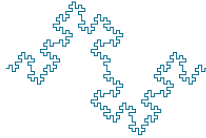


# Bios 824: HTS Module

## Bios 824: HTS File Formats

Biostatistics and Bioinformatics



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## Section 1

### Introductory Remarks

## HTS VERSUS NGS

- ▶ NGS: Next Generation Sequencing
- ▶ NGS assays were proposed to replace array based genomic assays (RNA microarray and genome-wide genotyping arrays)
- ▶ HTS: High-Throughput Sequencing
- ▶ Sequencing assays are technologies of today
- ▶ NGS is an outdated term
- ▶ I suggest that you use HTS to refer to these technologies

REFERENCE-BASED APPROACH

- ▶ Given is a library of sequencing reads (data):  $R_1, \dots, R_n$
- ▶ Each read  $R_i$  is a string of nucleotide letters (e.g.,  $R_1 = \text{GGAGATGAGTA}$ ,  $R_2 = \text{GACCACNTCAGC}$ )
- ▶ Each read  $R_i$  consists of  $L_i$  base calls  $\tilde{B}_{i1}, \dots, \tilde{B}_{iL_i}$
- ▶ Under a reference-based approach, it is typically assumed that each read *originates* from a *reference*
- ▶ One of the key objectives is then to *map*, using a computational algorithm, each read back to this reference
- ▶ Note that the algorithm may map reads to the wrong place in the reference or fail to map reads
- ▶ We will exclusively focus on reference-based approaches
- ▶ There is an active field of development for reference-free approaches

OUTLINE:HTS STANDARD FILE FORMATS

- ▶ FASTA format: represent references
- ▶ FASTQ format: represent unaligned sequence data
- ▶ SAM/BAM: file format for representing mapped (to a reference) sequencing data
- ▶ Pile-up: file format for presenting base calls from DNA-Seq
- ▶ GTF/GFF: file format for identifying locations of genomic features (e.g., genes, exons)
- ▶ VCF: file format for summarizing genotype and mutation calls (skip)

Section 2

FASTA Format

# FASTA FORMAT

```
>seq1
ATATNTGATATAGACCTTCACGGGCCACACATTGGAGGATTCCCGGGC
>seq2
GTGTAGTANGATGAGGAGGNCTA
>seq3
AATATGATGATCCTCATAG
```

- ▶ Each record consists of two lines
- ▶ Description line: Prefixed by > is a label for the sequence
- ▶ Second line: A nucleotide sequence

# FASTA FILE FOR GENOMES OF ORGANISMS

```
ftp://ftp.ebi.ac.uk/pub/databases/gencode/Gencode_human/
release_29/GRCh38.primary_assembly.genome.fa.gz
▶ The description line for each record is typically a chromosome
▶ The corresponding sequence is a long string
▶ This is a simplistic description
```

# FASTA: SIMPLE EXAMPLE

```
>seq1
ATATNTGATATAGACCTTCACGGGCCACACATTGGAGGATTCCCGGGC
read1:      ACGGGCCACA      <- match
read2:      ACCTTCACG      <- match
read3:      TTCCCGGGC      TTCCCGAGC <-?????
```

### Section 3

### FASTQ Format

### FASTQ: OVERVIEW

[https://en.wikipedia.org/wiki/FASTQ\\_format](https://en.wikipedia.org/wiki/FASTQ_format)

```
@SEQ_ID
GATTGGGGTTCAAAGCAGTATCGATCAAAATAGTAAATCCATTGTTCAACTCACAGTTT
+
!''*(((***+))%%%++)(%%%%).1***-+*''))**55CCF>>>>>CCCCCCC65
```

- For each sequencing read, the FASTQ files holds a record consisting of four lines
  - i. the read id (sequence identifier)
  - ii. the called read
  - iii. a +
  - iv. Phred scores (same length as the read)

### FASTQ: ILLUMINA SEQUENCE IDENTIFIERS

- The read id for each record must be unique
- Illumina uses rather descriptive read ids

`@EAS139:136:FC706VJ:2:2104:15343:197393 1:Y:18:ATCACG`

<b>EAS139</b>	the unique instrument name
<b>136</b>	the run id
<b>FC706VJ</b>	the flowcell id
<b>2</b>	flowcell lane
<b>2104</b>	tile number within the flowcell lane
<b>15343</b>	'x'-coordinate of the cluster within the tile
<b>197393</b>	'y'-coordinate of the cluster within the tile
<b>1</b>	the member of a pair, 1 or 2 ( <i>paired-end or mate-pair reads only</i> )
<b>Y</b>	Y if the read is filtered, N otherwise
<b>18</b>	0 when none of the control bits are on, otherwise it is an even number
<b>ATCACG</b>	index sequence



FASTQ: COLUMN NAMES

- ▶ QNAME: Query template NAME.
- ▶ FLAG: Combination of bitwise FLAGS
- ▶ RNAME: Reference sequence NAME of the alignment.
- ▶ POS: 1-based leftmost mapping POSition of the first matching base.
- ▶ MAPQ: MAPping Quality. It equals -10 log10 prob of mapping position is wrong, rounded to the nearest integer.
- ▶ CIGAR: Concise Idiosyncratic Gapped Alignment Report (CIGAR) string.
- ▶ RNEXT: Reference sequence name of the primary alignment of the NEXT read in the template.
- ▶ PNEXT: Position of the primary alignment of the NEXT read in the template
- ▶ TLEN: signed observed Template LENgth.
- ▶ SEQ: segment SEquence.
- ▶ QUAL: ASCII of base QUALity plus 33

FLAG

<https://samtools.github.io/hts-specs/SAMv1.pdf>  
2. FLAG: Combination of bitwise FLAGS.<sup>10</sup> Each bit is explained in the following table:

Bit	Description
1	0x1 template having multiple segments in sequencing
2	0x2 each segment properly aligned according to the aligner
4	0x4 segment unmapped
8	0x8 next segment in the template unmapped
16	0x10 SEQ being reverse complemented
32	0x20 SEQ of the next segment in the template being reverse complemented
64	0x40 the first segment in the template
128	0x80 the last segment in the template
256	0x100 secondary alignment
512	0x200 not passing filters, such as platform/vendor quality controls
1024	0x400 PCR or optical duplicate
2048	0x800 supplementary alignment

CIGAR

<https://samtools.github.io/hts-specs/SAMv1.pdf>  
CIGAR: CIGAR string. The CIGAR operations are given in the following table (set '\*' if unavailable):

Op	BAM	Description	Consumes query	Consumes reference
M	0	alignment match (can be a sequence match or mismatch)	yes	yes
I	1	insertion to the reference	yes	no
D	2	deletion from the reference	no	yes
N	3	skipped region from the reference	no	yes
S	4	soft clipping (clipped sequences present in SEQ)	yes	no
H	5	hard clipping (clipped sequences NOT present in SEQ)	no	no
P	6	padding (silent deletion from padded reference)	no	no
=	7	sequence match	yes	yes
X	8	sequence mismatch	yes	yes

CIGAR: EXAMPLE

https://genome.sph.umich.edu/wiki/SAM

RefPos:12345678910111213141516171819

Reference:C C A T A C T G A A C T G A C T A A C

Read:ACTAGAATGGCT

Aligning these two:

RefPos:12345678910111213141516171819

Reference:C C A T A C T G A A C T G A C T A A C

Read:A C T A G A A T G G C T

With the alignment above, you get:

POS: 5

CIGAR: 3M1I3M105M

CIGAR/FLAG EXAMPLE

QNAME	FLAG	RNAME	POS	MAPQ	CIGAR
K00282:105:HJL7WBBXX:5:1101:8663:19707	16	chr7	12925391	255	51M
K00282:105:HJL7WBBXX:5:1101:9171:19707	16	chr5	123456891	255	49M2S
K00282:105:HJL7WBBXX:5:1101:9678:19707	256	chr2	132249628	3	51M
K00282:105:HJL7WBBXX:5:1101:10186:19707	0	chr1	172068820	255	45M1111N6M

Section 5

Pile-up Format

## PILE UP: EXAMPLE

<http://samtools.sourceforge.net/pileup.shtml>

```
seq1 272 T 24 ,.$.....,.,.,.,.,.^+. <<<+;<<<<<<<<=<;<7<&
seq1 273 T 23 ,.....,.,.,.,.,.,.A <<<;<<<<<<<<3<=<<<;<<+
seq1 274 T 23 ,.$.....,.,.,.,.,.,. 7<7;<;<<<<<<<=<;<;<<6
seq1 275 A 23 ,$......,.,.,.,.,.,.^l. <+;9*<<<<<<<=<<+;<<<<
seq1 276 G 22 ...T,.,.,.,.,.,.,. 33;+<<7=7<<7<&<<1;<<6<
seq1 277 T 22 .....,.C.,.,.,.,.G. +7<;<<<<<<<&<=<<+;<<&<
seq1 278 G 23 .....,.^k. %38*<<;<7<<7<=<<<;<<<<<
seq1 279 C 23 A..T,.,.,.,.,.,.,. ;75&<<<<<<<=<<<9<<=<<
```

## PILE UP: COLUMNS

<http://samtools.sourceforge.net/pileup.shtml>

```
seq1 277 T 22 .....,.C.,.,.,.,.G. +7<;<<<<<<&<=<<+;<<&<
```

- ▶ chromosome
- ▶ 1-based coordinate
- ▶ reference base
- ▶ the number of reads covering the site
- ▶ read bases
- ▶ base qualities

## PILE UP: READ BASES

<http://samtools.sourceforge.net/pileup.shtml>

```
seq1 277 T 22 .....,.C.,.,.,.,.G. +7<;<<<<<<&<=<<+;<<&<
```

- ▶ dot (.) base call *agrees* with reference base on forward strand
- ▶ comma (,) base call *agrees* with reference base on reverse strand
- ▶ ACGTN base call *disagrees* with reference base on forward strand
- ▶ acgtn base call *disagrees* with reference base on reverse strand



## PILE UP: INSERTIONS

<http://samtools.sourceforge.net/pileup.shtml>

```
seq2 156 A 11  .$. . . . .+2AG.+2AG.+2AGGG    <975;:;<<<<
```

- ▶ `\+[0-9]+[ACGTNacgtn]+`: indicates there is an insertion between this reference position and the next reference position
- ▶ Example: 2bp insertions on three reads

## PILE UP: DELETIONS

<http://samtools.sourceforge.net/pileup.shtml>

```
seq3 200 A 20  , , , , , , , -4CACC.-4CACC. . . . , , , . ^~. ==<<<<<<<<<::<;2<<
```

- ▶ `\-[0-9]+[ACGTNacgtn]+`: indicates there is deletion between this reference position and the next reference position
- ▶ Example: 4bp insertions on two reads

## PILE UP: QUALITY READS

<http://samtools.sourceforge.net/pileup.shtml>

```
seq1 277 T 22  . . . . , , , , .C. , , , , .G.  +7<;<<<<<<<&<=<<::<<&<
```

```
from math import pow
mycall = '.....C.....G.'
myqual = '+7<;<<<<<<<&<=<<::<<&<'
# Convert first give phred ascii to phred quality scores
print([ord(phscore)-33 for phscore in myqual[0:5]])
# Convert first give phred ascii to base-call error probabilities

## [10, 22, 27, 26, 27]

print([pow(10, -(ord(phscore)-33)/10) for phscore in myqual[0:5]])

## [0.1, 0.001, 0.001, 0.001, 0.001]
```

## Section 6

### GTF/GFF Format

#### GTF/GFF

- ▶ Following alignment to a reference, the next step in RNA-Seq analysis is to map reads to genetic features
- ▶ Examples: genes or exons
- ▶ Some refer to this as mapping or read counting (the number of reads mapped to each feature)
- ▶ To this end, one needs to know the locations of the genetic features
- ▶ For example: chr6:43782011-43782087 is the location for exon 3 of the gene *VEGFA*
- ▶ GTF: Gene Transfer Format
- ▶ GFF: General Feature Format

http:

[//genome.ucsc.edu/goldenPath/help/customTrack.html#GTF](http://genome.ucsc.edu/goldenPath/help/customTrack.html#GTF)

[https://www.gencodegenes.org/pages/data\\_format.html](https://www.gencodegenes.org/pages/data_format.html)

#### GTF: EXAMPLE

<https://useast.ensembl.org/info/website/upload/gff.html>

- ▶ seqname - name of the chromosome
- ▶ source - name of the program that generated this feature, or the data source (database or project name)
- ▶ feature - feature type name, e.g. Gene, Variation, Similarity
- ▶ start - Start position of the feature, with sequence numbering starting at 1.
- ▶ end - End position of the feature, with sequence numbering starting at 1.
- ▶ score - A floating point value (unused)
- ▶ strand - defined as + (forward) or - (reverse). frame - One of '0', '1' or '2'. '0' indicates that the first base of the feature is the first base of a codon, '1' that the second base is the first base of a codon, and so on..
- ▶ attribute - A semicolon-separated list of tag-value pairs, providing additional information about each feature.

# READ COUNTING

[https://htseq.readthedocs.io/en/release\\_0.9.1/count.html](https://htseq.readthedocs.io/en/release_0.9.1/count.html)


	union	intersection_strict	intersection_nonempty
	gene_A	gene_A	gene_A
	gene_A	no_feature	gene_A
	gene_A	no_feature	gene_A
	gene_A	gene_A	gene_A
	gene_A	gene_A	gene_A
	ambiguous (both genes with --nonunique all)	gene_A	gene_A
	ambiguous (both genes with --nonunique all)		
	alignment_not_unique (both genes with --nonunique all)		

## Section 7

### VCF Format

# VCF: FORMAT

- ▶ VCF: Variant Call Format
- ▶ Specifications:
  - <http://samtools.github.io/hts-specs/VCFv4.3.pdf>

 Peter J. A. Cock, C.J. Fields, N Goto, M. L. Heuer, and P.M. Rice.  
The Sanger FASTQ file format for sequences with quality scores, and  
the Solexa/Illumina FASTQ variants.  
*Nucleic Acids Research*, 38(6):1767–1771, 12 2009.

Section 8

Final Project

DATA

- ▶ FASTQ: <ftp://ftp.sra.ebi.ac.uk/vol1/fastq/ERR376/ERR376998/ERR376998.fastq.gz>
- ▶ Reference barcode library: <https://www.nature.com/nbt/journal/v32/n3/extref/nbt.2800-S7.xlsx>