

# Tail Modulo Cons, OCAML, and Relational Separation Logic



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## map: natural implementation

```
let rec map f xs =  
  match xs with  
  | [] →  
    []  
  | x :: xs →  
    let y = f x in  
    y :: map f xs
```

```
# List.init 250_000 (fun _ → ())  
|> map Fun.id  
|> ignore  
;;
```

Stack overflow during evaluation (looping recursion?).

## map: accumulator-passing style

```
let rec map_aps acc f xs =  
  match xs with  
  | [] →  
    List.rev acc  
  | x :: xs →  
    let y = f x in  
    map_aps (y :: acc) f xs
```

```
let map xs =  
  map_aps [] f xs
```

```
# List.init 250_000 (fun _ → ())  
|> map Fun.id  
|> ignore  
;;  
- : unit = ()
```

## map: destination-passing style

```
let rec map_dps dst f xs =  
  match xs with  
  | [] →  
    set_field dst 1 []  
  | x :: xs →  
    let y = f x in  
    let dst' = y :: _ in  
    set_field dst 1 dst' ;  
    map_dps dst' f xs
```

```
let map f xs =  
  match xs with  
  | [] →  
    []  
  | x :: xs →  
    let y = f x in  
    let dst = y :: _ in  
    map_dps dst f xs ;  
    dst
```

```
# List.init 250_000 (fun _ → ())  
|> map Fun.id  
|> ignore  
;;  
- : unit = ()
```

## map: Tail Modulo Constructor (TMC)

```
let[@tail_mod_cons] rec map f xs =  
  match xs with  
  | [] →  
    []  
  | x :: xs →  
    let y = f x in  
    y :: map f xs
```

```
# List.init 250_000 (fun _ → ())  
|> map Fun.id  
|> ignore  
;;  
- : unit = ()
```

## TMC transformation

- ▶ **Safe:** performed by the OCAML compiler.
- ▶ **Explicit:** `[@tail_mod_cons]` annotation.
- ▶ **Generality:**
  - ▶ Works on any algebraic data type (lists, trees, *etc.*).
  - ▶ Supports mutually recursive functions.
- ▶ **Implementation details:** see the paper.
- ▶ **Performance:** see benchmarks in the paper.
- ▶ **Feature adoption:** see survey in the paper.
- ▶ **Soundness:** formally verified in Coq/Rocq in an simplified setting ...

## DATA LANG: syntax

Index	$\ni$	$i$	$::=$	$0 \mid 1 \mid 2$
Tag	$\ni$	$t$		
$\mathbb{B}$	$\ni$	$b$		
$\mathbb{L}$	$\ni$	$\ell$		
$\mathbb{F}$	$\ni$	$f$		
$\mathbb{X}$	$\ni$	$x, y$		
Val	$\ni$	$v, w$	$::=$	$() \mid i \mid t \mid b \mid \ell \mid @f$
Expr	$\ni$	$e$	$::=$	$v \mid x \mid \text{let } x = e_1 \text{ in } e_2 \mid e_1 \ \overline{e_2}$ $\mid e_1 = e_2 \mid \text{if } e_0 \text{ then } e_1 \text{ else } e_2$ $\mid \{ t, e_1, e_2 \}$ $\mid e_1 . (e_2) \mid e_1 . (e_2) \leftarrow e_3$
Def	$\ni$	$d$	$::=$	$\text{fun } \overline{x} \rightarrow e$
Prog	$\ni$	$p$	$::=$	$\mathbb{F} \xrightarrow{\text{fin}} \text{Def}$
State	$\ni$	$\sigma$	$::=$	$\mathbb{L} \xrightarrow{\text{fin}} \text{Val}$
Config	$\ni$	$\rho$	$::=$	$\text{Expr} \times \text{State}$

## DATA LANG: map

```
map := fun f xs →  
  match xs with  
  | [] →  
    []  
  | x :: xs →  
    let y = f x in  
    y :: @map f xs
```



## DATA LANG: map (transformed)

```
map_dps := fun dst idx f xs →  
  match xs with  
  | [] →  
    dst.(idx) ← []  
  | x :: xs →  
    let y = f x in  
    let dst' = y :: ■ in  
    dst.(idx) ← dst' ;  
    @map_dps dst' 2 f xs
```

```
map_dir := fun f xs →  
  match xs with  
  | [] →  
    []  
  | x :: xs →  
    let y = f x in  
    let dst = y :: ■ in  
    @map_dps dst 2 f xs ;  
    dst
```

## TMC transformation

$$e_s \xrightarrow[\text{dir}]{\xi} e_t$$

$$d_s \xrightarrow[\text{dir}]{\xi} d_t$$

$$(e_{dst}, e_{idx}, e_s) \xrightarrow[\text{dps}]{\xi} e_t$$

$$d_s \xrightarrow[\text{dps}]{\xi} d_t$$

$$p_s \rightsquigarrow p_t$$

## Transformation soundness

$p_s \rightsquigarrow p_t$       program  $p_s$  transforms into program  $p_t$



$p_s \sqsupseteq p_t$       program  $p_t$  refines program  $p_s$   
(termination-preserving refinement)

## Termination-preserving behavioral refinement

$$\begin{aligned} p_s \sqsubseteq p_t &:= \forall f \in \text{dom}(p_s), v_s, v_t. \\ &\quad \text{wf}(v_s) \wedge v_s \sim v_t \implies \\ &\quad @f v_s \sqsubseteq @f v_t \end{aligned}$$

$$\begin{aligned} e_s \sqsubseteq e_t &:= \forall b_t \in \text{behaviours}_{p_t}(e_t). \\ &\quad \exists b_s \in \text{behaviours}_{p_s}(e_s). b_s \sqsubseteq b_t \end{aligned}$$

$$\text{behaviours}_p(e) := \{\mathbf{Conv}(e') \mid \dots\} \uplus \{\mathbf{Div} \mid (e, \emptyset) \uparrow_p\}$$

## Transformation soundness

$p_s \rightsquigarrow p_t$       program  $p_s$  transforms into program  $p_t$



$p_s \sqsupseteq p_t$       program  $p_t$  refines program  $p_s$   
(termination-preserving refinement)

## Transformation soundness

$p_s \rightsquigarrow p_t$       program  $p_s$  transforms into program  $p_t$



$p_s \gtrsim p_t$       program  $p_t$  simulates program  $p_s$   
(*relational separation logic*, SIMULIRIS)



$p_s \sqsupseteq p_t$       program  $p_t$  refines program  $p_s$   
(termination-preserving refinement)

## Relational separation logic

REL-PURE

$$\frac{e_s \xrightarrow[pure]{p_s} e'_s \quad e_t \xrightarrow[pure]{p_t} e'_t \quad e'_s \gtrsim e'_t [\Phi]}{e_s \gtrsim e_t [\Phi]}$$

REL-SOURCE-LOAD

$$\frac{(\ell + i) \mapsto_s v_s \quad (\ell + i) \mapsto_s v_s \ast v_s \gtrsim e_t [\Phi]}{\ell.(i) \gtrsim e_t [\Phi]}$$

REL-TARGET-LOAD

$$\frac{(\ell + i) \mapsto_t v_t \quad (\ell + i) \mapsto_t v_t \ast e_s \gtrsim v_t [\Phi]}{e_s \gtrsim \ell.(i) [\Phi]}$$

## Transformation soundness

$p_s \rightsquigarrow p_t$       program  $p_s$  transforms into program  $p_t$

### Abstract protocols (calling conventions)

REL-PROTOCOL

$$\frac{\textcolor{red}{X}(e_s, e_t, \textcolor{brown}{\Psi}) \quad \forall e'_s, e'_t. \textcolor{brown}{\Psi}(e'_s, e'_t) \multimap e'_s \gtrsim e'_t \langle \textcolor{red}{X} \rangle [\Phi]}{e_s \gtrsim e_t \langle \textcolor{red}{X} \rangle [\Phi]}$$

$p_s \sqsupseteq p_t$       program  $p_t$  refines program  $p_s$   
(termination-preserving refinement)



## Transformation soundness

$p_s \rightsquigarrow p_t$       program  $p_s$  transforms into program  $p_t$



$p_s \gtrsim p_t$       program  $p_t$  simulates program  $p_s$   
(*relational separation logic*, SIMULIRIS)



$p_s \sqsupseteq p_t$       program  $p_t$  refines program  $p_s$   
(termination-preserving refinement)

## Specification in separation logic

$$\frac{\{\text{???}\}}{\text{@map } \mathbf{v}_s \gtrsim \text{@map\_dir } \mathbf{v}_t} \frac{}{\{\text{???}\}}$$

$$\frac{\{\text{???}\}}{\text{@map } \mathbf{v}_s \gtrsim \text{@map\_dps } \ell \ i \ \mathbf{v}_t} \frac{}{\{\text{???}\}}$$

## Direct transformation

$$\frac{\frac{\{v_s \approx v_t\}}{\text{@map } v_s \gtrsim \text{@map\_dir } v_t}}{\{w_s, w_t. w_s \approx w_t\}}$$

REL-DIR (SIMULIRIS)

$$\frac{\begin{array}{c} f \in \text{dom}(p_s) \\ v_s \approx v_t \\ \forall w_s, w_t. w_s \approx w_t \multimap \Phi(w_s, w_t) \end{array}}{\text{@f } v_s \gtrsim \text{@f } v_t [\Phi]}$$

# DPS transformation

$$\frac{\frac{\{v_s \approx v_t * (\ell + i) \mapsto_t \blacksquare\}}{\textcircled{\text{map}} v_s \gtrsim \textcircled{\text{map\_dps}} \ell \ i \ v_t}}{\{w_s, (). \exists w_t. w_s \approx w_t * (\ell + i) \mapsto_t w_t\}}$$

REL-DPS

$$\frac{\begin{array}{c} \xi[f] = f_{dps} \\ \overline{v_s} \approx \overline{v_t} \\ \ell \mapsto_t \overline{v} \\ \forall w_s, w_t. w_s \approx w_t \multimap \ell \mapsto_t \overline{v}[i \mapsto w_t] \multimap \Phi(w_s, ()) \end{array}}{\textcircled{f} \overline{v_s} \gtrsim \textcircled{f_{dps}} \ell \ i \ \overline{v_t} [\Phi]}$$

REL-PROTOCOL

$$\frac{\textcolor{red}{X}(e_s, e_t, \Psi) \quad \forall e'_s, e'_t. \Psi(e'_s, e'_t) \multimap e'_s \gtrsim e'_t \langle \textcolor{red}{X} \rangle [\Phi]}{e_s \gtrsim e_t \langle \textcolor{red}{X} \rangle [\Phi]}$$

## Conclusion

- ▶ Implementation of the TMC transformation in the OCAML compiler.
- ▶ Mechanized soundness proof using *relational separation logic*.
- ▶ *Abstract protocols* to support different calling conventions: APS, inlining.

Thank you for your attention!

# Simulation

$$\begin{aligned}
 \text{sim-body}_X &:= \lambda \text{sim}. \lambda \text{sim-inner}. \lambda (\Phi, e_s, e_t). \forall \sigma_s, \sigma_t. I(\sigma_s, \sigma_t) \multimap \begin{array}{l} \text{① } I(\sigma_s, \sigma_t) * \Phi(e_s, e_t) \\ \text{② } I(\sigma_s, \sigma_t) * \text{strongly-stuck}_{p_s}(e_s) * \text{strongly-stuck}_{p_t}(e_t) \\ \text{③ } \exists e'_s, \sigma'_s. (e_s, \sigma_s) \xrightarrow{p_s}^+ (e'_s, \sigma'_s) * I(\sigma'_s, \sigma_t) * \text{sim-inner}(\Phi, e'_s, e_t) \\ \text{④ } \text{reducible}_{p_t}(e_t, \sigma_t) * \forall e'_t, \sigma'_t. (e_t, \sigma_t) \xrightarrow{p_t} (e'_t, \sigma'_t) \multimap \begin{array}{l} \text{Ⓐ } I(\sigma_s, \sigma'_t) * \text{sim-inner}(\Phi, e_s, e'_t) \\ \text{Ⓑ } \exists e'_s, \sigma'_s. (e_s, \sigma_s) \xrightarrow{p_s}^+ (e'_s, \sigma'_s) * \\ \quad I(\sigma'_s, \sigma'_t) * \text{sim}(\Phi, e'_s, e'_t) \end{array} \\ \text{⑤ } \exists K_s, e'_s, K_t, e'_t, \Psi. \\ \quad e_s = K_s[e'_s] * e_t = K_t[e'_t] * X(\Psi, e'_s, e'_t) * I(\sigma_s, \sigma_t) * \\ \quad \forall e''_s, e''_t. \Psi(e''_s, e''_t) \multimap \text{sim-inner}(\Phi, K_s[e''_s], K_t[e''_t]) \end{array} \\
 \text{sim-inner}_X &:= \lambda \text{sim}. \mu \text{sim-inner}. \text{sim-body}_X(\text{sim}, \text{sim-inner}) \\
 \text{sim}_X &:= \nu \text{sim}. \text{sim-inner}_X(\text{sim}) \\
 e_s \gtrsim e_t \langle X \rangle [\Phi] &:= \text{sim}_X(\Phi, e_s, e_t) \\
 e_s \gtrsim e_t \langle X \rangle \{\Phi\} &:= e_s \gtrsim e_t \langle X \rangle \left[ \lambda(e'_s, e'_t). \exists v_s, v_t. e'_s = v_s * e'_t = v_t * \Phi(v_s, v_t) \right]
 \end{aligned}$$

# TMC protocol

$$\begin{aligned} X_{\text{dir}}(\Psi, e_s, e_t) &:= \exists f, v_s, v_t. \\ &\quad f \in \text{dom}(p_s) * \\ &\quad e_s = @f \ v_s * e_t = @f \ v_t * v_s \approx v_t * \\ &\quad \forall v'_s, v'_t. v'_s \approx v'_t \multimap \Psi(v'_s, v'_t) \end{aligned}$$

$$\begin{aligned} X_{\text{DPS}}(\Psi, e_s, e_t) &:= \exists f, f_{\text{dps}}, v_s, \ell, i, v_t. \\ &\quad f \in \text{dom}(p_s) * \xi[f] = f_{\text{dps}} * \\ &\quad e_s = @f \ v_s * e_t = @f_{\text{dps}} \ ((\ell, i), v_t) * v_s \approx v_t * \\ &\quad (\ell + i) \mapsto \blacksquare * \\ &\quad \forall v'_s, v'_t. (\ell + i) \mapsto v'_t * v'_s \approx v'_t \multimap \Psi(v'_s, ()) \end{aligned}$$

$$X_{\text{TMC}} := X_{\text{dir}} \sqcup X_{\text{DPS}}$$