{j}{10^2}, \frac{i}{10} + \frac{j+1}{10^2}\right)$ ,  $0 \leq i \leq 9, 0 \leq j \leq 9$ . For each  $x \in \left[\frac{i}{10} + \frac{j}{10^2}, \frac{i}{10} + \frac{j+1}{10^2}\right)$ ,  $x =$

$0.ij \dots$ . For example, when  $i = 2, j = 3$ , the interval is  $\left( \boxed{\frac{2}{10} + \frac{3}{100}}, \boxed{\frac{2}{10} + \frac{4}{100}} \right)$   
 and the first digit of every  $x = 0.\boxed{2}\boxed{3} \dots$

Determining the rest of the digits involves iterating this process.