

# Verifying Tail Modulo Cons using Relational Separation Logic

Clément Allain  
Gabriel Scherer  
François Pottier

INRIA Paris

June 4, 2024

# Verifying Tail Modulo Cons using Relational Separation Logic

Program transformation implemented in the  
OCAML compiler by Frédéric Bour, Basile  
Clément & Gabriel Scherer.

## Verifying Tail Modulo Cons using Relational Separation Logic

Formalize the transformation and its soundness.

## Verifying Tail Modulo Cons using Relational Separation Logic

Prove soundness using an adequate IRIS binary  
logical relation à la SIMULIRIS.

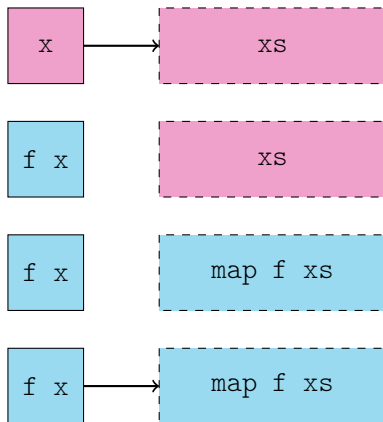
## The map problem: natural implementation

```
let rec map f xs =  
  match xs with  
  | [] →  
    []  
  | x :: xs →  
    let y = f x in  
    y :: map f xs
```

```
# List.init 250_000 (fun _ → ())  
|> map Fun.id  
|> ignore  
;;
```

Stack overflow during evaluation (looping recursion?).

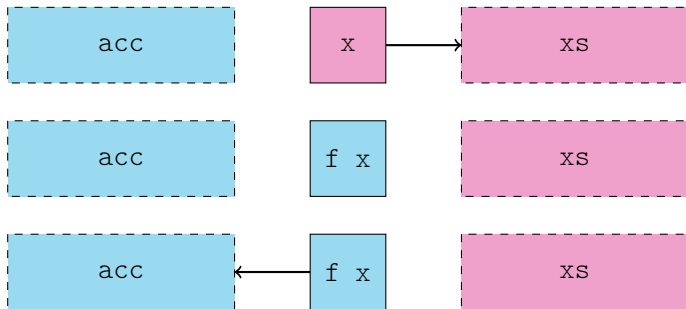
## The map problem: natural implementation



## The map problem: APS implementation

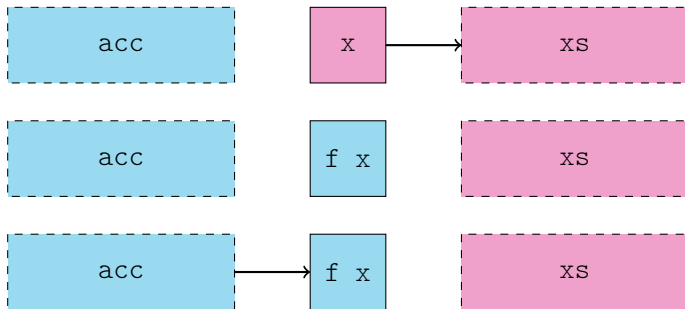
```
let rec map ys f xs =  
  match xs with  
  | [] →  
    List.rev ys  
  | x :: xs →  
    let y = f x in  
    map (y :: ys) f xs  
let map xs =  
  map [] f xs  
  
# List.init 250_000 (fun _ → ())  
|> map Fun.id  
|> ignore  
;;  
- : unit = ()
```

## The map problem: APS implementation





## The map problem: DPS implementation



## The map problem: DPS implementation

```
let rec map_dps dst f xs =      let map f xs =
  match xs with
  | [] →
    set_field dst 1 []
  | x :: xs →
    let y = f x in
    let dst' = y :: [] in
    set_field dst 1 dst' ;
    map_dps dst' f xs

# List.init 250_000 (fun _ → ())
|> map Fun.id
|> ignore
;;
- : unit = ()
```

## The map problem: TMC

```
let[@tail_mod_cons] rec map f xs =  
  match xs with  
  | [] →  
    []  
  | x :: xs →  
    let y = f x in  
    y :: map f xs
```

```
# List.init 250_000 (fun _ → ())  
|> map Fun.id  
|> ignore  
;;  
- : unit = ()
```

# DATA LANG: syntax

Index	$\ni$	$i$	$::=$	$0 \mid 1 \mid 2$
Tag	$\ni$	$t$		
$\mathbb{B}$	$\ni$	$b$		
$\mathbb{L}$	$\ni$	$\ell$		
$\mathbb{F}$	$\ni$	$f$		
$\mathbb{X}$	$\ni$	$x, y$		
Val	$\ni$	$v, w$	$::=$	$() \mid i \mid t \mid b \mid \ell \mid @f$
Expr	$\ni$	$e$	$::=$	$v \mid x \mid \text{let } x = e_1 \text{ in } e_2 \mid e_1 \overline{e_2}$ $\mid e_1 = e_2 \mid \text{if } e_0 \text{ then } e_1 \text{ else } e_2$ $\mid \{t, e_1, e_2\}$ $\mid e_1.(e_2) \mid e_1.(e_2) \leftarrow e_3$
Def	$\ni$	$d$	$::=$	$\text{rec } \overline{x} = e$
Prog	$\ni$	$p$	$::=$	$\mathbb{F} \xrightarrow{\text{fn}} \text{Def}$
State	$\ni$	$\sigma$	$::=$	$\mathbb{L} \xrightarrow{\text{fn}} \text{Val}$
Config	$\ni$	$\rho$	$::=$	$\text{Expr} \times \text{State}$

## DATA LANG: map

```
map := rec f xs =  
  match xs with  
  | [] →  
    []  
  | x :: xs →  
    let y = f x in  
    y :: @map f xs
```

# TMC transformation

$$e_s \xrightarrow[\text{dir}]{\xi} e_t$$

$$d_s \xrightarrow[\text{dir}]{\xi} d_t$$

$$(e_{dst}, e_{idx}, e_s) \xrightarrow[\text{dps}]{\xi} e_t$$

$$d_s \xrightarrow[\text{dps}]{\xi} d_t$$

$$p_s \rightsquigarrow p_t$$

## TMC transformation: map

```
map := rec f xs =  
  match xs with  
  | [] →  
    []  
  | x :: xs →  
    let y = f x in  
    let dst = y :: ■ in  
    @map_dps dst 2 f xs ;  
    dst
```

```
map_dps := rec dst idx f xs =  
  match xs with  
  | [] →  
    dst.(idx) ← []  
  | x :: xs →  
    let y = f x in  
    let dst' = y :: ■ in  
    dst.(idx) ← dst' ;  
    @map_dps dst' 2 f xs
```

## Transformation soundness

$p_s \rightsquigarrow p_t$       program  $p_s$  transforms into program  $p_t$



$p_s \sqsupseteq p_t$       program  $p_t$  refines program  $p_s$   
(termination-preserving refinement)



# Transformation soundness

$p_s \rightsquigarrow p_t$       program  $p_s$  transforms into program  $p_t$



$p_s \gtrsim p_t$       program  $p_t$  simulates program  $p_s$   
(*relational separation logic*, SIMULIRIS)



$p_s \sqsupseteq p_t$       program  $p_t$  refines program  $p_s$   
(termination-preserving refinement)

## Specification in separation logic

$$\frac{\{\text{???}\}}{\frac{\text{@map } v_s \gtrsim \text{@map } v_t}{\{\text{???}\}}}$$

$$\frac{\{\text{???}\}}{\frac{\text{@map } v_s \gtrsim \text{@map\_dps } \ell \ i \ v_t}{\{\text{???}\}}}$$

## Direct transformation

$$\frac{\frac{\{v_s \approx v_t\}}{\text{@map } v_s \gtrsim \text{@map } v_t}}{\{w_s, w_t. w_s \approx w_t\}}$$

RELDIR (SIMULIRIS)

$$f \in \text{dom}(p_s)$$

$$v_s \approx v_t$$

$$\frac{\forall w_s, w_t. w_s \approx w_t \rightarrow \Phi(w_s, w_t)}{\text{@f } v_s \gtrsim \text{@f } v_t [\Phi]}$$

# DPS transformation

$$\frac{\frac{\{v_s \approx v_t * (\ell + i) \mapsto_t \blacksquare\}}{\textcircled{\text{map}} \, v_s \gtrsim \textcircled{\text{map\_dps}} \, \ell \, i \, v_t}}{\{(), w_t. \exists w_t. w_s \approx w_t * (\ell + i) \mapsto_t w_t\}}$$

RELDPS

$$\frac{\begin{array}{c} \xi[f] = f_{dps} \\ \overline{v_s} \approx \overline{v_t} \\ \ell \mapsto_t \overline{v} \\ \forall w_s, w_t. w_s \approx w_t \multimap \ell \mapsto_t \overline{v}[i \mapsto w_t] \multimap \Phi(w_s, ()) \end{array}}{\textcircled{f} \, \overline{v_s} \gtrsim \textcircled{f_{dps}} \, \ell \, i \, \overline{v_t} \, [\Phi]}$$

RELPROTOCOL

$$\frac{\textcolor{red}{X}(e_s, e_t, \Psi) \quad \forall e'_s, e'_t. \Psi(e'_s, e'_t) \multimap e'_s \gtrsim e'_t \langle \textcolor{red}{X} \rangle \, [\Phi]}{e_s \gtrsim e_t \langle \textcolor{red}{X} \rangle \, [\Phi]}$$

# Proof sketch

$$f_s \approx f_t$$

$$xs_s \approx xs_t$$

---

$$\text{@map } f_s \ xs_s$$

$$\gtrsim$$

$$\text{@map } f_t \ xs_t$$

# Proof sketch

$$\begin{array}{c}
 f_s \approx f_t \qquad \qquad \qquad xs_s \approx xs_t \\
 \boxed{\text{REL PURE}} \\
 \frac{e_s \xrightarrow[\text{pure}]{p_s} e'_s \quad e_t \xrightarrow[\text{pure}]{p_t} e'_t \quad e'_s \gtrsim e'_t [\Phi]}{e_s \gtrsim e_t [\Phi]} \\
 @map \ f_s \ xs_s \qquad \qquad \qquad \gtrsim \qquad \qquad \qquad @map \ f_t \ xs_t
 \end{array}$$

## Proof sketch

$$f_s \approx f_t$$

$$xs_s \approx xs_t$$

---

```
match xs_s with
| [] →
  []
| x :: xs' →
  let y = f_s x in
  y :: @map f_s xs'
```

$$\gtrsim$$

```
match xs_t with
| [] →
  []
| x :: xs' →
  let y = f_t x in
  let dst = y :: ■ in
  @map_dps dst 2 f_t xs' ;
  dst
```

# Proof sketch

RELMATCH

$$e_{s0} \gtrsim e_{t0} \{ \approx \}$$

$$e_{s1} \gtrsim e_{t1} [\Phi]$$

$$\frac{\forall x_s, x_t, xs_s, xs_t. x_s \approx x_t \multimap xs_s \approx xs_t \multimap e_{s2} \gtrsim e_{t2} [\Phi]}{\quad}$$

**match**  $e_{s0}$  **with**

| []  $\rightarrow e_{s1}$

|  $x_s :: xs_s \rightarrow e_{s2}$

**match**  $e_{t0}$  **with**

| []  $\rightarrow e_{t1} \quad [\Phi]$

|  $x_t :: xs_t \rightarrow e_{t2}$

$y :: @map f_s xs'$

$@map\_dps dst 2 f_t xs' ;$   
 $dst$



# Proof sketch

$$f_s \approx f_t$$

$$xs_s \approx xs_t$$

---

[ ]

$\wedge$

[ ]

## Proof sketch

$$f_s \approx f_t$$

$$xs_s \approx xs_t$$

$$x_s \approx x_t$$

$$xs'_s \approx xs'_t$$

---

```
let y = f_s x_s in  
y :: @map f_s xs'_s
```

$$\gtrsim$$

```
let y = f_t x_t in  
let dst = y :: ■ in  
@map_dps dst 2 f_t xs'_t ;  
dst
```

# Proof sketch

$$f_s \approx f_t \qquad x_{s_s} \approx x_{s_t}$$

$$x_s \approx x_t \qquad x_{s'_s} \approx x_{s'_t}$$

$$\frac{\text{RELVCALLSIMILAR} \quad f_s \approx f_t \quad x_s \approx x_t}{f_s \ x_s \gtrsim f_t \ x_t \ \{\approx\}}$$

```
let y = f_s x_s in
y :: @map f_s xs'_s
```

 $\gtrsim$ 

```
let dst = y :: ■ in
@map_dps dst 2 f_t xs'_t ;
dst
```

## Proof sketch

$$f_s \approx f_t$$

$$xs_s \approx xs_t$$

$$x_s \approx x_t$$

$$xs'_s \approx xs'_t$$

$$y_s \approx y_t$$

---

```
let y = y_s in  
y :: @map f_s xs'_s
```

$$\gtrsim$$

```
let y = y_t in  
let dst = y :: ■ in  
@map_dps dst 2 f_t xs'_t ;  
dst
```

## Proof sketch

$$f_s \approx f_t$$

$$xs_s \approx xs_t$$

$$x_s \approx x_t$$

$$xs'_s \approx xs'_t$$

$$y_s \approx y_t$$

---

$$y_s :: \text{@map } f_s \text{ } xs'_s \quad \sim \quad \text{let } dst = y_t :: \blacksquare \text{ in } \text{@map\_dps } dst \text{ } 2 \text{ } f_t \text{ } xs'_t \text{ ; } dst$$

# Proof sketch

$$f_s \approx f_t$$

$$xs_s \approx xs_t$$

$$x_s \approx x_t$$

$$xs'_s \approx xs'_t$$

REL TGT CONS

$$\frac{\forall \ell. \ell \mapsto_t (\text{CONS}, v_1, v_2) \multimap e_s \gtrsim \ell [\Phi]}{e_s \gtrsim v_1 :: v_2 [\Phi]}$$

$$e_s \gtrsim v_1 :: v_2 [\Phi]$$

$y_s :: \text{@map } f_s \text{ } xs'_s$

$\gtrsim$

```
let dst = y_t :: ■ in
@map_dps dst 2 f_t xs'_t ;
dst
```

## Proof sketch

$$f_s \approx f_t$$

$$xs_s \approx xs_t$$

$$x_s \approx x_t$$

$$xs'_s \approx xs'_t$$

$$y_s \approx y_t$$

$$\ell_t \mapsto_t (\text{CONS}, y_t, \blacksquare)$$

---

$$y_s :: @map\ f_s\ xs'_s \quad \geq \quad \begin{array}{l} \text{let } dst = \ell_t \text{ in} \\ @map\_dps\ dst\ 2\ f_t\ xs'_t\ ; \\ dst \end{array}$$

## Proof sketch

$$f_s \approx f_t$$

$$xs_s \approx xs_t$$

$$x_s \approx x_t$$

$$xs'_s \approx xs'_t$$

RELGTGPURE

$$\frac{e_t \xrightarrow[pure]{p_t} e'_t \quad e_s \gtrsim e'_t [\Phi]}{e_s \gtrsim e_t [\Phi]}$$

$y_s :: \text{@map } f_s \text{ } xs'_s$

$\gtrsim$

```
let dst = l_t in
@map_dps dst 2 f_t xs'_t ;
dst
```



## Proof sketch

$$f_s \approx f_t \qquad xs_s \approx xs_t$$

$$x_s \approx x_t \qquad xs'_s \approx xs'_t$$

$$y_s \approx y_t$$

$$\ell_t \mapsto_t (\text{CONS}, y_t, \blacksquare)$$

---

$$y_s :: @\text{map} \ f_s \ xs'_s \quad \geq \quad @\text{map\_dps} \ \ell_t \ 2 \ f_t \ xs'_t \ ;$$

# Proof sketch

$$f_s \approx f_t$$

$$xs_s \approx xs_t$$

RELDPS2

$$\xi[f] = f_{dps}$$

$$\overline{v_s} \approx \overline{v_t}$$

$$\ell \mapsto_t (t, v_1, v_2)$$

$$\forall w_s, w_t. w_s \approx w_t \multimap \ell \mapsto_t (t, v_1, w_t) \multimap \Phi(w_s, ( ))$$

$$\textcircled{f} \overline{v_s} \gtrsim \textcircled{f_{dps}} \ell \textcircled{2} \overline{v_t} [\Phi]$$

$$y_s :: y_{s_s}$$

$$\gtrsim$$

$$\textcircled{\text{map\_dps}} \ell_t \textcircled{2} f_t xs'_t ;$$

$$\ell_t$$

## Proof sketch

$$f_s \approx f_t$$

$$xS_s \approx xS_t$$

$$x_s \approx x_t$$

$$xs'_s \approx xs'_t$$

$$y_s \approx y_t$$

$$ys_s \approx ys_t$$

$$\ell_t \mapsto_t (\text{CONS}, y_t, y_{s_t})$$

$$y_s \quad :: \quad y s_s$$

$\wedge 2$

$$(\cdot) ; \ell_t$$

## Proof sketch

$$f_s \approx f_t$$

$$xs_s \approx xs_t$$

$$x_s \approx x_t$$

$$xs'_s \approx xs'_t$$

$$y_s \approx y_t$$

$$ys_s \approx ys_t$$

$$\ell_t \mapsto_t (\text{CONS}, y_t, ys_t)$$

---

$$y_s \quad :: \quad ys_s$$

$$\approx$$

$$\ell_t$$

## Proof sketch

$$f_s \approx f_t$$

$$xs_s \approx xs_t$$

$$x_s \approx x_t$$

$$xs'_s \approx xs'_t$$

RELSRCCONS

$$\frac{\forall \ell. \ell \mapsto_s (\text{CONS}, v_1, v_2) \rightarrow * \ell \gtrsim e_t [\Phi]}{v_1 :: v_2 \gtrsim e_t [\Phi]}$$

---

 $\ell_s$  $\gtrsim$  $\ell_t$

## Proof sketch

$$f_s \approx f_t \qquad xs_s \approx xs_t$$

$$x_s \approx x_t \qquad xs'_s \approx xs'_t$$

$$y_s \approx y_t$$

$$ys_s \approx ys_t$$

$$\ell_t \mapsto_t (\text{CONS}, y_t, ys_t)$$

$$\ell_s \mapsto_s (\text{CONS}, y_s, ys_s)$$

---

 $\ell_s$  $\geq$  $\ell_t$

# Proof sketch

$$f_s \approx f_t$$

$$xs_s \approx xs_t$$

RELBIIINSERT

$$\ell_s \mapsto_s \overline{v_s}$$

$$\ell_t \mapsto_t \overline{v_t}$$

$$\overline{v_s} \approx \overline{v_t}$$

$$\ell_s \approx \ell_t \multimap e_s \gtrsim e_t [\Phi]$$

$$\hline e_s \gtrsim e_t [\Phi]$$

---

 $\ell_s$  $\gtrsim$  $\ell_t$

# Proof sketch

$$f_s \approx f_t$$

$$xs_s \approx xs_t$$

$$x_s \approx x_t$$

$$xs'_s \approx xs'_t$$

$$y_s \approx y_t$$

$$ys_s \approx ys_t$$

$$\ell_s \approx \ell_t$$

---

 $\ell_s$  $\gtrsim$  $\ell_t$



## Concluding remarks

- ▶ The real proof deals with the *abstract* relational transformation.
- ▶ Details regarding the *undetermined evaluation order* of constructors were eluded.
- ▶ Other program transformations verified using *protocols*: APS, inlining.

Thank you for your attention!

# Simulation

$$\lambda sim. \lambda sim\text{-}inner. \lambda (\Phi, e_s, e_t). \forall \sigma_s, \sigma_t. I(\sigma_s, \sigma_t) \multimap \models$$

$$\text{sim-body}_X := \bigvee \left[ \begin{array}{l} \textcircled{1} \quad I(\sigma_s, \sigma_t) * \Phi(e_s, e_t) \\ \textcircled{2} \quad I(\sigma_s, \sigma_t) * \text{strongly-stuck}_{p_s}(e_s) * \text{strongly-stuck}_{p_t}(e_t) \\ \textcircled{3} \quad \exists e'_s, \sigma'_s. (e_s, \sigma_s) \xrightarrow{p_s}^+ (e'_s, \sigma'_s) * I(\sigma'_s, \sigma_t) * \text{sim-inner}(\Phi, e'_s, e_t) \\ \textcircled{4} \quad \text{reducible}_{p_t}(e_t, \sigma_t) * \forall e'_t, \sigma'_t. (e_t, \sigma_t) \xrightarrow{p_t} (e'_t, \sigma'_t) \multimap \models \\ \quad \bigvee \left[ \begin{array}{l} \textcircled{A} \quad I(\sigma_s, \sigma'_t) * \text{sim-inner}(\Phi, e_s, e'_t) \\ \textcircled{B} \quad \exists e'_s, \sigma'_s. (e_s, \sigma_s) \xrightarrow{p_s}^+ (e'_s, \sigma'_s) * \\ \quad I(\sigma'_s, \sigma'_t) * \text{sim}(\Phi, e'_s, e'_t) \end{array} \right] \\ \textcircled{5} \quad \exists K_s, e'_s, K_t, e'_t, \Psi. \\ \quad e_s = K_s[e'_s] * e_t = K_t[e'_t] * X(\Psi, e'_s, e'_t) * I(\sigma_s, \sigma_t) * \\ \quad \forall e''_s, e''_t. \Psi(e''_s, e''_t) \multimap \text{sim-inner}(\Phi, K_s[e''_s], K_t[e''_t]) \end{array} \right.$$

$$\text{sim-inner}_X := \lambda sim. \mu sim\text{-}inner. \text{sim-body}_X(sim, sim\text{-}inner)$$

$$\text{sim}_X := \nu sim. \text{sim-inner}_X(sim)$$

$$e_s \gtrsim e_t \langle X \rangle [\Phi] := \text{sim}_X(\Phi, e_s, e_t)$$

$$e_s \gtrsim e_t \langle X \rangle \{\Phi\} := e_s \gtrsim e_t \langle X \rangle \left[ \lambda(e'_s, e'_t). \exists v_s, v_t. e'_s = v_s * e'_t = v_t * \Phi(v_s, v_t) \right]$$

# TMC protocol

$$\begin{aligned} X_{\text{dir}}(\Psi, e_s, e_t) &:= \exists f, v_s, v_t. \\ &f \in \text{dom}(p_s) * \\ &e_s = @f v_s * e_t = @f v_t * v_s \approx v_t * \\ &\forall v'_s, v'_t. v'_s \approx v'_t \multimap \Psi(v'_s, v'_t) \end{aligned}$$

$$\begin{aligned} X_{\text{DPS}}(\Psi, e_s, e_t) &:= \exists f, f_{\text{dps}}, v_s, \ell, i, v_t. \\ &f \in \text{dom}(p_s) * \xi[f] = f_{\text{dps}} * \\ &e_s = @f v_s * e_t = @f_{\text{dps}} ((\ell, i), v_t) * v_s \approx v_t * \\ &(\ell + i) \mapsto \blacksquare * \\ &\forall v'_s, v'_t. (\ell + i) \mapsto v'_t * v'_s \approx v'_t \multimap \Psi(v'_s, ()) \end{aligned}$$

$$X_{\text{TMC}} := X_{\text{dir}} \sqcup X_{\text{DPS}}$$