



Compliance and Discipline

Competency Checklist

Your Learning Competencies

- I can identify** different types of violations (standard of care, scope of practice, ethical, administrative, criminal, impairment)
- I can explain** the primary purposes of regulatory discipline (public protection, deterrence, remediation, accountability, standard-setting)
- I can distinguish** between informal and formal disciplinary approaches and when each is appropriate
- I can describe** the key stages of the disciplinary process from complaint through resolution
- I can identify** essential due process requirements practitioners must receive
- I can apply** proportionality principles to match sanctions to violation severity
- I can recognize** factors that support more or less severe discipline
- I can explain** the role and benefits of consent agreements in resolving disciplinary cases



I can balance public protection goals with procedural fairness in disciplinary decisions

This competency checklist serves as a record of learning objectives achieved in the Compliance and Discipline module.