

Staggered Fermions^{1,2,3}

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Journal Club

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¹C. Gattringer and C. B. Lang *QCD on the Lattice* Springer, 2010.

²H. J. Rothe *Lattice Gauge Theories* 3rd ed. World Scientific, 2005.

³E. Follana et al., Phys. Rev. D, 75.5, 054502 (2007).

Quick Outline

Staggered:

- 1 Motivation
- 2 Broad strokes
- 3 Detailed strokes
- 4 Some discussion

HISQ:

- 1 Improving staggered actions
- 2 How HISQ does it

Summary

Staggered: Motivation

- Last time saw fermion discretization has **doubling problem**.

$$D^{-1}(p) = \frac{\frac{i}{a} \sum_{\mu=1}^4 \gamma_{\mu} \sin(p_{\mu} a)}{\frac{1}{a^2} \sum_{\nu=1}^4 \sin^2(p_{\nu} a)}$$

in massless case, where there are unphysical poles at the corners of the Brillouin Zone, where at least one $p_{\mu} \neq 0$.

- Wilson: Add **Wilson term** that kills doublers but vanishes for $a \rightarrow 0$

$$a \sum_{\mu=1}^4 \frac{1}{2a^2} (2\delta_{x,y} - \delta_{x,y-a\hat{\mu}} - \delta_{x,y+a\hat{\mu}})$$

in the free case. This is discretized $\partial_{\mu}\partial_{\mu}$.

- Drawback:** Breaks $SU_A(N_f) \times U_A(1)$ explicitly.
- Desire:** To remove doublers in a way that preserves at least some remnant of chiral symmetry.

Staggered: Broad strokes

- **Idea:** Increase the effective lattice spacing, thereby reducing the Brillouin Zone.
- One way to do this: Distribute d.o.f. on each corner of unit hypercube. Each d.o.f. is then separated by $2a$.
- Define new quarks (**tastes**) by linear combinations of the d.o.f.
- Reduces 15 unphysical flavors to 3.
- There will remain $U(1) \times U(1)$.

Staggered: Detailed strokes

Starting point: Naive free fermion action

$$S_F = a^4 \sum_n \bar{\psi}(n) \left(\sum_{\mu=1}^4 \gamma_{\mu} \frac{\psi(n + \hat{\mu}) - \psi(n - \hat{\mu})}{2a} + m\psi(n) \right).$$

and introduce **staggered transformation**

$$\psi(n) = \gamma_1^{n_1} \gamma_2^{n_2} \gamma_3^{n_3} \gamma_4^{n_4} \psi(n)'$$

$$\bar{\psi}(n) = \bar{\psi}(n)' \gamma_4^{n_4} \gamma_3^{n_3} \gamma_2^{n_2} \gamma_1^{n_1}$$

Now write S_F in terms of these transformed fields...

Staggered: Detailed strokes

$$S_F = a^4 \sum_n \bar{\psi}(n)' \mathbf{1} \left(\sum_{\mu=1}^4 \eta_{\mu}(n) \frac{\psi(n + \hat{\mu})' - \psi(n - \hat{\mu})'}{2a} + m \psi(n)' \right)$$

$$\eta_1(n) = 1, \quad \eta_2(n) = (-1)^{n_1}, \quad \dots, \quad \eta_4(n) = (-1)^{n_1+n_2+n_3}$$

$$\bar{\psi}(n) \gamma_4 \psi(n + \hat{4}) = (-1)^{n_1+n_2+n_3} \bar{\psi}(n)' \mathbf{1} \psi(n + \hat{4})$$

$$\bar{\psi}(n) = \bar{\psi}(n)' \gamma_4^{n_4} \gamma_3^{n_3} \gamma_2^{n_2} \gamma_1^{n_1} \quad \psi(n) = \gamma_1^{n_1} \gamma_2^{n_2} \gamma_3^{n_3} \gamma_4^{n_4} \psi(n)'$$

Staggered: Detailed strokes

$$S_F = a^4 \sum_n \bar{\psi}(n)' \mathbf{1} \left(\sum_{\mu=1}^4 \eta_{\mu}(n) \frac{\psi(n + \hat{\mu})' - \psi(n - \hat{\mu})'}{2a} + m\psi(n)' \right)$$

- Diagonal in Dirac space, with identical components
- Take only one copy, call it χ

Carrying out the second bullet point...

Staggered: Detailed strokes

$$S_F = a^4 \sum_n \bar{\chi}(n) \left(\sum_{\mu=1}^4 \eta_{\mu}(n) \frac{\chi(n + \hat{\mu}) - \chi(n - \hat{\mu})}{2a} + m\chi(n) \right)$$

We are now ready to increase the effective lattice spacing:

- 1 Divide lattice into disjoint unit hypercubes
- 2 The χ live on the corners of the hypercubes
- 3 New q will be linear combination of the χ
- 4 The new lattice sites will be indexed by hypercube number

Staggered: Detailed strokes (building q)

Introduce new vectors, h (hypercube) and s (corner):

$$n_\mu = 2h_\mu + s_\mu \quad \text{with} \quad h_\mu \in \{0, 1, \dots, N_\mu/2\} \quad s_\mu \in \{0, 1\}$$

In this indexing scheme,

$$\eta_\mu(n) = \eta_\mu(2h + s) = \eta_\mu(s).$$

Staggered: Detailed strokes (building q)

Introduce Γ , which will serve as the weights later

$$\Gamma^s = \gamma_1^{s_1} \gamma_2^{s_2} \gamma_3^{s_3} \gamma_4^{s_4}$$

Obeys, respectively, **orthogonality** and **completeness** relations:

$$\frac{1}{4} \text{tr} \left[\Gamma^{s\dagger} \Gamma^{s'} \right] = \delta_{ss'} \qquad \frac{1}{4} \sum_s \Gamma_{ba}^{s*} \Gamma_{b'a'}^s = \delta_{aa'} \delta_{bb'}$$

$$\gamma_\mu = \gamma_\mu^\dagger = \gamma_\mu^{-1}, \quad \gamma_i = \begin{pmatrix} 0 & -i\sigma_i \\ i\sigma_i & 0 \end{pmatrix}, \quad \gamma_4 = \begin{pmatrix} 0 & \mathbf{1}_2 \\ \mathbf{1}_2 & 0 \end{pmatrix}$$

Staggered: Detailed strokes (building q)

The promised linear combination:

$$q(h)_{ab} \equiv \frac{1}{8} \sum_s \Gamma_{ab}^s \chi(2h + s) \quad \bar{q}(h)_{ab} \equiv \frac{1}{8} \sum_s \bar{\chi}(2h + s) \Gamma_{ab}^{s*}$$

Can be inverted using orthogonality relation:

$$\chi(2h + s) = 2 \operatorname{tr} \left[\Gamma^{s\dagger} q(h) \right] \quad \bar{\chi}(2h + s) = 2 \operatorname{tr} \left[\bar{q}(h) \Gamma^s \right]$$

$$\frac{1}{4} \operatorname{tr} \left[\Gamma^{s\dagger} \Gamma^{s'} \right] = \delta_{ss'}$$

Staggered: Detailed strokes (replace χ with q)

Next we want to replace χ with q in the staggered action

$$S_F = a^4 \sum_n \bar{\chi}(n) \left(\sum_{\mu=1}^4 \eta_{\mu}(n) \frac{\chi(n + \hat{\mu}) - \chi(n - \hat{\mu})}{2a} + m\chi(n) \right)$$

- 1 Mass term easy: Plug in χ in terms of q .
- 2 Kinetic term mixes hypercubes...
- 3 Keep track of which hypercube χ belongs to!
- 4 Keeping track introduces some s dependence, which then keeps you from using completeness relations...
- 5 G&L suggest trick of shifting starting index for hypercubes by 1, which gives equivalent results, then averaging between this and original index convention.

Staggered: Detailed strokes (replace χ with q)

New action with spacing $b = 2a$, $q \equiv q(h)$, summation over μ :

$$S_F = (2a)^4 \sum_h \left(m \operatorname{tr} [\bar{q} q] + \operatorname{tr} [\bar{q} \gamma_\mu \partial_\mu q] - a \operatorname{tr} [\bar{q} \gamma_5 \square_\mu q \gamma_\mu \gamma_5] \right)$$

$$\partial_\mu f(h) = \frac{f(h + \hat{\mu}) - f(h - \hat{\mu})}{2b}$$

$$\square_\mu f(h) = \frac{f(h + \hat{\mu}) - 2f(h) + f(h - \hat{\mu})}{b^2}$$

Finally, we want to identify the tastes...

Staggered: Detailed strokes (getting a taste)

- 1 q_{ab} has 16 components, but Dirac spinors should have 4.
- 2 Tells us each q corresponds to 4 spinors.
- 3 Hence identify a **taste** index and Dirac index.
- 4 From e.g. $\text{tr}[\bar{q}q] = \bar{q}_{ab}q_{ba}$ term, Dirac index is first.

Thus our taste spinors are

$$\psi^t(h)_\alpha \equiv q(h)_{\alpha t} \quad \bar{\psi}^t(h)_\alpha \equiv \bar{q}(h)_{t\alpha}$$

Staggered: Detailed strokes

Staggered action with $\tau_\mu \equiv \gamma_\mu^T$, $\psi \equiv \psi(h)$ and sums over t, t', μ :

$$S_F^{\text{stagger}} = b^4 \sum_h \left(m \bar{\psi}^t \psi^t + \bar{\psi}^t \gamma_\mu \partial_\mu \psi^t - \frac{b}{2} \bar{\psi}^t \gamma_5 (\tau_5 \tau_\mu)_{tt'} \square_\mu \psi^{t'} \right)$$

- Last (**taste breaking**) term kind of like Wilson term
- Taste-breaking term allows for taste mixing
- Otherwise tastes would be mass degenerate from first term
- Remaining symmetry in taste-breaking term is $U(1) \times U(1)$:

$$\psi' = e^{i\omega} \psi, \quad \bar{\psi}' = \bar{\psi} e^{-i\omega}$$

$$\psi' = e^{i\omega \gamma_5 \otimes \tau_5} \psi, \quad \bar{\psi}' = \bar{\psi} e^{i\omega \gamma_5 \otimes \tau_5}$$

Staggered: Some discussion

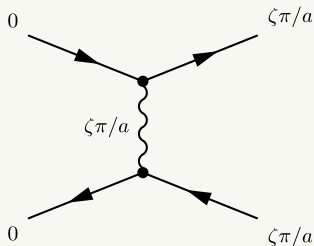
- **Harder to construct operators** that have the correct quantum numbers in the continuum limit.
- Taste breaking effects can be reduced by improved gauge actions or smearing^{4,5}.
- Smearing drives Dirac eigenvalues toward 4-fold degeneracy.
- Still would like just one fermion, though.
- This can be improved by **rooting**.
- Since the number of d.o.f. is reduced, staggered fermions are comparatively **numerically cheap**.
- Staggered results **agree with experimental results**.

⁴S. Dürr, C. Hoelbling, and U. Wenger, Phys. Rev. D, 70, 094502 (2004).

⁵E. Follana, A. Hart, and C. T. H. Davies, Phys. Rev. Lett. 93, 241601 (2004).

HISQ: Improving staggered actions

Taste breaking can be thought of through **taste exchange**. (Figure from Follana *et al.* and ζ is a vector labelling the unphysical corners of the BZ.)



A low-energy quark absorbing a gluon with momentum $\zeta\pi/a$ can become a quark of another taste.

HISQ: Improving staggered actions

One way to reduce taste violation:

- Different tastes see different links.
- So the more links fluctuate, the greater the taste breaking.
- Taste exchange can be suppressed through **smearing**, where links are replaced with local “averages”, i.e. link takes more typical value given its neighbors.

Not directly related to fermions: but one can also improve derivative discretization to reduce lattice artifacts.

HISQ: Improving staggered actions

$$S_F^{\text{stagger}} = b^4 \sum_h \left(m \bar{\psi}^t \psi^t + \bar{\psi}^t \gamma_\mu \partial_\mu \psi^t - \frac{b}{2} \bar{\psi}^t \gamma_5 (\tau_5 \tau_\mu)_{tt'} \square_\mu \psi^{t'} \right)$$

- Without taste exchange, D would be block diagonal
- Then $\det D$ is the product of $\det D_{\text{block}}$
- For $N_f = 2 + 1$ one can sample with

$$e^{-S_G} \det D(m_l)^{1/2} \det D(m_s)^{1/4}$$

- Better with smaller taste violations

HISQ: How HISQ does it

General strategy:

- 1 Improve finite difference derivatives (**Naik term**)

$$\partial_\mu \rightarrow \partial_\mu - \frac{a^2}{6}(1 + \epsilon)\partial_\mu^3$$

where ϵ depends on charm physics.

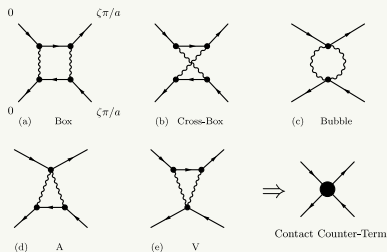
- 2 Find a smear $U_\mu \rightarrow \mathcal{F}_\mu U_\mu$ that vanishes for links carrying momentum π/a . We start with one called **Fat7**.
- 3 Multiple smearing reduces mass splittings more, so do that.
- 4 Remove new $\mathcal{O}(a^2)$ errors introduced by Fat7.
- 5 Smearing enhances some 1-loop taste exchange processes, which can be suppressed by reunitarizing.

$$\mathcal{F}^{\text{HISQ}} \equiv \mathcal{F}_{\text{corr}}^{\text{Fat7}} \mathcal{U} \mathcal{F}^{\text{Fat7}}$$

HISQ: How HISQ does it

A rough idea of how well HISQ does. The d are coefficients for 1-loop taste-exchange diagrams. The average is reported.

	Unimproved Gluons			Improved Gluons
	ASQTAD	HISQ	HYP	ASQTAD
Avg d, \tilde{d}	0.23	0.02	0.02	0.13



Summary

- Staggered quark d.o.f. are distributed on corners of unit hypercubes.
- Staggered quarks remove some doublers and preserve some chiral symmetry.
- They are numerically cheap and have agreed with experiment.
- Effects of multiple tastes can be reduced by rooting.
- There is some taste-symmetry breaking.
- HISQ suppresses this by smearing, improved “to one loop”.