

Lecture 3: Algorithmic Meta-Theorems

(A Short Introduction to Parameterized Complexity)

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Course overview

methods/techniques / design patterns for FPT results		using logic for obtaining FPT results	obtaining FPT results	
Monday, June 10 10.30 – 12.30	Tuesday, June 11	Wednesday, June 12 10.30 – 12.30	Thursday, June 13	Friday, June 14
Introduction & basic FPT results motivation for FPT kernelization, Crown Lemma, Sunflower Lemma	GDA	Algorithmic Meta-Theorems 1st-order logic, monadic 2nd-order logic, FPT-results by Courcelle's Theorems for tree and clique-width	GDA	GDA
<i>Algorithmic Techniques</i>		<i>Formal-Method & Algorithmic Techniques</i>		
	14.30 – 16.30			14.30 – 16.30
Notions of bounded graph width path-, tree-, clique width, FPT-results by dynamic programming, transferring FPT results betw. widths		GDA	GDA	FPT-Intractability Classes & Hierarchies motivation for FP-intractability results, FPT-reductions, class XP (slicewise polynomial), W- and A-Hierarchies, placing problems on these hierarchies

Overview

- ▶ Courcelle's theorem
 - ▶ FPT-results by model-checking **MSO**-formulas
 - ▶ for graphs / structures with bounded tree-width
 - ▶ for maximization problems over graphs of bounded tree-width
 - ▶ for graphs of bounded clique-width
 - ▶ applications to concrete problems

Overview

- ▶ logic preliminaries
 - ▶ first-order logic
 - ▶ expressing graph problems by f-o formulas
 - ▶ monadic second-order logic (MSO)
 - ▶ expressing graph problems by MSO formulas
 - ▶ complexity of evaluation and model checking problems
- ▶ Courcelle's theorem
 - ▶ FPT-results by model-checking MSO-formulas
 - ▶ for graphs / structures with bounded tree-width
 - ▶ for maximization problems over graphs of bounded tree-width
 - ▶ for graphs of bounded clique-width
 - ▶ applications to concrete problems

Meta-theorems: idea, benefits and limitations

idea:

- ▶ express a problem P by a logical formula φ_P (of ‘short’ size)
- ▶ use **model checking** of φ_P
on logical structures of **bounded width k** (tree-, clique-width, . . .)
 - ▶ is time bounded depending on k , size of φ_P , size of the structure
 - ▶ this often facilitates FPT-results

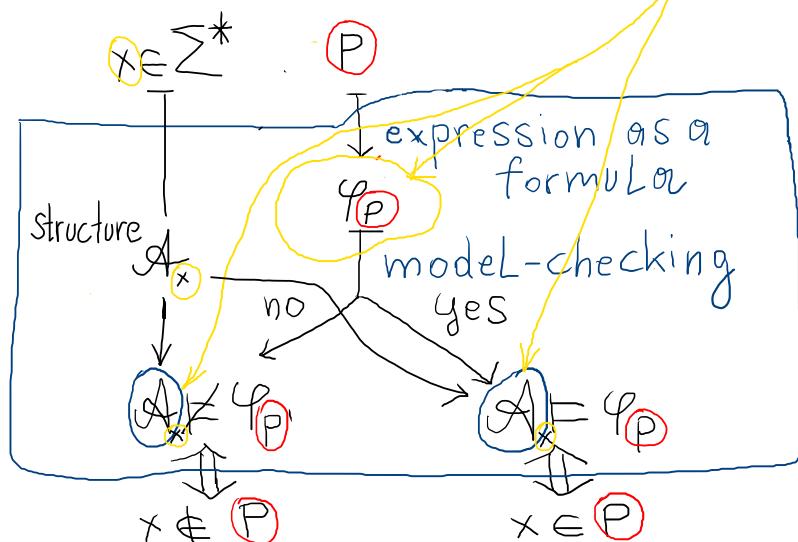
Meta-theorems: idea, benefits and limitations

idea:

$\langle \Sigma, P \rangle$ classical



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$$x \in P \Leftrightarrow A \models \varphi_P$$

size/length $|\varphi_P|$ of formula (should be short)
influences obtained Complexity result

Meta-theorems: idea, benefits and limitations

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benefits:

- ▶ a quick and easy way to show that [some problems] are fixed-parameter tractable,
- ▶ without working out the tedious details of a dynamic programming algorithm.

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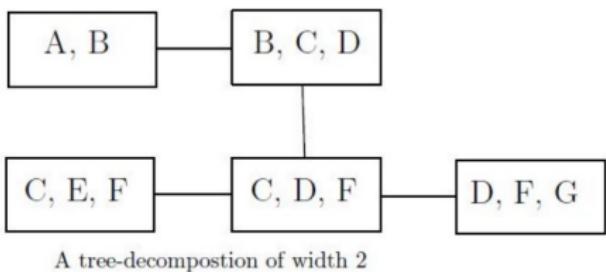
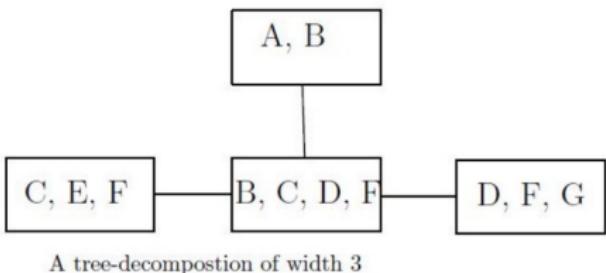
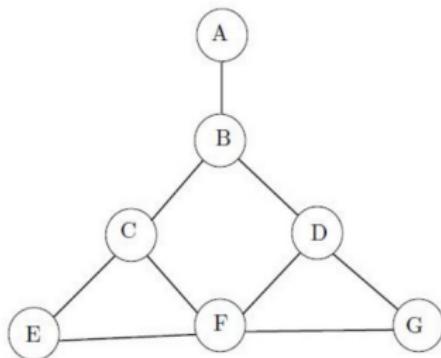
benefits:

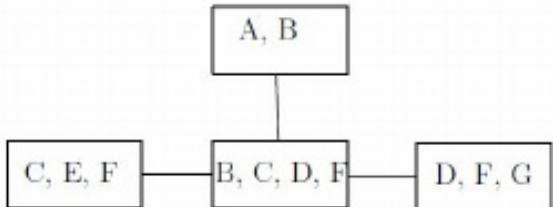
- ▶ a quick and easy way to show that [some problems] are fixed-parameter tractable,
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limitations:

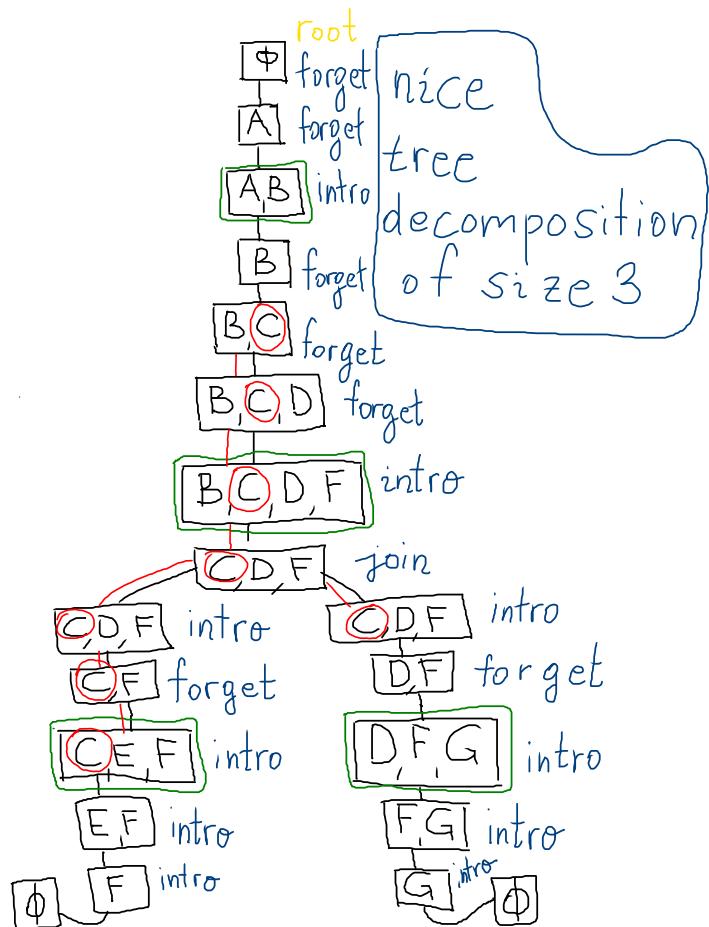
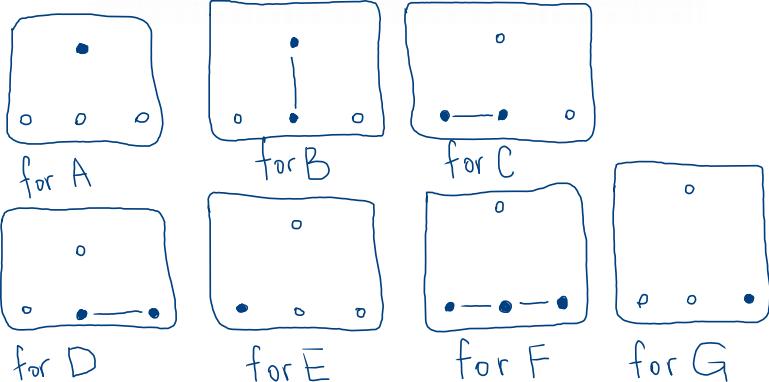
- ▶ algorithms obtained by meta-theorems cannot be expected to be optimal.
- ▶ a careful analysis of a specific problem at hand will usually yield more efficient fpt-algorithms

Tree decomposition (example)





A tree-decomposition of width 3



Tree decompositions, and tree-width

Definition (Bertelé–Brioschi, 1972, Halin, 1976, Robertson–Seymour, 1984)

A *tree decomposition* of a graph $\mathcal{G} = \langle V, E \rangle$ is a pair $\langle \mathcal{T}, \{B_t\}_{t \in T} \rangle$ where $\mathcal{T} = \langle T, F \rangle$ a (undirected, unrooted) tree, and $B_t \subseteq V$ such that:

(T1) $V = \bigcup_{t \in T} B_t$ (every vertex of \mathcal{G} is in some bag).

(T2) $(\forall \{u, v\} \in E) (\exists t \in T) [\{u, v\} \subseteq B_t]$

(the vertices of every edge of \mathcal{G} are realized in some bag).

(T3) $(\forall v \in V) [\text{subgraph of } \mathcal{T} \text{ defd. by } \{t \in T \mid v \in B_t\} \text{ is connected}]$

(the tree vertices (in \mathcal{T}) whose bags contain some vertex of \mathcal{G} induce a subgraph of \mathcal{T} that is connected).

The *width* of a tree decomposition $\langle \mathcal{T}, \{B_t\}_{t \in T} \rangle$ is

$$\max \{|B_t| - 1 \mid t \in T\}.$$

The *tree-width tw(\mathcal{G})* of a graph $\mathcal{G} = \langle V, E \rangle$ is defined by:

$\text{tw}(\mathcal{G}) :=$ minimal width of a tree decomposition of \mathcal{G} .

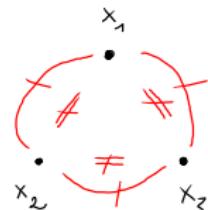
Logical preliminaries

First-order logic (formula example)

$$\varphi_3 := \exists x_1 \exists x_2 \exists x_3 \left(\neg(x_1 = x_2) \wedge \neg E(x_1, x_2) \right. \\ \wedge \neg(x_1 = x_3) \wedge \neg E(x_1, x_3) \\ \left. \wedge \neg(x_2 = x_3) \wedge \neg E(x_2, x_3) \right)$$

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$\mathcal{A}(\mathcal{G}) \vDash \varphi_3 \iff \mathcal{G}$ has a 3-element independent set.

$$S \subseteq V \text{ is independent set in } \mathcal{G} = \langle V, E \rangle : \iff \forall e = \{u, v\} \in E (\neg(u \in S \wedge v \in S)) \\ \iff \forall u, v \in S (u \neq v \Rightarrow \{u, v\} \notin E)$$

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$$\varphi_k := \exists x_1 \dots \exists x_k \left(\bigwedge_{1 \leq i < j \leq k} (\neg(x_i = x_j) \wedge \neg E(x_i, x_j)) \right)$$

$S \subseteq V$ is independent set in $\mathcal{G} = \langle V, E \rangle : \iff \forall e = \{u, v\} \in E (\neg(u \in S \wedge v \in S))$
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$$\varphi_k := \exists x_1 \dots \exists x_k (\bigwedge_{1 \leq i < j \leq k} (\underbrace{\neg(x_i = x_j)}_{x_i, x_j \text{ are distinct}} \wedge \underbrace{\neg E(x_i, x_j)}_{\text{no edge between } x_i \text{ and } x_j}))$$

x_1, \dots, x_k distinct and no edge between them

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First-order logic: syntax (language)

- ▶ language based on:
 - ▶ a *vocabulary* $\tau = \{R_1, \dots, R_n\}$ of *predicate symbols* R_i together with arity $ar(R_i) \in \mathbb{N}$

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- ▶ *quantifications* over (first-order variables):
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$$\begin{aligned} \varphi ::= & x = y \mid R(x_1, \dots, x_{ar(R)}) \quad (\text{where } R \in \tau) \\ & \mid \neg \varphi \mid \varphi_1 \wedge \varphi_2 \mid \varphi_1 \vee \varphi_2 \mid \varphi_1 \rightarrow \varphi_2 \mid \varphi_1 \leftrightarrow \varphi_2 \\ & \mid \exists x \varphi \mid \forall x \varphi \end{aligned}$$

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- ▶ **sentences**: formulas without **free** variables.

First-order logic: semantics (structures)

Definition

Let $\tau = \{R_1, \dots, R_n\}$ be a vocabulary.

A τ -structure is a tuple $\mathcal{A} = \langle A; R_1^{\mathcal{A}}, \dots, R_n^{\mathcal{A}} \rangle$ consisting of:

- ▶ the *universe* A ,
- ▶ interpretations $R_i^{\mathcal{A}} \subseteq A^{\text{ar}(R_i)} = \overbrace{A \times \dots \times A}^{\text{ar}(R_i)}$ for each of the relation symbols R_i in τ , where $i \in \{1, \dots, n\}$.

Examples

Let $\tau_G = \{E/_2\}$ vocabulary with binary edge relation.

The *standard structure* for a graph $\mathcal{G} = \langle V, E \rangle$:

$$\mathcal{A}_{\tau_G}(\mathcal{G}) := \langle V; E^{\text{symm}} \rangle.$$

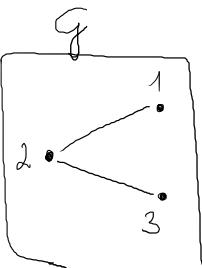
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$$\begin{aligned} G &= \langle V, E \rangle \\ V &= \{1, 2, 3\} \\ E &= \{\{1, 2\}, \{2, 3\}\} \end{aligned}$$

$$\begin{aligned} \mathcal{A}_{\tau_G}(G) &= \langle V; E^{\mathcal{A}_{\tau_G}(G)} \rangle \\ V &= \{1, 2, 3\} \\ E^{\mathcal{A}_{\tau_G}(G)} &= E^{\text{symm}} = \{ \langle 1, 2 \rangle, \langle 2, 1 \rangle, \langle 2, 3 \rangle, \langle 3, 2 \rangle \} \end{aligned}$$

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Example

Let $\tau_{HG} = \{VERT/1, EDGE/1, INC/2\}$ vocabulary (for hypergraphs).

The *hypergraph structure* for a graph $G = \langle V, E \rangle$:

$$\mathcal{A}_{\tau_{HG}}(G) := \langle V \cup E; V, E, \{\langle v, e \rangle \mid v \in V, e \in E, v \in e\} \rangle.$$

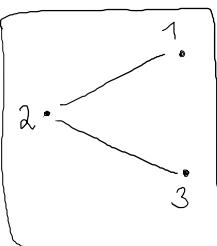
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\mathcal{G}

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$$\begin{aligned} V \cup E &= \{1, 2, 3, \{1, 2\}, \{2, 3\}\} \\ \text{VERT}^{\mathcal{A}_{\tau_{\text{HG}}}(\mathcal{G})} &= \{1, 2, 3\} \\ \text{EDGE}^{\mathcal{A}_{\tau_{\text{HG}}}(\mathcal{G})} &= \{\{1, 2\}, \{2, 3\}\} \\ \text{INC}^{\mathcal{A}_{\tau_{\text{HG}}}(\mathcal{G})} &= \{\langle 1, \{1, 2\} \rangle, \langle 2, \{1, 2\} \rangle, \langle 2, \{2, 3\} \rangle, \langle 3, \{2, 3\} \rangle\} \end{aligned}$$

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Interpretation of first-order formulas in structures

Let $\mathcal{A} = \langle A; \{R^{\mathcal{A}}\}_{R \in \tau} \rangle$ be a τ -structure. For a τ -formula $\varphi(x_1, \dots, x_k)$ its *interpretation* $\varphi(\mathcal{A}) \subseteq A^k$ in \mathcal{A} is defined by:

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- If $\varphi(x_1, \dots, x_k) \equiv R(x_{i_1}, \dots, x_{i_r})$ with $i_1, \dots, i_r \in [k]$, then:

$$\varphi(\mathcal{A}) := \left\{ \langle a_1, \dots, a_k \rangle \in A^k \mid \langle a_{i_1}, \dots, a_{i_r} \rangle \in R^{\mathcal{A}} \right\}$$

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$$\varphi(\mathcal{A}) := \{\langle a_1, \dots, a_k \rangle \in A^k \mid \langle a_{i_1}, \dots, a_{i_k} \rangle \in R^{\mathcal{A}}\}$$

$E(x, y)$

$$E(x, y)(\mathcal{A}_{\mathcal{I}_G}(G)) = \{\langle a_1, a_2 \rangle \mid \langle a_1, a_2 \rangle \in E^{\mathcal{A}_{\mathcal{I}_G}(G)}\} = E^{\mathcal{A}_{\mathcal{I}_G}(G)}$$

\Downarrow
 $\langle \forall E \rangle$

Interpretation of first-order formulas in structures

Let $\mathcal{A} = \langle A; \{R^{\mathcal{A}}\}_{R \in \tau} \rangle$ be a τ -structure. For a τ -formula $\varphi(x_1, \dots, x_k)$ its *interpretation* $\varphi(\mathcal{A}) \subseteq A^k$ in \mathcal{A} is defined by:

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- ▶ If $\varphi(x_1, \dots, x_k) \equiv \varphi_1(x_{i_1}, \dots, x_{i_l}) \wedge \varphi_2(x_{j_1}, \dots, x_{j_m})$ with $i_1, \dots, i_l, j_1, \dots, j_m \in [k]$, then:

$$\begin{aligned} \varphi(\mathcal{A}) := & \left\{ \langle a_1, \dots, a_k \rangle \in A^k \mid \langle a_{i_1}, \dots, a_{i_l} \rangle \in \varphi_1(\mathcal{A}) \right\} \\ & \cap \left\{ \langle a_1, \dots, a_k \rangle \in A^k \mid \langle a_{j_1}, \dots, a_{j_m} \rangle \in \varphi_2(\mathcal{A}) \right\} \end{aligned}$$

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- ▶ If $\varphi(x_1, \dots, x_k) \equiv \exists x_{k+1} \varphi_0(x_{i_1}, \dots, x_{i_\ell})$ with $i_1, \dots, i_\ell \in [k+1]$, then:

$$\varphi(\mathcal{A}) := \{\langle a_1, \dots, a_k \rangle \in A^k \mid \text{there exists } a_{k+1} \in A \\ \text{such that } \langle a_{i_1}, \dots, a_{i_\ell} \rangle \in \varphi_0(\mathcal{A})\}$$

Interpretation of first-order formulas in structures

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- ▶ $\mathcal{A} \models \varphi(a_1, \dots, a_k)$ will mean: $\langle a_1, \dots, a_k \rangle \in \varphi(\mathcal{A})$.

Interpretation of first-order formulas in structures

Let $\mathcal{A} = \langle A; \{R^{\mathcal{A}}\}_{R \in \tau} \rangle$ be a τ -structure. For a τ -formula $\varphi(x_1, \dots, x_k)$ its *interpretation* $\varphi(\mathcal{A}) \subseteq A^k$ in \mathcal{A} is defined by:

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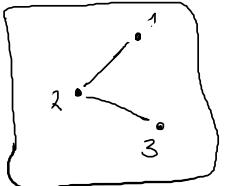
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- ▶ $\mathcal{A} \models \varphi(a_1, \dots, a_k)$ will mean: $\langle a_1, \dots, a_k \rangle \in \varphi(\mathcal{A})$.

- ▶ For a sentence φ , $\mathcal{A} \models \varphi$ will mean $\varphi(\mathcal{A}) \neq \emptyset$ (then $\varphi(\mathcal{A}) = \{\langle \rangle\}$).

$$\langle V, E \rangle = G$$



$$A_{J_G}(G) = \left\langle \underbrace{\{1, 2, 3\}}_{A=V}; \underbrace{\{\langle 1, 2 \rangle, \langle 2, 1 \rangle, \langle 2, 3 \rangle, \langle 3, 2 \rangle\}}_{E=A} \right\rangle$$

$J_G = \{E/a\}$ graph signature

$$J_{HG} = \{VERT/1, EDGE/1, INC/2\}$$

$$A_{J_{HG}}(G) = \left\langle \underbrace{\{1, 2, 3, \{1, 2\}, \{2, 3\}\}}_{A=V \cup E}; \underbrace{\{\{1, 2, 3\}, \{ \{1, 2\}, \{2, 3\} \}, \{ \langle 1, \{1, 2\} \rangle, \langle 2, \{1, 2\} \rangle, \langle 2, \{2, 3\} \rangle, \langle 3, \{2, 3\} \rangle \}}_{\substack{VERT \\ J_{HG}(G)}} \right\rangle$$

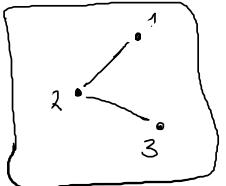
$$(E(x, y)) (A_{J_G}(G)) = ?$$

$$(E(x, x)) (A_{J_G}(G)) = ?$$

$$(\exists y (E(x, y) \wedge E(y, z))) (A_{J_G}(G)) = ?$$

INC $A(G)$

$$\langle V, E \rangle = G$$



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$I_G = \{E/a\}$ graph signature

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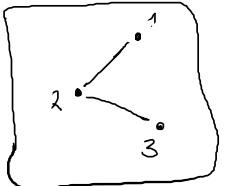
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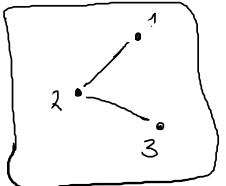
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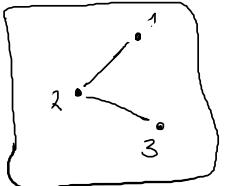
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Expressing graph properties by first-order formulas

Exercise

For given formulas $\varphi(x)$ and for all $k \in \mathbb{N}$, $k \geq 1$ define formulas $\exists^{\geq k} x \varphi(x)$, $\exists^{< k} x \varphi(x)$, $\exists^{= k} x \varphi(x)$, such that in a given τ -structure $\mathcal{A} = \langle A; \{R^{\mathcal{A}}\}_{R \in \tau} \rangle$:

$$\mathcal{A} \models \exists^{\geq k} x \varphi(x) \iff |\{a \in A \mid \mathcal{A} \models \varphi(a)\}| \geq k$$

$$\mathcal{A} \models \exists^{< k} x \varphi(x) \iff |\{a \in A \mid \mathcal{A} \models \varphi(a)\}| < k$$

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$$\exists^{\geq k} x \varphi(x) :=$$

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$$\exists^{\geq k} x \varphi(x) := \exists x_1 \dots \exists x_k \left(\left(\bigwedge_{1 \leq i < j \leq n} x_i = x_j \right) \wedge \bigwedge_{1 \leq i \leq n} \varphi(x_i) \right)$$

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$$\begin{aligned} \exists^{\geq k} x \varphi(x) &:= \exists x_1 \dots \exists x_k \left(\left(\bigwedge_{1 \leq i < j \leq n} x_i = x_j \right) \wedge \bigwedge_{1 \leq i \leq n} \varphi(x_i) \right) \\ \exists^{< k} x \varphi(x) &:= \neg \exists^{\geq k} x \varphi(x) \\ \exists^{= k} x \varphi(x) &:= \end{aligned}$$

Expressing graph properties by first-order formulas

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For given formulas $\varphi(x)$ and for all $k \in \mathbb{N}$, $k \geq 1$ define formulas $\exists^{\geq k} x \varphi(x)$, $\exists^{< k} x \varphi(x)$, $\exists^{= k} x \varphi(x)$, such that in a given τ -structure $\mathcal{A} = \langle A; \{R^{\mathcal{A}}\}_{R \in \tau} \rangle$:

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$$\exists^{< k} x \varphi(x) := \neg \exists^{\geq k} x \varphi(x)$$

$$\exists^{= k} x \varphi(x) := \exists^{\geq k} x \varphi(x) \wedge \exists^{< k+1} x \varphi(x)$$

Expressing graph properties by first-order formulas

Exercise

Express by a first-order formula with the vocabulary $\tau_G = \{E/_2\}$ for graphs that:

- (i) a graph G contains a clique with precisely k elements,
- (ii) a graph G has a dominating set with less or equal to k elements,
- (iii) a graph G has a dominating set with precisely k elements,

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Recall:

$$\varphi_k := \exists x_1 \dots \exists x_k \left(\bigwedge_{1 \leq i < j \leq k} (\neg(x_i = y_i) \wedge \neg E(x_i, x_j)) \right)$$

$$\mathcal{A}_{\tau_{\mathbf{G}}}(\mathcal{G}) \vDash \varphi_k \iff \mathcal{G} \text{ has a } k\text{-element independent set.}$$

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$$\varphi_{K\text{-clique}} := ?$$

$$(A_G \models \varphi_{k\text{-clique}}) \iff G \text{ contains a clique of } k \text{ vertices}$$

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$$\varphi_{k\text{-clique}} := \exists x_1 \dots \exists x_k \left(\left(\bigwedge_{1 \leq i < j \leq n} x_i = x_j \right) \wedge \bigwedge_{1 \leq i < j \leq n} E(x_i, x_j) \right)$$

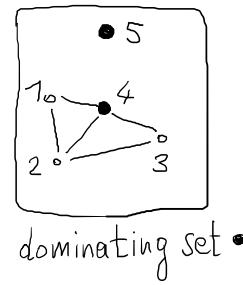
$$(A_G \models \varphi_{k\text{-clique}}) \iff G \text{ contains a clique of } k \text{ vertices}$$

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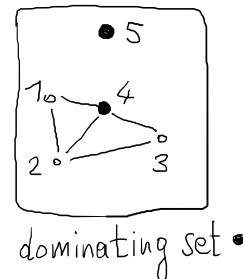
$G = \langle V, E \rangle$ $S \subseteq V$ is dominating set in $G : \Leftrightarrow \forall v \in V \setminus S \exists w \in S (E(v, w))$

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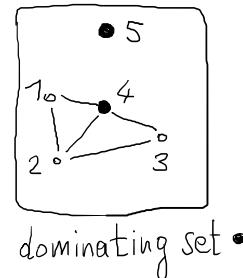
$$G = \langle V, E \rangle \quad S \subseteq V \text{ is dominating set in } G : \Leftrightarrow \forall v \in V \setminus S \exists w \in S (E(v, w)) \\ \Leftrightarrow \forall v \in V (v \notin S \rightarrow \exists w \in S (E(v, w)))$$

Expressing graph properties by first-order formulas

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- (ii) a graph G has a dominating set with less or equal to k elements,
- (iii) a graph G has a dominating set with precisely k elements,



$$G = \langle V, E \rangle \quad S \subseteq V \text{ is dominating set in } G : \Leftrightarrow \forall v \in V \setminus S \exists w \in S (E(v, w)) \\ \Leftrightarrow \forall v \in V (v \notin S \rightarrow \exists w \in S (E(v, w)))$$

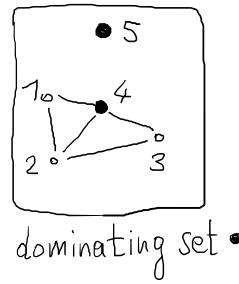
$$\varphi_{\text{domset} \leq k} := \exists w_1 \dots \exists w_k \forall v ((\bigwedge_{i=1}^k \neg v = w_i) \rightarrow \bigvee_{i=1}^k E(v, w_i))$$

Expressing graph properties by first-order formulas

Exercise

Express by a first-order formula with the vocabulary $\tau_G = \{E/_2\}$ for graphs that:

- (i) a graph G contains a clique with precisely k elements,
- (ii) a graph G has a dominating set with less or equal to k elements,
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$G = \langle V, E \rangle$ $S \subseteq V$ is dominating set in $G : \Leftrightarrow \forall v \in V \setminus S \exists w \in S (E(v, w))$
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$$\varphi_{\text{domset} = k} := \exists w_1 \dots \exists w_k \left(\left(\bigwedge_{1 \leq i < j \leq k} \neg w_i = w_j \right) \wedge \forall g \left(\left(\bigwedge_{i=1}^k \neg v = w_i \right) \rightarrow \bigvee_{i=1}^k E(v, w_i) \right) \right)$$

Expressing graph properties by first-order formulas

Exercise

Express by a first-order formula with the vocabulary with vocabulary $\tau_{\text{HG}} = \{\text{VERT}/_1, \text{EDGE}/_1, \text{INC}/_2\}$ for hypergraphs that:

- (i) a graph \mathcal{G} contains a clique with precisely k elements,
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- (iii) a graph \mathcal{G} has a dominating set with precisely k elements.

Expressing graph properties by first-order formulas

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$$(i) \varphi_{k\text{-clique}} :=$$

$$(ii) \varphi_{\text{domset} \leq k} :=$$

$$(iii) \varphi_{\text{domset} = k} :=$$

Expressing graph properties by first-order formulas

Exercise

Express by a first-order formula with the vocabulary with vocabulary

$\tau_{\text{HG}} = \{\text{VERT}/_1, \text{EDGE}/_1, \text{INC}/_2\}$ for hypergraphs that:

- (i) a graph \mathcal{G} contains a clique with precisely k elements,
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$$\begin{aligned}
 (i) \quad \varphi_{k\text{-clique}} &:= \exists x_1 \dots \exists x_k \left(\bigwedge_{1 \leq i \leq k} \text{VERT}(x_i) \wedge \bigwedge_{1 \leq i < j \leq k} (\neg x_i = x_j \wedge \exists e (\text{EDGE}(e) \wedge \text{INC}(x_i, e) \wedge \text{INC}(x_j, e))) \right) \\
 (ii) \quad \varphi_{\text{domset} \leq k} &:= \\
 (iii) \quad \varphi_{\text{domset} = k} &:=
 \end{aligned}$$

Expressing graph properties by first-order formulas

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 \text{(iii)} \quad \varphi_{\text{domset} = k} &:=
 \end{aligned}$$

Expressing graph properties by first-order formulas

Exercise

Express by a first-order formula with the vocabulary with vocabulary

$\mathcal{T}_{\text{HG}} = \{\text{VERT}/_1, \text{EDGE}/_1, \text{INC}/_2\}$ for hypergraphs that:

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 \text{(iii)} \quad \varphi_{\text{domset} = k} &:= \exists x_1 \dots \exists x_k \left(\bigwedge_{1 \leq i \leq k} \text{VERT}(x_i) \wedge \bigwedge_{1 \leq i < j \leq k} (\neg x_i = x_j \wedge \dots) \right)
 \end{aligned}$$

Evaluation and model checking (first-order logic)

Let Φ be a class of **first-order** formulas.

The ***evaluation problem*** for Φ :

EVAL(Φ)

Instance: A structure \mathcal{A} and a formula $\varphi \in \Phi$.

Problem: Compute $\varphi(\mathcal{A})$.

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Width of formula φ : maximal number of free variables
in a subformula of φ .

Theorem

EVAL(FO) and MC(FO) can be solved in time $O(|\varphi| \cdot |\mathcal{A}|^w \cdot w)$, where w is the width of the input formula φ .

Monadic second-order logic (formula example)

$$\psi_3 := \exists C_1 \exists C_2 \exists C_3 \left(\left(\forall x \left(\bigvee_{i=1}^3 C_i(x) \right) \right) \wedge \forall x \left(\bigwedge_{1 \leq i < j \leq 3} \neg(C_i(x) \wedge C_j(x)) \right) \wedge \forall x \forall y \left(E(x, y) \rightarrow \bigwedge_{i=1}^3 \neg(C_i(x) \wedge C_i(y)) \right) \right)$$

$\mathcal{A}(\mathcal{G}) \models \psi_3 \iff \mathcal{G}$ has is 3-colorable.

Monadic second-order logic

- ▶ language based on:

- ▶ a **vocabulary** $\tau = \{R_1, \dots, R_n\}$ of **predicate symbols** R_i together with arity $ar(R_i) \in \mathbb{N}$
- ▶ the binary equality predication $=$
- ▶ first-order variable symbols: $x, y, z, w, x_1, y_1, z_1, w_1, x_2, \dots$
- ▶ **monadic second-order variable symbols** (symbolizing variables for unary predicate symbols): $X, Y, Z, W, X_1, Y_1, Z_1, W_1, X_2, \dots$
- ▶ propositional connectives: $\wedge, \vee, \neg, \rightarrow, \leftrightarrow$
- ▶ existential quantifier \exists , universal quantifier \forall

Interpretation of MSO-formulas in first-order structures

Let $\mathcal{A} = \langle A; \{R^{\mathcal{A}}\}_{R \in \tau} \rangle$ be a τ -structure.

For a $\text{MSO}(\tau)$ -formula $\varphi(x_1, \dots, x_k, X_1, \dots, X_\ell)$ its *interpretation* $\varphi(\mathcal{A}) \subseteq A^k \times \mathcal{P}(A)^\ell$ in \mathcal{A} is defined by:

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$$\varphi(\mathcal{A}) := \left\{ \langle a_1, \dots, a_k, P_1, \dots, P_\ell \rangle \in A^k \times \mathcal{P}(A)^\ell \mid a_j \in P_i \right\}$$

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- ▶ If $\varphi(x_1, \dots, x_k, X_1, \dots, X_\ell) \equiv \exists X_{k+1} \varphi_0(x_{i_1}, \dots, x_{i_{k'}}, X_{j_1}, \dots, X_{j_{\ell'}})$ with $i_1, \dots, i_{k'} \in [k]$, and $j_1, \dots, j_{\ell'} \in [\ell + 1]$ then:

$$\varphi(\mathcal{A}) := \{\langle a_1, \dots, a_k, P_1, \dots, P_\ell \rangle \in A^k \times \mathcal{P}(A)^\ell \mid \begin{array}{l} \text{there exists } P_{\ell+1} \in \mathcal{P}(A) \text{ such that} \\ \langle a_{i_1}, \dots, a_{i_{k'}}, P_{j_1}, \dots, P_{j_{\ell'}} \rangle \in \varphi_0(\mathcal{A}) \end{array}\}$$

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 will mean: $\langle a_1, \dots, a_k, P_1, \dots, P_\ell \rangle \in \varphi(\mathcal{A})$.

Interpretation of MSO-formulas in first-order structures

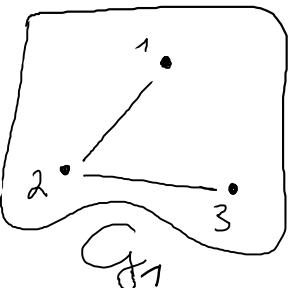
Let $\mathcal{A} = \langle A; \{R^{\mathcal{A}}\}_{R \in \tau} \rangle$ be a τ -structure.

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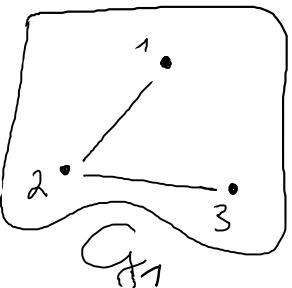
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 will mean: $\langle a_1, \dots, a_k, P_1, \dots, P_\ell \rangle \in \varphi(\mathcal{A})$.
- ▶ For a sentence φ , $\mathcal{A} \models \varphi$ will mean $\varphi(\mathcal{A}) \neq \emptyset$ (then $\varphi(\mathcal{A}) = \{\langle \rangle\}$).



$$\varphi(A) := \underline{\forall x \ (A(x) \rightarrow \exists^{\geq 2} y \ (E(x, y))}$$

MSO(\mathcal{T}_G)

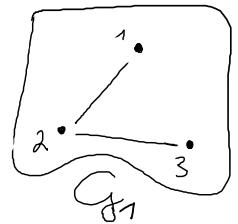
$$(\varphi(A))(\mathcal{A}_{\mathcal{T}_G}(G_1)) = ?$$



$$\varphi(\textcircled{A}) := \underline{\forall x (\textcircled{A}(x) \rightarrow \exists^{\geq 2} y (E(x, y)))}$$

MSO($\textcolor{red}{T_G}$)

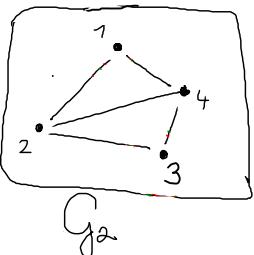
$$(\varphi(\textcircled{A}))(\mathcal{A}_{\textcolor{red}{T_G}}(G)) = \{\langle 2 \rangle\}$$



$$\varphi(A) := \forall x (A(x) \rightarrow \exists^= y (E(x, y))$$

MSO(\Box_{HG})

$$(\varphi(A)) (\cup_{\Box_{\text{HG}}}(G_1)) = \{<2>\}$$



$$\varphi(B) := \forall y (B(y) \rightarrow \text{EDGE}(y) \wedge$$

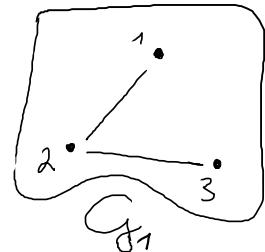
MSO(\Box_{HG})

$$\wedge \exists x (\text{INC}(x, y) \wedge$$

$$\wedge \exists^= e (\text{EDGE}(e) \wedge \text{INC}(x, e))$$

$$(\varphi(B)) (\cup_{\Box_{\text{HG}}}(G_2)) =$$

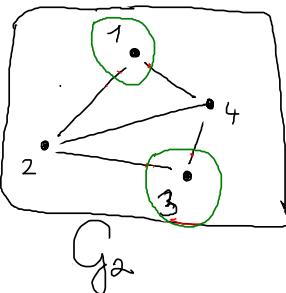
$$=? \quad \{$$



$$\varphi(x) := \forall x (\textcircled{X}(x) \rightarrow \exists^= y (\text{E}(x, y)))$$

MSO($\textcolor{red}{\mathcal{T}_G}$)

$$(\varphi(x)) (\cup_{\textcolor{red}{\mathcal{T}_G}} (g_1)) = \{\langle \{2\} \rangle\}$$



$$\varphi(Y) := \forall y (Y(y) \rightarrow \text{EDGE}(y) \wedge$$

MSO($\textcolor{red}{\mathcal{T}_{HG}}$)

$$\wedge \exists^{\textcircled{x}} (\text{VERT}(\textcircled{x}) \wedge \text{INC}(\textcircled{x}, y) \wedge \exists^= e (\text{INC}(\textcircled{x}, e))))$$

$$(\varphi(Y)) (\cup_{\textcolor{red}{\mathcal{T}_{HG}}} (g_2)) =$$

$$= \{\langle \{\{1,2\}, \{1,4\}, \{2,3\}, \{3,4\}\} \rangle\}$$

Monadic second-order logic (formula example)

$$\psi_3 := \exists C_1 \exists C_2 \exists C_3 \left(\left(\forall x \left(\bigvee_{i=1}^3 C_i(x) \right) \right) \wedge \forall x \left(\bigwedge_{1 \leq i < j \leq 3} \neg(C_i(x) \wedge C_j(x)) \right) \wedge \forall x \forall y \left(E(x, y) \rightarrow \bigwedge_{i=1}^3 \neg(C_i(x) \wedge C_i(y)) \right) \right)$$

$\mathcal{A}(\mathcal{G}) \vDash \psi_3 \iff \mathcal{G}$ has is 3-colorable.

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 & \quad \left. \wedge \forall x \forall y (E(x, y) \rightarrow \bigwedge_{i=1}^3 \neg(C_i(x) \wedge C_i(y))) \right) \\
 \equiv & \exists C_1 \exists C_2 \exists C_3 \left(\forall x (C_1(x) \vee C_2(x) \vee C_3(x)) \right. \\
 & \quad \wedge \forall x (\neg(C_1(x) \wedge C_2(x)) \wedge \neg(C_1(x) \wedge C_3(x)) \\
 & \quad \quad \quad \left. \wedge \neg(C_2(x) \wedge C_3(x))) \right) \\
 & \quad \wedge \forall x \forall y (E(x, y) \rightarrow \neg(C_1(x) \wedge C_1(y)) \\
 & \quad \quad \quad \wedge \neg(C_2(x) \wedge C_2(y)) \\
 & \quad \quad \quad \left. \wedge \neg(C_3(x) \wedge C_3(y))) \right)
 \end{aligned}$$

$\mathcal{A}(\mathcal{G}) \models \psi_3 \iff \mathcal{G}$ has is 3-colorable.

Expressing graph properties by MSO formulas (1)

Exercise

Express by a monadic second-order formula $\varphi(X)$ with one free unary predicate variable X over the vocabulary $\tau_G = \{E/2\}$ for graphs that for all graphs $\mathcal{G} = \langle V, E \rangle$:

$$\mathcal{A}_{\tau_G}(\mathcal{G}) \models \varphi(S) \iff S \subseteq V \text{ is an independent set in } \mathcal{G}$$

Recall:

$$\begin{aligned} S \subseteq V \text{ is independent set in } \mathcal{G} : &\iff \forall e = \{u, v\} \in E (\neg(u \in S \wedge v \in S)) \\ &\iff \forall u, v \in S (u \neq v \Rightarrow \{u, v\} \notin E) \end{aligned}$$

Exercise

Express the independent set property by a $\text{MSO}(\tau_{HG})$ formula ψ with vocabulary $\tau_{HG} = \{\text{VERT}/1, \text{EDGE}/1, \text{INC}/2\}$ for hypergraphs:

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$$\begin{aligned}\varphi(S) &:= \forall x \forall y (\neg x=y \wedge S(x) \wedge S(y) \rightarrow \neg E(x,y)) && \in \text{For}(\tau_G) \\ \varphi(S) &:=\end{aligned}$$

Exercise

Express the independent set property by a $\text{MSO}(\tau_{HG})$ formula ψ with vocabulary $\tau_{HG} = \{\text{VERT}/_1, \text{EDGE}/_1, \text{INC}/_2\}$ for hypergraphs:

$$\mathcal{A}_{\tau_{HG}}(\mathcal{G}) \models \psi(S) \iff S \subseteq V \text{ is an independent set in } \mathcal{G}$$

Expressing graph properties by MSO formulas (1)

Exercise

Express by a monadic second-order formula $\varphi(X)$ with one free unary predicate variable X over the vocabulary $\tau_G = \{E/2\}$ for graphs that for all graphs $\mathcal{G} = \langle V, E \rangle$:

$$\mathcal{A}_{\tau_G}(\mathcal{G}) \models \varphi(S) \iff S \subseteq V \text{ is an independent set in } \mathcal{G}$$

$$\varphi(S) := \forall x \forall y (\neg x=y \wedge S(x) \wedge S(y) \rightarrow \neg E(x, y)) \quad \in \text{For}(\tau_G)$$

$$\begin{aligned} \varphi(S) := & \forall x (S(x) \rightarrow \text{VERT}(x)) \wedge \\ & \wedge \forall x \forall y (\neg x=y \wedge S(x) \wedge S(y) \rightarrow \exists e (\text{EDGE}(e) \\ & \quad \wedge \text{INC}(x, e) \wedge \text{INC}(y, e))) \end{aligned}$$

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∩

$\text{For}(\tau_{HG})$

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Expressing graph properties by MSO formulas (2)

Exercise

Express by a monadic second-order formula $\text{feedback}(X)$ with one free unary predicate variable X over $\tau_{\text{HG}} = \{\text{VERT}/_1, \text{EDGE}/_1, \text{INC}/_2\}$, the vocabulary for graphs, that for all hypergraphs $\mathcal{G} = \langle V, E \rangle$:

$$\mathcal{A}_{\tau_{\text{HG}}}(\mathcal{G}) \models \text{feedback}(S) \iff S \subseteq V \text{ is a feedback vertex set}$$

(A set $S \subseteq V$ is a feedback vertex set in \mathcal{G} if S contains a vertex of every cycle of \mathcal{G} .)

Steps:

- ▶ Construct a formula $\text{cycle-family}(X)$ that expresses the property of a set being the union of cycles.
- ▶ Using $\text{cycle-family}(X)$, construct $\text{feedback}(X)$.

MSO for graphs and hypergraphs

- ▶ $\text{MSO}(\tau_G)$: MSO with vocabulary $\tau_G = \{E/_2\}$

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- ▶ MSO_1 :
 - ▶ vocabulary: $\{INC/2\}$
 - ▶ quantifications: $\exists_{(vert)} x / \forall_{(vert)} x , \quad \exists_{(edge)} x / \forall_{(edge)} x ,$
 $\exists_{(vert)} X / \forall_{(vert)} X$

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Correspondences

$\text{MSO}(\tau_G)$ corresponds to MSO_1

where 'corresponds to' means: 'is equally expressive as'.

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$\text{MSO}(\tau_{HG}) / \text{MSO}_2$ are more expressive than $\text{MSO}(\tau_G) / \text{MSO}_1$.

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Note:

We use MSO for either logic, restrict to $\text{MSO}(\tau_G) / \text{MSO}_1$ if needed.

MSO for graphs and hypergraphs

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Expressing graph properties by MSO formulas (5)

Exercise

Express by a $\text{MSO}(\tau_{\text{HG}})$ formula $\text{conn}(X)$ with one free unary predicate variable X over $\tau_{\text{HG}} = \{\text{VERT}/_1, \text{EDGE}/_1, \text{INC}/_2\}$, the vocabulary for graphs, that for all hypergraphs $\mathcal{G} = \langle V, E \rangle$:

$\mathcal{A}_{\tau_{\text{HG}}}(\mathcal{G}) \models \text{hamiltonian} \iff \text{there is a Hamiltonian path in } \mathcal{G}.$

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Note:

- ▶ This property is not expressible by a (single) $\text{MSO}(\tau_G)$ formula.

Expressing graph properties by MSO formulas (5)

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$$\mathcal{A}_{\tau_{\text{HG}}}(\mathcal{G}) \models \text{hamiltonian} \iff \text{there is a Hamiltonian path in } \mathcal{G}.$$

Note:

- ▶ This property is not expressible by a (single) $\text{MSO}(\tau_G)$ formula.
- ▶ Other properties that are **not** $\text{MSO}(\tau_G)$ expressible:
 - ▶ balanced bipartite graphs
 - ▶ existence of a perfect matching
 - ▶ simple graphs (graphs with no parallel edges)
 - ▶ existence of spanning trees with maximum degree 3

Expressing graph properties by MSO formulas (5)

Exercise

$\mathcal{A}_{\text{THG}}(\mathcal{G}) \models \text{hamiltonian} \iff \text{there is a Hamiltonian path in } \mathcal{G}.$

Expressing graph properties by MSO formulas (5)

Exercise

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Evaluation and model checking (MSO)

The *model checking problem* for MSO-formulas on labeled, ordered unranked trees:

MC($\text{MSO}, \text{TREE}_{\text{lo}}$)

Instance: A labeled, ordered, unranked Σ -tree \mathcal{T} ,
and a $\text{MSO}(\tau_{\Sigma}^u)$ -formula φ

Problem: Decide whether $\mathcal{T} \models \varphi$.

where for given alphabet Σ , $\tau_{\Sigma}^u := \{E/2, N/2\} \cup \{P_a/1 \mid a \in \Sigma\}$.

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Theorem

$\text{MC}(\text{MSO}, \text{TREE}_{\text{lo}}) \in \text{FPT}$.

More precisely, there is a computable function $f : \mathbb{N} \rightarrow \mathbb{N}$ such that
 $\text{MC}(\text{MSO}, \text{TREE}_{\text{lo}})$ can be decided in time $\leq O(f(|\varphi|) + \|\mathcal{T}\|)$.

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Note that here: $f(k) \geq 2^{\cdot^{\cdot^{\cdot^2}}} \}^k$ (a non-elementary function).

Courcelle's Theorem

Courcelle's Theorem for graphs

$p^* \text{-tw-MC(MSO)}$

Instance: A graph \mathcal{G} and an $\text{MSO}(\tau_{\text{HG}})$ -sentence φ .

Parameter: $\text{tw}(\mathcal{G}) + |\varphi|$ (where $\text{tw}(\mathcal{G})$ the tree-width of \mathcal{G})

Problem: Decide whether $\mathcal{A}(\mathcal{G}) \models \varphi$.

Courcelle's Theorem for graphs

p^* - tw -MC(MSO)

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Excursion:

FPT for sum of parameters \triangleq FPT for multiple parameters

$$f(k_1+k_2) \cdot p(|x|) \leq g(k_1, k_2) \cdot p(|x|)$$

for $g(y, z) := f(y+z)$

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Excursion (discussed yesterday):

FPT for sum of parameters \triangleq FPT for multiple parameters

$$f(k_1+k_2) \cdot p(|x|) \leq g(k_1, k_2) \cdot p(|x|)$$

if g is monotone w.r.t. y for $g(y, z) := f(y+z)$

$$g(k_1, k_2) \cdot p(|x|) \leq g(k_1+k_2, k_1+k_2) \cdot p(|x|) =: f(k_1+k_2) \text{ where } f(y) := g(y, y)$$

Courcelle's Theorem for graphs

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Problem: Decide whether $\mathcal{A}(\mathcal{G}) \models \varphi$.

Theorem (special case of Courcelle's Theorem)

p^* -**tw-MC(MSO)** $\in \text{FPT}$. More precisely, the problem is decidable, for some computable and non-decreasing function $f : \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$ by an algorithm in time:

$$f(k_1, k_2) \cdot n, \quad \text{where } k_1 := \text{tw}(\mathcal{A}), \quad k_2 := |\varphi|, \quad n := |V(\mathcal{G})|$$

Monadic second-order logic (formula example)

$$\begin{aligned}
 \psi_3 &:= \exists C_1 \exists C_2 \exists C_3 \left(\left(\forall x \left(\bigvee_{i=1}^3 C_i(x) \right) \right) \wedge \forall x \left(\bigwedge_{1 \leq i < j \leq 3} \neg(C_i(x) \wedge C_j(x)) \right) \right. \\
 &\quad \left. \wedge \forall x \forall y (E(x, y) \rightarrow \bigwedge_{i=1}^3 \neg(C_i(x) \wedge C_i(y))) \right) \\
 \\
 &\equiv \exists C_1 \exists C_2 \exists C_3 \left(\forall x (C_1(x) \vee C_2(x) \vee C_3(x)) \right. \\
 &\quad \left. \wedge \forall x (\neg(C_1(x) \wedge C_2(x)) \wedge \neg(C_1(x) \wedge C_3(x)) \right. \\
 &\quad \left. \quad \left. \wedge \neg(C_2(x) \wedge C_3(x))) \right) \right. \\
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 &\quad \left. \quad \left. \wedge \neg(C_3(x) \wedge C_3(y))) \right)
 \end{aligned}$$

$\mathcal{A}(\mathcal{G}) \models \psi_3 \iff \mathcal{G}$ has is 3-colorable.

Courcelle's Theorem: applications (1)

p^* -tw-COLORABILITY $\in \text{FPT}$

Instance: A graph G and $\ell \in \mathbb{N}$.

Parameter: $tw(C)$

Problem: Decide whether G is ℓ -colorable.

Example

- p^* -tw-3-COLORABILITY $\in \text{FPT}$. ✓

We found $\varphi_{3\text{-col}}$ such that $\mathcal{A}_G \models \varphi_{3\text{-col}} \Leftrightarrow G$ is 3-colorable
 $\text{CT} \Rightarrow$ Checking $\varphi_{3\text{-col}}$ on \mathcal{A}_G takes time $\leq f(tw(G), |\varphi_{3\text{-col}}|) \cdot n$

const

Courcelle's Theorem: applications (1)

p^* -tw-COLORABILITY $\in \text{FPT}$

Instance: A graph G and $\ell \in \mathbb{N}$.

Parameter: $tw(C)$ *note ℓ is NOT a parameter*

Problem: Decide whether G ℓ -colorable.

Example

- ▶ p^* -tw-3-COLORABILITY $\in \text{FPT}$.
- ▶ p^* -tw-COLORABILITY $\in \text{FPT}$.

We found $\varphi_{\text{col}(\ell)}$ such that $\mathcal{A}_G \models \varphi_{\text{col}(\ell)} \Leftrightarrow G$ is ℓ -colorable
 $|\varphi_{\text{col}(\ell)}| \in O(\ell^2)$

Courcelle's Theorem: applications (1)

p^* -tw-COLORABILITY $\in \text{FPT}$

Instance: A graph G and $\ell \in \mathbb{N}$.

Parameter: $\text{tw}(G)$ note ℓ is NOT a parameter

Problem: Decide whether G^ℓ is ℓ -colorable.

Example

- ▶ p^* -tw-3-COLORABILITY $\in \text{FPT}$.
- ▶ p^* -tw-COLORABILITY $\in \text{FPT}$.

We found $\varphi_{\text{Col}(\ell)}$ such that $A_G \models \varphi_{\text{Col}(\ell)} \Leftrightarrow G$ is ℓ -colorable

$$|\varphi_{\text{Col}(\ell)}| \in O(\ell^2)$$

CT \Rightarrow model checking $\varphi_{\text{Col}(\ell)}$ takes time $f(\text{tw}(G), c \cdot \ell^2) \cdot P(n)$

Cannot be used as parameter

Courcelle's Theorem: applications (1)

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Example

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- ▶ p^* -tw-COLORABILITY $\in \text{FPT}$.

We found $\varphi_{\text{col}(\ell)}$ such that $\forall G \models \varphi_{\text{col}(\ell)} \Leftrightarrow G$ is ℓ -colorable
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Cannot be used as param

However Lemma. If $\text{tw}(G) \leq k \Rightarrow G$ is $(k+1)$ -colorable.

\Rightarrow We only have check colorability for $\ell \leq \text{tw}(G) + 1$!

Courcelle's Theorem: applications (1)

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Instance: A graph G and $\ell \in \mathbb{N}$.

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Example

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Vertex Cover (first attempt)

Let $\mathcal{G} = \langle V, E \rangle$ a graph. For all $S \subseteq V$:

S is a **vertex cover** of \mathcal{G} : $\iff \forall e = \{u, v\} \in E (u \in S \vee v \in S)$

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$p^*\text{-}\mathsf{tw}\text{-VERTEX-COVER}$

Instance: A graph $\mathcal{G} = \langle V, E \rangle$, and $\ell \in \mathbb{N}$.

Instance: $\mathsf{tw}(\mathcal{G})$.

Problem: Does \mathcal{G} have a vertex cover of size at most ℓ ?

$$\varphi_{vc} \quad \exists VC \left(\forall x \forall y (E(x, y) \rightarrow VC(x) \vee VC(y)) \right) \in \text{For}(\mathfrak{I}_G)$$

$A_G \models \varphi_{vc} \iff G$ has a vertex cover

but is unhelpful, since every graph has
(trivial) vertex cover \checkmark

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p^* -tw-VERTEX-COVER

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Instance: $tw(\mathcal{G})$.

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$$\varphi_{vc}(\ell) := \exists x_1 \dots \exists x_\ell (\forall y \forall z (E(y, z) \rightarrow \bigvee_{1 \leq i \leq \ell} y = x_i \vee z = x_i))$$

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Instance: $tw(\mathcal{G})$.

Problem: Does \mathcal{G} have a vertex cover of size at most ℓ ?

$$\varphi_{vc}(\ell) ::= \exists x_1 \dots \exists x_e (\forall y \forall z (E(y, z) \rightarrow \bigvee_{1 \leq i \leq e} y = x_i \vee z = x_i))$$

$$|\varphi_{vc}(\ell)| \in O(e)$$

$$A_{\mathcal{G}}(\mathcal{G}) \models \varphi_{vc}(\ell) \Leftrightarrow \mathcal{G} \text{ has a vertex cover of } \leq \ell \text{ vertices}$$

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Let $\mathcal{G} = \langle V, E \rangle$ a graph. For all $S \subseteq V$:

S is a **vertex cover** of \mathcal{G} : $\iff \forall e = \{u, v\} \in E (u \in S \vee v \in S)$

p^* -tw-VERTEX-COVER

Instance: A graph $\mathcal{G} = \langle V, E \rangle$, and $\ell \in \mathbb{N}$.

Instance: $\text{tw}(\mathcal{G})$.

Problem: Does \mathcal{G} have a vertex cover of size at most ℓ ?

$$\varphi_{vc}^{(\ell)} ::= \exists_{x_1} \dots \exists_{x_e} (\forall y \forall z (\exists (y, z) \rightarrow \bigvee_{1 \leq i \leq e} y = x_i \vee z = x_i))$$

$|\varphi_{vc}^{(\ell)}| \in O(e)$

$A_G(\mathcal{G}) \models \varphi_{vc}^{(\ell)} \Leftrightarrow \mathcal{G}$ has a vertex cover of $\leq \ell$ vertices

Courcelle's Theorem $\Rightarrow \varphi_{vc}^{(\ell)}$ checkable on $A_G(\mathcal{G})$
 in time $f(\text{tw}(\mathcal{G}), |\varphi_{vc}^{(\ell)}| \cdot |\mathcal{G}|)$

hot FPT
 w.r.t
 parameter
 $\text{tw}(\mathcal{G})$

Vertex Cover (first attempt)

Let $\mathcal{G} = \langle V, E \rangle$ a graph. For all $S \subseteq V$:

S is a **vertex cover** of \mathcal{G} : $\iff \forall e = \{u, v\} \in E (u \in S \vee v \in S)$

p^* -tw-VERTEX-COVER

Instance: A graph $\mathcal{G} = \langle V, E \rangle$, and $\ell \in \mathbb{N}$.

Instance: $tw(\mathcal{G})$.

Problem: Does \mathcal{G} have a vertex cover of size at most ℓ ?

Courcelle's Theorem: applications (2)

p^* -tw-HAMILTONICITY

Instance: A graph \mathcal{G}

Parameter: $tw(\mathcal{C})$

Problem: Decide whether \mathcal{G} is a hamiltonian (that is, contains a cyclic path that visits every vertex precisely once).

Example

p^* -tw-HAMILTONICITY \in FPT.

Tree decompositions, and tree-width for graphs

Definition (recalling tree-width for graphs)

A *tree decomposition* of a graph $\mathcal{G} = \langle V, E \rangle$

is a pair $\langle \mathcal{T}, \{B_t\}_{t \in T} \rangle$ where $\mathcal{T} = \langle T, F \rangle$ a (undirected, unrooted) tree, and $B_t \subseteq V$ for all $t \in T$ such that:

- (T1) $A = \bigcup_{t \in T} B_t$ (every vertex of \mathcal{G} is in some bag).
- (T2) $(\forall \{u, v\} \in E) (\exists t \in T) [\{u, v\} \subseteq B_t]$
(the vertices of every edge of \mathcal{G} are realized in some bag).
- (T3) $(\forall v \in V) [\text{subgraph of } \mathcal{T} \text{ defd. by } \{t \in T \mid v \in B_t\} \text{ is connected}]$
(the tree vertices (in \mathcal{T}) whose bags contain some vertex of \mathcal{G} induce a subgraph of \mathcal{T} that is connected).

The *width* of a tree dec. $\langle \mathcal{T}, \{B_t\}_{t \in T} \rangle$ is $\max \{|B_t| - 1 \mid t \in T\}$.

The *tree-width tw*(\mathcal{A}) of a τ -structure \mathcal{A} is defined by:

$\text{tw}(\mathcal{A}) :=$ minimal width of a tree decomposition of \mathcal{A} .

Tree decompositions, and tree-width for structures

Definition (extension of tree-width to structures)

A *tree decomposition* of a τ -structure $\mathcal{A} = \langle A; \{R^{\mathcal{A}}\}_{R \in \tau} \rangle$ is a pair $\langle \mathcal{T}, \{B_t\}_{t \in T} \rangle$ where $\mathcal{T} = \langle T, F \rangle$ a (undirected, unrooted) tree, and $B_t \subseteq V$ for all $t \in T$ such that:

- (T1) $A = \bigcup_{t \in T} B_t$ (every element of the universe of \mathcal{A} is in some bag).
- (T2) $(\forall R \in \tau) (\forall \langle a_1, \dots, a_r \rangle \in R^{\mathcal{A}}) (\exists t \in T) [\{a_1, \dots, a_r\} \subseteq B_t]$
(the vertices of every 'hyperedge' in $R^{\mathcal{A}}$ are realized in some bag).
- (T3) $(\forall v \in V) [\text{subgraph of } \mathcal{T} \text{ defd. by } \{t \in T \mid v \in B_t\} \text{ is connected}]$
(the tree vertices (in \mathcal{T}) whose bags contain some vertex of G induce a subgraph of \mathcal{T} that is connected).

The *width* of a tree dec. $\langle \mathcal{T}, \{B_t\}_{t \in T} \rangle$ is $\max \{|B_t| - 1 \mid t \in T\}$.

The *tree-width tw*(\mathcal{A}) of a τ -structure \mathcal{A} is defined by:

$\text{tw}(\mathcal{A}) :=$ minimal width of a tree decomposition of \mathcal{A} .

Courcelle's Theorem

p^* -tw-MC(MSO)

Instance: A structure \mathcal{A} and an MSO-sentence φ .

Parameter: $tw(\mathcal{A}) + |\varphi|$.

Problem: Decide whether $\mathcal{A} \models \varphi$.

Courcelle's Theorem

p^* -tw-MC(**MSO**)

Instance: A structure \mathcal{A} and an **MSO**-sentence φ .

Parameter: $tw(\mathcal{A}) + |\varphi|$.

Problem: Decide whether $\mathcal{A} \models \varphi$.

Theorem ([Courcelle, 1990])

p^* -tw-MC(**MSO**) \in **FPT**.

Courcelle's Theorem

p^* -tw-MC(**MSO**)

Instance: A structure \mathcal{A} and an **MSO**-sentence φ .

Parameter: $tw(\mathcal{A}) + |\varphi|$.

Problem: Decide whether $\mathcal{A} \models \varphi$.

Theorem ([Courcelle, 1990])

p^* -tw-MC(**MSO**) \in FPT. More precisely, the problem is decidable by an algorithm in time:

$f(k_1, k_2) \cdot |A| + O(\|\mathcal{A}\|)$, where $k_1 := tw(\mathcal{A})$, and $k_2 := |\varphi|$,
 f computable and non-decreasing

Courcelle's Theorem

p^* -tw-MC(**MSO**)

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 f computable and non-decreasing

$$f(k_1, k_2) \cdot |A| + O(\|\mathcal{A}\|) \leq f(k_1, k_2) \cdot |A| + c \cdot \|\mathcal{A}\| \quad \text{with some } c > 0$$

Courcelle's Theorem

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Instance: A structure \mathcal{A} and an **MSO**-sentence φ .

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 f computable and non-decreasing

$$\begin{aligned} f(k_1, k_2) \cdot |A| + O(\|\mathcal{A}\|) &\leq f(k_1, k_2) \cdot |A| + c \cdot \|\mathcal{A}\| \quad \text{with some } c > 0 \\ &\leq (f(k_1, k_2) + c) \cdot \|\mathcal{A}\| \end{aligned}$$

Courcelle's Theorem

p^* -tw-MC(**MSO**)

Instance: A structure \mathcal{A} and an **MSO**-sentence φ .

Parameter: $tw(\mathcal{A}) + |\varphi|$.

Problem: Decide whether $\mathcal{A} \models \varphi$.

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p^* -tw-MC(**MSO**) \in FPT. More precisely, the problem is decidable by an algorithm in time:

$f(k_1, k_2) \cdot |A| + O(\|\mathcal{A}\|)$, where $k_1 := tw(\mathcal{A})$, and $k_2 := |\varphi|$,
 f computable and non-decreasing

$$\begin{aligned} f(k_1, k_2) \cdot |A| + O(\|\mathcal{A}\|) &\leq f(k_1, k_2) \cdot |A| + c \cdot \|\mathcal{A}\| \quad \text{with some } c > 0 \\ &\leq (f(k_1, k_2) + c) \cdot \|\mathcal{A}\| \\ &\leq g(k) \cdot (\|\mathcal{A}\| + |\varphi|) \quad \text{for } g(x) := f(x, x) + c \\ &\quad k := k_1 + k_2 \end{aligned}$$

Courcelle's Theorem

p^* -tw-MC(**MSO**)

Instance: A structure \mathcal{A} and an **MSO**-sentence φ .

Parameter: $tw(\mathcal{A}) + |\varphi|$.

Problem: Decide whether $\mathcal{A} \models \varphi$.

Theorem ([Courcelle, 1990])

p^* -tw-MC(**MSO**) \in FPT. More precisely, the problem is decidable by an algorithm in time:

$f(k_1, k_2) \cdot |A| + O(\|\mathcal{A}\|)$, where $k_1 := tw(\mathcal{A})$, and $k_2 := |\varphi|$,
 f computable and non-decreasing

$$\begin{aligned} f(k_1, k_2) \cdot |A| + O(\|\mathcal{A}\|) &\leq f(k_1, k_2) \cdot |A| + c \cdot \|\mathcal{A}\| \quad \text{with some } c > 0 \\ &\leq (f(k_1, k_2) + c) \cdot \|\mathcal{A}\| \\ &\leq g(k) \cdot (\|\mathcal{A}\| + |\varphi|) \quad \text{for } g(x) := f(x, x) + c \\ &\leq g(k) \cdot n \quad \text{where } n := \|\mathcal{A}\| + |\varphi| \end{aligned}$$

Courcelle's Theorem: Refinement 1

$p^*\text{-tw-MC}^{\leq}(\text{MSO})$

Instance: A structure \mathcal{A} , an $\varphi(X)$, and $m \in \mathbb{N}$.

Parameter: $\text{tw}(\mathcal{A}) + |\varphi(X)|$.

Problem: Decide whether $\mathcal{A} \models \exists X (\text{card}^{\leq m}(X) \wedge \varphi(X))$.

Refinement 1 of Courcelle's Theorem

$p^*\text{-tw-MC}^{\leq}(\text{MSO}) \in \text{FPT}$. More precisely, the problem is decidable by an algorithm in time:

$$f(k_1, k_2) \cdot |\mathcal{A}| + O(\|\mathcal{A}\|), \quad \text{where } k_1 := \text{tw}(\mathcal{A}), \text{ and } k_2 := |\varphi|,$$

f computable and non-decreasing

Vertex Cover

Let $\mathcal{G} = \langle V, E \rangle$ a graph. For all $S \subseteq V$:

S is a **vertex cover** of \mathcal{G} : $\iff \forall e = \{u, v\} \in E (u \in S \vee v \in S)$

p^* -tw-VERTEX-COVER

Instance: A graph $\mathcal{G} = \langle V, E \rangle$, and $\ell \in \mathbb{N}$.

Instance: $tw(\mathcal{G})$.

Problem: Does \mathcal{G} have a vertex cover of size at most ℓ ?

Example

p^* -tw-VERTEX-COVER \in FPT.

$$\varphi_{vc} (vc) := \forall x \forall y (\exists (x, y) \rightarrow V(x) \vee V(y))$$

MSO(τ_G)

MSO₁-formula

Vertex Cover

Let $\mathcal{G} = \langle V, E \rangle$ a graph. For all $S \subseteq V$:

S is a **vertex cover** of \mathcal{G} : $\iff \forall e = \{u, v\} \in E (u \in S \vee v \in S)$

p^* -tw-VERTEX-COVER

Instance: A graph $\mathcal{G} = \langle V, E \rangle$, and $\ell \in \mathbb{N}$.

Instance: $tw(\mathcal{G})$.

Problem: Does \mathcal{G} have a vertex cover of size at most ℓ ?

Example

p^* -tw-VERTEX-COVER \in FPT.

$$\varphi_{vc} (VC) := \forall x \forall y (\exists (x, y) \rightarrow VC(x) \vee VC(y))$$

$$A_G(\bar{G}) \models \varphi(S) \iff S \subseteq V \text{ is a vertex-cover}$$

$\leqslant \vee, \exists$

MSO(τ_G)

MSO,-formula

Vertex Cover

Let $\mathcal{G} = \langle V, E \rangle$ a graph. For all $S \subseteq V$:

S is a **vertex cover** of \mathcal{G} : $\iff \forall e = \{u, v\} \in E (u \in S \vee v \in S)$

p^* -tw-VERTEX-COVER

Instance: A graph $\mathcal{G} = \langle V, E \rangle$, and $\ell \in \mathbb{N}$.

Instance: $tw(\mathcal{G})$.

Problem: Does \mathcal{G} have a vertex cover of size at most ℓ ?

Example

p^* -tw-VERTEX-COVER \in FPT.

$$\varphi_{vc}(\text{VC}) := \forall x \forall y (\text{E}(x, y) \rightarrow \text{VC}(x) \vee \text{VC}(y))$$

$$\boxed{\mathcal{A}_G(\mathcal{G}) \models \varphi(S) \iff S \subseteq V \text{ is a vertex-cover}}$$

\Downarrow

$\langle v, E \rangle$

Checking whether $\varphi_{vc}(S)$ holds in $\mathcal{A}_G(\mathcal{G})$ for a set S with $|S| \leq \ell$

now possible in $\leq f(tw(\mathcal{G}), |\varphi_{vc}|) \cdot |G|$ steps

$\underbrace{f}_{\text{constant}}$
does not depend on ℓ

MSO(τ_G)

MSO₁-formula

Courcelle's Theorem: Refinement 2

Counting version

$p^*\text{-tw-MC} = (\text{MSO})$

Instance: A structure \mathcal{A} , an **MSO**-sentence $\varphi(X)$, and $m \in \mathbb{N}$.

Parameter: $\text{tw}(\mathcal{A}) + |\varphi(X)|$.

Problem: Decide whether $\mathcal{A} \models \exists X (\text{card}^{=m}(X) \wedge \varphi(X))$.

Refinement 2 of Courcelle's Theorem

$p^*\text{-tw-MC} = (\text{MSO}) \in \text{FPT}$. More precisely, the problem is decidable by an algorithm in time:

$$f(k_1, k_2) \cdot |A|^2 + O(\|\mathcal{A}\|), \quad \text{where } k_1 := \text{tw}(\mathcal{A}), \text{ and } k_2 := |\varphi|,$$

f computable and non-decreasing

Courcelle's Theorem Ref. 3: Optimization version

p^* -tw-opt-MC(MSO)

Instance: A graph $\mathcal{G} = \langle V, E \rangle$, an MSO-sentence $\varphi(X_1, \dots, X_p)$.

Parameter: $tw(\mathcal{G}) + |\varphi(X_1, \dots, X_p)|$.

Compute:
$$\begin{array}{l} \max \\ \min \end{array} \left\{ \alpha(|X_1|, \dots, |X_p|) \mid \begin{array}{l} X_1, \dots, X_p \subseteq V \cup E \\ \mathcal{A}(\mathcal{G}) \models \varphi(X_1, \dots, X_p) \end{array} \right\}.$$

where α is an affine function $\alpha(x_1, \dots, x_p) = a_0 + \sum_{i=1}^p a_i \cdot x_i$.

Optimization version of Courcelle's Theorem

p^* -tw-opt-MC(MSO) \in FPT, and it is decidable by an algorithm in time:

$f(tw(\mathcal{G}), |\varphi|) \cdot |V|$, where f computable and non-decreasing.

Maximum 2-edge colorable subgraphs

p^* -tw-max-2-edge-colorable-subgraph

Instance: A graph $\mathcal{G} = \langle V, E \rangle$.

Parameter: $tw(\mathcal{G})$.

Compute: Maximum number of edges
in a 2-edge colored subgraph of \mathcal{G} .

Example [AA & Vahan Mkrtchyan]

p^* -tw-max-2-edge-colorable-subgraph \in FPT.

Maximum 2-edge colorable subgraphs

p^* -tw-max-2-edge-colorable-subgraph

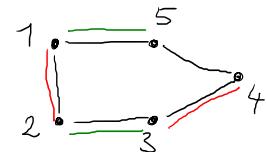
Instance: A graph $G = (V, E)$.

Parameter: $tw(G)$.

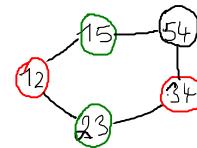
Compute: Maximum number of edges in a 2-edge colored subgraph of G .

Example [AA & Vahan Mkrtchyan]

p^* -tw-max-2-edge-colorable-subgraph $\in \text{FPT}$.



graph G



"line graph"
 $L(G)$

Maximum 2-edge colorable subgraphs

p^* -tw-max-2-edge-colorable-subgraph

Instance: A graph $G = (V, E)$.

Parameter: $tw(G)$.

Compute: Maximum number of edges
in a 2-edge colored subgraph of G .

$S \subseteq E$ is 2-colorable

Example [AA & Vahan Mkrtchyan]

p^* -tw-max-2-edge-colorable-subgraph $\in \text{FPT}$.

We find an MSO_2 -formula $\varphi_{\text{2ec}}^{(S)}$ such that $(A_{\text{JHG}} \models \varphi(S))$

$$\begin{aligned} \varphi_{\text{2ec}}(S) := & \forall e (S(e) \rightarrow \text{EDGE}(e)) \\ & \wedge \exists C_1 \exists C_2 [(\text{edge-set-partition } (C_1, C_2, S) \\ & \wedge \forall e_1 \forall e_2 (\text{EDGE}(e_1) \wedge \text{EDGE}(e_2) \wedge \neg e_1 = e_2 \\ & \wedge \exists v (\text{INC}(v, e_1), \text{INC}(v, e_2)) \\ & \longrightarrow \neg(C_1(e_1) \wedge C_1(e_2)) \\ & \wedge \neg(C_2(e_1) \wedge C_2(e_2))) \square] \end{aligned}$$

Maximum 2-edge colorable subgraphs

p^* -tw-max-2-edge-colorable-subgraph

Instance: A graph $\mathcal{G} = \langle V, E \rangle$.

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Compute: Maximum number of edges
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Example [AA & Vahan Mkrtchyan]

p^* -tw-max-2-edge-colorable-subgraph \in FPT.

We find an MSO_2 -formula $\varphi_{2ec}(S)$ such that $(\mathcal{A}_{JHG}, \models \varphi(S))$

$$\varphi_{2ec}(X) := \forall e (X(e) \rightarrow \text{EDGE}(e))$$

$$\begin{aligned} \text{edge-set-partition } (C_1, C_2, X) := & \exists C_1 \exists C_2 [(\text{edge-set-partition } (C_1, C_2, X)) \\ & \wedge \forall e_1 \forall e_2 [(\text{EDGE}(e_1) \wedge \text{EDGE}(e_2) \wedge \neg e_1 = e_2) \\ & \wedge \exists v [(\text{INC}(v, e_1), \text{INC}(v, e_2)) \\ & \quad \rightarrow ((C_1(e_1) \wedge C_1(e_2)) \\ & \quad \wedge \neg (C_2(e_1) \wedge C_2(e_2)))]] \\ & \wedge \forall x [X(x) \rightarrow (\text{EDGE}(x) \leftrightarrow (C_1(x) \leftrightarrow C_2(x)))] \\ & \wedge \forall x [(\text{EDGE}(x) \rightarrow (X(x) \leftrightarrow C_1(x) \vee C_2(x))) \\ & \quad \wedge \neg (C_1(x) \wedge C_2(x))]] \end{aligned}$$

Maximum 2-edge colorable subgraphs

p^* -tw-max-2-edge-colorable-subgraph

Instance: A graph $\mathcal{G} = \langle V, E \rangle$.

Parameter: $tw(\mathcal{G})$.

Compute: Maximum number of edges
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$S \subseteq E$ is 2-colorable

Example [AA & Vahan Mkrtchyan]

p^* -tw-max-2-edge-colorable-subgraph \in FPT.

We find an MSO_2 -formula $\varphi_{2ec}(S)$ such that $(\mathcal{A}_{JHG}, \models \varphi(S))$

$$\varphi_{2ec}(X) := \forall e (X(e) \rightarrow \text{EDGE}(e))$$

$$\begin{aligned} \text{edge-set-partition } (C_1, C_2, X) := & \exists C_1 \exists C_2 [(\text{edge-set-partition } (C_1, C_2, X)) \\ & \wedge \forall e_1 \forall e_2 [(\text{EDGE}(e_1) \wedge \text{EDGE}(e_2) \wedge \neg e_1 = e_2) \\ & \wedge \exists v [(\text{INC}(v, e_1), \text{INC}(v, e_2)) \\ & \quad \rightarrow ((C_1(e_1) \wedge C_1(e_2)) \\ & \quad \wedge \neg (C_2(e_1) \wedge C_2(e_2)))]] \\ & \wedge \forall x [X(x) \rightarrow (\text{X}(x) \leftrightarrow C_1(x) \vee C_2(x)) \\ & \quad \wedge \neg (C_1(x) \wedge C_2(x))]] \end{aligned}$$

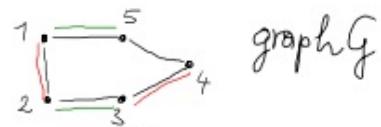
Maximum 2-edge colorable subgraphs

p^* -tw-max-2-edge-colorable-subgraph

Instance: A graph $G = (V, E)$.

Parameter: $tw(G)$.

Compute: Maximum number of edges in a 2-edge colored subgraph of G .



$S \subseteq E$ is 2-colorable



Example [AA & Vahan Mkrtchyan]

p^* -tw-max-2-edge-colorable-subgraph $\in \text{FPT}$.

We find an MSO_2 -formula $\varphi_{\text{2ec}}(S)$ such that $(\forall J_{HG} \models \varphi(S))$

$$\varphi_{\text{2ec}}(X) := \forall e (X(e) \rightarrow \text{EDGE}(e))$$

$$\begin{aligned} \text{edge-set-partition } (C_1, C_2, X) := & \exists C_1 \exists C_2 [(\text{edge-set-partition } (C_1, C_2, X) \\ & \wedge \forall e_1 \forall e_2 (\text{EDGE}(e_1) \wedge \text{EDGE}(e_2) \wedge \neg e_1 = e_2 \\ & \wedge \exists v (\text{INC}(v, e_1), \text{INC}(v, e_2)) \\ & \rightarrow \neg(C_1(e_1) \wedge C_1(e_2)) \\ & \wedge \neg(C_2(e_1) \wedge C_2(e_2)))] \\ \forall x (X(x) \rightarrow \text{EDGE}(x)) \\ \wedge \forall x (\text{EDGE}(x) \rightarrow (X(x) \leftrightarrow C_1(x) \vee C_2(x))) \\ \wedge \neg(C_1(x) \wedge C_2(x)) \end{aligned}$$

Maximization version of CT $\Rightarrow p^*$ -tw-max-2ecs $\in \text{FPT}$

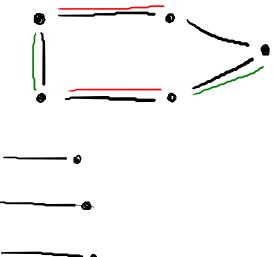
Maximum 2-edge colorable subgraphs

p^* -tw-max-2-edge-colorable-subgraph

Instance: A graph $G = (V, E)$.

Parameter: $tw(G)$.

Compute: Maximum number of edges
in a 2-edge colored subgraph of G .



Example [AA & Vahan Mkrtchyan]

p^* -tw-max-2-edge-colorable-subgraph $\in \text{FPT}$.

$$\Phi_{\text{2ec}}(X) := \forall y (X(y) \rightarrow \text{EDGES}(y))$$

$$\wedge \exists R \exists G ("R \cup G = S \wedge R \cap G = \emptyset" \wedge \text{Matching}(R) \wedge \text{Matching}(G))$$

Note: MSO_2 -formula

$$R \cup G = S : \underbrace{\forall x [\text{EDGE}(x) \rightarrow (R(x) \vee G(x) \leftrightarrow S(x)) \wedge \neg (R(x) \wedge G(x))]}_{\wedge R \cap G = \emptyset}$$

$$\text{Matching}(R) := (\forall e_1 \in E)(\forall e_2 \in E) \neg \exists v \in V (\text{INC}(v, e_1) \wedge \text{INC}(v, e_2))$$

a matching is
a set \subset vertices
all of which have
different end points

Courcelle's Theorem: applications (4)

p^* -tw-FEEDBACK-VERTEX-SET

Instance: A graph \mathcal{G} and $\ell \in \mathbb{N}$.

Parameter: $tw(\mathcal{C})$

Problem: Decide whether \mathcal{G} has a feedback vertex set of ℓ elements.

Example

p^* -tw-FEEDBACK-VERTEX-SET \in FPT.

Courcelle's Theorem: applications (5)

p^* -tw-CROSSING-NUMBER

Instance: A graph \mathcal{G} , and $k \in \mathbb{N}$

Parameter: $tw(\mathcal{G}) + k$

Problem: Decide whether the crossing number of \mathcal{G} is k .

Example

p^* -tw-CROSSING-NUMBER \in FPT.

The crossing number is the least number of edge crossings required to draw the graph in the plane such that at each point at most two edges cross.

Courcelle's Theorem: applications (5)

p^* -tw-CROSSING-NUMBER

Instance: A graph \mathcal{G} , and $k \in \mathbb{N}$

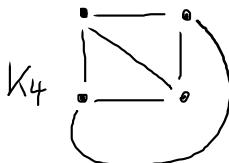
Parameter: $tw(\mathcal{G}) + k$

Problem: Decide whether the crossing number of \mathcal{G} is k .

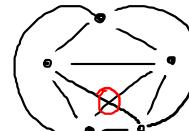
Example

p^* -tw-CROSSING-NUMBER \in FPT.

The *crossing number* is the least number of edge crossings required to draw the graph in the plane such that at each point at most two edges cross.



K_5



K_5

$$\text{Crn}(K_5) = 1$$

Courcelle's Theorem: applications (5)

Definition

Let $\mathcal{G}_1 = \langle V_1, E_1 \rangle$ and $\mathcal{G}_2 = \langle V_2, E_2 \rangle$ be graphs.

\mathcal{G}_1 is a *subdivision* of \mathcal{G}_2 if:

- ▶ \mathcal{G}_1 arises by splitting the edges of \mathcal{G}_2 into paths with intermediate vertices.

\mathcal{H} is a *topological subgraph* of \mathcal{G}
if \mathcal{G} has a subgraph that is a subdivision of \mathcal{H} .

Courcelle's Theorem: applications (5)

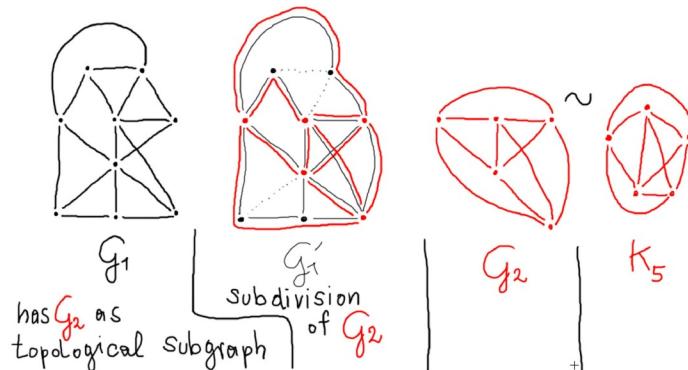
Definition

Let $\mathcal{G}_1 = \langle V_1, E_1 \rangle$ and $\mathcal{G}_2 = \langle V_2, E_2 \rangle$ be graphs.

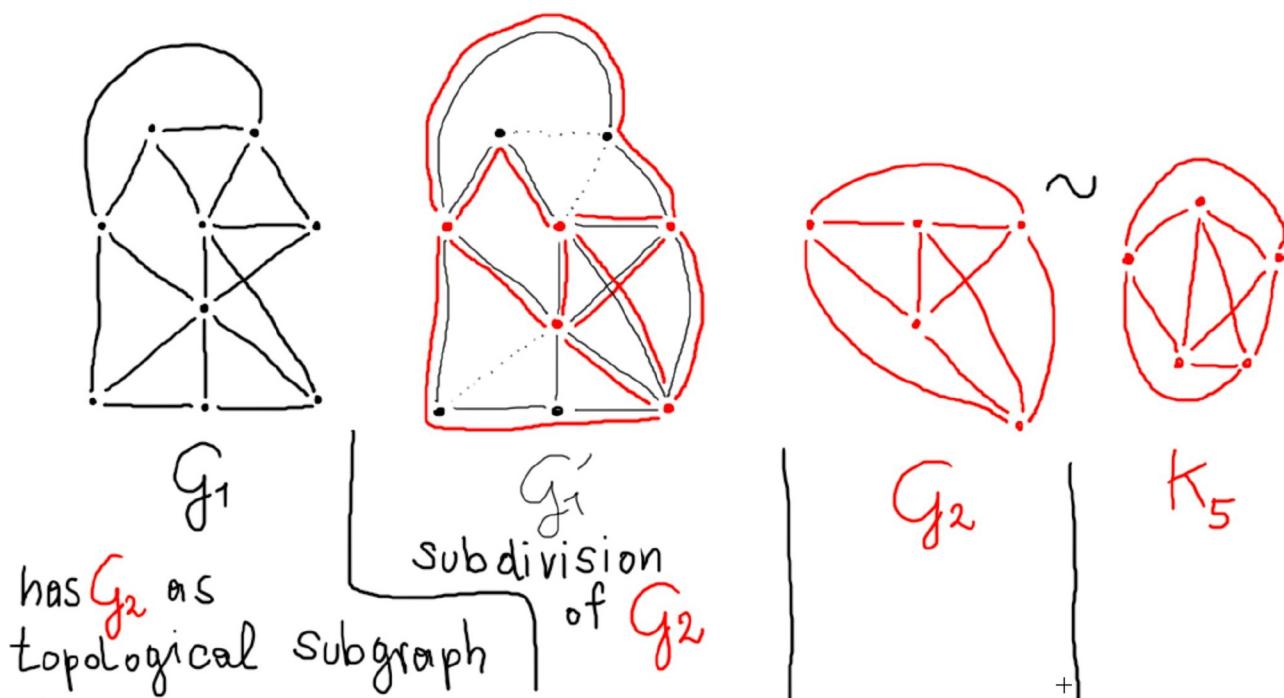
\mathcal{G}_1 is a *subdivision* of \mathcal{G}_2 if:

- \mathcal{G}_1 arises by splitting the edges of \mathcal{G}_2 into paths with intermediate vertices.

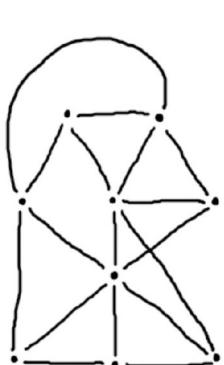
\mathcal{H} is a *topological subgraph* of \mathcal{G}
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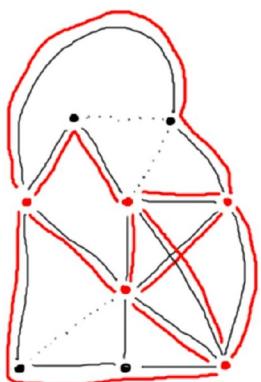
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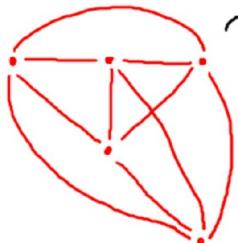
Courcelle's Theorem: applications (5)



G_1
has G_2 as
topological subgraph



G_1'
Subdivision of G_2



G_2



K_5



Theorem (Kuratowski)

A graph is planar if and only if it contains neither K_5 nor $K_{3,3}$ as topological subgraph.

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There is a $\text{MSO}(\tau_{\text{HG}})$ formula $\text{top-sub}_{\mathcal{H}}$ such that for every graph \mathcal{G} :

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Lemma

There is a $\text{MSO}(\tau_{\text{HG}})$ formula cross_k such that for every graph \mathcal{G} :

$$\mathcal{A}_{\tau_{\text{HG}}}(\mathcal{G}) \models \text{cross}_k \iff \text{the crossing number of } \mathcal{G} \text{ is at most } k.$$

Proof: By induction, where $\text{cross}_0 := \neg \text{top-sub}_{\mathcal{K}_5} \wedge \neg \text{top-sub}_{\mathcal{K}_{3,3}}$.

Courcelle's Theorem: applications (5)

p^* -tw-CROSSING-NUMBER

Instance: A graph \mathcal{G} , and $k \in \mathbb{N}$

Parameter: $tw(\mathcal{G}) + k$

Problem: Decide whether the crossing number of \mathcal{G} is k .

Example

p^* -tw-CROSSING-NUMBER \in FPT.

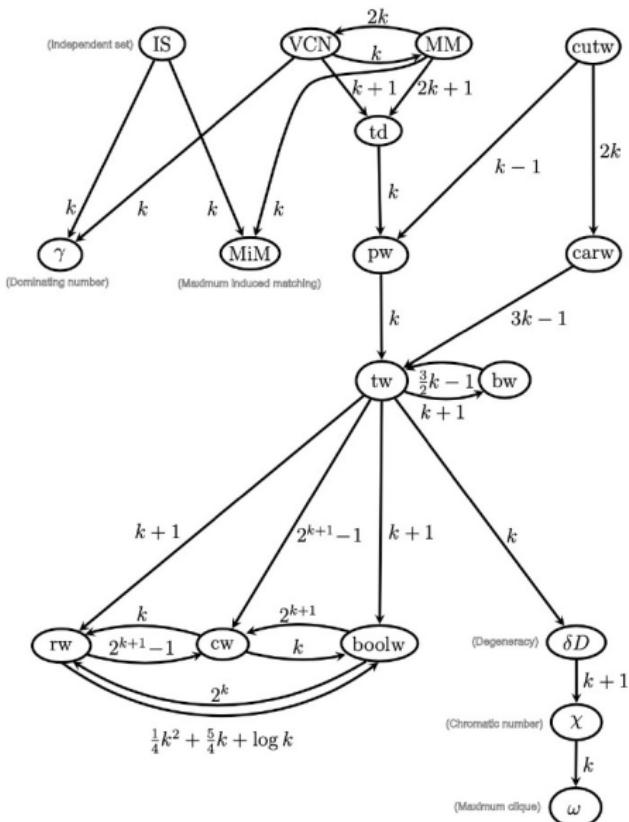
The crossing number is the least number of edge crossings required to draw the graph in the plane such that at each point at most two edges cross.

Computably boundedness between notions of width

(from Sasák, [Sásak, 2010])

$$g(wd_1) \geq wd_2 : \Leftrightarrow wd_1 \xrightarrow{g} wd_2$$

- ▶ FPT-results transfer upwards
(and conversely to \xrightarrow{g})
- ▶ (\notin FPT)-results transfer downwards
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Comparing parameterizations

Definition (computably bounded below)

Let $\kappa_1, \kappa_2 : \Sigma^* \rightarrow \mathbb{N}$ parameterizations.

- ▶ $\kappa_1 \geq \kappa_2 : \iff \exists g : \mathbb{N} \rightarrow \mathbb{N} \text{ computable } \forall x \in \Sigma^* [g(\kappa_1(x)) \geq \kappa_2(x)]$.
- ▶ $\kappa_1 \approx \kappa_2 : \iff \kappa_1 \geq \kappa_2 \wedge \kappa_2 \geq \kappa_1$.
- ▶ $\kappa_1 > \kappa_2 : \iff \kappa_1 \geq \kappa_2 \wedge \neg(\kappa_2 \geq \kappa_1)$.

Proposition

For all parameterized problems $\langle Q, \kappa_1 \rangle$ and $\langle Q, \kappa_2 \rangle$ with parameterizations $\kappa_1, \kappa_2 : \Sigma^* \rightarrow \mathbb{N}$ with $\kappa_1 \geq \kappa_2$:

$$\begin{aligned}\langle Q, \kappa_1 \rangle \in \text{FPT} &\iff \langle Q, \kappa_2 \rangle \in \text{FPT} \\ \langle Q, \kappa_1 \rangle \notin \text{FPT} &\implies \langle Q, \kappa_2 \rangle \notin \text{FPT}\end{aligned}$$

Courcelle's Theorem for clique-width

Recall that $\text{MSO}(\tau_G) \sim \text{MSO}_1$ (quantification over sets of vertices,
but not sets of edges).

p^* -**c/w-MC**($\text{MSO}(\tau_G)/\text{MSO}_1$)

Instance: A graph G and an $\text{MSO}(\tau_G)$ -sentence φ .

Parameter: $c/w(G) + |\varphi|$.

Problem: Decide whether $\mathcal{A}(G) \models \varphi$.

Theorem ([Courcelle et al., 2000])

p^* -**c/w-MC**($\text{MSO}(\tau_G)/\text{MSO}_1$) $\in \text{FPT}$.

Also, there is a **maximization version** of this theorem.

Courcelle's Theorem for clique-width (example)

Let $\mathcal{G} = \langle V, E \rangle$ a graph. For all $S \subseteq V$:

S is a **vertex cover** of \mathcal{G} : $\iff \forall e = \{u, v\} \in E (u \in S \vee v \in S)$

p^* -**clw-VERTEX-COVER**

Instance: A graph $\mathcal{G} = \langle V, E \rangle$, and $\ell \in \mathbb{N}$.

Instance: $\text{clw}(\mathcal{G})$.

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Application to maximum 2-edge colorable subgraphs?

p^* -**clw**-max-2-edge-colorable-subgraph

Instance: A graph $\mathcal{G} = \langle V, E \rangle$.

Parameter: $\text{clw}(\mathcal{G})$.

Compute: Maximum number of edges
in a 2-edge colored subgraph of \mathcal{G} .

Open problem [AA, Vahan Mkrtchyan]

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p^* -**clw**-max-2-edge-colorable-subgraph $\in \text{FPT}$?

We saw that there is a **MSO₂** formula $\varphi(X)$ such that:

$\mathcal{A}_{\text{THG}}(\mathcal{G}) \vDash \varphi(S) \iff S \subseteq E$ is an **2-colorable** set of edges in \mathcal{G}

But there seems not to be such an **MSO₁** formula.

Courcelle's Theorem for clique-width (non-example)

p^* -**c/w**-HAMILTONICITY

Instance: A graph \mathcal{G}

Parameter: **c/w**(\mathcal{C})

Problem: Decide whether \mathcal{G} is a hamiltonian (that is, contains a cyclic path that visits every vertex precisely once).

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Hence we cannot apply Courcelle's Theorem for clique-width.

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Theorems

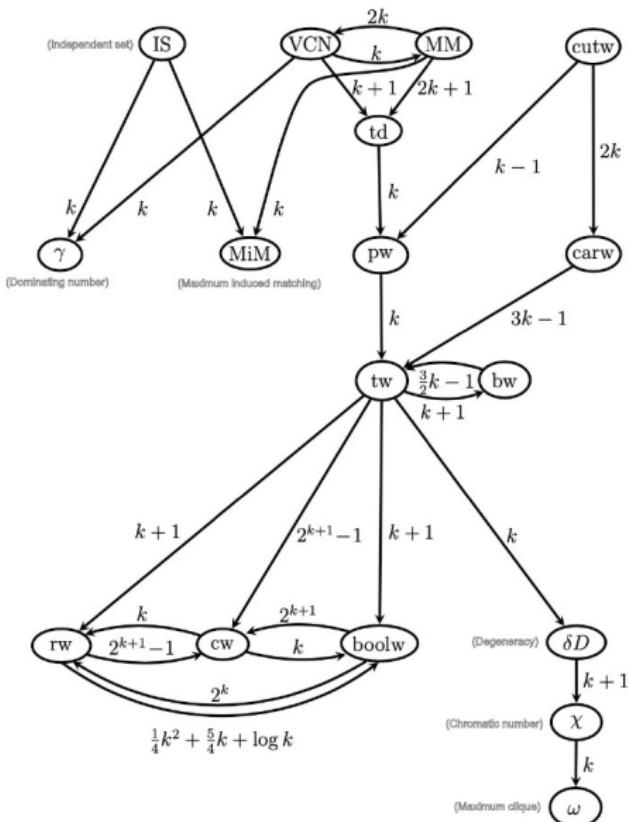
- (T1) p^* -**clw**-HAMILTONICITY \notin FPT,
since it is not decidable in time $\notin n^{o(clw(\mathcal{C}))}$ (Fomin et al, 2014).
- (T2) p^* -**clw**-HAMILTONICITY $\in O(n^{o(clw(\mathcal{C}))})$
(Bergougnoux, Kanté, Kwon, 2020).

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Graph Minors

Graph minors

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A graph \mathcal{G}_0 is a *minor* of a graph \mathcal{G} if \mathcal{G}_0 is obtained by:

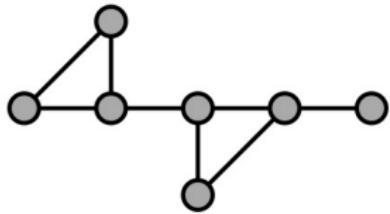
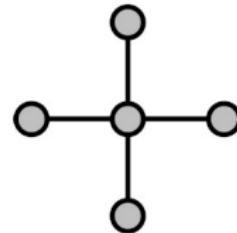
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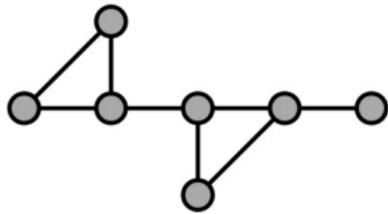
 \mathcal{G}  \mathcal{G}_0

Graph minors

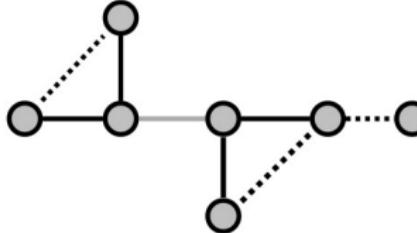
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\mathcal{G}



\mathcal{G}_0

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Theorem (Graph Minor Theorem (Robertson–Seymour, 1983–2004))

Every class of graphs that is minor closed can be characterized by finitely many excluded minors. That is, for every class \mathcal{G} of minor closed graphs there are graphs $\mathcal{H}_1, \dots, \mathcal{H}_m$ such that:

$$\mathcal{G} = \text{Excl}(\{\mathcal{H}_1, \dots, \mathcal{H}_m\}).$$

Deciding minor closed classes

p-MINOR

Instance: Graphs \mathcal{G} and \mathcal{H} .

Parameter: $\|\mathcal{G}\|$

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Let $\langle Q, \kappa \rangle$ be a parameterized problem on graphs such that for every $k \in \mathbb{N}$, either $\{\mathcal{G} \in Q \mid \kappa(\mathcal{G}) = k\}$ or $\{\mathcal{G} \notin Q \mid \kappa(\mathcal{G}) = k\}$ is minor closed.

Then every slice $\langle Q, \kappa \rangle_k$ is decidable in cubic time. In this case we can say that $\langle Q, \kappa \rangle$ is **nonuniformly fixed-parameter tractable**.

Non-uniformly fixed-parameter tractable

A parameterized problem $\langle Q, \Sigma, \kappa \rangle$ is *fixed-parameter tractable* if:

$\exists f : \mathbb{N} \rightarrow \mathbb{N}$ computable $\exists p \in \mathbb{N}[X]$ polynomial

$\exists \mathbb{A}$ algorithm, takes inputs in Σ^*

$\forall x \in \Sigma^* \left[\mathbb{A} \text{ decides whether } x \in Q \text{ holds in time } \leq f(\kappa(x)) \cdot p(|x|) \right]$

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Definition

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Using minor-closed classes for FPT results

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Applications:

- p -VERTEX-COVER \in nu-FPT (p -VERTEX-COVER is minor closed).

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p -DISJOINT-CYCLES

Instance: A graph \mathcal{G} , and $k \in \mathbb{N}$.

Parameter: k .

Problem: Decide whether \mathcal{G} has k disjoint cycles.

p -DISJOINT-CYCLES \in nu-FPT, since the class of graphs that do not have k disjoint cycles is minor closed.

First-Order Meta-Theorem (example)

Seese's theorem

A class \mathcal{G} of graphs has *bounded degree* if there is $d \in \mathbb{N}$ such that $\Delta(\mathcal{G}) \leq d$ for all $\mathcal{G} \in \mathcal{G}$ (where $\Delta(\mathcal{G}) = \max.$ degree of vertex in \mathcal{G}).

$p\text{-MC(FO, } \mathcal{G}\text{)}$

Instance: A graph $\mathcal{G} \in \mathcal{G}$, and a f-o formula φ over τ_{HG}

Parameter: $|\varphi|$.

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$p\text{-MC(FO, } \mathcal{G}\text{)} \in \text{FPT}$ for every class \mathcal{G} of bounded degree. This model checking problem can be solved in time $f(|\varphi|) \cdot |\mathcal{G}|$, (linear in $|\mathcal{G}|$).

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Theorem (for comparison, we saw it earlier)

EVAL(FO) and MC(FO) can be solved in time $O(|\varphi| \cdot |A|^w \cdot w)$, where w is the width of the input formula φ .

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On the class of graphs of bounded degree:

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- ▶ $p\text{-VERTEX-COVER} \in \text{FPT}$ ('vertex cover of size at most k ?)

First-order metatheorems: reference

A good reference for other meta-theorems for first-order logic is:

[Kreutzer, 2009]: Stephan Kreutzer: *Algorithmic Meta-Theorems*.

Summary

- ▶ Logic preliminaries
 - ▶ first-order logic
 - ▶ expressing graph problems by f-o formulas
 - ▶ monadic second-order logic (MSO)
 - ▶ expressing graph problems by MSO formulas
 - ▶ complexity of evaluation and model checking problems
- ▶ Courcelle's theorem
 - ▶ FPT-results by model-checking MSO-formulas
 - ▶ for graphs with bounded tree-width
 - ▶ for structures with bounded tree-width
 - ▶ for graphs of bounded clique-width
 - ▶ applications to concrete problems
- ▶ graph minors
- ▶ meta-theorems for first-order model-checking: an example

Friday

Monday, June 10 10.30 – 12.30	Tuesday, June 11	Wednesday, June 12 10.30 – 12.30	Thursday, June 13	Friday, June 14
Introduction & basic FPT results motivation for FPT kernelization, Crown Lemma, Sunflower Lemma		Algorithmic Meta-Theorems 1st-order logic, monadic 2nd-order logic, FPT-results by Courcelle's Theorems for tree and clique-width		
	GDA		GDA	GDA
<i>Algorithmic Techniques</i>		<i>Formal-Method & Algorithmic Techniques</i>		
	14.30 – 16.30			14.30 – 16.30
	Notions of bounded graph width path-, tree-, clique width, FPT-results by dynamic programming, transferring FPT results betw. widths			FPT-Intractability Classes & Hierarchies motivation for FP-intractability results, FPT-reductions, class XP (slicewise polynomial), W- and A-Hierarchies, placing problems on these hierarchies
		GDA	GDA	

Example suggestions

Examples

1. Find a first-order logic formula over τ_G that expresses that a graph has a cycle of length precisely k .
2. Find an MSO_1 or $\text{MSO}(\tau_G)$ formula that expresses that a graph has a dominating set of $\leq k$ elements.
3. Find an MSO_2 or $\text{MSO}(\tau_{HG})$ formula $\text{feedback}(S)$ that expresses that $S \subseteq V$ is a feedback vertex set.
4. (*) Find an MSO_1 or $\text{MSO}(\tau_G)$ formula that expresses that a graph is connected.
5. (*) Find an MSO_2 or $\text{MSO}(\tau_{HG})$ formula $\text{path}(x, y, Z)$ that expresses that Z is a set of edges that forms a path from x to y .

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