

On Equal μ -Terms

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Overview

1. Weak μ -equality
2. Avoiding α -conversion in μ -reductions
3. Decidability of $=_{\mu/\alpha}$ by a first-order proof
4. Decidability of $=_{\mu/\alpha}$ by a higher-order proof
5. Decidability of $=_{\mu/\alpha}$ using regular languages
6. Summary

Finite representation of infinite pattern



finite representation?

Finite representation of infinite pattern



finite representation?

$$\mu x. \cap x$$

Finite representation of infinite pattern



finite representation?

$$\mu X. \cap X$$

with μ -rule

$$\mu X. s \rightarrow s[\![X := \mu X. s]\!]$$

Finite representation of infinite pattern



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with μ -rule

$$\mu X. s \rightarrow s[\![X := \mu X. s]\!]$$

$$\mu X. \cap X \rightarrow \cap \mu X. \cap X \rightarrow \cap \cap \mu X. \cap X \rightarrow \cap \cap \cap \mu X. \cap X \rightarrow \dots$$

Finite representation of infinite pattern



finite representation?

$$\mu x. \cap x$$

with μ -rule

$$\mu x. s \rightarrow s[x := \mu x. s]$$

$$\mu x. \cap x \rightarrow \cap \mu x. \cap x \rightarrow \cap \cap \mu x. \cap x \rightarrow \cap \cap \cap \mu x. \cap x \rightarrow \dots$$

hieroglyph ⇒ phoenician ⇒ greek μ

Finite representations of infinite pattern



represented by

$$\mu x. \cap x$$

other representations of same pattern?

Finite representations of infinite pattern



represented by

$$\mu x. \cup x$$

other representations of same pattern?

$$\mu x'. \cup x'$$

Finite representations of infinite pattern



represented by

$$\mu x. \cap x$$

other representations of same pattern?

$$\mu x'. \cap x'$$

$$\cap \mu y. \cup y$$

Finite representations of infinite pattern



represented by

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other representations of same pattern?

$$\mu x'. \cap x'$$

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Finite representations of infinite pattern



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$$\mu w. \cap \cup w$$

Finite representations of infinite pattern



represented by

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other representations of same pattern?

$$\mu x'. \cap x'$$

$$\cap \mu y. \cup y$$

$$\cap \mu z. \cap z$$

$$\mu w. \cap \cup w$$

when are two representations the same (finitely)?

Weak μ -equality

- ▶ Weak μ -equality on μ -terms:

$$\mathrel{=}_{\mu} := (\leftarrow_{\mu} \cup \rightarrow_{\mu})^*$$

(convertibility with respect to \rightarrow_{μ}).

- ▶ Weak μ -equality on μ -pseudoterms:

$$\mathrel{=}_{\mu/\alpha} := (\leftarrow_{\mu/\alpha} \cup \rightarrow_{\mu/\alpha})^* \cup \mathrel{=}_{\alpha}$$

(convertibility with respect to $\rightarrow_{\mu/\alpha} := \mathrel{=}_{\alpha} \cdot \rightarrow_{\mu} \cdot \mathrel{=}_{\alpha}$).

Weak μ -equality

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- ▶ Weak μ -equality on μ -pseudoterms:

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(convertibility with respect to $\rightarrow_{\mu/\alpha} := \mathrel{=}_{\alpha} \cdot \rightarrow_{\mu} \cdot \mathrel{=}_{\alpha}$).

Proposition

For all $M, N \in \text{Ter}(\mu)$ and $s, t \in \text{PTer}(\mu)$:

$$s \mathrel{=}_{\mu/\alpha} t \iff [s] \mathrel{=}_{\mu} [t]$$

μ -pseudoterms, μ -terms

Inductive definition of the set $PTer(\mu)$ of μ -pseudoterms:

- (i) $x, y, z, \dots \in PTer(\mu)$ (variables);
- (ii) $c, d, e, \dots \in PTer(\mu)$ (constants);
- (iii) $s, t \in PTer(\mu) \implies F(s, t) \in PTer(\mu)$;
- (iv) $s \in PTer(\mu)$ and x a variable $\implies \mu x.s \in PTer(\mu)$.

Notation:

- ▶ $s \xrightarrow{\alpha} t$ for α -renaming, and $s =_{\alpha} t$ for α -equivalence induced by α -conversion $=_{\alpha} := (\leftarrow_{\alpha} \cup \rightarrow_{\alpha})^*$.
- ▶ $s[x := t]$ for α -converting substitution à la Curry.

The set $Ter(\mu)$ of μ -terms consists of α -equivalence classes of μ -pseudoterms.

Deciding weak μ -equality by rewriting?

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- ▶ **μ -reduction** $\mu x.s \rightarrow s[x := \mu x.s]$ confluent but not terminating

$$\mu x.F(c, x) \rightarrow F(c, \mu x.F(c, x)) \rightarrow F(c, F(c, \mu x.F(c, x))) \rightarrow \dots$$

Deciding weak μ -equality by rewriting?

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$$\mu x.F(c, x) \rightarrow F(c, \mu x.F(c, x)) \rightarrow F(c, F(c, \mu x.F(c, x))) \rightarrow \dots$$

- ▶ **μ -expansion** $s[x := \mu x.s] \rightarrow \mu x.s$ terminating but not confluent

$$\not\rightarrow F(M, M) \rightarrow N \leftarrow \mu x.F(M, F(c, x)) \not\leftarrow$$

for

$$M = \mu y.F(c, \mu x.F(y, F(c, x)))$$

$$N = F(M, F(c, \mu x.F(M, F(c, x))))$$

How to overcome?

Deciding weak μ -equality by rewriting!

μ -reduction non-terminating but **active part repeats**

$$\mu x.F(c, x) \rightarrow F(c, \mu x.F(c, x)) \rightarrow F(c, F(c, \mu x.F(c, x))) \rightarrow \dots$$

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Active part and repetition intuitions formalised in rest of talk

- ▶ Clemens: proof system
- ▶ Jörg: automata

Allows to bound the search space (loop checking).

Deciding weak μ -equality by rewriting!

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Active part and repetition intuitions formalised in rest of talk

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Allows to bound the search space (loop checking).

Problem dealt with now: dealing with α -equivalence

$$\mu x.F(c, x) \rightarrow F(c, \mu y.F(c, y)) \rightarrow F(c, F(c, \mu z.F(c, z))) \rightarrow \dots$$

Repetiton?

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α -conversion unavoidable in λ -calculus

$$(\lambda w.ww)\lambda xy.xy$$

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$$(\lambda w.ww)\lambda xy.xy$$

$$\rightarrow (\lambda xy.xy)\lambda xy.xy$$

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$$\rightarrow (\lambda xy.xy)\lambda xy.xy$$

$$\rightarrow \lambda y.(\lambda xy.xy)y$$

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$$\rightarrow \lambda y.(\lambda y.yy) \text{ wrong!}$$

α -conversion unavoidable in λ -calculus

$$(\lambda w.ww)\lambda xy.xy$$

$$\rightarrow (\lambda xy.xy)\lambda xy.xy$$

$$\rightarrow \lambda y.(\lambda xy.xy)y$$

$$\rightarrow \lambda y.(\lambda y.y y) \text{ wrong!}$$

- ▶ first step: non-linear (duplicating)
- ▶ second step: non-development (redex was created by first)
- ▶ third step: non-weak (redex below λ)

α -conversion **can** be avoided if one of these does hold.

Safe reduction

term is **safe** if α -free substitution $s[x := t]$ correct during reduction

Definition (α -free substitution)

- ▶ $x[x := t] = t$
- ▶ $y[x := t] = y$
- ▶ $(F(s, s'))[x := t] = F(s[x := t], s'[x := t])$
- ▶ $(\mu x.s)[x := t] = \mu x.s$
- ▶ $(\mu y.s)[x := t] = \mu y.s[x := t]$

Unsafe μ -terms

Is the following term safe?

$$\mu x.F(y, \mu y.x)$$

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No:

$$\rightarrow F(y, \mu y. \mu x. F(y, \mu y. x))$$

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No:

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but can be α -converted to safe μ -term

$$\mu x.F(y, \mu z.x)$$

$$\rightarrow F(y, \mu z. \mu x. F(y, \mu z.x))$$

$\rightarrow \dots$

Unsafe μ -terms

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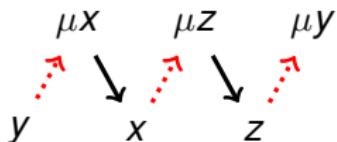
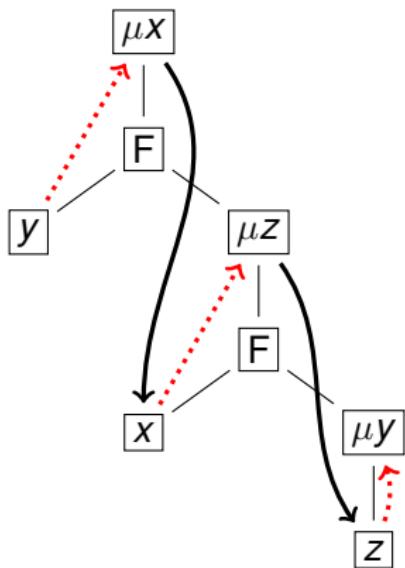
$$\mu x.F(y, \mu z.x)$$

$$\rightarrow F(y, \mu z. \mu x. F(y, \mu z.x))$$

$\rightarrow \dots$

can this always be done?

Analysis of problem: self-capturing chains



A self-capturing chain of length 5 for the term $\mu x . F(y, \mu z . F(x, \mu y . z))$.

Self-capture-freeness guarantees safety

Definition

Term is **self-capture-free** if no self-capturing chains

Self-capture-freeness guarantees safety

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Theorem (Preservation of Self-capture-freeness)

If $s \rightarrow t$ and s self-capture-free then t self-capture-free.

Self-capture-freeness guarantees safety

Definition

Term is **self-capture-free** if no self-capturing chains

Theorem (Preservation of Self-capture-freeness)

If $s \rightarrow t$ and s self-capture-free then t self-capture-free.

Theorem (Self-capture-free α -conversion)

Every term can be α -converted to a self-capture-free term.

Proof.

Choose all bound-variables distinct and distinct from free ones.

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Decision problem for weak μ -equality

We address:

WEAK μ -EQUALITY PROBLEM

Instance: μ -terms M, N

Question: Does $M =_{\mu} N$ hold?

and its ‘first-order’ version:

WEAK μ -EQUALITY PROBLEM on μ -pseudoterms

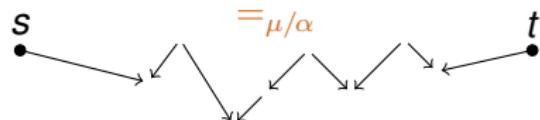
Instance: μ -pseudoterms s, t

Question: Does $s =_{\mu/\alpha} t$ hold?

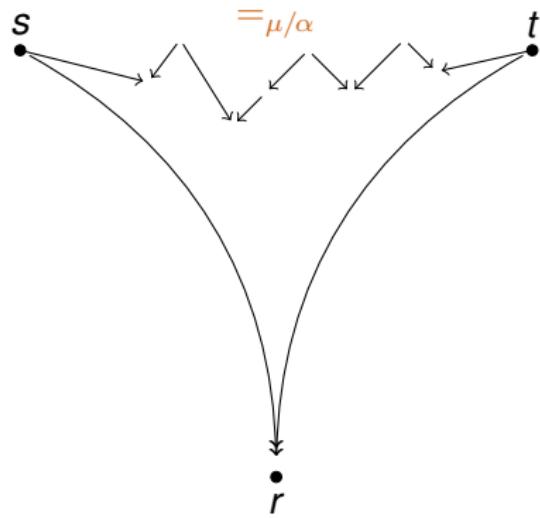
Structure of the first-order proof

 s
• $=_{\mu/\alpha}$ t
•

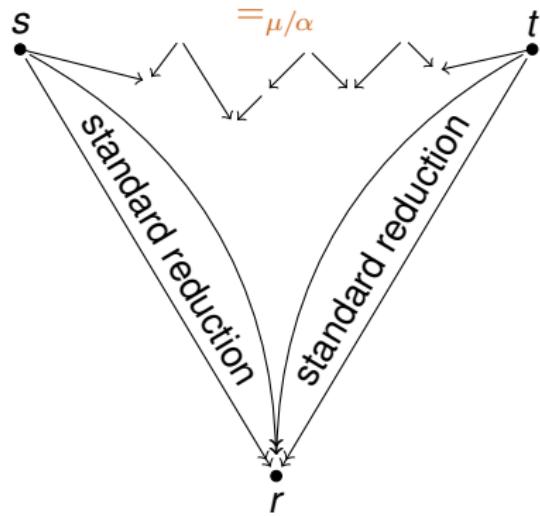
Structure of the first-order proof



Structure of the first-order proof



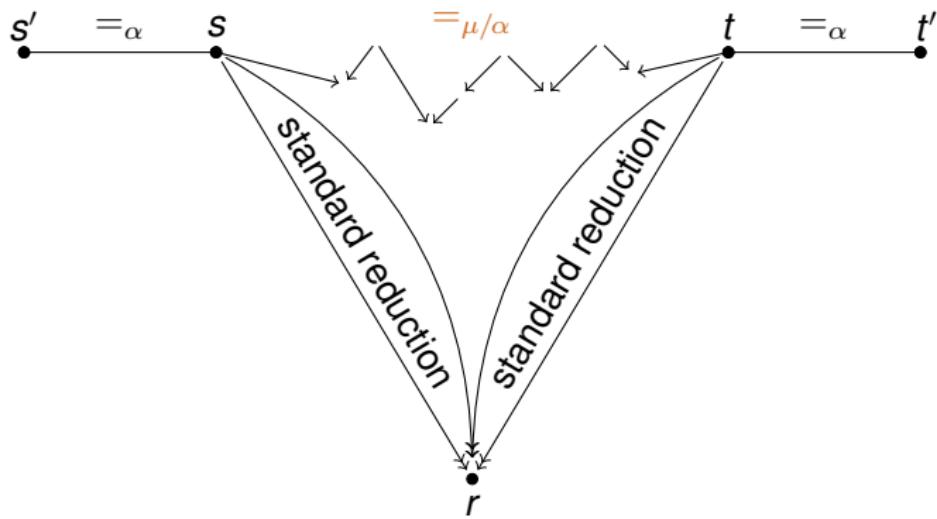
Structure of the first-order proof



Structure of the first-order proof

capture-avoiding

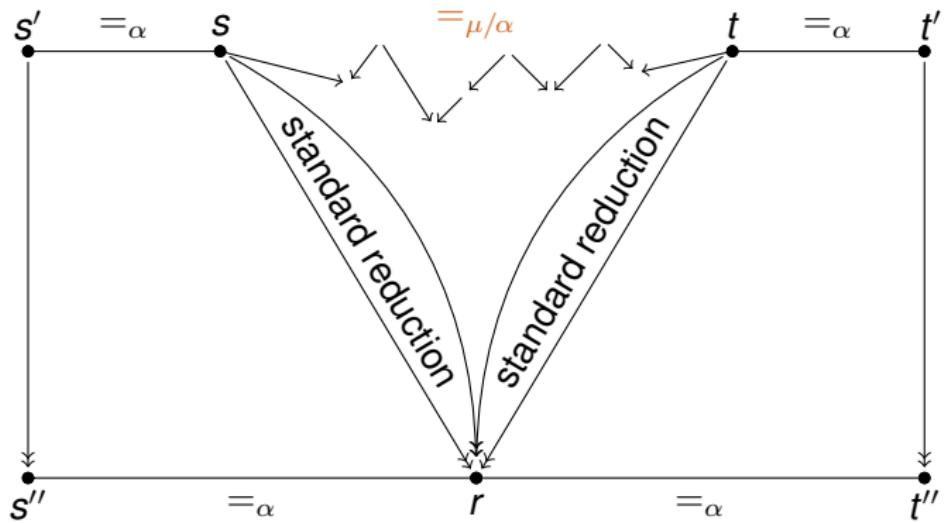
capture-avoiding



Structure of the first-order proof

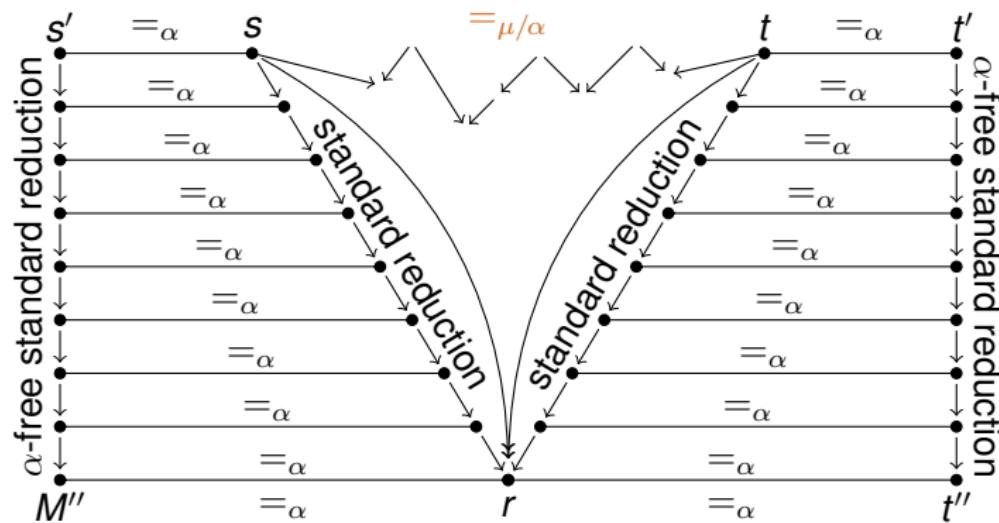
capture-avoiding

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Structure of the first-order proof

capture-avoiding



Structure of the first-order proof

Thus:

- ▶ the weak μ -equality problem for μ -terms

can be reduced to:

JOINABILITY PROBLEM UP TO $=_\alpha$ FOR \rightarrow_μ on
capture-avoiding μ -pseudoterms

- ▶
 - Instance:* capture avoiding μ -pseudoterms s, t
 - Question:* Are there s', t' with $s \rightsquigarrow_{\text{std}} s' =_\alpha t' \leftarrow_{\text{std}} t$?

Structure of the first-order proof

Thus:

- ▶ the weak μ -equality problem for μ -terms

can be reduced to:

JOINABILITY PROBLEM UP TO $=_\alpha$ FOR \rightarrow_μ on
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- ▶

Instance: capture avoiding μ -pseudoterms s, t
Question: Are there s', t' with $s \rightsquigarrow_{\text{std}} s' =_\alpha t' \leftarrow_{\text{std}} t$?

Further proof strategy. Obtain a proof system \mathcal{S} such that:

- (1) \mathcal{S} is complete for \rightarrow_μ -joinability up to $=_\alpha$ on
capture-avoiding μ -pseudoterms.
- (2) the search-space for irredundant derivations in \mathcal{S} is always finite.

Complete proof system (I) for $=_{\mu/\alpha}$ on μ -pseudoterms

$\frac{(\mu\text{-unfolding})}{\mu X.s = s[x := \mu X.s]}$	$\frac{(\alpha\text{-renaming})}{\mu X.s = \mu Y.s[x := y]}$	
$\frac{(\text{REFL})}{s = s}$	$\frac{s = t}{t = s} \text{ SYMM}$	$\frac{s = r \quad r = t}{s = t} \text{ TRANS}$
$\frac{s = t}{\mu X.s = \mu X.t} \text{ } \mu\text{-COMPAT}$	$\frac{s_1 = t_1 \quad s_2 = t_2}{F(s_1, s_2) = F(t_1, t_2)} \text{ F-COMPAT}$	

- ▶ extension of a complete proof system for $=_\alpha$ (i.e. \rightarrow_α -conversion)
- ▶ derivations correspond to $\rightarrow_{\mu/\alpha}$ -conversions

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- ▶ extension of a complete proof system for $=_\alpha$ (i.e. \rightarrow_α -conversion)
- ▶ derivations correspond to $\rightarrow_{\mu/\alpha}$ -conversions
- ▶ *Disadvantages:*
 - ▶ complex search space for proofs (**no** subformula property)
 - ▶ does not directly give rise to a decision method

Example

$$\mu X_3 X_2 X_1 . X_2 =_{\mu/\alpha} \mu Y Z . Y$$

holds because of:

$$\mu X_3 X_2 X_1 . X_2 \rightarrow_{\mu} \mu X_2 X_1 . X_2 \rightarrow_{\mu} \mu X_2 . X_2 =_{\alpha} \mu Y . Y \leftarrow_{\mu} \mu Y Z . Y$$

which gives rise to the derivation:

$$\frac{\text{TRANS}}{\mu X_3 X_2 X_1 . X_2 = \mu X_2 X_1 . X_2} \frac{\text{(}\mu\text{-unfolding)\text{}}}{\mu X_2 X_1 . X_2 = X_2} \frac{\text{(}\mu\text{-unfolding)\text{}}}{\mu X_2 . X_2 = \mu X_2 . X_2} \mu \frac{\text{(\alpha-renaming)\text{}}}{\mu X_2 . X_2 = \mu Y . Y} \frac{\text{(}\mu\text{-unfolding)\text{}}}{\mu Z . Y = Y} \frac{\text{TRANS}}{\mu X_3 X_2 X_1 . X_2 = \mu Y . Y} \frac{\text{TRANS}}{\mu X_3 X_2 X_1 . X_2 = \mu Y Z . Y}$$

Complete proof system (II) for $=_{\mu/\alpha}$ on μ -pseudoterms

$$\frac{}{s = s} \text{ (if } s \text{ a variable or a constant)}$$

$$\frac{s[x := z] = t[y := z]}{\mu x.s = \mu y.t} \mu \text{ (z fresh)}$$

$$\frac{s_1 = t_1 \quad s_2 = t_2}{F(s_1, s_2) = F(t_1, t_2)} F\text{-COMPAT}$$

$$\frac{s[x := \mu x.s] = t}{\mu x.s = t} FOLD_l$$

$$\frac{s = t[y := \mu y.t]}{s = \mu y.t} FOLD_r$$

- ▶ extension of **Schroer's characterisation** of \rightarrow_α -conversion
- ▶ derivations can be obtained by **transitivity/symmetry-elimination** in derivations of the previous system.
- ▶ derivations correspond to $\rightarrow_{\mu/\alpha}$ -**standard reductions**

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- ▶ derivations can be obtained by **transitivity/symmetry-elimination** in derivations of the previous system.
- ▶ derivations correspond to $\rightarrow_{\mu/\alpha}$ -**standard reductions**
- ▶ **advantage:** (much more) restricted search space for derivations
- ▶ certain **disadvantage:** capture of free variables in μ -applications

Example

Proof System (II)

$$\frac{\frac{\frac{\frac{u = u}{u = \mu z. u} \text{ FOLD}_r}{\mu x_1. u = \mu z. u} \text{ FOLD}_I}{\mu x_1. \cancel{u} = \mu z. \cancel{u}} \mu}{\mu x_2 x_1. x_2 = \mu y z. y} \text{ FOLD}_I \\ \mu x_3 x_2 x_1. x_2 = \mu y z. y$$

Example

Proof System (II)

$$\frac{\frac{\frac{u = u}{u = \mu z. u} \text{ FOLD}_r}{\mu x_1. u = \mu z. u} \text{ FOLD}_I}{\mu x_2 x_1. x_2 = \mu y z. y} \mu \quad \frac{\mu x_3 x_2 x_1. x_2 = \mu y z. y}{\mu x_3 x_2 x_1. x_2 = \mu y z. y} \text{ FOLD}_I$$

Proof System (III)

$$\frac{x_2 = y \vdash x_2 = y}{x_2 = y \vdash x_2 = \mu z. y} \text{ FOLD}_r \quad \frac{x_2 = y \vdash \mu x_1. x_2 = \mu z. y}{x_2 = y \vdash \mu x_1. x_2 = \mu y z. y} \mu \quad \frac{\vdash \mu x_2 x_1. x_2 = \mu y z. y}{\vdash \mu x_3 x_2 x_1. x_2 = \mu y z. y} \text{ FOLD}_I$$

Complete proof system (III) for $=_{\mu/\alpha}$ on μ -pseudoterms

$$\begin{array}{c}
 \frac{}{x = y \vdash x = y} \\
 \\
 \frac{\Gamma, \vec{z} = \vec{u} \vdash s = t}{\Gamma, x = y, \vec{z} = \vec{u} \vdash s = t} \text{ COMPR } \begin{array}{l} (\text{if } x \notin \text{FV}(\mu \vec{z}.s) \\ \text{and } y \notin \text{FV}(\mu \vec{u}.t)) \end{array} \\
 \\
 \frac{\Gamma, x = y \vdash s = t}{\Gamma \vdash \mu x.s = \mu y.t} \mu \qquad \frac{\Gamma \vdash s_1 = t_1 \quad \Gamma \vdash s_2 = t_2}{\Gamma \vdash F(s_1, s_2) = F(t_1, t_2)} F \\
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 \end{array}$$

- ▶ extension of **Kahrs' characterisation of α -conversion**
- ▶ der's obtainable by **trans./symm.-elim.** from der's in system (I)
- ▶ derivations correspond to $\rightarrow_{\mu/\alpha}$ -**standard reductions**

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- ▶ **advantage:** restricted search space for derivations

Complete proof system (III) for $=_{\mu/\alpha}$ on μ -pseudoterms

$$\frac{}{x = y \vdash x = y}$$

$\frac{\vdash s = s}{(restr-REFL)}$ (if s a variable or a constant)

$$\frac{\Gamma, x = y, \vec{z} = \vec{u} \vdash s = t}{\Gamma, \vec{z} = \vec{u} \vdash s = t} \text{ COMPR } \begin{array}{l} (\text{if } x \notin \text{FV}(\mu \vec{z}.s) \\ \text{and } y \notin \text{FV}(\mu \vec{u}.t)) \end{array}$$

$$\frac{\Gamma \vdash \mu x.s = \mu y.t}{\Gamma, x = y \vdash s = t} \mu$$

$$\frac{\Gamma \vdash F(s_1, s_2) = F(t_1, t_2)}{\Gamma \vdash s_1 = t_1 \quad \Gamma \vdash s_2 = t_2} F$$

$$\frac{\Gamma \vdash \mu x.s = t}{\Gamma \vdash s[x := \mu x.s] = t} \text{ UNFOLD}_l$$

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Complete proof system (III) for $=_{\mu/\alpha}$ on μ -pseudoterms

$$\frac{(\mu x)x = (\mu y)y}{}$$

$$\frac{()s = ()s}{(\text{restr-REFL})} \quad (\text{if } s \text{ a variable or a constant})$$

$$\frac{(\mu \vec{z}_1 \textcolor{red}{x} \vec{z}_2)s = (\mu \vec{u}_1 \textcolor{red}{y} \vec{u}_2)t}{(\mu \vec{z}_1 \vec{z}_2)s = (\mu \vec{u}_1) \vec{u}_2 t} \text{ COMPR} \quad (\text{if } |\vec{z}_2| = |\vec{u}_2|, \textcolor{red}{x} \notin \text{FV}(\mu \vec{z}_2.s) \text{ and } \textcolor{red}{y} \notin \text{FV}(\mu \vec{u}_2.t))$$

$$\frac{\frac{(\mu \vec{z})\mu \textcolor{red}{x}.s = (\mu \vec{u})\mu \textcolor{red}{y}.t}{(\mu \vec{z} \textcolor{red}{x})s = (\mu \vec{u} \textcolor{red}{y})t} \mu \quad \frac{(\mu \vec{z})F(s_1, s_2) = (\mu \vec{u})F(t_1, t_2)}{(\mu \vec{z})s_1 = (\mu \vec{u})t_1 \quad (\mu \vec{z})s_2 = (\mu \vec{u})t_2}}{F}$$

$$\frac{(\mu \vec{z})\mu x.s = (\mu \vec{u})t}{(\mu \vec{z})s[\![x := \mu x.s]\!] = (\mu \vec{u})t} \text{ UNF}_l \quad \frac{(\mu \vec{z})s = (\mu \vec{u})\mu y.t}{(\mu \vec{z})s = (\mu \vec{u})t[\![y := \mu y.t]\!]} \text{ UNF}_r$$

- ▶ extension of [Kahrs' characterisation of \$\alpha\$ -conversion](#)
- ▶ der's obtainable by [trans./symm.-elim.](#) from der's in system (I)
- ▶ derivations correspond to $\rightarrow_{\mu/\alpha}$ -standard reductions
- ▶ **advantage:** restricted search space for derivations

Example

$$\frac{\frac{\frac{\vdash \mu x_3 x_2 x_1. x_2 = \mu y z. y}{\vdash \mu x_2 x_1. x_2 = \mu y z. y} \text{ UNFOLD},}{x_2 = y \vdash \mu x_1. x_2 = \mu z. y} \mu}{x_2 = y \vdash x_2 = \mu z. y} \text{ UNFOLD},}{x_2 = y \vdash x_2 = y} \text{ UNFOLD},$$

Example

$$\frac{\frac{\frac{(\lambda x_3 x_2 x_1.x_2 = (\lambda yz.y)}{(\lambda x_2 x_1.x_2 = (\lambda yz.y)} \text{ UNFOLD},}{\mu x_2) \mu x_1.x_2 = (\mu y) \mu z.y} \mu}{(\mu x_2) x_2 = (\mu y) \mu z.y} \text{ UNFOLD},}{(\mu x_2) x_2 = (\mu y) y} \text{ UNFOLD}_r$$

Example

$$\begin{array}{c}
 \frac{(\lambda x_3 x_2 x_1. x_2) = (\lambda yz. y)}{(\lambda x_2 x_1. x_2) = (\lambda yz. y)} \text{ UNFOLD}_l \\
 \frac{(\lambda x_2) \lambda x_1. x_2 = (\lambda y) \lambda z. y}{(\lambda x_2) x_2 = (\lambda y) \lambda z. y} \mu \\
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 \end{array}$$

Extraction of reductions:

$$\begin{aligned}
 \mu x_3 x_2 x_1. x_2 &\rightarrow_{\mu} \mu x_2 x_1. x_2 \triangleright_{\text{frz}} (\lambda x_2) \mu x_1. x_2 \rightarrow_{\mu} (\lambda x_2) x_2 \\
 &=_{\alpha} (\lambda y) y \leftarrow_{\mu} (\lambda y) \mu z. y \triangleleft_{\text{frz}} \mu yz. y
 \end{aligned}$$

Example

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 \frac{(\lambda x_2) x_2 = (\lambda y) \mu z. y}{(\lambda x_2) x_2 = (\lambda y) y} \text{ UNFOLD}_r
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 \end{aligned}$$

gives a joining pair of standard reductions:

$$\mu x_3 x_2 x_1. x_2 \rightarrow_{\mu} \mu x_2 x_1. x_2 \rightarrow_{\mu} \mu x_2. x_2 =_{\alpha} \mu y. y \leftarrow_{\mu} \mu y z. y$$

Subterm closure

The $\mu\pi$ -calculus on μ -pseudoterms:

$$\begin{array}{ll} F(s_1, s_2) \rightarrow s_i & \text{for } i \in \{1, 2\} \\ & (\text{F-projection}) \\ \mu x.s \rightarrow s & (\mu\text{-projection}) \\ \mu x.s \rightarrow s[x := \mu x.s] & (\mu\text{-reduction}) \end{array}$$

By $\rightarrow_{\mu\pi}^{\varepsilon}$ we denote $\mu\pi$ -root-reduction.

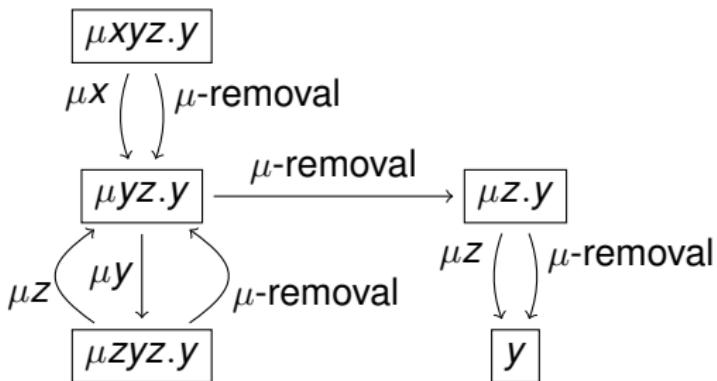
The subterm closure $SC(s)$ of a capture-avoiding $s \in PTer(\mu)$ is:

$$SC(s) := \{t \in PTer(\mu) \mid s \rightarrow_{\mu\pi}^{\varepsilon} t\} .$$

Theorem

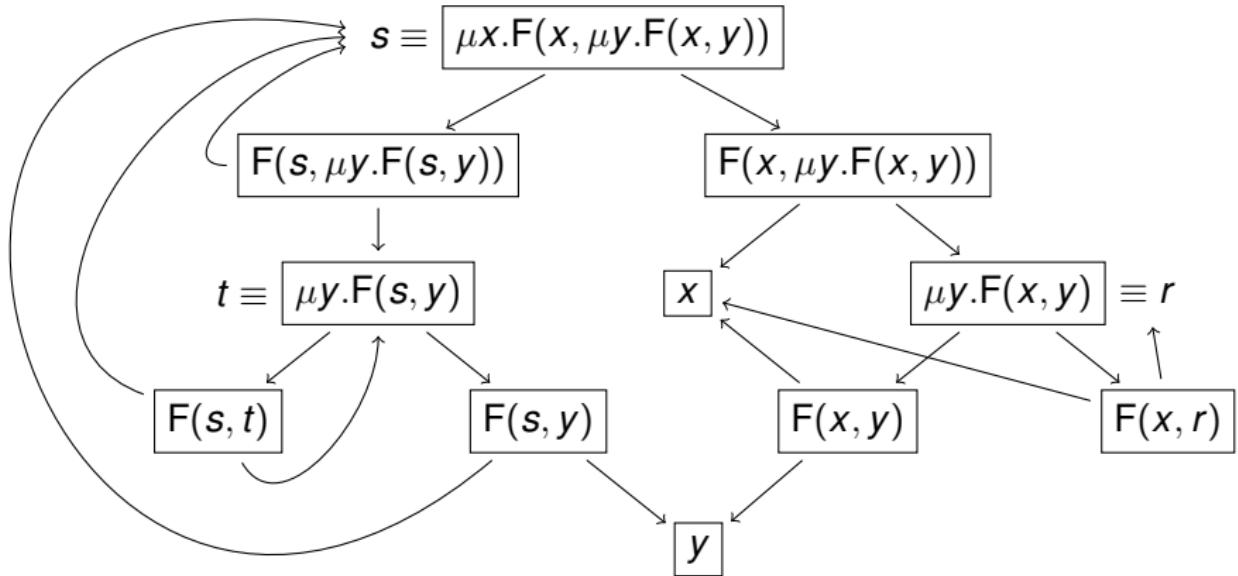
For all capture-avoiding $s \in PTer(\mu)$, $SC(s)$ is finite.

Subterm closure



The subterm closure of $\mu xyz.y$.

Subterm closure



The subterm closure of $\mu x . F(x, \mu y . F(x, y))$.

Decidability of $=_{\mu/\alpha}$ by a first-order proof

Lemma

Provability in system (III) of formulas $\vdash s = t$, where $s, t \in PTer(\mu)$ are capture-avoiding, is decidable.

Proof.

- ▶ **subformula property:** for an equation $(\mu \dots) \textcolor{blue}{s}' = (\mu \dots) \textcolor{red}{t}'$ in a derivation \mathcal{D} with conclusion $() \textcolor{brown}{s} = () \textcolor{brown}{t}$ it holds that $\textcolor{blue}{s}' \in SC(\textcolor{brown}{s})$ and $\textcolor{blue}{t}' \in SC(\textcolor{brown}{t})$.

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- ▶ **bound L on annotation lengths:** if rule COMPR is applied ‘greedily’, $\textcolor{blue}{L} :=$ no. of binder occurrs in ps.terms in conclusion.

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Theorem

Weak μ -equality is decidable.

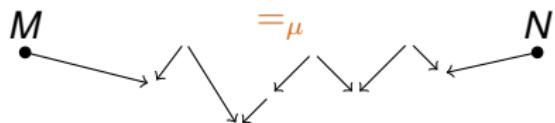
Overview

1. Weak μ -equality
2. Avoiding α -conversion in μ -reductions
3. Decidability of $=_{\mu/\alpha}$ by a first-order proof
4. Decidability of $=_{\mu/\alpha}$ by a higher-order proof
5. Decidability of $=_{\mu/\alpha}$ using regular languages
6. Summary

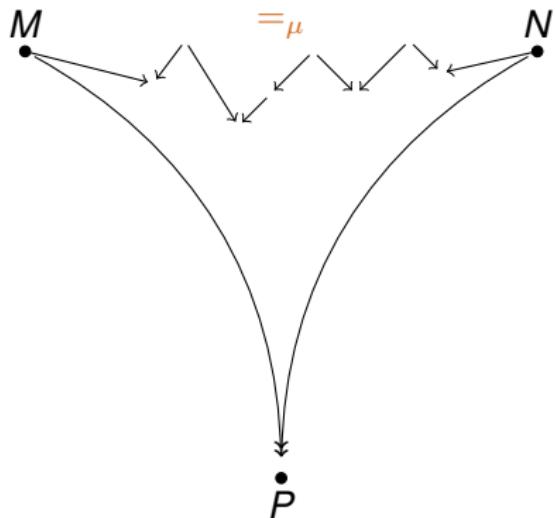
Structure of the higher-order proof

 M
• $=_\mu$ N
•

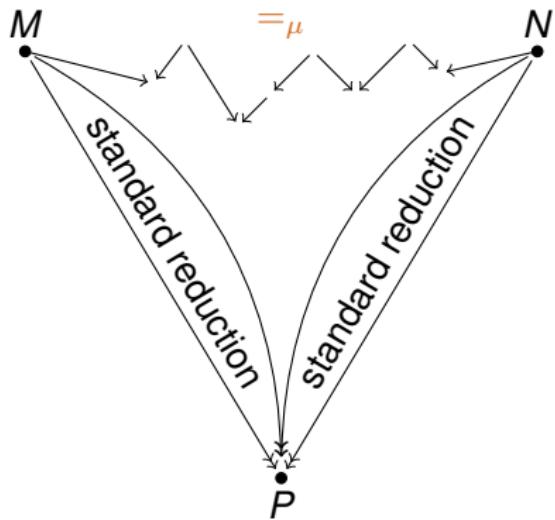
Structure of the higher-order proof



Structure of the higher-order proof



Structure of the higher-order proof



Complete proof system (II) for $=_{\mu/\alpha}$ on μ -pseudoterms

$$\frac{}{s = s} \text{ (if } s \text{ a variable or a constant)}$$

$$\frac{s[x := z] = t[y := z]}{\mu x.s = \mu y.t} \quad \mu \text{ (z fresh)}$$

$$\frac{s_1 = t_1 \quad s_2 = t_2}{F(s_1, s_2) = F(t_1, t_2)} \text{ F-COMPAT}$$

$$\frac{s[x := \mu x.s] = t}{\mu x.s = t} \text{ FOLD}_l$$

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- ▶ extension of **Schroer's** characterisation of \rightarrow_α -conversion
- ▶ derivations correspond to $\rightarrow_{\mu/\alpha}$ -standard reductions
- ▶ ***advantage:*** restricted search space for derivations
- ▶ ***disadvantage:*** capture of free variables in μ -applications

Complete proof system for $=_\mu$ on μ -terms

$$\frac{}{s = s} \text{ (if } s \text{ a variable or a constant)}$$

$$\frac{s[x := \underline{n}] = t[y := \underline{n}]}{\mu x.s = \mu y.t} \mu \text{ (}\underline{n} \text{ fresh numeral)}$$

$$\frac{s_1 = t_1 \quad s_2 = t_2}{F(s_1, s_2) = F(t_1, t_2)} F\text{-COMPAT}$$

$$\frac{s[\![x := \mu x.s]\!] = t}{\mu x.s = t} FOLD_l$$

$$\frac{s = t[\![y := \mu y.t]\!]}{s = \mu y.t} FOLD_r$$

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- ▶ a certain **subformula** property

Overview

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2. Avoiding α -conversion in μ -reductions
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Decidability of $=_{\mu/\alpha}$ using regular languages

An alternative approach: using **regular languages**.

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- ▶ For a capture-avoiding M we construct a regular grammar \mathcal{G}_M generating the set of reducts of M (without α -conversion).
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This problem is known to be decidable.

Step 1: a regular grammar for μ -reducts

Let $M \in Ter(\mu)$ be a capture-avoiding μ -pseudoterm.

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Let $M \in Ter(\mu)$ be a capture-avoiding μ -pseudoterm.

We construct a regular grammar \mathcal{G}_M for the μ -reducts of M :

The start symbol of \mathcal{G}_M is V_M , and the rules are:

$$V_{\mu x. N} \Rightarrow V_{N[x:=\mu x. N]} \tag{1}$$

$$V_{\mu x. N} \Rightarrow \mu x. V_N \tag{2}$$

$$V_{F(N, N')} \Rightarrow F(V_N, V_{N'}) \tag{3}$$

$$V_x \Rightarrow x \tag{4}$$

for every V_s such that $s \in SC(M)$.

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Lemma

$$\mathcal{L}(\mathcal{G}_M) = \{N \mid M \rightarrow^* N\}$$

where \rightarrow is α -conversion free μ -reduction.

Step 1: a regular grammar for μ -reducts

Example

Let $M \equiv \mu y. F(x, y)$, then \mathcal{G}_M consists of:

$$V_{\mu y. F(x, y)} \Rightarrow_{(1)} V_{F(x, \mu y. F(x, y))}$$

$$V_{\mu y. F(x, y)} \Rightarrow_{(2)} \mu y. V_{F(x, y)}$$

$$V_{F(x, y)} \Rightarrow_{(3)} F(V_x, V_y)$$

$$V_{F(x, \mu y. F(x, y))} \Rightarrow_{(3)} F(V_x, V_{\mu y. F(x, y)})$$

$$V_x \Rightarrow_{(4)} x$$

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The start symbol of \mathcal{G}_M is $V_{\mu y. F(x, y)}$.

Step 2: α -conversion

Let \mathcal{G} be normalised with start variable V over a finite set of binder \mathbb{B} .

We define a grammar accepting all α -equivalent terms over \mathbb{B} :

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We define a grammar accepting all α -equivalent terms over \mathbb{B} :

Let \mathcal{G}^α have start variable $V_{id, \emptyset}$, and for all:

- ▶ $\sigma : \mathbb{B} \rightarrow \mathbb{B}$ (renaming map),
- ▶ $\dagger \subseteq \mathbb{B}$ (forbidden variables),

consist of rules:

- ▶ $V_{\sigma, \dagger} \Rightarrow \sigma(x) \in \mathcal{G}^\alpha$ (renaming) if $V \Rightarrow x \in G$ and $x \notin \dagger$
- ▶ $V_{\sigma, \dagger} \Rightarrow \perp \in \mathcal{G}^\alpha$ (name clash) if $V \Rightarrow x \in G$ and $x \in \dagger$
- ▶ $V_{\sigma, \dagger} \Rightarrow F(V'_{\sigma, \dagger}, V''_{\sigma, \dagger}) \in \mathcal{G}^\alpha$ (propagation) if $V \Rightarrow F(V', V'') \in G$
- ▶ $V_{\sigma, \dagger} \Rightarrow \mu y(V'_{\sigma', \dagger'}) \in G$ (pick renaming) if $V \Rightarrow \mu x(V') \in G$

where $y \in \mathbb{B}$, $\sigma' = \sigma[x \mapsto y]$, $\dagger' = (\dagger \cup \sigma^{-1}(y)) \setminus \{x\}$.

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pick renaming: $V_{\sigma,\dagger} \Rightarrow \mu y(V'_{\sigma',\dagger'}) \in G$ if $V \Rightarrow \mu x(V') \in G$
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Example

Let G have start variable V_1 and consist of the rules:

$$V_1 \Rightarrow \mu x.V_2 \quad V_2 \Rightarrow \mu y.V_3 \quad V_3 \Rightarrow F(V_4, V_5) \quad V_4 \Rightarrow x \quad V_5 \Rightarrow y$$

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$$\begin{aligned} & V_{1,\{x \mapsto x, y \mapsto y\}, \emptyset} \Rightarrow \mu y.V_{2,\{x \mapsto y, y \mapsto y\}, \{y\}} \\ & V_{2,\{x \mapsto y, y \mapsto y\}, \{y\}} \Rightarrow \mu y.V_{3,\{x \mapsto y, y \mapsto y\}, \{x\}} \\ & V_{3,\{x \mapsto y, y \mapsto y\}, \{x\}} \Rightarrow F(V_{4,\{x \mapsto y, y \mapsto y\}, \{x\}}, V_{5,\{x \mapsto y, y \mapsto y\}, \{x\}}) \\ & V_{3,\{x \mapsto y, y \mapsto y\}, \{x\}} \Rightarrow F(V_{4,\{x \mapsto y, y \mapsto y\}, \{x\}}, V_{5,\{x \mapsto y, y \mapsto y\}, \{x\}}) \\ & V_{4,\{x \mapsto y, y \mapsto y\}, \{x\}} \Rightarrow \perp \end{aligned}$$

Step 2: α -conversion

pick renaming: $V_{\sigma,\dagger} \Rightarrow \mu y(V'_{\sigma',\dagger'}) \in G$ if $V \Rightarrow \mu x(V') \in G$
 where $y \in \mathbb{B}$, $\sigma' = \sigma[x \mapsto y]$, $\dagger' = (\dagger \cup \sigma^{-1}(y)) \setminus \{x\}$.

Example

Let G have start variable V_1 and consist of the rules:

$$V_1 \Rightarrow \mu x.V_2 \quad V_2 \Rightarrow \mu y.V_3 \quad V_3 \Rightarrow F(V_4, V_5) \quad V_4 \Rightarrow x \quad V_5 \Rightarrow y$$

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Step 3: deciding $=_{\mu/\alpha}$

Theorem

The following problem is decidable:

- ▶ *Input: two μ -terms M and N .*
- ▶ *Answer: are M and N convertible?*

Proof.

The decision procedure proceeds in the following steps:

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- ④ answer **yes** if $\mathcal{L}(\mathcal{G}_{M'}^\alpha) \cap \mathcal{L}(\mathcal{G}_{N'}) \neq \emptyset$, and **no**, otherwise.



Overview

1. Weak μ -equality
2. Avoiding α -conversion in μ -reductions
3. Decidability of $=_{\mu/\alpha}$ by a first-order proof
4. Decidability of $=_{\mu/\alpha}$ by a higher-order proof
5. Decidability of $=_{\mu/\alpha}$ using regular languages
6. Summary

Summary

We established **decidability of the weak μ -equality problem** by:

- ▶ a proof using ‘first-order’ techniques:
 - ▶ characterising μ -pseudoterms that can be reduced without the need for α -renaming:
 - ▶ a **complete proof system** à la Coppo/Cardone for $=_{\mu/\alpha}$ on μ -pseudoterms
 - ▶ showing finiteness of proof-search by establishing **finiteness of the subterm closure** for capture-avoiding μ -terms
- ▶ a proof using ‘higher-order’ techniques
- ▶ another proof using ‘first-order’ techniques:
 - ▶ the set of reducts of μ -pseudoterms form a **regular tree language**
 - ▶ weak μ -equality **reduces to the emptiness problem** for the **intersection** of regular tree languages