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## Chapter 3. Representation Theory of Finite Groups

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Representation theory of finite groups is originally concerned with the ways of writing a finite group  $G$  as a group of matrices, that is using group homomorphisms from  $G$  to the general linear group  $\mathrm{GL}_n(K)$  of invertible  $n \times n$ -matrices with coefficients in a field  $K$  for some positive integer  $n$ . Thus, we shall first define representations of groups using this approach. Our aim is then to translate such homomorphisms  $G \longrightarrow \mathrm{GL}_n(K)$  into the language of module theory in order to be able to apply the theory we have developed so far.

**Notation:** throughout this chapter, unless otherwise specified, we let  $G$  denote a finite group and  $K$  be a commutative ring. Moreover, all modules considered are assumed to be **finitely generated**, hence of **finite rank** if they are free.

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## 14 Linear representations of finite groups

### Definition 14.1 ( $K$ -representation, matrix representation)

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| <p>(a) A <b><math>K</math>-representation</b> of <math>G</math> is a group homomorphism <math>\rho : G \longrightarrow \mathrm{GL}(V)</math>, where <math>V \cong K^n</math> (<math>n \in \mathbb{Z}_{&gt;0}</math>) is a free <math>K</math>-module of finite rank.</p> |
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(b) A **matrix representation** of  $G$  is a group homomorphism  $X : G \longrightarrow \mathrm{GL}_n(K)$  ( $n \in \mathbb{Z}_{>0}$ ).

In both cases the integer  $n$  is called the **degree** of the representation.

A representation is called an **ordinary representation** if  $K$  is a field of characteristic zero (or more generally of characteristic not dividing  $|G|$ ), and it is called a **modular representation** if  $K$  is a field of characteristic  $p$  dividing  $|G|$ .

### Remark 14.2

Recall that every choice of a basis  $B$  of  $V$  yields a group homomorphism

$$\alpha_B : \mathrm{GL}(V) \longrightarrow \mathrm{GL}_n(K), \varphi \mapsto (\varphi)_B$$

(where  $(\varphi)_B$  denotes the matrix of  $\varphi$  in the basis  $B$ ). Therefore, a  $K$ -representation  $\rho : G \longrightarrow \mathrm{GL}(V)$  together with the choice of a basis  $B$  of  $V$  gives rise to a matrix representation of  $G$ :

$$G \xrightarrow{\rho} \mathrm{GL}(V) \xrightarrow{\alpha_B} \mathrm{GL}_n(K)$$

Conversely, any matrix representation  $X : G \longrightarrow \mathrm{GL}_n(K)$  gives rise to a  $K$ -representation

$$\begin{aligned} \rho : G &\longrightarrow \mathrm{GL}(K^n) \\ g &\mapsto \rho(g) : K^n \longrightarrow K^n, v \mapsto X(g)v \end{aligned}$$

where  $X(g)v$  is the standard matrix multiplication (namely we set  $V = K^n$ ).

### Example 8

(a) If  $G$  is an arbitrary finite group, then

$$\begin{aligned} \rho : G &\longrightarrow \mathrm{GL}(K) \cong K^\times \\ g &\mapsto \rho(g) := \mathrm{Id}_K \leftrightarrow 1_K \end{aligned}$$

is a  $K$ -representation of  $G$ , called **the trivial representation** of  $G$ .

(b) Let  $G = S_n$  ( $n \geq 1$ ) be the symmetric group on  $n$  letters. Let  $\{e_1, \dots, e_n\}$  be the standard basis of  $V := K^n$ . Then

$$\begin{aligned} \rho : S_n &\longrightarrow \mathrm{GL}(K^n) \\ \sigma &\mapsto \rho(\sigma) : K^n \longrightarrow K^n, e_i \mapsto e_{\sigma(i)} \end{aligned}$$

is a  $K$ -representation, called **natural representation** of  $S_n$ .

(c) More generally, if  $X$  is a finite  $G$ -set, i.e. a finite set endowed with a left action  $\cdot : G \times X \longrightarrow X$ , and  $V$  is a free  $K$ -module with basis  $\{e_x \mid x \in X\}$ , then

$$\begin{aligned} \rho_X : G &\longrightarrow \mathrm{GL}(V) \\ g &\mapsto \rho_X(g) : V \longrightarrow V, e_x \mapsto e_{g \cdot x} \end{aligned}$$

is a  $K$ -representation of  $G$ , called **permutation representation**.

Clearly (b) is a special case of (c) with  $G = S_n$  and  $X = \{1, 2, \dots, n\}$ .

If  $X = G$  and the left action  $\cdot : G \times X \longrightarrow X$  is just the multiplication in  $G$ , then  $\rho_X =: \rho_{\mathrm{reg}}$  is called the **regular representation** of  $G$ .

**Definition 14.3 (Equivalent representations)**

Let  $\rho_1 : G \rightarrow \text{GL}(V_1)$  and  $\rho_2 : G \rightarrow \text{GL}(V_2)$  be two representations of  $G$ , where  $V_1, V_2$  are two free  $K$ -modules of finite rank. Then  $\rho_1$  and  $\rho_2$  are called **equivalent** (or **similar**, or **isomorphic**) if there exists a  $K$ -isomorphism  $\alpha : V_1 \rightarrow V_2$  such that  $\rho_2(g) = \alpha \circ \rho_1(g) \circ \alpha^{-1}$  for each  $g \in G$ .

$$\begin{array}{ccc} V_1 & \xrightarrow{\rho_1(g)} & V_1 \\ \alpha^{-1} \uparrow & \circlearrowright & \downarrow \alpha \\ V_2 & \xrightarrow{\rho_2(g)} & V_2 \end{array}$$

In this case, we write  $\rho_1 \sim \rho_2$ .

Clearly  $\sim$  is an equivalence relation.

**15 The group algebra and its modules**

We now want to be able to see  $K$ -representations of a group  $G$  as *modules*, and more precisely as *modules* over a  $K$ -algebra depending on the group  $G$ , which is called the *group algebra*:

**Lemma-Definition 15.1 (Group algebra)**

The **group ring**  $KG$  is the ring whose elements are the linear combinations  $\sum_{g \in G} \lambda_g g$  with  $\lambda_g \in K$ , and addition and multiplication are given by

$$\sum_{g \in G} \lambda_g g + \sum_{g \in G} \mu_g g = \sum_{g \in G} (\lambda_g + \mu_g) g \quad \text{and} \quad \left( \sum_{g \in G} \lambda_g g \right) \cdot \left( \sum_{h \in G} \mu_h h \right) = \sum_{g, h \in G} (\lambda_g \mu_h) gh$$

respectively. Thus  $KG$  is a  $K$ -algebra, which as a  $K$ -module is free with basis  $G$ . Hence we usually call  $KG$  the **group algebra of  $G$  over  $K$**  rather than simply *group ring*.

**Proof:** By definition  $KG$  is a free  $K$ -module with basis  $G$ , and the multiplication in  $G$  is extended by  $K$ -bilinearity to the given multiplication  $\cdot : KG \times KG \rightarrow KG$ . It is then straightforward that  $KG$  bears both the structures of a ring and of a  $K$ -module. Finally, axiom (A3) of  $K$ -algebras follows directly from the definition of the multiplication and the commutativity of  $K$ . ■

**Remark 15.2**

Clearly the  $K$ -rank of  $KG$  is  $|G|$  and  $G \subseteq (KG)^\times$ . Moreover,  $KG$  is commutative if and only if  $G$  is an abelian group. Also note that if  $K$  is a field, then it is clear that  $KG$  is a left Artinian ring because we may consider  $K$ -dimensions, so that by Hopkin's Theorem a  $KG$ -module is finitely generated if and only if it admits a composition series.

**Proposition 15.3**

- (a) Any  $K$ -representation  $\rho : G \rightarrow \text{GL}(V)$  of  $G$  gives rise to a  $KG$ -module structure on  $V$ , where the external composition law is defined by the map

$$\begin{aligned} \cdot : G \times V &\longrightarrow V \\ (g, v) &\mapsto g \cdot v := \rho(g)(v) \end{aligned}$$

extended by  $K$ -linearity to the whole of  $KG$ .

(b) Conversely, every  $KG$ -module  $(V, +, \cdot)$  defines a  $K$ -representation

$$\begin{aligned} \rho_V: G &\longrightarrow \mathrm{GL}(V) \\ g &\mapsto \rho_V(g) : V \longrightarrow V, v \mapsto \rho_V(g) := g \cdot v \end{aligned}$$

of the group  $G$ .

**Proof:**

- (a) Since  $V$  is a  $K$ -module, it is equipped with an internal addition  $+$  such that  $(V, +)$  is an abelian group. It is then straightforward to check that the given external composition law makes  $(V, +)$  into a  $KG$ -module.
- (b) Clearly, it follows from the  $KG$ -module axioms that  $\rho_V(g) \in \mathrm{GL}(V)$  and also that  $\rho_V(g_1 g_2) = \rho_V(g_1) \circ \rho_V(g_2)$  für alle  $g_1, g_2 \in G$ , hence  $\rho_V$  is a group homomorphism. ■

Notice that, since  $G$  is a group, the map  $KG \longrightarrow KG$  such that  $g \mapsto g^{-1}$  for each  $g \in G$  is an anti-automorphism. It follows that any *left*  $KG$ -module  $M$  may be regarded as a *right*  $KG$ -module via the right  $G$ -action  $m \cdot g := g^{-1} \cdot m$ . Thus the sidedness of  $KG$ -modules is not usually an issue.

### Example 9

The trivial representation of Example 8(b) corresponds to the so-called **trivial  $KG$ -module**, that is the commutative ring  $K$  itself seen as a  $KG$ -module via the  $G$ -action

$$\begin{aligned} \cdot : G \times K &\longrightarrow K \\ (g, \lambda) &\longmapsto g \cdot \lambda := \lambda \end{aligned}$$

extended by  $K$ -linearity to the whole of  $KG$ .

### Exercise 15.4

Let  $G$  be a finite group and let  $K$  be a commutative ring. Prove that the regular representation  $\rho_{reg}$  of  $G$  defined in Example 8(c) corresponds to the regular  $KG$ -module  $KG^\circ$  via Proposition 15.3.

### Remark 15.5

More generally, through Proposition 15.3, we may transport terminology and properties from  $KG$ -modules to representations and conversely.

For instance, we say that a representation is *irreducible* (or *simple*) if the corresponding  $KG$ -module is irreducible (= simple). (Notice that it is tradition to use the term *simple* for modules, and the term irreducible for *representations*.)

### Lemma 15.6

Two representations  $\rho_1 : G \longrightarrow \mathrm{GL}(V_1)$  and  $\rho_2 : G \longrightarrow \mathrm{GL}(V_2)$  are equivalent if and only if  $V_1 \cong V_2$  as  $KG$ -modules.

**Proof:** If  $\rho_1 \sim \rho_2$  and  $\alpha : V_1 \longrightarrow V_2$  is a  $K$ -isomorphism such that  $\rho_2(g) = \alpha \circ \rho_1(g) \circ \alpha^{-1}$  for each  $g \in G$ , then by Proposition 15.3 for every  $v \in V_1$  and every  $g \in G$  we have

$$g \cdot \alpha(v) = \rho_2(g)(\alpha(v)) = \alpha(\rho_1(g)(v)) = \alpha(g \cdot v),$$

hence  $\alpha$  is a  $KG$ -isomorphism. Conversely, if  $\alpha : V_1 \longrightarrow V_2$  is a  $KG$ -isomorphism, then certainly it is a  $K$ -homomorphism and for each  $g \in G$  and by Proposition ?? for each  $v \in V_2$  we have

$$\alpha \circ \rho_1(g) \circ \alpha^{-1}(v) = \alpha(\rho_1(g)(\alpha^{-1}(v))) = \alpha(g \cdot \alpha^{-1}(v)) = g \cdot \alpha(\alpha^{-1}(v)) = g \cdot v = \rho_2(g)(v),$$

hence  $\rho_2(g) = \alpha \circ \rho_1(g) \circ \alpha^{-1}$  for each  $g \in G$ . ■

Finally we introduce an ideal of  $KG$  which encodes a lot of information about  $KG$ -modules.

### Proposition-Definition 15.7 (*The augmentation ideal*)

The map  $\varepsilon : KG \longrightarrow K, \sum_{g \in G} \lambda_g g \mapsto \sum_{g \in G} \lambda_g$  is an algebra homomorphism, called **augmentation homomorphism (or map)**. Its kernel  $\ker(\varepsilon) =: I(KG)$  is an ideal and it is called the **augmentation ideal** of  $KG$ . The following statements hold:

- (a)  $I(KG) = \{ \sum_{g \in G} \lambda_g g \in KG \mid \sum_{g \in G} \lambda_g = 0 \} = \text{ann}_{KG}(K)$  and if  $K$  is a field  $I(KG) \supseteq J(KG)$ ;
- (b)  $KG/I(KG) \cong K$  as  $K$ -algebras;
- (c)  $I(KG)$  is a free  $K$ -module of rank  $|G|-1$  with  $K$ -basis  $\{g - 1 \mid g \in G \setminus \{1\}\}$ ;

**Proof:** Clearly, the map  $\varepsilon : KG \longrightarrow K$  is the unique extension by  $K$ -linearity of the trivial representation  $G \longrightarrow K^\times \subseteq K, g \mapsto 1_K$  to  $KG$ , hence is an algebra homomorphism and its kernel is an ideal of the algebra  $KG$ .

- (a)  $I(KG) = \ker(\varepsilon) = \{ \sum_{g \in G} \lambda_g g \in KG \mid \sum_{g \in G} \lambda_g = 0 \}$  by definition of  $\varepsilon$ . The second equality is obvious by definition of  $\text{ann}_{KG}(K)$ , and the last inclusion follows from the definition of the Jacobson radical.
- (b) follows from the 1st isomorphism theorem.
- (c) Let  $\sum_{g \in G} \lambda_g g \in I(KG)$ . Then  $\sum_{g \in G} \lambda_g = 0$  and hence

$$\sum_{g \in G} \lambda_g g = \sum_{g \in G} \lambda_g g - 0 = \sum_{g \in G} \lambda_g g - \sum_{g \in G} \lambda_g = \sum_{g \in G} \lambda_g (g - 1) = \sum_{g \in G \setminus \{1\}} \lambda_g (g - 1),$$

which proves that the set  $\{g - 1 \mid g \in G \setminus \{1\}\}$  generates  $I(KG)$  as a  $K$ -module. The above computations also shows that

$$\sum_{g \in G \setminus \{1\}} \lambda_g (g - 1) = 0 \implies \sum_{g \in G} \lambda_g g = 0$$

Hence  $\lambda_g = 0 \forall g \in G$ , which proves that the set  $\{g - 1 \mid g \in G \setminus \{1\}\}$  is also  $K$ -linearly independent, hence a  $K$ -basis of  $I(KG)$ . ■

### Lemma 15.8

If  $K$  is a field of positive characteristic  $p$  and  $G$  is  $p$ -group, then  $I(KG) = J(KG)$ .

### Exercise 15.9 (*Proof of Lemma 15.8. Proceed as indicated.*)

- (a) (Facultative: you can accept this result and treat (b), (c) and (d) only.) Recall that an ideal  $I$  of a ring  $R$  is called a **nil ideal** if each element of  $I$  is nilpotent. Prove that if  $I$  is a nil left ideal in a left Artinian ring  $R$  then  $I$  is nilpotent.

- (b) Prove that  $g - 1$  is a nilpotent element for each  $g \in G \setminus \{1\}$  and deduce that  $I(KG)$  is a nil ideal of  $KG$ .
- (c) Deduce from (a) and (b) that  $I(KG) \subseteq J(KG)$  using Exercise 10 on Exercise Sheet 2.
- (d) Conclude that  $I(KG) = J(KG)$  using Proposition-Definition 15.7.

## 16 Semisimplicity and Maschke's Theorem

Throughout this section, we assume that  $K$  is a field.

Our first aim is to prove that the semisimplicity of the group algebra depends on both the characteristic of the field and the order of the group.

### Theorem 16.1 (*Maschke*)

If  $\text{char}(K) \nmid |G|$ , then  $KG$  is a semisimple  $K$ -algebra.

**Proof:** By Proposition-Definition 11.2, we need to prove that every s.e.s.  $0 \rightarrow L \xrightarrow{\varphi} M \xrightarrow{\psi} N \rightarrow 0$  of  $KG$ -modules splits. However, the field  $K$  is clearly semisimple (again by Proposition-Definition 11.2). Hence any such sequence regarded as a s.e.s. of  $K$ -vector spaces and  $K$ -linear maps splits. So let  $\sigma : N \rightarrow M$  be a  $K$ -linear section for  $\psi$  and set

$$\begin{aligned} \tilde{\sigma} &:= \frac{1}{|G|} \sum_{g \in G} g^{-1} \sigma g : N \longrightarrow M \\ n &\longmapsto \frac{1}{|G|} \sum_{g \in G} g^{-1} \sigma(gn). \end{aligned}$$

We may divide by  $|G|$ , since  $\text{char}(K) \nmid |G|$  implies that  $|G| \in K^\times$ . Now, if  $h \in G$  and  $n \in N$ , then

$$\tilde{\sigma}(hn) = \frac{1}{|G|} \sum_{g \in G} g^{-1} \sigma(ghn) = h \frac{1}{|G|} \sum_{g \in G} (gh)^{-1} \sigma(ghn) = h \tilde{\sigma}(n)$$

and

$$\psi \tilde{\sigma}(n) = \frac{1}{|G|} \sum_{g \in G} \psi(g^{-1} \sigma(gn)) \stackrel{\psi \text{ KG-lin}}{=} \frac{1}{|G|} \sum_{g \in G} g^{-1} \psi \sigma(gn) = \frac{1}{|G|} \sum_{g \in G} g^{-1} gn = n$$

where the last-but-one equality holds because  $\psi \sigma = \text{Id}_N$ . Thus  $\tilde{\sigma}$  is a  $KG$ -linear section for  $\psi$ . ■

### Example 10

If  $K = \mathbb{C}$  is the field of complex numbers, then  $\mathbb{C}G$  is a semisimple  $\mathbb{C}$ -algebra, since  $\text{char}(\mathbb{C}) = 0$ .

It turns out that the converse to Maschke's theorem also holds. We obtain it using the properties of the augmentation ideal.

### Theorem 16.2 (*Converse of Maschke's Theorem*)

If  $KG$  is a semisimple  $K$ -algebra, then  $\text{char}(K) \nmid |G|$ .

**Proof:** Set  $\text{char}(K) =: p$  and let us assume that  $p \mid |G|$ . In particular  $p$  must be a prime number. We have to prove that then  $KG$  is not semisimple.

**Claim:** If  $0 \neq V \subset KG$  is a  $KG$ -submodule of  $KG^\circ$ , then  $V \cap I(KG) \neq 0$ .

Indeed: Let  $v = \sum_{g \in G} \lambda_g g \in V \setminus \{0\}$ . If  $\varepsilon(v) = 0$  we are done. Else, set  $t := \sum_{h \in G} h$ . Then

$$\varepsilon(t) = \sum_{h \in G} 1 = |G| = 0$$

as  $\text{char}(K) \mid |G|$ . Hence  $t \in I(KG)$ . Now consider the element  $tv$ . On the one hand  $tv \in V$  since  $V$  is a submodule of  $KG^\circ$ , and on the other hand  $tv \in I(KG) \setminus \{0\}$  since

$$tv = \left( \sum_{h \in G} h \right) \left( \sum_{g \in G} \lambda_g g \right) = \sum_{h, g \in G} (1_K \cdot \lambda_g) hg = \sum_{x \in G} \left( \sum_{g \in G} \lambda_g \right) x = \sum_{x \in G} \varepsilon(v) x \Rightarrow \varepsilon(tv) = \sum_{x \in G} \varepsilon(v) = |G| \varepsilon(v) = 0.$$

The Claim implies that  $I(KG)$ , which is a  $KG$ -submodule by definition, cannot have a complement in  $KG^\circ$ . Therefore, by Proposition-Definition 11.1  $KG^\circ$  is not semisimple and hence  $KG$  is not semisimple by Theorem-Definition 11.2. ■

In the case the field  $K$  is algebraically closed, the following Exercise offers a second proof exploiting Artin-Wedderburn.

### Exercise 16.3 (*Proof of the Converse of Maschke's Theorem for $K = \overline{K}$* )

Assume  $K = \overline{K}$  is an algebraically closed field of characteristic  $p$  with  $p \mid |G|$ . Set  $T := \langle \sum_{g \in G} g \rangle_K$ .

- (a) Prove that we have a series of  $KG$ -submodules given by  $KG^\circ \supsetneq I(KG) \supsetneq T \supsetneq 0$ .
- (b) Deduce that  $KG^\circ$  has at least two composition factors isomorphic to the trivial module  $K$ .
- (c) Deduce that  $KG$  is not a semisimple  $K$ -algebra using Theorem 13.2.

## 17 Simple modules over algebraically closed fields

Throughout this section, we assume that  $K = \overline{K}$  is an algebraically closed field.

As mentioned in Chapter 2, §13 this hypothesis may always be replaced by the weaker assumption that the field  $K$  is a splitting field for the group algebra  $KG$ , which we simply call a *splitting field* for  $G$ .

We state here some elementary facts about simple  $KG$ -modules, which we obtain as consequences of the Artin-Wedderburn structure theorem.

### Corollary 17.1

There are only finitely many isomorphism classes of simple  $KG$ -modules, or equivalently, there are only finitely many irreducible  $K$ -representations of  $G$ , up to similarity.

**Proof:** Since  $K = \overline{K}$ , the first claim follows from Corollary 13.4 and the equivalent characterisation from Proposition 15.3. ■

**Corollary 17.2**

If  $G$  is an abelian group, then any simple  $KG$ -module is one-dimensional, or equivalently, all irreducible  $K$ -representations of  $G$  have degree one.

**Proof:** Since  $K = \overline{K}$  and  $KG$  is commutative the first claim follows from Corollary 13.5 and the equivalent characterisation from Proposition 15.3. ■

**Corollary 17.3**

Let  $p$  be a prime number. If  $G$  is a  $p$ -group and  $\text{char}(K) = p$ , then the trivial module is the unique simple  $KG$ -module, up to isomorphism.

**Proof:** By Lemma 15.8 we have  $J(KG) = I(KG)$ . Thus  $KG/J(KG) \cong K$  as  $K$ -algebras by Proposition-Definition 15.7. Now, as  $K$  is commutative,  $Z(K) = K$ , and it follows from Corollary 13.4 that

$$|\mathcal{M}(KG)| = \dim_K Z(KG/J(KG)) = \dim_K K = 1.$$

■

**Remark 17.4**

Another standard proof for Corollary 17.3 consists in using a result of Brauer's stating that  $|\mathcal{M}(KG)|$  equals the number of conjugacy classes of  $G$  of order not divisible by the characteristic of the field  $K$ .

**Corollary 17.5**

If  $\text{char}(K) \nmid |G|$ , then  $|G| = \sum_{S \in \mathcal{M}(KG)} \dim_K(S)^2$ .

**Proof:** Since  $\text{char}(K) \nmid |G|$ , the group algebra  $KG$  is semisimple by Maschke's Theorem. Thus it follows from Theorem 13.2 that

$$\sum_{S \in \mathcal{M}(KG)} \dim_K(S)^2 = \dim_K(KG) = |G|.$$

■