

View of Data

- A database(DB) is a collection of interrelated data.
- A database system is a DB and a set of programs that allow users to access and modify the DB.
- A major purpose of a database system is to provide users with an abstract view of the data.
 - Data abstraction
 - Hide the complexity of data structures to represent data in the database from users through several levels of data abstraction.
 - Data models
 - A collection of conceptual tools for describing data, data relationships, data semantics, and consistency constraints.

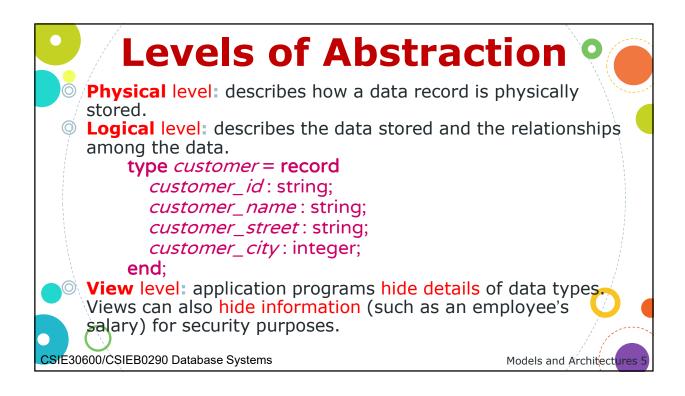
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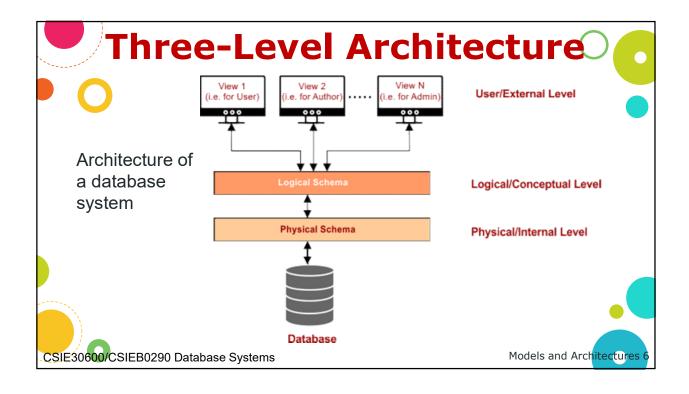
Models and Architecture

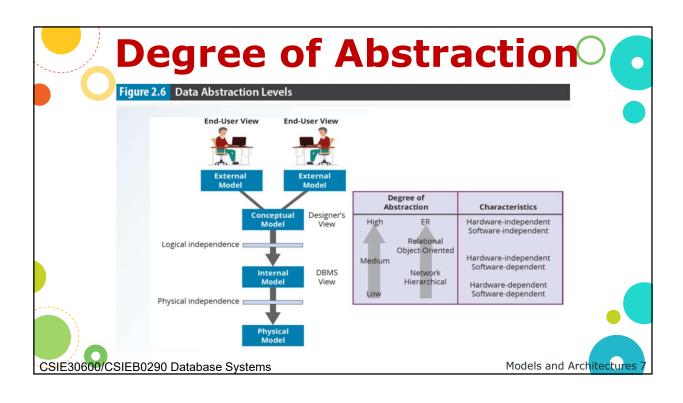
Data Abstraction

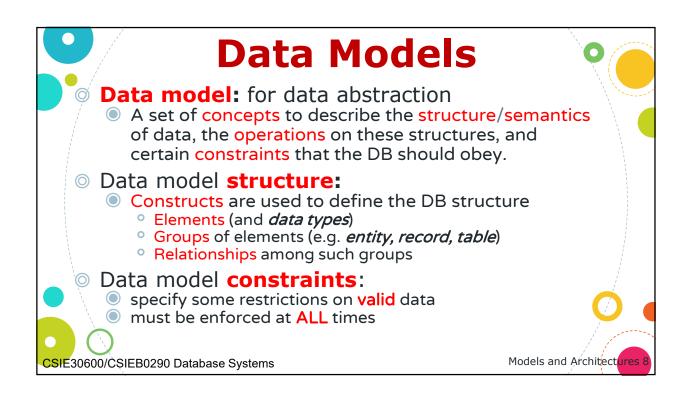
- Suppression of details of data organization and storage
- Highlighting of the essential features for an improved understanding of data
- Key to the success of database systems
- Useful for other domains as well

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Data Models (cont.)



- used for specifying database retrievals and updates by referring to the constructs of the data model
- Operations on the data model may include:
 - basic model operations (e.g. generic insert, delete, update, retrieval, ...)
 - user-defined operations (e.g. compute_student_gpa, update_inventory, ...)

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Models and Architectures

Categories of Data Models

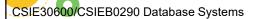
- Conceptual (high-level, semantic) data models:
 - Close to the way many users perceive data. (Also called entity-based or object-based data models.)
- Physical (low-level, internal) data models:
 - Describe details of how data is stored in the computer.
- Representational (record-oriented, implementation) data models:
 - Fall between the above two, used by many commercial DBMS implementations (e.g. relational data models used in many commercial systems).
 - **Self-Describing** data models:
 - Combine the description of data with the data values. (e.g. XML, key-value stores, some NoSQL systems)

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Modeling Elements

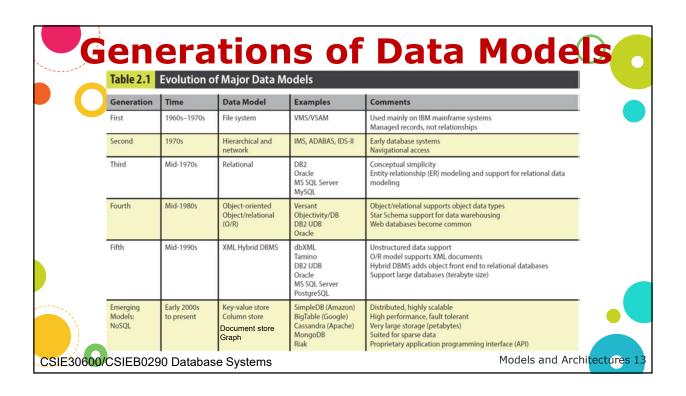


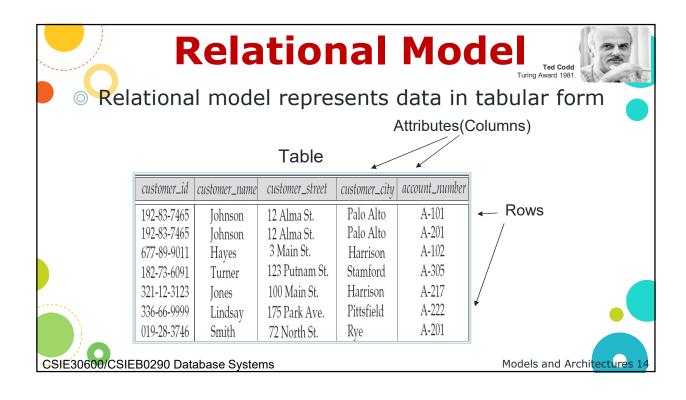
- **Entity**
 - Represents a real-world object or concept
 - Attribute
 - Represents some property of interest
 - Further describes an entity
 - Relationship among two or more entities
 - Represents an association among the entities
 - Represents constraints on the relationships

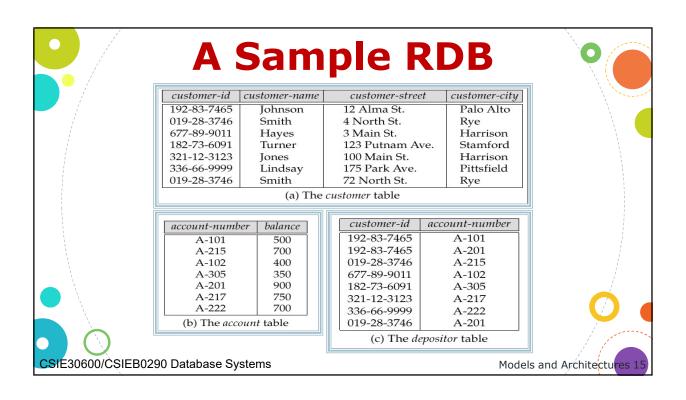


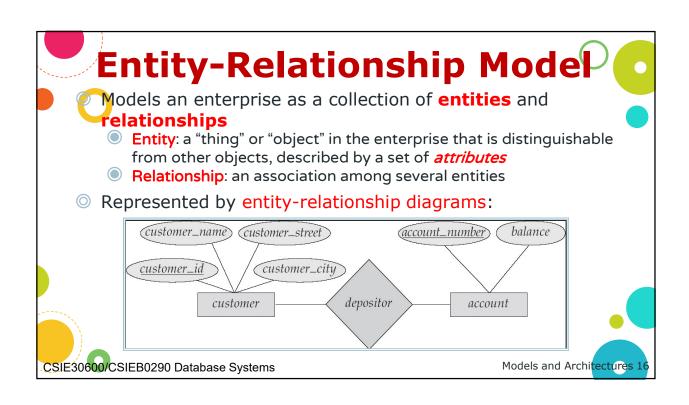
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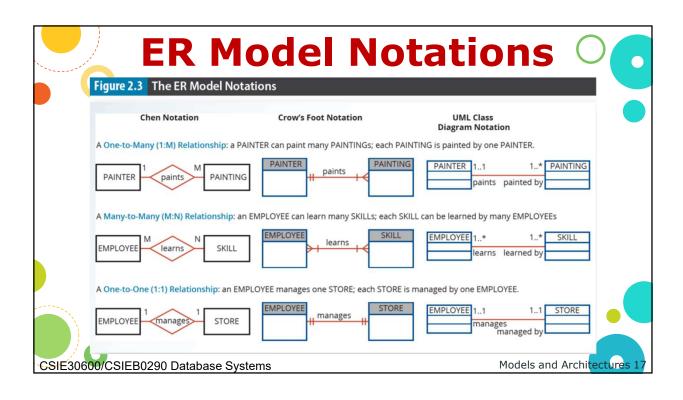
Relational model Entity-Relationship data model (mainly for database design) Physical data model (for data storage) Object-based data models (Object-oriented and Object-relational) Semistructured data model (XML) Other older models: Network model Hierarchical model CSIE30600/CSIEB0290 Database Systems Network model Models and Architectures 12

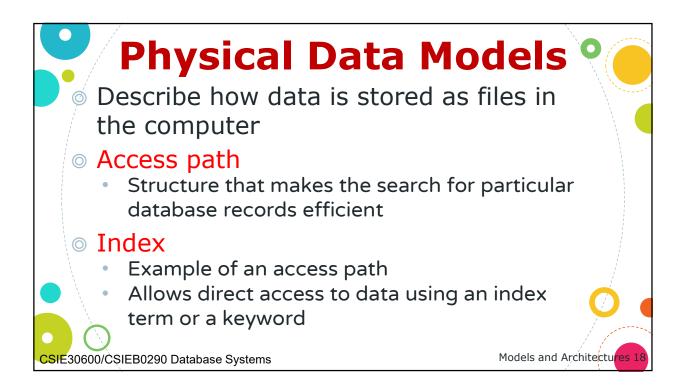












Object-Relational Models

- Extend the relational data model by including object orientation and constructs to deal with added data types.
- Allow attributes of tuples to have complex types, including non-atomic values such as nested relations.
- Preserve relational foundations, in particular the declarative access to data, while extending modeling power.
- Provide upward compatibility with existing relational languages.

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XML(Extensible Markup Language)

- Defined by the WWW Consortium (W3C)
- Originally intended as a document markup language not a database language
- The ability to specify new tags, and to create nested tag structures made XML a great way to exchange data, not just documents
- XML has become the basis for many new generation data interchange/sharing formats.
- A wide variety of tools is available for parsing, browsing and querying XML documents/data
- XML databases for XML documents storage/processing

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JSON(JavaScript Object Notation)

- An open and language-independent datainterchange format derived from JavaScript
- Uses human-readable text to store and transmit data
- Objects are represented by attribute-value pairs and arrays (or other serializable values)
- Usually more compact and easier to read than XML
- Most modern programming languages include lib to generate and parse JSON-format data
- Becoming popular in many new NoSQL databases (eg. MongoDB)

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Schemas and Instances

Schema – the logical structure of the database

- Example: The database consists of information about a set of customers and accounts and the relationship between them
- Analogous to type information of a variable in programming languages
- Logical schema: structure at the logical level
- Physical schema: structure at the physical level
- **Instance (database state)** the actual content of the DB at a particular point in time
 - Analogous to the value of a variable

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Schemas



- The description of a database.
- Includes descriptions of the database structure, data types, and the constraints on the database.
- Schema Diagram:
 - An illustrative display of (most aspects of) a database schema.
- Schema Construct:
 - A component of the schema or an object within the schema, e.g., STUDENT, COURSE.

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Database State (Instance)

Database State:

- The content (actual data) stored in a database at a particular moment in time.
- This includes all the data in the database.
- Also called database instance (or occurrence or snapshot).
- The term instance is also applied to individual database components, e.g. record instance, table instance, entity instance

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Database State (cont.)



Initial Database State:

Refers to the database state when it is initially loaded into the system.

Valid State:

- A state that satisfies the structure and constraints of the database.
- We want to keep the database always in valid state.

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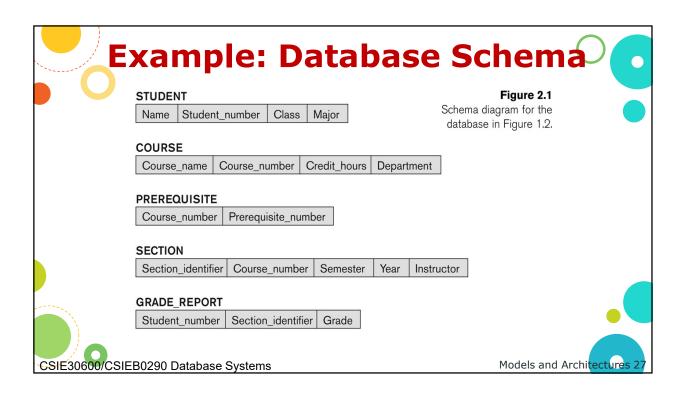
Schema vs. State

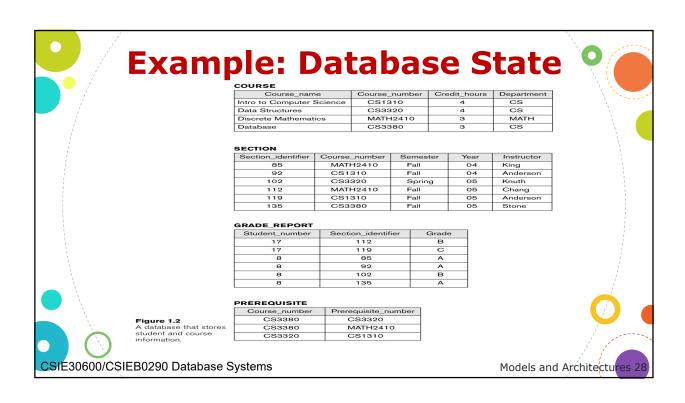


- The database schema changes very infrequently.
- The database state changes every time the database is updated.
- Schema is also called intension.
- State is also called extension.
- Schema evolution
 - Changes applied to schema as application requirements change



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Three-Schema Architecture



- Program data independence.
- Support of multiple views of data.
- Defines DBMS schemas at three levels:
 - Internal schema at the internal level to describe data storage structures and access paths. Typically uses a physical data model.
 - Conceptual schema at the conceptual level to describe the structure and constraints for the whole database. Uses a conceptual or an implementation data model.
 - External schemas at the external level to describe the various user views. Usually uses the same data model as the conceptual level.

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Three-Schema Architecture (cont.)

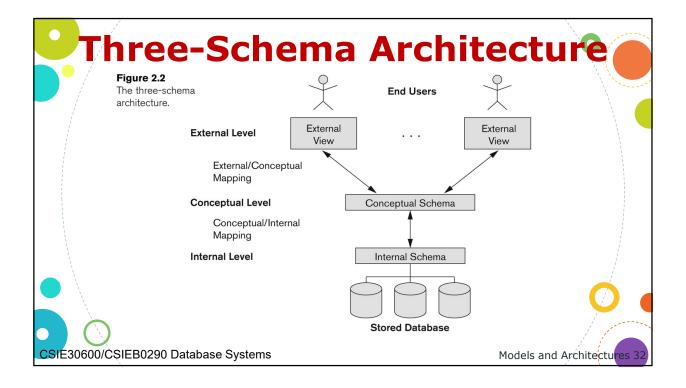
- Many views, single conceptual (logical) schema and physical schema.
- Views describe how users see the data.
- Conceptual schema defines logical structure.
- Physical schema describes the files and indexes used to store the data.

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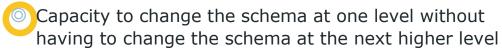
Schema Mapping

- Mappings among schema levels are also needed. Programs refer to an external schema, and are mapped by the DBMS to the internal schema for execution.
- Three-level architecture is not explicitly used in commercial DBMS products, but has been useful in explaining database system organization.

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Data Independence



- Logical Data Independence: can change the conceptual schema without changing the external schemas and their application programs.
- Physical Data Independence: can change the internal schema without changing the conceptual schema.
- Applications depend on the logical schema
- In general, the interfaces between the various levels and components should be well defined so that changes in some parts do not seriously influence others.

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Data Independence (cont.)

- When a schema at a lower level is changed, only the **mappings** between this schema and higher-level schemas need to be changed.
- The higher-level schemas themselves are unchanged. Hence, the application programs need not be changed since they refer to the external schemas.

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DBMS Languages

- Data Definition Language (DDL): Used by the DBA and database designers to specify the conceptual schema. In many DBMSs, the DDL is also used to define internal and external schemas (views).
- In some DBMSs, separate storage definition language (SDL) and view definition language (VDL) are used to define internal and external schemas.

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DBMS Languages (cont.9)

- Data Manipulation Language (DML): Used to specify database retrievals and updates.
- DML commands (data sub-language) can be embedded in a general-purpose programming language (host language), such as COBOL, PL/1 or PASCAL.
- Alternatively, stand-alone DML commands can be applied directly (query language).

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Data Definition Language (DDL)



account-number char(10),
balance integer)

- DDL compiler generates a set of tables stored in a data dictionary
- May be just part of the main language (such as SQL) instead of a separate language.

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Data Dictionary

Data dictionary contains metadata (i.e., data about data)

- Database schema
- Data storage and definition
 - Specifies the storage structure and access methods used
- Integrity constraints
 - Domain constraints
 - Referential integrity (references constraint in SQL)
 - Assertions
- 🚕 Authorization

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Data Manipulation Language (DML)

- For accessing and manipulating the data organized by the appropriate data model
 - DML also known as query language
- Two classes of languages
 - Procedural user specifies what data is required and how to get those data
 - Declarative (nonprocedural) user specifies what data is required without specifying how to get those data
- SQL is the most widely used language.

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SQL

SQL(Structured Query Language): widely used non-procedural language

Example: Find the name of the customer with id 192-83-7465

select customer.customer name

from customer

where customer.customer_id = '192-83-7465'

Example: Find the balances of all accounts held by the customer with id 192-83-7465

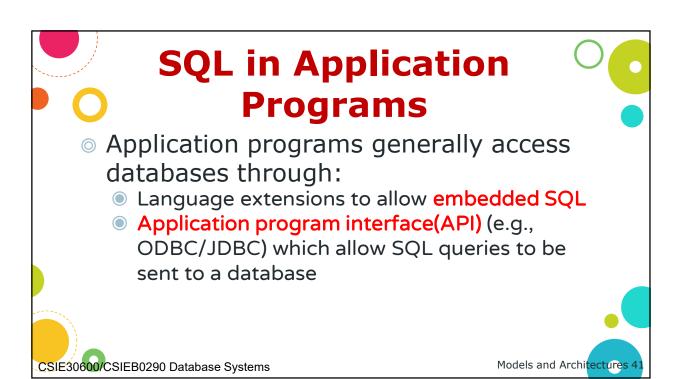
> select account.balance from depositor, account

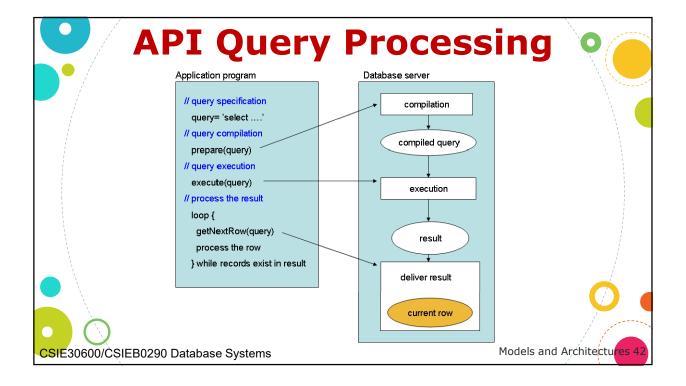
where depositor.customer_id = '192-83-7465' and

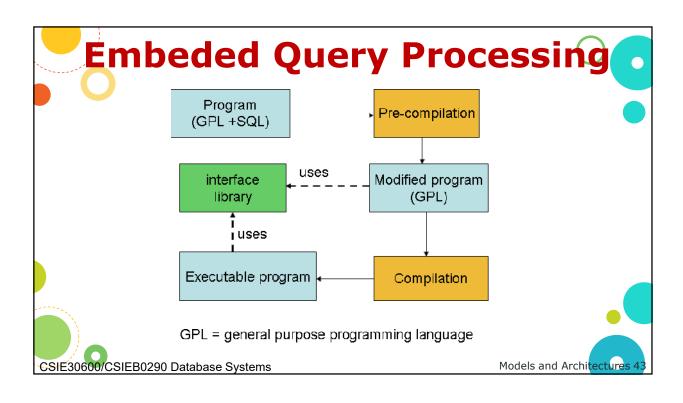
depositor.account_number =

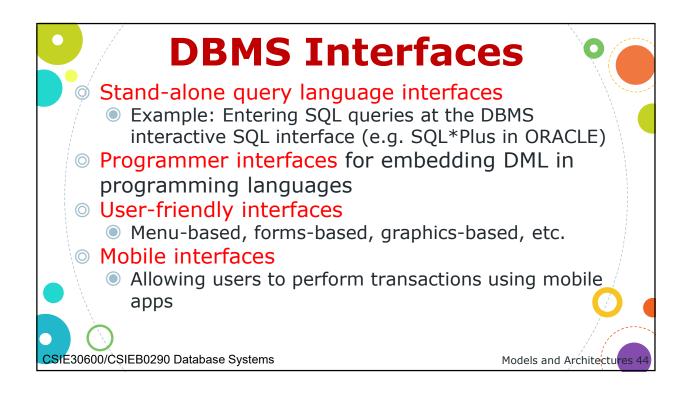
account.account_number

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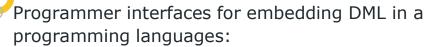








DBMS Programming Language (Interfaces



- Embedded Approach: e.g. embedded SQL (for C, C++, etc.), SQLJ (for Java)
- Procedure Call Approach: e.g. JDBC for Java, ODBC for other programming languages
- Database Programming Language Approach: e.g. ORACLE has PL/SQL, a programming language based on SQL; language incorporates SQL and its data types as integral components
- Scripting Languages: e.g. JavaScript(client-side scripting) and PHP(server-side scripting) are used to write database programs

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User-Friendly DBMS Interfaces

- Menu-based, popular for browsing on the web
- Forms-based, designed for naïve users
- Graphics-based
 - (Point and Click, Drag and Drop, etc.)
- Natural language: requests in natural language
- Combinations of the above:
 - For example, both menus and forms used extensively in Web database interfaces

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Other DBMS Interfaces

- Natural language: free text as a query
- Speech as Input and Output
- Web Browser as an interface
- Parametric interfaces, e.g., bank tellers using function keys.
- Interfaces for the DBA:
 - Creating user accounts, granting authorizations
 - Setting system parameters
 - Changing schemas or access paths

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Database System Utilities

- To perform certain functions such as:
 - Loading data stored in files into a database. Includes data conversion tools.
 - Backup the database periodically.
 - Reorganizing database file structures.
- Report generation utilities.
- Performance monitoring utilities.
- Other functions, such as sorting, user monitoring, data compression, etc.

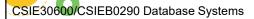
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Other Tools



Data dictionary/repository:

- Used to store schema descriptions and other information such as design decisions, application program descriptions, user information, usage standards, etc.
- Active data dictionary is accessed by DBMS software and users/DBA.
- Passive data dictionary is accessed by users/DBA only.



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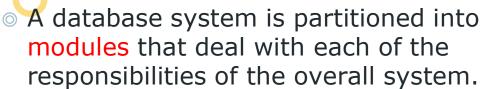
Other Tools

- Application development and CASE (computer-aided software engineering) tools
 - Examples: GitHub, Google Cloud Platform(Google), AWS Cloud9(Amazon), Azure(Microsoft), IntelliJ IDEA(JetBrains), JDeveloper(Oracle), Apache NetBeans(Apache), Anaconda Distribution(Anaconda), ...
- Communication software

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Database Engine



- The functional components of a database system can be divided into
 - The storage manager,
 - The query processor component,
 - The transaction management component.

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Storage Management

- Storage manager is a program module that provides the interface between the low-level data stored in the database and the application programs and queries submitted to the system.
- The storage manager is responsible for:
 - Interaction with the OS file manager
 - Efficient data storing, retrieving and updating
- Issues:
 - Access, authorization, integrity manager
 - File manager, buffer manager
 - Data dictionary (stores metadata)
 - Indexing and hashing

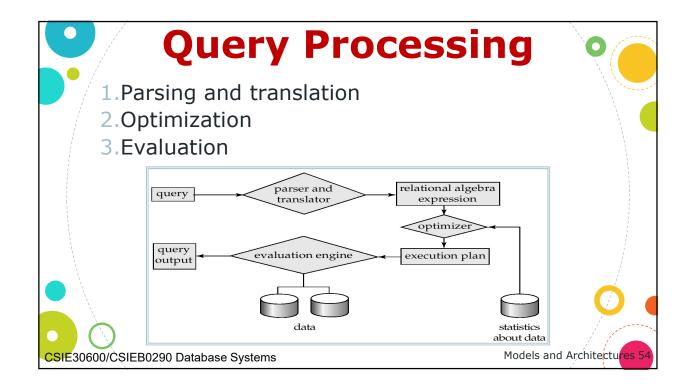
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Query Processor



- DDL interpreter -- interprets DDL statements and records the definitions in the data dictionary.
- DML compiler -- translates DML statements in a query language into an evaluation plan consisting of lowlevel instructions that the query evaluation engine understands.
 - The DML compiler performs query optimization; that is, it picks the lowest cost evaluation plan from among the various alternatives.
- Query evaluation engine -- executes low-level instructions generated by the DML compiler.

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Query Processing (Cont.)

- Alternative ways of evaluating a given query
 - Equivalent expressions
 - Different algorithms for each operation
- Cost difference between a good and a bad way of evaluating a query can be enormous!
- Need to estimate the cost of operations
 - Depends critically on statistical information about relations which the database must maintain
 - Need to estimate statistics for intermediate results to compute cost of complex expressions

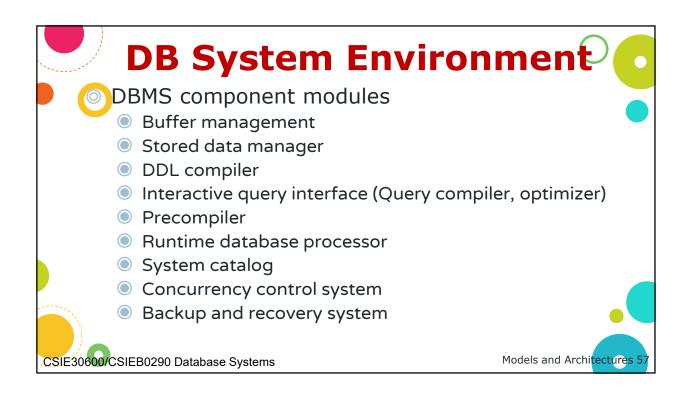
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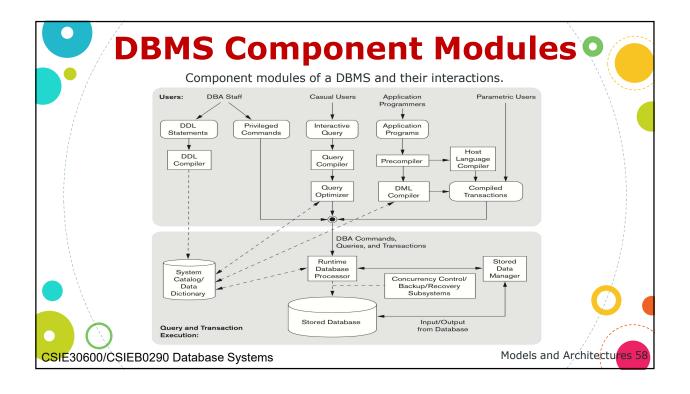
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Transaction Management

- A transaction is a collection of operations that performs a **single logical function** in a database application.
- Transaction-management component ensures that the database remains in a consistent (correct) state despite system failures (e.g., power failures and operating system crashes) and transaction failures.
- Concurrency-control manager controls the interaction among the concurrent transactions, to ensure the consistency of the database.

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Database Design

- The process of designing the structure of a DB
 Logical Design Deciding on the database schema. Database design requires that we find a "good" collection of relation schemas.
 - Business decision What information should we record in the database?
 - Computer Science decision What relation schemas should we have and how should the attributes be distributed among the various relation schemas?
- Physical Design Deciding on the physical layout of the database

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Database Architecture

- The architecture of a database systems is greatly influenced by the underlying computer system on which the database is running
- O Centralized
- Client-server
- Parallel (multi-processor)
- O Distributed
- Cloud-based

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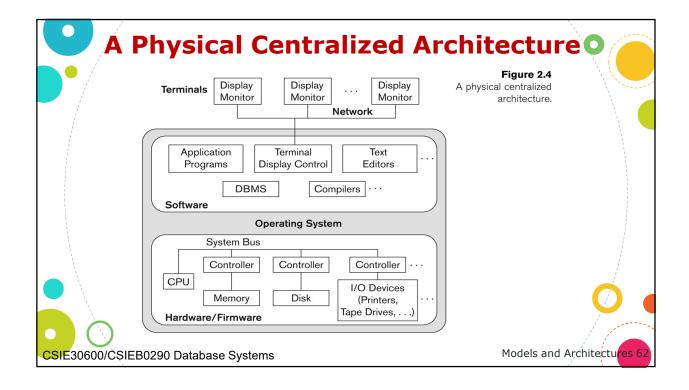


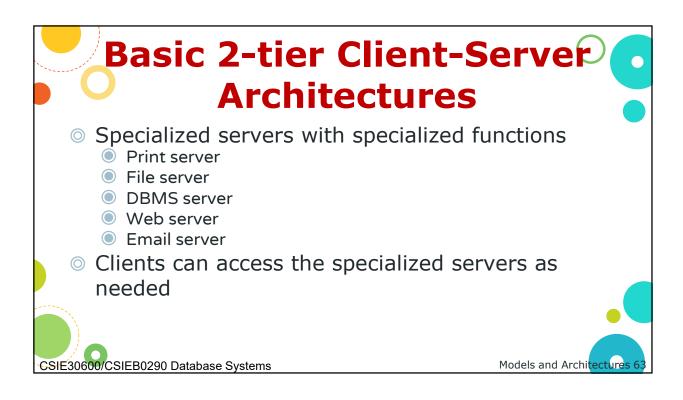
Centralized DBMS Architectures

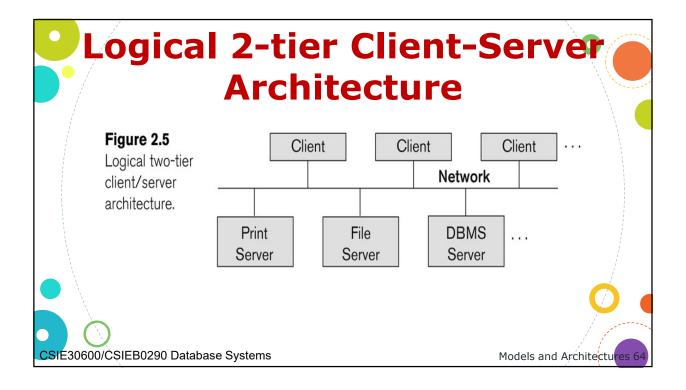


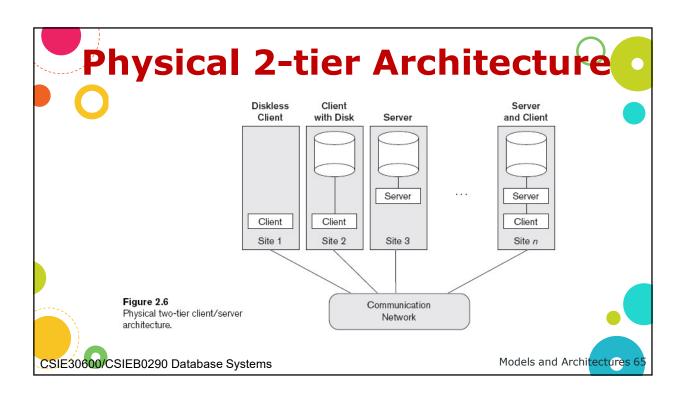
- Combines everything into single system including- DBMS software, hardware, application programs, and user interface processing software.
- User can still connect through a remote terminal – however, all processing is done at centralized site.

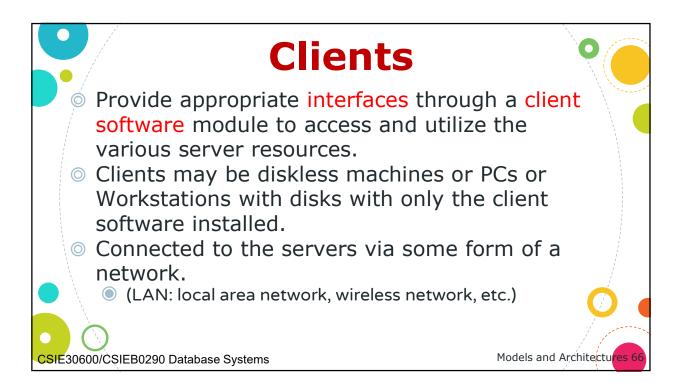
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DBMS Server

- Provides database query and transaction services to the clients
- Relational DBMS servers are often called SQL servers, query servers, or transaction servers
- Applications running on clients utilize an Application Program Interface (API) to access server databases via standard interface such as ODBC(Open Database Connectivity) and JDBC.
- Client and server must install appropriate client and server module software for ODBC or JDBC
- More about this in later lectures.

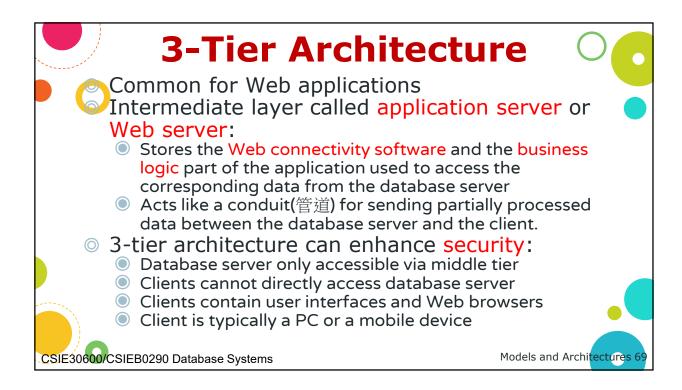
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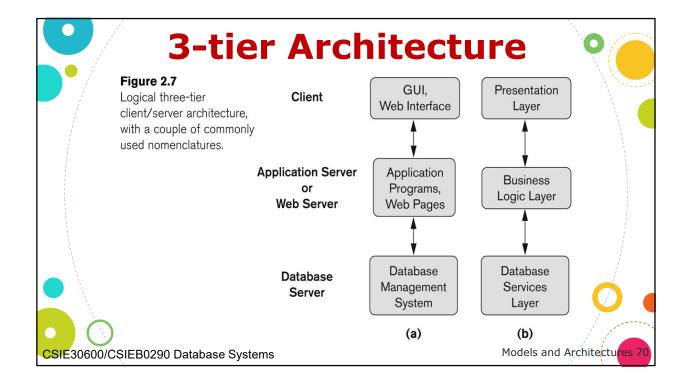
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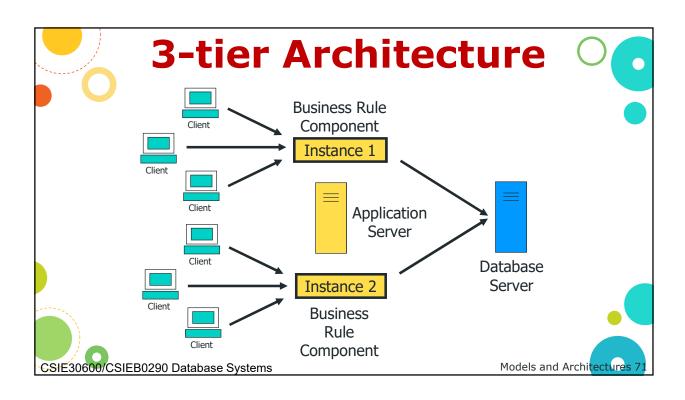
Characteristics of 2-tier Architecture

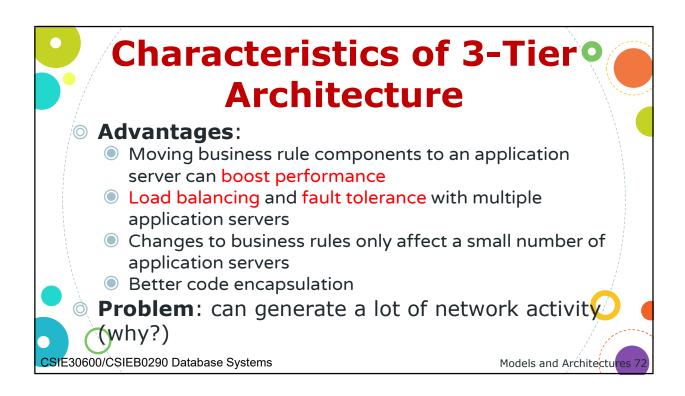
- A client program may connect to several DBMSs, sometimes called the data sources.
- In general, data sources can be files or other non-DBMS software that manages data.
- Other variations of clients are possible: e.g., in some object DBMSs, more functionality is transferred to clients including data dictionary functions, optimization and recovery across multiple servers, etc.

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Multi-Tier (n-Tier) Architecture

•

- User Interface Services Tier
 - handles UI logic
 - UI-Oriented Business Rule Services Tier
 - handles user interface related business rule logic
 - validation of input
 - Data-Oriented Business Rule Services Tier
 - data manipulation and integration
 - can integrate SQL database
 - Data Persistence Services Tier
 - handles storage and retrieval of data

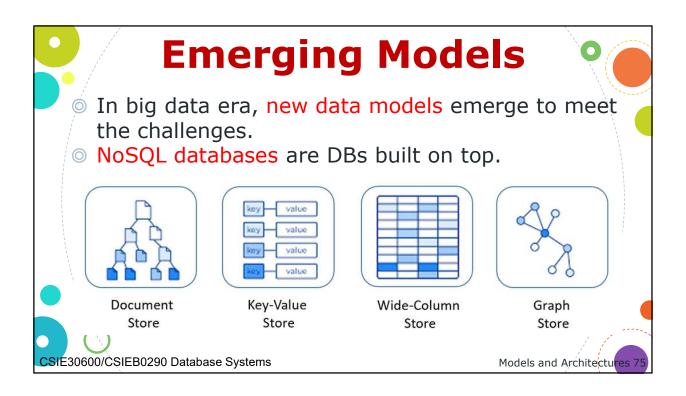
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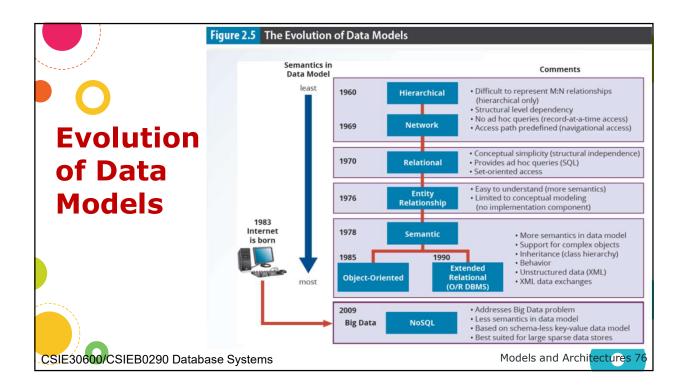
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Characteristics of n-Tier Model

- The key idea is to keep the services physically close to the data they work with.
- UI-oriented business rule components can be placed on the client.
- Data-oriented business rule components are deployed on database or application server.
- Scale well
- Flexible about placement and presence of application servers.

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Classification of DBMSs



- Legacy: Network, Hierarchical
- Currently Used: Relational, Object-oriented, Object-relational
- Recent Technologies: XML, Key-value store, NoSQL, document based, column-based, graph-based ...
- Other classifications
 - Single-user (typically used with personal computers)
 vs. multi-user (most DBMSs).
 - Centralized (uses a single computer with one database) vs.
 distributed (uses multiple computers, multiple databases)
 - Open source vs. commercial

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Variations of Distributed DBMSs

- Momogeneous DDBMS
- Heterogeneous DDBMS (Federated or Multidatabase Systems)
- Distributed Database Systems have now come to be known as client-server based database systems because:
 - They do not support a totally distributed environment, but rather a set of database servers supporting a set of clients.

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DBMS Cost Considerations

- Cost Range: from free open-source systems to configurations costing millions of dollars
 - Examples of free relational DBMSs: MySQL, PostgreSQL, ...
- Commercial DBMS offer additional specialized modules, e.g. time-series module, spatial data module, document module, XML module, ...
 - These offer additional specialized functionality when purchased separately
 - Sometimes called cartridges (e.g., in Oracle) or blades
- Different licensing options: site license, maximum number of concurrent users (seat license), single user, etc.

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Other Considerations



- E.g.- inverted indexing based (ADABAS is one such system). Fully indexed databases provide access by any keyword (used in search engines)
- General purpose vs. special purpose
 - E.g.- Airline reservation systems or many others-reservation systems for hotel/car etc. are special purpose OLTP (Online Transaction Processing Systems)

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Summary



- Data Models and Their Categories
- Schemas, Instances, and States
- Three-Schema Architecture
- Data Independence
- DBMS Languages and Interfaces
- Database System Utilities and Tools
- Database System Environment
- Centralized and Client-Server Architectures
- Classification of DBMSs

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Assignment 1a

- 1. Based on your life experience, list 5 domains that may be the mini-worlds of your DB design. For each domain, explain why DB is needed and the potential functionalities.
- 2. For each domain in 1, select a proper data model and give an example DB based on the model. You must use at least three different models.
- 3. For each domain in 1, find the name and usage of two tools based on the intended functions and the selected data model. You must find at least five different tools.

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Assignment 1b

4. Artificial Intelligence (AI) & Machine Learning (ML) are reshaping the world. Search and/or ask AI to find out ALL relationships between DB and AI/ML.

- For each domain in 1, discuss how AI/ML can help your DB. You must find at least one way for each domain.
- Due date: Mar 20, 2025

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