

# Language Modelling with Recurrent Neural Networks

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**Goals.** In this computer lab, you become familiar with implementations of some common neural network models: the feed forward neural network, and the recurrent neural network. We will apply these networks to natural language modelling.

**Requirements.** This assignment uses Python 3 and the library `numpy`, an extremely useful and popular library for working with vectors and matrices in Python. [Here you can find a quick introduction to Numpy](#). You also need to install the [Natural Language Toolkit](#): the Python library `nltk`. You can install both libraries easily with `pip` or `conda`.

## 1 Feed Forward Neural Networks

Let's jump right in. In the first part of the assignment, you will train a feed forward neural network to predict the next word, given the current word. In other words, you train it to generate bigrams. The idea is that we feed a word to the network and want it to output the next word. To get the network to do this, we need to *train* it. The training data will be a collection bigrams taken from some corpus. During training, we feed the first word of a bigram (the *input*) to the network, and see if the output matches the second word of the bigram (the *target*). Next we update the parameters of the network in such a way that predicting the target becomes more likely.

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## 1.1 Data

Before we turn to the network, we need some training data. The file `DataReader.py` contains a class `DataReader` that reads out a text file, extracts (preprocessed) bigrams which serve as the training data. In this lab we will use Reddit comments as training data, which can be found in `materials/reddit-comments-2015-08.txt`:

```
from DataReader import DataReader
dr = DataReader('reddit-comments-2015-08.txt')
inputs, targets = dr.get_training_bigrams()
```

If you run this code, you might get the error "Resource punkt not found" because the `nltk` library uses datasets that you have to download first. The code should run after following the instructions in the error message:

```
$ python
>>> import nltk
>>> nltk.download('punkt')
```

You can see that `inputs` and `targets` are large matrices filled with zeros and ones. Why is that, if they should be words and the next words? Because we can't feed actual words (text) to a neural network: we have to represent them as numerical vectors. The simplest representation is a so called *one-hot encoding*. If we have vocabulary of  $M$  words, the one-hot representation of the  $i$ -th word is a vector of length  $M$  with only zeros, except for a 1 at position  $i$ .

The `DataReader` implements all this: it computes training bigrams in a one-hot representation. You can en/decode words using the `dr.index_to_word` and `dr.word_to_index` dictionaries. For example, you can find the 4-th input word using `dr.index_to_word[inputs[4].argmax()]`.

## 1.2 Architecture

If you are not familiar with artificial neural networks, do look for some introductions. Very briefly, a network consists of nodes organized in layers, such as an input layer, a hidden layer and an output layer. Every node has an activation (a number), which is computed from the activations of the nodes feeding into it, and the weights of the corresponding connections. Mathematically, the activation of a node is the weighted sum of the incoming activations – nearly, since we further apply a non-linearity such as *tanh*. It turns out that we can conveniently compute all activations in a layer at once using matrix multiplication. To give you an idea: if  $x = (x_1, \dots, x_n)$  is a vector with the activations of the input nodes, and  $W$  is a  $m \times n$  matrix containing the weights of all connections between this and the next layer, then the activations  $y = (y_1, \dots, y_m)$  of the next layer are  $y = \tanh(W.x)$ , where  $'.'$  is the **dot-product**.

The neural network we focus on here has one hidden layer, and therefore two weight matrices:  $W_{in}$ , which maps the input layer to the hidden layer; and  $W_{out}$ , which maps the hidden layer to the output layer. The weights are the trainable parameters of the network. In the case of next word prediction, both the input and output layers represent words and must have the same size (namely,

the vocabulary size). The hidden layer, however, can have any dimensionality. Which size of the hidden layer works best is depends on the task. It is a so called *hyper-parameter* – more about that later.

In `FeedForward.py` you find the `FeedForwardNN` class which implements a feed forward neural network with one hidden layer. Try to understand how the codes work by looking at the methods of the class and reading the comments if it's not clear what each method is doing.

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#### QUESTION 1

The `init_params` method initializes the weight matrices  $W_{in}$  and  $W_{out}$ .

- What should be the dimensions of these matrices?
- What is the total number of parameters of this model?
- You already see the code that initializes  $W_{in}$ . Adjust it to also initialize  $W_{out}$ .

In a feed-forward neural network forward propagation is passing the input signal through the network while multiplying it by the respective weights to compute an output. The `forward_pass` method implements all these computations for you: it computes the output of the network for a given input and model parameters. Here is how you can instantiate `FeedForwardNN`, and apply it to the data.

```
neural_network = FeedForwardNN(input_dim, hidden_dim, output_dim)
hidden_state, output_state = neural_network.forward_pass(inputs)
```

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#### QUESTION 2

What is the loss of the model before training? Compute this using the `calculate_loss` method.

## 1.3 Training

Now, let's train the model. There are all kinds of search algorithms to find the optimal parameters of a model: grid search and hill climbing are two examples of such optimization algorithms. But it would be very inefficient to use something like grid search to optimize the parameters of a neural network, because it can have such a large number of parameters. Instead, neural networks are commonly trained using *back propagation* (backward propagation of errors) along with an optimization method such as *gradient descent*. The idea behind gradient descent is to change the parameters in a direction that decreases the loss. But instead of examining neighbouring points randomly like in hill climbing, the gradient of the loss function is used to determine the direction of the change. We won't explain back propagation in detail here. If you are interested, look at this blogposts by [Chris Olah](#), or [this chapter](#) by Michael Nielsen.

There are three variants of back-propagation:

- **batch training:** all the training items are used to calculate an accumulated gradient for each weight and bias, and then each weight value is adjusted.
- **online training:** the gradients are calculated for each individual training item, and then each weight value is adjusted using the estimated gradients.
- **mini-batch training:** a batch of training items is used to compute the estimated gradients, and then each weight value is adjusted using the estimated gradients.

You can consider the choice of one of these variants of backpropagation a parameter of the training procedure. In order to distinguish the training parameters from the model parameters, we refer to the former as *hyperparameters*. Other hyperparameters are the learning rate (how much we adjust the weights in each iteration); the number of *epochs* or training iterations and architectural choices like the size of the hidden layer.

The `back_propagate_update` method in the `FeedForwardNN` class contains the code for updating parameters of the network with backpropagation. This method is called in the `train` method, which takes the hyperparameters as optional arguments. It returns an array with the loss after every iteration. The following code demonstrates how you can train the network:

```
# Load the training data; inputs = words, outputs = next words
dr = DataReader()
inputs, outputs = dr.get_trainig_bigrams()

# Initialize the neural network
vocabulary_size = len(inputs[0]) # = length of the first word-vector
neural_network = FeedForwardNN(
    input_dim=vocabulary_size,
    hidden_dim=256,
    output_dim=vocabulary_size)

# Train the network
trace = neural_network.train(
    inputs, outputs,
    num_iterations=100,
    learning_rate=0.01,
    batch_size=50)
```

After training, you can access the trained model parameters as `neural_network.W_in` and `neural_network.W_out`. If you want to use them later, it is convenient to store them using `np.save("my_filename.npy", neural_network.W_in)`, and later load them using `neural_network.W_in = np.load("my_filename.npy")`.

### QUESTION 3

Use the code above to write a function that trains a feed forward neural network on next word prediction. It should take the hyperparameters `hidden_dim`, `learning_rate` and `batch_size` as arguments. Train models with three different hidden layer sizes and three different learning rates. Store the traces and afterwards plot the loss per iteration for all experiments.

Can you explain what you find?

## 2 Word Embeddings

In this assignment, we have represented words as one hot vectors. Normally, the size of the vocabulary is too large and this is not an efficient encoding method. Actually, it is desired to have an *embedding space* with lower dimensionality. So, we need to have a  $M \times E$  matrix, where  $E$  is the dimension of the embedding space. In the feed-forward model that you have trained here, the one hot vectors are mapped to the hidden state vector, applying  $W_{in}$ . Thus, basically, we can use  $W_{in}$  as an embedding matrix. For more background about word representations, you can look at [this blogpost by Chris Olah](#).

### QUESTION 4

For each word in the training data, compute its word embedding as  $W_{in}[\text{np.argmax}(\text{word\_one\_vector})]$ . Feed the vectors to the T-SNE plotting function to plot the embeddings in a 2-dimensional space. Do you see any kind of regularity in this plot? Do this experiment for networks with different sizes of hidden layer (eg. 128, 256, 512).

```
input_vector = np.asarray([
    one_hot[i] for i in np.arange(len(index_to_word))
])
input_embeddings = np.dot(input_vector, W_in)
plot_distribution_t_SNE(input_embeddings,
                        np.repeat([1], len(index_to_word)),
                        [w.encode('utf-8') for w in index_to_word])
```

## 3 Recurrent Neural Networks

Here are some online tutorials and blog posts about recurrent neural networks

- [‘Recurrent Neural Networks for Beginners’ by Camron Godbout](#)
- [‘Introduction to RNNs’ by Denny Britz](#)

Note: It may take long for the models in this assignment to be trained, if it is unreasonably slow on your computers it’s ok to run them for fewer iterations. But of course this means you will get worse results and they will be harder to interpret.

Open `RNN.py`. Here you see the `RNN` class. This is an implementation of a recurrent neural network with one hidden layer. Take a look at the methods of the class. Read the comments if it’s not clear what each method is doing. In recurrent neural networks, in addition to the input, the last hidden state of the network is used to compute the new hidden state.

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**QUESTION 5**

Look into the `init_params` method, where the weight matrices, parameters, of the model are initialized. What is each weight matrix for? What are the dimensions of each of these matrices? What is the total number of parameters of this model?

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**QUESTION 6**

The `forward_pass` function is the method that defines how the output should be computed. Draw a graph that shows how the output is computed based on the input and the parameters.

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**QUESTION 7**

Initialize an RNN and apply it on the training data (before training, using randomly initialized parameters). What is the loss? Now initialize the input weight matrix with the learned embedding matrix from the feed forward network. What is the loss now? compare the results.

Since in recurrent neural networks, at each time step the output depends also on the hidden state at the previous step, the error also needs to be propagated to the previous time step. This is why it is called **back propagation through time** (BPTT).

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**BONUS 7**

The `back_propagation_through_time` method is the implementation of the BPTT for a recurrent neural network with one hidden layer. Change the code so that it propagates the error up to `max_back_steps`. Train the RNN with the sentences datasets for different values for `max_back_steps`: 1, 3, 5 and 10. First, run the experiment for one iteration and plot the loss function per number of seen sentences. Then run the model for multiple iterations (until the loss function doesn't decrease significantly) and plot the performance of the model per iteration.

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**BONUS 7**

Use both RNN and FeedForwardNN to compute sentence prediction loss and generate sentences. Which one would you expect to perform better? Why? Are the results consistent with your executions? If not, why do you think this happens? Include performance plots and example sentences in your answer.

**Hint 1:** To compute sentence loss for the feed-forward neural network use the `calculate_sentence_loss` method defined in the FeedForwardNN class.

**Hint 2:** To generate sentences for both models use the `generate_sentence` method defined in each of the classes.