Verification of Chase-Lev work-stealing deque

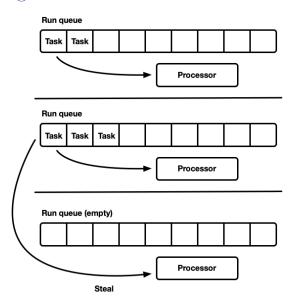
Clément Allain François Pottier

April 26, 2023

Verification of a scheduler

```
let rec fib pool n =
  if n < 2 then 1 else
  let r1 = async pool (fun () -> fib_par (n - 1)) in
  let r2 = async pool (fun () -> fib_par (n - 2)) in
  await pool r1 + await pool r2
```

Work-stealing



Work-stealing algorithms

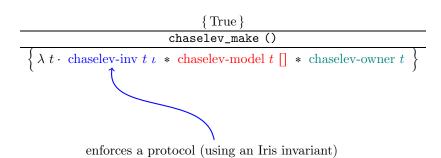
- 1. Frigo, Leiserson & Randall (1998)
 - ▶ at the core of Cilk 5
 - lock
- 2. Arora, Blumofe & Plaxton (2001)
 - ▶ no lock
 - one fixed size array (not circular), can overflow
- 3. Hendler, Lev & Shavit (2004)
 - no lock
 - list of small size arrays, no overflow
 - memory leak?
- 4. Chase & Lev (2005)
 - ▶ no lock
 - circular arrays, no overflow

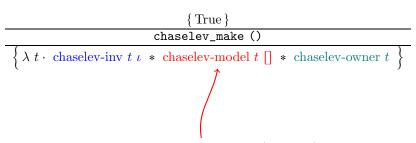
Why is it interesting?

- demonstration of Iris on a (simplified) real-life concurrent data structure
- ▶ rich ghost state to enforce a subtle protocol
 - ▶ logical state ≠ physical state
 - external future-dependent linearization point
- use of (typed) prophecy variables (with memory)

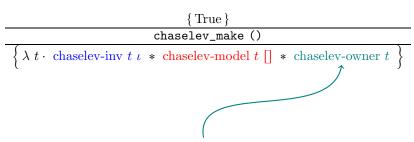
The rest of this talk

- specification using logically atomic triples
- ▶ rough idea of how the data structure works
- ▶ why we need prophecy variables (with memory)





asserts the list of values that the deque (logically) contains



gives the owner exclusive access to his end of the deque

Specification of a concurrent operation (\simeq transaction): standard triple + logically atomic triple

$$\begin{array}{c} \{P\} \\ \overline{\langle \, \forall \, \overline{x} \cdot P_{\mathrm{lin}} \, \rangle} \\ \hline e, \, \mathcal{E} \\ \overline{\langle \, \exists \, \overline{y} \cdot Q_{\mathrm{lin}} \, \rangle} \\ \overline{\langle \, \lambda \, res \cdot Q \, \rangle} \end{array}$$

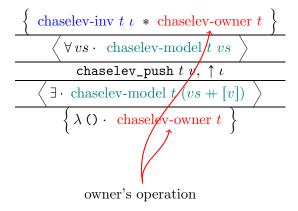
P: private precondition

Q: private postcondition

 $P_{\rm lin}$: public precondition

 Q_{lin} : public postcondition

For a concurrent data structure: $\frac{\{???-\text{inv}\cdots * P\}}{\langle \forall \overline{x} \cdot ???-\text{model}\cdots \rangle}$



v is atomically pushed at the owner's end

```
\left\{\begin{array}{c} \text{chaselev-inv } t \; \iota \; * \; \text{chaselev-owner } t \end{array}\right\} \\ & \left\{\begin{array}{c} \forall \, vs \; \cdot \; \text{chaselev-model } t \; vs \end{array}\right\} \\ & \left\{\begin{array}{c} \text{chaselev-pop } t, \; \uparrow \; \iota \end{array}\right. \\ & \left\{\begin{array}{c} \exists \, o \; \cdot \; \bigvee \left[\begin{array}{c} vs = \left[\right] * o = \texttt{NONE} * \texttt{chaselev-model } t \; \left[\right] \\ \exists \, v, vs' \; \cdot vs = vs' \; + \; \left[v\right] * o = \texttt{SOME} \; v * \texttt{chaselev-model } t \; vs' \end{array}\right] \right\} \\ & \left\{\begin{array}{c} \lambda \, o \; \cdot \; \text{chaselev-owner } t \end{array}\right\} \end{array}
```

```
chaselev-inv t \iota * \text{chaselev-owner } t
                                        \forall vs \cdot \text{chaselev-model } t vs
                                              chaselev_pop t, \wedge \iota
                 vs = [] * o = \texttt{NONE} * \text{chaselev-prodel } t []
\exists v, vs' \cdot vs = vs' + [v] * o = \texttt{SOME} v * \text{chaselev-model } t vs'
\exists o \cdot \lor /
                                           \lambda o \cdot \text{ chase} ev-owner t
                                           owner's operation
```

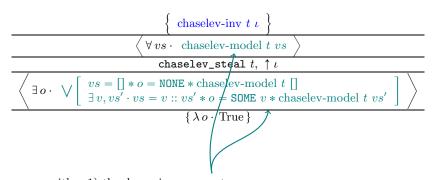
```
\left\{\begin{array}{c} \text{chaselev-inv }t \; \iota \; * \; \text{chaselev-owner }t \end{array}\right\} \\ & \left\{\begin{array}{c} \forall \, vs \cdot \; \text{chaselev-model }t \; vs \end{array}\right. \\ & \left\{\begin{array}{c} \text{chaselev-model }t \; vs \end{array}\right. \\ \left\{\begin{array}{c} \exists \, o \cdot \; \bigvee \left[\begin{array}{c} vs = \left[\right] * o = \texttt{NONE} * \texttt{chaselev-model }t \; \left[\right] \\ \exists \, v, vs' \cdot vs = vs' + \left[v\right] * o = \texttt{SOME} \; v * \texttt{chaselev-model }t \; vs' \end{array}\right] \right\} \\ & \left\{\begin{array}{c} \lambda \, o \cdot \; \text{chaselev-owner} \; t \end{array}\right\}
```

either 1) the deque is seen empty or 2) some value v is atomically popped at the owner's end

Specification — chaselev_steal

```
\left\{\begin{array}{c} \text{chaselev-inv } t \ \iota \\ \\ & \left\langle \, \forall \, vs \cdot \, \text{ chaselev-model } t \, \, vs \, \, \right\rangle \\ \\ & \left\langle \, \exists \, o \cdot \, \bigvee \left[\begin{array}{c} vs = [] * o = \texttt{NONE} * \text{chaselev-model } t \, [] \\ \\ \exists \, v, vs' \cdot vs = v :: vs' * o = \texttt{SOME} \, v * \text{chaselev-model } t \, vs' \, \, \right] \, \right\rangle \\ \\ & \left\{ \lambda \, o \cdot \, \text{True} \right\} \end{array} \right.
```

Specification — chaselev_steal



either 1) the deque is seen empty or 2) some value v is atomically popped at the thieves' end

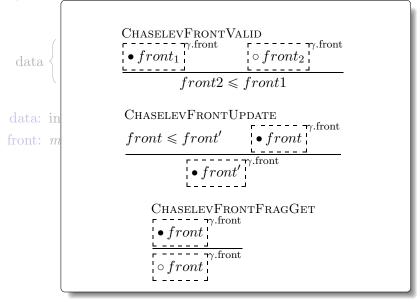


data: infinite array storing all values



data: infinite array storing all values

front: monotone index for thieves' end

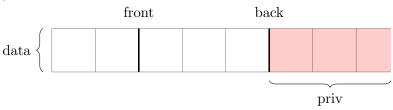




data: infinite array storing all values

front: monotone index for thieves' end

back: index for owner's end

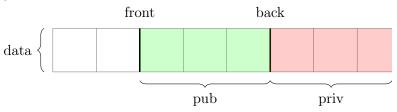


data: infinite array storing all values

front: monotone index for thieves' end

back: index for owner's end

priv: list of private values (controlled by owner)



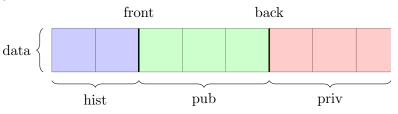
data: infinite array storing all values

front: monotone index for thieves' end

back: index for owner's end

priv: list of private values (controlled by owner)

pub: list of public values (= model)



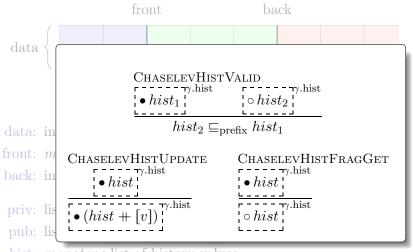
data: infinite array storing all values

front: monotone index for thieves' end

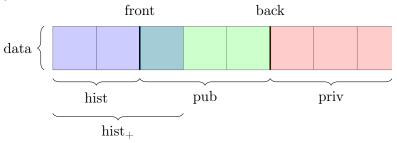
back: index for owner's end

priv: list of private values (controlled by owner)

pub: list of public values (= model) hist: monotone list of history values



hist: monotone list of history values



data: infinite array storing all values

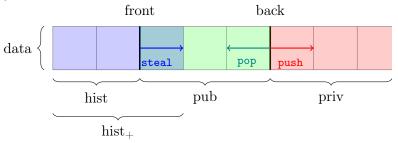
front: monotone index for thieves' end

back: index for owner's end

priv: list of private values (controlled by owner)

pub: list of public values (= model) hist: *monotone* list of history values

hist₊: monotone list of extended history values



data: infinite array storing all values

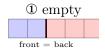
front: monotone index for thieves' end

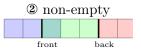
back: index for owner's end

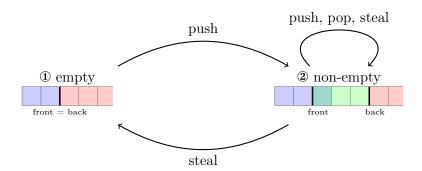
priv: list of private values (controlled by owner)

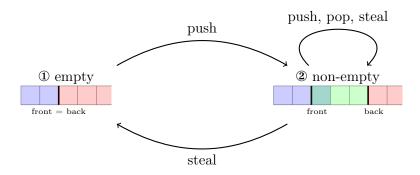
pub: list of public values (= model) hist: monotone list of history values

hist₊: monotone list of extended history values

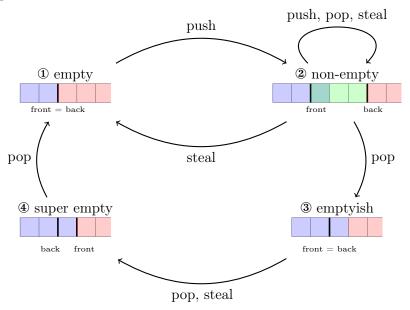


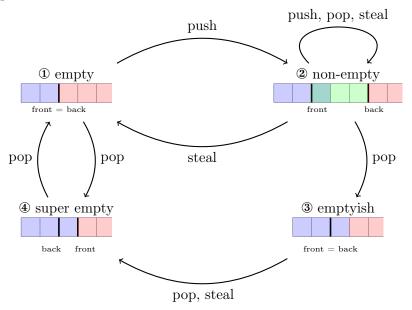












Thank you for your attention!

Implementation — chaselev_make

```
let chaselev_make _ =
  let t = AllocN 4 () in
  t.front <- 0;
  t.back <- 0;
  t.data <- inf_array_make ();
  t.prophecy <- NewProph;
  t</pre>
```

Implementation — chaselev_push

```
let chaselev_push t v =
  let back = !t.back in
  inf_array_set !t.data back v ;
  t.back <- back + 1</pre>
```

Implementation — chaselev_steal

```
let rec chaselev_steal t =
  let id = NewId in
  let front = !t.front in
  let back = !t.back in
  if front < back then (
    if Snd (
      Resolve (
        CmpXchg t.front front (front + 1)
       ) !t.prophecy (front, id)
    ) then (
      SOME (inf_array_get !t.data front)
    ) else (
      chaselev_steal t
  ) else (
    NONE.
```

Implementation — chaselev_pop

```
let chaselev_pop t =
  let id = NewId in
  let back = !t.back - 1 in
 t.back <- back :
  let front = !t.front in
  if back < front then (
   t.back <- front
  ) else (
    if front < back then (
      SOME (inf_array_get !t.data back)
    ) else (
      if Snd (
        Resolve (
          CmpXchg t.front front (front + 1)
        ) !t.prophecy (front, id)
      ) then (
        t.back <- front + 1;
        SOME (inf_array_get !t.data back)
      ) else (
        t.back <- front + 1;
        NONE.
```

Invariant

```
chaselev-inv t \iota \stackrel{\Delta}{=} \exists \ell, \gamma, data, p \cdot \\ * \begin{bmatrix} t = \ell * \text{meta } \ell \ \gamma \\ \ell. \text{data} \mapsto_{\square} data * \ell. \text{prophecy} \mapsto_{\square} p \\ \text{chaselev-inv-inner } \ell \ \gamma \ \iota \ data \ p \end{bmatrix}^{t}
```

Invariant

```
chaselev-inv-inner \ell \gamma \iota data p \stackrel{\Delta}{=}
   \exists front, back, hist, pub, priv, past, prophs.
  * Inf-array-model data (hist + pub) priv

| inf-array-model data (hist + pub) priv
| inf-array-model data (hist + pub) priv
| pub | * |pub| = (back - front)_+
| wise-prophet-model p \gamma.prophet past prophs
| \forall (front', \_) \in past \cdot front' < front
| chaselev-state \gamma \iota front back hist pub prophs
```

```
chaselev-state<sub>2</sub> \gamma \iota front back hist pub prophs \stackrel{\triangle}{=}
```

```
front < back
 \bullet (hist + [pub[0]]) 
 * |hist| = front 
  match filter (\lambda(front', \_) \cdot front' = front) prophs with
```

```
chaselev-state<sub>3,1</sub> \gamma front back hist prophs \stackrel{\Delta}{=}
```

Prophecy variable with memory

TODO