

# Lecture 2: Machine Models, Basic Computability Theory

## Models of Computation

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Ph.D. Program, Advanced Courses Period  
Gran Sasso Science Institute  
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# Course overview

Monday, July 7 10.30 – 12.30	Tuesday, July 8 10.30 – 12.30	Wednesday, July 9 10.30 – 12.30	Thursday, July 10 10.30 – 12.30	Friday, July 11
<i>intro</i>	<i>classic models</i>			<i>additional models</i>
<b>Introduction to Computability</b>  computation and decision problems, from logic to computability, overview of models of computation relevance of MoCs	<b>Machine Models</b>  Post Machines, typical features, Turing's analysis of human computers, Turing machines, basic recursion theory	<b>Recursive Functions</b>  primitive recursive functions, Gödel–Herbrand recursive functions, partial recursive funct's, partial recursive = = Turing-computable, Church's Thesis	<b>Lambda Calculus</b>  $\lambda$ -terms, $\beta$ -reduction, $\lambda$ -definable functions, partial recursive = $\lambda$ -definable = Turing computable	
	<i>imperative programming</i>	<i>algebraic programming</i>	<i>functional programming</i>	
				14.30 – 16.30
				<b>Three more Models of Computation</b>
				Post's Correspondence Problem, Interaction-Nets, Fractran
				comparing computational power

# Overview

# Reading recommended (for today)

① Post machine: Page 1 + first paragraph on page 2 of:

- ▶ Emil Post: *Finite Combinatory Processes – Formulation 1*, Journal of Symbolic Logic (1936), [2].

② Turing machine motivation:

Turing's analysis of a human computer:

Part I of Section 9, pp. 249–252 of:

- ▶ Alan M. Turing's: *On computable numbers, with an application to the Entscheidungsproblem*, Proceedings of the London Mathematical Society (1936), [3].

# Emil Post



Emil Leon Post (1897–1954)

# Post about ...

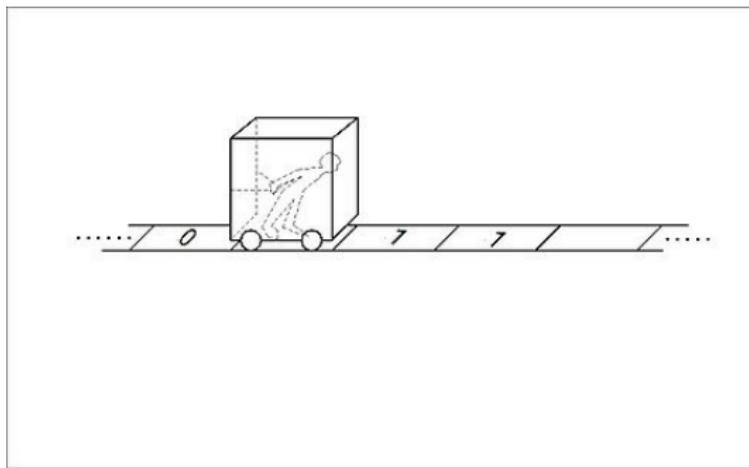
... a result of his from 1921 similar to the Incompleteness Theorem:

Theorem (Gödel, 1931 (paraphrased here))

*Every axiomatisable, consistent first-order-logic system of number theory is incomplete: it contains true, but unprovable formulas.*

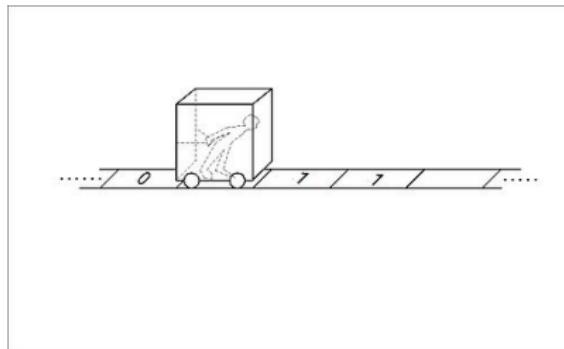
*"For full generality a complete analysis would have to be given of all possible ways in which the human mind could set up finite processes for generating sequences."*

# Post machine (1936)



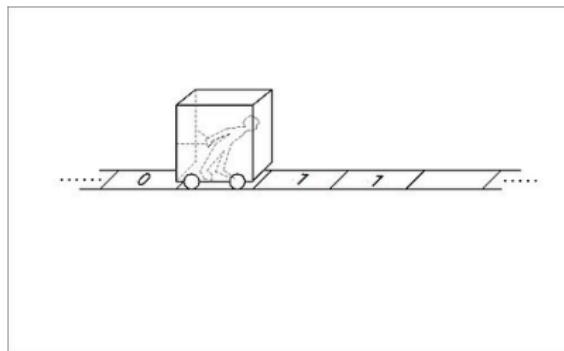
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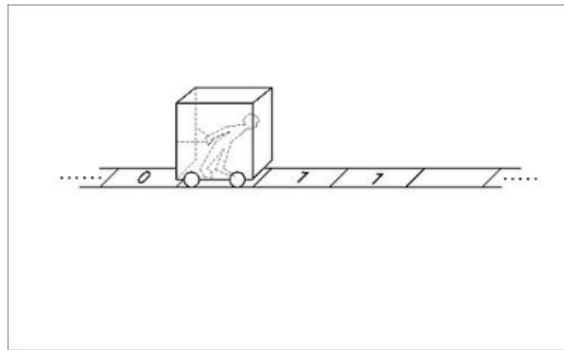
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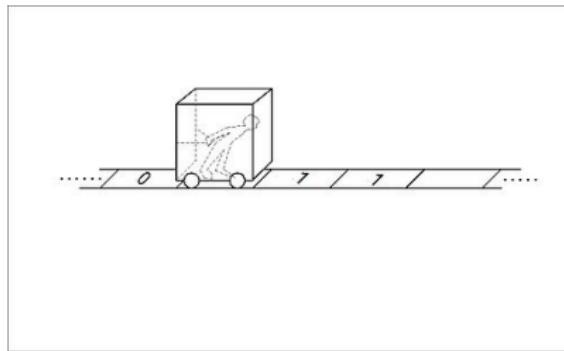
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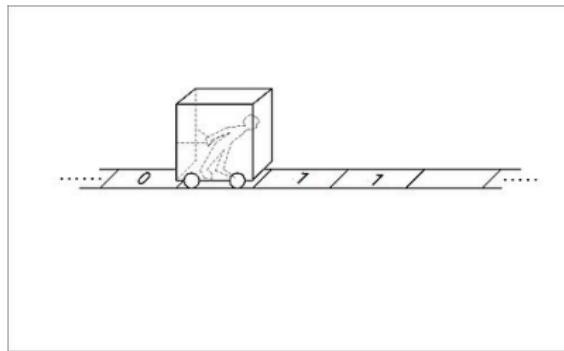
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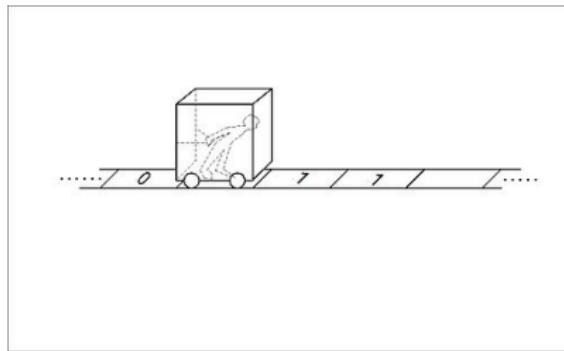
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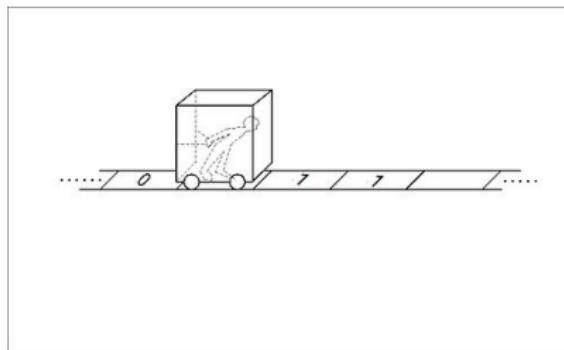
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- (d) Moving to the box on his left,
- (e) Determining whether the box he is in, is or is not marked.”

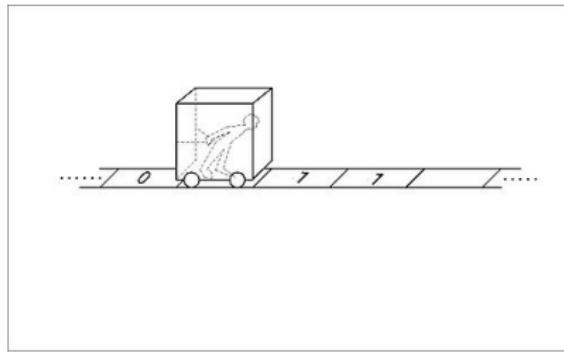
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'Directions' (instructions):

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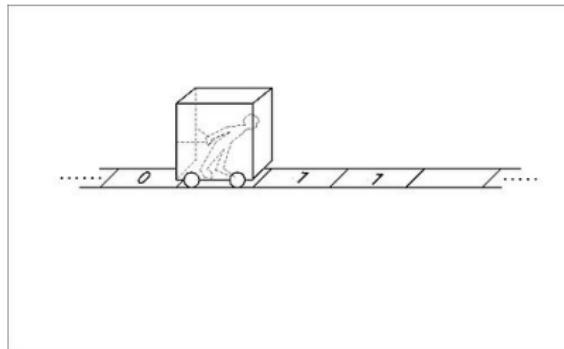
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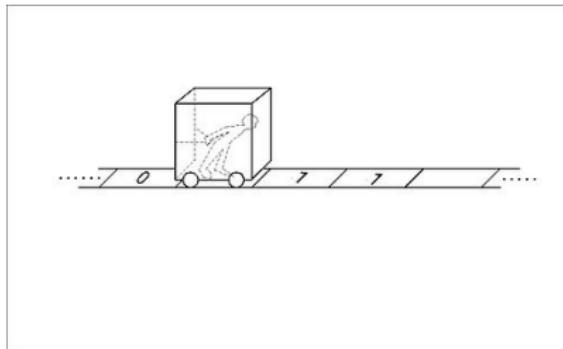
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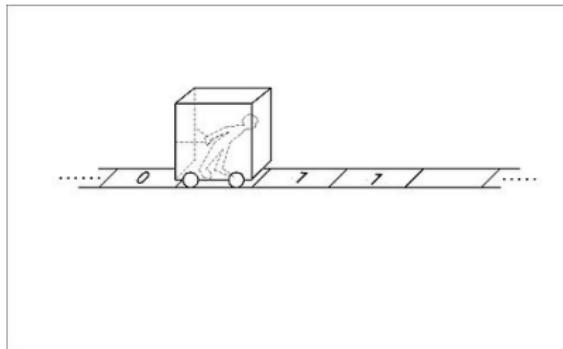
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  - (C) Stop.

# Exercise

## Exercise

Construct a Post machine that adds one to a natural number in unary representation.

# Typical features of ‘computationally complete’ MoC’s

- ▶ storage (unbounded)

(Credits due to: [Vincent van Oostrom](#))

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- ▶ stopping condition

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# Turing computability



Alan Turing (1912 – 1954)

# Turing's analysis of a human 'computer'

Section 9 in Turing's 1937 paper 'On computable numbers, with an application to the Entscheidungsproblem' [3].

A direct appeal to intuition in analysing human computation:

- ▶ paper is divided into squares

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  - observed symbols
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- ▶ bound  $B$  on the number of symbols/squares the computer can observe at any moment
- ▶ number of 'states of mind' of the computer is finite

# Turing's analysis of a human 'computer'

- ▶ modification of tape symbols
  - in a simple operation **only one symbol** is altered
  - only 'observed' symbols can be altered
- ▶ modification of observed squares
  - new observed squares are **within  $L$  squares** of a previously observed square
  - other directly observable squares? – T. argues: not necessary
- ▶ modification of 'state of mind'

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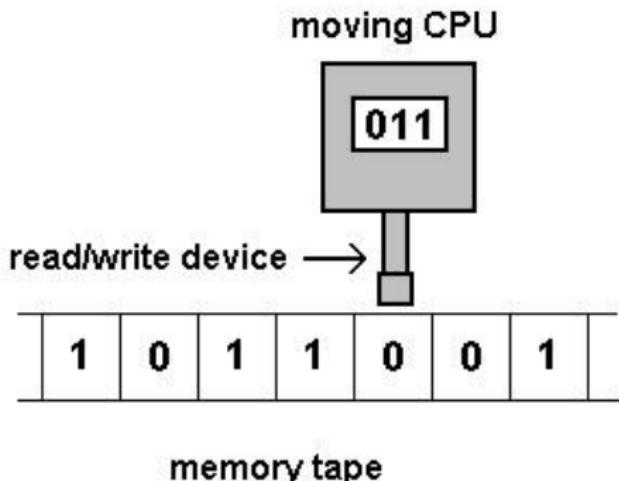
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*"It is my contention that these operations include all those which are used in the computation of a number."*

# Turing machine



memory tape

# Church–Turing Thesis

## Thesis (Church–Turing, 1937)

*Every effectively calculable function is computable by a Turing-machine.*

# Turing machine: formal definition

## Definition

A **Turing machine** is a tuple  $M = \langle Q, \Sigma, \Gamma, \delta, q_0, \mathbb{B}, F \rangle$  where:

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- ▶  $F \subseteq Q$  is the set of **final or accepting states**.

# Turing machine: definition notions

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Let  $M = \langle Q, \Sigma, \Gamma, \delta, q_0, \#F \rangle$  be a Turing machine.

A **configuration** of  $M$  is elements  $w_1 q w_2 \in \Gamma^* \times Q \times \Gamma^*$  such that the first letter in  $w_1$  and the last letter in  $w_2$  are different from  $\#$

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$L(M) := \{w \in \Sigma^* \mid M \text{ accepts } w\}$  is the **language accepted by  $M$** .

# Recursively enumerable/recursive languages

## Definition

Let  $L \subseteq \Sigma^*$  a language.

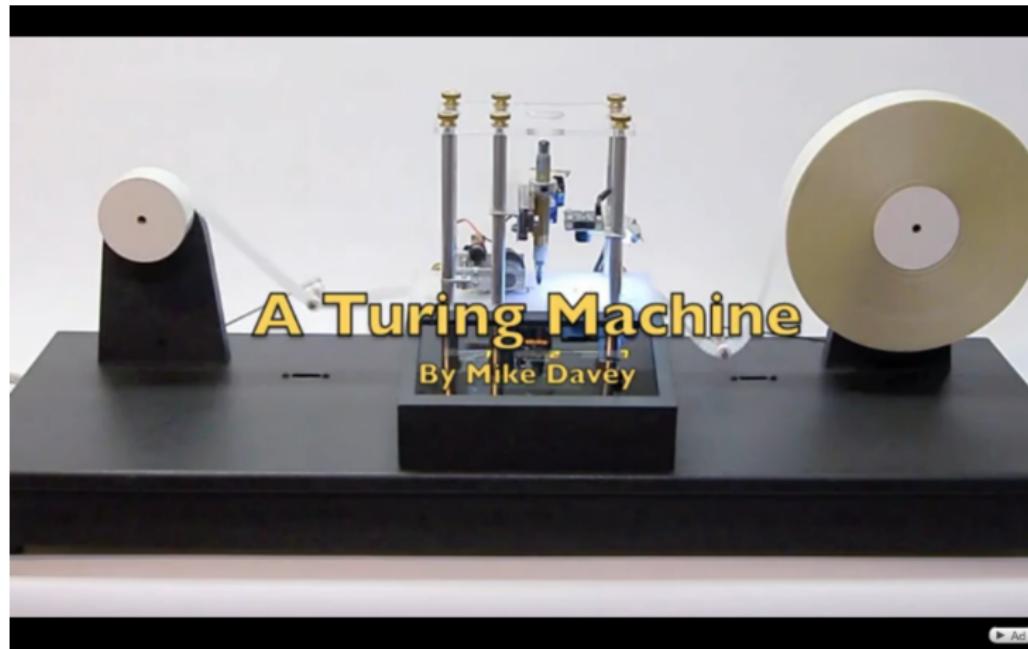
$L$  is called **recursively enumerable** if

- ▶  $L = L(M)$  for some Turing machine  $M$  with input symbols  $\Sigma$ .

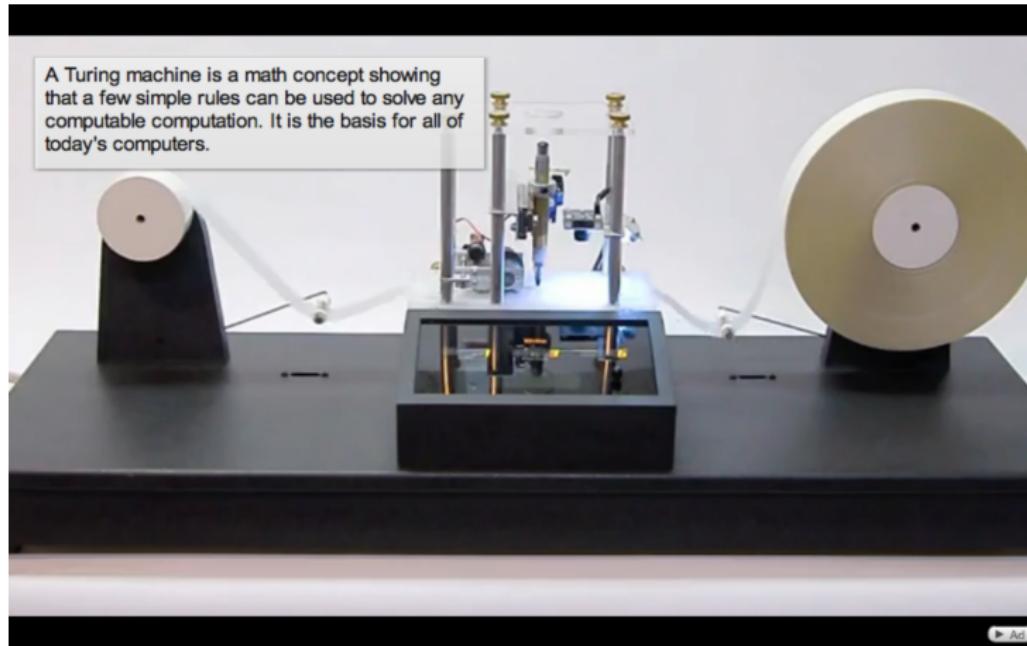
$L$  is called **recursive** if

- ▶ there is a Turing machine  $M$  with input symbols  $\Sigma$  such that
  - ➊  $L = L(M)$
  - ➋  $M$  halts on all of its inputs.

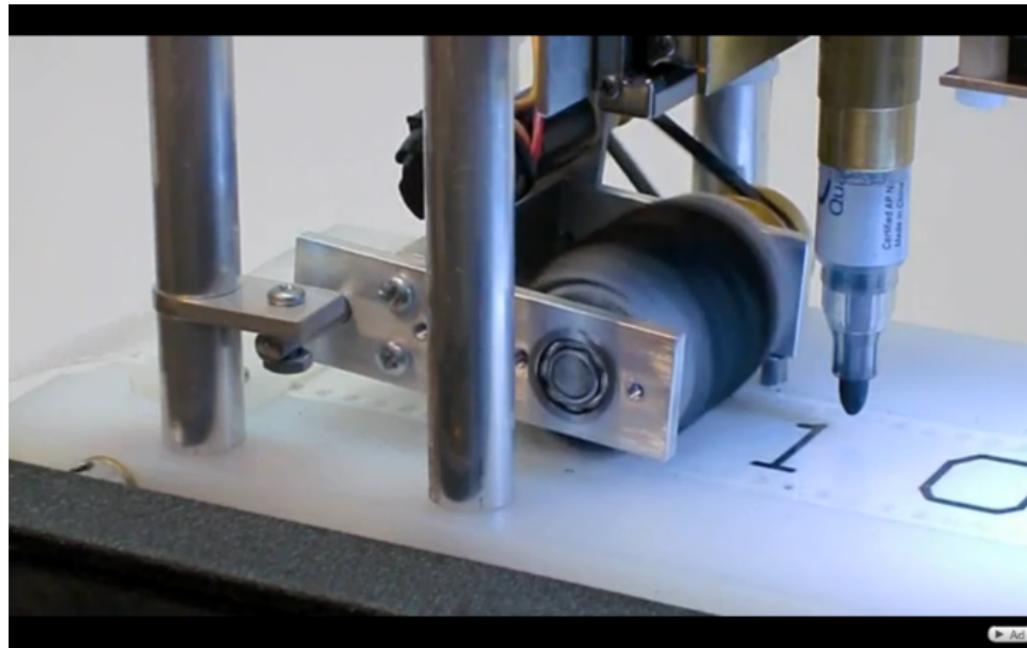
# Mike Davey's Turing machine ([link](#))



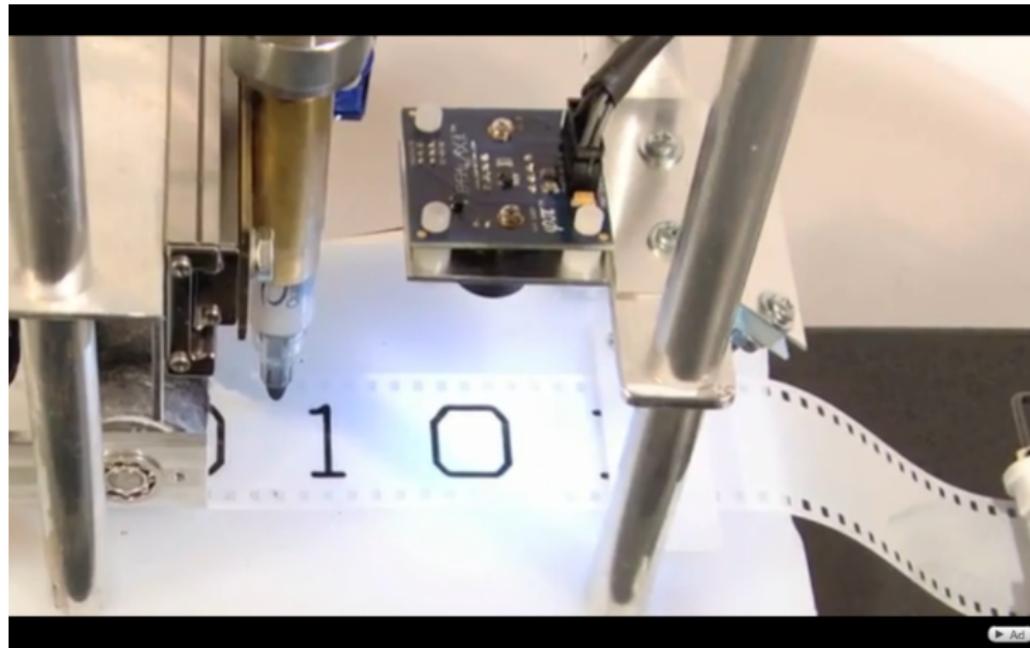
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# Exercises

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## Exercise

Construct a Turing machine that, if started on the empty tape, writes the sequence

010110111011110111110...

on the tape, but does not halt.

(Compare your machine with Turing's machine for this purpose.)

# Variants of Turing machines

- ▶ TM's with semi-infinite tapes (infinite in only one direction)
- ▶ TM's with multiple tapes
  - Input/Output Turing machines (with input- and output tapes)
- ▶ non-deterministic TM's:  $\delta \subseteq ((Q \times \Gamma) \times (Q \times \Gamma \times \{L, R\}))$
- ▶ tape-bounded TM's (by  $f(n)$  for inputs of length  $n$ )
- ▶ oracle Turing machines
- ▶ Turing machines with advice
- ▶ alternating Turing machines
- ▶ ...
- ▶ interactive/reactive TM's

# An unsolvable problem

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## Membership in the diagonalisation language

Instance:  $w$  a binary word.

Question: Does  $w \in L_d$  hold? (Does Tm.  $M$  with  $\langle M \rangle = w$  accept  $w$ ?)

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## Theorem

There exist unsolvable decision problems.

# Exercise: Halting Problem

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Try to adapt the diagonalisation argument to show that for the [Halting Problem](#)

$$H = \{w \mid w = \langle w_n, w_m \rangle, M_n \text{ halts on input } w_m\}$$

it holds:

- ▶  $H$  is not recursive

and show that:

- ▶  $H$  is recursively enumerable

# Properties of r.e./recursive sets (I)

For  $L \subseteq \Sigma^*$ ,  $\bar{L} := \Sigma^* \setminus L$  is called the **complement** of  $L$ .

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$M$  is modified as follows to obtain  $\bar{M}$ :

- ① the accepting states of  $M$  are made non-accepting in  $\bar{M}$ .
- ②  $\bar{M}$  has a new accepting state  $r$ .
- ③ for each  $q \in Q$  and tape symbol  $s \in \Gamma$  such that  $\delta_M(q, s)$  is undefined, add the transition  $\delta_{\bar{M}}(q, s) = \langle r, s, R \rangle$ .

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It follows that  $\bar{L} = L(\bar{M})$ , and that  $\bar{M}$  halts on all inputs. □

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Let  $M_1$  and  $M_2$  be Tm's such that  $L = L(M_1)$  and  $\bar{L} = L(M_2)$ .

To decide, for a given  $w \in \Sigma^*$ , whether  $w \in L$ , build a Tm  $M$  that executes  $M_1$  and  $M_2$  on  $w$  in parallel, and such that:

- ▶ if  $M_1$  accepts  $w$ , then also  $M$  accepts  $w$ .
- ▶ if  $M_2$  accepts  $w$ , then also  $M$  halts, but does not accept  $w$ .

Hence  $M$  accepts  $w$  iff  $w \in L(M_1) = L$ . Thus  $L(M) = L$ .

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Hence  $M$  accepts  $w$  iff  $w \in L(M_1) = L$ . Thus  $L(M) = L$ .

Since for all  $w$ , either  $w \in L$  or  $w \in \bar{L}$ , it follows that either  $M_1$  or  $M_2$  halts on  $w$ , and hence  $M$  halts on all inputs.

Hence  $L = L(M)$  is recursive.

# Universal language

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- ①  $L_u$  is r.e.:  $L_u = L(M_u)$  for an universal machine  $M_u$ .

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- ②  $L_u$  is not recursive:

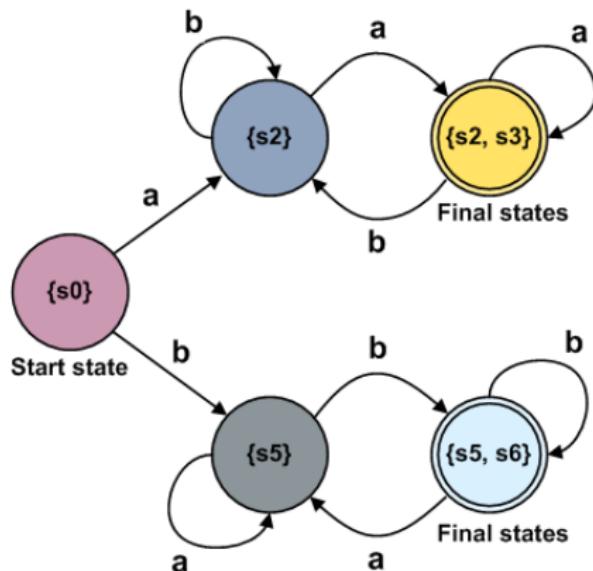
Suppose that  $L_u$  is recursive. Then  $\bar{L_u}$  is recursive, and hence there exists a Tm.  $M$  such that  $\bar{L_u} = L(M)$ .

$M$  can be used to build a Tm.  $M'$  that accepts the diagonalisation language  $L_d$ , entailing  $L_u = L(M')$ .

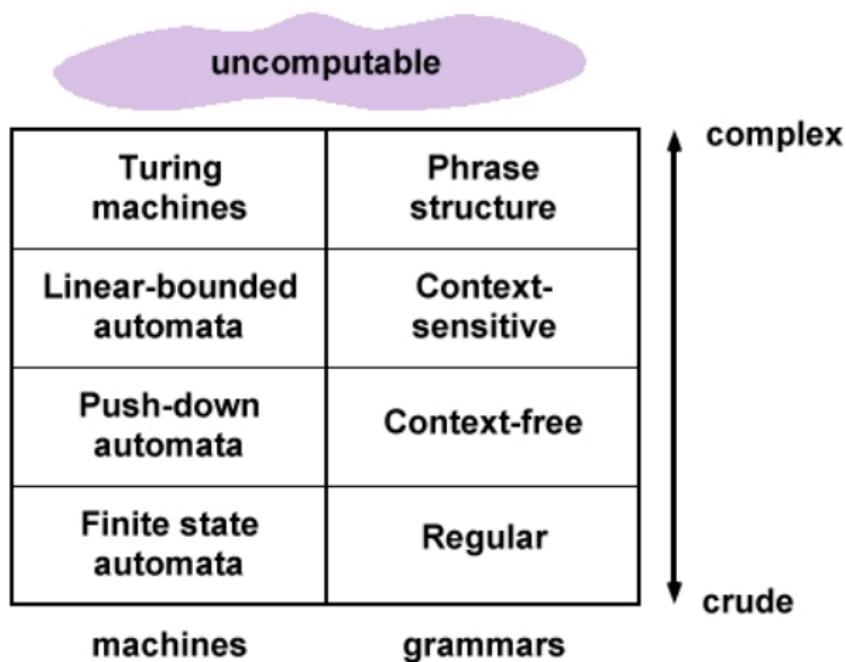
[picture of  $M'$  to be given]

But then  $L_u$  would actually be r.e., in contradiction with what we proved last time.

# Finite-state automaton



# Formal-languages Chomsky hierarchy



## Summary

# Example suggestions

## Examples

- 1.
- 2.
- 3.

# Recommended reading

## ① Recursive and primitive-recursive functions:

Chapter 4, Recursive Functions of the book:

- ▶ Maribel Fernández [1]: *Models of Computation (An Introduction to Computability Theory)*, Springer-Verlag London, 2009.

# Course overview

Monday, July 7 10.30 – 12.30	Tuesday, July 8 10.30 – 12.30	Wednesday, July 9 10.30 – 12.30	Thursday, July 10 10.30 – 12.30	Friday, July 11
<i>intro</i>	<i>classic models</i>			<i>additional models</i>
<b>Introduction to Computability</b>  computation and decision problems, from logic to computability, overview of models of computation relevance of MoCs	<b>Machine Models</b>  Post Machines, typical features, Turing's analysis of human computers, Turing machines, basic recursion theory	<b>Recursive Functions</b>  primitive recursive functions, Gödel–Herbrand recursive functions, partial recursive funct's, partial recursive = = Turing-computable, Church's Thesis	<b>Lambda Calculus</b>  $\lambda$ -terms, $\beta$ -reduction, $\lambda$ -definable functions, partial recursive = $\lambda$ -definable = Turing computable	
	<i>imperative programming</i>	<i>algebraic programming</i>	<i>functional programming</i>	
				14.30 – 16.30
				<b>Three more Models of Computation</b>
				Post's Correspondence Problem, Interaction-Nets, Fractran
				comparing computational power

# References



Maribel Fernández.

*Models of Computation (An Introduction to Computability Theory).*

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On Computable Numbers, with an Application to the Entscheidungsproblem.

*Proceedings of the London Mathematical Society*, 42(2):230–265, 1936.

<http://www.wolframscience.com/prizes/tm23/images/Turing.pdf>.