

# Zoo: A framework for the verification of concurrent OCAML 5 programs using separation logic

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The release of OCAML 5, which introduced parallelism into the language, drove the need for safe and efficient concurrent data structures. New libraries like **SATURN** [32] aim at addressing this need. From the perspective of formal verification, this is an opportunity to apply and further state-of-the-art techniques to provide stronger guarantees.

We present a framework for verifying fine-grained concurrent OCAML 5 algorithms. Following a pragmatic approach, we support a limited but sufficient fragment of the language whose semantics has been carefully formalized to faithfully express such algorithms. Source programs are translated to a deeply-embedded language living inside **Coq** where they can be specified and verified using the **IRIS** [9] concurrent separation logic.

## 1 Introduction

Designing concurrent algorithms, in particular *lock-free* algorithms, is a notoriously difficult task. In this paper, we are concerned with proving the correctness of these algorithms.

**Example 1: physical equality.** Consider, for example, the OCAML implementation of a concurrent stack [1] in **Figure 1**. Essentially, it consists of an atomic reference to a list that is updated atomically using the **Atomic.compare\_and\_set** primitive. While this simple implementation—it is indeed one of the simplest lockfree algorithms—may seem easy to verify, it is actually more subtle than it looks.

Indeed, the semantics of **Atomic.compare\_and\_set** involves *physical equality*: if the content of the atomic reference is physically equal to the expected value, it is atomically updated to the new value. Comparing physical equality is tricky and can be dangerous—this is why *structural equality* is often preferred—because the programmer has few guarantees about the *physical identity* of a value. In particular, the physical identity of a list, or more generally of an inhabitant of an algebraic data type, is not really specified. The only guarantee is: if two values are physically equal, they are also structurally equal. Apparently, we don't learn anything interesting when two values are physically distinct. Going back to our example, this is fortunately not an issue, since we always retry the operation when **Atomic.compare\_and\_set** returns **false**.

Looking at the standard runtime representation of OCAML values, this makes sense. The empty list is represented by a constant while a non-empty list is represented by pointer to a tagged memory block. Physical equality for non-empty lists is just pointer comparison. It is clear that two pointers being distinct does not imply the pointed memory blocks are.

```

type 'a t =
  'a list Atomic.t

let create () =
  Atomic.make []

let rec push t v =
  let old = Atomic.get t in
  let new_ = v :: old in
  if not @@ Atomic.compare_and_set t old new_ then (
    Domain.cpu_relax () ;
    push t v
  )

let rec pop t =
  match Atomic.get t with
  | [] -> None
  | v :: new_ as old ->
    if Atomic.compare_and_set t old new_ then (
      Some v
    ) else (
      Domain.cpu_relax () ;
      pop t
    )

```

Figure 1. Implementation of a concurrent stack

From the viewpoint of formal verification, this means we have to carefully design the semantics of the language to be able to reason about physical equality and other subtleties of concurrent programs. Essentially, the conclusion we can draw is that the semantics of physical equality and therefore `Atomic.compare_and_set` is non-deterministic: we cannot determine the result of physical comparison just by looking at the abstract values.

**Example 2: when physical identity matters.** Consider another example given in Figure 2: the `Rcfd.close`<sup>1</sup> function from the `Eio` [33] library. Essentially, it consists in protecting a file descriptor using reference counting. Similarly, it relies on atomically updating the `state` field using `Atomic.Loc.compare_and_set`<sup>2</sup>. However, there is a complication. Indeed, we claim that the correctness of `close` derives from the fact that the `Open` state does not change throughout the lifetime of the data structure; it can be replaced by a `Closing` state but never by another `Open`. In other words, we want to say that 1) this `Open` is *physically unique* and 2) `Atomic.Loc.compare_and_set` therefore detects whether the data structure has flipped into the `Closing` state. In fact, this kind of property appears frequently in lockfree algorithms; it also occurs in the `Kcas` [31] library<sup>3</sup>.

Once again, this argument requires special care in the semantics of physical equality. In short, we have to reveal something about the physical identity of some abstract values. Yet, we cannot reveal too much—in particular, we cannot simply convert an abstract value to a concrete one (a memory location)—, since the OCAML compiler performs optimizations like sharing of immutable constants, and the semantics should remain compatible with adding other optimizations later on, such as forms of hash-consing.

<sup>1</sup>[https://github.com/ocaml-multicore/eio/blob/main/lib\\_eio/unix/rcfd.ml](https://github.com/ocaml-multicore/eio/blob/main/lib_eio/unix/rcfd.ml)

<sup>2</sup>Here, we make use of atomic record fields that were recently introduced in OCAML.

<sup>3</sup><https://github.com/ocaml-multicore/kcas/blob/main/doc/gkmz-with-read-only-cmp-ops.md>

```
type state =  
  | Open of Unix.file_descr  
  | Closing of (unit -> unit)  
type t =  
  { mutable ops: int [@atomic];  
    mutable state: state [@atomic];  
  }  
  
let make fd =  
  { ops= 0; state= Open fd }  
  
let closed = Closing (fun () -> ())  
let close t =  
  match t.state with  
  | Closing _ -> false  
  | Open fd as prev ->  
    let close () = Unix.close fd in  
    let next = Closing close in  
    if Atomic.Loc.compare_and_set [%atomic.loc t.state] prev next then (  
      if t.ops == 0  
      && Atomic.Loc.compare_and_set [%atomic.loc t.state] next closed  
      then  
        close () ;  
      true  
    ) else (  
      false  
    )  
  )
```

**Figure 2.** `Rcfd.close` function from the `Eio` [33] library

**A formalized OCAML fragment for the verification of concurrent algorithms.** These subtle aspects, illustrated through two realistic examples, justify the need for a faithful formal semantics of a fragment of OCAML tailored for the verification of concurrent algorithms. Ideally, of course, this fragment would include most of the language. However, the direct practical aim of this work—the verification of real-life libraries like **SATURN** [32]—led us to the following design philosophy: only include what is actually needed to express and reason about concurrent algorithms in a convenient way.

In this paper, we show how we have designed a practical framework, **Zoo**<sup>4</sup>, following this guideline. We review the works related to the verification of OCAML programs in **Section 2**; we describe our framework in **Section 3**; we detail the important features, including the treatment of physical equality, in **Section 4** before concluding.

## 2 Related work

The idea of applying formal methods to verify OCAML programs is not new. Generally speaking, there are mainly two ways:

**Semi-automated verification.** The verified program is annotated by the user to guide the verification tool: preconditions, postconditions, invariants, *etc.* Given this input, the tool generates proof obligations that are mostly automatically discharged. One may further distinguish two types of semi-automated systems: *foundational* and *non-foundational*.

In *non-foundational* automated verification, the tool and the external solvers it may rely on are part of the trusted computing base. It is the most common approach and has been widely applied in the literature [5, 8, 3, 20, 19, 4, 24, 25], including to OCAML by **CAMELEER** [17], which uses the **GOSPEL** specification language [13] and **WHY3** [4].

In *foundational* automated verification, the proofs are checked by a proof assistant like **Coq**, meaning the automation does not have to be trusted. To our knowledge, it has been applied to C [18] and RUST [30].

**Non-automated verification.** The verified program is translated, manually or in an automated way, into a representation living inside a proof assistant. The user has to write specifications and prove them.

The representation may be primitive, like Gallina for **Coq**. For pure programs, this is rather straightforward, *e.g.* in **hs-to-coq** [11]. For imperative programs, this is more challenging. One solution is to use a monad, *e.g.* in **coq-of-ocaml** [28], but it does not support concurrency.

The representation may be embedded, meaning the semantics of the language is formalized in the proof assistant. This is the path taken by some recent works [22, 23, 12] harnessing the power of separation logic, in particular the **IRIS** [9] concurrent separation logic. **IRIS** is a very important work for the verification of concurrent algorithms. It allows for a rich, customizable ghost state that makes it possible to design complex *concurrent protocols*. In our experience, for the lockfree algorithms we considered, there is simply no alternative.

The tool closest to our needs so far is **CFML** [22], which targets OCAML. However, **CFML** does not support concurrency and is not based on **IRIS**. The **OSIRIS** [29] framework, still under development, also targets OCAML and is based on **IRIS**. However, it does not support concurrency and it is arguably non-trivial to introduce it since the semantics uses interaction trees [15]—the question of how to handle concurrency in this context is a research subject. Furthermore, **OSIRIS** is not usable yet; its ambition to support a large fragment of OCAML makes it a challenge.

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<sup>4</sup><https://github.com/clef-men/zoo>

Coq term	$t$	
constructor	$C$	
projection	$proj$	
record field	$fld$	
identifier	$s, f$	$\in$ String
integer	$n$	$\in$ $\mathbb{Z}$
boolean	$b$	$\in$ $\mathbb{B}$
binder	$x$	$::=$ $\langle \rangle \mid s$
unary operator	$\oplus$	$::=$ $\sim \mid -$
binary operator	$\otimes$	$::=$ $+ \mid - \mid * \mid \text{'quot'} \mid \text{'rem'} \mid \text{'land'} \mid \text{'lor'} \mid \text{'lsl'} \mid \text{'lsr'} \mid$ $\leq \mid < \mid > \mid = \mid \neq \mid == \mid !=$ $\mid$ $\text{and} \mid \text{or}$
expression	$e$	$::=$ $t \mid s \mid \#n \mid \#b$ $\mid$ $\text{fun: } x_1 \dots x_n \Rightarrow e \mid \text{rec: } f \ x_1 \dots x_n \Rightarrow e$ $\mid$ $\text{let: } x := e_1 \text{ in } e_2 \mid e_1 ;; e_2$ $\mid$ $\text{let: } f \ x_1 \dots x_n := e_1 \text{ in } e_2 \mid \text{letrec: } f \ x_1 \dots x_n := e_1 \text{ in } e_2$ $\mid$ $\text{let: 'C } x_1 \dots x_n := e_1 \text{ in } e_2 \mid \text{let: } x_1, \dots, x_n := e_1 \text{ in } e_2$ $\mid$ $\oplus e \mid e_1 \otimes e_2$ $\mid$ $\text{if: } e_0 \text{ then } e_1 \text{ (else } e_2 \text{)}^?$ $\mid$ $\text{for: } x := e_1 \text{ to } e_2 \text{ begin } e_3 \text{ end}$ $\mid$ $\S C \mid \text{'C } (e_1, \dots, e_n) \mid (e_1, \dots, e_n) \mid e.\langle proj \rangle$ $\mid$ $[] \mid e_1 :: e_2$ $\mid$ $\text{'C } \{e_1, \dots, e_n\} \mid \{e_1, \dots, e_n\} \mid e.\{fld\} \mid e_1 <- \{fld\} e_2$ $\mid$ $\text{ref } e \mid !e \mid e_1 <- e_2$ $\mid$ $\text{match: } e_0 \text{ with } br_1 \mid \dots \mid br_n \mid \_ \text{ (as } s \text{)}^? \Rightarrow e \text{)}^? \text{ end}$ $\mid$ $e.\{fld\} \mid \text{Xchg } e_1 \ e_2 \mid \text{CAS } e_1 \ e_2 \ e_3 \mid \text{FAA } e_1 \ e_2$ $\mid$ $\text{Proph} \mid \text{Resolve } e_0 \ e_1 \ e_2$ $\mid$ $\text{Reveal } e$
branch	$br$	$::=$ $C \ (x_1 \dots x_n)^? \text{ (as } s \text{)}^? \Rightarrow e$ $\mid$ $[] \text{ (as } s \text{)}^? \Rightarrow e \mid x_1 :: x_2 \text{ (as } s \text{)}^? \Rightarrow e$
toplevel value	$v$	$::=$ $t \mid \#n \mid \#b$ $\mid$ $\text{fun: } x_1 \dots x_n \Rightarrow e \mid \text{rec: } f \ x_1 \dots x_n \Rightarrow e$ $\mid$ $\S C \mid \text{'C } (v_1, \dots, v_n) \mid (v_1, \dots, v_n)$ $\mid$ $[] \mid v_1 :: v_2$

**Figure 3.** ZOOLANG syntax (omitting mutually recursive toplevel functions)

### 3 Zoo in practice

In this section, we give an overview of the framework. We also provide a [minimal example](#)<sup>5</sup> demonstrating its use.

**Language.** The core of **Zoo** is ZOOLANG: an untyped, ML-like, imperative, concurrent programming language that is fully formalized in **Coq**. Its semantics has been designed to match OCAML's.

ZOOLANG comes with a program logic based on **IRIS**: reasoning rules expressed in separation logic (including rules for the different constructs of the language) along with **Coq** tactics that integrate into the **IRIS** proof mode [7, 10]. In addition, it supports **DIAFRAME** [21], enabling proof automation.

The ZOOLANG syntax is given in [Figure 3](#)<sup>6</sup>, omitting mutually recursive toplevel functions that are treated specifically. Expressions include standard constructs like booleans, integers,

<sup>5</sup><https://github.com/clef-men/zoo-demo>

<sup>6</sup>More precisely, it is the syntax of the surface language, including many **Coq** notations.

anonymous functions (that may be recursive), **let** bindings, sequence, unary and binary operators, conditionals, **for** loops, tuples. In any expression, one can refer to a **Coq** term representing a ZOOLANG value (of type **val**) using its **Coq** identifier. ZOOLANG is a deeply embedded language: variables (bound by functions and **let**) are quoted, represented as strings.

Data constructors (immutable memory blocks) are supported through two constructs :  $\S C$  represents a constant constructor (e.g.  $\S \text{None}$ ),  $C (e_1, \dots, e_n)$  represents a non-constant constructor (e.g.  $\text{Some}(e)$ ). Unlike OCAML, ZOOLANG has projections of the form  $e.<proj>$  (e.g.  $(e_1, e_2).<1>$ ), that can be used to obtain a specific component of a tuple or data constructor. ZOOLANG supports shallow pattern matching (patterns cannot be nested) on data constructors with an optional fallback case.

Mutable memory blocks are constructed using either the untagged record syntax  $\{e_1, \dots, e_n\}$  or the tagged record syntax  $C \{e_1, \dots, e_n\}$ . Reading a record field can be performed using  $e.\{fld\}$  and writing to a record field using  $e_1 <- \{fld\} e_2$ . Pattern matching can also be used on mutable tagged blocks provided that cases do not bind anything—in other words, only the tag is examined, no memory access is performed. References are also supported through the usual constructs : **ref**  $e$  creates a reference,  $!e$  reads a reference and  $e_1 <- e_2$  writes into a reference. The syntax seemingly does not include constructs for arrays but they are supported through the **Array** standard module (e.g. **array\_make**).

Parallelism is mainly supported through the **Domain** standard module (e.g. **domain\_spawn**). Special constructs (**Xchg**, **CAS**, **FAA**), described in [Section 4.6](#), are used to model atomic references.

The **Proph** and **Resolve** constructs are used to model *prophecy variables* [14], as described in [Section 4.7](#).

Finally, **Reveal** is a special source construct that we introduce to handle physical equality. We demystify it in [Section 4.4](#).

**Translation from OCAML to ZOOLANG** While ZOOLANG lives in **Coq**, we want to verify OCAML programs. To connect them, we provide a tool to automatically translate OCAML source files<sup>7</sup> into **Coq** files containing ZOOLANG code: **ocaml2zoo**. This tool can process entire **dune** projects, including many libraries.

The supported OCAML fragment includes: shallow **match**, ADTs, records, inline records, atomic record fields, unboxed types, toplevel mutually recursive functions.

As an example of what **ocaml2zoo** can generate, the **push** function from [Section 1](#) is translated into:

```
Definition stack_push : val :=
  rec: "push" "t" "v" =>
    let: "old" := !"t" in
    let: "new_" := "v" :: "old" in
    if: ~ CAS "t".[contents] "old" "new_" then (
      domain_yield () ;;
      "push" "t" "v"
    ).
```

**Specifications and proofs.** Once the translation to ZOOLANG is done, the user can write specifications and prove them in **IRIS**. For instance, the specification of the **stack\_push** function could be:

```
Lemma stack_push_spec t v :
  <<<
    stack_inv t v
```

<sup>7</sup>Actually, **ocaml2zoo** processes binary annotation files (.cmt files).

```

|  $\forall \forall$  vs, stack_model t vs
>>>
  stack_push t v @  $\uparrow t$ 
<<<
  stack_model t (v :: vs)
| RET (); True
>>>.
Proof.
...
Qed.

```

Here, we use a *logically atomic specification* [6], which has been proven [16] to be equivalent to *linearizability* [2] in sequentially consistent memory models.

Similarly to *Hoare triples*, the two assertions inside curly brackets represent the precondition and postcondition for the caller. For this particular operation, the postcondition is trivial. The stack-inv  $t$  precondition is the stack invariant. Intuitively, it asserts that  $t$  is a valid concurrent stack. More precisely, it enforces a set of logical constraints—a concurrent protocol—that  $t$  must respect at all times.

The other two assertions inside angle brackets represent the *atomic precondition* and *atomic postcondition*. They specify the linearization point of the operation: during the execution of `stack_push`, the abstract state of the stack held by `stack-model` is atomically updated from  $vs$  to  $v :: vs$ ; in other words,  $v$  is atomically pushed at the top of the stack.

## 4 Zoo features

In this section, we review the main features of *Zoo*, starting with the most generic ones and then addressing those related to concurrency.

### 4.1 Algebraic data types

*Zoo* is an untyped language but, to write interesting programs, it is convenient to work with abstractions like algebraic data types. To simulate tuples, variants and records, we designed a machinery to define projections, constructors and record fields.

For example, one may define a list-like type with:

```

Notation "'Nil'" := (in_type "t" 0) (in custom zoo_tag).
Notation "'Cons'" := (in_type "t" 1) (in custom zoo_tag).

```

Given this incantation, one may directly use the tags `Nil` and `Cons` in data constructors using the corresponding ZOOLANG constructs:

```

Definition map : val :=
  rec: "map" "fn" "t" =>
    match: "t" with
    | Nil =>
      §Nil
    | Cons "x" "t" =>
      let: "y" := "fn" "x" in
      'Cons( "y", "map" "fn" "t" )
    end.

```

The meaning of this incantation is not really important, as such notations can be generated by `ocaml2zoo`. Suffice it to say that it introduces the two tags in the `zoo_tag` custom entry, on which the notations for data constructors rely. The `in_type` term is needed to

distinguish the tags of distinct data types; crucially, it cannot be simplified away by **Coq**, as this could lead to confusion during the reduction of expressions.

Similarly, one may define a record-like type with two mutable fields **f1** and **f2**:

```
Notation "'f1'" := (in_type "t" 0) (in custom zoo_field).
Notation "'f2'" := (in_type "t" 1) (in custom zoo_field).

Definition swap : val :=
  fun: "t" =>
    let: "f1" := "t".{f1} in
    "t" <-{f1} "t".{f2} ;;
    "t" <-{f2} "f1".
```

## 4.2 Mutually recursive functions

**Zoo** supports non-recursive (**fun**:  $x_1 \dots x_n \Rightarrow e$ ) and recursive (**rec**:  $f \ x_1 \dots x_n \Rightarrow e$ ) functions but only *oplevel* mutually recursive functions. Indeed, it is non-trivial to properly handle mutual recursion: when applying a mutually recursive function, a naive approach would replace the recursive functions by their respective bodies, but this typically makes the resulting expression unreadable. To prevent it, the mutually recursive functions have to know one another so as to replace by the names instead of the bodies. We simulate this using some boilerplate that can be generated by **ocaml2zoo**. For instance, one may define two mutually recursive functions **f** and **g** as follows:

```
Definition f_g := (
  recs: "f" "x" => "g" "x"
  and:  "g" "x" => "f" "x"
)%zoo_recs.

(* boilerplate *)
Definition f := ValRecs 0 f_g.
Definition g := ValRecs 1 f_g.
Instance : AsValRecs' f 0 f_g [f;g]. Proof. done. Qed.
Instance : AsValRecs' g 1 f_g [f;g]. Proof. done. Qed.
```

## 4.3 Standard library

To save users from reinventing the wheel, we provide a standard library—more or less a subset of the OCAML standard library. Currently, it mainly includes standard data structures like: array (**Array**), resizable array (**Dynarray**), list (**List**), stack (**Stack**), queue (**Queue**), double-ended queue, mutex (**Mutex**), condition variable (**Condition**).

Each of these standard modules contains **ZOO**LANG functions and their verified specifications. These specifications are modular: they can be used to verify more complex data structures. As an evidence of this, lists [26] and arrays [27] have been successfully used in verification efforts based on **Zoo**.

## 4.4 Physical equality

In **Zoo**, a value is either a bool, an integer, a memory location, a function or an immutable block. To deal with physical equality in the semantics, we have to specify what guarantees we get when 1) physical comparison returns **true** and 2) when it returns **false**.

We assume that the program is semantically well typed, if not syntactically well typed, in the sense that compared values are loosely compatible: a boolean may be compared with another boolean or a location, an integer may be compared with another integer or a



location, an immutable block may be compared with another immutable block or a location. This means we never physically compare, *e.g.*, a boolean and an integer, an integer and an immutable block. If we wanted to allow it, we would have to extend the semantics of physical comparison to account for conflicts in the memory representation of values.

For booleans, integers and memory locations, the semantics of physical equality is plain equality. Let us consider the case of abstract values (functions and immutable blocks).

If physical comparison returns `true`, the semantics of OCAML tells us that these values are structurally equal. This is very weak because structural equality for memory locations is not plain equality. In fact, assuming only that, the stack of [Section 1](#) and many other concurrent algorithms relying on physical equality would be incorrect. Indeed, for *e.g.* a stack of references (`'a ref`), a successful `Atomic.compare_and_set` in `push` or `pop` would not be guaranteed to have seen the exact same list of references; the expected specification of [Section 3](#) would not work. What we want and what we assume in our semantics is plain equality. Hopefully, this should be correct in practice, as we know physical equality is implemented as plain comparison.

If physical comparison returns `false`, the semantics of OCAML tells us essentially nothing: two immutable blocks may have distinct identities but same content. However, given this semantics, we cannot verify the `Rcfd` example of [Section 1](#). To see why, consider the first `Atomic.compare_and_set` in the `close` function. If it fails, we expect to see a `Closing` state because we know there is only one `Open` state ever created, but we cannot prove it. To address it, we take another step back from OCAML's semantics by introducing the `Reveal` construct. When applied to an immutable memory block, `Reveal` yields the same block annotated with a logical identifier that can be interpreted as its abstract identity. The meaning of this identifier is: if physical comparison of two identified blocks returns `false`, the two identifiers are necessarily distinct. The underling assumption that we make here—which is hopefully also correct in the current implementation of OCAML—is that the compiler may introduce sharing but not unsharing.

The introduction of `Reveal` can be performed automatically by `ocaml2zoo` provided the user annotates the data constructor (*e.g.* `Open`) with the attribute `[@zoo.reveal]`. For `Rcfd.make`, it generates:

```
Definition rcfd_make : val :=
  fun: "fd" =>
    { #0, Reveal 'Open( "fd" ) }.
```

Given this semantics and having revealed the `Open` block, we can verify the `close` function. Indeed, if the first `Atomic.compare_and_set` fails, we now know that the identifiers of the two blocks, if any, are distinct. As there is only one `Open` block whose identifier does not change, it cannot be the case that the current state is `Open`, hence it is `Closing` and we can conclude.

## 4.5 Structural equality

Structural equality is also supported. More precisely, it is not part of the semantics of the language but axiomatized on top of it<sup>8</sup>. The reason is that it is in fact difficult to specify for arbitrary values. Indeed, we have to handle not only abstract tree-like values (booleans, integers, immutable blocks) but also pointers to memory blocks for records. In general, we basically have to compare graphs—which implies structural comparison may diverge.

Accordingly, the specification of  $v_1 = v_2$  requires the (partial) ownership of a *memory footprint* corresponding to the union of the two compared graphs, giving the right to traverse them safely. If it terminates, the comparison decides whether the two graphs are isomorphic. In *IRIS*, this gives:

<sup>8</sup>We could also have implemented it in *Zoo*, but that would require more low-level primitives.

```
Axiom structeq_spec : ∀ ` {zoo_G : !ZooG Σ} {v1 v2} footprint,
  val_traversable footprint v1 →
  val_traversable footprint v2 →
  {{{ structeq_footprint footprint }}}
  v1 = v2
  {{{ b, RET #b;
    structeq_footprint footprint *
    ⌈ if b then val_structeq footprint v1 v2
    else val_structne footprint v1 v2 ⌋
  }}}.
```

Obviously, this general specification is not very convenient to work with. Fortunately, for abstract tree-like values, we get a much simpler variant:

```
Lemma structeq_spec_abstract ` {zoo_G : !ZooG Σ} v1 v2 :
  val_is_abstract v1 →
  val_is_abstract v2 →
  {{{ True }}}
  v1 = v2
  {{{ RET #(bool_decide (v1 = v2)); True }}}
Proof.
...
Qed.
```

## 4.6 Concurrent primitives

**Zoo** supports concurrent primitives both on atomic references (from **Atomic**) and atomic record fields (from **Atomic.Loc**<sup>9</sup>) according to the table below. The OCAML expressions listed in the left-hand column translate into the **Zoo** expressions in the right-hand column. Notice that an atomic location `[%atomic.loc e.f]` (of type `_ Atomic.Loc.t`) translates directly into `e.[f]`.

OCAML	<b>Zoo</b>
<code>Atomic.get e</code>	<code>!e</code>
<code>Atomic.set e<sub>1</sub> e<sub>2</sub></code>	<code>e<sub>1</sub> &lt;- e<sub>2</sub></code>
<code>Atomic.exchange e<sub>1</sub> e<sub>2</sub></code>	<code>Xchg e<sub>1</sub>. [contents] e<sub>2</sub></code>
<code>Atomic.compare_and_set e<sub>1</sub> e<sub>2</sub> e<sub>3</sub></code>	<code>CAS e<sub>1</sub>. [contents] e<sub>2</sub> e<sub>3</sub></code>
<code>Atomic.fetch_and_add e<sub>1</sub> e<sub>2</sub></code>	<code>FAA e<sub>1</sub>. [contents] e<sub>2</sub></code>
<code>Atomic.Loc.exchange [%atomic.loc e<sub>1</sub>.f] e<sub>2</sub></code>	<code>Xchg e<sub>1</sub>. [f] e<sub>2</sub></code>
<code>Atomic.Loc.compare_and_set [%atomic.loc e<sub>1</sub>.f] e<sub>2</sub> e<sub>3</sub></code>	<code>CAS e<sub>1</sub>. [f] e<sub>2</sub> e<sub>3</sub></code>
<code>Atomic.Loc.fetch_and_add [%atomic.loc e<sub>1</sub>.f] e<sub>2</sub></code>	<code>FAA e<sub>1</sub>. [f] e<sub>2</sub></code>

One important aspect of this translation is that atomic accesses (**Atomic.get** and **Atomic.set**) correspond to plain loads and stores. This is because we are working in a sequentially consistent memory model: there is no difference between atomic and non-atomic memory locations.

## 4.7 Prophecy variables

Lockfree algorithms exhibit complex behaviors. To tackle them, **IRIS** provides powerful mechanisms such as *prophecy variables* [14]. Essentially, prophecy variables can be used to predict the future of the program execution and reason about it. They are key to handle

<sup>9</sup>The **Atomic.Loc** module is part of the **PR** that implements atomic record fields.

*future-dependent linearization points*: linearization points that may or may not occur at a given location in the code depending on a future observation.

**ZOO** supports prophecy variables through the **Proph** and **Resolve** expressions—as in **HEAPLANG**, the canonical **IRIS** language. In OCAML, these expressions correspond to **Zoo.proph** and **Zoo.resolve**, that are recognized by **ocaml2zoo**.

## 5 Conclusion and future work

The development of **ZOO** is still ongoing. While it is not yet available on **opam**, it can be installed and used in other **Coq** projects. We provide a **minimal example** demonstrating its use.

**ZOO** supports a limited fragment of OCAML that is sufficient for most of our needs. Its main weakness so far is its memory model, which is sequentially consistent as opposed to the relaxed OCAML 5 memory model. It also lacks exceptions and algebraic effects, that we plan to introduce in the future.

Another interesting direction would be to combine **ZOO** with semi-automated techniques. Similarly to **WHY3**, the simple parts of the verification effort would be done in a semi-automated way, while the most difficult parts would be conducted in **Coq**.

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