

CS 540

Database Management Systems

Review of Relational Model and SQL

Review problems:

people betting on OSU football games

Out(game, outcome) Bets(who, outcome, game, amt)

game	outcome
USC	W
UCLA	L
Stanford	W

who	outcome	game	amt
John	W	USC	200
John	W	UCLA	100
John	L	Arizona	150
Kevin	L	UO	210
Kevin	L	UCLA	50
Kevin	W	Stanford	120

Some games have not been played yet, e.g., *Arizona*.

Problem 1

List the completed games that nobody bet on.

game	outcome
USC	W
UCLA	L
Stanford	W

game

who	outcome	game	amt
John	W	USC	200
John	W	UCLA	100
John	L	Arizona	150
Kevin	L	UO	210
Kevin	L	UCLA	50
Kevin	W	Stanford	120

Problem 1

List the completed games that nobody bet on.

```
(Select Game  
  From Out)  
Except  
(Select Game  
  From Bets)
```

Problem 2

Who bet the most money on a single game?

game	outcome
USC	W
UCLA	L
Stanford	W

who	amt
Kevin	210

who	outcome	game	amt
John	W	USC	200
John	W	UCLA	100
John	L	Arizona	150
Kevin	L	UO	210
Kevin	L	UCLA	50
Kevin	W	Stanford	120

Problem 2

Who bet the most money on a single game?

Select Who, Amt

From Bets

Where Amt >= **All**

(**Select** Amt

From Bets)

Problem 3

List the games that all bettors agree on.

game	outcome
USC	W
UCLA	L
Stanford	W

game
Stanford
Arizona
UO
USC

who	outcome	game	amt
John	W	USC	200
John	W	UCLA	100
John	L	Arizona	150
Kevin	L	UO	210
Kevin	L	UCLA	50
Kevin	W	Stanford	120

Problem 3

List the games that all bettors agree on.

```
(Select game
```

```
From Bets)
```

```
Except
```

```
(Select Bets1.game
```

```
From Bets Bets1, Bets Bets2
```

```
Where (Bets1.game = Bets2.game)
```

```
And (Bets1.outcome <> Bets2.outcome) )
```


Problem 4

For each game, the number of people betting on OSU to win and the number betting on OSU to lose.

game	outcome
USC	W
UCLA	L
Stanford	W

game	outcome	num
Stanford	W	1
UO	L	1
UCLA	W	1
UCLA	L	1
USC	W	1
Arizona	L	1

who	outcome	game	amt
John	W	USC	200
John	W	UCLA	100
John	L	Arizona	150
Kevin	L	UO	210
Kevin	L	UCLA	50
Kevin	W	Stanford	120

Problem 4

For each game, the number of people betting on OSU to win and the number betting on OSU to lose.

```
Select game, outcome, Count(who) As num  
From Bets  
Group By game, outcome
```

Problem 5

Find the people who have made two or more bets on OSU to lose.

game	outcome
USC	W
UCLA	L
Stanford	W

who
Kevin

who	outcome	game	amt
John	W	USC	200
John	W	UCLA	100
John	L	Arizona	150
Kevin	L	UO	210
Kevin	L	UCLA	50
Kevin	W	Stanford	120

Problem 5

Find the people who have made two or more bets on OSU to lose.

Select who

From Bets

Where outcome = 'L'

Group By who

Having Count(outcome) >= 2

Problem 6

Who bet the most money overall?

game	outcome
USC	W
UCLA	L
Stanford	W

who	sumAmt
John	450

who	outcome	game	amt
John	W	USC	200
John	W	UCLA	100
John	L	Arizona	150
Kevin	L	UO	210
Kevin	L	UCLA	50
Kevin	W	Stanford	120

Problem 6

Who bet the most money overall?

```
Select who, Sum(amt) As sumAmt
From Bets
Group By who
Having Sum(amt) >= ALL
                                (Select Sum(amt)
                                From Bets
                                Group By who)
```

Problem 7

Who has bet on every game?

game	outcome
USC	W
UCLA	L
Stanford	W

who	outcome	game	amt
John	W	USC	200
John	W	UCLA	100
John	L	Arizona	150
Kevin	L	UO	210
Kevin	L	UCLA	50
Kevin	W	Stanford	120

Who

Problem 7

Who has bet on every game?

```
Create View AllGames As  
(Select game From Out) Union  
(Select game From Bets)
```

```
Select who  
From Bets  
Group By who  
Having Count(Distinct game) =  
(Select Count(Distinct game)  
  From AllGames)
```


Problem 8

What games have won the most money for the people who bet on OSU to win?

game	outcome
USC	W
UCLA	L
Stanford	W

game
USC

who	outcome	game	amt
John	W	USC	200
John	W	UCLA	100
John	L	Arizona	150
Kevin	L	UO	210
Kevin	L	UCLA	50
Kevin	W	Stanford	120

Problem 8

What games have won the most money for the people who bet on OSU to win?

Create View Success-Win **As**

```
(Select Bets.game, Sum(Bets.amt) As SumAmt  
From Bets, Out  
Where out.game = Bets.game And  
        Bets.outcome = 'W' And Out.outcome = 'W'  
        Group By Game)
```

Select Distinct game

From Success-Win

Where SumAmt >= **All**

```
(Select SumAmt  
From Success-Win)
```

Problem 9

List the people who won some money so far.

game	outcome
USC	W
UCLA	L
Stanford	W

who
John
Kevin

who	outcome	game	amt
John	W	USC	200
John	W	UCLA	100
John	L	Arizona	150
Kevin	L	UO	210
Kevin	L	UCLA	50
Kevin	W	Stanford	120

Problem 9

List the people who won some money so far.

Create View Success As

```
(Select who, Sum(amt) As pAmt  
From Bets, Out  
Where Out.game = Bets.game And Bets.outcome = Out.outcome  
Group By who)
```

Create View Failure As

```
(Select who, Sum(amt) As nAmt  
From Bets, Out  
Where Out.game = Bets.game And Bets.outcome <> Out.outcome  
Group By who)
```

Problem 9

List the people who won some money so far.

```
(Select Success.who  
  From Success, Failure  
  Where Success.who = Failure.who And  
        Success.pAmt > Failure.nAmt )
```

Union

```
(Select who  
  From Success  
  Where who not in  
        (Select who  
          From Failure) )
```

Query equivalency and containment

- Interesting and long-standing problems in query optimization.
- Queries q_1 and q_2 are *equivalent* if and only if for every database instance I , $q_1(I) = q_2(I)$
 - Shown as $q_1 \equiv q_2$
- Query q_1 is contained in q_2 if and only if for every database instance I , $q_1(I) \subseteq q_2(I)$
 - Shown as $q_1 \subseteq q_2$

Conjunctive queries (CQ)

- One datalog rule.
- SELECT-DISTINCT-FROM-WHERE.
- Select/project/join (σ, Π, \Join) fragment of RA.
- Existential/ conjunctive fragment of RC
- There is not any comparison operator ($<$, \neq , ...) in CQ.
 - If used the family is called $CQ^<$, CQ^{\neq} , ...

CQ examples

Movie(mid, title, year, total-gross)

Actor(aid, name, b-year)

Plays(mid, aid)

Actors who played in “LTR”.

```
Q7(y) :- Actor(x, y, z), Plays(t, x),  
         Movie(t, 'LTR', w, f).
```

Non-CQ: Actors who played in some movies with only one actor.

Containment examples

Is $q_1 \subseteq q_2$?

$q_1(x) :- R(x, y), R(y, z), R(z, w) .$

$q_2(x) :- R(x, y), R(y, z) .$

$q_1(x) :- R(x, y), R(y, 'Joe') .$

$q_2(x) :- R(x, y), R(y, z) .$

$q_1(x) :- R(x, y), R(y, z), R(z, x) .$

$q_2(x) :- R(x, y), R(y, x) .$

Containment examples

Is $q_1 \subseteq q_2$?

$q_1(x) :- R(x, y), R(y, y) .$

$q_2(x) :- R(x, y), R(y, z), R(z, t) .$

Variables in a query

- The set of variables in q is shown as $var(q)$

- Example

$q_1(x) :- R(x, y), R(y, y)$

$var(q_1) = \{x, y\}$

Homomorphism

- A **homomorphism** $h : q_2 \rightarrow q_1$ is a function from $\text{var}(q_2)$ to $\text{var}(q_1)$ s.t. for each atom $R(x, y, \dots)$ in q_1 there is an atom $R(h(x), h(y), \dots)$ in q_2 .
- h leaves the *constants* in q_2 *intact*.

- **Example**

$$q_1(x) :- R(x, y), R(y, z), R(z, w) .$$

$$q_2(x) :- R(x, y), R(y, z) .$$

We treat head variables, 'x', as constants, i.e., the same in q_1 and q_2 .

$$h : q_2 \rightarrow q_1 : h(y) = y, h(z) = z.$$

Homomorphism Theorem

- Given CQs q_1 and q_2 , we have $q_1 \subseteq q_2$ if and only if there exists a homomorphism $h : q_2 \rightarrow q_1$.

- Example:

$$q_1(x) : -R(x, y), R(y, z), R(z, w).$$

$$q_2(x) : -R(x, y), R(y, z).$$

– Since $h : q_2 \rightarrow q_1$ is a homomorphism, we have

$$q_1 \subseteq q_2.$$

Homomorphism examples

$$q_1(x) : \neg R(x, y), R(y, 'Joe') .$$

$$q_2(x) : \neg R(x, y), R(y, z) .$$

$$h : q_2 \rightarrow q_1 : h(y) = y, h(z) = 'Joe' \Rightarrow q_1 \subseteq q_2$$

$$q_1(x) : \neg R(x, y), R(y, z), R(z, x) .$$

$$q_2(x) : \neg R(x, y), R(y, x) .$$

There is no homomorphism: $q_1 \not\subseteq q_2$

Homomorphism examples

Is $q_1 \subseteq q_2$?

$$q_1(x) :- R(x, y), R(y, y) .$$

$$q_2(x) :- R(x, y), R(y, z), R(z, t) .$$

$$h : q_2 \rightarrow q_1 : h(y) = y, h(z) = y, h(t) = y \Rightarrow q_1 \subseteq q_2$$

Proof of Homomorphism Theorem

- Rules based form of a CQ

$$q(u) : - R_1(u_1), \dots, R_n(u_n) .$$

– u_i is shorthand for (x, y, \dots, z) .

- **Valuation ν** is a total function from a set of variables to the domain and identity on constants.

Proof of Homomorphism Theorem

- Recall that the set of variables in q is $\text{var}(q)$
 - Example.

$$q_1(x) :- R(x, y), R(y, y).$$

$$\text{var}(q_1) = \{x, y\}$$

- The result of q over database I is
$$q(I) = \{v(u) \mid v \text{ is a valuation over } \text{var}(q)\}$$
- Also called the *image* of I under q

Proof of Homomorphism Theorem

- We have $q_1 \subseteq q_2$ if and only if there is a homomorphism $h : q_2 \rightarrow q_1$

- **Proof:**

$$q_1(u) :- R_1(u_1), \dots, R_n(u_n) .$$

$$q_2(u) :- S_1(w_1), \dots, S_n(w_n) .$$

– If $h : q_2 \rightarrow q_1$ then $q_1 \subseteq q_2$

For each tuple t in $q_1(I)$, there is a valuation v that maps variables in q_1 to I such that $v(u) = t$.

Thus, $h(v(u))$ maps variables in q_2 to I and $h(v(u)) = t$ where t is in $q_2(I)$.

– If $q_1 \subseteq q_2$ then $h : q_2 \rightarrow q_1$

(Alice Book page 117)

Checking containment

- Check if there exists a homomorphism between queries.
- The problem is NP-complete, proved by reducing from 3-SAT.
- Since the size of queries are relatively small, the process is sufficiently fast.

Query minimization

- A conjunctive query q is minimal if for every other conjunctive query q' , if $q' \equiv q$, q' has at least as many atoms as q .
- Example:
 $q_1(x) :- R(x, z), R(x, z) .$
 $q_2(x) :- R(x, z) .$

Query minimization algorithm

1. Remove an atom from q . Let's call new query q' .
2. We have $q \subseteq q'$.
3. Check to see if $q' \subseteq q$, if it is then remove atom permanently.

- Example:

$q_1(x) :- R(x, z), R(z, t), R(x, w) .$

$q_2(x) :- R(x, z), R(z, t) .$

We have a homomorphism from q_1 to q_2 .

Larger families: UCQ

Movie(mid, title, year, total-gross)

Actor(aid, name, b-year)

Plays(mid, aid)

- CQ with union

Movies that were produced in 1998 or made more than \$2,000.

$Q1(y) :- \text{Movie}(x, y, 1998, z) .$

$Q1(y) :- \text{Movie}(x, y, z, t) , \quad t > 2000 .$

- We can extend homomorphism theorem for UCQs.

Homomorphism Theorem for UCQ

- Given UCQs $q_1 \cup \dots \cup q_n$ and $q'_1 \cup \dots \cup q'_m$, we have $q_1 \cup \dots \cup q_n \subseteq q'_1 \cup \dots \cup q'_m$ if and only if for every $i \leq n$ there is a $j \leq m$, such that $q_i \subseteq q_j$
- Thus, we can use apply homomorphism theorem to each CQ in a UCQ to check the containment.
- Containment checking for UCQs is NP-complete.
 - No worries, query size is usually small.

Larger families: relational queries

- Containment checking for relational queries is undecidable.
- Proved using finite satisfiability problem:
 - Given a query, is there any (finite) database where the query has at least one answer.

Is SQL Sufficient?

- Using IsParent(parent,child), find grand children of a given person.
- Now find all descendants of a given person.
- It is **not** possible to write this query in standard SQL!
 - We can prove it.

Recursive queries in SQL

- SQL standards have recursive SQL
 - most database systems do not implement that
- Database systems usually support limited recursion
 - MySQL recursive cte, Oracle's connected by, ...
- They define within a single query
 - a base case (base query)
 - a recursion step
- Systems limit the type of queries used for recursion
 - not group by/ aggregation function
 - to keep the plan for normal queries fast.

Recursive queries in MySQL

- Common table expression (CTE)
 - relation variable within the scope of a single query

```
WITH cte (col1, col2) AS  
(  
    SELECT 1, 2  
    UNION ALL  
    SELECT 3, 4  
)  
SELECT col1, col2 FROM cte;
```

Recursive queries in MySQL

- Common table expression (CTE)

WITH

cte1 **AS** (**SELECT** a, b **FROM** table1),

cte2 **AS** (**SELECT** c, d **FROM** table2)

SELECT b, d

FROM cte1 **JOIN** cte2

WHERE cte1.a = cte2.c;

- used similar to virtual view in the query
 - the query plan may be more efficient as each CTE is executed only once and used multiple times.

Recursive queries in MySQL

- Recursive CTE

```
WITH RECURSIVE cte (n) AS
(
    SELECT 1
    UNION ALL
    SELECT n + 1 FROM cte WHERE n < 5
)
SELECT * FROM cte;
```

base case (base query) ?

recursion step ?

Recursive queries in MySQL

- Using *Employee(id, name, manager_id)* produce the organizational chart of the management chain.

```
WITH RECURSIVE employee_paths (id, name, path) AS
(
    SELECT id, name, CAST(id AS CHAR(200))
    FROM employees WHERE manager_id IS NULL
    UNION ALL
    SELECT e.id, e.name, CONCAT(ep.path, ',', e.id)
    FROM employee_paths AS ep
    JOIN employees AS e ON ep.id = e.manager_id
)
SELECT * FROM employee_paths ORDER BY path;
```