

Verification of Chase-Lev work-stealing deque

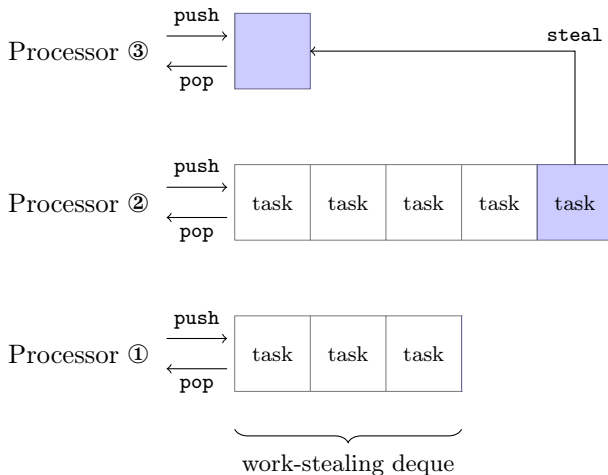
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Context: scheduler for task-based parallelism

- ▶ Cilk (C, C++)
- ▶ Threading Building Blocks (C++)
- ▶ Taskflow (C++)
- ▶ Tokio (RUST)
- ▶ Goroutines (Go)
- ▶ Domainslib (OCAML 5)

Work-stealing



Chase-Lev work-stealing deque

1. *The Implementation of the Cilk-5 Multithreaded Language.*
Frigo, Leiserson & Randall (1998).
 - ▶ lock
2. *Thread Scheduling for Multiprogrammed Multiprocessors.*
Arora, Blumofe & Plaxton (1998).
 - ▶ non-blocking
 - ▶ one fixed size array, potential overflow
3. *A dynamic-sized nonblocking work stealing deque.*
Hendler, Lev, Moir, & Shavit (2004).
 - ▶ non-blocking
 - ▶ list of small arrays, no overflow
4. *Dynamic circular work-stealing deque.*
Chase & Lev (2005).
 - ▶ lockfree
 - ▶ circular arrays, no overflow

Why is it interesting?

- ▶ Demonstration of Iris on a (simplified) real-life concurrent data structure.
- ▶ Rich ghost state to enforce a subtle protocol.
 - ▶ logical state \neq physical state
 - ▶ external future-dependent linearization point
- ▶ Nontrivial use of prophecy variables.

The rest of this talk

- ▶ Specification using logically atomic triples.
- ▶ Rough idea of how the data structure works.
- ▶ Why we need prophecy variables.

Specification

Physical state

Logical state

Prophecy variables

Specification — chaselev_make

$$\frac{\{ \text{True} \}}{\text{chaselev_make } ()}$$
$$\left\{ \lambda t. \text{chaselev-inv } t \iota * \text{chaselev-model } t [] * \text{chaselev-owner } t \right\}$$

Specification — chaselev_make

$$\frac{\{ \text{True} \}}{\text{chaselev_make } ()}$$
$$\left\{ \lambda t. \text{chaselev-inv } t \iota * \text{chaselev-model } t [] * \text{chaselev-owner } t \right\}$$

t is an instance of Chase-Lev deque.
Enforces a protocol (using an Iris invariant).

Specification — chaselev_make

$$\frac{\{ \text{True} \}}{\text{chaselev_make } ()}$$
$$\left\{ \lambda t. \text{chaselev-inv } t \iota * \text{chaselev-model } t [] * \text{chaselev-owner } t \right\}$$

Asserts the list of values that t logically contains.

Specification — chaselev_make

$$\frac{\{ \text{True} \}}{\text{chaselev_make } ()}$$
$$\left\{ \lambda t. \text{chaselev-inv } t \iota * \text{chaselev-model } t [] * \text{chaselev-owner } t \right\}$$

Gives the owner exclusive access to his end of t .

Specification — chaselev_push

$$\frac{\left\{ \text{chaselev-inv } t \iota * \text{chaselev-owner } t \right\}}{\frac{\left\langle \forall vs \cdot \text{chaselev-model } t \text{ } vs \right\rangle}{\frac{\text{chaselev_push } t \text{ } v, \uparrow \iota}{\left\langle \exists \cdot \text{chaselev-model } t \text{ } (vs \# [v]) \right\rangle}}}\left\{ \lambda () \cdot \text{chaselev-owner } t \right\}$$

Specification — chaselev_push

Specification of a concurrent operation (\simeq transaction):
standard triple + logically atomic triple

$$\frac{\frac{\frac{\{P\}}{\langle \forall \overline{x} \cdot P_{\text{lin}} \rangle}}{e, \mathcal{E}}}{\langle \exists \overline{y} \cdot Q_{\text{lin}} \rangle} \frac{}{\{\lambda res \cdot Q\}}$$

P : private precondition

Q : private postcondition

P_{lin} : public precondition

Q_{lin} : public postcondition

Specification — chaselev_push

For a concurrent data structure:

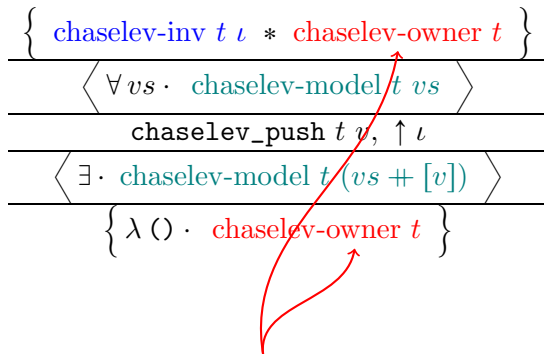
$$\frac{\frac{\frac{\{ ???\text{-inv} \cdots * P \}}{\langle \forall \overline{x} \cdot ???\text{-model} \cdots \rangle}}{e, \mathcal{E}}}{\langle \exists \overline{y} \cdot ???\text{-model} \cdots \rangle} \{ \lambda res \cdot Q \}$$

Specification — chaselev_push

$$\begin{array}{c} \{ \text{chaselev-inv } t \iota * \text{chaselev-owner } t \} \\ \hline \langle \forall vs \cdot \text{chaselev-model } t \text{ } vs \rangle \\ \hline \text{chaselev_push } t \text{ } v, \uparrow \iota \\ \hline \langle \exists \cdot \text{chaselev-model } t \text{ } (vs \uplus [v]) \rangle \\ \hline \{ \lambda () \cdot \text{chaselev-owner } t \} \end{array}$$

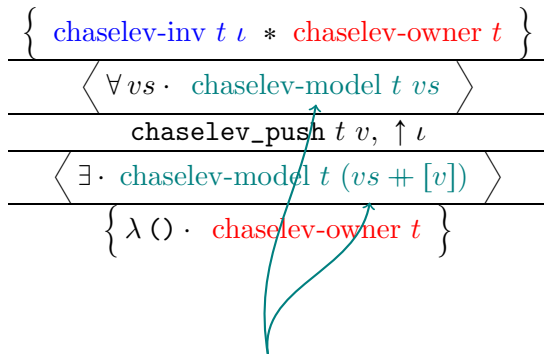
t is an instance of Chase-Lev deque.

Specification — chaselev_push

$$\frac{\left\{ \text{chaselev-inv } t \ \iota \ * \ \text{chaselev-owner } t \right\}}{\frac{\left\langle \forall \, vs \cdot \text{chaselev-model } t \, vs \right\rangle}{\text{chaselev_push } t \, v, \uparrow \iota} \left\langle \exists \cdot \text{chaselev-model } t \, (vs \uplus [v]) \right\rangle} \left\{ \lambda \ () \cdot \text{chaselev-owner } t \right\}$$
The diagram illustrates the specification of the `chaselev_push` operation. It consists of five horizontal layers separated by lines. The top layer is a set $\{ \text{chaselev-inv } t \ \iota \ * \ \text{chaselev-owner } t \}$. The second layer is a universal quantifier $\langle \forall \, vs \cdot \text{chaselev-model } t \, vs \rangle$. The third layer is the operation `chaselev_push` with arguments `t v, ↑ ι`. The fourth layer is an existential quantifier $\langle \exists \cdot \text{chaselev-model } t \, (vs \uplus [v]) \rangle$. The bottom layer is a set $\{ \lambda \ () \cdot \text{chaselev-owner } t \}$. Two red arrows originate from the bottom set: one points to the `↑ ι` argument of the `chaselev_push` operation, and the other points to the `chaselev-owner t` term in the top set, indicating that the operation is reserved to the owner of `t`.

This operation is reserved to the owner of t .

Specification — chaselev_push

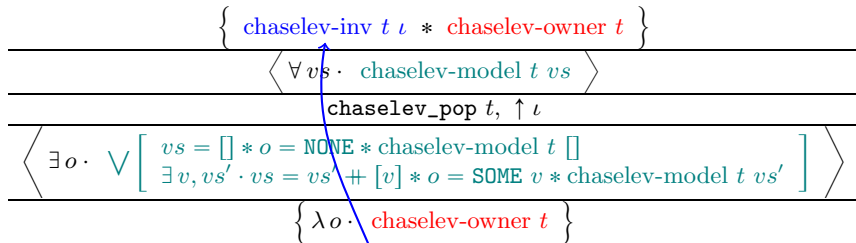


v is atomically pushed at the owner's end of t .

Specification — chaselev_pop

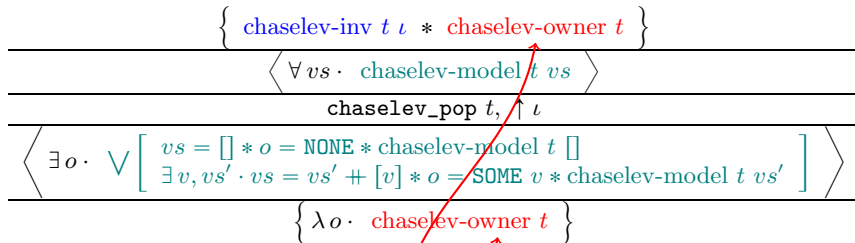
$$\frac{\left\{ \text{chaselev-inv } t \iota * \text{chaselev-owner } t \right\}}{\frac{\left\langle \forall vs \cdot \text{chaselev-model } t \text{ } vs \right\rangle}{\text{chaselev_pop } t, \uparrow \iota} \left\langle \exists o \cdot \bigvee \left[\begin{array}{l} vs = [] * o = \text{NONE} * \text{chaselev-model } t [] \\ \exists v, vs' \cdot vs = vs' \# [v] * o = \text{SOME } v * \text{chaselev-model } t \text{ } vs' \end{array} \right] \right\rangle} \left\{ \lambda o \cdot \text{chaselev-owner } t \right\}$$

Specification — chaselev_pop



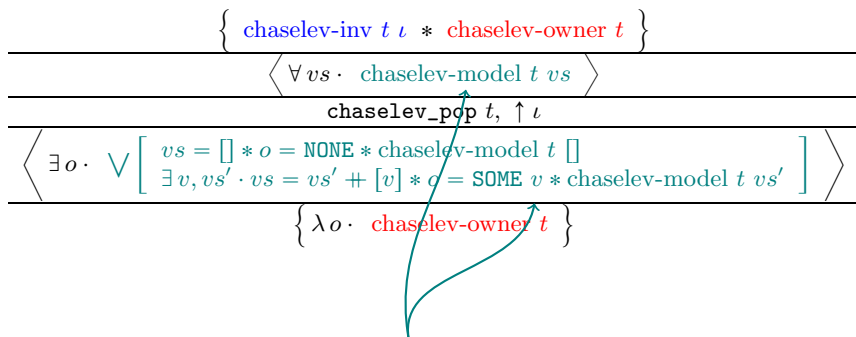
t is an instance of Chase-Lev deque.

Specification — chaselev_pop



This operation is reserved to the owner of t .

Specification — chaselev_pop

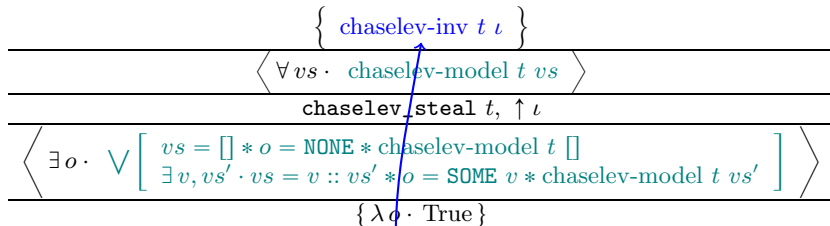


Either 1) t is seen empty
 or 2) some value v is atomically popped at the owner's end of t .

Specification — chaselev_steal

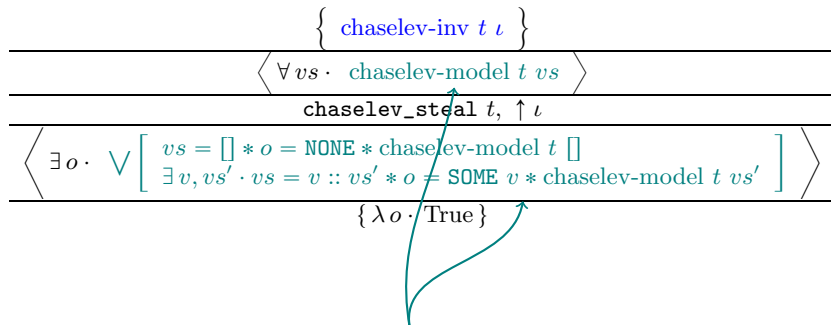
$$\frac{\left\{ \text{chaselev-inv } t \ \iota \right\}}{\frac{\left\langle \forall vs \cdot \text{chaselev-model } t \ vs \right\rangle}{\text{chaselev_steal } t, \uparrow \iota} \left\langle \exists o \cdot \bigvee \left[\begin{array}{l} vs = [] * o = \text{NONE} * \text{chaselev-model } t \ [] \\ \exists v, vs' \cdot vs = v :: vs' * o = \text{SOME } v * \text{chaselev-model } t \ vs' \end{array} \right] \right\rangle} \left\{ \lambda o \cdot \text{True} \right\}$$

Specification — chaselev_steal



t is an instance of Chase-Lev deque.

Specification — chaselev_steal



Either 1) t is seen empty
 or 2) some value v is atomically popped at the thieves' end of t .

Specification

Physical state

Logical state

Prophecy variables

Physical state



data: infinite array storing all values

Physical state



data: infinite array storing all values

front: *monotone* index for thieves' end

Physical state

data {

CHASELEVFRONTLBGET

$\boxed{\bullet \text{ front}}^{\gamma.\text{front}}$

$\boxed{\circ \text{ front}}^{\gamma.\text{front}}$

data: in

front: m

CHASELEVFRONTVALID

$\boxed{\bullet \text{ front}_1}^{\gamma.\text{front}} \quad \boxed{\circ \text{ front}_2}^{\gamma.\text{front}}$

$\text{front}_2 \leq \text{front}_1$

CHASELEVFRONTUPDATE

$\text{front} \leq \text{front}' \quad \boxed{\bullet \text{ front}}^{\gamma.\text{front}}$

$\boxed{\bullet \text{ front}'}^{\gamma.\text{front}}$

Physical state

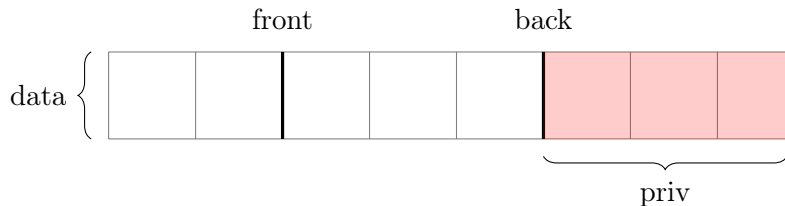


data: infinite array storing all values

front: *monotone* index for thieves' end

back: index for owner's end

Physical state



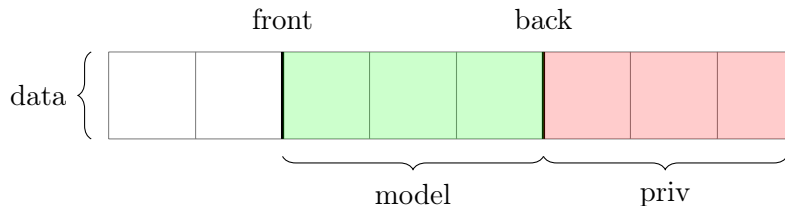
data: infinite array storing all values

front: *monotone* index for thieves' end

back: index for owner's end

priv: list of private values (controlled by owner)

Physical state



data: infinite array storing all values

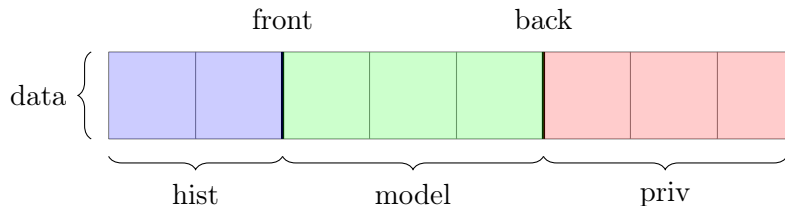
front: *monotone* index for thieves' end

back: index for owner's end

priv: list of private values (controlled by owner)

model: list of contained values

Physical state



data: infinite array storing all values

front: *monotone* index for thieves' end

back: index for owner's end

priv: list of private values (controlled by owner)

model: list of contained values

hist: *monotone* list of history values

Physical state

data {

CHASELEVHISTLBGET

$\boxed{\bullet \text{ } hist}_{\gamma.hist}$

$\boxed{\circ \text{ } hist}_{\gamma.hist}$

CHASELEVHISTVALID

$\boxed{\bullet \text{ } hist_1}_{\gamma.hist} \quad \boxed{\circ \text{ } hist_2}_{\gamma.hist}$

$hist_2 \sqsubseteq_{\text{prefix}} hist_1$

CHASELEVHISTUPDATE

$\boxed{\bullet \text{ } hist}_{\gamma.hist}$

$\boxed{\bullet (hist \uplus [v])}_{\gamma.hist}$

data: in

front: m

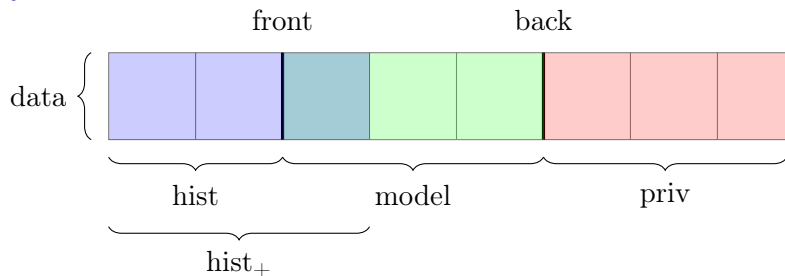
back: in

priv: lis

model: lis

hist: m

Physical state



data: infinite array storing all values

front: *monotone* index for thieves' end

back: index for owner's end

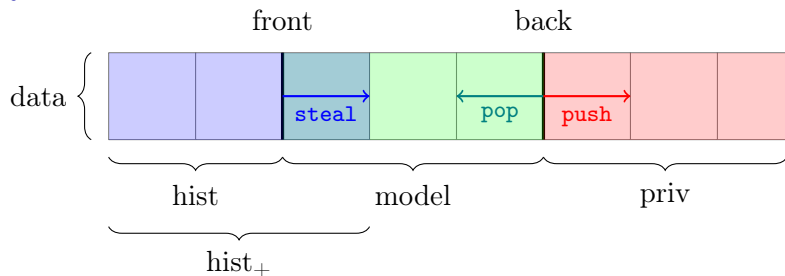
priv: list of private values (controlled by owner)

model: list of contained values

hist: *monotone* list of history values

hist₊: *monotone* list of extended history values

Physical state



data: infinite array storing all values

front: *monotone* index for thieves' end

back: index for owner's end

priv: list of private values (controlled by owner)

model: list of contained values

hist: *monotone* list of history values

hist₊: *monotone* list of extended history values

Specification

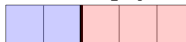
Physical state

Logical state

Prophecy variables

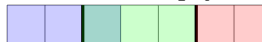
Logical state

① empty



front = back

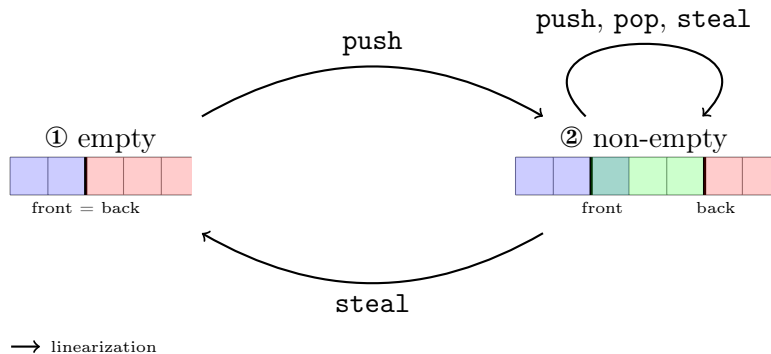
② non-empty



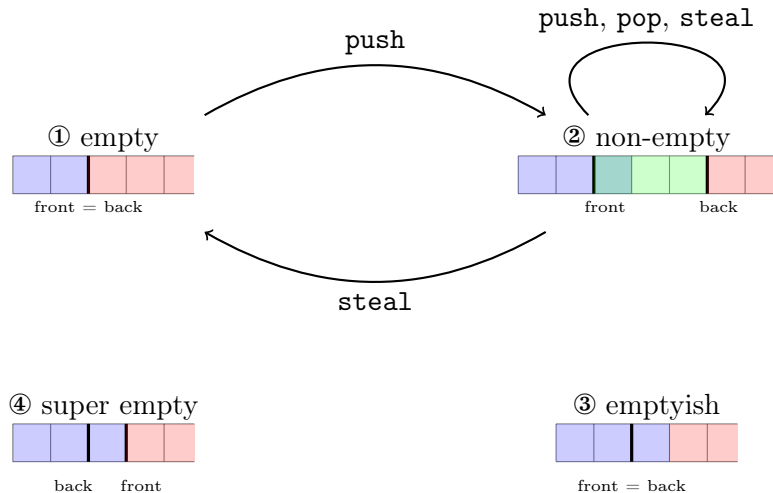
front

back

Logical state

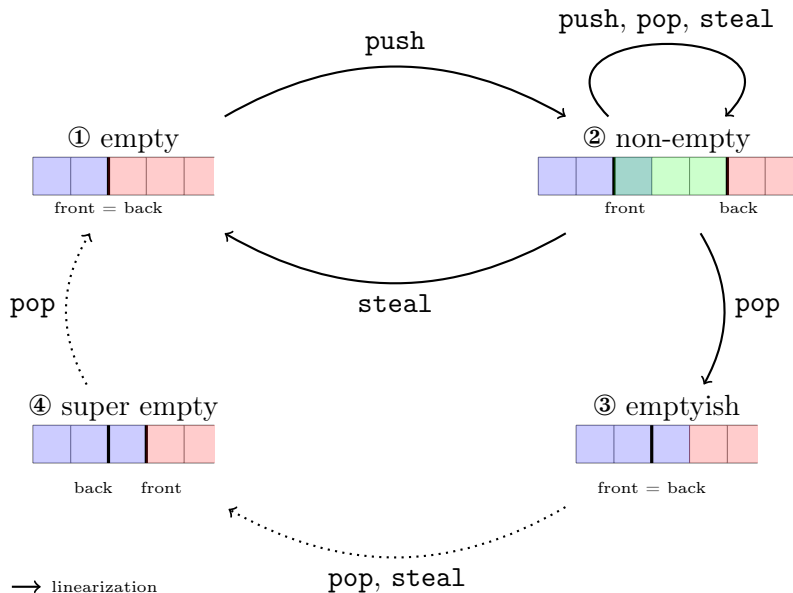


Logical state

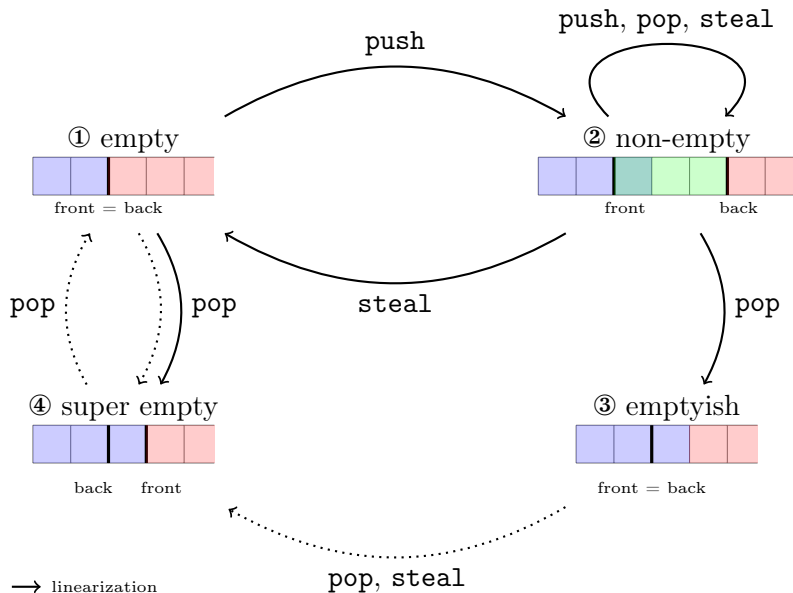


→ linearization

Logical state



Logical state



→ linearization

···→ stabilization

Specification

Physical state

Logical state

Prophecy variables

Prophecy variables

The future is ours: prophecy variables in separation logic.

Jung, Lepigre, Parthasarathy, Rapoport, Timany, Dreyer & Jacobs (2020).

$\{ \text{True} \} \text{ NewProp } \{ \lambda p \cdot \exists \text{prophs} \cdot \text{proph } p \text{ prophs} \}$

$$\frac{\text{WP } e \left\{ \begin{array}{l} \text{atomic } e \\ \text{proph } p \text{ prophs} \\ \lambda w \cdot \forall \text{prophs}' \cdot \\ \text{prophs} = (w, v) :: \text{prophs}' \multimap \\ \text{proph } p \text{ prophs}' \multimap \\ \Phi \ w \end{array} \right\}}{\text{WP Resolve } e \ p \ v \ \{ \Phi \}}$$

Back to *The future is ours* (Jung et al.)

```
let rdcss rm rn m1 n1 n2 =  
  let p = NewProph in  
  let descr = ref (rm, m1, n1, n2, p) in  
  ...
```

```
let complete descr rn =  
  let (rm, m1, n1, n2, p) = !descr in  
  let id = NewId in  
  let m = !rm in  
  let n_new = if m = m1 then n2 else n1 in  
  Resolve (CmpXchg rn (inr descr) (inl n_new)) p id ;  
  ()
```

Prophecy variables with memory

$$\{ \text{True} \} \text{ NewProph } \{ \lambda p \cdot \exists \gamma, \text{prophs} \cdot \text{proph } p \ \gamma \sqcap \text{prophs} \}$$

$$\frac{\text{atomic } e \quad \text{proph } p \ \gamma \text{ past prophs} \quad \left\{ \begin{array}{l} \lambda w \cdot \forall \text{prophs}' \cdot \\ \text{prophs} = (w, v) :: \text{prophs}' \rightarrow * \\ \text{proph } p \ \gamma (\text{past} \# [(w, v)]) \text{ prophs}' \rightarrow * \\ \Phi \ w \end{array} \right\}}{\text{WP Resolve } e \ p \ v \ \{ \Phi \}}$$

Prophecy variables with memory

$$\frac{\text{PROPHECYLBGET} \quad \text{proph } p \ \gamma \ \text{past } \textcolor{blue}{\text{prophs}}}{\text{proph-lb } \gamma \ \textcolor{blue}{\text{prophs}}}$$

$$\frac{\text{PROPHECYVALID} \quad \text{proph } p \ \gamma \text{ } \textcolor{red}{past} \ \textcolor{blue}{prophs}_1 \quad \text{proph-lb } \gamma \ \textcolor{teal}{prophs}_2}{\exists \textcolor{violet}{past}_1, \textcolor{brown}{past}_2 \cdot \bigwedge \left[\begin{array}{l} \textcolor{red}{past} = \textcolor{violet}{past}_1 \textcolor{brown}{\#} \textcolor{brown}{past}_2 \\ \textcolor{brown}{past}_2 \textcolor{brown}{\#} \textcolor{blue}{prophs}_1 = \textcolor{teal}{prophs}_2 \end{array} \right]}$$

Conclusion

- ▶ Coq mechanization is available on `github` :
`https://github.com/clef-men/caml5_alienation`
- ▶ Simplified Chase-Lev deque (one infinite array) ✓
Real-life Chase-Lev deque (multiple circular arrays) ✗
- ▶ Proof looks more complex than the sketch. In particular, transitions between logical states are not really formalized.
- ▶ We plan to verify more primitives (Domainslib, Taskflow) based on Chase-Lev deque. This is thanks to modularity of IRIS specifications.

Thank you for your attention!

Implementation — chaselev_make

```
let chaselev_make _ =  
  let t = AllocN 4 () in  
  t.front <- 0 ;  
  t.back <- 0 ;  
  t.data <- inf_array_make () ;  
  t.prophecy <- NewProph ;  
  t
```

Implementation — chaselev_push

```
let chaselev_push t v =  
  let back = !t.back in  
  inf_array_set !t.data back v ;  
  t.back <- back + 1
```

Implementation — chaselev_steal

```
let rec chaselev_steal t =  
  let id = NewId in  
  let front = !t.front in  
  let back = !t.back in  
  if front < back then (  
    if Snd (  
      Resolve (  
        CmpXchg t.front front (front + 1)  
      ) !t.prophecy (front, id)  
    ) then (  
      SOME (inf_array_get !t.data front)  
    ) else (  
      chaselev_steal t  
    )  
  ) else (  
    NONE  
  )
```

Implementation — chaselev_pop

```
let chaselev_pop t =  
  let id = NewId in  
  let back = !t.back - 1 in  
  t.back <- back ;  
  let front = !t.front in  
  if back < front then (  
    t.back <- front  
  ) else (  
    if front < back then (  
      SOME (inf_array_get !t.data back)  
    ) else (  
      if Snd (  
        Resolve (  
          CmpXchg t.front front (front + 1)  
        ) !t.prophecy (front, id)  
      ) then (  
        t.back <- front + 1 ;  
        SOME (inf_array_get !t.data back)  
      ) else (  
        t.back <- front + 1 ;  
        NONE  
      )  
    )  
  )
```

Infinite array

$$\frac{\frac{\{ \text{True} \}}{\text{inf_array_make } v}}{\{ \lambda \text{ arr} \cdot \text{inf-array-model } \text{arr} \ (\lambda _ \cdot v) \}}$$

$$\frac{\langle \forall \text{ vs} \cdot \text{inf-array-model } \text{arr} \ \text{vs} * 0 \leq i \rangle}{\text{inf_array_get } \text{arr} \ i}$$
$$\frac{}{\langle \exists \cdot \lambda (\text{vs} i) \cdot \text{inf-array-model } \text{arr} \ \text{vs} \rangle}$$

$$\frac{\langle \forall \text{ vs} \cdot \text{inf-array-model } \text{arr} \ \text{vs} * 0 \leq i \rangle}{\text{inf_array_set } \text{arr} \ i \ v}$$
$$\frac{}{\langle \exists \cdot \lambda _ \cdot \text{inf-array-model } \text{arr} \ \text{vs} [i \mapsto v] \rangle}$$

Invariant

$$\begin{aligned} \text{chaselev-inv } t \ \iota &\triangleq \\ \exists \ell, \gamma, data, p. & \\ * \left[\begin{array}{l} t = \ell * \text{meta } \ell \ \gamma \\ \ell.\text{data} \mapsto_{\square} data * \ell.\text{prophecy} \mapsto_{\square} p \\ \boxed{\text{chaselev-inv-inner } \ell \ \gamma \ \iota \ data \ p} \end{array} \right] \end{aligned}$$

Invariant

$\text{chaselev-inv-inner } \ell \ \gamma \ \iota \ data \ p \triangleq$

$\exists \ front, back, hist, model, priv, past, prophs \cdot$

$$\begin{array}{l}
 \left[\begin{array}{l}
 \ell.\text{front} \mapsto front * \ell.\text{back} \mapsto back \\
 \begin{array}{l} \text{-----} \gamma.\text{ctl} \\ \bullet (back, priv) \end{array} \\
 \begin{array}{l} \text{-----} \gamma.\text{front} \\ \bullet front \end{array} \\
 * \text{inf-array-model } data \ (hist \# model) \ priv \\
 \begin{array}{l} \text{-----} \gamma.\text{model} \\ \bullet model \end{array} * |model| = (back - front)_+ \\
 \text{wise-prophet-model } p \ \gamma.\text{prophet } past \ prophs \\
 \forall (front', _) \in past \cdot front' < front \\
 \text{chaselev-state } \gamma \ \iota \ front \ back \ hist \ model \ prophs
 \end{array} \right.
 \end{array}$$

State

$$\text{chaselev-state } \gamma \text{ } \iota \text{ } \textit{front back hist model prophs} \triangleq \\ \bigvee \left[\begin{array}{l} \text{chaselev-state}_1 \text{ } \gamma \text{ } \textit{front back hist} \\ \text{chaselev-state}_2 \text{ } \gamma \text{ } \iota \text{ } \textit{front back hist model prophs} \\ \text{chaselev-lock } \gamma * \bigvee \left[\begin{array}{l} \text{chaselev-state}_3 \text{ } \gamma \text{ } \textit{front back hist prophs} \\ \text{chaselev-state}_4 \text{ } \gamma \text{ } \textit{front back hist} \end{array} \right] \end{array} \right]$$

State 1 (empty)

$$\text{chaselev-state}_1 \ \gamma \ front \ back \ hist \triangleq$$

$$* \left[\begin{array}{l} front = back \\ \begin{array}{|c|} \hline \bullet \text{ } hist \\ \hline \end{array} \quad * |hist| = front \\ \begin{array}{|c|} \hline \bullet \text{ } - \cdot \circ \text{ } - \\ \hline \end{array} \end{array} \right] \gamma.\text{winner}$$

State 2 (non-empty)

$\text{chaselev-state}_2 \gamma \iota \text{ front back hist model prophs} \triangleq$

$$\begin{aligned}
 & \left[\begin{array}{l}
 \text{front} < \text{back} \\
 \boxed{\bullet (\text{hist} \# [\text{model}[0]])}^{\gamma.\text{hist}} * |\text{hist}| = \text{front} \\
 \\
 \mathbf{match} \text{ filter } (\lambda(\text{front}', _) \cdot \text{front}' = \text{front}) \text{ prophs } \mathbf{with} \\
 | \square \Rightarrow \boxed{\bullet - \cdot \circ -}^{\gamma.\text{winner}} \\
 | (_, id) :: _ \Rightarrow \\
 \bigvee \left[\begin{array}{l}
 \boxed{\bullet - \cdot \circ -}^{\gamma.\text{winner}} \\
 \text{identifier } id * \exists \Phi \cdot \boxed{\bullet (\text{front}, \Phi)}^{\gamma.\text{winner}} * \text{chaselev-au } \gamma \iota \Phi
 \end{array} \right.
 \end{array} \right]
 \end{aligned}$$

State 3 (emptyish)

$$\text{chaselev-state}_3 \gamma \text{ front back hist prophs } \triangleq$$

$$* \left[\begin{array}{l} \text{front} = \text{back} \\ \boxed{\bullet \text{ hist}}^{\gamma.\text{hist}} * |\text{hist}| = \text{front} + 1 \\ \\ \text{match filter } (\lambda(\text{front}', _) \cdot \text{front}' = \text{front}) \text{ prophs with} \\ | \square \Rightarrow \boxed{\circ(\text{front}, -)}^{\gamma.\text{winner}} \\ | _ \Rightarrow \exists \Phi \cdot \boxed{\bullet(\text{front}, \Phi)}^{\gamma.\text{winner}} * \Phi(\text{SOME hist}[\text{front}]) \end{array} \right.$$

State 4 (super empty)

$$\text{chaselev-state}_4 \gamma \text{ front back hist} \triangleq$$

$$* \left[\begin{array}{l} \text{front} = \text{back} + 1 \\ \begin{array}{|c|} \hline \bullet \text{ hist} \\ \hline \end{array} * |\text{hist}| = \text{front} \\ \begin{array}{|c|} \hline \bullet - \cdot \circ - \\ \hline \end{array} \end{array} \right] \gamma^{\text{hist}} \gamma^{\text{winner}}$$