Milner's Proof System for Regular Expressions Modulo Bisimilarity is Complete

Crystallization: Near-Collapsing Process Graph Interpretations of Regular Expressions

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Abstract

Milner (1984) defined a process semantics for regular expressions. He formulated a sound proof system for bisimilarity of process interpretations of regular expressions, and asked whether this system is complete.

We report conceptually on a proof that shows that Milner's system is complete, by motivating and describing all of its main steps. We substantially refine the completeness proof by Grabmayer and Fokkink (2020) for the restriction of Milner's system to '1-free' regular expressions. As a crucial complication we recognize that process graphs with empty-step transitions that satisfy the layered loop-existence/elimination property LLEE are not closed under bisimulation collapse (unlike process graphs with LLEE that only have proper-step transitions). We circumnavigate this obstacle by defining a LLEE-preserving 'crystallization procedure' for such process graphs. By that we obtain 'near-collapsed' process graphs with LLEE whose strongly connected components are either collapsed or of 'twin-crystal' shape. Such near-collapsed process graphs guarantee provable solutions for bisimulation collapses of process interpretations of regular expressions.

Kleene [14] (1951) introduced regular expressions, which are widely studied in formal language theory. In a typical formulation, they are constructed from constants 0, 1, letters a from some alphabet (interpreted as the formal languages $\varnothing$, $\{\epsilon\}$, and $\{a\}$, where ϵ is the empty word) and binary operators $+$ and $\cdot$, and the unary Kleene star $*$ (which are interpreted as language union, concatentation, and iteration).

Milner [15] (1984) introduced a process semantics for regular expressions. He defined an interpretation $C(e)$ of regular expressions e as charts (finite process graphs): the interpretation of 0 is deadlock, of 1 is successful termination, letters a are atomic actions, the operators $+$ and $\cdot$ stand for choice and concatenation of processes, and (unary) Kleene star $(\cdot)^*$

represents iteration with the option to terminate successfully before each execution of the iteration body. He then defined the process semantics of 'star expressions' (regular expressions in this context) e as 'star behaviors' $\llbracket e \rrbracket_P := [C(e)]_{\leftrightarrow}$, that is, as equivalence classes of chart interpretations with respect to bisimilarity $\leftrightarrow$. Milner was interested in an axiomatization of equality of 'star behaviors'. For this purpose he adapted Salomaa's complete proof system [16] for language equivalence on regular expressions to a system Mil (see Def. 2.6) that is sound for equality of denoted star behaviors. Recognizing that Salomaa's proof strategy cannot be followed directly, he left completeness as an open question.

Over the past 38 years, completeness results have been obtained for restrictions of Milner's system to the following subclasses of star expressions: (a) without 0 and 1, but with binary star iteration $e_1 \circledast e_2$ instead of unary star [6], (b) with 0, with iterations restricted to exit-less ones $(\cdot)^* \cdot 0$, without 1 [5] and with 1 [4], (c) without 0, and with only restricted occurrences of 1 [3], and (d) '1-free' expressions formed with 0, without 1, but with binary instead of unary iteration [13]. By refining concepts developed in [13] for the proof of (d) we can finally establish completeness of Mil.

The aim of this article. We provide an outline of the completeness proof for Mil. Hereby our focus is on the main new concepts and results. For scrutiny of most of the crucial arguments of the proof we refer to the appendix, which is the kernel of a monograph on the proof that we are writing. While details are sometimes only hinted at in this article, we think that the crystallization technique we present opens up a wide space for other applications (we suggest one in Sect. 9). We want to communicate it in summarized form to the community in order to stimulate its further development.

1 Motivation for the chosen proof strategy

We explain the main obstacle we encountered for developing our proof strategy through explaining shortcomings of existing approaches. Finally we describe crucial new concepts that we use for adapting the collapse strategy from [12, 13].

Obstacle for the 'bisimulation chart' proof strategy. Milner [15] recognized that completeness of the proof system Mil cannot be established along the lines of Salomaa's completeness proof for his proof system $\mathbf{F_1}$ of language equivalence of regular expressions [16]. The reason is as follows. Adopting Salomaa's proof strategy would mean (i) to link given bisimilar chart interpretations $C(e_1)$ and $C(e_2)$ of star

expressions e_1 and e_2 via a chart $\mathcal{B}$ that represents a bisimulation between $C(e_1)$ and $C(e_2)$, (ii) to use this link via functional bisimulations from $\mathcal{B}$ to $C(e_1)$ and $C(e_2)$ to prove equal in Mil the provable solutions e_1 of $C(e_1)$, and e_2 of $C(e_2)$, (iii) to extract from $\mathcal{B}$ a star expression e that provably solves $\mathcal{B}$, and then is provably equal to e_1 and e_2. Here a 'provable solution' of a chart C is a function s from its set of vertices to star expressions such that the value $s(v)$ at a vertex v can be reconstructed, provably in Mil, from the transitions to, and the expressions at, immediate successor vertices of v in C, and (non-)termination at v. By the 'principal value' of a provable solution we mean its value at the start vertex. In pictures we write 'e is solution' for 'e is the principal value of a solution'.

$$\begin{array}{c} \left.\begin{array}{l} e_1 \text{ is solution of } \mathcal{B} \\ e_2 \text{ is solution of } \mathcal{B} \end{array}\right\} \overset{?}{\Longrightarrow} e_1 =_{\text{Mil}} e_2 \\[2ex] \mathcal{B} \quad\quad\quad \Uparrow \\ C(e_1) \xleftrightarrow[\text{(assm)}]{} C(e_2) \quad e_i \text{ is solution of } C(e_i) \ (i \in \{1, 2\}) \end{array}$$
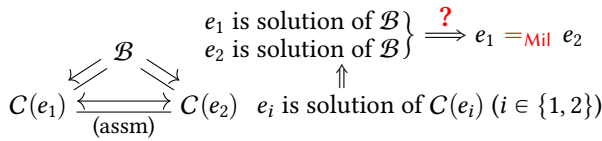
First by (i) star expressions e_1 and e_2 can be shown to be the principal values of provable solutions of their chart interpretations $C(e_1)$ and $C(e_2)$, respectively. These solutions can be transferred backwards by (ii) over the functional bisimulations from the bisimulation chart $\mathcal{B}$ to $C(e_1)$ and $C(e_2)$, respectively. It follows that e_1 and e_2 are the principal values of two provable solutions of $\mathcal{B}$. However, now the obstacle appears, because the extraction procedure in (iii) of a proof of $e_1 = e_2$ in Mil cannot work, like Salomaa's, for all charts $\mathcal{B}$ irrespective of the actions of its transitions. An example that demonstrates that is the chart C_{12} in Ex. 4.1 in [12, 13]. This is because some charts are unsolvable ("[In] contrast with the case for languages—an arbitrary system of guarded equations in [star]-behaviours cannot in general be solved in star expressions" [15]), but turn into a solvable one if all actions in it are replaced by a single one. The reason for the failure of Salomaa's extraction procedure is then that the absence in Mil of the *left*-distributivity law $x \cdot (y + z) = x \cdot y + x \cdot z$ (it is not sound under bisimilarity) frequently prevents applications of the fixed-point rule RSP* in Mil unlike for the system $\mathbf{F_1}$ that Salomaa proved complete. We conclude that such a bisimulation-chart proof strategy, inspired by Salomaa [16], is not expedient for showing completeness of Mil.
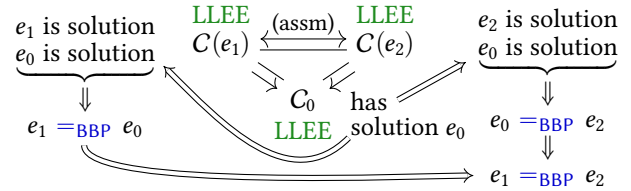
However, if the fixed-point rule RSP* in Milner's system is replaced in Mil by a general unique-solvability rule scheme USP for guarded systems of equations, then a proof system arises to which the bisimulation-chart proof strategy is applicable. That system can therefore be shown to be complete comparatively easily (as noted in [9]).

Loop existence and elimination. A sufficient structural condition for solvability of a chart, and correspondingly of a linear system of recursion equations, by a regular expression modulo bisimilarity was given by Grabmayer and Fokkink in [13]: the 'loop existence and elimination' condition LEE,

and its 'layered' specialization LLEE, which is independent of the specific actions in a chart. These properties are refinements for graphs of 'well-behaved specifications' due to Baeten and Corradini in [2] that single out a class of 'palm trees' (trees with back-links) that specify star expressions under the process interpretation. For showing that the tailored restriction BBP of Milner's system Mil to '1-free' star expressions (without 1, but with binary instead of unary star iteration) is complete, the following properties were established in [13]: $(\mathbf{I_1})$ Chart interpretations of 1-free star expressions are LLEE-charts. $(\mathbf{S_1})$ Every 1-free star expression e is the principal value of a provable solution of its chart interpretation $C(e)$. $(\mathbf{E_1})$ From every LLEE-chart C a provable solution of C (by 1-free star expressions) can be extracted. $(\mathbf{SE_1})$ All provable solutions of a LLEE-chart are provably equal. $(\mathbf{P_1})$ Every provable solution can be pulled back from the target to the source chart of a functional bisimulation to obtain a provable solution of the source chart. $(\mathbf{C_1})$ The bisimulation collapse of a LLEE-chart is again a LLEE-chart.

As a consequence of these properties, a finite chart C is expressible by a 1-free star expression modulo bisimilarity if and only if the bisimulation collapse of C satisfies LLEE.

The 'bisimulation collapse' proof strategy for BBP **([13]).** For the completeness proof of the tailored restriction BBP of Milner's system Mil to 1-free star expressions, Grabmayer and Fokkink in [13] linked bisimilar chart interpretations $C(e_1)$ and $C(e_2)$ of 1-free star expressions e_1 and e_2 via the joint bisimulation collapse C_0. That argument, which we recapitulate below, can be illustrated as follows:

$$\begin{array}{c} \underbrace{\begin{array}{l} e_1 \text{ is solution} \\ e_0 \text{ is solution} \end{array}}_{} \quad \overset{\text{LLEE}}{C(e_1)} \overset{\text{(assm)}}{\xleftrightarrow{\hspace{1em}}} \overset{\text{LLEE}}{C(e_2)} \quad \underbrace{\begin{array}{l} e_2 \text{ is solution} \\ e_0 \text{ is solution} \end{array}}_{} \\[3ex] \Downarrow \quad\quad \searrow \qu
 \swarrow \quad\quad \Downarrow \\ e_1 =_{\text{BBP}} e_0 \quad\quad C_0 \text{ has} \quad\quad e_0 =_{\text{BBP}} e_2 \\ \overset{\text{LLEE}}{\quad} \text{solution } e_0 \\[2ex] \quad\quad\quad\quad e_1 =_{\text{BBP}} e_2 \end{array}$$

By $(\mathbf{S_1})$, the star expressions e_1 and e_2 are the principal values of provable solutions s_1 and s_2 of their chart interpretations $C(e_1)$ and $C(e_2)$, respectively. Furthermore, by $(\mathbf{I_1})$ the chart interpretations of the 1-free star expressions $C(e_1)$ and $C(e_2)$ have the property LLEE. Since LLEE is preserved under the operation of bisimulation collapse due to $(\mathbf{C_1})$, the joint bisimulation collapse C_0 of $C(e_1)$ and $C(e_2)$ is again a LLEE-chart. Therefore a provable solution s_0 can be extracted from C_0 due to $(\mathbf{E_1})$. Let e_0 be its principal value. The solution s_0 can be pulled back from C_0 conversely over the functional bisimulations from $C(e_1)$ and $C(e_2)$ to C_0 due to $(\mathbf{P_1})$, and thereby defines provable solutions $\tilde{s}_1$ of $C(e_1)$ and $\tilde{s}_2$ of $C(e_2)$, both with e_0 as the principal value. Now having provable solutions s_1 and $\tilde{s}_1$ of the LLEE-chart $C(e_1)$, these solutions are BBP-provably equal by $(\mathbf{SE_1})$, and hence also their principal values e_1 and e_0, that is $e_1 =_{\text{BBP}} e_0$. Analogously $e_1 =_{\text{BBP}} e_0$ can be established. Then $e_1 =_{\text{BBP}} e_2$ follows by applying symmetry and transitivity proof rules of equational logic.

Obstacles for a 'bisimulation collapse' strategy for Mil**.**
A generalization of this argument for arbitrary star expressions runs into two problems that can be illustrated as:

$$\textbf{(I)}: \quad C(e) \; \cancel{\text{LLEE}} \qquad\qquad \textbf{(C)}: \quad \underline{C} \; {}^{\text{\color{green}LLEE}}$$
$$\color{magenta}\downarrow\!\!\downarrow$$
$$\text{any } 1\text{-bisimulation collapse} \quad \underline{C}_0 \; \cancel{\text{LLEE}} \text{ of } \underline{C}$$

First, see (I), there are star expressions e whose chart interpretation $C(e)$ satisfies neither LEE nor LLEE, as was noted in [11]. In order to still be able to utilize LLEE, in [11] a variant chart interpretation $\underline{C}(e)$ was defined for star expressions e such that $\underline{C}(e)$ is 'LLEE-1-chart', that is, a chart with '1-transitions' (explicit empty-step transitions) that satisfies LLEE, and $\underline{C}(e)$ is '1-bisimilar' to the chart interpretation $C(e)$. Hereby '1-bisimulations' and '1-bisimilarity' are adaptations of bisimulations and bisimilarity to 1-charts.

However, use of the variant chart interpretation encounters the second obstacle (C) as illustrated above. A part of it that was also observed in [11] is that LLEE-1-charts are not closed under bisimulation collapse, unlike LLEE-charts. While this renders the bisimulation collapse proof strategy unusable, we here show that an adaptation to a '1-bisimulation collapse' strategy is not possible, either, if it is based on '1-bisimulation collapsed' 1-charts in which none of its vertices are 1-bisimilar. In particular we show (C) as the first of our key observations and concepts as listed below:

① LLEE-1-*charts are not in general collapsible to (collapsed)* LLEE-1-*charts.* Nor do 1-bisimilar LLEE-1-charts always have a joint (1-bisimilarity) minimization. We demonstrate this by a example (see Fig. 4).

The second part of ① also prevents the generalization of a variation of the proof in [13] sketched above that uses that any two bisimilar LLEE-charts (thus without 1-transitions) are jointly minimizable under bisimilarity (which was shown by Schmid, Rot, and Silva in [17]).

How we recover the collapse proof strategy for Mil**.** We define 'crystallized' approximations with LLEE of collapsed LLEE-1-charts in order to show that bisimulation collapses of LLEE-1-charts have provable solutions. For this purpose we combine the following concepts and their properties:

② *Twin-Crystals*: These are 1-charts with a single strongly connected comTonent (scc) that exhibit a self-inverse symmetry function that links 1-bisimilar vertices. Twin-Crystals abstract our example that demonstrates ①.

③ *Near-Collapsed* 1-charts: These are 1-charts in which 1-bisimilar vertices appear as pairs that are linked by a self-inverse function that induces a 'grounded 1-bisimulation slice'. Twin-Crystals are near-collapsed LLEE-1-charts.

④ *Crystallization:* By this we understand a process of stepwise minimization of LLEE-1-charts under 1-bisimilarity that produces 1-bisimilar 'crystallized' LLEE-1-charts in which all strongly connected comTonents are collapsed or of twin-crystal shape. This process uses the connect-through operation from [13] for 1-bisimilar vertices. We show that crystallized 1-charts are near-collapsed.

⑤ *Complete* Mil-*provable solution* of a 1-chart $\underline{C}$: This is a Mil-provable solution of $\underline{C}$ with the property that its values for 1-bisimilar vertices of $\underline{C}$ are Mil-provably equal. Any complete Mil-provable solution of a 1-chart $\underline{C}$ yields a Mil-provable solution of the bisimulation collapse of $\underline{C}$.

⑥ *Elevation* of vertex sets above 1-charts: This is a concept of partially unfolding 1-charts that facilitates us to show that near-collapsed weakly guarded LLEE-1-charts have complete Mil-provable solutions.

With these conceptual tools we will be able to recover the collapse proof strategy for LLEE-1-charts. The idea is to establish, for given 1-bisimilar LLEE-1-charts $\underline{C}_1$ and $\underline{C}_2$, a link via which solutions of $\underline{C}_1$ and $\underline{C}_2$ with the same principal value can be obtained. We create such a link via the crystallized LLEE-1-chart $\underline{C}_{10}$ of (one of them, say) $\underline{C}_1$ and the joint bisimulation collapse C_0 of $\underline{C}_1$ and $\underline{C}_2$. Then a solution of $\underline{C}_{10}$ can obtained, transferred first to C_0, and then to $\underline{C}_1$ and to $\underline{C}_2$. This argument will be illustrated in Fig. 3 in Section 4, where we give the completeness proof for Mil based on lemmas.

2 Preliminaries

Let A be a set whose members we call *actions*. The set $StExp(A)$ of *star expressions over actions in A* is defined by the following grammar, where $a \in A$:

$$e, e_1, e_2 ::= 0 \mid 1 \mid a \mid e_1 + e_2 \mid e_1 \cdot e_2 \mid e^*$$

Definition 2.1 (1-charts and 1-LTSs)**.** A *1-chart* is a 6-tuple $\langle V, A, 1, v_s, \rightarrow, \downarrow \rangle$ with V a <u>finite</u> set of *vertices*, A a <u>finite</u> set of *(proper) action labels*, $1 \notin A$ the specified *empty step label*, $v_s \in V$ the *start vertex* (hence $V \neq \varnothing$), $\rightarrow \subseteq V \times \underline{A} \times V$ the *labeled transition relation*, where $\underline{A} := A \cup \{1\}$ is the set of action labels including 1, and $\downarrow \subseteq V$ a set of *vertices with immediate termination* (or *terminating* vertices). In such a 1-chart, we call a transition in $\rightarrow \cap (V \times A \times V)$ (labeled by a *proper action* in A) a *proper transition*, and a transition in $\rightarrow \cap (V \times \{1\} \times V)$ (labeled by 1) a *1-transition*. Reserving non-underlined action labels like $a, b, \dots$ for proper actions, we use highlighted underlined action label symbols like $\underline{a}$ for actions labels in the set $\underline{A}$ that includes the label 1.

A 1-*LTS* (a *labeled transition system with 1-transitions and immediate termination*) is a 5-tuple $\langle V, A, 1, \rightarrow, \downarrow \rangle$ with concepts as explained above but without a start vertex. For every 1-chart $\underline{C} = \langle V, A, 1, v_s, \rightarrow, \downarrow \rangle$ we denote by $\underline{\mathcal{L}}(\underline{C}) = \langle V, A, 1, \rightarrow, \downarrow \rangle$ the 1-LTS *underlying $\underline{C}$* (or *that underlies $\underline{C}$*).

We say that a 1-chart $\underline{C}$ (a 1-LTS $\underline{\mathcal{L}}$) is *weakly guarded* (*w.g.*) if $\underline{C}$ (resp. $\underline{\mathcal{L}}$) does not have an infinite path of 1-transitions.

By an *induced a-transition* $v \xrightarrow{(a)} w$, for a proper action $a \in A$, in a 1-chart $\underline{C}$ (in a 1-LTS $\underline{\mathcal{L}}$) we mean a path of the form $v \xrightarrow{1} \cdots \xrightarrow{1} \cdot \xrightarrow{a} w$ in $\underline{C}$ (in $\underline{\mathcal{L}}$) that consists of a finite

number of 1-transitions that ends with a proper a-transition. By *induced termination* $v\!\downarrow^{(1)}$, for $v \in V$ we mean that there is a path $v \xrightarrow{1} \cdots \xrightarrow{1} \tilde{v}$ with $\tilde{v}\!\downarrow$ in $\underline{C}$ (in $\underline{\mathcal{L}}$).

By a *chart* (a *LTS*) we mean a 1-transition free 1-chart (a 1-transition free LTS). Let $\underline{C} = \langle V, A, 1, v_{\mathrm{s}}, \rightarrow, \downarrow \rangle$ be a 1-chart. We define by $\underline{C}_{(\cdot)} := \langle V, A, 1, v_{\mathrm{s}}, \xrightarrow{(\cdot)}, \downarrow^{(1)} \rangle$ *the induced chart of* $\underline{C}$ whose transitions are the induced transitions of $\underline{C}$, and whose terminating vertices are the vertices of $\underline{C}$ with induced termination. Note that $\underline{C}_{(\cdot)}$ is 1-transition free. Also, for every vertex $w \in V$ we denote by $\underline{C}\!\downarrow^w_* := \langle V, A, 1, w, \rightarrow, \downarrow \rangle$ the *generated sub(-1)-chart of* $\underline{C}$ *at* w.

Definition 2.2 (1-bisimulating slices, 1-bisimulations for 1-LTSs)**.** Let $\underline{\mathcal{L}}_i = \langle V_i, A, 1, \rightarrow_i, \downarrow_i \rangle$ for $i \in \{1, 2\}$ be 1-LTSs.

A 1-*bisimulating slice between* $\underline{\mathcal{L}}_1$ *and* $\underline{\mathcal{L}}_2$ is a binary relation $B \subseteq V_1 \times V_2$, with active domain $W_1 := \mathrm{dom}_{\mathrm{act}}(B) = \pi_1(B)$, and active codomain $W_2 := \mathrm{cod}_{\mathrm{act}}(B) = \pi_2(B)$, where $\pi_i : V_1 \times V_2 \rightarrow V_i, \pi_i(\langle v_1, v_2 \rangle) = v_i$, for $i \in \{1, 2\}$, such that $B \neq \varnothing$, and for all $\langle v_1, v_2 \rangle \in B$ the three conditions hold:

(forth)$_{\mathrm{s}}$ $\forall a \in A \; \forall v_1' \in V_1 \big(v_1 \xrightarrow{(a)}_1 v_1' \; \wedge \; \underline{v_1' \in W_1}$
$$\implies \exists v_2' \in V_2 \big(v_2 \xrightarrow{(a)}_2 v_2' \; \wedge \; \langle v_1', v_2' \rangle \in B \big) \big),$$

(back)$_{\mathrm{s}}$ $\forall a \in A \; \forall v_2' \in V_2 \big(v_2 \xrightarrow{(a)}_2 v_2' \; \wedge \; \underline{v_2' \in W_2}$
$$\implies \exists v_1' \in V_1 \big(v_1 \xrightarrow{(a)}_1 v_1' \; \wedge \; \langle v_2', v_1' \rangle \in B \big) \big),$$

(termination) $v_1\!\downarrow^{(1)}_1 \; \Longleftrightarrow \; v_2\!\downarrow^{(1)}_2$.

Here (forth)$_{\mathrm{s}}$ entails $v_2' \in W_2$, and (back)$_{\mathrm{s}}$ entails $v_1' \in W_1$.

A 1-*bisimulation between* $\underline{\mathcal{L}}_1$ *and* $\underline{\mathcal{L}}_2$ is a 1-bisimulation slice B between $\underline{\mathcal{L}}_1$ and $\underline{\mathcal{L}}_2$ such that the active domain of B, and the active codomain of B, are transition-closed (that is, closed under $\rightarrow_1$ and $\rightarrow_2$, respectively), or equivalently, a non-empty relation $B \subseteq V_1 \times V_2$ such that for every $\langle w_1, w_2 \rangle \in B$ the conditions (forth), (back), (termination) hold, where (forth), and (back) result from (forth)$_{\mathrm{s}}$ and (back)$_{\mathrm{s}}$ by dropping the $\underline{\mathrm{underlined}}$ conjuncts.

By a 1-*bisimulation slice between* $\underline{\mathcal{L}}_1$ *and* $\underline{\mathcal{L}}_2$ we mean a 1-bisimulating slice between $\underline{\mathcal{L}}_1$ and $\underline{\mathcal{L}}_2$ that is contained in a 1-bisimulation between $\underline{\mathcal{L}}_1$ and $\underline{\mathcal{L}}_2$.

A 1-bisimulating slice (a 1-bisimulation slice, a 1-bisimulation) *on* a 1-LTS $\underline{\mathcal{L}}$ is a 1-bisimulating slice (and resp., a 1-bisimulation slice, a 1-bisimulation) between $\underline{\mathcal{L}}$ and $\underline{\mathcal{L}}$.

Definition 2.3 ((funct.) 1-bisimulation between 1-charts)**.** We consider 1-charts $\underline{C}_i = \langle V_i, A, 1, v_{\mathrm{s},i}, \rightarrow_i, \downarrow_i \rangle$ for $i \in \{1, 2\}$.

A 1-*bisimulation* between 1-charts $\underline{C}_1$ and $\underline{C}_2$ is a 1-bisimulation $B \subseteq V_1 \times V_2$ between the 1-LTSs $\underline{\mathcal{L}}(\underline{C}_1)$ and $\underline{\mathcal{L}}(\underline{C}_2)$ underlying $\underline{C}_1$ and $\underline{C}_2$, respectively, such that additionally:
(start) $\langle v_{\mathrm{s},1}, v_{\mathrm{s},2} \rangle \in B$ (B relates start vertices of $\underline{C}_1$ and $\underline{C}_2$) holds; thus B must satisfy (start), and, for all $\langle w_1, w_2 \rangle \in B$, the conditions (forth), (back), (termination) from Def. 2.2.

By a *functional* 1-bisimulation *from* $\underline{C}_1$ *to* $\underline{C}_2$ we mean a 1-bisimulation between $\underline{C}_1$ and $\underline{C}_2$ that is the graph of a partial function from V_1 to V_2. By $\underline{C}_1 \leftrightarrow \underline{C}_2$ (by $\underline{C}_1 \rightharpoonup \underline{C}_2$)

we denote that there is a 1-bisimulation between $\underline{C}_1$ and $\underline{C}_2$ (respectively, a functional 1-bisimulation from $\underline{C}_1$ to $\underline{C}_2$).

Definition 2.4. Let $\underline{C} = \langle V, A, 1, v_{\mathrm{s}}, \rightarrow, \downarrow \rangle$ be a 1-chart.

By $\underline{\leftrightarrow}_{\underline{C}}$ we denote 1-*bisimilarity on* $\underline{C}$, the largest 1-bisimulation (which is the union of all 1-bisimulations) between $\underline{C}$ and $\underline{C}$ itself. If $w_1 \underline{\leftrightarrow}_{\underline{C}} w_2$ holds for vertices $w_1, w_2 \in V$, then we say that w_1 *and* w_2 *are* 1-*bisimilar in* $\underline{C}$.

We call $\underline{C}$ 1-*collapsed*, and a 1-*bisimulation collapse*, if $\underline{\leftrightarrow}_{\underline{C}} = \mathrm{id}_V$ holds, that is, if 1-bisimilar vertices of $\underline{C}$ are identical. If, additionally, $\underline{C}$ does not contain any 1-transitions, then we call $\underline{C}$ *collapsed*, and a *bisimulation collapse*.

Let $w_1, w_2 \in V$. We say that w_1 *is a substate of* w_2, denoted by $w_1 \sqsubseteq_{\underline{C}} w_2$, if the pair $\langle w_1, w_2 \rangle$ forth-progresses to 1-bisimilarity on $\underline{C}$ in the sense of the following conditions:

(prog-forth) $\forall w_1' \in V_1 \forall a \in A \big(w_1 \xrightarrow{(a)} w_1'$
$$\implies \exists w_2' \in V_2 \big(w_2 \xrightarrow{(a)} w_2' \; \wedge \; w_1' \underline{\leftrightarrow}_{\underline{C}} w_2' \big) \big),$$

(prog-termination) $w_1\!\downarrow^{(1)} \implies w_2\!\downarrow^{(1)}$.

Definition 2.5. The *chart interpretation of* a star expression $e \in StExp(A)$ is the (1-tr. free) chart $C(e) = \langle V(e), A, 1, e, \rightarrow \cap (V(e) \times V(e)), \downarrow \cap V(e) \rangle$ where $V(e)$ consists of all star expressions that are reachable from e via transitions of the labeled transition relation $\rightarrow \subseteq StExp(A) \times A \times StExp(A)$, which is defined, together with the imm.-termination relation $\downarrow \subseteq StExp(A)$, by derivability in the transition system specification (TSS) $\mathcal{T}(A)$, where $a \in A, e, e_1, e_2, e' \in StExp(A)$:

$$\frac{}{1\!\downarrow} \qquad \frac{e_i\!\downarrow}{(e_1 + e_2)\!\downarrow} \qquad \frac{e_1\!\downarrow \quad e_2\!\downarrow}{(e_1 \cdot e_2)\!\downarrow} \qquad \frac{}{(e^*)\!\downarrow}$$

$$\frac{}{a \xrightarrow{a} 1} \qquad \frac{e_i \xrightarrow{a} e_i'}{e_1 + e_2 \xrightarrow{a} e_i'} \qquad \frac{e \xrightarrow{a} e'}{e^* \xrightarrow{a} e' \cdot e^*}$$

$$\frac{e_1 \xrightarrow{a} e_1'}{e_1 \cdot e_2 \xrightarrow{a} e_1' \cdot e_2} \qquad \frac{e_1\!\downarrow \quad e_2 \xrightarrow{a} e_2'}{e_1 \cdot e_2 \xrightarrow{a} e_2'}$$

Definition 2.6. Milner's proof system Mil on star expressions has the following axioms (here numbered differently):

(A1) $e + (f + g) = (e + f) + g$ (A7) $e = 1 \cdot e$

(A2) $e + 0 = e$ (A8) $e = e \cdot 1$

(A3) $e + f = f + e$ (A9) $0 = 0 \cdot e$

(A4) $e + e = e$ (A10) $e^* = 1 + e \cdot e^*$

(A5) $e \cdot (f \cdot g) = (e \cdot f) \cdot g$ (A11) $e^* = (1 + e)^*$

(A6) $(e + f) \cdot g = e \cdot g + f \cdot g$

The rules of Mil are the basic inference rules of equational logic (reflexivity, symmetry, transitivity of $=$, compatibility of $=$ with $+, \cdot, (\cdot)^*$) as well as the fixed-point rule RSP*:

$$\frac{e = f \cdot e + g}{e = f^* \cdot g} \; \text{RSP}^* \; (\text{if } f\!\downarrow\!\!\!\!/)$$

By $e_1 =_{\mathsf{Mil}} e_2$ we denote that $e_1 = e_2$ is derivable in Mil.

By Mil^- we denote the purely equational part of Mil that results by dropping the rule scheme RSP* from Mil.

Definition 2.7. While we formulate the stipulations below for 1-LTSs, we will use them also for 1-charts. So we let $\underline{\mathcal{L}} = \langle V, A, 1, \to, \downarrow \rangle$ be a 1-LTS, and we let $\mathcal{S} \in \{\text{Mil}, \text{Mil}^-\}$.

By a *star expression function on $\underline{\mathcal{L}}$* we mean a function $s : V \to StExp(A)$ on the vertices of $\underline{\mathcal{L}}$. Now we let $v \in V$. We say that such a star expression function s on $\underline{\mathcal{L}}$ is an *$\mathcal{S}$-provable solution of $\underline{\mathcal{L}}$ at v* if it holds that:

$$s(v) =_{\mathcal{S}} \tau_{\underline{\mathcal{L}}}(v) + \sum_{i=1}^{n} \underline{a_i} \cdot s(v_i)$$

given that $Tr_{\underline{\mathcal{L}}}(v) = \left\{ v \xrightarrow{a_i} v_i \mid i \in \{1, \dots, n\} \right\}$ is a (possibly redundant) list representation of transitions from v in $\underline{\mathcal{L}}$, and where $\tau_{\underline{\mathcal{L}}}(v)$ is the *termination constant* $\tau_{\underline{\mathcal{L}}}(v)$ *of $\underline{\mathcal{L}}$ at v* defined as 0 if $v \not\downarrow$, and as 1 if $v \downarrow$. This definition does not depend on the specifically chosen list representation of $Tr_{\underline{\mathcal{L}}}(v)$, because $\mathcal{S}$ contains the associativity, commutativity, and idempotency axioms for $+$.

By an *$\mathcal{S}$-provable solution of $\underline{\mathcal{L}}$* (with *principal value $s(v_s)$* at the start vertex v_s) we mean a star expression function s on $\underline{\mathcal{L}}$ that is an $\mathcal{S}$-provable solution of $\underline{\mathcal{L}}$ at every vertex of $\underline{\mathcal{L}}$.

We say that an $\mathcal{S}$-provable solution s of $\underline{\mathcal{L}}$ is *$\mathcal{S}$-complete* if:

$$w_1 \underleftrightarrow{}_{\underline{\mathcal{L}}} w_2 \implies s(w_1) =_{\mathcal{S}} s(w_2), \qquad \text{(concept ⑤)}$$

holds for all $w_1, w_2 \in V$, that is, if values of the solution s at 1-bisimilar vertices of $\underline{\mathcal{L}}$ are $\mathcal{S}$-provably equal.

The following lemma gathers preservation statements of (complete) provable solutions under (functional) 1-bisimilarity that are crucial for the completeness proof.

Lemma 2.8. *On weakly guarded 1-charts, the following statements hold for all star expressions $e \in StExp$:*

  (i) *Mil-Provable solvability with principal value e is preserved under converse functional 1-bisimilarity.*

 (ii) *Mil-Complete Mil-provable solvability with principal value e of a w.g. 1-chart $\underline{C}$ implies Mil-provable solvability with principal value e of the bisimulation collapse of $\underline{C}$. (See ⑤.)*

(iii) *Mil-Complete Mil-provable solvability with principal value e is preserved under 1-bisimilarity.*

3 LLEE-1-Charts

We use the adaptation of the 'loop existence and elimination property' LEE from [13] to 1-charts as described in [7, 9]. Here we only briefly explain the concept by examples, and refer to [7, 9] and to the appendix for the definitions. Crucially, we gather statements from [7, 9] that we need for the proof.

LEE is defined by a stepwise elimination process of 'loop sub-1-charts' from a given 1-chart $\underline{C}$. A run of this process is illustrated in Fig. 1. Hereby a 1-chart $\underline{\mathcal{L}C} = \langle V, A, 1, v_s, \to, \downarrow \rangle$ is called a *loop 1-chart* if it satisfies three conditions:

(L1) There is an infinite path from the start vertex v_s.

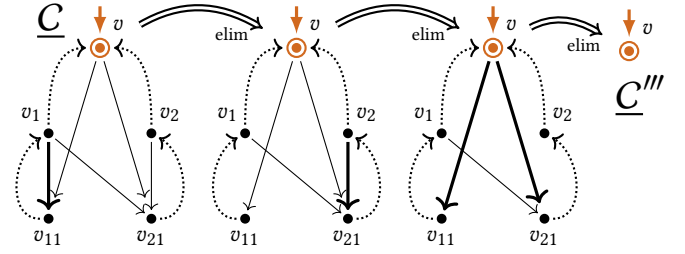(L2) Every infinite path from v_s returns to v_s after a positive number of transitions.

Figure 1. A successful run of the loop elimination procedure. The start vertex is indicated by ➤ , immediate termination by a boldface ring. Loop-entry transitions of loop sub-1-charts eliminated in the next step are marked in bold. Action labels are neglected, however dotted arrows indicate 1-transitions.

(L3) Immediate termination is only permitted at the start vertex, that is, $\downarrow \subseteq \{v_s\}$.

A *loop sub-1-chart of* a 1-chart $\underline{C}$ is a loop 1-chart $\underline{\mathcal{L}C}$ that is a sub-1-chart of $\underline{C}$ with some vertex $v \in V$ of $\underline{C}$ as start vertex, such that $\underline{\mathcal{L}C}$ is constructed, for a nonempty set U of transitions of $\underline{C}$ from v, by all paths that start with a transition in U and continue onward until v is reached again (so the transitions in U are the loop-entry transitions of $\underline{\mathcal{L}C}$). *Eliminating a loop sub-1-chart $\underline{\mathcal{L}C}$ from a 1-chart $\underline{C}$* consists of removing all loop-entry transitions of $\underline{\mathcal{L}C}$ from $\underline{C}$, and then also removing all vertices and transitions that become unreachable. Fig. 1 shows a successful three-step run of the loop elimination procedure. A 1-chart $\underline{C}$ has the *loop existence and elimination property* (LEE) if the procedure, started on $\underline{C}$, of repeated eliminations of loop sub-1-charts results in a 1-chart without an infinite path. If, in a successful elimination process from a 1-chart $\underline{C}$, loop-entry transitions are never removed from the body of a previously eliminated loop sub-1-chart, then we say that $\underline{C}$ satisfies *layered* LEE (LLEE), and is a LLEE-1-chart. LLEE-1-LTSs are 1-LTSs that are defined analogously. While the property LLEE leads to a formally easier concept of 'witness', it is equivalent to LEE. Since the resulting 1-chart $\underline{C}'''$ in Fig. 1 does not have an infinite path, and no loop-entry transitions have been removed from a previously eliminated loop sub-1-chart, we conclude that the initial 1-chart $\underline{C}$ satisfies LLEE as well as LEE.

A LLEE-*witness* $\hat{C}$ of a 1-chart $\underline{C}$ is the recording of a successful run of the loop elimination procedure by attaching to a transition τ of $\underline{C}$ the marking label n for $n \in \mathbb{N}^+$ (in pictures indicated as $[n]$, in steps as $\to_{[n]}$) forming a *loop-entry transition* if τ is eliminated in the n-th step, and by attaching marking label 0 to all other transitions of $\underline{C}$ (in pictures neglected, in steps indicated as $\to_{bo}$) forming a *body transition*. Formally, LLEE-witnesses arise as *entry/body-labelings* from 1-charts, and are charts in which the transition labels are pairs of action labels over A, and marking labels in $\mathbb{N}$.
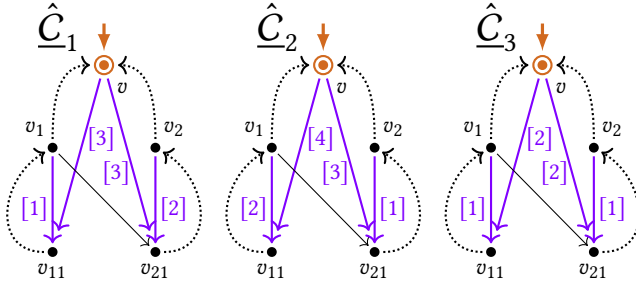
Figure 2. Three LLEE-witnesses of the 1-chart $\underline{C}$ in Fig. 1. $\underline{\hat{C}}_1$ is a recording of the successful procedure run in Fig. 1 of the order in which loop-entry transitions have been removed.

The LLEE-witness $\underline{\hat{C}}_1$ in Fig. 2 arises from the run of the loop elimination procedure in Fig. 1. The LLEE-witnesses $\underline{\hat{C}}_2$ and $\underline{\hat{C}}_3$ of $\underline{C}$ in Fig. 2 record two other successful runs of the loop elimination procedure of length 4 and 2, respectively, where for $\underline{\hat{C}}_3$ we have permitted to eliminate two loop subcharts at different vertices together in the first step.

Definition 3.1 (1-charts with LLEE–1-lim)**.** Let $\underline{C}$ be a 1-chart.

Let $\underline{\hat{C}}$ be a LLEE-witness of $\underline{C}$. We say that $\underline{\hat{C}}$ *is 1-transition limited* if every 1-transition of $\underline{C}$ lifts to a *backlink* (a transition from the body of a loop sub-1-chart back to its start) in $\underline{\hat{C}}$. Fixing also a weaker property, we say that $\underline{\hat{C}}$ is *guarded* if all of its loop-entry transitions are proper transitions.

We say that $\underline{C}$ *satisfies* LLEE–1-lim, and *is 1-transition limited*, if $\underline{C}$ has a 1-transition limited LLEE-witness. We say that $\underline{C}$ *is guarded* if $\underline{C}$ has a guarded LLEE-witness.

We note that 1-transition limited LLEE-1-charts are guarded (since loop-entry transition are not backlinks), and guarded LLEE-1-charts are weakly guarded (since in a guarded LLEE-witness every 1-transition path is a body transition path, which as in every LLEE-witness is guaranteed to be finite).

Lemma 3.2. *Every weakly guarded* LLEE-1-chart is 1-bisimilar to a (guarded) 1-chart with LLEE–1-lim.

Two crucial properties of LLEE-1-charts that motivate their use, like for LLEE-charts earlier in [12, 13], are their provable solvability and unique solvability modulo provability in Mil. The two lemmas below that express these properties are generalizations to LLEE-1-charts of Prop. 5.5 and Prop. 5.8 in [12, 13]), and have been proved in [8, 9].

Lemma 3.3. *From every guarded* LLEE-*witness $\underline{\hat{C}}$ of a (weakly guarded)* LLEE-1-chart $\underline{C}$ a Mil$^-$-provable solution $s_{\underline{\hat{C}}}$ of $\underline{C}$ can be extracted effectively.

Lemma 3.4. *For every guarded* LLEE-1-chart $\underline{C}$ it holds that any two Mil-provable solutions of $\underline{C}$ are Mil-provably equal.

In Sect. 8 we will need a consequence of Lem. 3.4, namely provable invariance of provable solutions under 'transfer functions', which define functional 1-bisimulations. While

this statement holds for also LLEE-1-charts, we have to formulate it for LLEE-1-LTSs for use later in Sect. 8.

Definition 3.5. A *transfer (partial) function* between 1-LTSs $\underline{\mathcal{L}}_1$ and $\underline{\mathcal{L}}_2$, for $\underline{\mathcal{L}}_i = \langle V_i, A, 1, \rightarrow_i, \downarrow_i \rangle$ where $i \in \{1, 2\}$, is a partial function $\phi : V_1 \rightharpoonup V_2$ whose graph $\{\langle v, \phi(v) \rangle \mid v \in V_1\}$ is a 1-bisimulation between $\underline{\mathcal{L}}_1$ and $\underline{\mathcal{L}}_2$.

Lemma 3.6. *Let $\phi : V_1 \rightharpoonup V_2$ be a transfer function between* LLEE-1-LTS $\underline{\mathcal{L}}_i = \langle V_i, A, 1, \rightarrow_i, \downarrow_i \rangle$, for $i \in \{1, 2\}$. Then for all Mil-provable solutions s_1 of $\underline{\mathcal{L}}_1$, and s_2 of $\underline{\mathcal{L}}_2$ it holds that s_1 coincides Mil-provably with the precomposition $s_2 \circ \phi$ of s_2 and ϕ:

$$s_1(w) =_{\mathsf{Mil}} s_2(\phi(w)), \quad \text{for all } w \in \mathrm{dom}(\phi).$$

A substantial obstacle for the use of LLEE-1-charts was already recognized in [13]: the chart interpretation of star expressions does not in general define LLEE-charts. This obstacle can, however, be navigated successfully by using the result from [7, 11] that a variant chart interpretation can be defined that produces 1-bisimilar LLEE-1-charts instead.

Lemma 3.7. *For every star expression e, there is a 1-chart interpretation $\underline{C}(e)$ of e that has the following properties:*

(i) $\underline{C}(e)$ is a 1-transition limited (guarded) LLEE-1-chart,
(ii) $\underline{C}(e) \rightrightarrows C(e)$, and hence also $\underline{C}(e) \leftrightarrow C(e)$.
(iii) e is the principal value of a Mil-provable solution of $\underline{C}(e)$.

4 Completeness proof based on lemmas

We anticipate the completeness proof for Milner's system by basing it on the following lemmas, which are faithful abbreviations of statements as formulated in other sections. The chosen acronyms for these lemmas stem from the letters that are typeset in boldface italics in their statements:

(IV) For every star expression e, there is a 1-chart *i*nterpretation (*v*ariant) $\underline{C}(e)$ of e with the properties (i), (ii), and (iii) in Lem. 3.7 (see above).

(T) Provable solutions can be *t*ransferred backwards over a transfer function between weakly guarded 1-charts. (See Lem. 2.8, (i)).

(E) From every guarded LLEE-1-chart $\underline{C}$ a provable solution of $\underline{C}$ can be *e*xtracted. (See Lem. 3.3.)

(CR) Every guarded LLEE-1-chart can be transformed into a 1-bisimilar (guarded) *cr*ystallized (LLEE-)1-chart. (See Thm. 7.9, based on Def. 7.5.)

(CN) Every *c*rystallized 1-chart is *n*ear-collapsed. (Lem. 7.8.)

(NC) Solutions extracted from *n*ear-collapsed guarded LLEE-1-charts are *c*omplete provable solutions. (See Lem. 8.2.)

(CC) If a weakly guarded 1-chart $\underline{C}$ has a *c*omplete provable solution with principal value e, then also the (1-transition free) bisimulation *c*ollapse of $\underline{C}$ has a provable solution with principal value e. (See Lem. 2.8, (ii).)

(SE) All provable *s*olutions of a guarded LLEE-1-chart are provably *e*qual. (See Lem. 3.4.)

Figure 3. Structure of the completeness proof (see proof of Thm. 4.1): The argument starts from the assumption $C(e_1) \leftrightarrow C(e_2)$, that the chart interpretations of e_1 and e_2 are bisimilar. It uses 1-bisimilarity with the 1-chart interpretations $\underline{C}(e_1)$ and $\underline{C}(e_2)$ of e_1 and e_2 (which expand the chart interpretations), the crystallization $\underline{C}_{10}$ of $\underline{C}(e_1)$ (which arises by LLEE-preservingly minimizing $\underline{C}(e_1)$ and crystallization operations), and the joint bisimulation collapse C_0 of all of these (1-)charts. The conclusion is $e_1 =_{\text{Mil}} e_2$, that e_1 and e_2 are provably equal in Milner's system Mil. By "f is (complete) solution of $\underline{C}$" we here mean that "f is the principal value of a (complete) provable solution of $\underline{C}$". The indicated lemmas are explained in Sect. 4.

Theorem 4.1. *Milner's proof system* Mil *is complete with respect to the process semantics equality of regular expressions.*

Proof. (*See Fig. 3 for an illustration.*) Let $e_1, e_2 \in StExp(A)$ be star expressions such that $[\![e_1]\!]_P = [\![e_2]\!]_P$ holds, that is, their process interpretations coincide. This means that the behaviors $[C(e_1)]_{\leftrightarrow}$ and $[C(e_2)]_{\leftrightarrow}$ of the chart interpretations $C(e_1)$ of e_1 and $C(e_2)$ of e_2 coincide. Therefore $C(e_1) \leftrightarrow C(e_2)$ holds, that is, $C(e_1)$ and $C(e_2)$ are bisimilar. We have to show $e_1 =_{\text{Mil}} e_2$, that is, that $e_1 = e_2$ can be proved in Mil.

Due to **(IV)**, the 1-chart interpretations $\underline{C}(e_1)$ of e_1 and $\underline{C}(e_2)$ of e_2 are guarded LLEE-1-charts that are 1-bisimilar to $C(e_1)$ and to $C(e_2)$, respectively. As a consequence we get $\underline{C}(e_1) \leftrightarrow \underline{C}(e_2)$, that is, $\underline{C}(e_1)$ and $\underline{C}(e_2)$ are 1-bisimilar. By part (iii) of **(IV)**, e_1 and e_2 are the principal values of provable solutions s_1 and s_2 of $\underline{C}(e_1)$ and $\underline{C}(e_2)$, respectively.

We now focus on $\underline{C}(e_1)$, leaving aside $\underline{C}(e_2)$ for the moment. (Equally we could start from $\underline{C}(e_2)$, and build up a symmetrical argument). Due to **(CR)** the guarded LLEE-1-chart $\underline{C}(e_1)$ can be transformed into a 1-bisimilar crystallized 1-chart $\underline{C}_{10}$, which is a guarded LLEE-1-chart. Then $\underline{C}_{10} \leftrightarrow \underline{C}(e_1)$ holds. Due to **(CN)**, $\underline{C}_{10}$ is also near-collapsed. Now we can apply **(NC)** to $\underline{C}_{10}$ in order to conclude that the provable solution s_{10} that is extracted from $\underline{C}_{10}$ by **(E)** is a complete provable solution of the near-collapsed 1-chart $\underline{C}_{10}$. Let e_{10} be the principal value of s_{10}.

Now let C_0 be the (1-transition free) bisimulation collapse of $\underline{C}_{10}$. By applying **(CC)** to the complete provable solution s_{10} of $\underline{C}_{10}$, which is weakly guarded since it is guarded, we obtain a provable solution s_0 of C_0 that also has the principal value e_{10}. Due to $\underline{C}(e_1) \leftrightarrow \underline{C}(e_2)$, C_0 is the joint bisimulation collapse of $\underline{C}(e_1)$ and $\underline{C}(e_2)$. It follows that there are functional 1-bisimulations from $\underline{C}(e_1)$ and from $\underline{C}(e_2)$ to C_0, that is, $\underline{C}(e_1) \rightrightarrows C_0 \leftleftarrows \underline{C}(e_2)$. Now we can use **(T)** to transfer the provable solution s_0 from C_0 to $\underline{C}(e_1)$ and to $\underline{C}(e_2)$. We

obtain provable solutions $\tilde{s}_1$ of $\underline{C}(e_1)$, and $\tilde{s}_2$ of $\underline{C}(e_2)$, both of which have e_{10} as their principal value.

Since both s_1 and $\tilde{s}_1$ are provable solutions of the guarded LLEE-1-chart $\underline{C}(e_1)$, we can apply **(SE)** to find that s_1 and $\tilde{s}_1$ are provably equal. In particular, the principal values e_1 of s_1 and e_{10} of $\tilde{s}_1$ are provably equal. That is, $e_1 =_{\text{Mil}} e_{10}$ holds. Analogously, as both s_2 and $\tilde{s}_2$ are provable solutions of the guarded LLEE-1-chart $\underline{C}(e_2)$, **(SE)** also entails that s_2 and $\tilde{s}_2$ are provably equal. Therefore also the principal values e_2 of s_2 and e_{10} of $\tilde{s}_2$ are provably equal. That is, $e_2 =_{\text{Mil}} e_{10}$ holds.

From $e_1 =_{\text{Mil}} e_{10}$ and $e_2 =_{\text{Mil}} e_{10}$ we obtain $e_1 =_{\text{Mil}} e_2$, and hence that $e_1 = e_2$ is provable in Milner's system Mil. □

5 Failure of LLEE-preserving 1-collapse

Here we expand on observation ①, due to which we have realized in Sect. 1 that the bisimulation collapse strategy in [13] cannot be extended directly to a 1-bisimulation collapse strategy for showing completeness of Mil. We define the properties 'collapsible', '1-collapsible', and 'jointly minimizable' formally, formulate their failure for LLEE-1-charts, and suggestively explain the reason by means of an example.

Let $\underline{C}$ be a LLEE-1-chart. We say that $\underline{C}$ is LLEE-*preservingly* 1-*collapsible* (LLEE-*preservingly collapsible*) if $\underline{C}$ has a 1-bisimulation collapse that is a LLEE-1-chart (and respectively, the bisimulation collapse of $\underline{C}$ is a LLEE-1-chart).

We say that two LLEE-1-charts $\underline{C}_1$ and $\underline{C}_2$ that are 1-bisimilar (that is, with $\underline{C}_1 \leftrightarrow \underline{C}_2$) are LLEE-*preservingly jointly minimizable (under functional 1-bisimilarity $\rightrightarrows$)* if there is a LLEE-1-chart $\underline{C}_0$ such that $\underline{C}_1 \rightrightarrows \underline{C}_0 \leftleftarrows \underline{C}_2$.

Proposition 5.1 (①). *The following two statements hold:*
  (i) *W.g. LLEE-1-charts are not in general LLEE-preservingly 1-collapsible, hence not in general LLEE-pres. collapsible.*
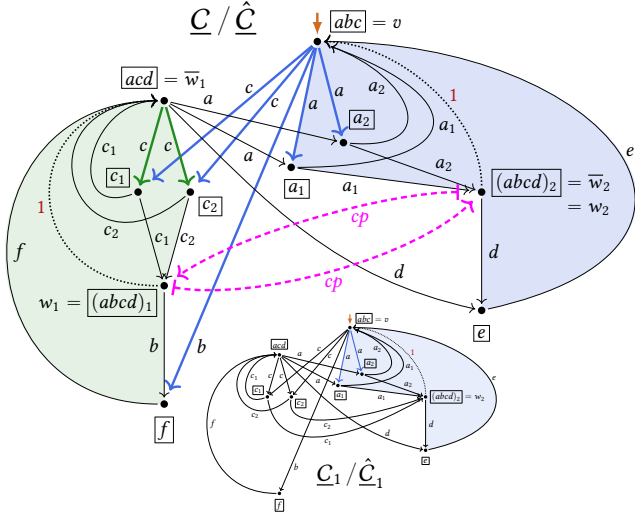
Figure 4. A 1-chart $\underline{C}$ with LLEE-witness $\hat{\underline{C}}$ (colored loop-entry transitions of level 1, green, of level 2, blue) that is not 1-bisimulation collapsed: the correspondences $\vdash\dashrightarrow$ via the 'counterpart function cp' indicate a (grounded) functional 1-bisimulation slice on $\underline{C}$. $\underline{C}$ is not LLEE-preservingly 1-collapsible. The result $\underline{C}_1$ (small) of connecting through w_1 to w_2 in $\underline{C}$ is a 1-bisimulation collapse of $\underline{C}$, but not a LLEE-1-chart. The colored regions explain $\underline{C}$ as a twin-crystal, see Sect. 6.

> (ii) *Two 1-bisimilar w.g.* LLEE*-1-charts are not in general* LLEE*-preservingly jointly minimizable under* $\rightarrow$.

Statement (i) is witnessed by the LLEE*-1-chart $\underline{C}$ in Fig. 4. Statement (ii) is witnessed by the 1-bisimilar generated sub-*LLEE*-1-charts $\underline{C}\downarrow_*^{w_1}$ and $\underline{C}\downarrow_*^{w_2}$ of the* LLEE*-1-chart $\underline{C}$ in Fig. 4.*

That the LLEE-1-chart $\underline{C}$ in Fig. 4 is not 1-collapsible is suggested there by exhibiting a natural 1-bisimulation collapse that is not a LLEE-1-chart: the 1-bisimilar 1-chart $\underline{C}_1$ that results by 'connecting through' all incoming transitions at w_1 in $\underline{C}$ over to w_2. From $\underline{C}_1$ it is easy to intuit that the bisimulation collapse of $\underline{C}$ cannot be a LLEE-1-chart, either, and hence that $\underline{C}$ is not LLEE-preservingly collapsible. While that is only part of the (remaining) proof of Prop. 5.1, (i), it is also easy to check that the connect-w_2-through-to-w_1 1-chart $\underline{C}_1$ of $\underline{C}$ cannot be a LLEE-1-chart, either. For the proof that $\underline{C}\downarrow_*^{w_1}$ and $\underline{C}\downarrow_*^{w_2}$ are not LLEE-preservingly jointly minimizable it is crucial to realize that a function that maps w_1 to w_2, and w_2 to w_1 cannot be extended into a transfer function on $\underline{C}$. (That function, however, defines a 'grounded' 1-bisimulation slice on $\underline{C}$, see Def. 6.1 later, and Fig. 5).

For motivating concepts in the next sections we will use the simplified version $\underline{C}_s$ in Fig. 5 of the LLEE-1-chart $\underline{C}$ in Fig. 4. We emphasize, however, that although $\underline{C}_s$ is not collapsible, it is 1-collapsible. Hence $\underline{C}_s$ does not witness statement (i), nor can it be used for showing (ii) in Prop. 5.1.
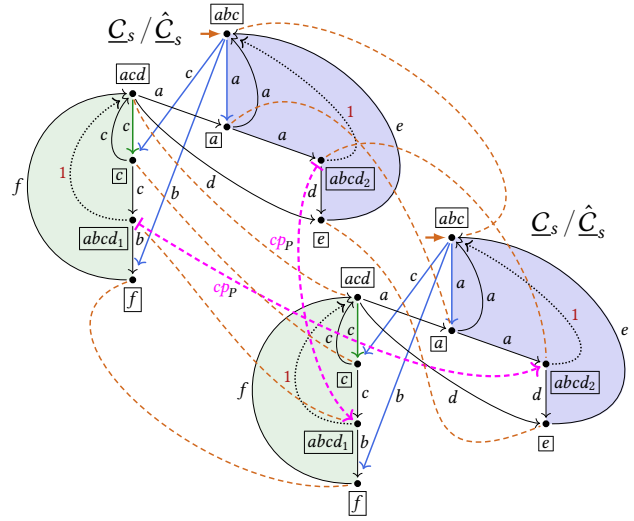
Figure 5. Two copies of a simplified version $\underline{C}_s$ (with LLEE-witness $\hat{\underline{C}}_s$) of the 1-chart $\underline{C}$ in Fig. 4, linked by a local transfer function cp_P ($\mapsto$ links) whose graph (a grounded 1-bisimulation slice) is extended to a 1-bisimulation (added links). Like $\underline{C}$ in Fig. 4, also $\underline{C}_s$ is a twin-crystal shaped LLEE-1-chart.

6 Twin-Crystals

The 1-chart $\underline{C}$ in Fig. 4 and Prop. 5.1 will turn out to be prototypical for strongly connected comTonents in LLEE-1-charts that are 'nearly collapsed' but not LLEE-preservingly collapsible any further. By isolating a number of its properties from $\underline{C}$, and from its simplified version $\underline{C}_s$ in Fig. 5, we define the central concept of 'twin-crystal'. Each of the LLEE-1-charts $\underline{C}$ and $\underline{C}_s$ consists of a single scc that is of 'twin-crystal shape', which exhibits a certain kind of symmetry with respect to 1-bisimilarity. Our proof utilizes this symmetry for proving uniqueness of provable solutions for LLEE-1-charts of which all scc's are 1-collapsed or of twin-crystal shape. Before describing twin-crystals, we first define, also motivated by the two examples, 'grounded 1-bisimulation slices', 'local transfer functions', and 'near-collapsed' 1-charts.

On both of the LLEE-1-charts $\underline{C}$ in Fig. 4, and $\underline{C}_s$ in Fig. 5 there are non-trivial functional 1-bisimulation slices, which are suggested by the magenta links. These 1-bisimulation slices cannot be extended to 1-bisimulations that are defined by transfer functions. However, they have an expedient additional property that will permit us to work with 'local transfer functions' instead. Namely, that induced transitions from a vertex w_1 of pair $\langle w_1, w_2 \rangle$ of a slice B to a vertex w_1' outside of the domain of B can be joined by an induced transition with the same label from w_2 to w_1, and vice versa. We call 1-bisimulation slices with this property 'grounded', and functions that induce them 'local transfer functions'.

Definition 6.1. Let $\underline{\mathcal{L}} = \langle V, A, 1, \rightarrow, \downarrow \rangle$ be a 1-LTS.

By a *grounded 1-bisimulation slice on* $\underline{\mathcal{L}}$ we mean a 1-bisimulating slice $B \subseteq V \times V$ on $\underline{\mathcal{L}}$ such that for all $\langle w_1, w_2 \rangle \in B$ the following additional forth/back conditions hold:

$$(\text{forth})_g \; \forall a \in A \, \forall w_1' \in V_1 \big(w_1 \xrightarrow{(a)} w_1' \wedge w_1' \notin W_1$$
$$\implies w_2 \xrightarrow{(a)} w_1' \wedge w_1' \notin W_2 \big),$$

$$(\text{back})_g \; \forall a \in A \, \forall w_2' \in V_1 \big(w_1 \xrightarrow{(a)} w_2' \wedge w_2' \notin W_1$$
$$\impliedby w_2 \xrightarrow{(a)} w_2' \wedge w_2' \notin W_2 \big).$$

where $W_1 := \text{dom}_{act}(B)$, and $W_2 := \text{cod}_{act}(B)$ are the active domain, and the active codomain of B, respectively.

Lemma 6.2. *For every grounded bisimulation slice* $B \subseteq V \times V$ *on a 1-LTS* $\underline{\mathcal{L}} = \langle V, A, 1, \rightarrow, \downarrow \rangle$, *the relation* $B^= := B \cup =$ *is a 1-bisimulation on* $\underline{\mathcal{L}}$.

Definition 6.3. A *local-transfer function* on a 1-LTS $\underline{\mathcal{L}} = \langle V, A, 1, \rightarrow, \downarrow \rangle$ is a partial function $\phi : V \rightharpoonup V$ whose graph $\{\langle v, \phi(v) \rangle \mid v \in V\}$ is a grounded 1-bisimulation slice on $\underline{\mathcal{L}}$.

Example 6.4. Both of the functions cp_P on the 1-charts $\underline{C}$ in Fig. 4, and cp_P on $\underline{C}_s$ in Fig. 5 are local transfer functions: in particular in Fig. 5 it can be checked easily that cp_P defines a grounded 1-bisimulation slice. Neither of these local transfer functions can be extended into a transfer function. For $\underline{C}_s$ this can be checked in Fig. 4: all pairs of the identity function have to be added in order to extend $graph(cp_P)$ into a 1-bisimulation, thereby violating functionality of the relation.

We will say that a 1-chart $\underline{C}$ (and respectively, a 1-LTS $\underline{\mathcal{L}}$) is 'near-collapsed' if 1-bisimilarity on $\underline{C}$ (on $\underline{\mathcal{L}}$) is the reflexive–symmetrical closure of the union of the graphs of finitely many local transfer functions on $\underline{\mathcal{L}}$.

Definition 6.5 (③). Let $\underline{\mathcal{L}}$ be a 1-LTS with state set V, and let $P \subseteq V$ be a subset of the vertices of $\underline{\mathcal{L}}$. We say that $\underline{\mathcal{L}}$ is *locally near-collapsed for* P if there are local transfer functions $\phi_1, \ldots, \phi_n : V \rightharpoonup V$ on $\underline{\mathcal{L}}$ such that:

$$\underline{\leftrightarrow}_{\underline{\mathcal{L}}} \cap (P \times P) \subseteq \leftrightarrow_R^=, \quad \text{for } R = \bigcup_{i=1}^n graph(\phi_i),$$

where $\leftrightarrow_R^=$ means the reflexive-symmetric closure of R. We say that $\underline{\mathcal{L}}$ is *near-collapsed* if $\underline{\mathcal{L}}$ is near-collapsed on V.

A 1-chart $\underline{C}$ is *(locally for* P) *near-collapsed* if the 1-LTS $\underline{\mathcal{L}}(\underline{C})$ underlying $\underline{C}$ is (locally for P) near-collapsed.

Example 6.1. Each of the 1-charts $\underline{C}$ in Fig. 4, and $\underline{C}_s$ in Fig. 5 contains only one pair of distinct non-1-bisimilar vertices. Since these vertices are related, respectively, by the appertaining transfer function $cp_{\underline{C}}$, it follows that $\underline{C}$ and $\underline{C}_s$ are locally near-collapsed for the sets P of all their vertices, respectively. Therefore $\underline{C}$ and $\underline{C}_s$ are near-collapsed.

For vertices w and v in a LLEE-witness $\hat{\underline{C}}$ we write $w \circlearrowright v$, w *loops-back-to* v, if $v \rightarrow_{[n]} \cdot \rightarrow_{bo}^* w \rightarrow_{bo}^+ v$ holds with $n \in \mathbb{N}^+$ and such that v is only encountered again at the end. We fix $(\circlearrowright^* v) := \{w \mid w \circlearrowright^* v\}$, the *loops-back-to part* of v.
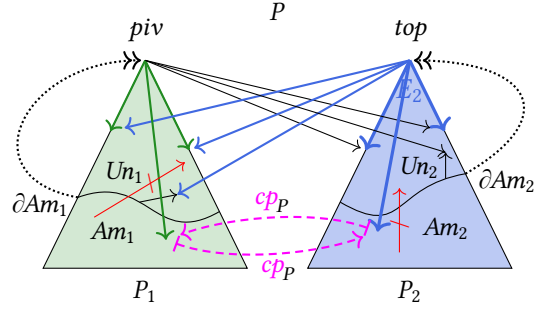

Figure 6. Structure schema of a twin-crystal with carrier P, with part P_1 of pivot vertex *piv*, and part P_2 of top vertex *top*, where P_2 is generated by the top entry transitions in E_2.

The structure of the LLEE-1-charts $\underline{C}$ and $\underline{C}_s$ above can be described by the illustration in Fig. 6, together with the following eight properties that define when a vertex set P in a LLEE-1-chart $\underline{C} = \langle V, A, 1, v_s, \rightarrow, \downarrow \rangle$ is the *carrier of a twin-crystal* (and that the sub-LTS induced by P in $\underline{C}$ is a twin-crystal) with respect to vertices $top, piv \in P \subseteq V$, sets $P_2, P_1 \subseteq P$, a LLEE-witness $\hat{\underline{C}}$ of $\underline{C}$ with binary loops-back-to relation $\circlearrowright$, and a non-empty set E_2 of transitions from *top*:

(tc-1) $P = (\circlearrowright^* top)$ is a maximal loops-back-to part. Then *top* is $\circlearrowright$-maximal, and P is an scc.

(tc-2) $P = P_1 \uplus P_2$ for $P_1 := (\circlearrowright^* piv)$ and $P_2 := (^{E_2}\circlearrowright^* top)$, the loops-back-to part generated by transitions in E_2. We call *piv pivot vertex* and *top top vertex*. Then $piv \in P_1 \subseteq P$, $top \in P_2 \subseteq P$, and $\{P_i\}_{i \in \{1,2\}}$ is a partition of P.

(tc-3) $\underline{C}$ is not 1-collapsed for P. Hence P contains 1-bisimilarity redundancies.

(tc-4) (Using terminology from Def. 7.1 later:) All 'reduced' 1-bisimilarity redundancies in P are of 'precrystalline' form (R3.4), with one vertex in P_1, and the other in P_2.

(tc-5) Proper transitions from *piv favor* P_1: whenever a proper transition from *piv* is 1-bisimilar to a vertex in P_1, then its target is in P_1.

(tc-6) Proper transitions from *top favor* P_2 (confer (tc-5)).

(tc-7) P is *squeezed in* $\underline{C}$: no vertex in P is 1-bisimilar to a vertex outside of P.

(tc-8) P is *grounded in* $\underline{C}$: any two transitions from P with 1-bisimilar targets outside of P have the same target.

For carrier sets P of a twin-crystal in $\underline{C}$ it can be shown that 1-bisimilarity redundancies in P occur with one vertex in P_1, and the other in P_2. Hence a vertex in P_1 may have a 1-bisimilar counterpart, which can only be in P_2; and vice versa. Then the following derived concepts can be introduced (see Fig. 6). The pivot part P_1 partitions into the sets Un_1 and Am_1 of unambiguous, and ambiguous vertices, in P that are unique, and respectively, are not unique up to $\underline{\leftrightarrow}_{\underline{C}}$. The top part P_2 partitions into the sets Un_2 and Am_2 of unambiguous, and ambiguous vertices, respectively. The boundary vertices

∂Am_1 of P_1 have 1-transition paths to *piv*, and the boundary vertices ∂Am_2 of P_2 have 1-transition paths to *top*. There are no transitions directly from $Am_1 \backslash \partial Am_1$ to Un_1, and no transitions directly from $Am_2 \backslash \partial Am_2$ to Un_2.

1-Bisimilar vertices in Am_1 and Am_2 are related by the *counterpart (partial) function* $cp_P : V \rightharpoonup V$ on $\underline{C}$ with domain and range contained in P that is defined, for all $w \in V$, by:

$$cp_P(w) := \begin{cases} \overline{w} & \text{if } w \in Am, \text{ and } \overline{w} \text{ the 1-bisimilar} \\ & \text{counterpart of } w \text{ in } P, \\ \text{undefined} & \text{if } w \notin Am. \end{cases}$$

Example 6.6. Each of the 1-transition limited LLEE-1-charts $\underline{C}$ in Fig. 4 and $\underline{C}_2$ in Fig. 5 consists of a single scc that is carrier of a twin-crystal. In the appertaining figures, the parts P_1 and P_2 are colored blue and green, respectively.

The picture in Fig. 6 suggests the symmetric nature of twin-crystals: if in the underlying LLEE-witness the loop-entry transitions from P_2 to P_1 (maximum level m) are relabeled into body transitions, and the body transitions from P_1 to P_2 into loop-entry transitions (level m), then a twin-crystal with permuted roles of *piv* and *top* arises. But for the completeness proof only the properties of twin-crystal shaped scc's that are formulated by the two lemmas below are crucial.

Lemma 6.7. *The counterpart function on the carrier P of a twin-crystal in a* LLEE*-1-chart $\underline{C}$ is a local transfer function.*

Lemma 6.8. *If P is the carrier of a twin-crystal in a* LLEE*-1-chart $\underline{C}$, then $\underline{C}$ is locally near-collapsed for P.*

7 Crystallization of LLEE-1-charts

For this central part of the proof we sketch how every weakly guarded LLEE-1-chart can be minimized under 1-bisimilarity far enough to obtain a LLEE-1-chart in 'crystallized' form. By that we mean that the resulting 1-bisimilar LLEE-1-chart is 1-collapsed apart from that some of its scc's may be twin-crystals. A 'groundedness' clause in the definition will ensure that every crystallized 1-chart is also near-collapsed.

The minimization process we describe here is a refinement of the process for LLEE-charts (without 1-transitions) that was defined in [12, 13]. We first find that if a LLEE-1-chart $\underline{C}$ is not 1-collapsed, then $\underline{C}$ contains a '1-bisimilarity redundancy' from one of three 'reduced kinds' (with subkinds). This is stated below by Lem. 7.1, which is a generalization of Prop. 6.4 in [12, 13]. Second, we argue that every reduced 1-bisimilarity redundancy can be eliminated LLEE-preservingly except if it belongs to a subkind which can be found in the not 1-collapsible LLEE-1-chart in Fig. 4. Third, we define a few further transformations for cutting scc's into twin-crystals.

Lemma 7.1 (kinds of reduced 1-bisimilarity redundancies). *Let $\underline{C}$ be a 1-chart, and let $\hat{\underline{C}}$ a 1-transition limited* LLEE*-witness of $\underline{C}$. Suppose that $\underline{C}$ is not a bisimulation collapse.*
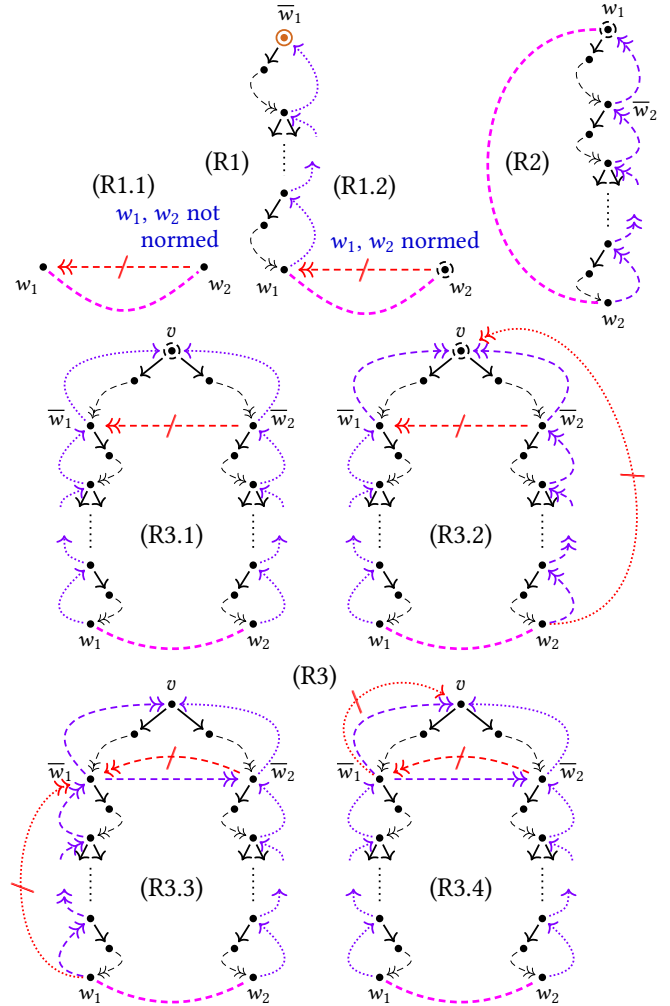

Figure 7. Reduced 1-bisimilarity redundancies, see Lem. 7.1. Upward dotted arrows: body 1-transition backlinks. Upward dashed double arrows: body transition paths of (direct) loops-back-to links. Dashed double arrows: paths of body transitions. Struck out red arrows: prohibited body-transitions and body-tr.-paths. Dashed links, bottom: assumed 1-bisimilarity.

Then $\underline{C}$ contains a 1-bisimilarity redundancy $\langle w_1, w_2 \rangle$ (distinct 1-bisimilar vertices w_1 and w_2 in $\underline{C}$) that satisfies, with respect to $\hat{\underline{C}}$, one of the position conditions (kinds) (R1) (with subkinds (R1.1), (R1.2)) (R2), or (R3) (with subkinds (R3.1), (R3.2), (R3.3), (R3.4)) that are illustrated in Fig. 7. Note that the vertices w_1 and w_2 are in the same scc for position kinds (R2) and (R3), but in different scc's for position kind (R1).

Definition 7.2. We consider a 1-bisimilarity redundancy $\langle w_1, w_2 \rangle$ in $\underline{C}$ under the assumptions of Lem. 7.1 on $\hat{\underline{C}}$ and $\underline{C}$.

We say that $\langle w_1, w_2 \rangle$ is *reduced (with respect to $\hat{\underline{C}}$)* if it is of one of the kinds (R1)–(R3) in Fig. 7. We say that $\langle w_1, w_2 \rangle$ is *simple* if it is of (sub-)kind (R1), (R2), (R3.1), (R3.2), or (R3.3) in Fig. 7. We say that $\langle w_1, w_2 \rangle$ is *precrystalline* if it is of subkind
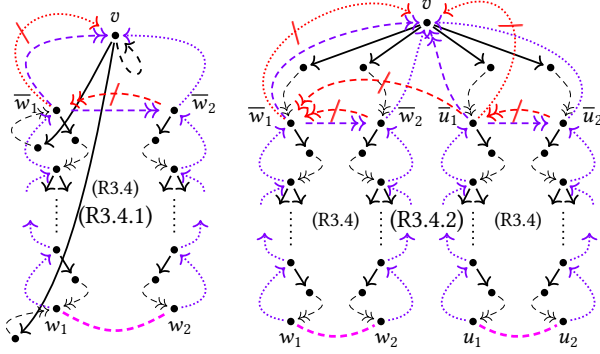
Figure 8. A precrystalline 1-bisimil. redundancy $\langle w_1, w_2\rangle$ is crystalline if it is neither of form (R3.4.1) nor of form (R3.4.2).
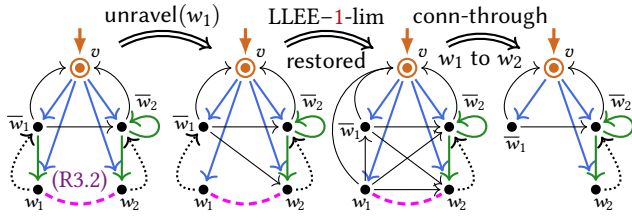

Figure 9. Example for the LLEE-preserving elimination of a red. 1-bisim. red. of kind (R3.2) by redirecting transitions to 1-bisimilar targets. Here all proper action labels are the same.

(R3.4) in Fig. 7. We say that $\langle w_1, w_2\rangle$ is *crystalline* if it is precrystalline, but neither of subkind (R3.4.1) nor (R3.4.2) in Fig. 8.

Example 7.3. In the LLEE-1-charts $\underline{C}$ in Fig. 4, and $\underline{C}_s$ in Fig. 5,the pair $\langle \boxed{abcd_1}, \boxed{abcd_2}\rangle$ of vertices forms a crystalline reduced 1-bisimilarity redundancy, since it is of kind (R3.4), hence precrystalline, but not of kind (R3.4.1) nor (R3.4.2).

From a LLEE-1-chart, every reduced 1-bisimilarity redundancy that is not crystalline can be *eliminated* LLEE-*preservingly* by which we mean that the result is a 1-bisimilar LLEE-1-chart. The transformations needed are adaptations of the connect-w_1-through-to-w_2 operation from [12, 13] in which the incoming transitions at vertex w_1 are redirected to a 1-bisimilar vertex w_2. Here this operation typically requires an unraveling step in which loop levels above w_1 that are reachable by 1-transitions are removed by similar transition redirections. In an example we illustrate the elimination of a reduced 1-bisimilarity redundancy of kind (R3.2) in Fig. 9.

Lemma 7.4. *Every reduced 1-bisimilarity redundancy $\langle w_1, w_2\rangle$ can be eliminated LLEE-preservingly from a 1-transition limited LLEE -1-chart provided it is of either of the following kinds:*
  (i) *$\langle w_1, w_2\rangle$ is simple, or*
  (ii) *$\langle w_1, w_2\rangle$ is precrystalline, but not crystalline.*

In order to cut twin-crystals in scc's we also need to safeguard that the joining loop vertices of crystalline reduced
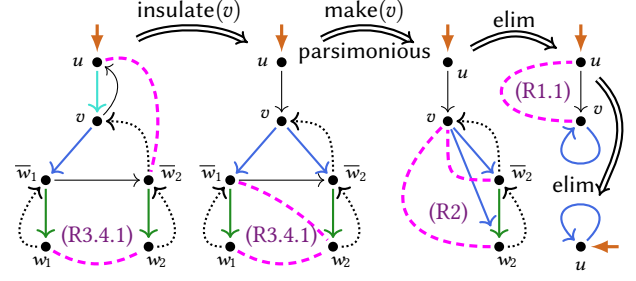

Figure 10. LLEE-Preserving parsimonious insulation from above of a red. 1-bisimilarity redundancy (R3.4.1) that here leads to its elimination, and permits further minimization.

1-bisimilarity redundancies are 'parsimoniously insulated' from above. The top vertices v of 1-bisimilarity redundancies of kind (R3.3) and (R3.4) are, due to the occurring 1-transitions, substates of w_1 and w_2. Therefore any such vertex v can be *insulated from above*, that is, turned into a $\circlearrowright$-maximal vertex, by redirecting all induced transitions from v into the loops-back-to part of v or below. In the example in Fig. 10, where all proper transitions have the same action label, in an insulation step (first step) the transition from v to u is redirected to the 1-bisimilar target $\overline{w}_2$. In the arising 1-chart v is not yet *parsimonious*, because the loop-entry transition from v to $\overline{w}_1$ can be redirected to 1-bisimilar target w_2 (second step), thereby making less use of the loops-back-to part of v, and eliminating it (and permitting further minimization).

We now define 'crystallized' (LLEE-1-)charts as follows.

Definition 7.5 (crystallized 1-chart (④)). Let $\underline{C}$ be a 1-chart.
We say that $\underline{C}$ *is crystallized* if there is a LLEE-witness $\underline{\hat{C}}$ of $\underline{C}$ such that the following four conditions hold:
(cr-1) $\underline{C}$ is a (finite) 1-chart with LLEE–1-lim, and specifically, $\underline{C}$ is 1-transition limited with respect to $\underline{\hat{C}}$.
(cr-2) Every $\underline{\hat{C}}$-reduced 1-bisim. redundancy is crystalline.
(cr-3) Every crystalline $\underline{\hat{C}}$-reduced 1-bisimilarity redundancy in $\underline{C}$ is parsimoniously insulated from above.
(cr-4) Every carrier of an scc in $\underline{C}$ is grounded in $\underline{C}$.
Then we also say that $\underline{C}$ *is crystallized with respect to* $\underline{\hat{C}}$.

The lemma below gathers properties of crystallized 1-charts. The subsequent proposition justifies the term 'crystallized 1-chart' by explaining the connection with twin-crystals.

Lemma 7.6. *Every 1-chart $\underline{C}$ that is crystallized with respect to a LLEE-witness $\underline{\hat{C}}$ with loops-back-to relation $\circlearrowright$, satisfies:*
  (i) *$\underline{C}$ is 1-collapsed apart from within scc's, i.e. 1-collapsed for loops-back-to parts of $\underline{\hat{C}}$-maximal loop vertices of $\underline{\hat{C}}$.*
  (ii) *$\underline{C}$ is 1-collapsed for every loops-back-to part of a loop vertex of $\underline{\hat{C}}$ that is not $\circlearrowright$-maximal.*

Proposition 7.7 (crystallized ⇒ 1-coll./twin-crystal scc's). *For every carrier P of a scc in a 1-chart $\underline{C}$ that is crystallized, either $\underline{C}$ is 1-collapsed for P, or P is the carrier of a twin-crystal.*
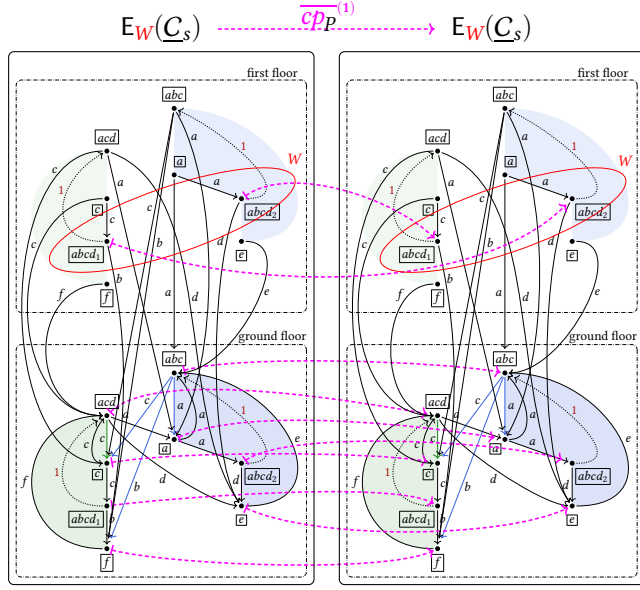
Figure 11. Lifting of the local transfer function cp_P on $\underline{C}_s$ from Fig. 5 with domain and range W to a transfer function $\overline{cp_P}^{(1)}$ on the elevation $\mathsf{E}_W(\underline{C}_s)$ of W, which is a LLEE-1-LTS.

Lemma 7.8 (④). *Every crystallized 1-chart is near-collapsed.*

By combining LLEE-preserving eliminations of precrystalline 1-bisimilarity redundancies, of parsimonious insulation of crystalline 1-bisimilarity redundancies, and of grounding of scc's we are able to prove our main auxiliary statement.

Theorem 7.9 (crystallization, nearcollapse, (③,④)). *Every weakly guarded LLEE-1-chart $\underline{C}$ can be transformed, together with a LLEE-witness $\hat{\underline{C}}$ of $\underline{C}$, into a 1-bisimilar LLEE-1-chart $\underline{C}'$ with 1-transition limited LLEE-witness $\hat{\underline{C}}'$ such that $\underline{C}$ is crystallized with respect to $\hat{\underline{C}}'$, and $\underline{C}'$ is near-collapsed.*

8 Near-collapsed LLEE-1-charts have complete solutions

We show that near-collapsed LLEE-1-charts have complete solutions by linking local transfer functions, as in the definition of 'near-collapsed', to transfer functions in order to be able to use the transfer-property **(T)** for provable solutions.

Local-Transfer functions can be linked to transfer functions via the concept of the 1-LTS' $\mathsf{E}_W(\underline{L})$ that is the 'elevation of a set W of vertices above' a 1-LTS $\underline{L} = \langle V, A, 1, \rightarrow, \downarrow \rangle$, which is constructed as follows. The set of vertices of $\mathsf{E}_W(\underline{L})$ consists of two copies of its set V of vertices, the 'ground floor' $V \times \{0\}$, and the 'first floor' $V \times \{1\}$. These two copies of the set of vertices of $\underline{L}$ are linked by copies of the corresponding transitions of $\underline{L}$ with the *exception* that *proper* $\langle v_1, a, v_2 \rangle$ of $\underline{L}$ do <u>not</u> give rise to a proper transition $\langle \langle v_1, 1 \rangle, a, \langle v_2, 1 \rangle \rangle$ on the first floor *if* the vertex v_2 is not contained in W. Those transitions get redirected as transitions $\langle \langle v_1, 1 \rangle, a, \langle v_2, 0 \rangle \rangle$ to

target the corresponding copy $\langle v_2, 0 \rangle$ of v_2 on the ground floor. Note that such redirections from the first floor to the ground floor do not happen for 1-transitions. The sub-1-LTS of $\mathsf{E}_W(\underline{L})$ that consists of all transitions between vertices on the ground floor is an exact copy of the original 1-LTS $\underline{L}$. Yet within the elevation $\mathsf{E}_W(\underline{L})$ of W above $\underline{L}$, a number of vertices on the ground floor will have additional incoming proper-action transitions from vertices on the first floor.

Example 8.1. Fig. 11 contains two copies of the elevation $\mathsf{E}_W(\underline{C}_s)$ of the set $W := \{ \boxed{abcd_1}, \boxed{abcd_2} \}$ of vertices of the 1-chart $\underline{C}_s$ in Fig. 5 above $\underline{C}_s$.

Indeed, Fig. 11 also shows how the local transfer function cp_P on 1-chart $\underline{C}_s$ in Fig. 5 can be lifted to a transfer function $\overline{cp_P}^{(1)}$ on the elevation $\mathsf{E}_W(\underline{C}_s)$ of W above $\underline{C}_s$: namely by defining $\overline{cp_P}^{(1)}$ as cp_P on the first floor, and as the identity function on the ground floor of $\mathsf{E}_W(\underline{C}_s)$.

In general the following statement holds, which is a generalization to 1-charts of Prop. 2.4 in [10]. Every local transfer function $\phi : V \rightarrow V$ on a 1-LTS $\underline{L}$ with $W := \mathrm{dom}(\phi) \cap \mathrm{ran}(\phi)$ lifts to a transfer function $\overline{\phi}^{(1)}$ on the elevation $\mathsf{E}_W(\underline{L})$ of W over $\underline{L}$, via the projection transfer function π_1 from $\mathsf{E}_W(\underline{L})$ to $\underline{L}$, such that the diagram below commutes for vertices on the first floor of $\mathsf{E}_W(\underline{L})$:

$$
\begin{array}{ccc}
\underline{L} & \xrightarrow{\phi} & \underline{L} \\
\pi_1 \uparrow & & \uparrow \pi_1 \\
\mathsf{E}_W(\underline{L}) & \xrightarrow{\overline{\phi}^{(1)}} & \mathsf{E}_W(\underline{L})
\end{array}
\qquad
\begin{array}{c}
\text{for all } w \in \mathrm{dom}(\phi): \\[4pt]
(\pi_1 \circ \phi)(\langle w, 1 \rangle) \\
= \\
(\overline{\phi}^{(1)} \circ \pi_1)(\langle w, 1 \rangle)
\end{array}
\tag{1}
$$

Together with preservation of LLEE for elevations, the possibility to lift local transfer functions to transfer functions on elevations facilitates us to use invariance of provable solutions under transfer functions between LLEE-1-LTSs for proving invariance of provable solutions under local transfer functions on LLEE-1-LTSs. Then we can use this fact to show complete solvability of near-collapsed LLEE-1-charts.

Lemma 8.1. *Let $\phi : V \rightarrow V$ be a local transfer function on a w.g. LLEE-1-LTS $\underline{L} = \langle V, A, 1, \rightarrow, \downarrow \rangle$. Then every Mil-provable solution s of $\underline{L}$ is Mil-provably invariant under ϕ:*

$$
s(w) =_{\mathsf{Mil}} s(\phi(w)) \quad \text{(for all } w \in \mathrm{dom}(\phi)) . \tag{2}
$$

Proof (Sketch). We use the diagram in (1), and that $\mathsf{E}_W(\underline{L})$ is also a LLEE-1-LTS. Since π_1 and $\overline{\phi}^{(1)} \circ \pi_1$ are transfer functions, by Lem. 3.6 $(s \circ \pi_1)$ and $(s \circ \pi_1 \circ \overline{\phi}^{(1)})$ are Mil-provably equal. Then by using we diagram commutativity on the first floor in (1) we get: $s(w) = (s \circ \pi_1)(\langle w, 1 \rangle) =_{\mathsf{Mil}} (s \circ \pi_1 \circ \overline{\phi}^{(1)})(\langle w, 1 \rangle) = (s \circ \phi \circ \pi_1)(\langle w, 1 \rangle) = s(\phi(w))$, for all $w \in \mathrm{dom}(\phi)$. In this way we have obtained (2). $\square$

Lemma 8.2 (⑥). *Every w.g. LLEE-1-chart that is near-collapsed has a Mil-complete Mil-provable solution.*

9 Conclusion

As a consequence of the crystallization process for LLEE-1-charts and of Thm. 7.9 we also obtain a new characterization of expressibility of finite process graphs in the process semantics. For this purpose we say that a 1-chart $\underline{C}$ is *expressible by a regular expression modulo 1-bisimilarity* if $\underline{C}$ is 1-bisimilar to the chart interpretation of a star expression.

Corollary 9.1. *A 1-chart $\underline{C}$ is expressible modulo 1-bisimilarity if and only if $\underline{C}$ is 1-bisimilar to a crystallized, and hence to a near-collapsed, LLEE-1-chart.*

Since the size of a crystallized 1-chart is bounded by at most double the size of an 1-bisimulation collapse (as every vertex is 1-bisimilar to at most one other vertex in twin-crystals, and crystallized 1-charts), this characterization raises the hopes for a polynomial algorithm for recognizing expressibility of finite process graphs. Such a recognition algorithm would substantially improve on the superexponential algorithm for deciding expressibility in [1].

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