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Introduction

1 Dialogue Games

1.1 Definitions

▶ **Definition 1.** A Rooted Dialogue Game is a bipartite rooted tree whose nodes are separated in two sets Cells and Values. By bipartite we mean that the children of a Value are Cells and the children of a Cell are Values.

Additionally, a rooted dialogue game is provided with a polarising function $\lambda : Cells \oplus Values \rightarrow \{O; P\}$ such that any child of a Cell has its polarity and any child of a Value has the opposite polarity. Finally, The root of a rooted dialogue game is a P-Value.

A Dialogue Game is a set of Rooted Dialogue Games.

The basic intuition here is that we see Dialogue Games as a game semantics expansion of Berry's and Curien's Concrete Data Structures where we symetrize these structures by assigning cells and values to different players.

Next, we introduce the relations used to simulate the idea that a Cell can only be filled by one Value at a time in a play of the game.

▶ **Definition 2.** Taking the usual order relation on nodes ($a \le b$ if a is an ancestor of b), we note $a \land b$ the greatest(first) common ancestor of a and b and define the following notion of compatibility: a and b are said to be compatible when $a \land b$ is a Value. They are said to be incompatible otherwise.

Intuitively, compatible nodes stand for concurrent choices, where we can choose a node, then back-track and try another one, whereas incompatible nodes stand for a definitive choice, if we take a node, the other branches are forever lost in the current exploration.

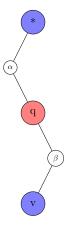


Figure 1 An Example of Rooted Dialogue Game of root *

This notion of compatibility is paramount to the understanding of those games, as they describe the valid moves one can make at a given moment.

 \triangleright **Definition 3.** A position of a dialogue game is a downward-closed set x of pairwise-compatible Values, that is :

Two positions x and y are said to be compatible if there is no $v \in x, w \in y$ such that v and w are incompatible.

▶ **Definition 4.** Let s and t be two positons of a dialogue game A. and v a value of A. We set $s \xrightarrow{v} t$ if and only if $t = s \sqcup t$

A trajectory of a dialogue game is a sequence of positions starting from the root such that there is an alternating path $\xrightarrow{v_1} s_1 \xrightarrow{v_2} \dots \xrightarrow{v_n} s_n$.

By alternating, we mean that the values added to the path should alternate between Player and Opponent values, starting with an Opponent one (as the root is always a Player value).

A strategy is a set of even trajectories which is downward-closed and P-deterministic.

The trajectories are to dialogue games what is usually called play for usual games. This notion is a key point of the dialogue games, as we lose the traditional idea of branch exploration for plays, in favor of an exploration of compatible moves. This allows for natural backtracking when we want to play a compatible move that could have been played much earlier in the trajectory.

We can also introduce the underlying graph of a dialogue game, which is the directed graph whose vertices are the positions of the game and whose edges are moves from one position to another.

Now that we have recalled the definition of dialogue games, let us look at their correspondance with tensorial logic.

1.2 Tensorial Logic and Dialogue Games

The importance of dialogue games comes from the fact that they are a perfect correspondance for formulas of Tensorial Logic. Please recall the grammar of the formulas of tensorial logic :

$$A, B ::= 0 |1| A \otimes B | \neg A | A \oplus B$$

Let us describe the correspondance in details: The game 0 is the empty set of rooted dialogue games and the game 1 is the rooted game with only the root, while the sum $A \oplus B$ is the union of the sets of A and B.

The negation $\neg A$ is obtained by reversing the polarities on the set of games of A, then adding a root value justifying a cell which justifies all the roots of the rooted games of A. Thus negation transforms this dialogue game :

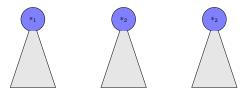


Figure 2 A Dialogue Game

into this rooted dialogue game.

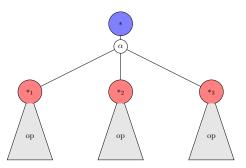


Figure 3 A Negated Dialogue Game

Then, we can define the tensor product of a set of negated games (games of the form $\neg A$) by merging the roots of those games into one root justifying all the cells justified by the original roots. Thus the tensorial product of these negative games :

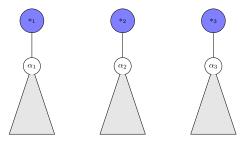


Figure 4 A sum of Negated Dialogue Games

is this rooted game:

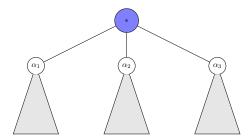


Figure 5 An example of Tensorial Product

This is enough to describe all games . Indeed, if you have a non-trivial dialogue game, either it's

a set of rooted games (in which case, the associated formula will be a sum of the games' formulas) or it's a rooted game.

In this last case, there are two possibilities, either there's only one cell justified by the root (the associated formula is then the negation of a game) or there is several (the associated formula is then a tensor product of negated games).

We thus have a correspondance as advertised, up to associativity of both connectors and distributivity of the sum over the tensor product.

We will also need one more structure, the game which will contain the arrows from A to B in the category of dialogue games, which will be strategies: The tensorial implication $A \triangleright B := \neg (A \otimes \neg B)$

1.3 Sequential Trajectories

We will look at specific trajectories, that we will call sequential trajectories. Those trajectories are alternating, and all their projections on components are too.(For example, a trajectory on $A \otimes B$ need to be alternating on $A \otimes B$, A and B.) Those trajectories will not be able to visit all positions so we need to have a notion of legal positions, they will come in two flavors, positive when one can play an Opponent move from them and negative when one can play a Player move:

- ▶ **Definition 5.** We introduce this notion of flavor by induction over the structure of the games :
- \blacksquare The only position of the 1 game is +.
- \blacksquare The flavour of positions of a game A are reversed when in the game $\neg A$
- For the tensor product $A \otimes B$, we have four cases :
 - A positive position in A and a positive position in B make a positive position in $A \otimes B$.
 - A negative position in A and a positive position in B make a negative position in $A \otimes B$
 - Similarly, A negative position in B and a positive position in A make a negative position in $A \otimes B$
 - Finally, A negative position in A and a negative position in B make an illegal position in $A \otimes B$. A trajectory getting to such a position would have two Opponent moves more than Player moves, making it impossible to be alternating.

We can also refine the definition of the underlying graph into a legal underlying graph containing only the legal positions, which will be bipartite (separated into positive and negative vertices).

1.4 Reorganizing move orders

We introduce some equivalence relations over sequential trajectories defined by induction over the structure of the Dialogue games. These definitions are motivated by the wish to understand how a trajectory can be reorganized into another one when a move is played at a different moment. We also wish to build strategies that would be invariant by those relations to be able to interpret two programs whose only differences are the order of computations in the same way.

We need to have two different equivalence relations because the structure of the dialogue games and of the sequential trajectories highlight major differences between P moves and O moves.

▶ **Definition 6.** Let A be a Dialogue game, x a legal position of A. We define two equivalence relations \equiv_{OP} and \equiv_{PO} over sequential trajectories reaching x by induction over the structure of A.

- for the game 1, both equivalence relations are the identity.
- for two trajectories s and t reaching x in a game $\neg A$, we have $s \equiv_{OP} t$ if $s_A \equiv_{PO} t_A$ and $s \equiv_{PO} t$ if $s_A \equiv_{OP} t_A$, where s_A and t_A are the trajectories of A obtained by removing the move associated to the negation.
- for a position x in the tensor product $A \otimes B$, the definitions differ a bit more. Le s, s', s'', s''' be sequences of moves, O and O_1 be opponent moves and P and P_1 be player moves.
 - \equiv \equiv_{OP} is the equivalence relation generated by the couples: $(s.O.P.O_1.P_1.s', s.O_1.P_1.O.P.s')$ such that

$$s.O.P.O_1.P_1.s'|_A \equiv_{OP} s.O_1.P_1.O.P.s'|_A$$

and

$$s.O.P.O_1.P_1.s'|_B \equiv_{OP} s.O_1.P_1.O.P.s'|_B$$

 $\equiv \equiv_{PO}$ is the equivalence relation generated by the couples $(s.P.s'.O.P_1.s''.O_1.s''', s.P_1.s'.O_1.P.s''.O.s''')$ such that P, O, P_1, O_1 all come from the same component,

$$s.P.s'.O.P_1.s''.O_1.s'''|_A \equiv_{PO} s.P_1.s'.O_1.P.s''.O.s'''|_A$$

and

$$s.P.s'.O.P_1.s''.O_1.s'''|_B \equiv_{PO} s.P_1.s'.O_1.P.s''.O.s'''|_B$$

The definition for the tensor product is a direct consequence of both the structure of the dialogue game and the notion of sequential trajectory. Indeed, it's easy to see that in that case, a P move always follows a O move coming from the same component, and that such a couple of move can be switched with an adjacent couple of moves coming from the other component without causing any upheaval in the trajectory.

▶ **Lemma 7.** Let A be a Dialogue Game, x a legal position, $s = s_0.m.s_1$ a sequential trajectory getting to x, m' a move playable at s_0 and played in s such that $m' \neq m$, then there exists $t = s_0.m'.t_1$ a sequential trajectory such that $s \equiv_{OP} t$ or $s \equiv_{PO} t$

Proof. We prove it by induction over the structure of A, the cases of the 1 game and of the negation being trivial. Let us show it for the tensor product $A_1 \otimes A_2$:

There are two cases depending on whether m and m' are O moves or P moves.

- \blacksquare if they are O moves, we need to consider two sub-cases based on whether O and O' are in the same component of the tensor.
 - If they are, let us assume O and O' belong to A_1 . In this case, we look at the projections over A_1 . $s|A_1$ is a sequential trajectory reaching $x|_{A_1}$.

By induction, we get a sequential trajectory u_1 of A_1 reaching $x|_{A_1}$ such that u_1 starts with $s_0|_{A_1}.O'$. Back in the tensor product, we build s_1 in the following way:

The schedule (how the moves of the different components are ordered) is the same one as s. The moves coming from A_2 don't change, the moves coming from s_1 are in the order of u_1 . That way, we have $s \equiv_{OP} s_1$, and s_1 starts with $s_0.O'$.

- If they aren't, let us assume O belong to A_1 and O' to A_2 . We have again two subcases depending on whether O' is the first move in $s|_{A_2}$ or not.
 - * If it is, we build s_1 by moving O' and its answer to the beginning of the trajectory. (it will only switch them with couples of moves of the other component, which is allowed by the definition of \equiv_{OP}).
 - * if it isn't, we first do the aforementioned switch to bring the first move O'' of s_A_2 , and then we apply the method of the first subcase where both O moves are in the same component.
- if they are P moves, they are in the same component, since they are direct answers to the same O move and the trajectories are sequential.

Let us assume P and P' belong to A_1 . In this case, we look at the projections over A_1 . $s|A_1$ and $t|A_1$ are two trajectories reaching $x|_{A_1}$.

By induction, we get a sequential trajectory u_1 of A_1 reaching $x|_{A_1}$ such that u_1 starts with $s_0|_{A_1}.P'$. Back in the tensor product, we build s_1 in the following way:

The schedule (how the moves of the different components are ordered) is the same one as s. The moves coming from A_2 don't change, the moves coming from s_1 are in the order of u_1 . That way, we have $s \equiv_{PO} s_1$, and s_1 starts with $s_0.P'$.

▶ **Lemma 8.** Let A be a Dialogue Game, x a legal position, s and t two sequential trajectories getting to x. Then there is a sequence of trajectories $s_1, ...s_n$ such that $s, s_1, ...s_n$, t are a sequence of trajectories related through \equiv_{OP} and \equiv_{PO} alternately and the size of the largest common prefix of s_i and t increases strictly with i, (with s being seen as s_0 . For example, s $\equiv_{OP} s_1 \equiv_{PO} s_2... \equiv_{OP} s_n \equiv_{PO} t$

TODO add pictures

Proof. We prove it by iterating the earlier lemma, first on s and the first move in t that's different from the moves in s. It gives us a s_1 trajectory as intended. We apply the lemma again on s_1 and the first move in t that different from the moves in s_1 . If the diverging move in the former iteration of the lemma is of the same kind (Opponent or Playerà as the one in this iteration, we replace s_1 by our new result, otherwise we define s_2 as our new result. We can continue applying this method, the size of the diverging part of the trajectory decreases strictly with each iteration, so it's a finite process.

Now that we have introduced our equivalence relations, we will introduce a notion of strategy based on them. We could use equivalence classes of \equiv_{OP} which are deterministic, but they will not have the properties we will need, so we need to add a bit more complexity.

▶ **Definition 9.** A strategy σ on a dialogue game A is said to be bi-invariant if it only contains sequential trajectories, and :

$$\forall s \in \sigma, \forall t, s \equiv_{OP} t \Rightarrow \exists u \in \sigma t \equiv_{PO} u$$

1.5 Confluent Strategies

Let us now look into a new kind of strategies, inspired by rewriting theory. Similarly to the equivalence relations, the idea comes from the fact that we would want to interpret in the same way two programs that do the same work but handle some computation in a different order (like the two

boolean or taking a look at left or right argument first).

In terms of trajectories, it means that we want the strategy to be able to play available moves in every possible order. That inspired the definition of confluent strategies:

▶ **Definition 10.** A strategy is said to be confluent if it only contains sequential trajectories and if $fors, t \in \sigma, m$ a position $,s.m \subset t \Rightarrow \exists n, s.m.n \in \sigma \land s.m.n \subset t$. It is said to be total if for $s \in \sigma, m$ a position, s.m is a valid trajectory $\Rightarrow \exists n, s.m.n \in \sigma$.

Intuitively, it means that a confluent strategy will explore all paths to a given position in the strategy and that a total strategy will stop exploring the game's tree only when there's no path left.

▶ **Theorem 11.** *Bi-invariant strategies are exactly the total confluent strategies.*

Proof. \blacksquare Let σ be a confluent strategy on a dialogue game A. Let $s=s_0.O.s_1$ and $t=s_0.O'.t_1$ be two sequential trajectories reaching a legal position x, with $s\in\sigma$ and $s\equiv_{OP} t$. By confluency of σ , there exists $u\in\sigma$ starting with $s=s_0.O'$ and reaching $s=s_0.O'$. There are now three cases depending of the kind of the first divergent move between $s=s_0.O'$.

- If it is a P move(that we will call P_t and P_u , we apply our first lemma to t and P_u to get a sequential trajectory v reaching x, starting with $s_0.O'....P_u$ and such that $t \equiv_{PO} v$. We then choose again between the three cases with v and u instead of t and u.
- If it is a O move (that we will call O_t and O_u , we apply confluency of σ on u and O_t to get a sequential trajectory $w \in \sigma$ reaching x starting with $s_0.O'...O_t$. We then choose again between the three cases with t and w instead of t and u.
- when there is no more diverging move, we have $t \equiv_{PO} v = u$ with $u \in \sigma$. (we potentially have t = v if there is no divergent move after the initial \equiv_{OP} and confluency applications.

This allows us to build u as needed and thus, σ is bi-invariant.

Let σ be a bi-invariant strategy on a dialogue game A. Let $s=s_0.O.s_1 \in \sigma$ and O' an opponent move playable in s_0 and played in s. By applying our first lemma to s and O', we get a sequential trajectory t starting with $s_0.O'$ such that $s\equiv_{OP} t$. By bi-invariance of σ , there exists $u\in \sigma$ such that $t\equiv_{PO} u$. If the \equiv_{PO} causes reorganization of moves before O', u will start like s but diverge on a P move, which breaks determinism, thus u starts with $s_0.O'$ and σ is confluent, the answer of O' in u being the needed move.

▶ **Theorem 12.** *Dialogue games and Confluent Strategies form a category.*

Proof. We need to check that the usual composition (parallel-hiding) of strategies preserve the properties of confluent strategies. Let A,B and C be dialogue games, σ a confluent strategy in $A \triangleright B$ and τ a confluent strategy in $B \triangleright C$. $\sigma \circ \tau$ is a strategy in $A \triangleright C$. We need to check that it is confluent.

Let s,t be two trajectories in $\sigma \circ \tau$, m a position, such that $s.m \subset t$. By definition of $\sigma \circ \tau$, there exist $s_{\sigma}, s_{\tau}, t_{\sigma}t_{\tau}$ trajectories in σ and τ such that $s_{\sigma}|A=s|A, s_{\sigma}|B=s_{\tau}|B, s|C=s_{\tau}|C$ and $t_{\sigma}|A=t|A, t_{\sigma}|B=t_{\tau}|B, t|C=t_{\tau}|C$. We would want to use confluency of σ and τ on s_{σ}, t_{σ} and s_{τ}, t_{τ} but for that we need to be sure that $s_{\sigma} \subset t_{\sigma}$ and $s_{\tau} \subset t_{\tau}$.

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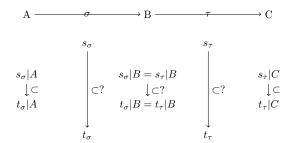


Figure 6

If we play a first move to get to a position m under t_{τ} , it will be under s_{τ} too since it is a move in C and $s_{\tau}|C \subset t_{\tau}|C$. we can apply confluency to get a position n such that m.n is both under s_{τ} and t_{τ} (by determinism of τ).

If the move that got us to n is in C, we start again by choosing a new move in C under t_{τ} .

If it is in B, we can apply the same method on σ to get a position r such that n.r is under both s_{σ} and t_{σ} by confluency and determinism. We continue this till we get to s_{σ} and s_{τ} , ensuring we have $s_{\sigma} \subset t_{\sigma}$ and $s_{\tau} \subset t_{\tau}$.

We can thus apply confluency on s_{τ} , t_{τ} and s_{σ} , t_{σ} . we have two possibilities, the move to get to m from s is a move in C or in A. Let us suppose it's a move in C (We handle the other case similarly).

We thus have $s_{\tau}.m_{\tau} \subset t_{\tau}$, where m_{τ} is the position we get at when playing the same move than for m, but from s_{τ} .

By confluency of τ , there exists a move getting us to a position n_{τ} , such that $s_{\tau}.m_{\tau}.n_{\tau} \in \tau$ and $s_{\tau}.m_{\tau}.n_{\tau} \subset t_{\tau}$. n_{τ} can come from a move in B or in C.

If it's a move in C, we can play the same move from s.m to get the n we need.

If it's a move in B, it's a move we can play from s_{σ} to get to a position n_{σ} and $s_{\sigma}.n_{\sigma} \subset t_{\sigma}$ since $s_{\tau}.m_{\tau}.n_{\tau} \subset t_{\tau}$ and $t_{\sigma}|B = t_{\tau}|B$. By confluency of σ , there exists a move getting us to a position o_{σ} such that $s_{\sigma}.n_{\sigma}.o_{\sigma} \subset t_{\sigma}$ and $s_{\sigma}.n_{\sigma}.o_{\sigma} \in \sigma$. Once again, o_{σ} can come from a move in A or in B. If it's a move in A, we can play the same move from s.m to get the n we need.

Otherwise, we repeat the method we've used until we get a move from either A or C.

It is a finite process as we are staying under t_{σ} and t_{τ} each time we add a move.

Dialogue Games and Graph Games

In this section, we start by reintroducing Graph Games as described by Hyland and Schalk [], before showing a correspondance between our dialogue games and the graph games, by exhibiting a full and faithful functor from the category of Dialogue games and sequential confluent strategies to the category of graph games and conflict-free strategies.

2.1 Graph Games

- ▶ **Definition 13.** A Graph Game A is given by :
- A set $A = A_P + A_O$ of positions together with an initial position $*_A$. A_P is the set of Player positions (where Opponent has to move) and A_O the set of Opponent positions.

- A set of directed edges that makes the graph bipartite and acyclic.
- \blacksquare a play in the game is a sequence of positions $*_A = a_0.a_1...a_n$ such that for all $i \ a_i \to a_{i+1}$
- ▶ **Definition 14.** Let α be a partial function from A_O to A_P such that $a \to \alpha(a)$ when it is defined. The set of reachable positions for α $R(\alpha)$ is defined by induction in the following way :

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 *_A \in R(\alpha) 
if <math>a \in R(\alpha) \cap A_P \text{ and } a \to a' \text{ then } a' \in R(\alpha). 
if <math>a \in R(\alpha) \cap A_O, \alpha(a) \text{ is defined (and thus } a \to \alpha(a)) \text{ then } \alpha(a) \in R(\alpha).
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We then define a pre-strategy as a partial function α from A_O to A_P such that $a \to \alpha(a)$ when it is defined and that its domain of definition is a subset of $R(\alpha)$.

▶ **Definition 15.** a strategy of the game A is a conflict-free pre-strategy, that is a pre-strategy such that if $a \in R(\alpha) \cap A_P$ is reachable from $a' \in R(\alpha) \cap A_O$ then $\alpha(a')$ is defined and a is reachable from $\alpha(a')$.

TODO Remaining defs

2.2 Proving the correspondance

▶ **Theorem 16.** There exists a full and faithful functor F between the category of dialogue games and sequential confluent strategies, and the category of graph games and conflict-free strategies.

Proof. Let us start by defining this functor:

Let A be a dialogue game. we define F(A) as the game played on the underlying legal graph of A with the positive positions being the Player vertices and the negative positions being the Opponent vertices.

Given the structural definitions of both graph games and legal positions of dialogue games, one can easily note that the functor respects the structure of the game . $F(1_D) = 1_G$, $F(A \otimes B) = F(A) \otimes F(B)$, $F(\neg A) = \neg F(A)$

■ Let σ be a sequential and confluent strategy on a dialogue game A. We define the conflict-free strategy $F(\sigma)$ on the graph game F(A) in the following way:

Let s be a non-void trajectory in σ , then $s = s_0.m.n$, with s_0 a trajectory, m an Opponent move, and n a Player move. $\{s_0, m\}$ is thus an negative position, thus an Opponent vertex in F(A). Similarly, $\{s_0, m, n\}$ is a Player vertex in F(A).

We define $F(\sigma)(\{s_0, m\}) = \{s_0, m, n\}$ and do so for each trajectory in σ . This gives us a partial function as intended (thanks to the determinism of σ), which is also conflict-free thanks to the confluency of σ .

Indeed, le ta be a player position in $R(F(\sigma))$ and a' an opponent position in $R(F(\sigma))$ such that a is reachable from a'.

The inductive construction of $R(F(\sigma))$ can easily be seen as an inductive way to build trajectories in the game A following σ .

Thus $a \in R(F(\sigma))$ translates into "there is a trajectory in σ reaching a if a is a Player position. Otherwise, it translates into "there is a trajectory t in σ and an opponent move O such that t.O reaches a'.

Thus we get a trajectory s in σ reaching s and another one $t=t_0.O$ such that t_0 is in σ , reaching a'. Since a is reachable from a, it means that $t \subset s$. by confluency of σ , there exists a Player move P such that $t.P \subset s$ and t.P is in σ and t.P can be completed to reach a.

Applying our translation to those statements gives us $F(\sigma)(a)$ is defined (and equal to $\{t.P\}$)

and a' is reachable from $F(\sigma)(a)$

One can note that we have been able to do the translation for strategies of all dialogue games, and not only for the ones that are arrows in our category.

let us now check the remaining properties of the functor: TODO

- identity
- composition

Furthermore, the functor is indeed fully faithful.

One only has to build the set of trajectories $G(\alpha)$ back from the partial function α to get the strategy that produced it, by using the inductive construction of the reachable set fo the strategy.

The set of built trajectories is a strategy since the partial function gives at most one answer to a given Opponent vertex, which means at most one playable P move when waiting for one in a trajectory. The confluency comes from the fact that the function is conflict-free.

Let s and t two trajectories of the dialogue game belonging to $G(\alpha)$ with $s \subset t$ and a move O playable from s and played in t. From the construction of the reachable set, we get that $\{t\} \in R(\alpha)$ is reachable from $\{s,O\} \in R(\alpha)$. since α is conflict-free, $\alpha(\{s,O\})$ is defined and can reach t. Thus we have a player move P to play after s.O and $s.O.P \subset t$. $G(\alpha)$ is confluent. Furthermore, by construction, we have $G = F^{-1}$

Thus we have built a bijection between the confluent strategies of a given dialogue game and the conflict-free strategies of the associated graph game, making the functor fully faithful.

 \triangleleft

Conclusion

Appendix