



Stanford University LIBRARIES &
ACADEMIC INFORMATION RESOURCES

Extending the Implementation of PREMIS to Geospatial Resources in the Stanford Digital Repository: An Exploration

By Nancy J. Hoebelheinrich
Metadata Coordinator
Digital Library Systems & Services

To Be Discussed

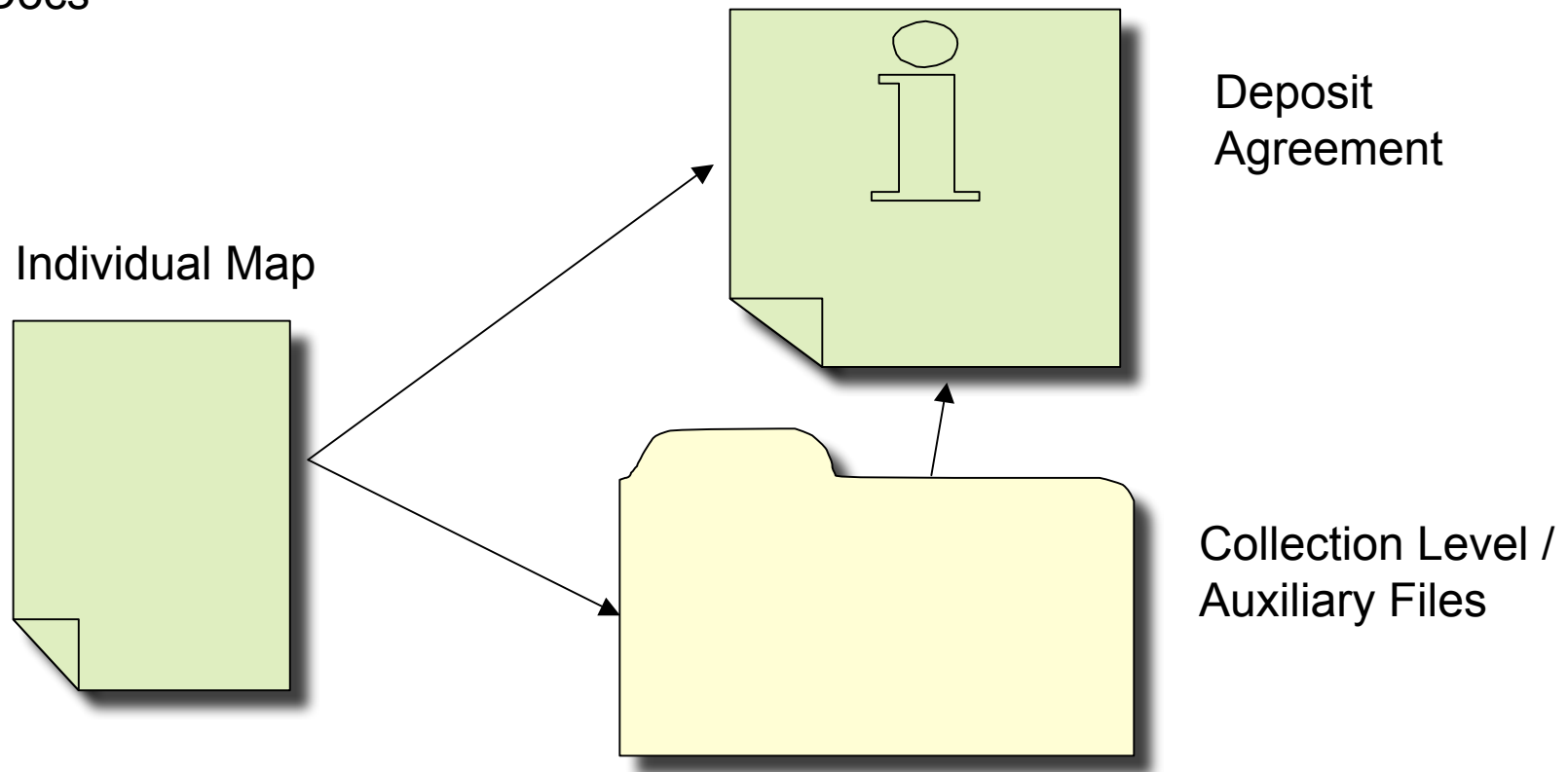
- What PREMIS data elements are being used currently
 - How & why
 - PREMIS & Geospatial Resources - a fit?
-
- Investigation (being) done as part of the National Geospatial Digital Archive project, funded by LC as part of [NDIIPP](#).

Scenario 1: David Rumsey Historical Maps Collection

- Comprised of historical maps digitized as Single, Still image TIFFs
- METS Records for
 - Rumsey Deposit Agreement
 - Rumsey “Collection Level” & Auxiliary Files
 - Each Item

METS Documents for Rumsey Collection

Relationships among METS
Docs



PREMIS Records contained w/in METS Documents

PREMIS OBJECT

PREMIS RIGHTS

PREMIS EVENTS

- Aspects of digital provenance
- Succinct link to full rights statement
- Important lifecycle events

Use of PREMIS Object Data Elements

- Used in each METS Document referencing files
 - Item, Agreement, “Collection Level” & Auxiliary Files
- Located in the METS <amdSec><techMD> section
- Automatic insertion by Ingest code to retain important provenance info for each file:
 - Original file name from data provider
 - Original checksum
 - Original file size
- Some information redundant, but prefer to retain in case METS sections need to be pulled out separately for action

PREMIS Object Excerpt (v1.1)

| Element | Subelement or Attribute | Value |
|----------------------------------|-------------------------------|---|
| objectIdentifier | objectIdentifierType | filename |
| | objectIdentifierValue | 0372001.tif |
| preservationLevel | | bit preservation |
| objectCategory | | file |
| objectCharacteristics | compositionLevel | 0 |
| fixity | messageDigestAlgorithm | MD5 |
| | messageDigest | 0c77e67 bebe3f338 4ec8bf4736648e41 |
| size | | 315827432 |
| format/ formatDesignation | formatName | TIFF |
| originalName | | 0372001.tif |

Use of PREMIS Rights data elements

- Rumsey Deposit Agreement METS doc
- Represents the ingested *draft* Agreement with its own METS doc
- Placeholder for:
 - XML or other REL instance of full agreement ***or***
 - Use of METSRights once final agreement template is vetted & agreed upon by University Counsel

Use of PREMIS Rights data elements

- ***How?***

- `<amdSec><rightsMD>`
- `<mdWrap><xmlData>`

- ***Why?***

- Succinct summary of key information for quick access from METS Document itself
- Locator for more complete expression of terms, conditions;

PREMIS Rights Excerpt (v1.1)

| Element | Subelement or Attribute | Value |
|--|---|---|
| permissionStatement | xmlID | SDR Access Phase 1 |
| permissionStatementIdentifier | permissionStatementIdentifierType permissionStatementIdentifierValue | Repository Permissions All digital objects falling under SDR Preservation Agreement_BitPreservation, v6.0, David Rumsey Map Collection |
| grantingAgreement | grantingAgreementIdentification | library_stanford_edu_fcab81ee 605011db96c4339be |
| grantingAgreementInformation | contractAbstract | Version 6.0 of Agreement for Bit Preservation of Rumsey Collection |
| permissionGranted | act | Public Access |
| termOfGrant | startDate | 2006 -11 -01 |
| | endDate | 2011 -11 -01 |
| permissionNote /restrictionDefinition | restriction = | "Stanford only " Stanford community only as defined in agreement . |
| | restriction = | "SDR_GROUP_xxx" Named group controlled by SUNET group as defined in agreement . |
| | restriction = | "No access" No access to content content allowed . |

Use of PREMIS Event Data Elements

■ Event 1:

- Transform of descriptive MD from MS Access db
=> XML => MODS
- Inserted into mets
<amdSec><digiprovMD>

■ Why this event?

- In case of questions from outside data provider
- Retain singular scripts & transform mechanisms
- Test practicability of recording such events in production environment

PREMIS Event Excerpt (v1.1)

| Element | Subelement or Attribute | Value |
|---|---|---|
| eventIdentifier | eventIdentifierType | MD_Transformation_Process |
| | eventIdentifierValue | Rumsey -MODS 3.2 for SDR |
| eventType | | normalization |
| eventDateTime | | 2006 -12-01T02:48: 22 |
| eventDetail | | Steps of process transforming data provider's descriptive metadata to MODS 3.2 records as required for ingestion into SDR . |
| eventOutcomeInformation / eventOutcomeDetail / | SDR_Rumsey_Transformation / SDR_RumseyTransformationOutput | The Rumsey Access database, as delivered by Luna Insight, was converted to a single XML document using the MS Access Export function. Both the MS Access database is included as well as the XML file. |
| | | A PERL script was used to break the monolithic XML document representing the MS Access database into many XML documents each representing a single image in the Rumsey collection. The single XML document was broken into separate documents at each occurrence of the "Object" tag. PERL script in text format is included. |
| | | An XSLT was used to make MODS documents for all the Rumsey images. The XSLT file is included. |
| | | SDR conversion code was written to pull geographic coordinates and scale metadata out of SUL MARC records from Unicorn catalog and insert them into the MODS records when available. |
| | | SDR conversion codes was written to insert the comp MODS records into the METS record for each Rumsey digital object. |

Scenario 2: Geospatial Files & PREMIS with METS – is it a fit?

- See “An Investigation into Archiving Geospatial data Formats “ prepared for NGDA Project, funded by NDIIPP (<http://www.ngda.org/research.php>):
 - Shapefiles (vector)
 - Digital Raster Graphics (DRG) raster files (digital representations of USGS topographical maps)
 - Digital Ortho Quarter Quads (DOQQs) (images as geoTiffs, tfs or proprietary)
 - Landsat7 satellite images (preliminary)

Scenario 2: Geospatial Files & PREMIS – is it a fit?

- Paper examined approaches of
 - FGDC
 - PREMIS
 - Center for International Earth Science Information Network (CIESIN) 's Geospatial Electronic Record (GER) model on basis of:
 - Environment/ computer platform,
 - Semantic underpinnings
 - domain specific terminology,
 - provenance
 - data quality
 - appropriate use

Scenario 2: Geospatial Files & PREMIS with METS – is it a fit?

- Appears ok when:
- Domain specific MD exists, e.g., FGDC for descriptive and technical MD
- Have a number of layers of the resource with MD to be associated, e.g., at representation & file(s) level
- Depending upon the point in resource lifecycle wishing to document

Entering the sticky wicket: PREMIS for geospatial (and other science) data sets?

- Domain specific needs for that are difficult to incorporate:
 - Context
 - Environment including at time of creation
 - “Significant properties”
 - Existence of geospatial format registries

Use of PREMIS Object Data Elements – Scenario3: GIS Dataset

Street network of given
metropolitan area

- Dataset 1: official street centerline file used by emergency services to locate street addresses
- Dataset 2: aspects of the road network including topography, angles & geometry of the road network used for a tourist map

Geospatial “Context”

- ❑ Placing dataset in Time & Space
- ❑ Semantic underpinnings, e.g.,
 - Abstract
 - Description of purpose / research methodology
 - Intended use of data to avoid misinterpretation or misuse
- ❑ Where to put?
 - FGDC has place, but does PREMIS, if doesn't exist in “descriptive” or technical MD?
 - What would be place for this in PREMIS(?)
 - Perhaps <object><relationship>
<relatedObjectIdentification> for an explanatory website or other source of info?

“Environment” and/or “Significant properties”

- ❑ HW info pertinent at time of data creation
- ❑ SW info pertinent at time of data creation (?)
- ❑ Lineage or “provenance” data e.g., to communicate processing steps used to create scientific data product
- ❑ Events, parameters & source data which influenced or impacted the creation of the data set prior to its ingestion into the archive in order to full understand the data that you’re getting

“Environment” & “Significant properties”, continued...

- Data Quality – describing completeness, logical consistency, attribute accuracy
- Data Trustworthiness – data creator / provider reliable? = “authentic”
- Data Provenance – processes & sources for dataset = “understandable & reliable”
- Understanding of the specific needs of the “designated community”?
- How to do in PREMIS? – v.2 would appear to be better

Use of PREMIS Event Data Elements

■ Event :

- Would prefer the option to describe process of data creation
- Merge
c:\temp\states1;c:\temp\states2; c:\temp\USA
- (includes process = “merge” and data sources
- Advantage – can describe events once in repository, unlike FGDC

■ Why this event?

- Important to describe processes during different phases of lifecycle, even prior to ingestion
- Not to be able to do so – problematic for geospatial resources

Issues & Challenges

- Getting domain specific MD would help!
- If not, getting important prez info from data creators & how to determine what is truly necessary for dataset use?
- Establishment of geospatial format registries
- Is this level of documentation still bit preservation?
- Getting buy-in from geospatial domains for use of vocabularies, etc. (see Global Spatial Data Infrastructure: <http://www.gsdi.org/Default.asp>)

Future directions for NGDA Project

- Further investigation of other geospatial formats including more vector based data such as:
 - layers of the National Atlas,
 - National Map (sections of California)
- Landsat 7 ETM imagery
- Derived data sets from Stanford faculty

Future directions, cont.

- Format Registry investigation - what should be included in a format registry for geospatial
 - Contact with key vendors, e.g. ESRI, SafeSoftware, etc.
- Monitoring what others are doing with e-science data sets, e.g.,
 - NCSU, Johns Hopkins
 - National Australian Archive (NAA)
 - JISC, and DPC in the UK doing with research on scientific data such as vector images, See “Significant Properties”
 - UK DCC [SCARP Project](#) (Sharing Curatorial and Re-Use Preservation) – Research on [Lineage Data](#) and others

Questions? / comments?

Nancy J. Hoebelheinrich
nhoebel@stanford.edu