

# CNN

Arquiteturas e Backpropagation

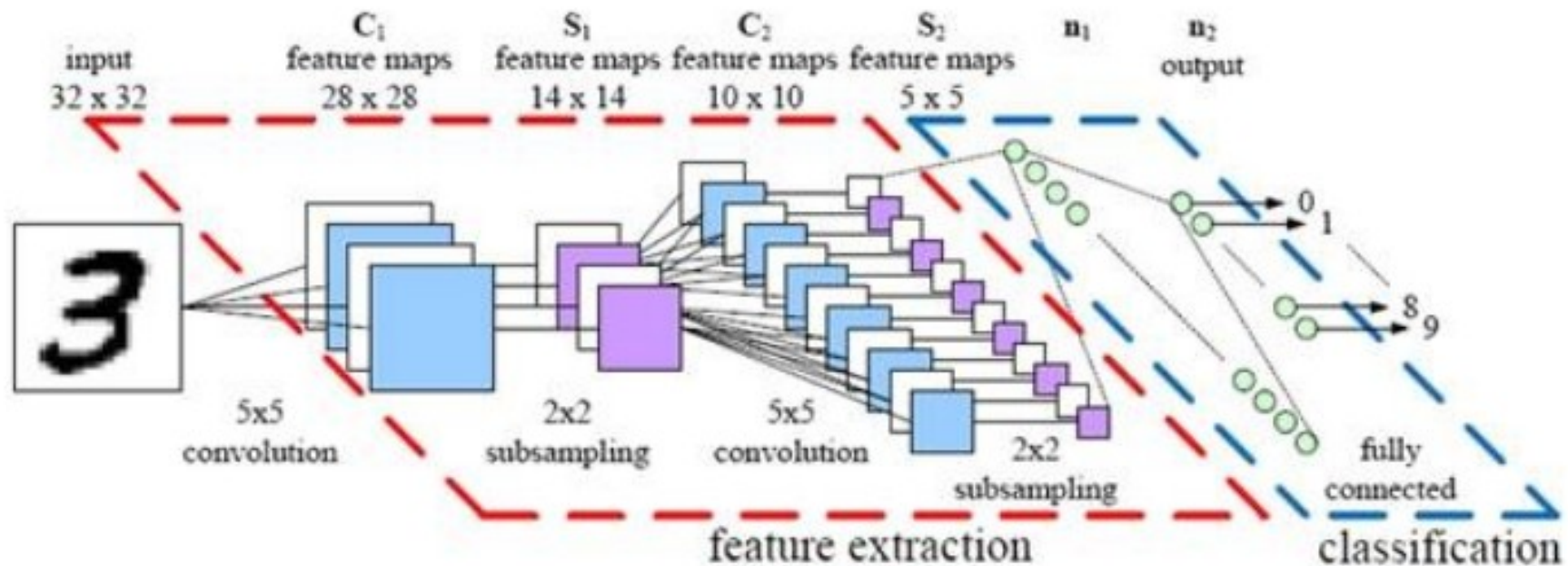
# CNN - recapitulando

## Tipos de camadas

- Convolutacional : Definem os filtros (Aprendizado / BackPropagation)
- Ativação: Neurônios (Relu / Sigmoid / TangH)
- Pooling : Reduzem as escalas (Max, Median, etc..)
- Fully-Connected (FC): Camada que determina as classes (Classificador)

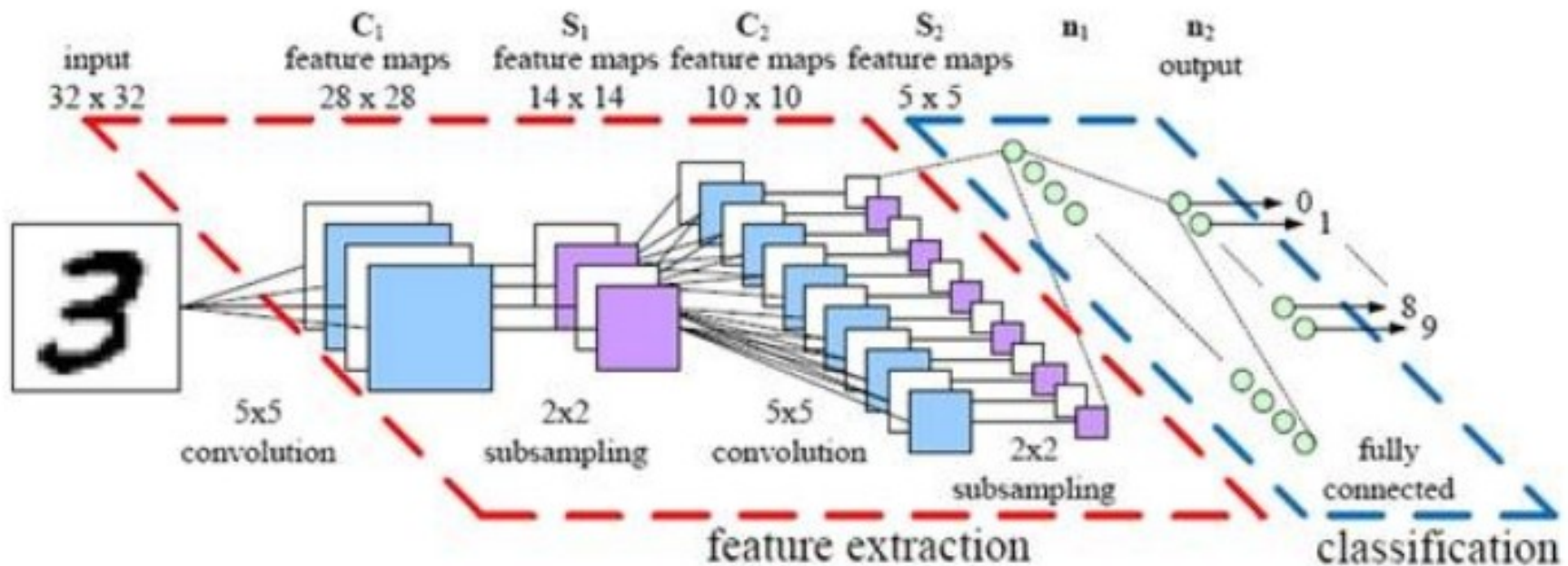
# CNN - recapitulando

- Compostas de duas grandes etapas:
  - Extração de Características pelas Camadas Convolucionais
  - Classificação



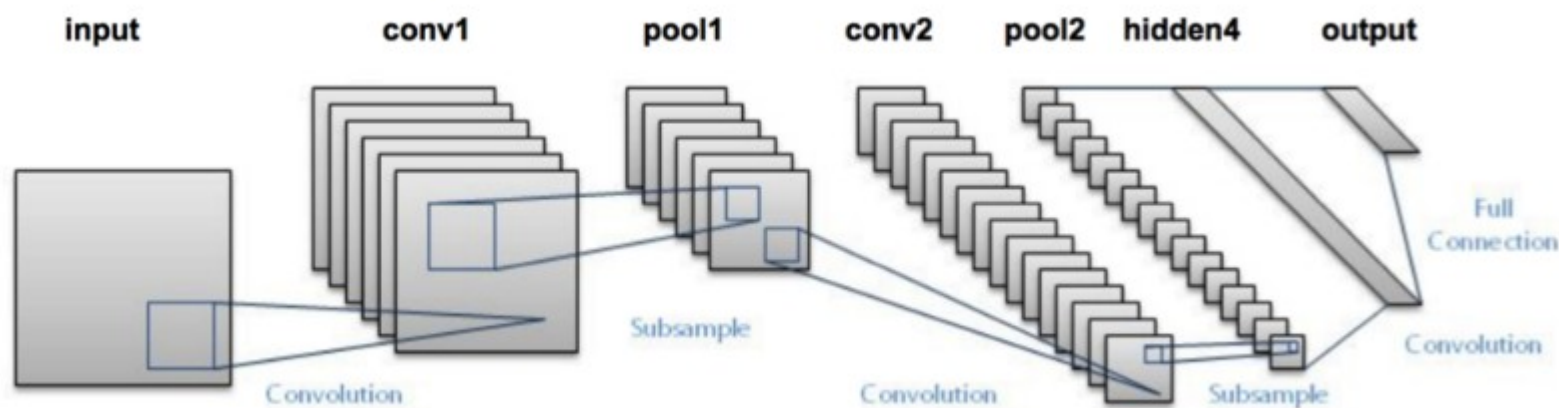
# CNN - Arquiteturas

A maneira como estas camadas são conectadas definem as arquiteturas.



# CNN - Arquiteturas

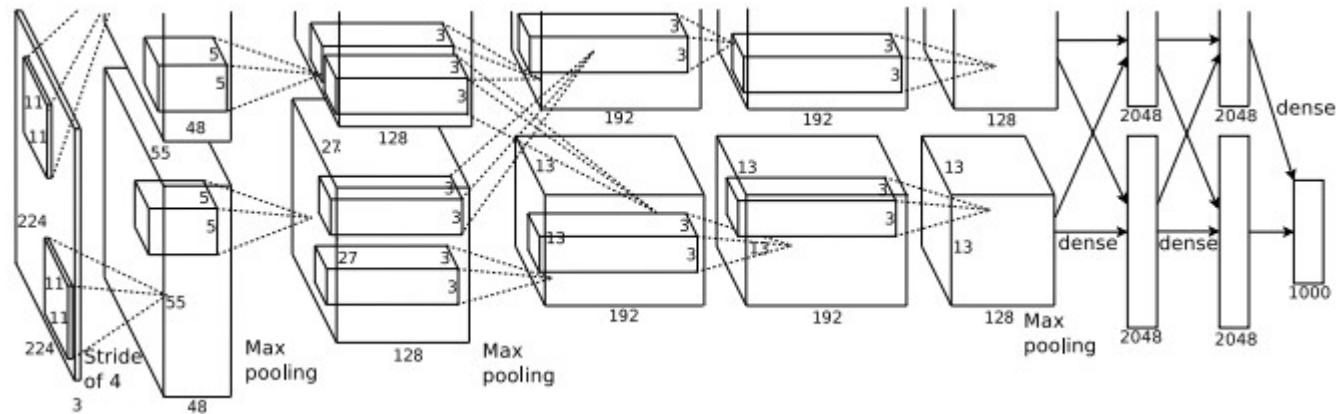
## LeNet-5 (1998)



Pioneira – aplicada a bancos de dados de escrita manual para classificação de dígitos.

# CNN - Arquiteturas

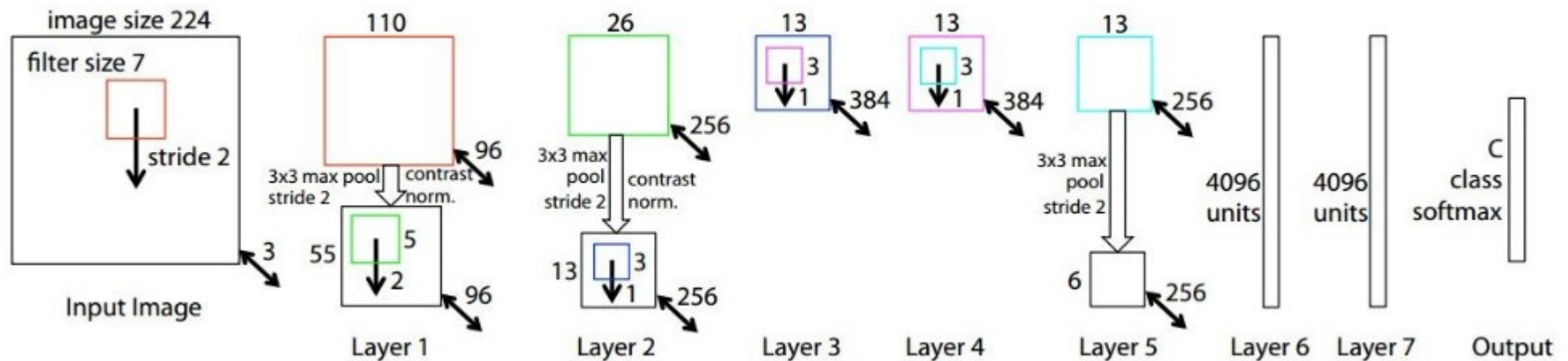
## AlexNet (2012)



A rede tinha uma arquitetura muito semelhante à LeNet. Mas era mais profunda, com mais filtros por camada e com camadas convolucionais Empilhadas.

# CNN - Arquiteturas

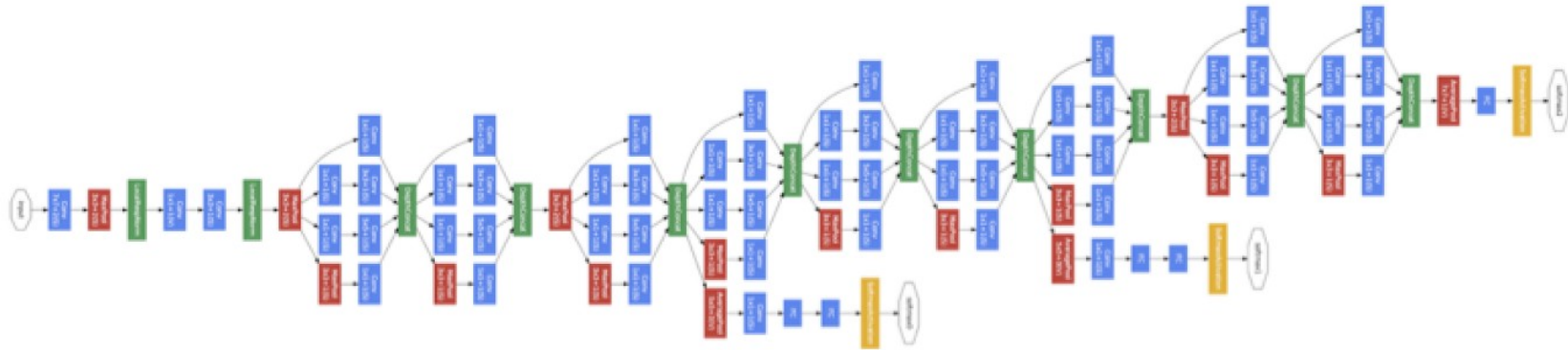
## ZFNet (2013)



Foi uma melhoria obtida ajustando os hiperparâmetros do AlexNet, mantendo a mesma estrutura com elementos adicionais de Deep Learning.

# CNN - Arquiteturas

## GoogleNet/Inception (2014)



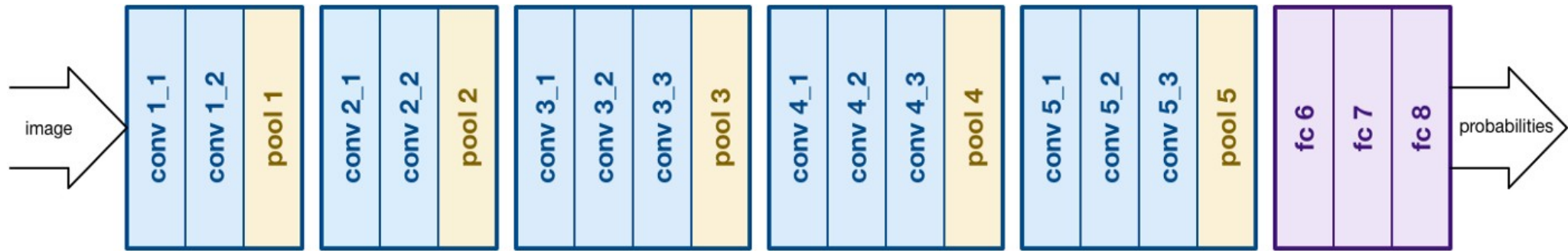
Sua arquitetura consistia em uma CNN com 22 camadas de profundidade, mas reduziu o número de parâmetros de 60 milhões (AlexNet) para 4 milhões.



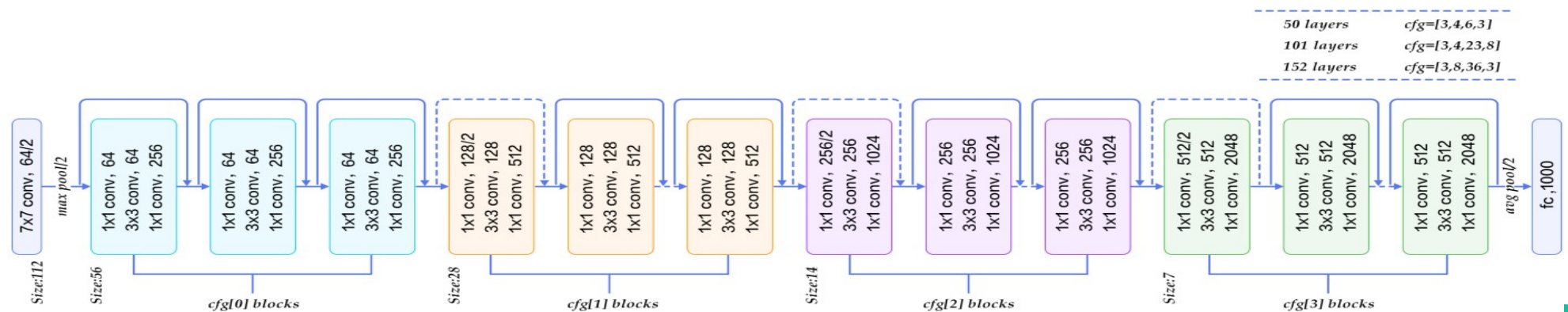


# CNN - Arquiteturas

## VGGNet (2014)



## ResNet (2015)



# CNN - Arquiteturas

## ImageNet Large Scale Visual Recognition Challenge (ILSVRC)

Year	CNN	Developed by	Place	Top-5 error rate	No. of parameters
1998	LeNet(8)	Yann LeCun et al			60 thousand
2012	AlexNet(7)	Alex Krizhevsky, Geoffrey Hinton, Ilya Sutskever	1st	15.3%	60 million
2013	ZFNet()	Matthew Zeiler and Rob Fergus	1st	14.8%	
2014	GoogLeNet(19)	Google	1st	6.67%	4 million
2014	VGG Net(16)	Simonyan, Zisserman	2nd	7.3%	138 million
2015	<u>ResNet(152)</u>	Kaiming He	1st	3.6%	

# CNN - Backpropagation

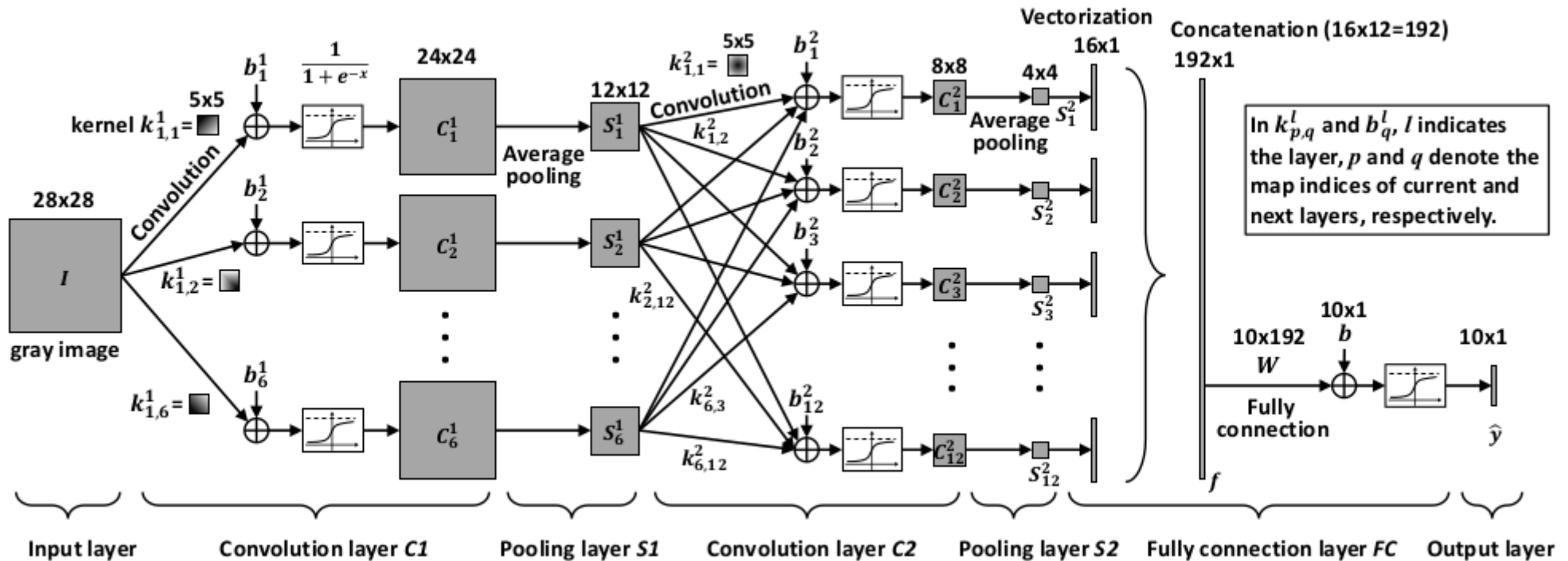
Artigo

Derivation of Backpropagation in Convolutional Neural  
Network (CNN)

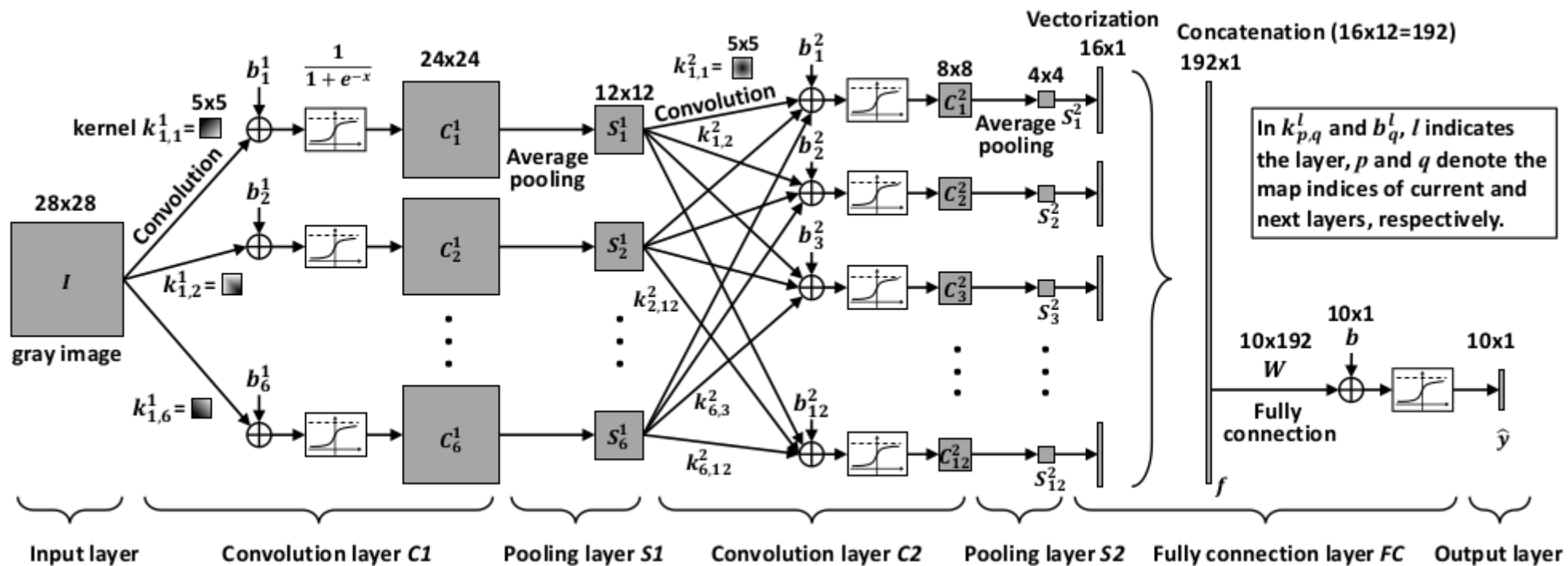
Zhifei Zhang

University of Tennessee, Knoxville, TN

# CNN - Backpropagation



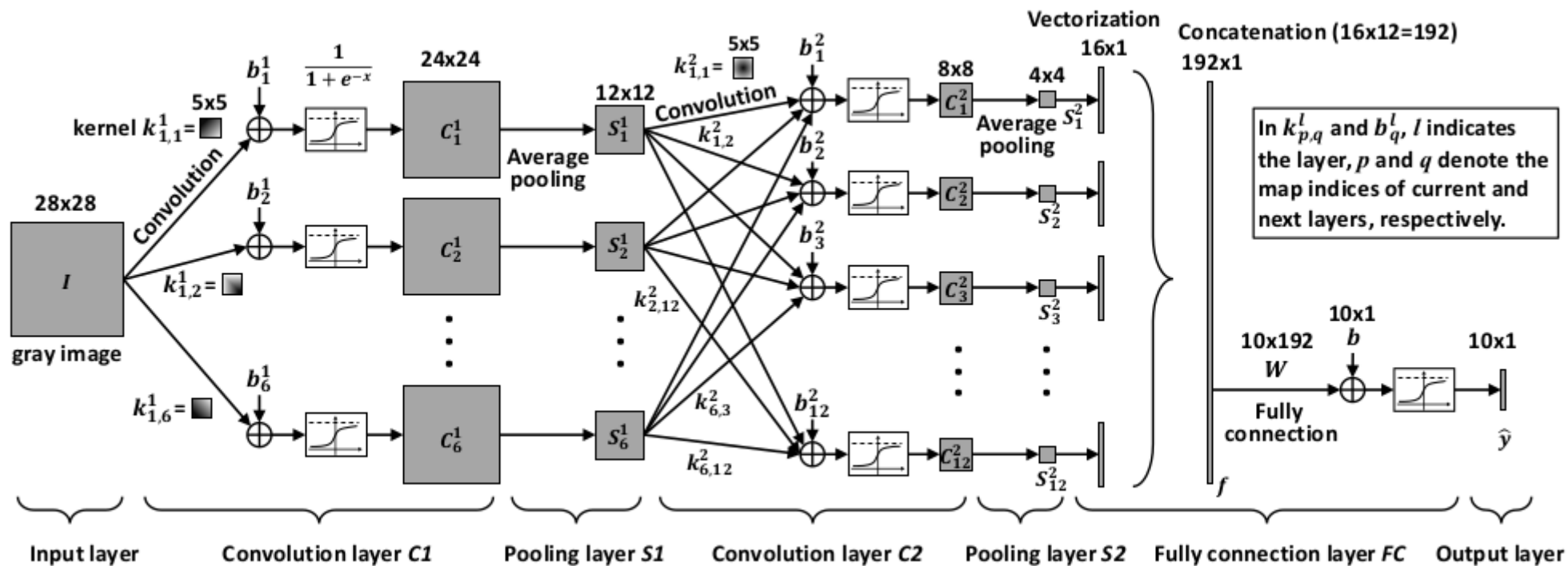
# CNN - Backpropagation



Inicialização de parâmetros:

- C1 layer,  $k_{1,p}^1$  (size  $5 \times 5$ ) and  $b_p^1$  (size  $1 \times 1$ ),  $p = 1, 2, \dots, 6$
- C2 layer,  $k_{p,q}^2$  (size  $5 \times 5$ ) and  $b_q^2$  (size  $1 \times 1$ ),  $q = 1, 2, \dots, 12$
- FC layer,  $W$  (size  $10 \times 192$ ) and  $b$  (size  $10 \times 1$ )

# CNN - Backpropagation



Inicialização de parâmetros:

bias,  $b_p^1$ ,  $b_q^2$ , and  $b$ , are initialize to zero

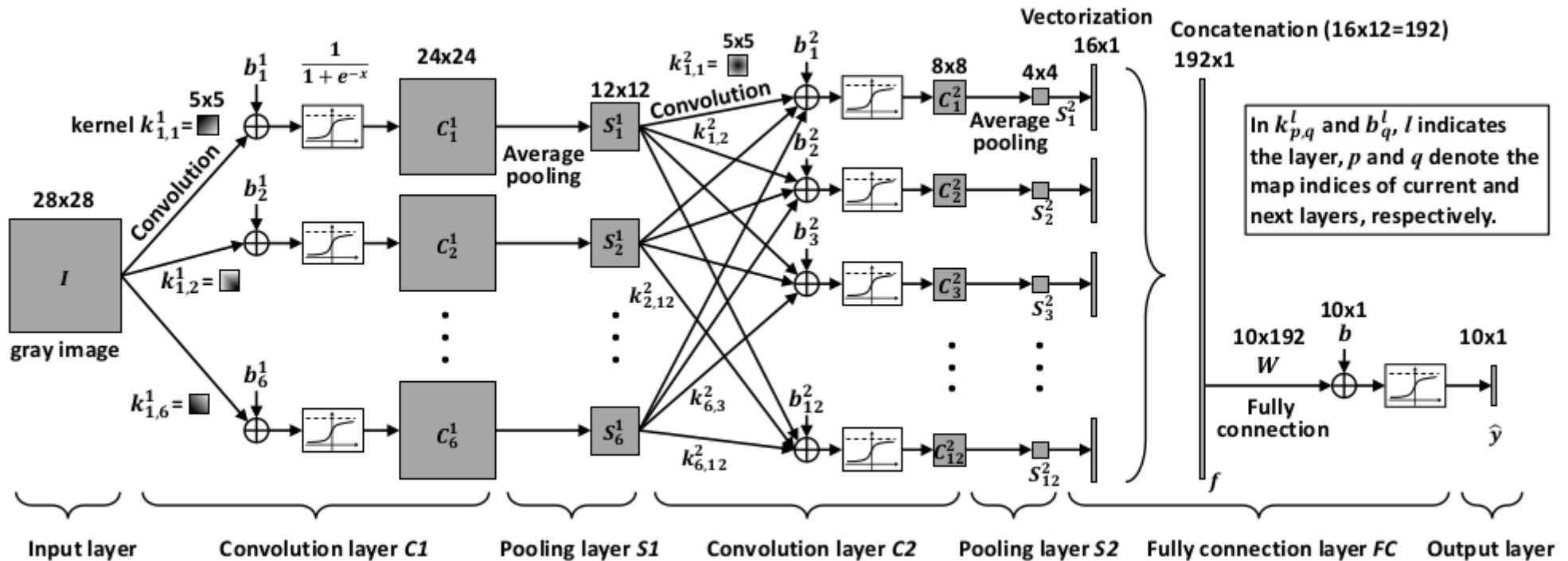
Aleatório  
com  
distribuição  
uniforme

$$k_{1,p}^1 \sim U\left(\pm \sqrt{\frac{6}{(1+6) \times 5^2}}\right)$$

$$k_{p,q}^2 \sim U\left(\pm \sqrt{\frac{6}{(6+12) \times 5^2}}\right)$$

$$W \sim U\left(\pm \sqrt{\frac{6}{192+10}}\right)$$

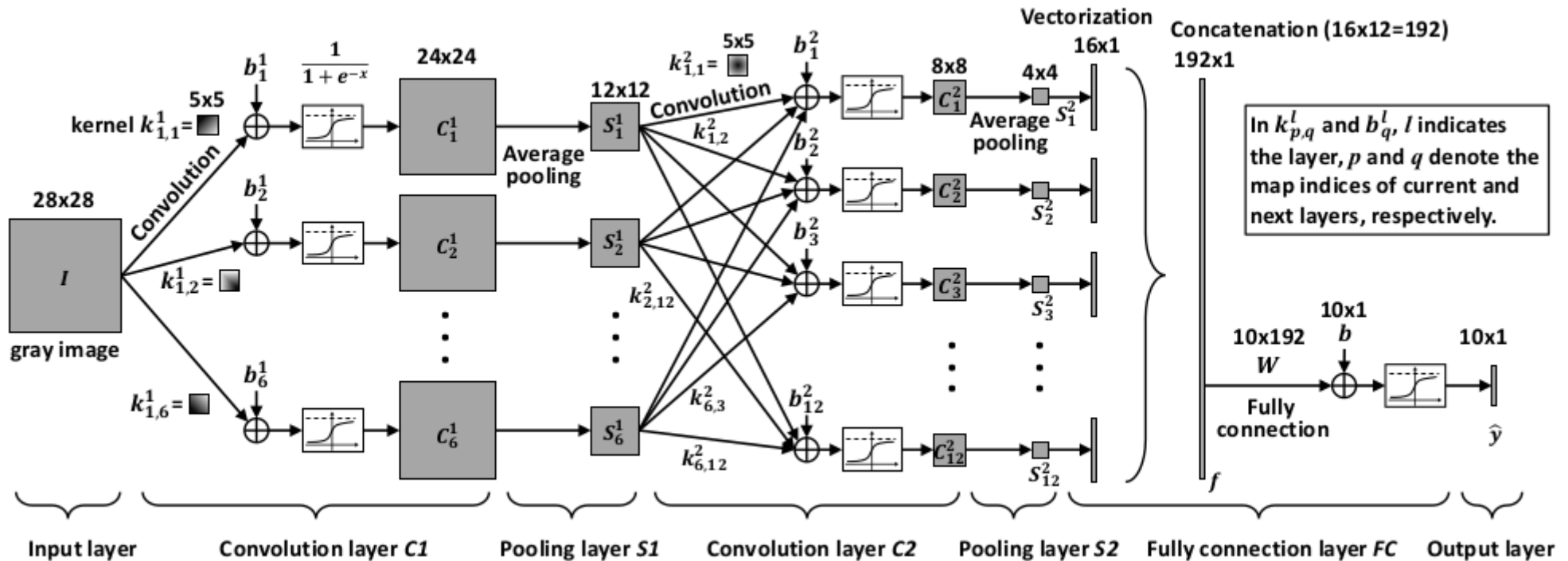
# CNN - Backpropagation



$$C_p^1 = \sigma(I * k_{1,p}^1 + b_p^1), \text{ where } \sigma(x) = \frac{1}{1 + \exp^{-x}}$$

$$C_p^1(i, j) = \sigma \left( \sum_{u=-2}^2 \sum_{v=-2}^2 I(i-u, j-v) \cdot k_{1,p}^1(u, v) + b_p^1 \right)$$

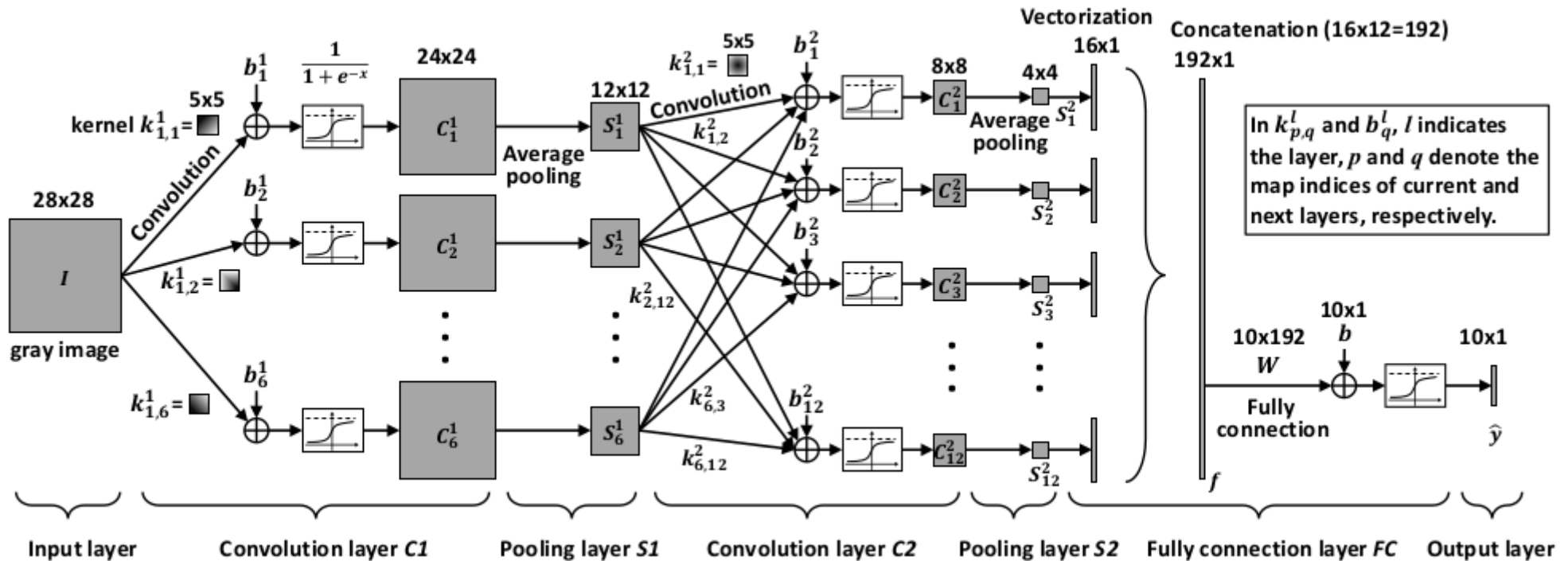
# CNN - Backpropagation



$$S_p^1(i, j) = \frac{1}{4} \sum_{u=0}^1 \sum_{v=0}^1 C_p^1(2i - u, 2j - v), \quad i, j = 1, 2, \dots, 12$$



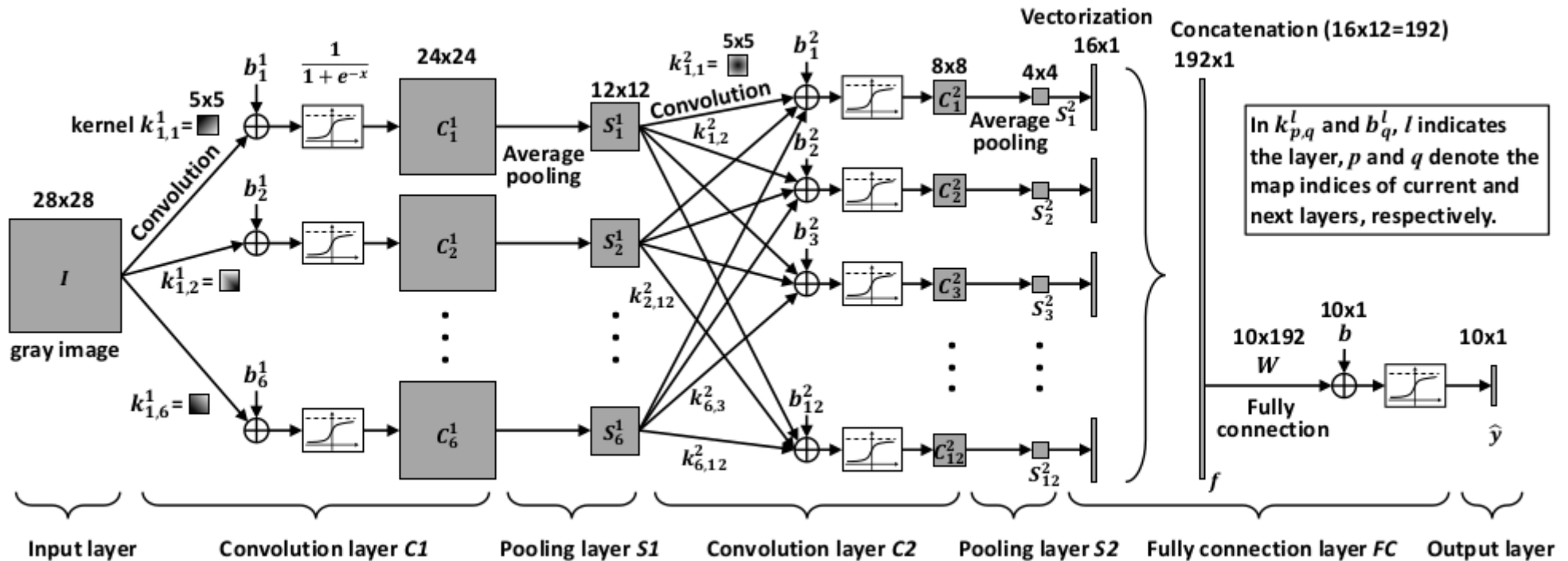
# CNN - Backpropagation



$$C_q^2 = \sigma \left( \sum_{p=1}^6 S_p^1 * k_{p,q}^2 + b_q^2 \right)$$

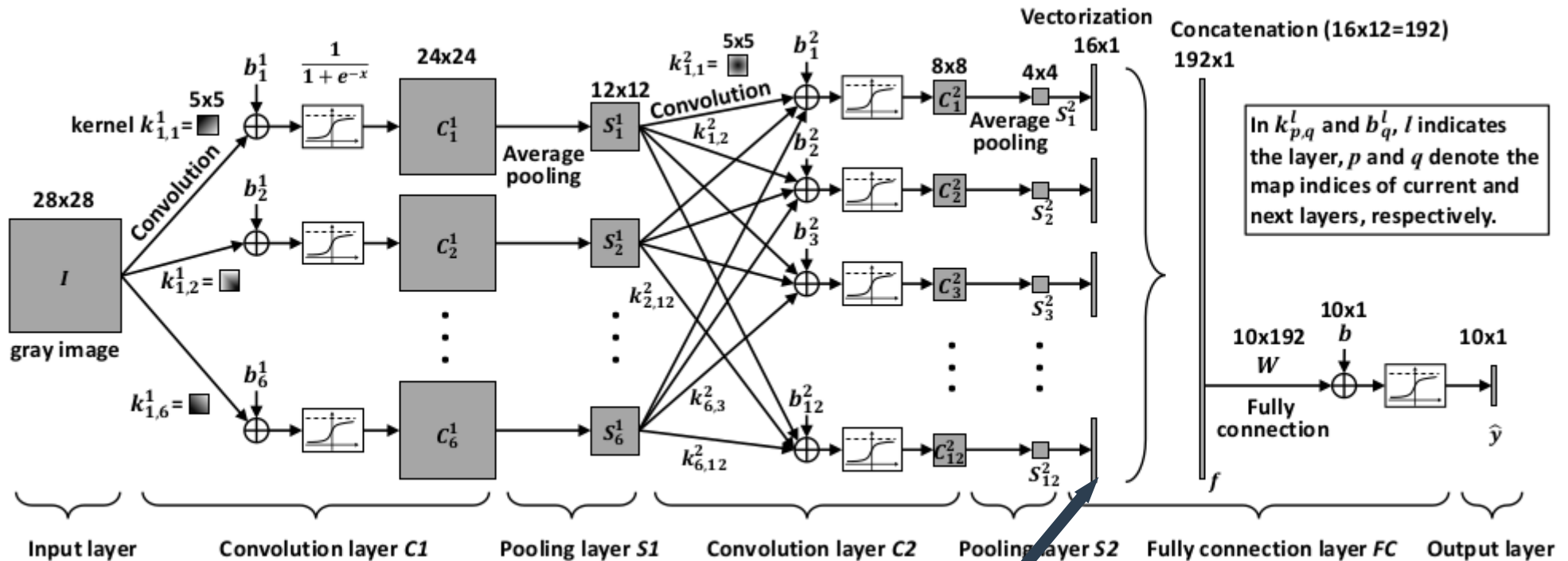
$$C_q^2(i, j) = \sigma \left( \sum_{p=1}^6 \sum_{u=-2}^2 \sum_{v=-2}^2 S_p^1(i-u, j-v) \cdot k_{p,q}^2(u, v) + b_q^2 \right)$$

# CNN - Backpropagation



$$S_q^2(i, j) = \frac{1}{4} \sum_{u=0}^1 \sum_{v=0}^1 C_q^2(2i - u, 2j - v), \quad i, j = 1, 2, \dots, 4$$

# CNN - Backpropagation



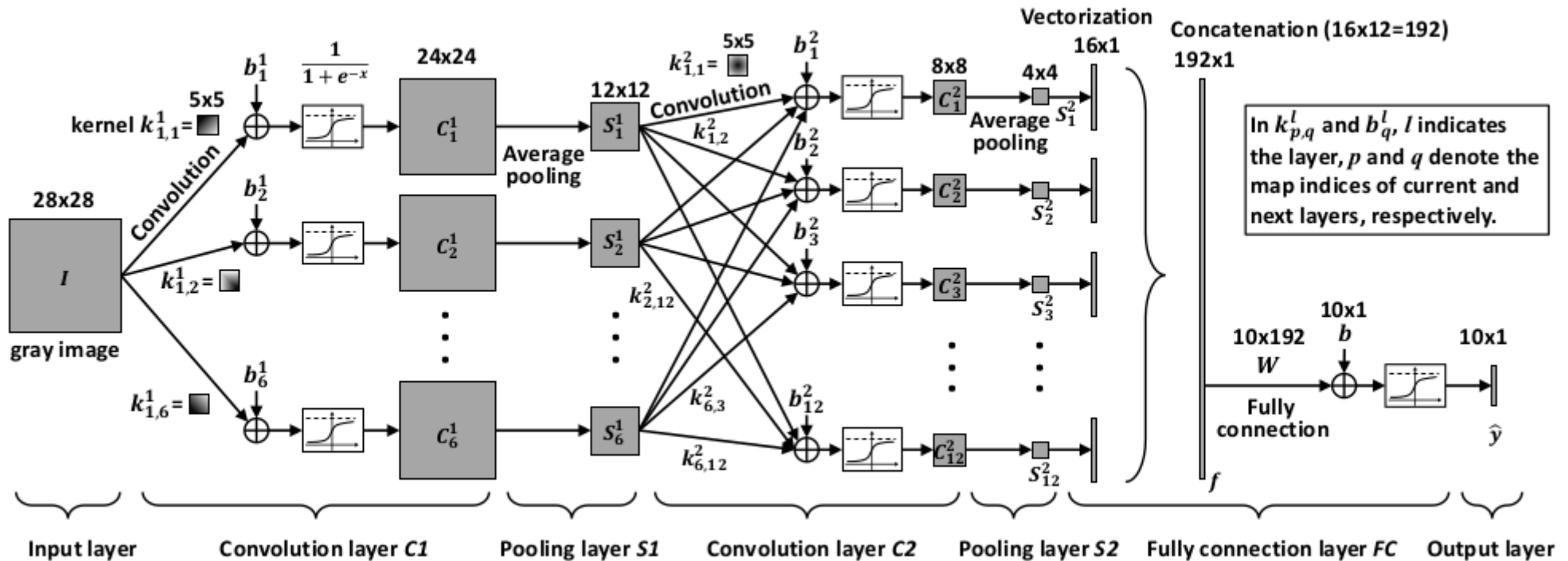
Vetorização:

$$f = F(\{S_q^2\}_{q=1,2,\dots,12})$$

Operação Inversa:

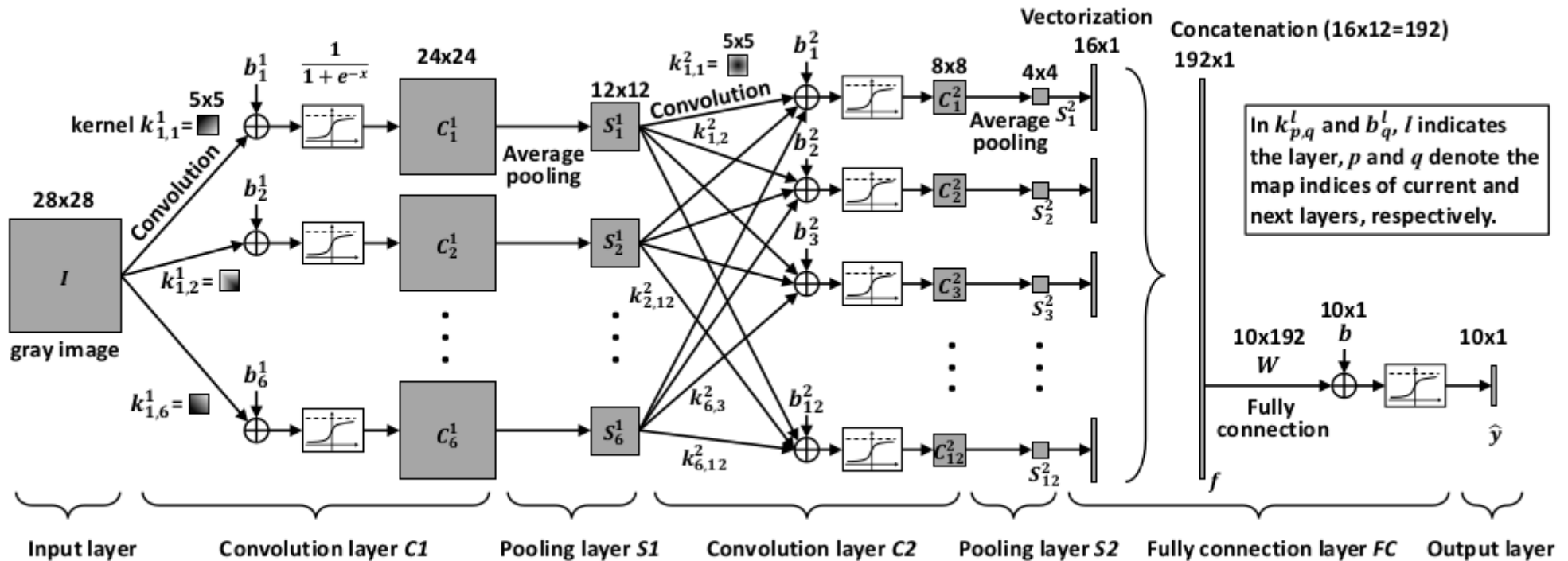
$$\{S_q^2\}_{q=1,2,\dots,12} = F^{-1}(f)$$

# CNN - Backpropagation



$$\hat{y} = \sigma(W \times f + b)$$

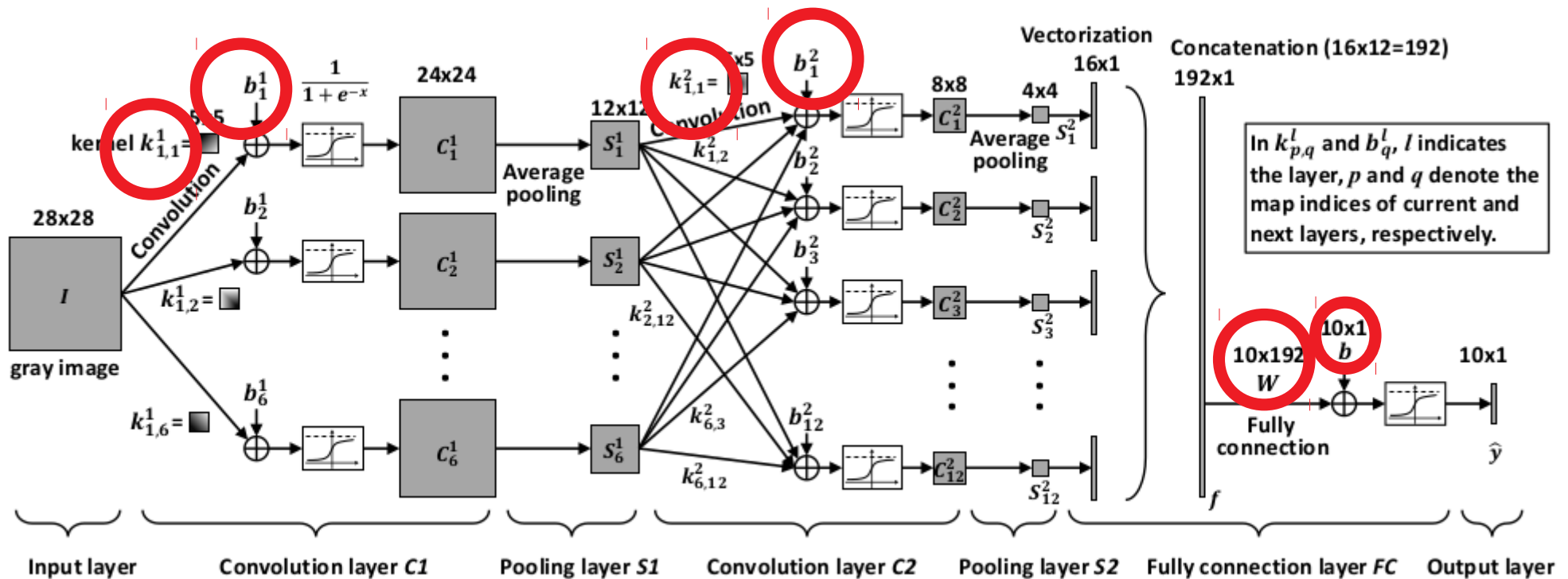
# CNN - Backpropagation



Função de custo

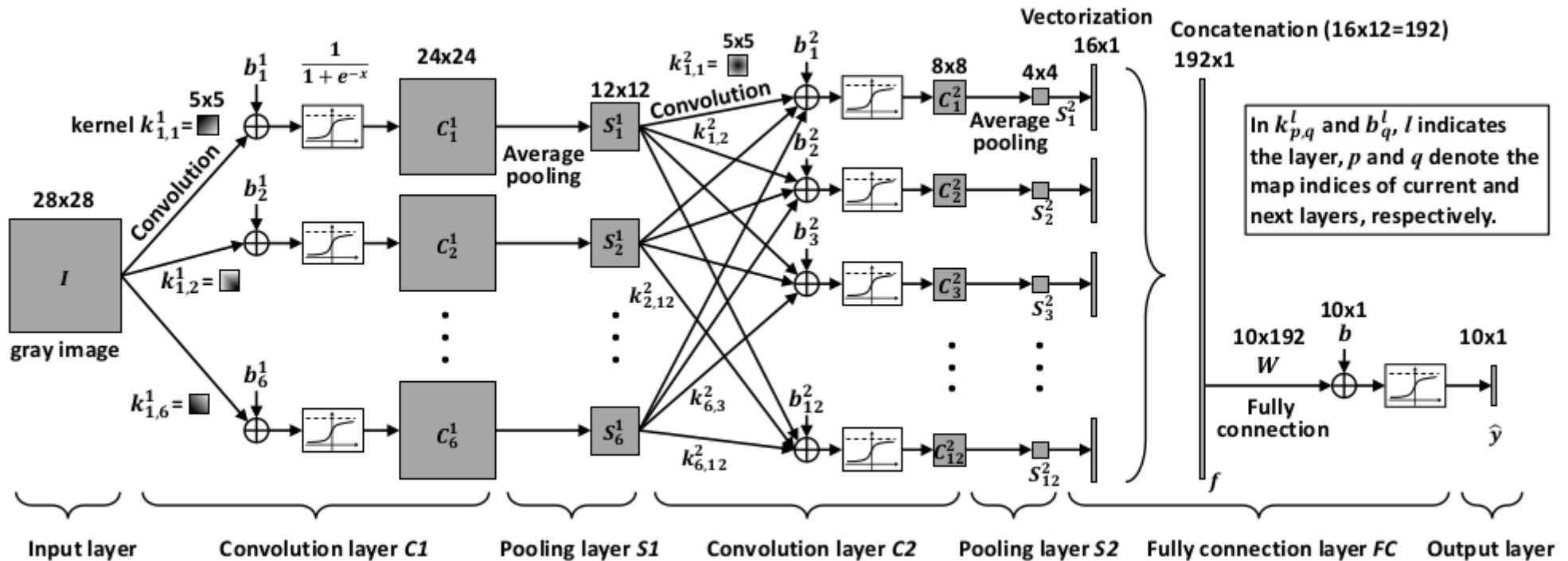
$$L = \frac{1}{2} \sum_{i=1}^{10} (\hat{y}(i) - y(i))^2$$

# CNN - Backpropagation



Queremos ajustar estes parâmetros para minimizar a função de custo

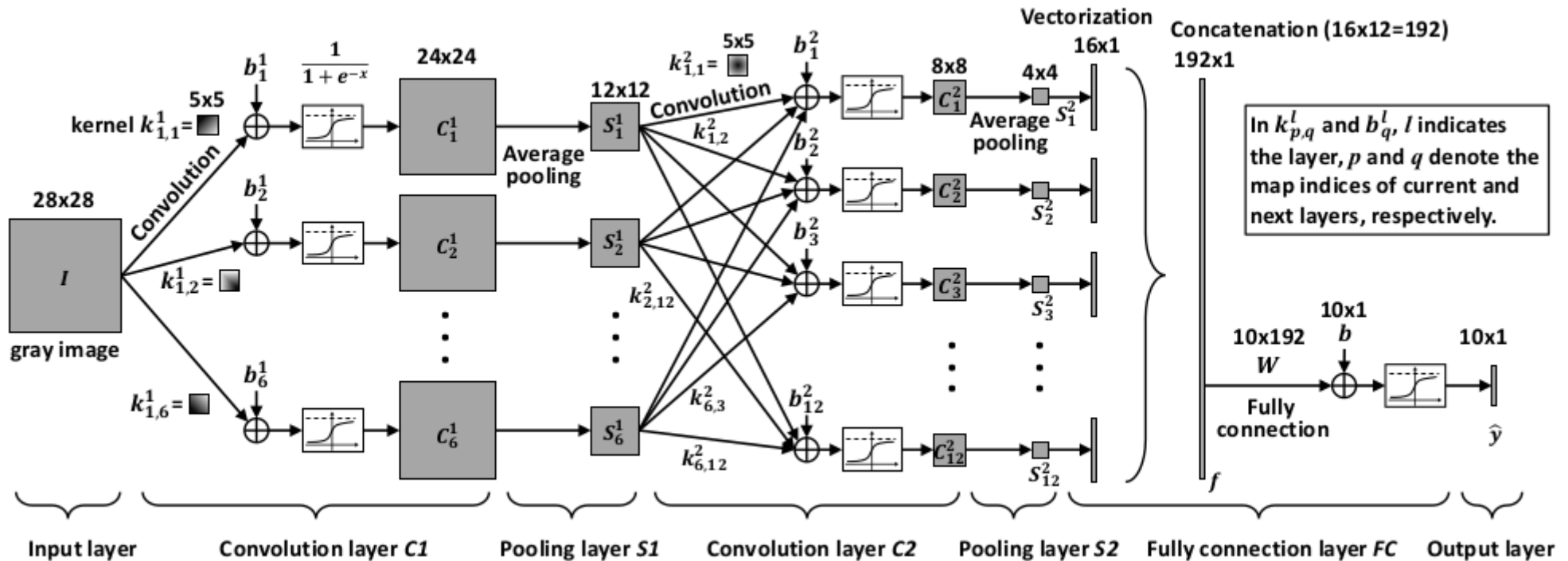
# CNN - Backpropagation



$$\Delta W(i, j) = \frac{\partial L}{\partial W(i, j)}$$

$$\begin{aligned} \Delta W(i, j) &= \Delta \hat{y}(i) \cdot f(j) \\ \Rightarrow \Delta W &= \Delta \hat{y} \times f^T \end{aligned}$$

# CNN - Backpropagation

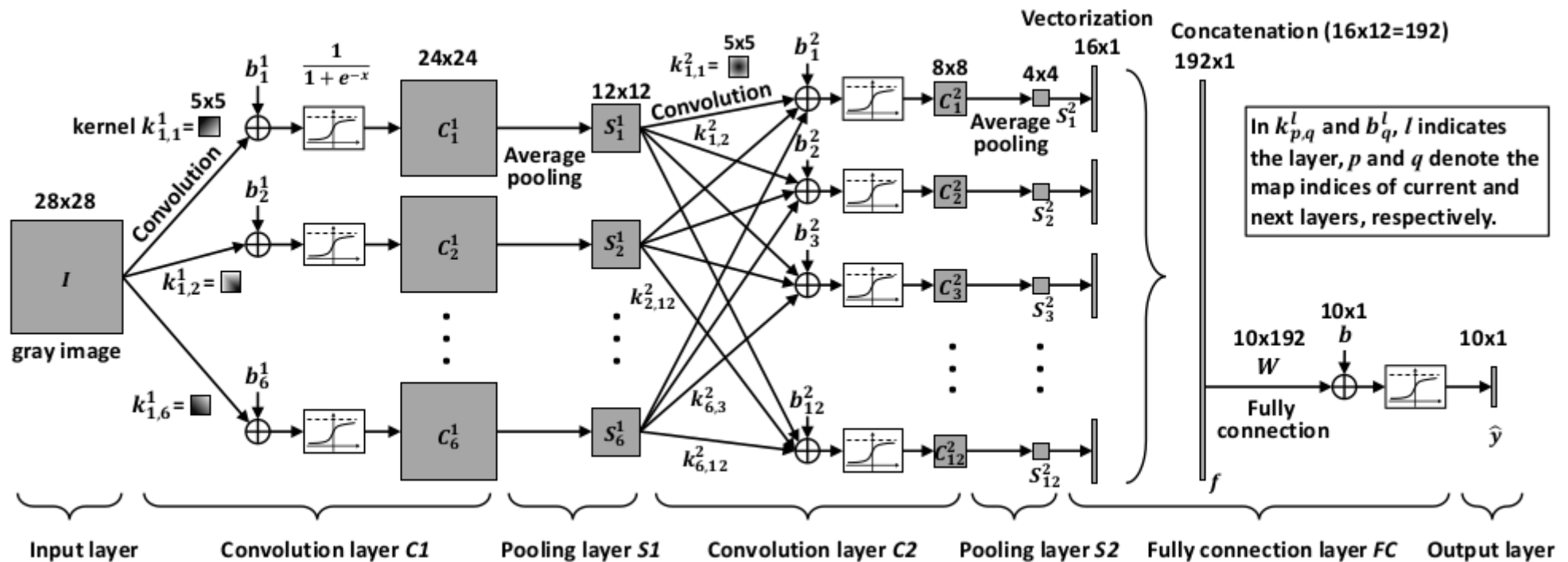


$$\Delta b(i) = \frac{\partial L}{\partial b(i)}$$

$$\Delta b = \Delta \hat{y}$$



# CNN - Backpropagation



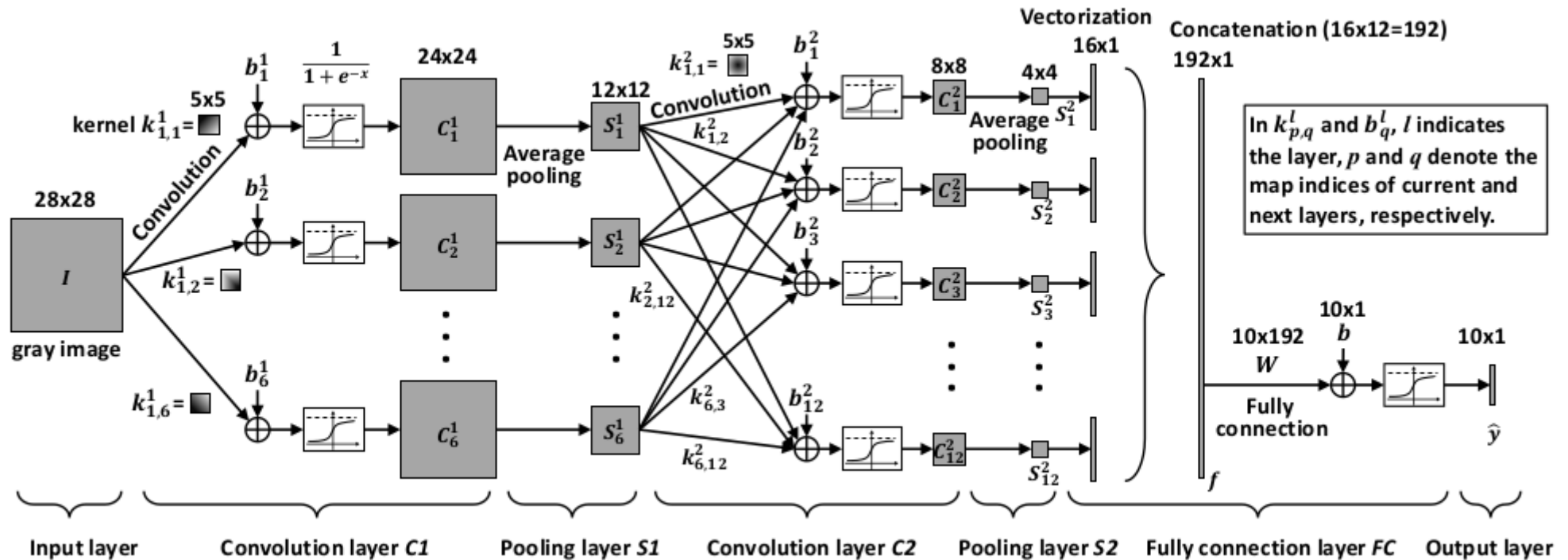
$\Delta k^2_{p,q}$  (size  $5 \times 5$ )      Calculamos  $\Delta f(j) = \frac{\partial L}{\partial f} \Rightarrow \Delta f = W^T \times \Delta \hat{y}$

Reformatamos o vetor  $\{\Delta S^2_q\}_{q=1,2,\dots,12} = F^{-1}(\Delta f)$

Então calculamos  $\Delta C^2_q(i, j) = \frac{1}{4} \Delta S^2_q(\lceil i/2 \rceil, \lceil j/2 \rceil)$ ,  $i, j = 1, 2, \dots, 8$   
(upsampling)

$\lceil \cdot \rceil$  denotes the ceiling function

# CNN - Backpropagation

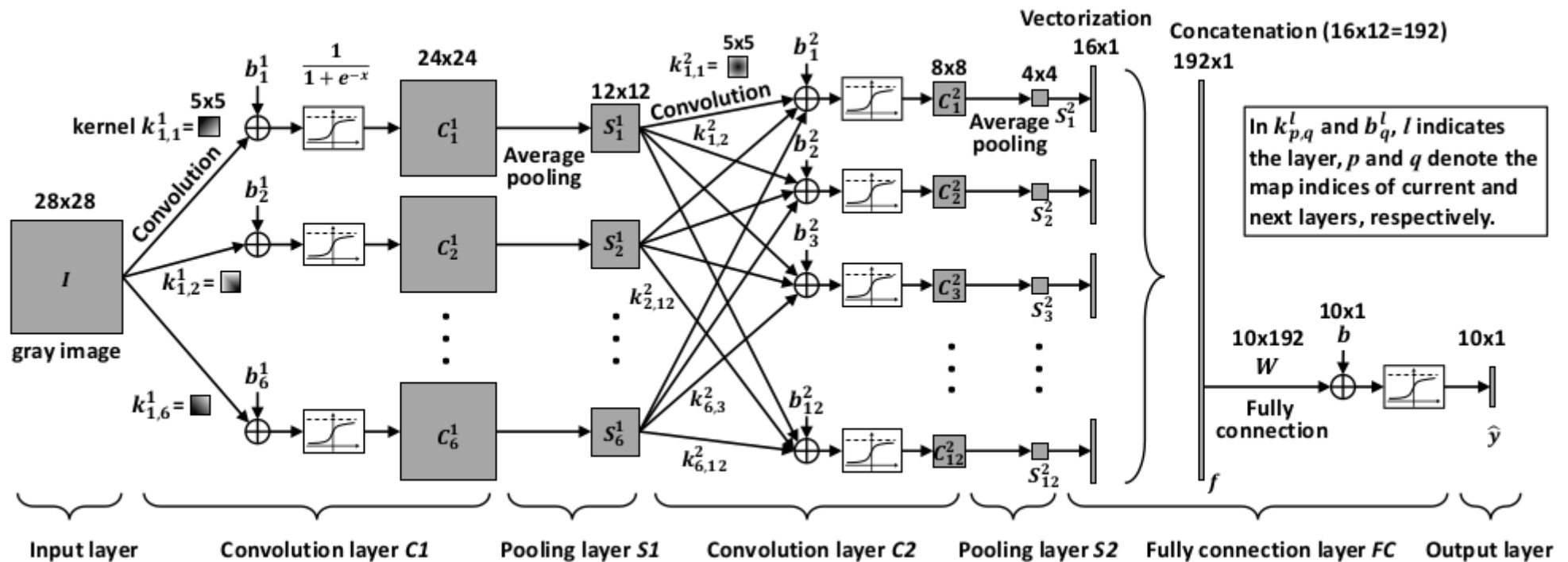


$$\Delta k_{p,q}^2 \text{ (size } 5 \times 5)$$

$$\Delta k_{p,q}^2(u, v) = \frac{\partial L}{\partial k_{p,q}^2(u, v)}$$

$$= \sum_{i=1}^8 \sum_{j=1}^8 \Delta C_q^2(i, j) \cdot C_q^2(i, j) (1 - C_q^2(i, j)) \cdot S_p^1(i - u, j - v)$$

# CNN - Backpropagation

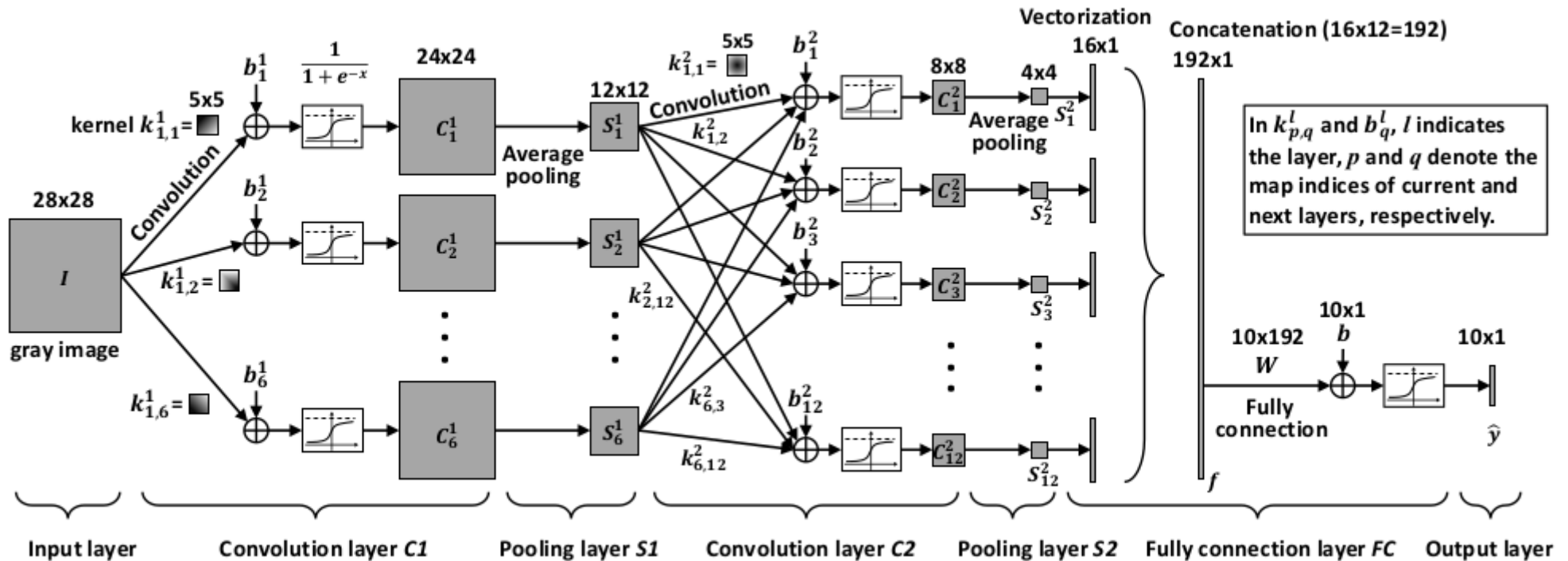


$$\Delta k_{p,q}^2 \text{ (size } 5 \times 5\text{)}$$

Rotating  $S_p^1$  180 degrees, we get  $S_{p,rot180}^1$

$$S_{p,rot180}^1(u-i, v-j) = S_p^1(i-u, j-v)$$

# CNN - Backpropagation

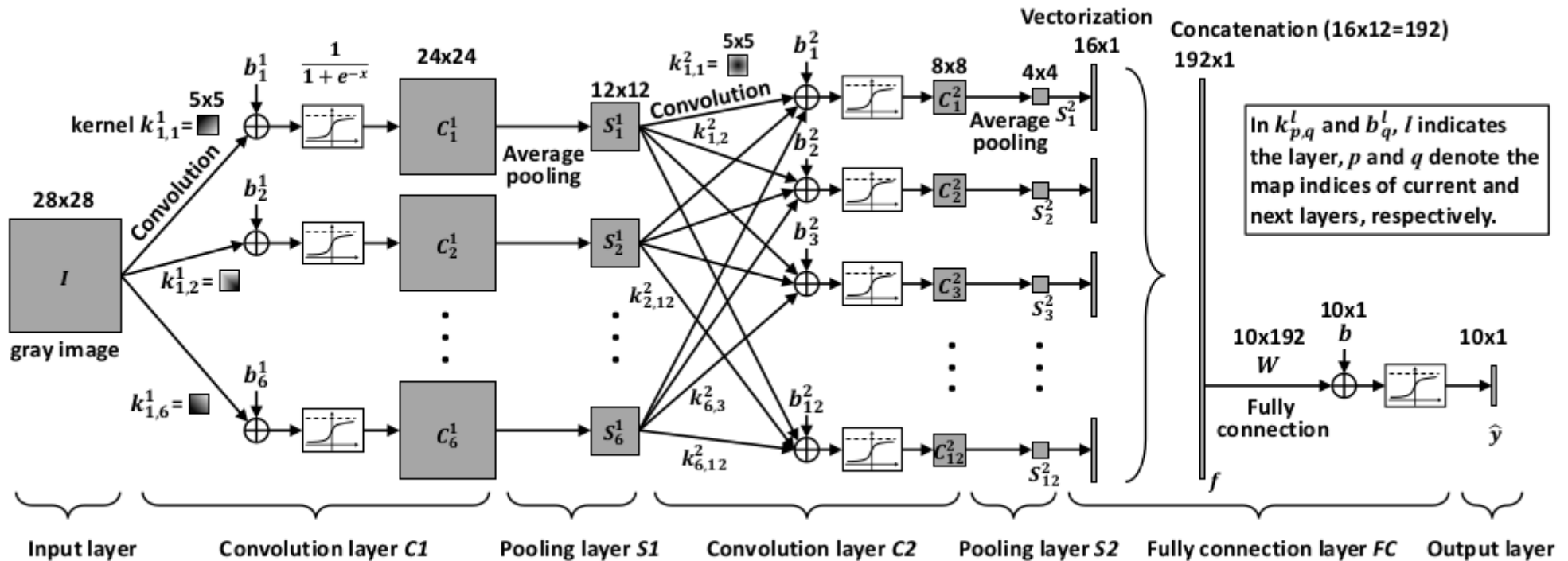


$$\Delta k_{p,q}^2 \text{ (size } 5 \times 5\text{)}$$

$$\Delta k_{p,q}^2(u, v) = \sum_{i=1}^8 \sum_{j=1}^8 S_{p,rot180}^1(u-i, v-j) \cdot \Delta C_{q,\sigma}^2(i, j)$$

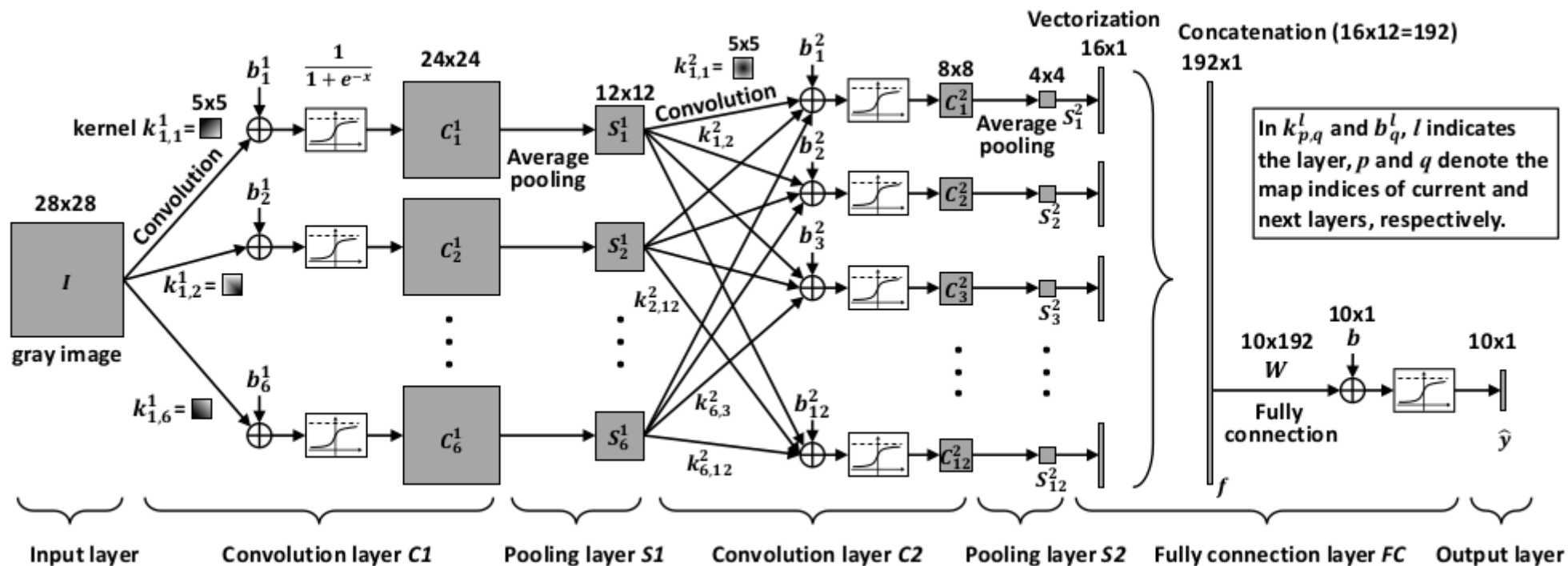
$$\Rightarrow \Delta k_{p,q}^2 = S_{p,rot180}^1 * \Delta C_{q,\sigma}^2$$

# CNN - Backpropagation



$$\Delta b_q^2 = \frac{\partial L}{\partial b_q^2} = \sum_{i=1}^8 \sum_{j=1}^8 \Delta C_{q,\sigma}^2(i, j)$$

# CNN - Backpropagation

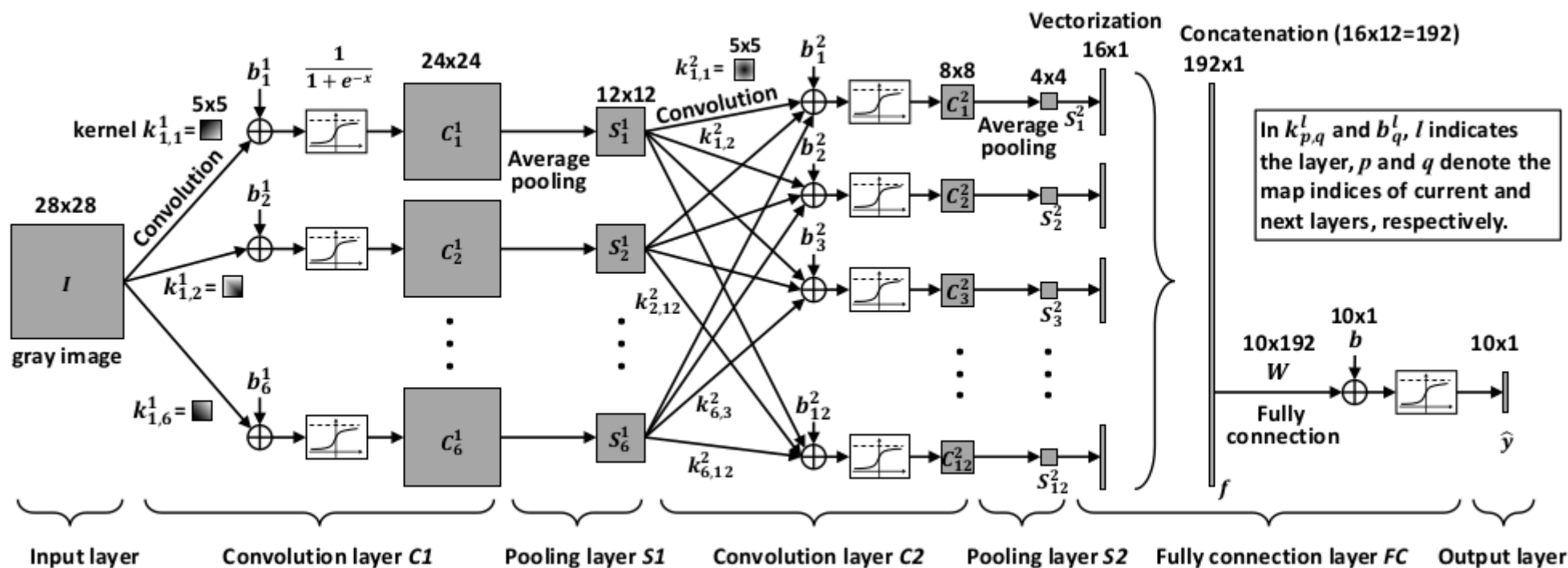


$\Delta k^1_{1,p}$  (size  $5 \times 5$ )

$$\Delta S^1_p(i, j) = \frac{\partial L}{\partial S^1_p(i, j)} = \sum_{q=1}^{12} \sum_{u=-2}^2 \sum_{v=-2}^2 \Delta C^2_{q,\sigma}(i+u, j+v) \cdot k^2_{p,q}(u, v)$$

Rotating  $k^2_{p,q}$  180 degrees, we get  $k^2_{p,q,rot180}(-u, -v) = k^2_{p,q}(u, v)$

# CNN - Backpropagation



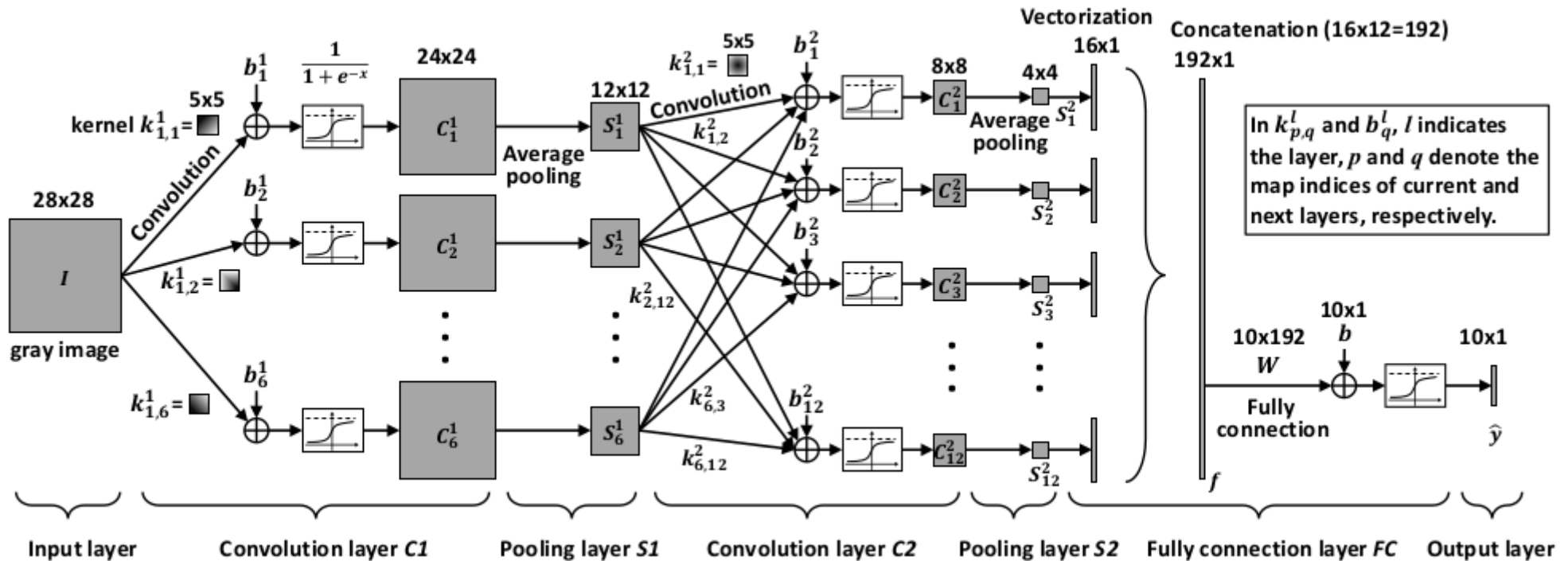
$$\Delta k_{1,p}^1 \text{ (size } 5 \times 5)$$

$$\Delta S_p^1(i, j) = \sum_{q=1}^{12} \sum_{u=-2}^2 \sum_{v=-2}^2 \Delta C_{q,\sigma}^2(i - (-u), j - (-v)) \cdot k_{p,q,rot180}^2(-u, -v)$$

$$\Rightarrow \Delta S_p^1 = \sum_{q=1}^{12} \Delta C_{q,\sigma}^2 * k_{p,q,rot180}^2$$



# CNN - Backpropagation



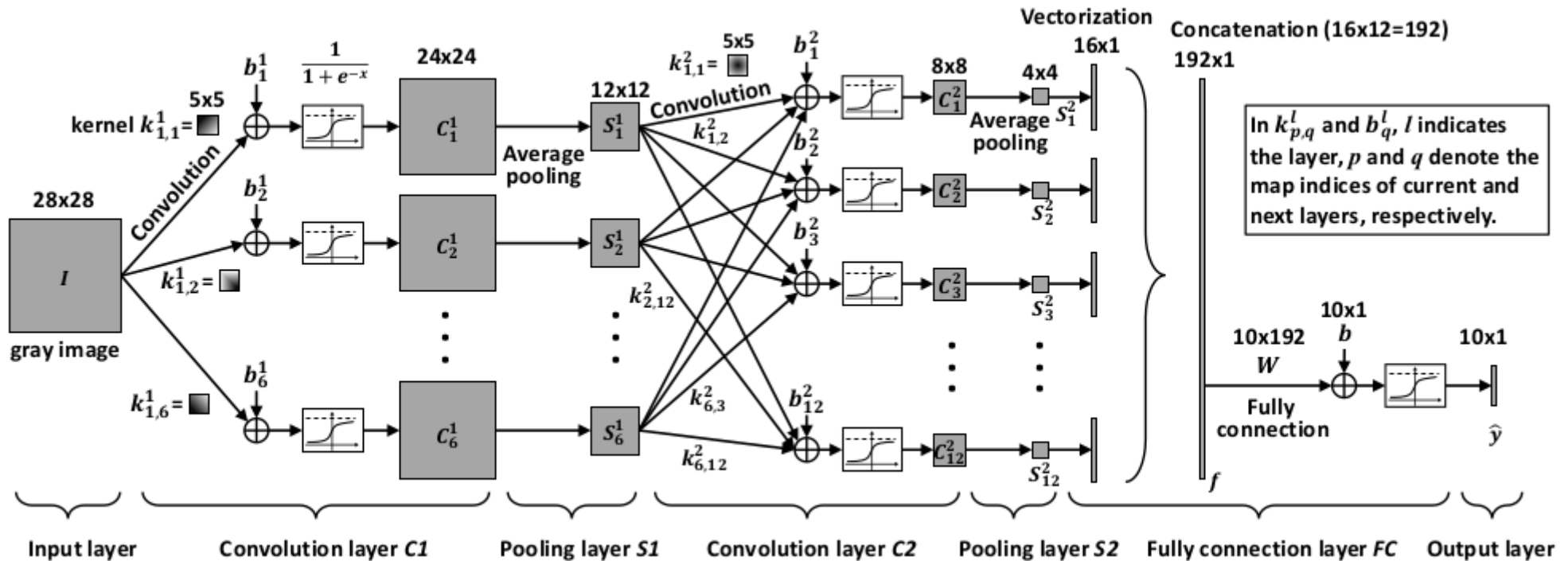
$\Delta k^1_{1,p}$  (size  $5 \times 5$ )

Upsampling

$$\Delta C^1_p(i, j) = \frac{1}{4} \Delta S^1_p(\lceil i/2 \rceil, \lceil j/2 \rceil), \quad i, j = 1, 2, \dots, 24$$



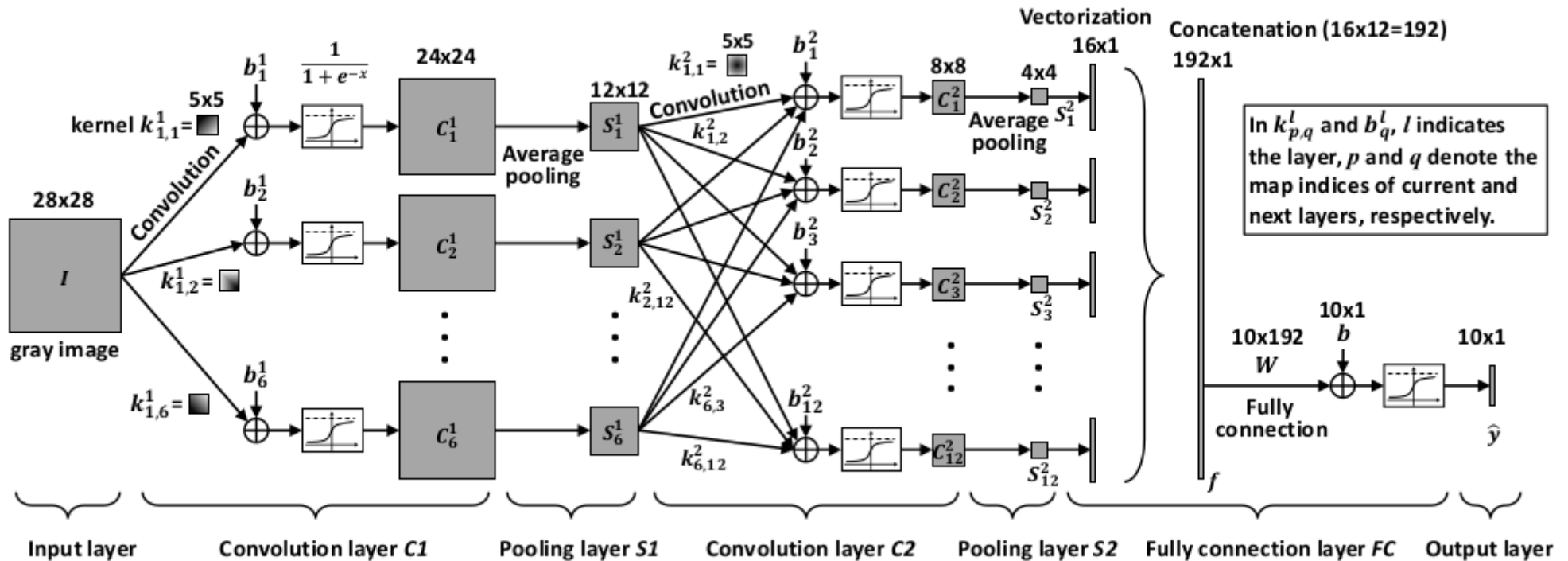
# CNN - Backpropagation



$$\Delta k^1_{1,p} \text{ (size } 5 \times 5) \quad \Delta k^1_{1,p}(u, v) = \frac{\partial L}{\partial k^1_{1,p}(u, v)}$$

$$= \sum_{i=1}^{24} \sum_{j=1}^{24} \Delta C^1_p(i, j) \cdot C^1_p(i, j) (1 - C^1_p(i, j)) \cdot I(i - u, j - v)$$

# CNN - Backpropagation



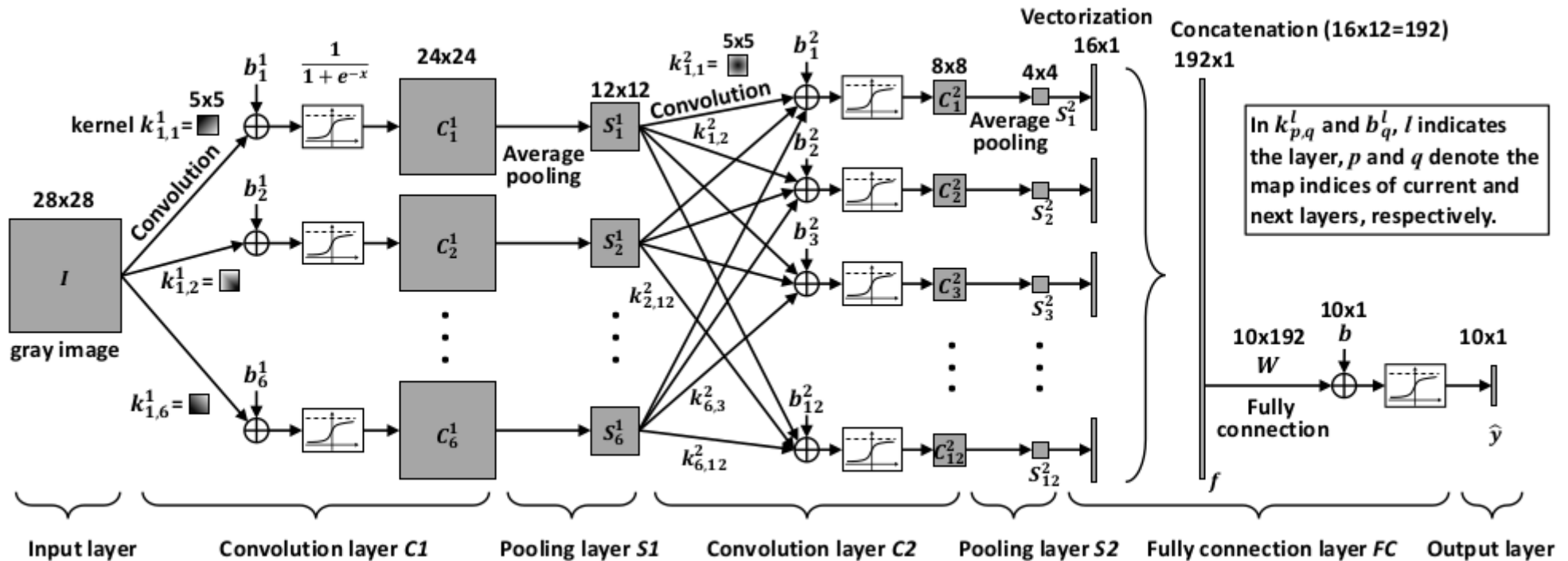
$\Delta k_{1,p}^1$  (size  $5 \times 5$ )

Rotacionando  $I$  de  $180^\circ$

$$\Delta k_{1,p}^1(u, v) = \sum_{i=1}^{24} \sum_{j=1}^{24} I_{rot180}(u - i, v - j) \cdot \Delta C_{p,\sigma}^1(i, j)$$

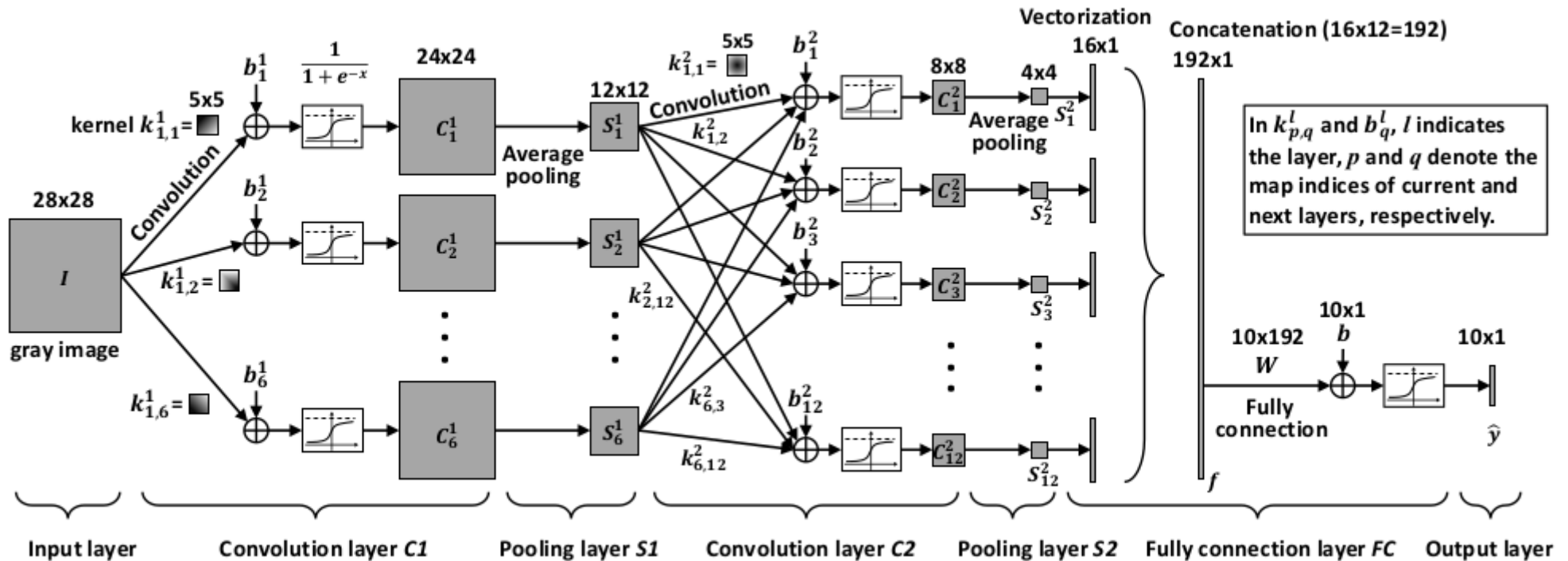
$$\Rightarrow \Delta k_{1,p}^1 = I_{rot180} * \Delta C_{p,\sigma}^1$$

# CNN - Backpropagation



$$\Delta b_p^1 = \frac{\partial L}{\partial b_p^1} = \sum_{i=1}^{24} \sum_{j=1}^{24} \Delta C_{p,\sigma}^1(i, j)$$

# CNN - Backpropagation



$$k_{1,p}^1 \leftarrow k_{1,p}^1 - \alpha \cdot \Delta k_{1,p}^1$$

$$b_p^1 \leftarrow b_p^1 - \alpha \cdot \Delta b_p^1$$

$$k_{p,q}^2 \leftarrow k_{p,q}^2 - \alpha \cdot \Delta k_{p,q}^2$$

$$b_q^2 \leftarrow b_q^2 - \alpha \cdot \Delta b_q^2$$

$$W \leftarrow W - \alpha \cdot \Delta W$$

$$b \leftarrow b - \alpha \cdot \Delta b$$

# Referências

- <https://medium.com/analytics-vidhya/cnns-architectures>
- -lenet-alexnet-vgg-googlenet-resnet-and-more-666091488df5