

Zoo:  
A framework for the verification  
of concurrent OCaml 5 programs  
using separation logic



Clément  
Allain



Gabriel  
Scherer

## Introduction

Zoo overview

Verification contributions

Physical equality

Future work



## OCaml 5 (2022)

*Parallelism:* multi-core runtime, domains, atomic references

*Concurrency:* algebraic effects



**Nascent ecosystem of parallel & concurrent software**

Domainslib, Saturn, Eio ...



**Software verification!**

# OCaml verification ecosystem

Language	Concurrency	Iris	$\approx$ OCaml	Translation	Automation
Cameleer					
coq_of_ocaml					
CFML					
Osiris					
HeapLang					
Zoo					

Introduction

Zoo overview

Verification contributions

Physical equality

Future work

Zoo



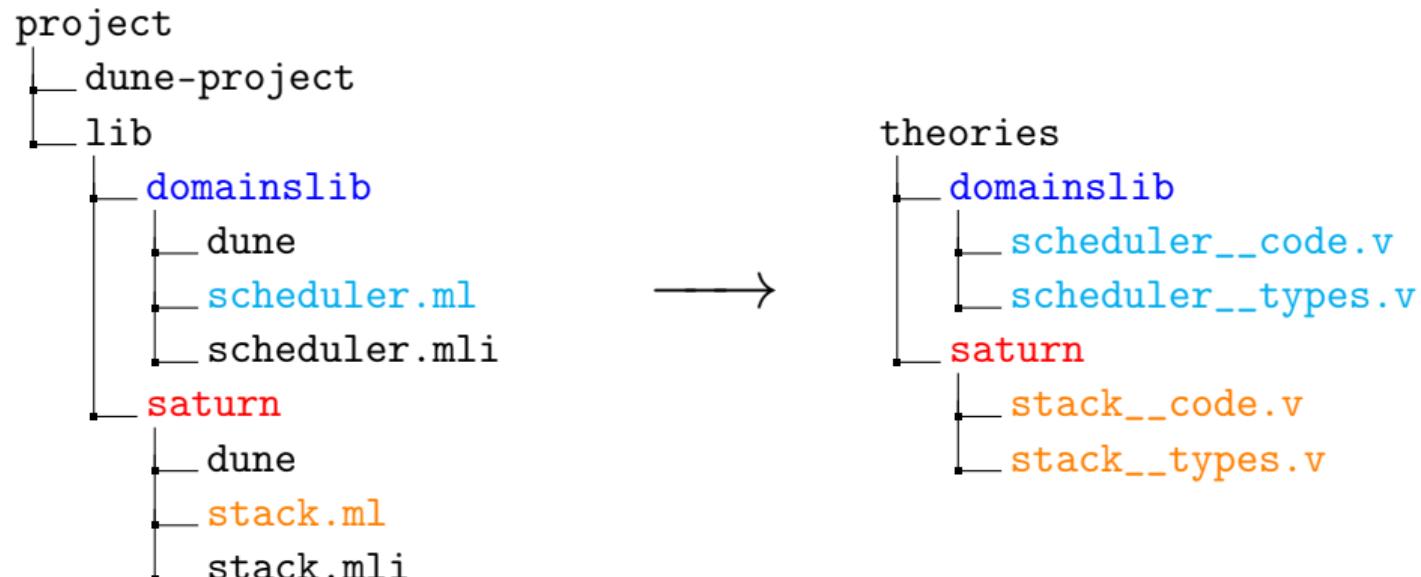
ocaml2zoo  
→



Zoo



## Zoo in practice



```
$ ocaml2zoo project theories
```

## Zoo in practice

```
let rec push t v =
  let old = Atomic.get t in
  let new_ = v :: old in
  if not (Atomic.compare_and_set t old new_) then (
    Domain.cpu_relax () ;
    push t v
  )
```



---

```
Definition stack_push : val :=
  rec: "stack_push" "t" "v" =>
    let: "old" := !"t" in
    let: "new" := `Cons( "v", "old" ) in
    if: ~ CAS "t" "old" "new" then (
      domain_cpu_relax () ;;
      "stack_push" "t" "v"
    ).
```



## Zoo in practice

```
Lemma stack_push_spec t  $\iota$  v :
```

```
<<<
```

```
  stack_inv t  $\iota$ 
```

```
|  $\forall$  vs, stack_model t vs
```

```
>>>
```

```
  stack_push t v @  $\uparrow\iota$ 
```

stack\_push is  
*linearizable*

```
<<<
```

```
  stack_model t (v :: vs)
```

```
| RET () ; True
```

```
>>>.
```

```
Proof. .... Qed.
```

## Zoo in practice

```
Lemma stack_push_spec t  $\iota$  v :
```

```
<<<
```

```
  stack_inv t  $\iota$ 
```

```
|  $\forall$  vs, stack_model t vs
```

```
>>>
```

```
  stack_push t v @  $\uparrow\iota$ 
```

stack\_push is  
*linearizable*

```
<<<
```

```
  stack_model t (v :: vs)
```

```
| RET () ; True
```

```
>>>.
```

```
Proof. .... Qed.
```

## Zoo features

- ▶ Algebraic data types
- ▶ Records
- ▶ Mutually recursive functions
- ▶ Physical equality
- ▶ Structural equality
- ▶ Prophecy variables
- ▶ Diaframe (basic automation)
- ▶ Atomic references
- ▶ Atomic record fields
- ▶ Atomic arrays
- ▶ Generative constructors

Introduction

Zoo overview

Verification contributions

Physical equality

Future work

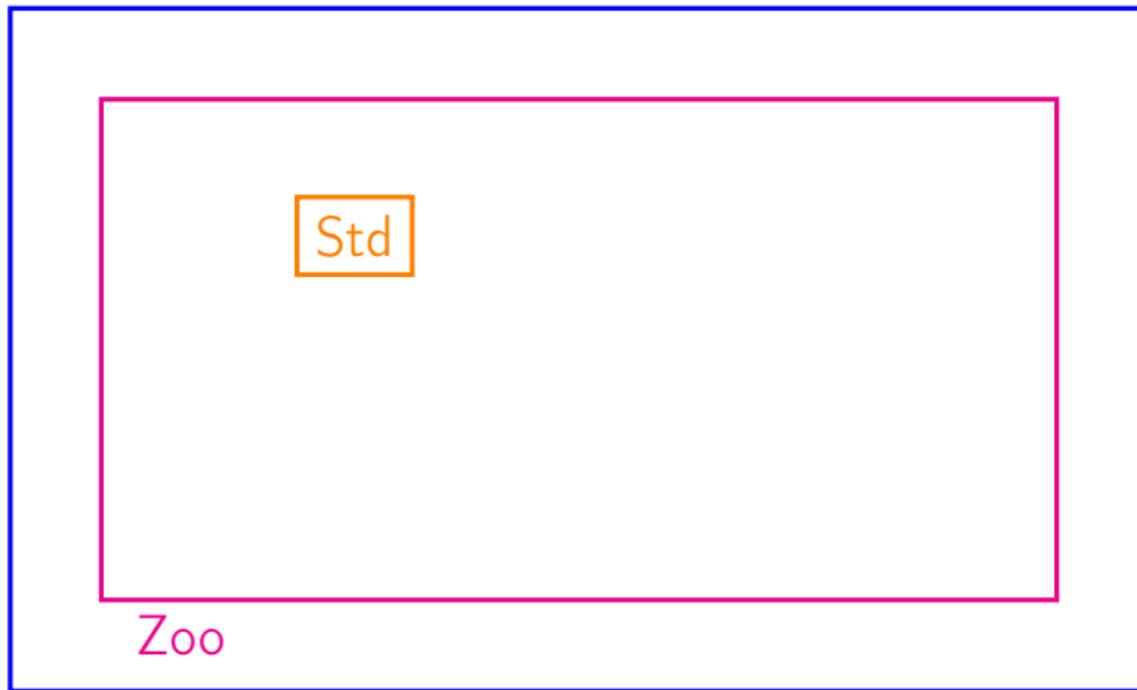
## Verification contributions

## Verification contributions



Rocq

## Verification contributions

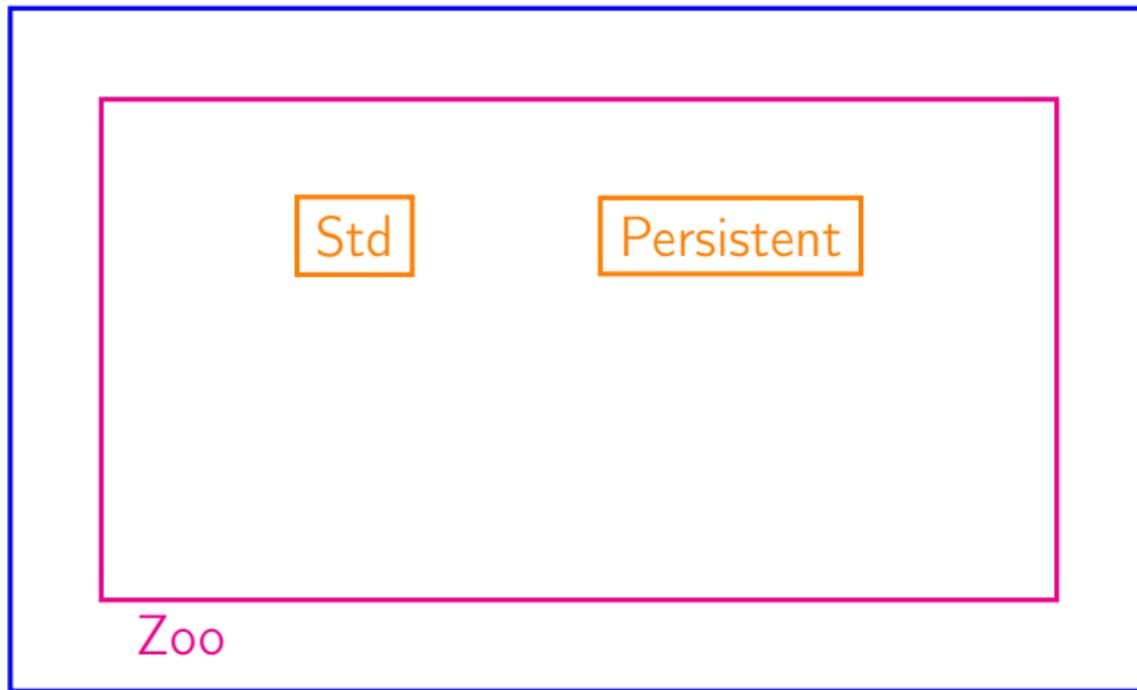


Rocq

### Standard data structures

- ▶ Array
- ▶ Dynarray
- ▶ List
- ▶ Stack
- ▶ Queue
- ▶ Inf\_array
- ▶ Deque
- ▶ Domain
- ▶ Mutex
- ▶ Semaphore
- ▶ Condition
- ▶ Ivar
- ▶ Mvar

## Verification contributions



Rocq



Basile Clément



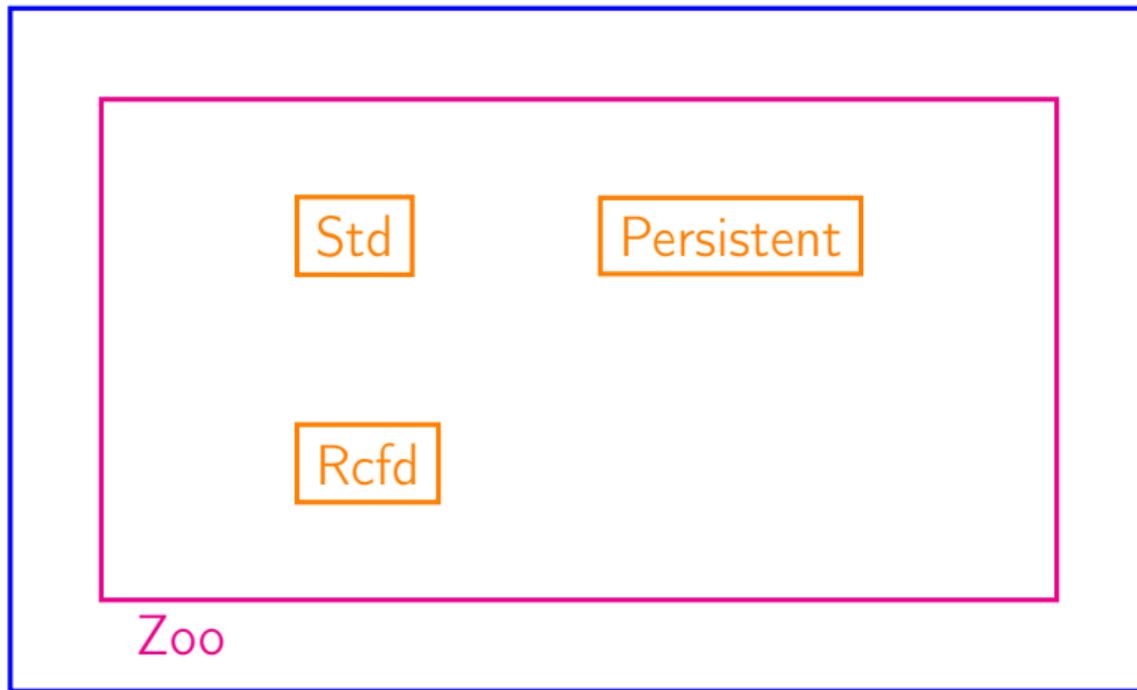
Gabriel Scherer

## Persistent data structures

- ▶ Persistent array
- ▶ Persistent store
- ▶ Persistent union-find

Rocq

## Verification contributions



Rocq

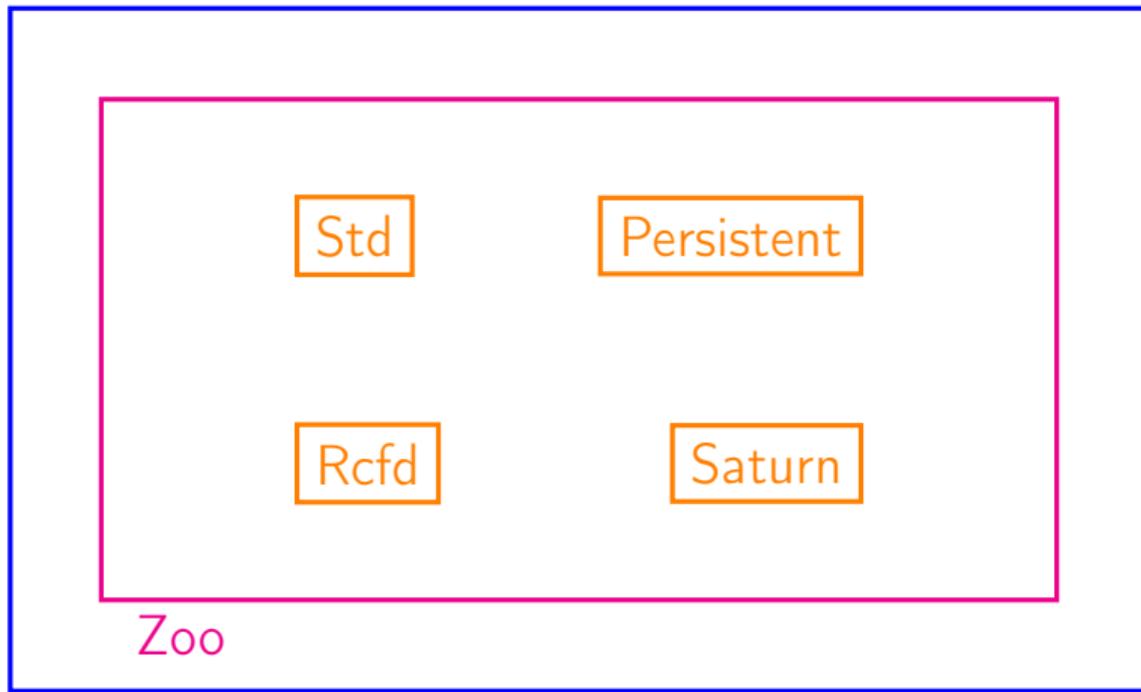


Thomas Leonard

## Parallelism-safe file descriptor

- ▶ Generative constructors
- ▶ Intricate concurrent protocol
- ▶ Two ownership regimes

## Verification contributions



Rocq



Vesa Karvonen



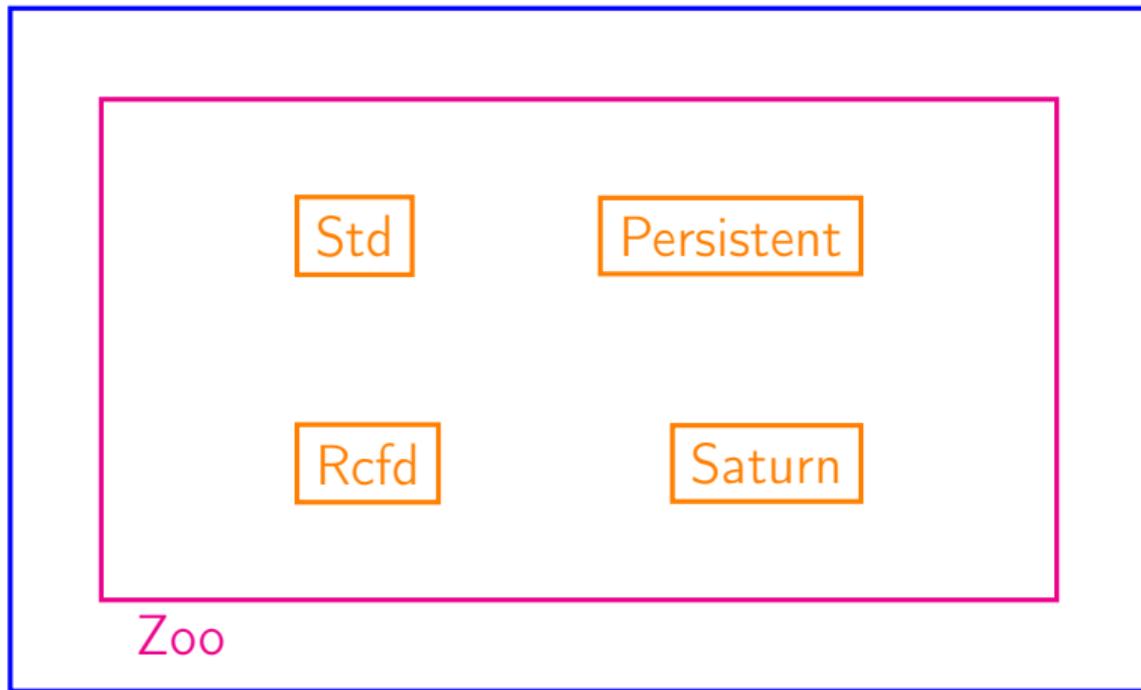
Carine Morel

## Standard lock-free data structures

- ▶ Stacks
- ▶ List-based queues
- ▶ Array-based queues
- ▶ Stack-based queues

Rocas

## Verification contributions



Rocq

Introduction

Zoo overview

Verification contributions

Physical equality

Future work

## Physical equality in *fine-grained* concurrent programs

```
type 'a t =
  'a list Atomic.t

let create () =
  Atomic.make []

let rec push t v =
  let old = Atomic.get t in
  let new = v :: old in
  if Atomic.compare_and_set t old new then
    ()
  else
    push t v
```

## Physical equality in *fine-grained* concurrent programs

```
type 'a t =
  'a list Atomic.t
```

```
let create () =
  Atomic.make []
```

Physical comparison (==)

```
let rec push t v =
  let old = Atomic.get t in
  let new = v :: old in
  if Atomic.compare_and_set t old new then
    ()
  else
    push t v
```



## Physical equality in *fine-grained* concurrent programs

```
type 'a t =
  'a list Atomic.t
```

```
let create () =
  Atomic.make []
```

Physical comparison (==)  
OCaml: under-specified

```
let rec push t v =
  let old = Atomic.get t in
  let new = v :: old in
  if Atomic.compare_and_set t old new then
    ()
  else
    push t v
```

# Physical equality in *fine-grained* concurrent programs

```
type 'a t =
  'a list Atomic.t
```

```
let create () =
  Atomic.make []
```

```
let rec push t v =
  let old = Atomic.get t in
  let new = v :: old in
  if Atomic.compare_and_set t old new then
    ()
  else
    push t v
```

Physical comparison (==)  
OCaml: under-specified  
HeapLang: too restrictive  
incompatible w/ OCaml



## When physical equality returns true

```
let rec push t v =
  let old = Atomic.get t in
  let new = v :: old in

  if Atomic.compare_and_set t old new then

    ()
  else
    push t v
```

## When physical equality returns true

```
let rec push t v =
  let old = Atomic.get t in
  let new = v :: old in
  ⟨ vs. stack-model t vs * ... ⟩
  if Atomic.compare_and_set t old new then
    ()
  else
    push t v
```

## When physical equality returns true

```
let rec push t v =
  let old = Atomic.get t in
  let new = v :: old in
  ⟨ vs. stack-model t vs * ... ⟩
  if Atomic.compare_and_set t old new then
    ⟨ stack-model t (v :: old) * vs phys ≈ old ⟩
    ()
  else
    push t v
```

## When physical equality returns true

```
let rec push t v =
  let old = Atomic.get t in
  let new = v :: old in
  ⟨ vs. stack-model t vs * ... ⟩
  if Atomic.compare_and_set t old new then
    ⟨ stack-model t (v :: old) * vs  $\approx^{\text{phys}}$  old ⟩
    ⟨ stack-model t (v :: vs) ⟩
    ()
  else
    push t v
```

## When physical equality returns true

```
let rec push t v =
```

```
1
1
1
<
i  let test1 = 1 :: [] == 1 :: [] (* maybe true *)
  let test2 = 1 :: [] == 1 :: [] (* maybe false *)
e
```

$$v_1 \stackrel{\text{rocq}}{=} v_2 \quad \not\Rightarrow \quad v_1 \stackrel{\text{phys}}{\approx} v_2$$

```
push t v
```

When physical equality returns true

```
let type any = Any : 'a -> any
in let test1 = Any false == Any 0 (* maybe true *)
   let test2 = Any None == Any 0 (* maybe true *)
   let test3 = Any [] == Any 0 (* maybe true *)
```

$$v_1 \stackrel{\text{phys}}{\approx} v_2 \not\Rightarrow v_1 \stackrel{\text{rocq}}{=} v_2$$

## When physical equality returns true

```
let rec push t v =
  let old = Atomic.get t in
  let new = v :: old in
  ⟨ vs. stack-model t vs * ... ⟩
  if Atomic.compare_and_set t old new then
    ⟨ stack-model t (v :: old) * vs phys ≈ old ⟩
    ⟨ stack-model t (v :: vs) ⟩
    ()
  else
    push t v
```

## When physical equality returns false

```
type state = Open of Unix.file_descr | Closing of (unit -> unit)
type t = { mutable state: state [@atomic]; ... }

let make fd = { state= Open fd; ... }
let close t = match t.state with
| Closing _ -> false
| Open fd as old ->
    let close () = Unix.close fd in
    let new = Closing close in
        if Atomic.Loc.compare_and_set [%atomic.loc t.state] old new
        then ... else
            false
```

## When physical equality returns false

```
type state = Open of Unix.file_descr | Closing of (unit -> unit)
type t = { mutable state: state [@atomic]; ... }

let make fd = { state= Open fd; ... }

let close t = match t.state with
| Closing _ -> false
| Open fd as old ->
  let close () = Unix.close fd in
  let new = Closing close in

    if Atomic.Loc.compare_and_set [%atomic.loc t.state] old new
    then ... else

  false
```

## When physical equality returns false

```
type state = Open of Unix.file_descr | Closing of (unit -> unit)
type t = { mutable state: state [@atomic]; ... }
```

```
let make fd = { state= Open fd; ... }
```

```
let close t = match t.state with
| Closing _ -> false
| Open fd as old ->
  let close () = Unix.close fd in
  let new = Closing close in
```

```
if Atomic.Loc.compare_and_set [%atomic.loc t.state] old new
then ... else
```

```
false
```

## When physical equality returns false

```
type state = Open of Unix.file_descr | Closing of (unit -> unit)
type t = { mutable state: state [@atomic]; ... }

let make fd = { state= Open fd; ... }

let close t = match t.state with
| Closing _ -> false
| Open fd as old ->
  let close () = Unix.close fd in
  let new_ = Closing close in

    if Atomic.Loc.compare_and_set [%atomic.loc t.state] old new_
    then ... else

  false
```

## When physical equality returns false

```
type state = Open of Unix.file_descr | Closing of (unit -> unit)
type t = { mutable state: state [@atomic]; ... }

let make fd = { state= Open fd; ... }

let close t = match t.state with
| Closing _ -> false
| Open fd as old ->
  let close () = Unix.close fd in
  let new = Closing close in
  <state.t.state ↪ state * ... >
  if Atomic.Loc.compare_and_set [%atomic.loc t.state] old new
  then ... else
    false
```

## When physical equality returns false

```
type state = Open of Unix.file_descr | Closing of (unit -> unit)
type t = { mutable state: state [@atomic]; ... }

let make fd = { state= Open fd; ... }

let close t = match t.state with
| Closing _ -> false
| Open fd as old ->
    let close () = Unix.close fd in
    let new = Closing close in
    < state.t.state ↪ state * ... >
    if Atomic.Loc.compare_and_set [%atomic.loc t.state] old new
    then ... else
        < t.state ↪ state * statephys ≈ old * ... >

false
```

## When physical equality returns false

```
type state = Open of Unix.file_descr | Closing of (unit -> unit)
type t = { mutable state: state [@atomic]; ... }

let make fd = { state= Open fd; ... }

let close t = match t.state with
| Closing _ -> false
| Open fd as old ->
  let close () = Unix.close fd in
  let new = Closing close in
  < state.t.state ↦ state * ... >
  if Atomic.Loc.compare_and_set [%atomic.loc t.state] old new
  then ... else
    < t.state ↦ state * statephys ≈ old * ... >
    < t.state ↦ Closing - * ... >
  false
```

When physical

```
type state =  
type t = { m
```

```
let make fd
```

```
let close t  
| Closing  
| Open fd
```

```
let cl  
let ne  
< state  
if Atc  
then .
```

```
< t.s  
< t.s
```

```
false
```

## Unsharing of immutable blocks

```
let x = Some 0  
let test = x == x (* maybe false *)
```

$$v_1 \stackrel{\text{phys}}{\approx} v_2 \not\Rightarrow v_1 \stackrel{\text{rocq}}{\neq} v_2$$



Clément Allain  
Impossible! Unique identity.



Armaël Guéneau  
This would be *unsharing*.



Vincent Lviron  
It's possible!

## When physical equality returns false

```
type state = Open of Unix.file_descr | Closing of (unit -> unit)
type t = { mutable state: state [@atomic]; ... }

let make fd = { state= Open fd; ... }

let close t = match t.state with
| Closing _ -> false
| Open fd as old ->
  let close () = Unix.close fd in
  let new = Closing close in
  ⟨ state. t.state ↦ state * ... ⟩
  if Atomic.Loc.compare_and_set [%atomic.loc t.state] old new
  then ... else
    ⟨ t.state ↦ state * statephys ≈ old * ... ⟩
    ⟨ t.state ↦ Closing — * ... ⟩
  false
```

## Generative constructors

```
type 'a glist =
| Nil
| Cons of 'a * 'a glist [@generative]

type state =
| Open of Unix.file_descr [@generative] [@zoo.reveal]
| Closing of (unit -> unit)
```

Introduction

Zoo overview

Verification contributions

Physical equality

Future work

## Future work

- ▶ **Language features**
  - ▶ Exceptions
  - ▶ Algebraic effects
  - ▶ Modules & functors
- ▶ **Coupling with semi-automated verification**
- ▶ **Relaxed memory**

Thank you for your attention!