

# Underlying simplifications and assumptions of thermal comfort models as proxies of occupant comfort

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## Abstract

Thermal comfort of the occupants remains a red-hot topic at this day and age despite all the emerging technologies in sensing and control technologies. We want to understand why: After more than 100 years of introducing mechanical systems, how could architects and engineers still continue to struggle with the seemingly easy goal of keeping the occupants comfortable in the built environment? To do so, we want to investigate two things in this paper: First, how occupants are simplified into not only an average hypothetical person, where the hypothetical person's thermal comfort gets further simplified into a few or even a single environmental parameter. We will first begin with examining the metrics that they have developed to characterize a built environment as a proxy of thermal comfort. While most metrics are either temperature-like or vote-like which supposedly are good placeholders for actual occupants response, there are some key elements missing in these metrics: the direct physiological responses and individual differences of the occupants, not to mention further simplifications regarding hard-to-measure/control environmental parameters. We conclude that there is not a definitive answer to achieve the best possible thermal comfort, but it surely should not be completely taking the occupants and their differences out from the equations. We then examined the conventional methods used in monitoring occupants' comfort - both their strengths, weaknesses and their corresponding simplifications involved when being used to predict the actual comfort of the occupants. We conclude that it is crucial to reintroduce occupants back as a component of feedback loop of building systems, and the direct measurement of their physiological responses could be highly beneficial.

*Keywords:* thermal comfort, radiant sensing, operative temperature, mean radiant temperature

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## 1. Introduction

Originally developed to ensure the productivity of occupants in relation to industrial hygiene[? ], thermal comfort is a topic that exhibits linkage to health and well-being as well as the learning capabilities. Its definition remains as ‘that condition of mind that expresses satisfaction with the thermal environment’ as it was in 1966 (Standard 55-1966), but also needs to be ‘assessed by subjective evaluation’ [1] according to the latest standard published in 2017. This is an interesting change that marks two things: First, the challenge posed by unsatisfactory indoor climate remains 46 years after Fanger’s PMV/PPD model appeared to have addressed the long-standing challenge of quantifying thermal comfort through physical parameters[2]. Second, the subjective evaluation of the thermal environment from individual occupant is also crucial to the correct characterization of thermal comfort.

The need to understand the thermal comfort of the occupants in the urban environment has also been growing during the last few decades. Attempting to ensure social equity while designing urban spaces and addressing the heat-related mortality, metrics including the directly measurable  $W/m^2$  (or as later translated to mean radiant temperature - CITE) as well as simulation-based/complicated Physiologically Equivalent Temperature[3] and Universal Thermal Climate Index (UTCI) became widely used among urban climatologists[4].

Despite these efforts, ensuring the thermal comfort for all occupants appears to have remained a huge challenge. It is precisely due to the ample amount of research and their application in the building industry

that the thermal comfort of occupants go through a two-stage simplification: first, the occupants of different demographics are simplified into a hypothetical person; second, this hypothetical person is then simplified into the combination of a few, or a single single environmental parameter, or more explicitly, the air temperature within the state-of-the-art building systems. Even when there are multiple environmental parameters included in the building automation control, most of the other parameters are often supplementary while air temperature remains to be the main feedback variable.

This resulted in not only rapid increase of occupants dissatisfied with the indoor environment during the last decades, but also a growing amount of concentration on improving the indoor thermal comfort. Many researchers uses the concept of performance gap to explain the unpredictability of post-occupancy stage[5, 6], which can be viewed as an attitude of compromise to the challenge: the occupants and their behaviors are beyond prediction and therefore the regulations and mandates of the thermal comfort should be loosen up. For researchers who are insisting that the behaviors of the occupants can still be modelled and predicted, machine and reinforced learning[7], artificial neural networks[8] as well as model predictive control [9, 10] are common approaches used in identifying the occupants' preference and behaviors.

In the meantime, there have already been many reviews on the thermal comfort of the occupants, the differences between thermal preferences/sensations/perceptions[11], and how using either adaptive [12, 13] or personalized thermal comfort models[14] might be able to solve this long-standing problem. These studies spans across the last two decades and utilizes the states-of-the-art techniques, but has yet to create a satisfying solution for fatiguing battle with the indoor environment[15].

We hope to contribute to the understanding and characterization of thermal comfort from a more bottom-up perspective in this paper. Unlike previous researchers who focused more on providing a solution that easily quantifies the thermal comfort of the occupant, we want to examine the existing comfort metrics, their underlying relationship with the occupants, and the simplifications or assumptions that are currently used in conjunction of these models' deployment in existing systems. In order to do so, we have examined both the existing metrics of thermal comfort for the indoor and outdoor environment, and how some unintended simplifications took place during the process of these metrics' proliferation. We also documented and reviewed some of the latest efforts to address this from either a top-down crowd-modeling approach[16] or calibrating existing control algorithms with actual occupant votes[17] or feedback from wearable sensors[? ]. We conclude that it is extremely crucial to include the actual response - direct or indirect - from the occupants into the control logic of the building automation system with additional energy and comfort benefits.

However, this does not mean that we understood the thermal comfort accurately. Extremely well-conditioned systems are also considered to require significantly more financial investment - both the capital and the operational costs.

Energy consumption of buildings to ensure comfort delivery gradually increases, casting even larger pressure on providing improved thermal comfort with smaller energy budget. Under the premises of increasing demand of thermal comfort, designing systems and buildings that provide better comfort without excessive energy

consumption becomes more important.

This can obviously be investigated by proposing alternative solutions that provides agreeable thermal comfort at smaller energy costs spent on heating/cooling. However, recent studies that links improved PMV/PPD values with improved designs have showed that the resulting satisfaction of the occupants and the higher PMV/PPD values do not always coincide. Existing studies attempts to point these results to the individual differences between occupants, where metabolic rates and various individual thermal preferences were used as potential explanations for these results.

Majority of the methods we as designers and engineers are currently using to approach thermal comfort simplifies a group of occupants into a hypothetical average person, whose thermal comfort is further simplified into a combination of a few or a single environmental parameter. To better understand the function and meaning of these simplifications and the assumptions they were based on, we propose to examine both of these paths in this paper: Regarding the simplification from a hypothetical average occupant being simplified into a couple or even a single environmental parameter, we primarily focus on the required inputs, prevailing underlying assumptions when assessing or simulating the thermal comfort condition of a given environment.

We want to take an alternative route to tackle this problem in this paper by examining the metrics used when characterizing thermal comfort - more specifically on the assumptions and simplifications used in the conventional methods. Specifically, we would like to provide answers to these following questions:

- What are some of the fundamental underlying ad misundrestood assumptions that most of the common thermal comfort share?
- Why is there a ‘performance gap’ between what we claim our comfort metric characterizes and what we can deliver?
- How many levels of abstraction did we have to go through to reach measuring comfort of occupants by proxy of air temperature? Are all of them well-understood and justified?
- What has the state-of-art research done to address this problem?

And fundamentally, what can we do better if we understand the underlying assumptions of the existing thermal comfort metrics? To understand how the abstractions and simplifications took place during the development and promotion of some of the most popular thermal comfort metrics, we want to present a comprehensive review on the underlying assumptions of some of the most popular thermal comfort metrics - focusing particularly on how the simplification of the occupants were justified, and how the hypothetical occupant eventually became a collection of, or a single environmental parameter to be monitored and controlled by building automation systems. We hope the evidence we present in this study can provide a broader picture for the audience to recenter their focus aim back to the occupants, their individual differences and physiological responses, and provide even better solutions to the seemingly wicked problem of thermal comfort in shared environments.

## 2. Existing metrics

### 2.1. Indoor

Within the indoor environment, there are currently many metrics used in quantifying the level of occupant thermal comfort(or discomfort). The ISO 7730/7726 and ASHRAE Standard 55 are two sets of standards that are particularly popular among researchers and engineers.

Ranging from PMV/PPD models to operative temperatures as well as some less-used metrics such as the effective temperature. Also popular among researchers and engineers is the adaptive thermal comfort model, which predicts the comfort of occupants by placing the state of the air within a “comfort zone” on psychrometric chart as outlined in ANSI/ASHRAE Standard 55[? ].

#### 2.1.1. Operative temperature

Operative temperature is a good metric that accounts for both the convective and radiant heat transfer that occupants may experience.

As outlined in ANSI/ASHRAE Standard 55-2017, the operative temperature is the "uniform temperature of an imaginary black enclosure, and the air within it, in which an occupant would exchange the same amount of heat by radiation plus convection as in the actual nonuniform environment". Its mathematical definition follows Equation 1, where it ( $t_{op}$ ) can also be defined as the average of the mean radiant temperature  $t_r$  and air temperature  $t_a$  weighted by their respective heat transfer coefficients,  $h_r$  and  $h_c$ .

$$t_{op} = \frac{h_r t_r + h_c t_a}{h_r + h_c} \quad (1)$$

The radiative heat transfer coefficient can be calculated by Equation 2, where the effective surface area ratio of the body is 0.70 for a seated person and 0.73 for a standing one [18], and the emissivity close to unity (typically 0.95 according to ASHRAE Handbook[19](need update). ANSI/ASHRAE Standard 55-2017 pointed out is not always possible to solve Equation 2 explicitly for  $h_r$ , and hence a single value of  $4.7 \text{ W}/(\text{m}^2 \cdot \text{K})$  can be used for  $h_r$ [1]. In the case of emissivities significantly less than unity, the radiative heat transfer coefficient can be adjusted by Equation 3 where  $\varepsilon$  represents area-weighted average emissivity for the overall clothing/body surface. The convective heat transfer coefficients, on the other hand, can be expressed with Equation 4 for air velocity between 0.2 and 4.0 m/s, alongside other expressions in Table 1.

$$h_r = 4\varepsilon\sigma \frac{A_r}{A_D} (273.2 + \frac{t_{cl} + t_r}{2})^3 \quad (2)$$

$$h_r = 4.7\varepsilon \quad (3)$$

$$h_c = 8.3V^{0.6} \quad (4)$$

Equation	Limits	Condition	Remarks/Sources
$h_c = 8.3V^{0.6}$ $h_c = 3.1$	$0.2 < V < 4.0$ $0.0 < V < 0.2$	Seated, moving air	Mitchell (1974)
$h_c = 2.7 + 8.7V^{0.67}$ $h_c = 5.1$	$0.15 < V < 1.5$ $0.0 < V < 0.15$	Reclining, moving air	Colin and Houdas (1967)
$h_c = 8.6V^{0.53}$	$0.5 < V < 2.0$	Walking, still air	V is walking speed (Nishi and Gagge 1970)
$h_c = 5.7(M - 0.8)^{0.39}$	$1.1 < M < 3.0$	Active, still air	Gagge et al. (1976)
$h_c = 6.5V^{0.39}$	$0.5 < V < 2.0$	Waking on treadmill, still air	V is treadmill speed (Nishi and Gagge 1970)
$h_c = 14.8V^{0.69}$	$0.15 < V < 1.5$	Standing person, moving air	Develpped from data presented by Seppaen et al. (1972)

Table 1: Equations for Convection Heat Transfer Coefficients (ASHRAE Handbook Fundamentals (2009)).

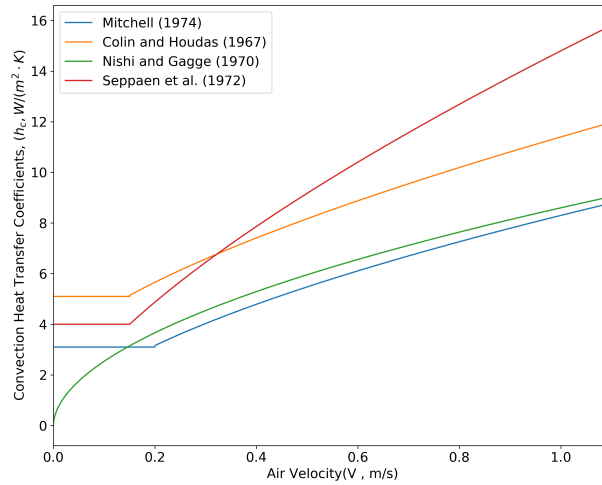


Figure 1:  $h_c$  with relation to air speed  $V_a$  as defined in ASHRAE Handbook - Fundamentals 2009.

Observing the relationship between the air velocity and resulting  $h_c$ , there is a very interesting relationship between the air velocity and the resulting operative temperature when substituting the expressions for  $h_c$  in Table 1 to Equation 1.

A very interesting phenomenon that we can observe, however, is the variation of operative temperature when holding air temperature and mean radiant temperature constant. As we're showing in Figure 2, increasing air velocity results in an increase of  $T_{op}$  when  $T_r < T_a$ , or a decrease of  $T_{op}$  when  $T_r > T_a$ .

Alternatively, the definition of operative temperature as calculated per the formula given by ASHRAE 55-2017, where parameter  $A$  is selected with respect to air velocity, or  $V_a$ .  $A$  is evaluated at 0.5 when  $V_a < 0.2 \text{ m/s}$ , 0.6 when  $V_a$  is between 0.2 and 0.6  $\text{m/s}$ , and 0.7 when  $V_a$  is between 0.6 and 1.0  $\text{m/s}$ . As pointed out by SOMELIT, the overall clothing surface of a hypothetical average occupant is roughly 33.4  $^\circ\text{C}$ , thus any increase in  $V_a$  when the ambient air temperature  $T_a$  is below this number should be enhancing

the convective heat transfer between the body and the surrounding environment, thus resulting in a decrease in perceived temperature. The operative temperature, in these cases, however, increases as the air velocity increases, which will result in the opposite direction of the prediction of thermal comfort. We believe this is a significant caveat of operative temperature to be used as a metric for thermal comfort assessment and would like to emphasize this in the current paper. More importantly, if we were to look back to the expression of operative temperature in Equation 1, it is evident that the definition itself is just a weighted average of air temperature and mean radiant temperature, which can easily become problematic when one of the heat transfer coefficient becomes much larger than the other - in this case  $h_c > h_r$ .

Alternatively, the operative temperature can also be calculated from Equation 5 to behave the same as indicated in Figure 1 where the operative temperature increases with increase of air velocity  $V_a$  when  $T_a > T_r$ , which is the opposite of how an occupant exchanges heat with the surrounding environment as suggested by ASHRAE Standard 55-2017[1]. This will, again, not solve the effect of how higher  $h_c$  influences

$$t_o = At_a + (1 - A)\bar{t}_r \quad (5)$$

Consequently, we believe there is a clear limitation of operative temperature to be used in indoor environment when radiant cooling is coupled with forced convection. Under scenarios created by such systems, the resulting operative temperature could be very misleading, i.e. increasing with the increase of air velocity despite the perceived temperature should have decreased for a hypothetical occupant. Examples that such a combination could exist are not uncommon:

### 2.1.2. Predicted Mean Vote

Predicted Mean Vote (PMV) is a very popular concept in describing indoor thermal comfort. Developed in the 1970s, Fanger's PMV model is based on both thermoregulation and heat balance theories as well as laboratory and climate chamber study results. The PMV model takes four physical variables (also referred to as environmental variables, i.e.  $T_a$ ,  $V_a$ , MRT and relative humidity  $\phi$ ) and personal variables (level of activity and clothing). Fanger's PMV model takes the six variables and produces a score that corresponds to the ASHRAE thermal sensation scale and represent the average thermal sensation felt by a large group of occupants [19, 20].

There are some obvious benefits of using PMV. With the improvements in environmental control technologies, and the growth in personal wealth and office sizes (McIntyre, 1984), the need of better indoor environment requires a solution to predict the optimum temperature for a group of occupants, which can then be achieved by architects and engineers. Since its proposition in 1970, the PMV model has become the internationally accepted model for predicting and representing the mean thermal sensation vote by a large group of occupants for a set of given environmental variables. It has also since become the guideline for multiple international standards, where both ISO and ASHRAE indicated that a comfortable indoor environment can be insured

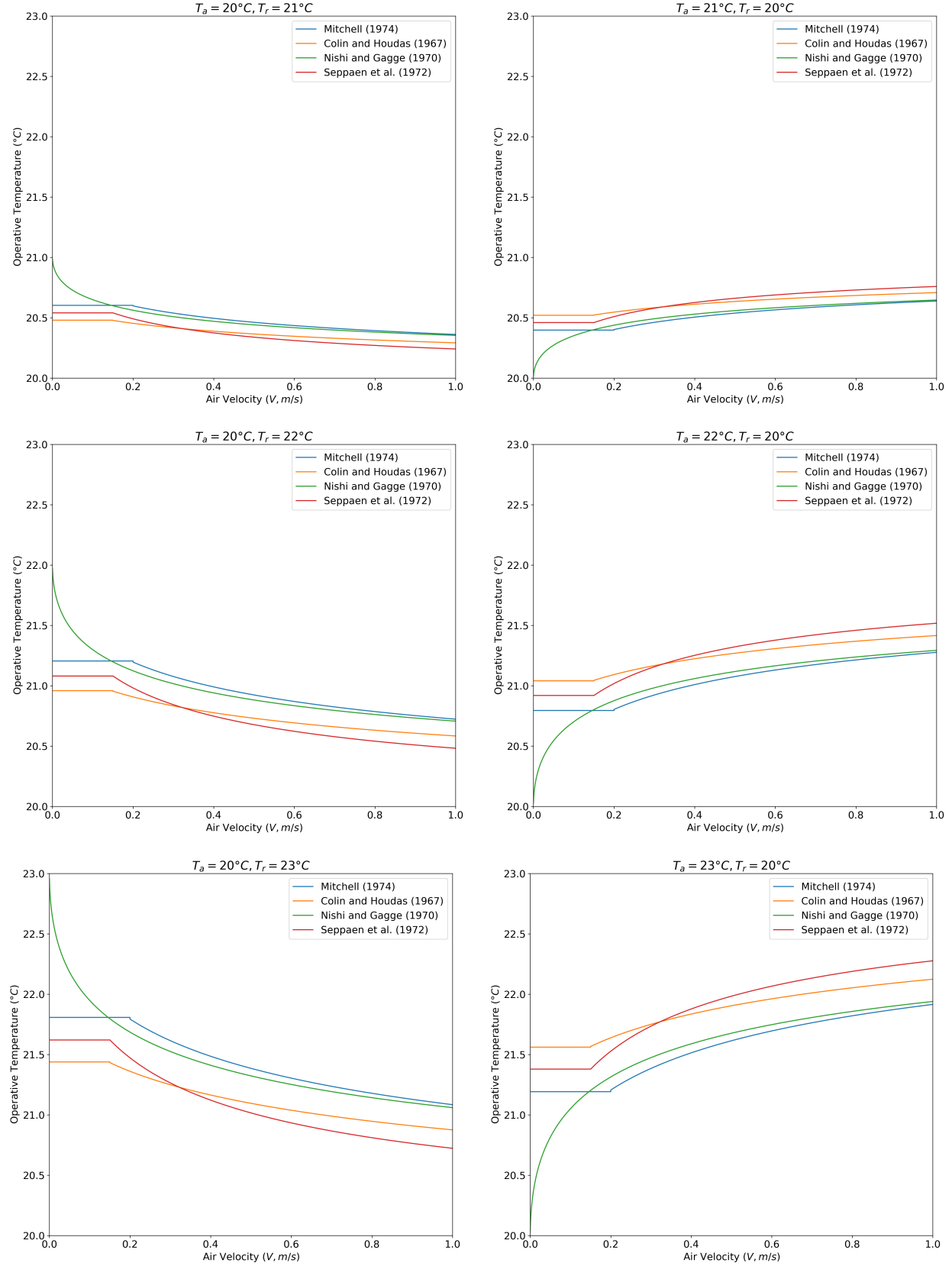


Figure 2: Relationship between air velocity ( $V$ ) and operative temperature ( $T_{op}$ ) when holding air temperature and mean radiant temperature constant.



when the PMV is kepted at 0 with a tolerance of  $\pm 0.5$ .

This does not mean there are not caveats in using PMV to describe the thermal sensation of occupants. To begin with, there is an obvious difference in what Fanger's model can predict when compared to thermal comfort. As Fanger himself admitted, the usage of the heat balance models describes the balance between the thermoregulatory system and the environmental variables 'even if comfort does not exist'. The neutral thermal sensation of an average person is not the same thing as thermal satisfaction, acceptability or preference. As Charles has pointed out in 1993, it is entirely possible for the occupants to vote on a neutral thermal sensation scale and to not feel comfortable. Neglecting the personal factors that reflects on their individual thermoregulation and personal psychophysics is Natsume 1992, Havenith 2002

The PMV/PPD model also considers the inter-individual differences irrelevant from a series of experiments conducted in the 1960s. Fanger concluded from the results that the neutral temperature of a large group of occupants was independent of age, build, menstrual cycle, time of day, color and crowdedness of the room or gender, race as well as national geographic locations[20]. van Hoof pointed out that the original PMV model only accounts for the approximately 1,396 students who wear standardized clothes in a sedentary activity in Denmark, which does not reflect the larger and more diverse demographics of occupants in real buildings[21]. Subsequent studies have since showed that there is a substantial gender differences where female occupants tend to feel significant cooler (Hill/Parsons 2002), and could be less satisfied with room temperatures while preferring higher room temperatures. Fanger made a similar observation earlier and concluded women are more sensitive to deviations than men[2]. To this observation, Parsons proceeded to conclude that during identical clothing and activity, the gender differences in thermal comfort responses for neutral and slightly warm conditions are smaller.

The applicability of PMV has also become the subject of debate in some of the more recent publications. Despite some early validation of the PMV as a valid index when a meta-analysis is performed, Humphreys and Nicol[22] found evidence of PMV bias often exceeding 0.25 scale units, and could reach as much as 1.0 - and the larger the deviation from neutral, the larger the bias. Their results suggested that PMV is only reliable between -0.5 and 0.5 unlike the range of validity stated by Fanger in his dissertation [18] and ISO (-2.0 and 2.0). More recently, Cheong et al. found PMV only correctly predict thermal sensation correctly one out of three times[? ]. This limitation of the PMV and PPD model is, however, not very well understood by practitioners who are simply seeking a better metric and/or better adaptive model for conducting quick analysis of the thermal comfort requirements of an existing design. For practical purposes in existing projects, it is therefore highly desirable for practitioners to have a more accessible parameter to use for new and existing buildings, particularly when there are only limited information regarding the occupants and their schedules.

The latest standard published on determining the indoor occupants' thermal comfort is the ANSI/ASHRAE Standard 55-2017, which supersedes ANSI/ASHRAE Standard 55-2013, which is partially in agreement with ISO 7730, published as the ASRAE 55 Thermal Comfort Tool by the Center of Built Environment at Berkeley in 2017(Insert web citation).

As briefly introduced previously, the comfort zone is defined as combinations of air temperature, mean radiant temperature  $\bar{t}_r$  and humidity that are predicted to be an acceptable thermal environment at particular values of air speed, metabolic rate and clothing insulation  $I_{cl}$  (ASHRAE Standard 2017). It's also more commonly understood as two overlapping zones represented on psychrometric chart, where the air conditions are solved from a PMV of -0.5 to 0.5. This graphical approach assumes the rest of the environmental parameters (MRT, air velocity) and personal parameters (metabolic rate and clothing factors) as constants, and is the most widely accepted representation of the concept. There are actually two different ways of obtaining these boundary lines at PMV of -0.5 and 0.5.

To determine the boundary of the comfort zone, both ISO 7730 and ASHRAE Standard 55 provide guidelines on how to do the actual calculation. There are currently three methods outlined in ASHRAE Standard 55, which applies to different ranges of average air speed. With air speed lower than 0.2 m/s and a humidity ratio smaller than 0.012 kg·H<sub>2</sub>O/kg dry air, the graphic comfort zone method should be used, where the operative temperature can be determined by linear interpolation the upper and lower operative temperature limit with a given clothing insulation. Alternatively, the comfort zone's boundaries can also be determined by using the Analytical Comfort Zone Method. This method can be applied to metabolic rate between 1.0 and 2.0, and clothing factor between 0 to 1.5 for all humidity ratios. This method incorporates the PMV calculation method used by ISO 7730.

### 2.1.3. *The adaptive comfort model*

The adaptive approach, first suggested by de Dear and Brager [23] was developed to account for the occupant adaptability in environments that have wider bandwidth than air-conditioned buildings such as naturally ventilated buildings. According to de Dear and Brager, the PMV model is not applicable for these environments because it only partly accounts for the adaptation process and were results from limited laboratory studies. They therefore proposed an adaptive thermal comfort model for free-running buildings, linking the neutral temperature indoors to the outdoor monthly average temperatures. According to van Hoof, Fanger responded to this model in 2004 by pointing out that adaptation should be 'a process of machines adapting to human requirements and ergonomics, not the adaptation of humans to technology'[24]. That did not stop the expansion of the usage of the adaptive thermal comfort model - which has since become the thermal comfort criteria for free-running or naturally ventilated buildings. The adaptive thermal comfort is currently included in the ASHRAE Standard 55 [1] as an optional method that can be applied to naturally ventilated office buildings when outdoor temperature is between 10 and 33 °C.

As suggested by ASHRAE Standard 55, the adaptive model applies to indoor environments with air speeds beyond 0.3 m/s, specifically where occupants have better control over their own built environment. Although not explicitly addressing the occupants in the room, this model appears to have worked well for scenarios including open offices, classrooms, and many other cases of natural or hybrid ventilation.

However, it is important to point out that a majority of the laboratory studies that appeared to have demonstrated the usage of the adaptive thermal comfort models are more commonly found in studies conducted

in classrooms among younger children - who exhibits not only higher metabolic rates, higher activity levels and smaller muscle/fat ratio. It is important to point out that these studies are more conclusive may not be a coincidence, i.e. that the younger occupants of an indoor environment. However, as these experiments are, in fact, conclusive, understanding their results are far more important than what was previously observed.

Despite some clear strength over the PMV/PPD models, the first limitation of the adaptive thermal comfort is its exclusion of the six input parameters of PMV that regards the human heat balance as a crucial component to consider for the indoor environment.

Comparing to the operative temperature and the predicted mean vote, the adaptive thermal comfort model is much less specific, since it is a non-deterministic metric. However, when used to predict the thermal comfort of a smaller group of occupants' thermal comfort, both PMV and adaptive models show poor predictive accuracy. This can be explained by both model's nature of being designed to describe the thermal comfort of a larger group of occupants [24, 25]. In addition, many of the helper functions and coefficients are results from original laboratory studies and cannot be updated to reflect actual comfort conditions of real individuals as pointed out by Kim et al., [14] - at least not until these expressions are updated after a thorough literature review (HumEx, self cite).

The adaptive thermal comfort model also has an equivalent definition of the 'comfort zone' for naturally ventilated spaces [? ]. As outlined in the ASHRAE Standard 55, the upper and lower 80% acceptability limit of the operative temperature can be calculated from its linear relationship with the prevailing daily outdoor air temperature. This will result in linear boundaries of comfort zones and can be considered appropriate conditioned for a free-running building.

There are also evidences suggesting that the adaptive thermal comfort model can also be associated with elevated productivity. However, most of these studies were conducted in academic buildings where data were collected on school children. Researchers attempted to explain the lack of data on the productivity of adults, using explanations including the difficulty of setting up fair performance metrics and involuntary disruptions to normal workflow. Although many of these explanations are reasonable, the link between performance gain when using adaptive thermal comfort model remains unestablished.

## 2.2. Outdoor

With respect to how the thermal comfort can be characterized within a thermal environment, the existing literature often uses indoor/outdoor to categorize them (Rupp, 2015). This is a valid classification method when considering the environments to be either built (indoor) or natural (outdoor), which is also a natural result of the indoor and outdoor research communities being independent of each other: the indoor community focuses on ensuring the built environment satisfiable, following often either the ISO 7730 [? ] or the ASHRAE Standard 55 [1] while the outdoor community deals with a wider range of environmental parameters and are often more concentrated on heat stresses ISO 7243 (7243, 2017). When it comes to the actual method of evaluation, we believe we can also categorize these thermal comfort metrics into whether they're directly measurable or not. Understanding whether resulting indices are directly linked to how the outdoor thermal

comfort can be characterized. Chen and Ng provided a very comprehensive review on the existing models and methods in characterizing the outdoor thermal comfort, specifically on how the different metrics are developed. However, as their classifiers are the physical, physiological, psychological and social/behavioral aspects of these metrics, we want to provide an alternative aspect in understanding these metrics.

### *2.2.1. Non-measurable metrics*

A significant portion of the metrics used in the outdoor environment when assessing thermal comfort are not directly measurable. Among these variables, the physiologically equivalent temperature (PET) and the universal thermal climate index (UTCI) are becoming increasingly popular.

The physiological equivalent temperature (PET) is first proposed by Hoppe who pointed out the necessity of a universal index describing the well-being of the occupant for both the indoor and outdoor environment. PET was proposed by Hoppe[3] as a metric that quantifies the surrounding environment's effect on occupants. It is a static metric that characterizes the occupant's thermal sensation in an outdoor environment but can also be applied within the indoor environment. This metric promises the output of the overall thermal comfort condition as a temperature-like metric, which cannot be verified with any kind of measurement. Common simplifications to verify the PET includes using the operative while acknowledging the limitations of this verification in the meantime.

A similar metric, the universal thermal climate index [26] is a concept specifically developed by urban climatologists to address the challenges posed by the ongoing/upcoming challenges observed in the urban environment. This is a metric based on multi-node thermoregulation modeling, and is commonly considered more comprehensive than PET. However, since this model requires even more information specific to the occupants, it is more challenging to measure all necessary inputs to this model, which have led to its wider application in urban modeling - and validation only within the scope of measured air temperature, mean radiant temperature (or operative temperature) as well as other environmental parameters such as the air velocity, relative humidity, etc[? ].

While these metrics are non-measurable and unverifiable on their own, they all produce a somewhat temperature-like output. Unlike vote-like systems such as PMV/PPD whose results can directly be compared with the actual mean vote among the occupants, these variables cannot be compared with directly measured values without making assumptions about what is the best proxy of comfort. The most commonly used measurable variable remains to be operative temperature for these metrics, whose limitations we have already pointed out within previous section. In the meantime, it is also important to point out the caveat of the air velocity we identified inherent to the operative temperature is even less desirable for its application in the outdoor environment, and should be better characterized to be used as the method of validation.

### *2.2.2. Measurable metrics*

For the measurable metrics of thermal comfort within the outdoor environment, there are mainly two categories: metrics that are measurable by globe thermometers and metrics that are measurable with net

radiometers. Neither group considers the individual occupants, and provides only a range of acceptable instead of agreeable thermal environment - which is helpful for more extreme outdoor environments.

Globe thermometers are one of the most common apparatus when measuring mean radiant temperatures. When in thermal equilibrium, their readings reflect both the convective and radiative heat transfer between the globe and the surrounding environment. By correcting the convective heat transfer with known air temperature and air velocity, it is possible to approximate the mean radiant temperature from globe thermometer readings[? ]. Many studies have already pointed to the limitations of globe thermometers, citing their limitations of settling time, tradeoffs between the settling time [? ] and size of the globe [? ] and the general lack of accuracy of globe thermometers [? ]. However, the ease of use of globe thermometers and their comparative lower price have helped them to maintain their popularity in existing research. While direct use of globe temperature as an indicator of thermal comfort is rare for research in the outdoor environment, indices including the wet-bulb globe temperature [27] have gained popularity among urban climatologists.

Contrast to the globe thermometers, net radiometers uses three sets of up and down radiant flux sensors to capture the integral radiation from all directions within a measured location. Although often later converted into mean radiant temperatures, the actual measured value is the radiant flux, or  $W/m^2$ .  $W/m^2$  is the surface-area-averaged incoming radiation at any given time. It can be directly measured by radiant flux sensors - often a thermopile (Jones, 1985). Thermopiles are capable of generating various voltages, thus by measuring the voltage differences generated between overall incoming radiant flux and the surrounding environment, and can therefore be used to measure the radiant flux within measured field of view. This metric is not only independent of the occupant, but also of the air temperature, offering only the characterization of the radiant environment. As researchers have demonstrated that the resulting mean radiant temperature calculated from the net radiometers could reach up to  $65^{\circ}C$  and creates threats on the human health when the air temperature is no more than  $30^{\circ}C$ , incoming radiant fluxes captured (or  $W/m^2$ ) remains a helpful tool in measuring the outdoor environment.

### 3. Common Simplifications and Assumptions found in the equivalence of thermal comfort

#### 3.1. Occupant-related Simplifications

The first and foremost simplification that we observe in the existing models of thermal comfort is how a group of occupants became a single occupant, or a synthetical one for that matter.

Fanger's research back in the 1970s were among the first to suggest that occupants can be represented by a single hypothetical occupant[20]. Based on his own deterministic thermoregulation-based model that calculates the absolute state of thermal comfort developed in 1967[18], his dissertation asserts a calculated PMV within the range of -0.5 and +0.5 is sufficient to be representative of the entire population of any occupant group. Using experimental results collected from 1,394 college students, Fanger concluded that demographic variations among the occupants are not significant enough to affect the thermal comfort conditions. Although

there are many further research suggesting otherwise[28, 29], the simplification of the occupants into a single occupant gradually became mainstream[1].

### *3.1.1. Physiological Feedback - Outputs from the occupants*

Most of the popular thermal comfort metrics relies on thermoregulation models of the human body - which is a subject well-studied prior to the proposition of the PMV/PPD model[30]. From the physics of the microclimate, measurement and models of the human heat balance and their relationship to thermal comfort, research that supports proper characterization of the human body were widely available as early as the 1980s, and were systematically organized into series of publications(Cena, 1981).

Actual implementation of these models turned out to be much more complicated than what the literature outlined. Nishi outlined the heat balance of the human body needs to have the radiation, convection, evaporation and conduction between the skin surface with the thermal environment. To do so, Nishi claimed that it is necessary to monitor physiological variables including the skin temperature, skin wettedness, mean body temperature, metabolic energy consumption and the rate of external work(Gagge and Nishi, 1977). Together with the air temperature and water vapor pressure, Gagge and Nishi demonstrated that these seven input parameters are necessary to model the heat balance of the occupants. Unlike the environmental parameters, the physiological parameters are much more challenging to measure and monitor.

Skin temperature of the human body varies significantly across different parts of the body [31], and has been correlated with triggering different thermal responses in some of the latest studies. Its measurement has also transformed from attempting to capture the full temperature distribution through thermography (Clark, mullan and pugh, 1977) to strapping skin temperature sensor to the wrist of the occupants [32, 33] as well as direct indicator of occupant thermal comfort. However, the wrist temperature sensors could have limited accuracies [34] and will require prolonged calibration among larger groups of occupants. This has not hindered some more recent studies of creating prototypes that takes multiple skin temperature readings on the wrist. Beginning with single-point measurement of skin temperatures on the upper-extremity [35], the state-of-art measurement have expanded to include more points of data collection. Sim et al., for example, developed a wrist band that contains four temperature sensors, measuring the radial artery, ulnar artery, upper wrist and the fingertip temperature, which appeared to be better correlated to the thermal sensation of the occupants. Other studies have also incorporated the thermal imagery of the occupants under investigation, but not as the primary but rather a complimentary research method alongside air temperature and relative humidity measurements[36].

Other metrics that can be measured as an ‘output’ from the occupants during an evaluation period of the occupants’ thermal comfort include the mean body temperature and the core temperature of the occupants. The mean body temperature, similar to the core temperature of the human body, is often considered to fluctuate within a much smaller range (36.5 - 37.5 °C, [37])when compared to skin temperatures. To directly measure the core temperature of the participating occupants, ingestible thermometer pills are often used (Huizenga, 2004). This will likely not be non-invasive and cannot be used for prolonged period of time or used during the

real-time operation of the buildings due to the nature of the sensing mechanism. However, since the fluctuation of the core temperature remains small, it is not uncommon to assume that the core temperature remains relatively constant during the operation of building systems[38]. Studies that attempted to establish links between the core temperature and the diurnal outdoor air temperature have pointed to a possible correlation (kakitsuba,2019), which is consistent of earlier findings from Doherty and Arens[39].

Skin wettedness is much less investigated among the existing models. Per its definition, skin wettedness is the proportion of the total skin surface area of the body covered with sweat. Skin wettedness is, therefore, not a parameter that can be directly measured, but rather often approximated as with the clothing factor[40]. While most research regards the skin wettedness as a process variable that can be calculated from water vapor pressure[39], some other research also linked the skin wettedness to clothing factor, i.e. higher clothing level could induce higher vapor resistance and therefore higher skin wettedness[41]. There has yet to be much investigation on how different clothing values, specifically the transient clothing values when taking into the layering-up or down of the occupants into the thermal comfort evaluations of the occupants. And fundamentally, as clothing factor is comparatively more loosely defined a concept compared to other measurable ‘outputs’ of the occupants, it could be challenging to identify its real-time values on the occupants without using machine-learning or deep-learning on the live feed of the occupants, which may raise privacy concerns.

In addition, there are also other ‘outputs’ from the occupants that are often considered constant in existing metrics of thermal comfort. The rate of doing external work, for example, is often considered to be close to or equal zero[30]. This is both due to the assumption that office workers are only doing light office work which does not require excessive physical strain that drastically alter their physiological conditions. Compared to the amount of physical work that an office worker is conducting, it is far much more common to estimate the level of activity among existing literature, albeit their respective limitations.

The importance of characterizing the activity level of occupants within the indoor environment, although directly associated with the metabolic rate of the occupants, can often be found discussed independently. Akimoto et al. investigated the thermal comfort conditions of workers in an office environment and found significant variations of activity levels among the occupants[42]. However, most of the existing studies base their activity estimation on occupants’ self-report [43] or measured accelerations. Accelerometers can help establish the activity levels of the occupants[44], but are often associated with measuring the correct level of activity rather than associating activity levels to the resulting thermal comfort (or sensation) of the occupants. Mishra et al. went a step further and termed the measurement of activity as ‘actimetry’ where the measurement of skin temperatures is coupled with the measurement of an accelerometer embedded in an armband worn by the occupants[45]. This accelerometry technique has been previously shown useful in quantifying the rate of energy expenditure during activity for animals [46] and therefore may also be useful for in attempting to quantify the energy expenditure of the occupants. To this end, the understanding of characterizing activity level remains, not much beyond how Gagge et al. described the relationship between the physiology and thermoregulatory system and the thermal comfort[47].

Researchers have also attempted to use other ‘outputs’ from the occupants to correlate to their state of thermal comfort. Liu et al. investigated whether recording electrocardiogram (ECG) of the occupants can reflect their thermal sensation [48], and was successful in proving the usefulness of low- and high-frequency bands (LF/HR) can be used to estimate the occupants’ thermal sensations. Follow-up studies have shown the limitations of heart rate monitors [49], which may prohibit their further applications in real-time measurement of thermal comfort or sensations. Nkurikiyeyezu et al. built on these research and suggested to use the variability of heart rate (HRV) instead of the heart rate themselves as an indicator of thermal comfort, which they demonstrated to have prediction accuracy of up to 93.7%. This study did not clarify on the ECG monitoring method used by the researchers and landed on a forward-looking note towards development in IoT sensors and wearable technologies, which falls back to the limitations as pointed out by Gillinov et al.[49]. Using heart rate sensors to provide accurate and reliable feedback of the activity levels and heart rate sensors appears to require additional effort in the foreseeable future. Recognizing the challenges posed by wearable sensors and the discomfort they may create, Anguita et al. proposed a smartphone-based technique where they exploited the accelerometer on the smartphone and used it to collect activity data which were then used to train a Support Vector Machine (SVM) model. Among many of the publications in this domain known as Human Activity Recognition (HAR), the goal of this study is to understand and characterize the Activity of Daily Living (ADL) of the occupants instead of providing inputs to thermal comfort - which does suggest their valuable nature when deployed within the building sector.

### *3.1.2. Individual differences - Inputs from the occupants*

Regarding the modeling of the actual occupants in an indoor environment, it is often necessary to go through a two-stage abstraction of the occupants, wherein the occupants first became a hypothetical and synthetic person, and then becomes a specific environmental parameter. as researchers claim the male/female or age-based variations of thermal comfort is relatively small. However, since the existing assumption of the hypothetical occupant remains to be a middle-aged man with a specific built and height, variations of metabolic rates alone cannot satisfyingly characterize the existing occupant profile of a given environment, let alone the different levels of clothing factors, age, build and their corresponding effect in the thermal comfort of the occupants. And since they all inadvertently tie into the thermal comfort model through either metabolic rate or clothing factor, they can all be considered inputs from the occupants that represent individual differences - and are often overlooked in the existing models.

The first and perhaps the largest simplification among occupants is the metabolic rate. Following Fanger’s proposition in 1967 [18], the metabolic rate of the occupants has been assumed to be  $58.2W/m^2$ , or 1 MET in most of the follow-up literatures and regulations, such as the ASHRAE Standard 55[?] and ISO 7730[?]. The metabolic rate split is one of the most studied parameter that can result in variations between predicted thermal comfort and actual thermal sensation. Many researchers have associated this to metabolic rates, since different levels of metabolic rates can cause estimations can lead to over-estimation of the cooling demand during sizing of air handling systems. Despite being sometimes categorized into how important it is to categorize



the different levels of activity within an indoor environment[50], researchers have continuously reported the need to characterize individuals differently in environments such as hospitals where the activity levels vary significantly enough to create a large differentiation between the preferred thermal condition between carers and patients (Hill, Care, 2000).

One of the most investigated theme in individual thermal comfort variations is the male/female separation, where the female occupants are found to be more commonly dissatisfied with standard-manded thermal environments[28]. Kingma and van Marken Lichtenbelt linked this to the energy system requirements, arguing energy savings may be achieved when the occupants' thermal requirements are better understood - i.e. female are no longer under-represented among the occupants[28]. An earlier study from Doherty and Arens pointed out in 1988 that the gender differences were found to impact the accuracy of their thermal comfort prediction model, but lacks supporting evidence since the sample size was too small[39]. Existing research also points to different levels of satisfaction among male and female occupants. Karjalainen concluded that female occupants are in general less satisfied within the thermal environment as the male occupants [? ]. Significant variations between the male and female occupants with respect to their thermal comfort, thermal sensation and thermal perception were found in more recent studies by Maykott et al. where they compared and highlighted the differences between the different concepts as well as the male/female differences. It is, however, worth noting that the amount of votes of the female occupants are 40% lower than that of the male occupants, which may cast doubts on the validity of their conclusions.

### 3.2. *Environmental Parameters Simplifications*

Defined as the temperature of a homogeneous sphere that exchanges the same amount of heat as the actual surrounding with the human body, mean radiant temperature is arguably the most problematic environmental parameter within both the indoor and outdoor environment [51]. Its definition is very easy to follow but difficult to compute, particularly due to the challenges of quantifying the view factors between the human body and the surrounding environments. In the meantime, mean radiant temperatures are required to calculate thermal comfort and heat stress indices such as the UTCI(Jendritzky, 2012), PT (Staiger, 2012), PET (Hoppe,1999) as well as PMV [18].

Recent expansions of its definition to include the influence of the sun also add up to the challenge, as the mean radiant temperature now has two subsets with respect to the wavelength of the incoming radiation: longwave and shortwave[1]. Partially due to these inherent complexity of the mean radiant temperature, it is one of the most abstracted environmental parameter of all the environmental parameters. These simplifications are shared among existing standards (such as ISO 7726 [? ] and ASHRAE Standard 55 [1]) with both literal definitions and measurement techniques that are underlined with various simplifications.

#### 3.2.1. *One MRT in one room*

The first and potentially the most well-accepted simplification is mean radiant temperatures are singular to every single room. This is a very common simplification among the existing literature and standards from both

ISO (ISO 7726[? ], ISO 7730[? ]) and ASHRAE (Standard 55 [1]). Although both standards acknowledges the importance of measuring MRT at multiple locations when there are radiant asymmetries present, the overarching understanding across them was that for indoor environments, a single point inside a room is enough for MRT evaluation. Within the ISO Standard, the thermal comfort ergonomics and its measurement was meant to be the focus. Despite acknowledging the Standard 55 - 2017 from ASHRAE provided similar guidelines where the literal definitions of mean radiant temperature and the relationship between the long wave and shortwave radiations.

The overlooked spatial variation of MRT remained a topic of interest for some researchers. Earlies efforts on characterizing and quantifying thermal comfort alongside Fanger’s included some significant explorations on how the MRTs relate to the thermal comfort of the occupants where different mean radiant temperatures were measured at multiple locations. An interesting follow-up for this radiant connection to thermal comfort came from DeGreef et al. where the spatial MRTs were simulated and plotted as a contour map[? ]. Very few studies followed this example.

Aside from the influence of the existing regulations and standards, the obscure defintion of mean radiant temperatuer have also led to a significant level of confusion about how it should vary through space. Within the existing standards, the literal definition of mean radiant temperature remains consistent, where it is the temperature of the homogeneous sphere surrounding a person exchanging the same amount of radiant heat as the actual surrounding. For the purpose of clarifying the role of surrounding surfaces, the mean radiant temperature can be expressed with Equation 6, where the mean radiant temperature is the sum of the surrounding temperatures weighted by their corresponding view factors. However, due to the wide usage of globe thermometers, it is not uncommon to refer to Equation 7 also as an expression of mean radiant temperature[? ]. When referring to the measurement of the mean radiant temperature, ISO 7726 clearly outlines that instruments such as globe thermometers and net radiometers are used in ‘deriving’ or ‘approximating’ the MRT values. However, it is not uncommon for textbooks to introduce these means of alternatives as alternative explanations of the concept. And since the definition of MRT can be challenging to visualize to begin with, mean radiant temperature and globe temperature gradually becomes interchangeable [51]. Because of its affordability and simplicity to assemble, globe thermometer has became not only a popular apparatus of measuring MRT within the indoor environment, but also in urban climate studies [52].

$$T_r = \sum_i^N T_i F_{p-i} \quad (6)$$

$$T_r = [T_g + 2.5 \times 10^8 \cdot v_a^{0.6} (T_g - T_a)]^{1/4} \quad (7)$$

Problems in under MRT as a concept: difficulty to interpret Iterations attempting to simplify MRT: ISO, surface averaged, etc. Causes problems in measuring MRTs.

Fundamentally, the calculation of the respective view factors for different surrounding temperatures can

be difficult and challenging. Fanger approached the problem in his seminal publication on thermal comfort [20] by creating reference curves with different ratio between the occupant and the targeted surface. This has encouraged some follow-up research where the corresponding surfaces of a room are segmented into smaller rectangles and have their corresponding view factors calculated (Chapman & Zhang, 1996). DeGreef and Chapman followed up on this and improved the method to calculate the view factors from triangles while computing their surface normals, and was able to produce a more comprehensive set of view factors for characterizing MRT distributions [53].

Existing tools that evaluates the spatial variation of MRTs are also very rare. On the simulation side, the most common building simulation engines such as EnergyPlus [54] do account for the temperature variations and calculate the respective view factors, but still remains to assess the mean radiant temperature as a single node inside a specific thermal zone despite accounting for the surrounding surface temperatures and their respective view factors. More recently, the Center for the Built Environment published a Spatial Thermal Comfort Tool [55] that focuses on the spatial resolution of mean radiant temperature, which calculates both the spatial MRT and the corresponding PMV assuming constant inputs from the other five parameters ( $T_a, v_a, RH, clo, M$ ).

For researchers focusing on the outdoor environment, the single-valued MRTs are much less common, particularly due to the influence of fluctuation of shortwave solar radiation[? ]. And as most models requiring MRT as inputs to yield meaningful outdoor thermal comfort or heat stress results, measurements for mean radiant temperatures are often intentionally conducted at different locations[52? ]. There has also been many recent development on quantifying both the shortwave and longwave radiation in the most recent studies. Arens et al. studied the effect of solar (shortwave) radiation’s effect on the indoor thermal environment [? ], and was followed by Marino et al. to characterize the reflection of the shortwave inside an indoor environment[? ].

### 3.2.2. Air temperature and MRT

Aside from assuming that mean radiant temperatures can be treated as a homogeneous parameter for an indoor environment, another very common simplification is to consider mean radiant temperature the equivalent of air temperature[51](Langer, 2013; matzarakis 2008).

It is difficult to estimate how much of this simplification is driven by the ASHRAE and ISO standards, both of which pointed out that the mean radiant temperature can be simplified into the measured air temperature for a homogeneous air-conditioned indoor environment. It is nearly as difficult to attribute all of those claims to the use of globe thermometers, who remains to be one of the most popular apparatus when measuring mean radiant temperature[51]. It is, however, to identify a few key publications that specifically studied this problem and pointed out the fundamental differences between the air temperature and mean radiant temperature - and how replacing the mean radiant temperature with air temperature can be problematic.

Walikewitz conducted another case study in 2015 where they found mostly negligible differences between air and mean radiant temperatures throughout their experiment. An interesting finding from this particular

study is the effect of solar radiation on the resulting mean radiant temperature - which can in turn affect the resulting thermal comfort significantly [56]. Although Walikewitz et al.

Chaudhuri specifically analyzed this assumption one year later in 2016 with an experimental study, where they found MRTs to have the highest positive correlation with occupant-reported thermal sensations, and the simplification affects the discomfort even more within the uncomfortable ranges [57]. Chaudhuri found this to be particularly true with actual mean votes (AMVs) and highlighted the importance to separate the two values in evaluating thermal comfort: the larger the differences between air temperature and mean radiant temperature, the less accurate the results are in reflecting the occupants actual comfort conditions. It is worth noticing that both Walikewitz and Chaudhuri used globe thermometers in their study to evaluate the mean radiant temperatures with the ISO 7726 [?] mandated expression Equation 7.

Fundamentally, the measurement of mean radiant temperature can also be problematic. Thorsson et al. conducted an analysis on methods used to characterize mean radiant temperature where the expression of convection heat loss of the globe thermometer is carefully calibrated[52]. The expression of the mean radiant temperature for globe thermometers used in ASHRAE Handbook [?] and the ISO standard both pointed out the need to measure air velocity, but does not specify the precision or accuracy necessary for the measurement, which may significantly affect the resulting mean radiant temperature. In addition, the size, material and inherent limitation of using a globe thermometer all remained un-addressed in the current literature. According to an early report in 1974, globe thermometers are more accurate when their settling time is long enough to not be scrambled by localized air flow but not too long to capture temporal changes within the indoor environment [?]. Without precise characterization of the convective heat transfer between the globe thermometer and the surrounding air, the resulting mean radiant temperature obtained through globe thermometers remains much more dependent on air temperature than the radiant environment, and hence the underlying explanation on how air temperature can be considered consistently a satisfying proxy of mean radiant temperature.

More recent investigations have pointed to a clearer limitation of the globe thermometer, while the measurement of mean radiant temperature within an indoor environment remains to be acceptable at the center of the room [58] so long as there are no radiant asymmetries.

#### **4. Latest Efforts in addressing the absence of occupant in OCC**

Despite all the implicit assumptions and simplifications within the existing models, there have already been attempts to address the challenge of thermal comfort among multiple occupants. To address the challenge of satisfying occupants of different demographic/physiological conditions, these efforts share an important trait of including actual occupants responses, but can be further categorized into two groups: those that include only the subjective feedback from the occupants, and those that also include the objective measurements of the occupants[59]. Although both categories aim at collecting feedback from the occupants, they essentially are addressing different aspects of the problem through voluntary and involuntary feedback from the occupants. Ideally, the feedbacks should always be involuntary since the interruption to the workflow or activities of the

occupants can be kept to the minimum level.

#### *4.1. Subjective feedback*

We categorize studies that uses the subjective votes without direct physiological measurements as subjective. Occupants are no longer providing involuntary feedback but rather intentional, voluntary responses as signals collected by the control system or algorithm. These subjective responses - usually in terms of thermal sensation votes (TSV), or actual sensation votes (ASV). Occupants are often asked to vote their subjective evaluation of the environment with a scale of -3 to +3, with 0 pointing to thermal neutrality. Without using any intrusive direct measurement techniques, subjective evaluations of the thermal environments are often used as the ground truth when training models with various physiological and environmental inputs[60].

It should be noted that while the terms thermal sensation, thermal satisfaction, thermal acceptability and thermal preference will overlap, but are fundamentally different concepts. For example, feeling cool/warm may not necessarily mean an occupant is uncomfortable, and it is always the level of comfort - or discomfort for that matter - that researchers are hoping to capture. While Fanger's thermoregulation-based PMV model were able to predict the thermal sensation of a larger group of occupants in an air-conditioned space, its limitation in reflecting the level of satisfaction or acceptance of the particular environment may have ultimately led to the development of adaptive thermal comfort model and personal comfort models. Examples within existing research are ample: more than half of the occupants voting on sensations the 'comfort' range also expressed they were not comfortable in Paciuk and Becker's experimental study[11], more than half of the occupants voting on extreme thermal sensations expressing no interests in changing the temperature settings and are comfortable in another experimental study from Brager et al. [23]. And for occupants who actually expressed neutral thermal sensation in the same study from Brager et al., up to one thirds expressed their preferences to have the environment tuned warmer or cooler. These are unavoidable limitations of using the subjective feedback of the occupants. For researchers attempting to design future experiments, it is highly important to recognize the limitations of the different concepts - what occupants are voting on and how that reflects on their respective state of thermal comfort. It may also be very interesting to develop models that compares the thermal sensation, thermal satisfaction, thermal acceptability and thermal preference of the occupants, which could benefit the research community investigating occupant-centric designs and controls of building systems.

To avoid the bias of using only the subjective votes of the occupants, but still capture the individual differences between the occupants, their subjective responses alongside their interactions with the personal thermal comfort devices are used to characterize their respective thermal comfort. The Center of Built Environment in Berkeley is among the strongest promoter of this paradigm. Kim et al. recognized this pattern in one of their latest publications, recognizing this approach called a personal comfort model, with five key traits: individual persons are considered instead of larger groups of people, collect direct feedback from individuals are used to train models, is data-driven and can therefore be analyzed with different models and can be further adapted when new data is introduced. Recognizing the recent trend of intelligent comfort management (Talon, 2015, Solutions are changing the occupant experience, navigant consulting). This falls

into behavioral adaptation, which, alongside outdoor climate, are the two major categories of reasons that explains the lack of PMV’s accuracy in predicting occupants’ thermal comfort[11].

Many personal thermal comfort models have been developed so far, where actual feedback data from the occupants are used to train the models to predict the individual states of thermal sensation, and are often coupled with either statistical/probabilistic methods [61] or machine learning models. Many of these studies uses environmental parameters as model inputs, and physiological measurements [62] as supplementary data as well as occupants feedback as thermal sensation ground truth[33]. Most of these physiological measurements are collected through wearable sensors that were designed to minimize the intrusion of the occupants - larger devices such as the face-mounted frame used by Ghahramani et al. [63] are rarer, while smaller fitness trackers such as fitbit or Microsoft band[64] are much more popular due to the lower costs of prototype development. Infrared thermography are also used in some cases [36] but are often limited with the field of view and resolution of the incoming infrared feed.

These efforts are also categorized as a shift from centralized thermal comfort to personalized thermal comfort in some recent research where the individualized differences are emphasized and magnified [29]. However, despite recognizing the need to ensure the personal thermal comfort of the occupants through individual modeling, the negotiation between the predicted thermal comfort of the occupants and how their individual ‘comfort’ may overlap remains a topic that has not yet been investigated.

#### *4.2. Objective measurement*

Regarding the latest research focusing on addressing the two-step simplification of occupants into environmental parameters, we can categorize them based on their means of planned intervention and its relationship to the occupants into objective and subjective. For the objective interventions, occupants’ physiological responses are directly measured through different sensing techniques, and calibrated by the actual votes of the occupants.

Measuring the physiological response of the human body has been historically challenging. Building on the principle that physiological responses can be correlated with thermal discomfort [65]. Monitoring the physiological signals of the human body allows the detection of discomfort signals - and when these signals are absent, it is possible to hypothesize the occupants are comfortable [66, 67].

Among other measurable responses, the skin temperature of the human body is more common in existing research. To accurately measure the physiological responses of the human body, traditional sensing techniques are often intrusive: sensors that needs to be strapped onto the body [34] effectively make it impossible to measure real-time responses for multiple multiples in a real office environment. Development in sensing technology has helped researchers to come up with solutions to the comfort conundrum. A particularly popular measurement technique to measure the physiological signal of the occupants is measuring the skin temperature with contact thermocouples, where different sensors are often placed or strapped onto the test subjects[31].

Results on how sensitive different locations of the human skin are sensitive to changes of the thermal environment have pointed to a few specific locations including the wrist temperature, which later became

a major research concentration for researchers[31]. A recent study conducted by Liu et al. collected direct physiological feedback as well as subjective responses from 14 occupants (and ended with 10) during a two-week experiment[33]. With personal thermal comfort model their research goal, this study utilized multiple machine-learning techniques to handle the data collected, hoping to qualitatively compare the different models. This is very uncommon among similar studies, where direct physiological measurements are often treated with a simple heuristic model [68] or specific machine [69] - or deep - learning technique before being tested with the rest of the data[14].

A relatively less-used method, thermal imagery (or infrared thermography) has also been used in characterizing the thermal responses of the occupants. Its earlier examples can be traced back to as early as 1970s [70], the development of the technology has allowed portable IR cameras to become popular tools in energy audit for buildings[71]. As the resolution of the IR cameras gradually improves, its usage in energy audits widens, gradually extending to monitoring not only thermal bridges or subsurface defects, but also the thermo-physical reactions from the occupants to the surrounding environments. Estimating the thermal comfort of individual occupants was also achieved by the usage of multiple non-contact infrared sensors in some studies. Ghahramani et al., for example, demonstrated that it was possible to use 4 points (forehead, hear, bridge of nose and cheekbone)[63]. Their approach was intended to create minimum interruption to the occupants' workflow and appeared to have yielded some interesting results. However, the prototyped sensor does not appear to be adequate to be deployed during longer period of actual usage. Among many other studies that attempted to use wearable sensors such as fitness trackers and skin temperature sensors, most of the existing studies focuses primarily on using the measured data as well as subjective feedback of the occupants to train models, wherein personal comfort models can be obtained for individual occupants when limited inputs (primarily environmental) can be used to determine the state of comfort for specific occupants[14], as we covered in the previous section.

## 5. Conclusion

We reviewed some of the most canonical parameters for thermal comfort in this paper, focusing particularly on their limitations and underlying simplifications. Our hypothesis of the occupants as larger groups went through a two-step simplification process was verified, upon which we also identified some of the most recent efforts to address the thermal comfort gap - which we believe is caused by the negligence of both the individual differences between occupants and the human physiological responses.

We discovered many problems in the existing simplification methods from a group of occupants to a single hypothetical occupant - it is not to say that the occupants cannot/should not be simplified, but the context of this simplification needs to be better understood among researchers and practitioners.

We also feel we need to better understand what and how many of those simplifications are used when their results are cited and used elsewhere. Many of the assumptions were passed on alongside their cited results. This also affects the data-drive approach when reviewing these papers, since the underlying assumptions will

again go unexamined, and the assumptions get passed on without being re-examined.

Using direct methods to collect the outputs from occupants may be an alternative that could solve the comfort gap. Existing research in this direction uses either direct physiological measurements or actual feedback from the occupants, which can both be viewed as attempts to re-introduce the occupants back to the control loop of building systems. Other efforts include the status-quo research on personal thermal comfort models that characterizes the individual thermal differences, which includes both the physiological feedback and the actual votes from the occupants. We believe a personalized thermal comfort model is a promising alternative to the existing comfort/occupant-centric explorations, despite their limited scale at the time of this paper.

To re-introduce the occupants to monitoring and control of indoor environment, it is necessary to measure their responses directly. With the recent development in the non-intrusive measurement IoT and wearable sensors, measuring the physiological signals from the occupants directly has become much easier. The challenge remains, however, to discern the individual discrepancies between the occupants - how their sex, age, build and corresponding metabolic rate may differ to properly characterize their personal comfort. Collecting subjective feedback could be a very helpful tool to help bypass these individual differences without collecting personal identifiers. However, it is crucial for researchers to clearly discern thermal sensation, thermal preference and thermal satisfaction when designing experiments since each individual concept overlaps but are fundamentally different.

We wanted to focus primarily on the two-step abstraction of the thermal comfort of occupants in this paper and concentrated on how different simplifications and assumptions chronologically emerged during this simplification. This is further coupled with investigation on how recent awareness of the importance of thermal comfort. What are some of the basic traits of C++ as a language? How is this practical - or non-practical is a key problem that we're currently facing.

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